



Multiunits and truncated networks

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Derived bibliographic networks

The impact of multi-person units and truncated networks

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Outline

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The multipersonality's effect on the results of bibliographic analyses

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We would like to study the effect of multipersons in derived networks [5]. Let $\mathbf{M} = [m[u,v]]$ is a matrix on $U \times V$ and $\mathbf{C}_U = \{C_1,C_2,\ldots,C_k\}$ a partition of the set $U,\emptyset \subset C_i \subseteq U$, $C_i \cap C_j = \emptyset$ for $i \neq j$, and $\bigcup_i C_i = U$. The set U is the (ground truth) set of real units (persons). The partition \mathbf{C}_U corresponds to units (for example authors) identified by the network construction process. A cluster $C \in \mathbf{C}_U$ with |C| > 1 represents a multi-unit; and for |C| = 1 a correctly identified unit.

We introduce the *shrinking* transformation S_r of matrix \mathbf{M} by the rows partition \mathbf{C}_U into $S_r(\mathbf{M}, \mathbf{C}_U) = \mathbf{S} = [s[C, v]]$ on $\mathbf{C}_U \times V$ determined by the rule

$$s[C,v] = \sum_{u \in C} m[u,v]$$

The shrinking transformation can be extended to a columns partition \mathbf{C}_V of the set V by

$$s[u,C] = \sum_{n} m[u,v]_{n} = \sum_{n} m[u,v]_{n} + \sum_$$



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or

$$S_c(\mathbf{M}, \mathbf{C}_V) = S_r(\mathbf{M}^T, \mathbf{C}_V)^T$$

and to partitions C_U and C_V of both sets by

$$S(\mathbf{M}, (\mathbf{C}_U, \mathbf{C}_V)) = S_c(S_r(\mathbf{M}, \mathbf{C}_U), \mathbf{C}_V)$$

Consider now the case of two compatible matrices $\mathbf{M} = [m[u,t]]$ on $U \times T$ and $\mathbf{N} = [n[t,v]]$ on $T \times V$. For a partition \mathbf{C}_U of the set U it holds

$$S_r(\mathbf{M} \cdot \mathbf{N}, \mathbf{C}_U) = S_r(\mathbf{M}, \mathbf{C}_U) \cdot \mathbf{N}$$

To check this let's denote with ${\bf L}$ and ${\bf R}$ the left and right sides of this expression. We have

$$I[C, v] = \sum_{n \in \mathbb{N}} \mathbf{M} \cdot \mathbf{N}[u, v] = \sum_{n \in \mathbb{N}} \sum_{t \in \mathbb{N}} m[u, t] \cdot n[t, v]$$

and

$$r[C,v] = \sum_{t \in T} S_r(\mathbf{M}, \mathbf{C}_U)[C,t] \cdot n[t,v] = \sum_{t \in T} (\sum_{u \in C_u} m[u,t]) \cdot n[t,v] = I[C,v]$$



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For the partition \mathbf{C}_V of the set V we get

$$S_c(\mathbf{M} \cdot \mathbf{N}, \mathbf{C}_V) = S_r((\mathbf{M} \cdot \mathbf{N})^T, \mathbf{C}_V)^T = S_r(\mathbf{N}^T \cdot \mathbf{M}^T, \mathbf{C}_V)^T =$$

$$= (S_r(\mathbf{N}^T, \mathbf{C}_V) \cdot \mathbf{M}^T)^T = \mathbf{M} \cdot S_r(\mathbf{N}^T, \mathbf{C}_V)^T = \mathbf{M} \cdot S_c(\mathbf{N}, \mathbf{C}_V)$$

Therefore

$$S_c(\mathbf{M} \cdot \mathbf{N}, \mathbf{C}_V) = \mathbf{M} \cdot S_c(\mathbf{N}, \mathbf{C}_V)$$

