# EpiGEN: an epistasis simulation pipeline

# **User Guide**

David B. Blumenthal, Lorenzo Viola, Markus List, Jan Baumbach, Paolo Tieri, and Tim Kacprowski

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# 1 Getting Started with EpiGEN

# 1.1 Scope

EpiGEN is an easy-to-use epistasis simulation pipeline written in Python. It supports epistasis models of arbitrary size, which can be specified either extensionally or via parametrized risk models. Moreover, the user can specify the minor allele frequencies (MAFs) of both noise and disease SNPs, and provide a biased target distribution for the generated phenotypes to simulate observation bias.

# 1.2 Installation

EpiGen is freely available on GitHub. Before installing it on your machine, make sure that you have git and git lfs installed. Then simply execute the following line in a terminal:

git clone https://github.com/baumbachlab/epigen

# 1.3 Usage

The user interface of EpiGEN consists of three scripts:

- simulate\_data.py
- generate\_genotype\_corpus.py

```
• merge_genotype_corpora.py
```

The script simulate\_data.py simulates epistasis data on top of a pre-computed genotype corpus. For each chromosome <code><CHROM></code> and each HAPMAP3 population code <code><POP></code>, EpiGEN contains a pre-computed corpus for 10000 individuals, which is identified by the prefix <code>corpora/<CHROM>\_<POP>\_</code>. For example, if you want to generate epistasis data with ID 0 for 7500 individuals and 10000 SNPs on top of the pre-computed <code>corpus corpora/1\_ASW\_</code>, where the parametrized epistasis model <code>models/param\_model.xml</code> acts upon the SNPs with IDs 156, 3, and 1076 in the corpus, you can use <code>simulate\_data.py</code> as follows:

```
python3 simulate_data.py --sim-ids 0 --corpus-id 1 --pop ASW --inds 7500 --snps_

→10000 --disease-snps 156 3 1076 --model models/param_model.xml
```

As you will notice when executing this command, a large fraction of the runtime of simulate\_data.py is used for loading the corpora. If you want to simulate data for only a small number of individuals, it is therefore advisable to first compute your own, smaller corpora. You can also speed-up the script by unzipping the corpora before running it.

If you want to use custom corpora instead of the pre-computed ones, you can generate them via the script generate\_genotype\_corpus.py. For example, the corpus corpora/1\_ASW\_ shipped with EpiGEN was generated as follows:

Finally, the script merge\_genotype\_corpora.py allows you to merge pre-computed corpora into a larger copus. For instance, the following command merges the pre-computed corpora corpora/1\_ASW\_ and corpora/2\_ASW\_ into a newly generated corpus corpora/23\_ASW\_:

```
python3 merge_genotype_corpora.py --corpus-ids 1 2 --pops ASW ASW --corpus-id 23 --

→append SNPS
```

Detailed descriptions of how to use the scripts can be found in the HTML and PDF documentations contained in docs/build/html and docs/build/latex.

# 1.4 Implementing Custom Interaction Models

EpiGEN natively supports four parametrized interaction models: exponential, multiplicative, joint-dominant, and joint-recessive interaction. Further interaction models can easily be implemented by the user. Assume, for instance, that the user wants to implement xor-dominant interaction, i.e., a parametrized interaction model where there is an effect if and only if there is at least one minor allele at exactly one of the SNPs involved in the interaction. Then it suffices to insert the following five lines of code at line 242 of utils/parametrized\_model.py:

```
elif model_type == "xor-dominant":
    if np.sum(gen_at_snp_set[poss]) == 1:
        return alpha
    else:
        return 1
```

For consistency, it is also recommendable to add the string "xor-dominant" to the error message on line 249 of utils/parametrized\_model.py, as well to the list of acceptable interaction types on line 42 of the document type definition models/ParametrizedModel.dtd.

# 1.5 Requirements

EpiGEN has the following dependencies:

- Python 3.3 or higher.
- Numpy 1.17.3 or higher.

- Scipy 1.3.1 or higher.
- Matplotlib 3.1.1 or higher.

Moreover, due to its HAPGEN2 dependency, the script <code>generate\_genotype\_corpus.py</code> needs to be run on a Linux machine or on a machine running macOS 10.14 or lower. However, you can avoid running <code>generate\_genotype\_corpus.py</code> by using the pre-computed corpora and merging them, if necessary.

If you want to re-compile the documentation contained in the docs directory, you additionally need to install Sphinx, the extension recommonmark, and the package mock. If you have these packages installed, the HTML and PDF documentations can be re-compiled by executing make html and make latexpdf from the docs directory.

# 1.6 License

All of EpiGEN's Python sources are licensed under the GNU General Public License 3. However, this license does not cover the HAPGEN2 binaries, which are distributed with EpiGEN and are called by the script generate\_genotype\_corpus.py. HAPGEN2 is property of the University of Oxford and may only be freely used for academic research and in accordance with the license found at https://mathgen.stats.ox.ac.uk/genetics\_software/hapgen/LICENCE. Copies of the GNU General Public License 3 and of the license for HAPGEN2 are distributed with EpiGEN.

