



Clustering in
networks

V. Batagelj

Networks

Selected
important
clusters

Clustering
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network

Blockmodeling

References

Clustering in networks

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Outline

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- 1 Networks
- 2 Selected important clusters
- 3 Clustering elements of a network
- 4 Blockmodeling
- 5 References



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Current version of slides (August 29, 2019 at 00:59): [slides PDF](#)

<https://github.com/bavla/cluRC/blob/master/doc/clustnet.pdf>



Networks

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A **network** is based on two sets – a set of **nodes** (vertices), that represent the selected **units**, and a set of **links** (lines), that represent **ties** between units. They determine a **graph**. A link can be **directed** – an **arc**, or **undirected** – an **edge**.

Additional data about nodes or links may be known – their **properties** (attributes). For example: name/label, type, age, value, ...

Network = Graph + Data

A **network** $\mathcal{N} = (\mathcal{V}, \mathcal{L}, \mathcal{P}, \mathcal{W})$ consists of:

- a **graph** $\mathcal{G} = (\mathcal{V}, \mathcal{L})$, where \mathcal{V} is the set of nodes, \mathcal{A} is the set of arcs, \mathcal{E} is the set of edges, and $\mathcal{L} = \mathcal{E} \cup \mathcal{A}$ is the set of links.
 $n = |\mathcal{V}|$, $m = |\mathcal{L}|$
- \mathcal{P} **node value functions** / properties: $p: \mathcal{V} \rightarrow A$
- \mathcal{W} **link value functions** / weights: $w: \mathcal{L} \rightarrow B$



Large networks

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The *size* of a network is usually measured by the number of nodes n and the number of links m . In a simple network (no parallel links) it holds $m \leq n^2$.

Large networks are networks with at least some thousands of nodes that can be stored entirely in the computer's memory.
Huge networks.

Large networks are usually *sparse*, $m \leq k \cdot n$, where $k \ll n$ (see Dunbar's number). This is a crucial property that often allows us to develop efficient (subquadratic) algorithms for analysis of large networks.



Dunbar's number

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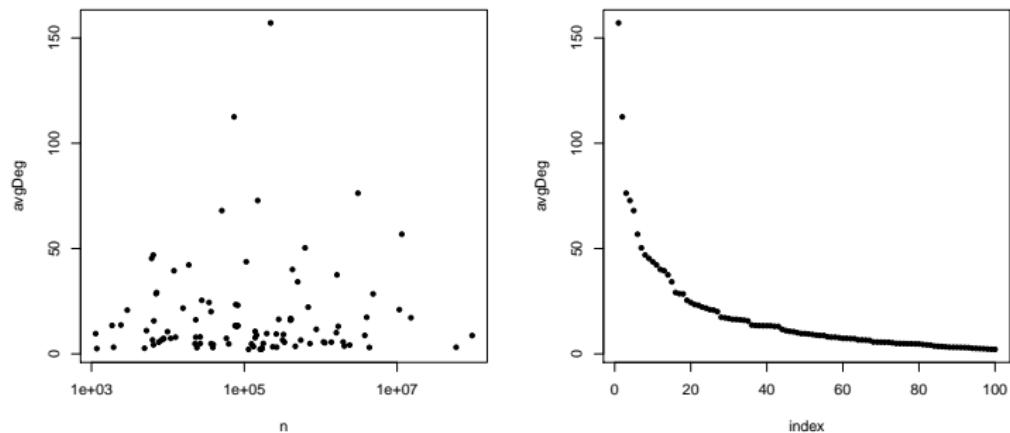
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Average degrees of the **SNAP** and **Konect** networks



Average degree $\bar{d} = \frac{1}{n} \sum_{v \in V} \deg(v) = \frac{2m}{n}$. Most real-life large networks are **sparse** – the number of nodes and links are of the same order. This property is also known as a **Dunbar's number**.

The basic idea is that if each vertex has to spend for each link certain amount of "energy" to maintain the links to selected other vertices then, since it has a limited "energy" at its disposal, the number of links should be limited. In human networks the Dunbar's number is between 100 and 150.



Complexity of algorithms

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Let us look to time complexities of some typical algorithms:

	$T(n)$	1.000	10.000	100.000	1.000.000	10.000.000
LinAlg	$O(n)$	0.00 s	0.015 s	0.17 s	2.22 s	22.2 s
LogAlg	$O(n \log n)$	0.00 s	0.06 s	0.98 s	14.4 s	2.8 m
SqrtAlg	$O(n\sqrt{n})$	0.01 s	0.32 s	10.0 s	5.27 m	2.78 h
SqrAlg	$O(n^2)$	0.07 s	7.50 s	12.5 m	20.8 h	86.8 d
CubAlg	$O(n^3)$	0.10 s	1.67 m	1.16 d	3.17 y	3.17 ky

For the interactive use on large graphs already quadratic algorithms, $O(n^2)$, are too slow.