For partitions of both sets U and V we have

$$S(\mathbf{M} \cdot \mathbf{N}, (\mathbf{C}_U, \mathbf{C}_V)) = S_c(S_r(\mathbf{M} \cdot \mathbf{N}, \mathbf{C}_U), \mathbf{C}_V) =$$

$$= S_c(S_r(\mathbf{M}, \mathbf{C}_U) \cdot \mathbf{N}, \mathbf{C}_V) = S_r(\mathbf{M}, \mathbf{C}_U) \cdot S_c(\mathbf{N}, \mathbf{C}_V)$$

and finally

$$S(\mathbf{M} \cdot \mathbf{N}, (\mathbf{C}_U, \mathbf{C}_V)) = S_r(\mathbf{M}, \mathbf{C}_U) \cdot S_c(\mathbf{N}, \mathbf{C}_V)$$



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For $C_u \in \mathbf{C}_U$ and $C_v \in \mathbf{C}_V$ we have

$$S(\mathbf{M} \cdot \mathbf{N}, (\mathbf{C}_U, \mathbf{C}_V))[C_u, C_v] = S_c(S_r(\mathbf{M} \cdot \mathbf{N}, \mathbf{C}_U), \mathbf{C}_V)[C_u, C_v] =$$

$$\sum_{z \in C} S_r(\mathbf{M} \cdot \mathbf{N}, \mathbf{C}_U)[C_u, z] = \sum_{z \in C} \sum_{w \in C} \mathbf{M} \cdot \mathbf{N}[w, z] = \sum_{w \in C} \sum_{z \in C} \mathbf{M} \cdot \mathbf{N}[w, z]$$

In a special case of singelton clusters $\mathcal{C}_u = \{u\}$ and $\mathcal{C}_v = \{v\}$ we get

$$S(\mathbf{M} \cdot \mathbf{N}, (\mathbf{C}_U, \mathbf{C}_V))[\{u\}, \{v\}] = \sum_{w \in \{u\}} \sum_{z \in \{v\}} \mathbf{M} \cdot \mathbf{N}[w, z] = \mathbf{M} \cdot \mathbf{N}[u, v]$$

We see that the multi-units don't affect the values of relations between singletons in the derived networks.



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Fractional approach

Assume that we have the authorship network represented by a matrix **WA** = [wa[w, a]] where wa[w, a] = 1 iff the author a is (co)author of the work w, and wa[w, a] = 0 otherwise.

We will discuss two normalizations. The standard normalization $n(\mathbf{WA}) = [nwa[w, a]]$ where

$$nwa[w, a] = \frac{wa[w, a]}{\max(1, \deg(w))}$$

and *strict* or *Newman's* normalization n'(WA) = [nwa'[w, a]] where

$$nwa'[w, a] = \frac{wa[w, a]}{\max(1, \deg(w) - 1)}$$

We have $\sum nwa[w, a] = sign(deg(w))$ and

$$\sum_{m,m} nwa'[w,a] = \frac{\deg(w)}{\max(1,\deg(w)-1)}.$$



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The meaning of the weighted degree of node a in the network $n(\mathbf{WA})$, wideg $_{n(WA)}(a) = \sum_{w \in W} nwa[w, a]$, is the *fractional* contribution of the author a to all works.

Note that if deg(w) = 0 then both nwa[w, a] = 0 and nwa'[w, a] = 0, and if deg(w) = 1 then both nwa[w, a] = 1 and nwa'[w, a] = 1.

The standard co-appearance network matrix Cn = [cn[a, b]] is obtained as

$$Cn = n(WA)^T \cdot n(WA)$$

and the *strict* co-appearance network matrix Ct = [ct[a, b]] is obtained as

$$\mathbf{Ct} = D_0(n(\mathbf{WA})^T \cdot n'(\mathbf{WA}))$$

where $D_0(\mathbf{M})$ sets the diagonal of matrix \mathbf{M} to 0.

Let's look at an entry of Cn

$$cn[a,b] = \sum_{w \in W} nwa^T[a,w] \cdot nwa[w,b] = \sum_{w \in W} nwa[w,a] \cdot nwa[w,b] = cn[b,a]$$



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and for Ct

$$ct[a,b] = \sum_{w \in W} nwa^{T}[a,w] \cdot nwa'[w,b] = \sum_{w \in W} \frac{wa[w,a]}{\max(1,\deg(w))} \cdot \frac{wa[w,b]}{\max(1,\deg(w)-1)}$$

$$= \sum_{w \in \mathcal{W}} \frac{wa[w,b]}{\max(1,\deg(w))} \cdot \frac{wa[w,a]}{\max(1,\deg(w)-1)} = ct[b,a]$$

The fractional co-appearance matrices **Cn** and **Ct** are symmetric.