# 1.7 Citing EpiGEN

If you use EpiGEN, please cite the following paper:

• D. B. Blumenthal, L. Viola, M. List, J. Baumbach, P. Tieri, T. Kacprowski (2020). "EpiGEN: an epistasis simulation pipeline", Bioinformatics, DOI: 10.1093/bioinformatics/btaa245.

# 1.8 Structure of the Repository

```
// README
- README.md
                                   \ensuremath{//} A copy of the GNU General Public License 3
- LICENSE
                                   // Lists dependencies
- requirements.txt
- simulate_data.py
                                  // Script to simulate epistasis data
- generate_genotype_corpus.py
                                  // Script to generate genotype corpus
                                  // Script to merge genotype corpora
- merge_genotype_corpora.py
- validate_simulated_data.py
                                   // Script to validate simulated data
- test_runtime.py
                                   // Script to test EpiGEN's runtime performance
docs
                                   // Contains Sphinx documentation
- sim
                                   // Output directory for simulated data
— corpora
                                   // Output directory for genotype corpora
                                   // Contains auxiliary files
temp
                                   // Contains external libraries and data
 ext.
                                   // Contains HAPGEN2 binaries and license
   - HAPGEN2
   — нармарз
                                   // Contains HAPMAP3 data
 models
                                   // Contains epistasis models
   — ParametrizedModel.dtd
                                   // Doctype definition for parametrized models
                                   // An example of an extensional model
     ext_model.ini
   - param_model.xml
                                   // An example of a parametrized model
   – ...
                                   // Further models
 utils
                                   // Contains the core of EpiGEN
   — __init__.py
                                   // __init__ file
                                  // Implements simulation of epistasis data
    - data_simulator.py
    - genotype_corpus_generator.py // Implements generation of genotype corpora
                                  // Implements merging of genotype corpora
    genotype_corpusmerger.py
    parametrized_model.py.
                                   // Implements parametrized models
```

(continues on next page)

```
extensional_model.py. // Implements extensional models argparse_checks.py. // Implements argparse checks
```

# 2 The script simulate\_data.py

This script constitutes the main user interface of EpiGEN – run it, to simulate epistasis data.

This script has to be run on top of a pre-computed genotype corpus. For each population code <POP> and each chromosome <CHROM>, EpiGEN contains a pre-computed corpus for 10000 individuals. These corpora can be selected by running the script with the options --corpus-id <CRHOM> --pop <POP>. You can also use your own corpora - simply run the script generate\_genotype\_corpus.py before running this script.

#### Usage:

```
python3 simulate_data.py [required arguments] [optional arguments]
```

### **Required Arguments:**

```
--corpus-id CORPUS_ID
```

**Description:** ID of selected genotype corpus.

Accepted Arguments: Non-negative integers.

**Effect:** Together with --pop, this option selects the genotype corpus with the prefix ./corpora/<CORPUS\_ID>\_<POP>. If this corpus does not exist, the script raises an error. If necessary, run the script ./generate\_genotype\_corpus.py to generate the desired corpus.

#### --pop POP

**Description:** HAPMAP3 population code of selected genotype corpus.

**Accepted Arguments:** ASW, CEU, CEU+TSI, CHD, GIH, JPT+CHB, LWK, MEX, MKK, TSI, and MIX (for merged corpora).

**Effect:** Together with --corpus-id, this option selects the genotype corpus with the prefix ./corpora/<CORPUS\_ID>\_<POP>. If this corpus does not exist, the script raises an error. If necessary, run the script ./generate\_genotype\_corpus.py to generate the desired corpus.

# --model MODEL

**Description:** Path to epistasis model given as INI or XML file. INI files are used to provide extensionally defined models, XML files specify parametrized models.

Accepted Arguments: Strings ending in .ini or .xml that represent paths to existing model files.

**Effect:** Specifies the epistasis model used by the simulator.

**Format of INI files for extensionally defined models:** For each genotype of length <size>, parameters of a Normal distribution must be provided. INI files for quantitative phenotypes have to be of the following format::

```
[Model Type]
size = <size>
phenotype = quantitative
[Model Definition]
0, ..., 0 = <mu>, <stdev>
.
.
.
2, ..., 2 = <mu>, <stddev>
```

For each genotype of length <size>, parameters of a categorical distribution must be provided INI files for categorical phenotypes have to be of the following format::

```
[Model Type]
size = <size>
phenotype = <c>
[Model Definition]
0,...,0 = <p_1>,...,<p_c>
.
.
.
2,...,2 = <p_1>,...,<p_c>
```

**Format of XML files for parametrized models:** XML files have to match the document type definition models/ParametrizedModel.dtd.

**Examples:** Cf. the files models/ext\_model.ini and models/param\_model.xml.

# --snps SNPS:

**Description:** Number of SNPs in simulated data.

**Accepted Arguments:** Positive integers. If larger than the number of SNPs in the selected corpus, it is lowered to this number. Should be set to a number that is significantly smaller than the number of SNPs in the selected corpus, because otherwise, EpiGEN's subsampling techniques have no effect.

**Effect:** Determines how many SNPs from the selected corpus are included in the simulated data.

#### --inds INDS

**Description:** Number of individuals in simulated data.

**Accepted Arguments:** Positive integers. If larger than the number of individuals in the selected corpus, it is lowered to this number. Should be set to a number that is significantly smaller than the number of individuals in the selected corpus, because otherwise, EpiGEN's subsampling techniques have no effect.