Approaches to large networks

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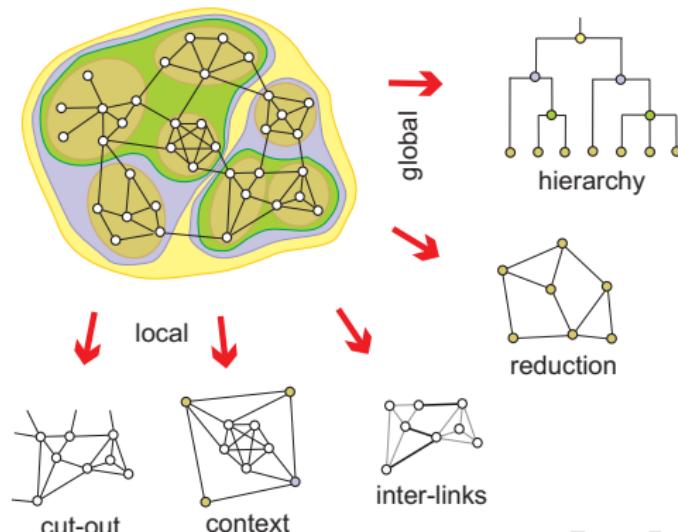
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Most of *large* networks can not be displayed readable in their totality; also there are only few algorithms available for their analysis.

To analyze a large network we can use statistical approach or we can identify smaller (sub) networks that can be analyzed further using more sophisticated methods.





ESNA Pajek

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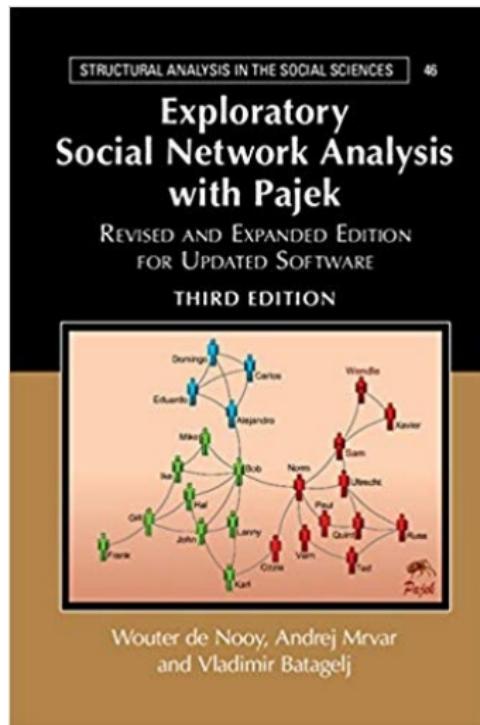
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An introduction to social network analysis with Pajek is available in the book **ESNA 3** (de Nooy, Mrvar, Batagelj, CUP 2005, 2011, 2018).

ESNA in Japanese was published by Tokyo Denki University Press in 2010; and in Chinese by Beijing World Publishing in November 2012.

Pajek – program for analysis and visualization of large networks is freely available, for noncommercial use, at its web site.

<http://mrvar.fdv.uni-lj.si/pajek/>



Networks and clustering

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- clusters of elements (nodes or links) of a given network
 - selected (important) clusters
 - a complete clustering of all elements
- clustering of set of networks

Usually we are searching for disjoint clusters. Although in some applications the overlapping or fuzzy clusters are a natural solution.

In this talk we will deal only with the clusters of elements of a given network.



Selected important clusters – Cuts

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The standard approach to find interesting groups inside a network was based on properties/weights – they can be *measured* or *computed* from network structure.

The *node-cut* of a network $\mathcal{N} = (\mathcal{V}, \mathcal{L}, p)$, $p : \mathcal{V} \rightarrow \mathbb{R}$, at selected level t is a subnetwork $\mathcal{N}(t) = (\mathcal{V}', \mathcal{L}(\mathcal{V}'), p)$, determined by the set

$$\mathcal{V}' = \{v \in \mathcal{V} : p(v) \geq t\}$$

and $\mathcal{L}(\mathcal{V}')$ is the set of links from \mathcal{L} that have both endnodes in \mathcal{V}' .