From

$$\mathsf{wdeg}_{\mathit{Cn}}(a) = \sum_{b \in \mathit{A}} \mathit{cn}[a,b] = \sum_{w \in \mathit{W}} \mathit{nwa}[w,a] \cdot \sum_{b \in \mathit{A}} \mathit{nwa}[w,b] =$$

$$= \sum_{w \in W} nwa[w,a] \cdot \operatorname{sign}(\deg(w)) = \sum_{w \in W} nwa[w,a] = \operatorname{wideg}_{n(W\!A)}(a)$$

we see that the authors have the same weighted degree in networks n(WA) and Cn.



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Similarly for the network **Ct**. Because by definition, ct[a, a] = 0, we have

$$\mathsf{wdeg}_{\mathit{Ct}}(a) = \sum_{b \in A \setminus \{a\}} \mathit{ct}[a,b] = \sum_{w \in W} \frac{\mathit{nwa}[w,a]}{\mathsf{max}(1,\mathsf{deg}(w)-1)} \sum_{b \in A \setminus \{a\}} \mathit{wa}[w,b] =$$

If wa[w, a] = 0 the term in the $\sum_{w \in W}$ has value 0. So we can assume wa[w, a] = 1. This means that $a \in N(w)$ and wa[w, b] = 1 means that also $b \in N(w)$. Therefore

$$\sum_{b \in A \setminus \{a\}} wa[w,b] = |\mathcal{N}(w) \setminus \{a\}| = \deg(w) - 1$$

Now, we can continue ($W_2 = \{w \in W : deg(w) \ge 2\}$)

$$=\sum_{w\in W_2} rac{nwa[w,a]}{\max(1,\deg(w)-1)}(\deg(w)-1)=\sum_{w\in W_2} nwa[w,a]=$$

$$= \operatorname{wideg}_{n(WA)}(a) - |S|$$

where $S = \{w \in W : \deg(w) = 1\}$ — single author works.



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Package bibmat

> wdeg <- wodeg

```
> normalize <- function(M) t(apply(M,1,function(x) x/max(1,sum(x))))
> newman <- function(M) t(apply(M,1,function(x) x/max(1,sum(x)-1)))
> D0 <- function(M) {diag(M) <- 0; return(M)}
> binary <- function(M) {B <- t(apply(M,1,function(x) as.integer(x!=0)))
+ colnames(B) <- colnames(M); return(B)}
> wodeg <- function(M) apply(M,1,sum)
> wideg <- function(M) apply(M,2,sum)</pre>
```

> odeg <- function(M) wodeg(binary(M))
> ideg <- function(M) wideg(binary(M))</pre>





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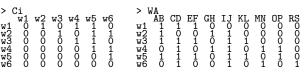
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Github/bavla/biblio