**Effect:** Determines how many individuals from the selected corpus are included in the simulated data.

### **Required Group of Mutually Exclusive Arguments:**

```
--sim-ids SIM_ID [SIM_ID ...]
```

**Description:** IDs of simulated data.

Accepted Arguments: One or several non-negative integers.

**Effect:** If N IDs <SIM\_ID\_1>... <SIM\_ID\_N> are provided, N simulated epistasis instances with prefices ./sim/<SIM\_ID\_1>\_<CORPUS\_ID>\_<POP>, ..., ./sim/<SIM\_ID\_N>\_<CORPUS\_ID>\_<POP> are generated.

### --num-sims NUM\_SIMS

**Description:** The number of epistasis instances that should be generated.

**Accepted Arguments:** Positive integer.

**Effect:** If specified, <NUM\_SIMS> simulated epistasis instances with prefices ./sim/0\_<CORPUS\_ID>\_<POP>, ..., ./sim/<NUM\_SIMS-1>\_<CORPUS\_ID>\_<POP> are generated.

#### **Optional Arguments:**

```
--global-maf-range LB UB
```

**Description:** Range of acceptable MAFs for noise SNPs.

**Accepted Arguments:** Floats <LB> and <UB> with 0 <= <LB> < <UB> <= 1.

**Default:** [0,1]

**Effect:** All SNPs except for the disease SNPs are randomly sampled from those SNPs in the corpus whose MAFs fall into the specified range. If the range is too narrow, it is dynamically extended at runtime.

# --biased-distr PARAM [PARAM ...]

**Description:** Biased target distribution for simulated phenotypes.

**Accepted Arguments for Quantitative Phenotypes:** A white-space separated list of floats of length 2 whose elements represent the mean (first element) and standard deviation (second element) of a Normal distribution.

**Accepted Arguments for Categorical Phenotypes:** A white-space separated list of floats of length <c> whose entries represent the probabilities of the <c> categories.

**Effect:** If provided, the individuals are subsampled after generating the phenotypes such that the obtained phenotype distribution matches the biased distribution. This option can hence be used to model observation bias.

# --seed SEED

**Description:** Seed for numpy.random.

Accepted Arguments: Non-negative integers.

Effect: If provided, the simulator always generates the same data given the same input.

#### --compress

**Description:** Compress the generated output files.

Accepted Arguments: None.

**Effect:** Determines the suffix <SUFFIX> of the generated files. If provided, <SUFFIX> is set to json.bz2. Otherwise, it is set to json.

# -h, --help

Effect: Show help message and exit.

# **Optional Mutually Exclusive Arguments:**

```
--disease-snps SNP [SNP ...]
```

**Description:** Position of disease SNPs in selected genotype corpus.

**Accepted Arguments:** White space separated list of non-negative integers whose length matches the size of the model specified via the option <code>--model</code>. All integers must be smaller than the number of SNPs in the selected corpus.

**Effect:** If provided, the selected SNPs form the disease SNP set employed by the simulator.

### --disease-maf-range LB UB

**Description:** Range of acceptable MAFs for disease SNPs.

**Accepted Arguments:** Floats <LB> and <UB> with 0 <= <LB> < <UB> <= 1.

**Default:** [0.1,1]

**Effect:** Unless --disease-snps is provided, the disease SNPs are randomly sampled from those SNPs in the corpus whose MAFs fall into the specified range. If the range is too narrow, it is dynamically extended at runtime.

# **Output:**

#### Output File:

Path: ./sim/<ID>\_<CORPUS\_ID>\_<POP>.<SUFFIX>

```
Format for Quantitative Phenotypes: (Compressed) JSON file of the form { "num_snps":
             <NUM_SNPS>, "num_inds": <NUM_INDS>, "model_type":
             "quantitative", "genotype": <GENOTYPE_DATA>, "phenotype":
             <PHENOTYPE_DATA>, "snps": <SNP_DATA>, "disease_snps":
             <DISEASE_SNP_DATA>, "mafs": <MAF_DATA>}.
         Format for Categorical Phenotypes: (Compressed) JSON file of the form { "num_snps":
             <NUM_SNPS>, "num_inds": <NUM_INDS>, "model_type":
             "categorical", "num_categories": <NUM_CATEGORIES>, "genotype":
             <GENOTYPE_DATA>, "phenotype": <PHENOTYPE_DATA>, "snps":
             <SNP_DATA>, "disease_snps": <DISEASE_SNP_DATA>, "mafs":
             <MAF DATA>}.
     <NUM SNPS>
         Key: "num_spns"
         Content and Format: Integer representing the number of SNPs.
     <NUM INDS>
         Key: "num_inds"
         Content and Format: Integer representing the number of individuals.
     <NUM CATEGORIES>
         Key: "num categories"
         Content and Format: Integer representing the number of categories for categorical phenotypes.
     <GENOTYPE DATA>
         Key: "genotype"
         Content and Format: JSON field of the form [[G_0_0, ..., G_0_<INDS-1>] ...
             [G_{SNPS-1}_0, \ldots, G_{SNPS-1}_{INDS-1}]], where G_{S_I} encodes the
             number of minor alleles of the individual with index I at the SNP with index S.
     <PHENOTYPE DATA>
         Key: "phenotype"
         Content and Format: JSON field of the form [P_0, ..., P_<INDS-1>], where P_I encodes
             the phenotype of the individual with index I.
     <SNP_DATA>
         Key: "snps"
         Content and Format: JSON field of the form [INFO_0, ..., INFO_<SNPS-1>], where
             INFO S contains the following information about the SNP with index S: RS identifier,
             chromosome number, position on chromosome, major allele, minor allele.
     <DISEASE_SNP_DATA>
         Key: "disease snps"
         Content and Format: JSON field of the form [S_0, ..., S_<MODELSIZE-1>], where
             S POS encodes the index of the SNP at position POS in the epistasis model.
     <MAF_DATA>
         Kev: "mafs"
         Content and Format: JSON field of the form [MAF_0, ..., MAF_<SNPS-1>], where
             MAF S encodes the MAF of the SNP with index S.
simulate_data.run_script()
     Runs the script.
```