The *link-cut* of a network $\mathcal{N} = (\mathcal{V}, \mathcal{L}, w)$, $w : \mathcal{L} \rightarrow \mathbb{R}$, at selected level t is a subnetwork $\mathcal{N}(t) = (\mathcal{V}(\mathcal{L}'), \mathcal{L}', w)$, determined by the set

$$\mathcal{L}' = \{e \in \mathcal{L} : w(e) \geq t\}$$

and $\mathcal{V}(\mathcal{L}')$ is the set of all endnodes of the links from \mathcal{L}' .



Selected important clusters – Cuts

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From a cut we can get a partial clustering $\mathbf{C}(t)$ with connected components as clusters. For different thresholds, these clusterings are nested – they form a hierarchy.

An elaborated version of cuts approach is provided with the *islands* approach [Batagelj et al.(2014), Subsection 2.9.1]. Islands also form a hierarchy for a selected node property or link weight of a given network.

Cuts and islands algorithms are subquadratic on sparse networks and can efficiently deal with large networks.



Edge-cut at level 16 of a triangular network of Erdős collaboration graph

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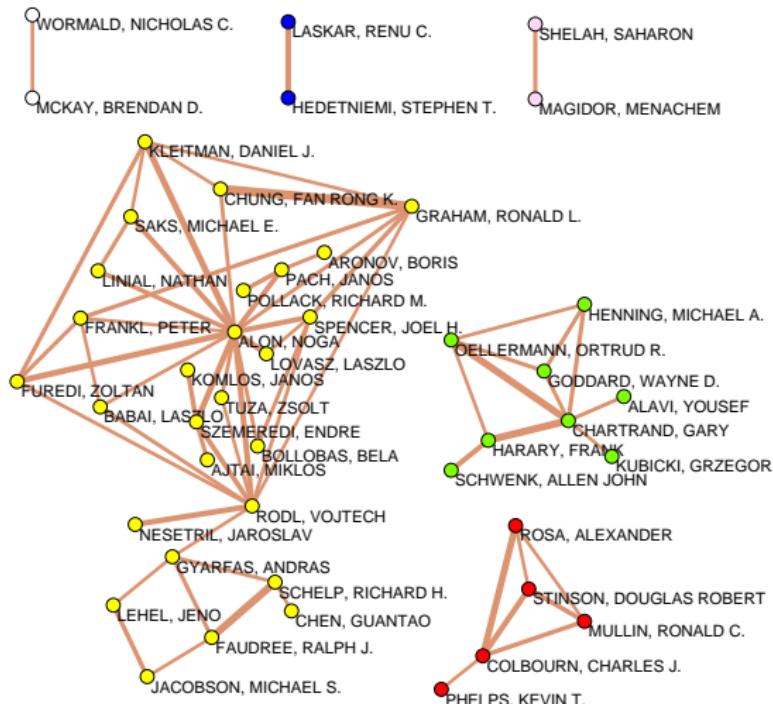
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without Erdős,
 $n = 6926$,
 $m = 11343$



Cores and generalized cores

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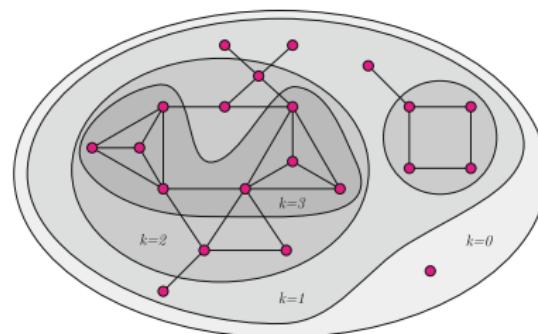
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Cores are used to identify dense parts of a network.



The notion of core was introduced by Seidman in 1983.

Let $\mathcal{G} = (\mathcal{V}, \mathcal{E})$ be a graph. A subgraph $\mathcal{H} = (\mathcal{C}, \mathcal{E}|_{\mathcal{C}})$ induced by the set \mathcal{C} is a *k-core* or a *core of order k* iff $\forall v \in \mathcal{C} : \deg_{\mathcal{H}}(v) \geq k$, and \mathcal{H} is a maximal subgraph with this property. The core of maximum order is also called the *main core*.

The *core number* of a node v is the highest order of a core that contains this node. The degree $\deg(v)$ can be: in-degree, out-degree, in-degree + out-degree, etc., determining different types of cores.



Properties of cores

From the figure, representing 0, 1, 2 and 3 core, we can see the following properties of cores:

- The cores are nested: $i < j \implies \mathcal{H}_j \subseteq \mathcal{H}_i$;
- Cores are not necessarily connected subgraphs.

An efficient algorithm for determining the cores hierarchy is based on the following property:

If from a given graph $\mathcal{G} = (\mathcal{V}, \mathcal{E})$ we recursively delete all nodes, and edges incident with them, of degree less than k , the remaining graph is the k -core.