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```
> wdir <- "C:/Users/vlado/test/biblio"</pre>
> setwd(wdir)
> source(
  "https://raw.githubusercontent.com/bavla/biblio/master/code/bibmat.R")
> urlEx <-
  "https://github.com/bavla/biblio/raw/master/Eu/Data/ExNets.RDS"
> download.file(url=urlEx,destfile=paste0(wdir,"/ExNets.RDS",sep=""))
> Ex <- readRDS("ExNets.RDS")
> Ci <- Ex$Ci; WA <- Ex$WA; AC <- Ex$AC
> WAn <- normalize(WA)</p>
> Cn <- t(WAn)%*%WAn
> wideg(WAn)
AB CD EF GH IJ KL MN OP RS 1.0333 1.1500 0.7000 0.5333 1.1500 0.3667 0.4500 0.3667 0.2500
> wideg(Cn)
1.0333 1.1500 0.7000 0.5333 1.1500 0.3667 0.4500 0.3667 0.2500
> WAt <- newman(WA)
> Ct <- DO(t(WAn)%*%WAt)</p>
> wideg(Ct)
AB CD EF GH IJ KL MN OP RS
1.0333 1.1500 0.7000 0.5333 1.1500 0.3667 0.4500 0.3667 0.2500
> sum(wideg(WAn))
Γ17 6
```



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For a matrix \mathbf{M} on $U \times V$ we define its *total* $T(\mathbf{M})$ as the sum of all its entries, $T(\mathbf{M}) = \sum_{u \in U} \sum_{v \in V} m[u, v]$. Let's compute

$$T(\mathbf{Cn}) = \sum_{a \in A} \sum_{b \in A} cn[a,b] = \sum_{w \in W} \sum_{a \in A} \sum_{b \in A} nwa[w,a] \cdot nwa[w,b] = \sum_{w \in W} T(w)$$

where

$$T(w) = \sum_{a \in A} \sum_{b \in A} \mathsf{nwa}[w, a] \cdot \mathsf{nwa}[w, b] = \sum_{a \in A} \mathsf{nwa}[w, a] \cdot \sum_{b \in A} \mathsf{nwa}[w, b] =$$

$$= sign(deg(w))^2 = sign(deg(w))$$

We see that the contribution of each work $w \in W$ with $\deg(w) > 0$ is 1. Therefore

$$T(\mathbf{Cn}) = \sum_{w \in W} \operatorname{sign}(\deg(w)) = |W_1|$$

where
$$W_1 = \{ w \in W : \deg(w) \ge 1 \}.$$



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For matrices n(WA) and Cn we have

$$T(n(\mathbf{WA})) = \sum_{a \in A} \sum_{w \in W} nwa[w, a] = \sum_{a \in A} wideg_{n(WA)}(a) =$$

$$=\sum_{a\in A} \mathsf{wdeg}_{Cn}(a) = \sum_{a\in A} \sum_{b\in A} \mathsf{cn}[a,b] = T(\mathbf{Cn})$$

And

$$T(\mathbf{Ct}) = \sum_{a \in A} \sum_{b \in A \setminus \{a\}} ct[a, b] =$$

$$\sum_{w \in W} \sum_{a \in A} \sum_{b \in A \setminus \{a\}} nwa[w, a] \cdot nwa'[w, b] = \sum_{w \in W} T'(w)$$

where

$$T'(w) = \sum_{a \in A} \sum_{b \in A \setminus \{a\}} nwa[w, a] \cdot nwa'[w, b] =$$

$$\sum_{a \in A} \frac{nwa[w,a]}{\max(1,\deg(w)-1)} \cdot \sum_{b \in A \setminus \{a\}} wa[w,b]$$



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If wa[w,a]=0 or $wa[w,b]\leq 1$ the term in $\sum_{a\in A}$ has value 0. For wa[w,a]=1 and $wa[w,b]\geq 2$ (or $deg(w)\geq 2$) we have $\sum_{b\in A\setminus\{a\}}wa[w,b]=\deg(w)-1$. Therefore

$$T'(w) = \left\{ egin{array}{ll} 1 & deg(w) \geq 2 \\ 0 & ext{otherwise} \end{array} \right.$$

and finally

$$T(\mathbf{Ct}) = \sum_{w \in W} T'(w) = |W_2|$$

where $W_2 = \{ w \in W : deg(w) \ge 2 \}.$

Note also that

$$\sum_{a \in A} \mathsf{wideg}_{n(WA)}(a) = T(n(\mathbf{WA}))$$



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```
> sum(Cn)
[1] 6
> sum(Ct)
Γ17 6
> empty <- rep(0,length(A)); WA1 <- rbind(WA,empty,empty)</pre>
> rownames(WA1)[7:8] <- c("w7","w8"); WA1["w8","CD"] <- 1
> WA1
   AB
       CD 1 1 1 1 0 1
           EF 10101000
    111010
            normalize(WA1);
                                Cn1 <- t(WAn1)%*%WAn1
> sum(Cn1)
Γ17 7
> WAt1 <- newman(WA1): Ct1 <- D0(t(WAn1)%*%WAt1)</pre>
> sum(Ct1)
Γ17 6
> wideg(WAn1)
AB CD EF GH IJ KL MN OP RS 1.0333 2.1500 0.7000 0.5333 1.1500 0.3667 0.4500 0.3667 0.2500
> wdeg(Cn1)
1.03\overline{33} 2.1500 0.7000 0.5333 1.1500 0.3667 0.4500 0.3667 0.2500 ^{\circ} wdeg(Ct1)
                                                                            RS
AB CD EF GH IJ KL
1.0333 1.1500 0.7000 0.5333 1.1500 0.3667
                                                     0.4500 0.3667
```