# 3 The script generate\_genotype\_corpus.py

Run this script to generate genotype corpora.

For each HAPMAP3 population and each chromosome, EpiGEN comes with a pre-computed corpus for 10000 individuals. The corpora for chromosome <CHROM> have corpus ID <CHROM> and can be used by simulate\_data.py right away. If you want to simulate data on top of your own corpora, run this script.

# Usage:

python3 generate\_genotype\_corpus.py [required arguments] [optional arguments]

# **Requried Arguments:**

### --corpus-id CORPUS\_ID

**Description:** ID of generated genotype corpus.

**Accepted Arguments:** Non-negative integers. If contained in range(1,23), the pre-computed corpora shipped with EpiGEN are overwritten.

**Effect:** Together with --pop, this option determines the prefix ./corpora/<CORPUS\_ID>\_<POP> of the files that contain the generated corpus.

### --pop POP

**Description:** HAPMAP3 population code of generated genotype corpus.

Accepted Arguments: ASW, CEU, CEU+TSI, CHD, GIH, JPT+CHB, LWK, MEX, MKK, and TSI.

**Effect:** Together with --pop, this option determines the prefix ./corpora/<CORPUS\_ID>\_<POP> of the files that contain the generated corpus.

#### --inds INDS

**Description:** Number of individuals in the corpus.

Accepted Arguments: Positive integers.

**Effect:** Determines how many individuals are contained in the generated corpus.

#### **Optional Arguments:**

```
--chroms CHROM [CHROM ...]
```

**Description:** List of chromosomes for which the corpus should be generated.

**Accepted Arguments:** A white-space separated list of integers between 1 and 22.

**Default:** [1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22]

**Effect:** For each selected chromosome, a corpus is generated by calling HAPGEN2 without disease SNPs. The final corpus is then obtained by concatenating the corpora.

# --compress

**Description:** Compress the generated output files.

Accepted Arguments: None.

**Effect:** Determines the suffix <SUFFIX> of the generated files. If provided, <SUFFIX> is set to json.bz2. Otherwise, it is set to json.

#### -h, --help

Effect: Show help message and exit.

# **Output:**

#### Genotype Data:

File: ./corpora/<CORPUS\_ID>\_<POP>\_genotype.<SUFFIX>

```
Content and Format: Compressed JSON file of the form [[G_0_0_0, ..., G_0_<INDS-1>], ..., [G_<SNPS-1>_0, ..., G_<SNPS-1>_<INDS-1>]], where G_S_I encodes the number of minor alleles of the individual with index I at the SNP with index S.
```

#### SNPs:

```
File: ./corpora/<CORPUS ID> <POP> snps.<SUFFIX>
```

Content and Format: Compressed JSON file of the form [INFO\_0, ...,

INFO\_<SNPS-1>], where INFO\_S contains the following information about the SNP with index S: RS identifier, chromosome number, position on chromosome, major allele, minor allele.

#### MAFs:

```
File: ./corpora/<CORPUS ID> <POP> mafs.<SUFFIX>
```

**Content and Format:** Compressed JSON file of the form [MAF\_0, ..., MAF\_<SNPS-1>], where MAF\_S encodes the MAF of the SNP with index S.

#### Cumulative MAF distribution:

```
File: ./corpora/<CORPUS_ID>_<POP>_cum_mafs.<SUFFIX>
```

Content and Format: Compressed ordered JSON file of the form [[MAF\_0, COUNT\_0], ..., [MAF\_<NMAFS-1>, COUNT\_<NMAFS-1>]], where COUNT\_POS encodes the number of SNPs is does not exceed MAF\_POS.

### Plot of Cumulative MAF distribution:

```
File: ./corpora/<CORPUS_ID>_<POP>_cum_mafs.pdf
```

**Content and Format:** Plot of cumulative MAF distribution. Can be used to determine feasible ranges of MAFs passed to the options --global-maf-range and --disease-maf-range of the script simulate\_data.py.

```
generate_genotype_corpus.run_script()
    Runs the script.
```

# 4 The script merge genotype corpora.py

Run this script to merge pre-computed genotype corpora.