Cores can also be used to localize the search for interesting subnetworks in large networks since: if it exists, a k -component is contained in a k -core; and a k -clique is contained in a k -core.



Generalized cores

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The notion of core can be generalized to networks. Let $\mathcal{N} = (\mathcal{V}, \mathcal{E}, w)$ be a network, where $\mathcal{G} = (\mathcal{V}, \mathcal{E})$ is a graph and $w : \mathcal{E} \rightarrow \mathbb{R}$ is a function assigning values to edges. A *node property function* on \mathbf{N} , or a *p-function* for short, is a function $p(v, U)$, $v \in \mathcal{V}$, $U \subseteq \mathcal{V}$ with real values. Let $N_U(v) = N(v) \cap U$. Besides degrees and (corrected) clustering coefficient, here are some examples of p-functions:

$$ps(v, U) = \sum_{u \in N_U(v)} w(v, u), \text{ where } w : \mathcal{E} \rightarrow \mathbb{R}_0^+$$

$$p_M(v, U) = \max_{u \in N_U(v)} w(v, u), \text{ where } w : \mathcal{E} \rightarrow \mathbb{R}$$

$$p_k(v, U) = \text{number of cycles of length } k \text{ through the node } v \text{ in } (U, \mathcal{E}|U)$$

The subgraph $\mathcal{H} = (C, \mathcal{E}|C)$ induced by the set $C \subseteq \mathcal{V}$ is a *p-core at level* $t \in \mathbb{R}$ iff $\forall v \in C : t \leq p(v, C)$ and C is a maximal such set.



Generalized cores algorithm

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The function p is *monotone* iff it has the property

$$C_1 \subset C_2 \Rightarrow \forall v \in \mathcal{V} : (p(v, C_1) \leq p(v, C_2))$$

The degrees and the functions p_S , p_M and p_k are monotone. For a monotone function the p -core at level t can be determined, as in the ordinary case, by successively deleting nodes with value of p lower than t ; and the cores on different levels are nested

$$t_1 < t_2 \Rightarrow \mathcal{H}_{t_2} \subseteq \mathcal{H}_{t_1}$$

The p -function is *local* iff $p(v, U) = p(v, N_U(v))$.

The degrees, p_S and p_M are local; but p_k is **not** local for $k \geq 4$. For a local p -function an $O(m \max(\Delta, \log n))$ algorithm for determining the p -core levels exists, assuming that $p(v, N_C(v))$ can be computed in $O(\deg_C(v))$. [paper](#)

Extensions: two-mode networks, temporal networks. [paper](#)



Cores of orders 10–21 in Computational Geometry

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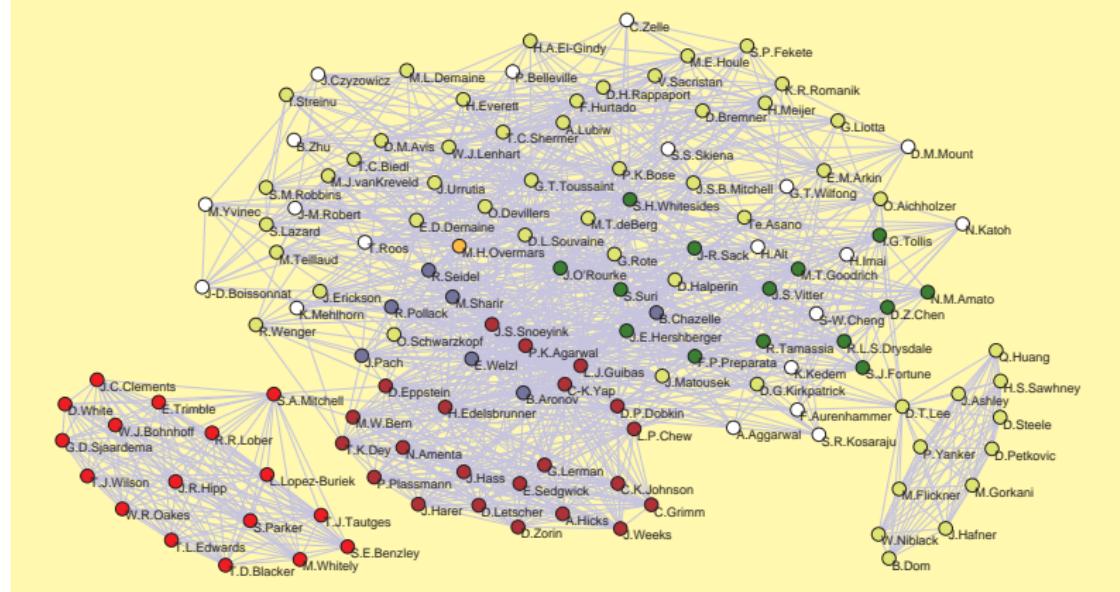
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$n=7343$, $M=11898$