Truncated fractional co-appearance networks

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A usual approach to the analysis of a two-mode network is its projection to its selected mode. The obtained weighted one-mode network is afterward analyzed using standard methods. Most bibliographic two-mode networks are sparse - they have a small average degree. If the other mode has some nodes of very large degree the projection can "explode" - it is not a sparse network (increased time and space complexity) [3]. In fractional projections, the contribution of the nodes of large degree is very small and mostly doesn't affect the resulting important subnetworks – the important part of the result can be obtained by projection of a two-mode subnetwork on a subset of important nodes – a truncated projection. This idea is elaborated in the following.



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Let's split the set of authors A into two sets A_1 (selected authors) and A_0 (remaining authors), $A_1 \cup A_0 = A$ and $A_1 \cap A_0 = \emptyset$. We call a *truncated (standard) fractional network* the network

$$Cn_{11} = Cn[A_1, A_1] = n(WA)[W, A_1]^T \cdot n(WA)[W, A_1]$$

For a selected author $a \in A_1$, we denote with $\alpha(a) = \operatorname{wdeg}_{Cn_{11}}(a)$ her/his *internal* fractional contribution, and with $\beta(a) = \operatorname{wdeg}_{n(WA)}(a) - \alpha(a)$ her/his *external* fractional contribution.

We reorder the nodes of the network \mathbf{Cn} according to the A_1 , A_0 split (see next slide). The network matrix is split into four submatrices $\mathbf{Cn}_{ij}, i,j \in \{0,1\}$. We denote their totals $T_{ij} = T(\mathbf{Cn}_{ij})$. Because the matrix \mathbf{Cn} is symmetric we have $T_{01} = T_{10}$. T_{11} is the fractional contribution of collaboration among selected authors,

 $T_{10} + T_{01} = 2T_{10}$ is the fractional contribution of collaboration of selected authors with remaining authors, and T_{00} is the fractional contribution of collaboration among remaining authors.



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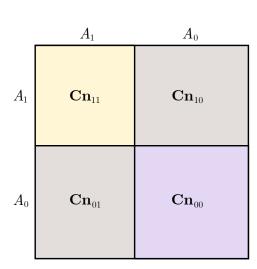
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We can compute $T_{11} = T(\mathbf{Cn}_{11})$ and $T_{10} = \sum_{a \in A_1} \beta(a)$, and finally $T_{00} = T(n(\mathbf{WA})) - T_{11} - 2T_{10}$. Note that we used only information from $n(\mathbf{WA})$ and \mathbf{Cn}_{11} .

A primary application of the truncated standard fractional network scheme is for the set of the most active authors

$$A_1 = \{a \in A : \mathsf{wideg}_{n(WA)}(a) \ge t\}$$

where t is a selected threshold value.

Note that the computation of the vector $\mathbf{wideg}_{n(WA)}$ is cheap.



Truncated strict fractional network

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Again we have $\operatorname{wdeg}_{Ct}(a) = \operatorname{wideg}_{n(WA)}(a)$. Therefore the scheme used for the truncated standard fractional network can be applied also for *truncated strict fractional network*

$$Ct_{11} = Ct[A_1, A_1] = D_0(n(WA)[W, A_1]^T \cdot n'(WA)[W, A_1])$$



Sizes of truncated networks

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iMetrics network [2] and Nataliya's HKUST1 network.