For each HAPMAP3 population and each chromosome, EpiGEN comes with a pre-computed corpus for 10000 individuals. The corpora for chromosome <CHROM> have corpus ID <CHROM> and can be used by simulate\_data.py right away. If you want to these or other corpora, run this script.

#### Usage:

```
python3 merge_genotype_corpora.py [required arguments] [optional arguments]
```

# **Requried Arguments:**

```
--corpus-id CORPUS_ID
```

**Description:** ID of merged genotype corpus.

**Accepted Arguments:** Non-negative integers. If contained in range(1,23), the pre-computed corpora shipped with EpiGEN are overwritten.

**Effect:** Together with --pop, this option determines the prefix ./corpora/<CORPUS\_ID>\_<POP> of the files that contain the generated corpus.

```
--pops POP POP [POP ...]
```

**Description:** List of HAPMAP3 population codes of the corpora that should be merged. The size must match the size of the argument passed to --corpus-ids.

**Accepted Arguments:** ASW, CEU, CEU+TSI, CHD, GIH, JPT+CHB, LWK, MEX, MKK, TSI, and MIX.

**Effect:** Selects the corpora that should be merged and determines the prefix

./corpora/<CORPUS\_ID>\_<POP> of the files that contain the generated corpus. If the list passed to this argument contains only one population code, <POP> is the set to this code. Otherwise, <POP> is set to MIX.

# --corpus-ids CORPUS\_ID CORPUS\_ID [CORPUS\_ID ...]

**Description:** The IDs of the corpora that should be merged.

**Accepted Arguments:** Lists of non-negative integers of size at least 2. The size must match the size of the argument passed to --pops.

**Effect:** Selects the corpora that should be merged.

# --append APPEND

**Description:** The axis along which the corpora should be merged.

Accepted Arguments: "SNPS" or "INDS".

Effect: Set to "SNPS" to append the SNPs and to "INDS" to append the individuals.

# **Optional Arguments:**

#### --compress

**Description:** Compress the generated output files.

Accepted Arguments: None.

**Effect:** Determines the suffix <SUFFIX> of the generated files. If provided, <SUFFIX> is set to json.bz2. Otherwise, it is set to json.

# -h, --help

Effect: Show help message and exit.

# **Output:**

#### Genotype Data:

```
File: ./corpora/<CORPUS_ID>_<POP>_genotype.<SUFFIX>
```

Content and Format: (Compressed) JSON file of the form [[G\_0\_0, ..., G\_0\_<INDS-1>], ..., [G\_<SNPS-1>\_0, ..., G\_<SNPS-1>\_<INDS-1>]], where G\_S\_I encodes the number of minor alleles of the individual with index I at the SNP with index S.

# SNPs:

File: ./corpora/<CORPUS\_ID>\_<POP>\_snps.<SUFFIX>

Content and Format: (Compressed) JSON file of the form [INFO\_0, ..., INFO\_<SNPS-1>], where INFO\_S contains the following information about the SNP with index S: RS identifier, chromosome number, position on chromosome, major allele, minor allele.

# MAFs:

```
File: ./corpora/<CORPUS_ID>_<POP>_mafs.<SUFFIX>
```

**Content and Format:** (Compressed) JSON file of the form [MAF\_0, ..., MAF\_<SNPS-1>], where MAF\_S encodes the MAF of the SNP with index S.

# Cumulative MAF distribution:

```
File: ./corpora/<CORPUS_ID>_<POP>_cum_mafs.<SUFFIX>
```

Content and Format: (Compressed) ordered JSON file of the form [[MAF\_0, COUNT\_0], ..., [MAF\_<NMAFS-1>, COUNT\_<NMAFS-1>]], where COUNT\_POS encodes the number of SNPs is does not exceed MAF POS.

### Plot of Cumulative MAF distribution:

```
File: ./corpora/<CORPUS_ID>_<POP>_cum_mafs.pdf
```

Content and Format: Plot of cumulative MAF distribution. Can be used to determine feasible ranges of MAFs passed to the options --global-maf-range and --disease-maf-range of the script simulate\_data.py.

```
merge_genotype_corpora.run_script()
    Runs the script.
```

# 5 The script test\_runtime.py

Run this script to test to carry out runtime tests.

#### Usage:

```
python3 test_runtime.py
```

# **Output:**

```
File: ./num_inds_vs_runtime.csv
```

**Content:** Runtimes for simulating 1 dataset with varying number of individuals with number of SNPs fixed to 100000.

```
File: ./num_snps_vs_runtime.csv
```

**Content:** Runtimes for simulating 1 dataset with varying number of SNPs with number of individuals fixed to 10000.

```
File: ./num_datasets_vs_runtime.csv
```

**Content:** Runtimes for simulating varying number of datasets with number of SNPs and individuals fixed to 100.

```
test_runtime.run_script()
Runs the script.
```

# 6 The script validate\_simulated\_data.py

Run this script to validate the epistasis data generated with EpiGEN.

This script has to be run on top of epistasis data generated with EpiGEN.

#### Usage:

```
python3 validate_simulated_data.py [required arguments] [optional arguments]
```

# **Required Arguments:**

```
--sim-data SIM_DATA
```

Description: Path to (compressed) JSON file generated by EpiGEN.

**Accepted Arguments:** Strings ending in .json or .json.bz2 that represent paths to existing result files.

**Effect:** Specifies the epistasis data that should be validated.

# **Optional Arguments:**

```
--log LOG_FILE
```

**Description:** If provided, the results of the validation is saved as a JSON file.

Accepted Arguments: Strings to writable paths ending in . json.

**Effect:** Specifies if and if so where the results of the validation should be saved.

# **Output:**

```
Output File:
```

Path: <LOG FILE>

Format: JSON field of the form { "test": <TEST>, "p\_value": <P\_VALUE>,
 "disease\_mafs": <DISEASE\_MAFS>, "penetrance\_table":
 <PENETRANCE TABLE>}.

#### <TEST>

Key: "test"

**Content and Format:** Statistical test used by validation: Chi-square for categorical phenotypes, one-way ANOVA F-test for quantitative phenotypes.

#### <P\_VALUE>

Key: "p\_value"

Content and Format: The obtained p-value.

#### <DISEASE\_MAFS>

Key: "disease mafs"

Content and Format: JSON field of the form [MAF\_0, ..., MAF\_<SNPS-1>], where MAF\_S encodes the MAF of the disease SNP with index S in the "disease\_snps" field of the input data.

#### <PENETRANCE\_TABLE>

**Key:** "penetrance table"

**Content and Format:** The penetrance table underlying the test in the form {<GENOTYPE>: <PHENOTYPES>}, where GENOTYPE is the genotype at the disease SNPs and *PHENOTYPES*' is the vector of phenotypes of all individuals with the given phenotype at the disease SNPs.'

```
validate_simulated_data.run_script()
    Runs the script.
```

# 7 The package utils

This package contains the core of EpiGEN. Do not modify it, unless you really know what you are doing.

# 7.1 The module utils.data simulator.py

Contains definition of DataSimulator class.

Bases: object

Simulates epistasis data given a pre-generated genotype corpus.

This class is employed by the script simulate\_data.py. Not intended for use outside of this script. Expects to be imported from EpiGEN's root directory.

### genotype

A numpy.array with entries from range(3) whose rows represent SNPs and whose columns represent individuals contained in simulated data.

Type numpy.array

# snps

A list with one entry for each row of self.genotype. The entries provide information about the corresponding SNP.

**Type** list of (list of str)

#### mafs

A numpy.array of floats representing the MAFs of all rows of self.genotype.

Type numpy.array

#### cum\_mafs

A list of pairs of the form (MAF,count) representing the cumulative MAF distribution of self.mafs.

Type list of (float,int)

#### corpus\_genotype

A numpy.array with entries from range(3) whose rows represent SNPs and whose columns represent individuals contained in the genotype corpus.

Type numpy.array

# corpus\_snps

A list with one entry for each row of self.corpus\_genotype. The entries provide information about the corresponding SNP.

**Type** list of (list of str)

### corpus\_mafs

A numpy.array of floats representing the MAFs of all rows of self.corpus\_genotype.

Type numpy.array

# corpus\_cum\_mafs

A list of pairs of the form (MAF,count) representing the cumulative MAF distribution of self.corpus\_mafs.

Type list of (float,int)

#### model

The epistasis model.

Type ExtensionalModel/ParametrizedModel

#### sim\_id

An integer that represents the ID of the generated data.

Type int

# corpus\_id

An integer that represents the ID of the genotype corpus on top of which the data should be simulated.

Type int

#### pop

A string representing the HAPMAP3 population for which the selected genotype corpus was generated.

Type str

# num\_snps

The number of SNPs in the simulated data.

Type int

#### num\_inds

The number of individuals in the simulated data.

Type int

#### total\_num\_snps

The number of SNPs in the selected genotype corpus.

Type int

#### total num inds

The number of individuals in the selected genotype corpus.

Type int

#### biased distr

Parameters of biased observed phenotype distribution. If empty, no observation bias is applied.

Type list of float

#### phenotype

A numpy.array that stores the generated phenotypes.

Type numpy.array

# disease\_snps

A list of positions of the selected disease SNPs in self.snps and self.genotype.

**Type** list of int

# input\_disease\_snps

A user-specified list of positions of the selected disease SNPs in self.snps and self.genotype.

Type list of int

# noise\_maf\_range

A tuple of floats between 0 and 1 that specifies the range of acceptable MAFs for the selected noise SNPs.

Type float, float

#### disease\_maf\_range

A tuple of floats between 0 and 1 that specifies the range of acceptable MAFs for the selected disease SNPs.

Type float, float

#### epsilon

A small positive real used for comparing floats.

Type float

# compress

If True, the simulated data is compressed.

Type bool

\_\_init\_\_ (corpus\_id, pop, model, num\_snps, num\_inds, disease\_snps, biased\_distr, noise\_maf\_range, disease\_maf\_range, seed, compress)
Initialized DataSimulator.

#### **Parameters**

- **corpus\_id** (*int*) An integer that represents the ID of the genotype corpus on top of which the data should be simulated.
- **pop** (*str*) A string representing the HAPMAP3 population for which the selected genotype corpus was generated.
- model (str) Path to an INI or an XML file containing the epistasis model specification.