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		iMetrics			HKUST1	
interval	n	m = A	avdeg	n	m = A	avdeg
<u>≥</u> 0	33919	225931	13.32	28108	45365272	3227.92
$\geq 1/10$	32418	191888	11.84	17656	3216796	364.39
$\geq 1/5$	26247	134049	10.21	10213	86529	16.94
$\geq 1/3$	14381	71967	10.01	5171	45845	17.73
$\geq 1/2$	12781	60587	9.48	4032	32806	16.27
≥ 1	6211	32395	10.43	1799	13723	15.26
≥ 2	1832	14900	16.27	689	4195	12.18
≥ 3	964	9306	19.31	369	1743	9.45
≥ 5	446	4646	20.83	172	646	7.51
≥ 10	162	1450	17.90	55	125	4.55



Density of $\log_{10}(\operatorname{wdeg}_{WAn}(a))$

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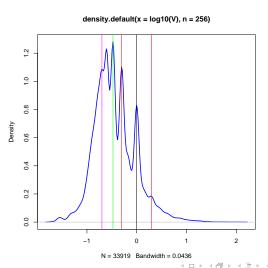
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Assume that another network **S** on $W \times W$ is given. The network

$$\mathbf{Q} = n(\mathbf{WA})^T \cdot \mathbf{S} \cdot n(\mathbf{WA})$$

links authors to authors through the network **S**. From [1] we know that

- If **S** is symmetric, $\mathbf{S}^T = \mathbf{S}$, then also **Q** is symmetric, $\mathbf{Q}^T = \mathbf{Q}$.
- $T(\mathbf{Q}) = T(\mathbf{S})$.



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Let's look at

$$\operatorname{wdeg}_Q(a) = \sum_{b \in A} q[a, b] = \sum_{b \in A} \sum_{w \in W} \sum_{z \in W} nwa[w, a] \cdot s[w, z] \cdot nwa[z, b] =$$

$$= \sum_{w \in W} \sum_{z \in W} nwa[w, a] \cdot s[w, z] \cdot sign(deg(w)) =$$

$$\sum_{w \in W} nwa[w, a] \cdot \sum_{z \in W} s[w, z] = \sum_{w \in W} nwa[w, a] \cdot wdeg_{S}(w)$$

or in a vector form

$$\mathsf{wdeg}_Q = n(\mathsf{WA})^T \cdot \mathsf{wdeg}_S$$

The most active authors are

$$A_1 = \{a \in A : \mathsf{wdeg}_O(a) \ge t\}$$

Again the truncation scheme can be applied Again the truncation the truncation scheme can be applied Again the truncation of the truncation o



Authors co-citation

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The co-citation network is defined as $\mathbf{CoCi} = \mathbf{Ci}^T \cdot \mathbf{Ci}$ and the fractional co-citation network as $\mathbf{CoCin} = \mathbf{Cin}^T \cdot \mathbf{Cin}$. Both \mathbf{CoCi} and \mathbf{CoCin} are symmetric. Authors fractional co-citation network is obtained by linking authors through the fractional co-citation network

$$CoCan = n(WA)^T \cdot CoCin \cdot n(WA) =$$

$$= n(WA)^T \cdot Cin^T \cdot Cin \cdot n(WA) = Can^T \cdot Can$$

where $Can = Cin \cdot n(WA)$.

As in the case of **WA** we have $\operatorname{wdeg}_{Cn}(a) = \operatorname{wdeg}_{n(WA)}(a)$, for **Ci** it holds also $\operatorname{wdeg}_{CoCin}(w) = \operatorname{wideg}_{n(Ci)}(w)$. Therefore

$$wdeg_{CoCan} = n(WA)^T \cdot wdeg_{CoCin} = n(WA)^T \cdot wideg_{n(Ci)}$$

and it is easy to see that also

$$wideg_{Can} = n(WA)^T \cdot wideg_{n(Ci)} = wdeg_{CoCan}$$



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- the fractional version(s) of bibliographic coupling
 biCo = Ci · Ci^T are more complicated [1]. The corresponding "through" constructions are still on the "to do" list.
- efficient implementation as Pajek macros and in Python Nets package.



Acknowledments

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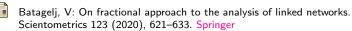
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