- num\_snps (int) The number of SNPs in the simulated data.
- num\_inds (int) The number of individuals in the simulated data.
- **disease\_snps** (*list of int*) A list the disease SNPs' position in the selected genotype corpus. If not empty, its size must match the size of the model.
- **biased\_distr** (*list of float*) Parameters of biased observed phenotype distribution. If empty, no observation bias is applied.
- noise\_maf\_range (float, float) A tuple of floats between 0 and 1 that specifies the range of acceptable MAFs for the selected noise SNPs.
- **disease\_maf\_range** (*float*, *float*) A tuple of floats between 0 and 1 that specifies the range of acceptable MAFs for the selected disease SNPs.
- seed (int/None) The seed for numpy.random (possibly None).
- **compress** (bool) If True, the simulated data is compressed.

#### dump\_simulated\_data()

Dumps the simulated data.

# generate\_phenotype()

Generates the phenotype and adjusts the number of individuals.

#### sample\_snps()

Samples the SNPs and the disease SNP set based on the MAFs.

```
set_sim_id(sim_id)
```

Sets the simulation ID and prepares next simulation on top of pre-loaded corpus.

**Parameters** sim\_id (int) - An integer that represents the ID of the generated data.

# 7.2 The module utils.genotype\_corpus\_generator.py

Contains definition of GenotypeCorpusGenerator class.

Bases: object

Generates genotype corpus by calling HAPGEN2 and merging the obtained chromosome-wise genotypes.

This class is employed by the script generate\_genotype\_corpus.py. Not intended for use outside of this script. Expects to be imported from EpiGEN's root directory.

#### hapmap\_dir

The path to the HAPMAP3 directory.

Type str

# hapgen\_dir

The path to the directory that contains the HAPGEN2 binary.

Type str

#### chroms

A list of integers between 1 and 22 representing the chromosomes for which HAPGEN2 generates the genotypes.

Type list of int

#### num inds

An integer that represents the number of individuals for which genotypes are constructed.

### Type int

#### num\_snps

An integer that represents the number of SNPs in the generated corpus.

Type int

#### corpus\_id

An integer that represents the ID of the generated corpus.

Type int

#### pop

A string representing the HAPMAP3 population.

Type str

# dummy\_disease\_snps

A dict that, for each chromosome, specifies the position of a SNP that can be passed to HAPGEN2 as argument of the -dl option.

Type dict of (int,int)

# genotype

A numpy.array with entries from range(3) that contains the merged genotypes. The rows represent SNPs, the columns represent individuals.

**Type** numpy.array

#### snps

A list with one entry for each row of self.genotype. The entries provide information about the corresponding SNP.

Type list of (list of str)

#### mafs

A numpy.array of floats representing the MAFs of all rows of self.genotype.

Type numpy.array

#### cum mafs

A list of pairs of the form (MAF,count) representing the cumulative MAF distribution.

Type list of (float,int)

### compress

If True, the generated corpus is compressed.

Type bool

\_\_init\_\_ (chroms, num\_inds, corpus\_id, pop, compress)

Initializes GenotypeCorpusGenerator.

#### **Parameters**

- **chroms** (list of int) A list of integers between 1 and 22 representing the chroms for which HAPGEN2 should be called. Duplicates are ignored.
- num\_inds (int) An integer representing the number of individuals for which genotypes should be constructed.
- **corpus\_id** (*int*) An integer that represents the ID of the generated corpus.
- **compress** (bool) If True, the generated corpus is compressed.

#### call\_hapgen2()

Calls HAPGEN2 to generate genotypes for all chromosomes.

#### compute\_mafs()

Computes MAFs for all SNPs contained in self.genotype.

#### dump\_corpus()

Dumps the generated corpus to zipped JSON files.

# merge\_hapgen2\_output()

Merges the output of HAPGEN2.

# 7.3 The module utils.genotype\_corpus\_merger.py

Contains definition of GenotypeCorpusMerger class.

class utils.genotype\_corpus\_merger.GenotypeCorpusMerger(corpus\_ids, corpus\_id, axis, compress)

Bases: object

Merges pre-computed genotype corpora.

This class is employed by the script generate\_genotype\_corpus.py. Not intended for use outside of this script. Expects to be imported from EpiGEN's root directory.

#### corpus\_ids

A list of integers that represents the IDs of the corpora that should be merged.

Type list of int

# pops

A list of strings representing the HAPMAP3 population codes of the corpora that should be merged.

Type list of str

#### corpus\_id

An integer that represents the ID of the generated corpus.

Type int

#### pop

A string representing the HAPMAP3 population code of the merged corpus.

Type str

#### genotype

A numpy.array with entries from range(3) that contains the merged genotypes. The rows represent SNPs, the columns represent individuals.

Type numpy.array

#### snps

A list with one entry for each row of self.genotype. The entries provide information about the corresponding SNP.

**Type** list of (list of str)

#### mafs

A numpy.array of floats representing the MAFs of all rows of self.genotype.

Type numpy.array

#### cum\_mafs

A list of pairs of the form (MAF,count) representing the cumulative MAF distribution.

Type list of (float,int)

#### axis

An integer representing the axis of the merge (0 for merge along SNPs, 1 for merge along individuals).

Type int

#### num\_snps

Number of SNPs in merged corpus.

Type int

#### num inds

Number of individuals in merged corpus.

Type int

#### compress

If True, the merged corpus is compressed.

Type bool

\_\_init\_\_(corpus\_ids, pops, corpus\_id, axis, compress)
Initializes GenotypeCorpusGenerator.

#### **Parameters**

- **corpus\_ids** (*list of int*) A list of integers that represents the IDs of the corpora that should be merged.
- **pop** (str) A list of strings representing the HAPMAP3 population codes of the corpora that should be merged.
- **corpus\_id** (int) An integer that represents the ID of the generated corpus.
- **axis** (*int*) An integer representing the axis of the merge (0 for merge along SNPs, 1 for merge along individuals)
- **compress** (bool) If True, the merged corpus is compressed.

#### compute\_mafs()

Computes MAFs for all SNPs contained in self.genotype.

# dump\_corpus()

Dumps the generated corpus to zipped JSON files.

merge\_corpora()

# 7.4 The module utils.extensional model.py

Contains definition of Extensional Model class.

```
class utils.extensional_model.ExtensionalModel(model, seed)
    Bases: object
```

Represents an extensionally defined epistasis model.

Extensional models can be used to model binary or non-binary categorical phenotypes, as well as quantitative phenotypes. This class is employed by the class DataSimulator. Not intended for use outside of this class.

#### size

An integer greater equal 2 representing the size of the model.

Type int

#### model

A numpy.array of dimension self.size representing the epistasis model.

Type numpy.array

#### phenotype

An integer greater equal 2 (for categorical phenotypes) or the string "quantitative" (for quantitative phenotypes).

Type int/str

```
__init__(model, seed)
```

Initializes the Extensional Model.

#### **Parameters**

- model (str) Path to an INI file that contains the full extensional definition of an epistasis model. For categorical models, a discrete probability distribution must be provided for each possible genotype of dimension self.size. For quantitative models, the mean and the standard deviation of normal distributions must be provided. Examples can be found in the directory epigen/models/.
- **seed** (*int/None*) The seed for np.random (possibly None).

# 7.5 The module utils.parametrized\_model.py

Contains definition of ParametrizedModel class.

Represents a parametrized epistasis model.

Parametrized models can be used to model binary categorical phenotypes. This class is employed by the class DataSimulator. Not intended for use outside of this class.

#### size

An integer greater equal 2 representing the size of the model.

Type int

#### baseline\_alpha

A float greater 0 representing the baseline risk.

Type float

#### marginal\_models

The marginal models.

Type dict of (int,callable)

### interaction\_models

The interaction models.

**Type** dict of ((list of int),callable)

# phenotype

The inetger 2 (for dichotomous phenotypes) or the string "quantitative" (for quantitative phenotypes).

```
Type int/str
```

```
___init___(model, seed)
```

Initializes the Extensional Model.

#### **Parameters**

- model (str) Path to an XML file that contains the specification of the parametrized model. Examples can be found in the directory epigen/models/.
- **seed** (*int/None*) The seed for np.random (possibly None).

# 7.6 The module utils.argparse\_checks.py

```
utils.argparse_checks.check_interval(argname)
```

Ensures that the provided arguments specify a sub-interval of [0,1].

**Parameters argname** (str) – Name of the argparse argument.

```
utils.argparse_checks.check_length(argname)
```

Ensures that at least two arguments are provided.

**Parameters argname** (str) – Name of the argparse argument.

utils.argparse\_checks.check\_non\_negative(argname)

Ensures that the provided argument is non-negative.

**Parameters argname** (str) – Name of the argparse argument.

utils.argparse\_checks.check\_positive(argname)

Ensures that the provided argument is positive.

**Parameters argname** (str) – Name of the argparse argument.

# 7.7 The module utils.validation\_utils.py

Contains utility functions for validating simulated data.

utils.validation\_utils.chi\_square (penetrance\_table, num\_categories)

Carries out chi-square test.

Parameters penetrance\_table (dict) - The penetrance table induced by the disease SNPs

**Returns** The obtained p-value.

Return type float

utils.validation\_utils.generate\_penetrance\_table(sim\_data) Generates penetrance table.

**Parameters** sim\_data (dict) - The simulated data.

**Returns** The penetrance table induced by the disease SNPs.

Return type dict

utils.validation\_utils.load\_data(filename)

Loads simulated data.

**Parameters filename** (str) – Path to the file containing the data.

Returns A dictionary containing the simulated data.

Return type dict

 $\verb|wtils.validation_utils.one_way_anova| (penetrance_table)$ 

Carries out one-way ANOVA F-test.

**Parameters** penetrance\_table (dict) - The penetrance table induced by the disease SNPs.

**Returns** The obtained p-value.

Return type float

utils.validation\_utils.write\_to\_log\_file (logfilename, test, p\_value, disease\_mafs, penetrance\_table)

Writes the results of the validation to a log-file.

#### **Parameters**

- logfilename (str) Name of the log-file.
- test (str) Name of the test.
- **p\_value** (*float*) Obtained p-value.
- disease\_mafs (list) List of MAFs of disease SNPs.

• penetrance\_table (dict) - Penetrance table induced by disease SNPs.

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