p_S -core at level 46 in Computational Geometry network

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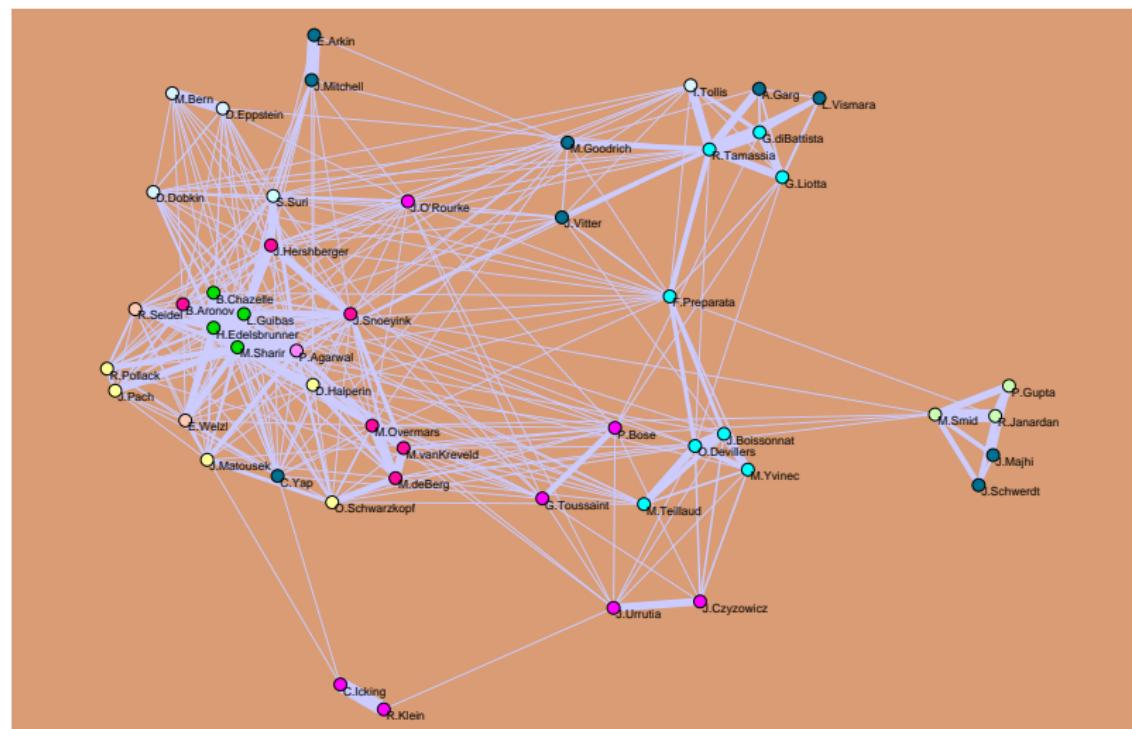
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Islands

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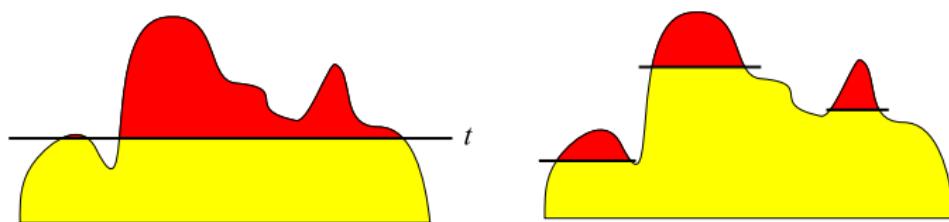
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If we represent a selected property of nodes / links as a height of nodes / links and we immerse the network into a water up to selected level we get *islands*. Varying the level we get different islands.



We developed very efficient algorithms to determine the islands hierarchy and to list all the islands of selected sizes.
See [details](#).



... Islands

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Islands are very general and efficient approach to determine the 'important' subnetworks in a given network.

We have to express the goals of our analysis with a related property of the nodes or weight of the links. Using this property we determine the islands of an appropriate size (in the interval from k to K , $[k, K]$).

In large networks we can get many islands which we have to inspect individually and interpret their content.

An important property of the islands is that they identify locally important subnetworks on different levels. Therefore they detect also emerging groups.



Bibliographic Coupling

Jaccard islands [15, 75]

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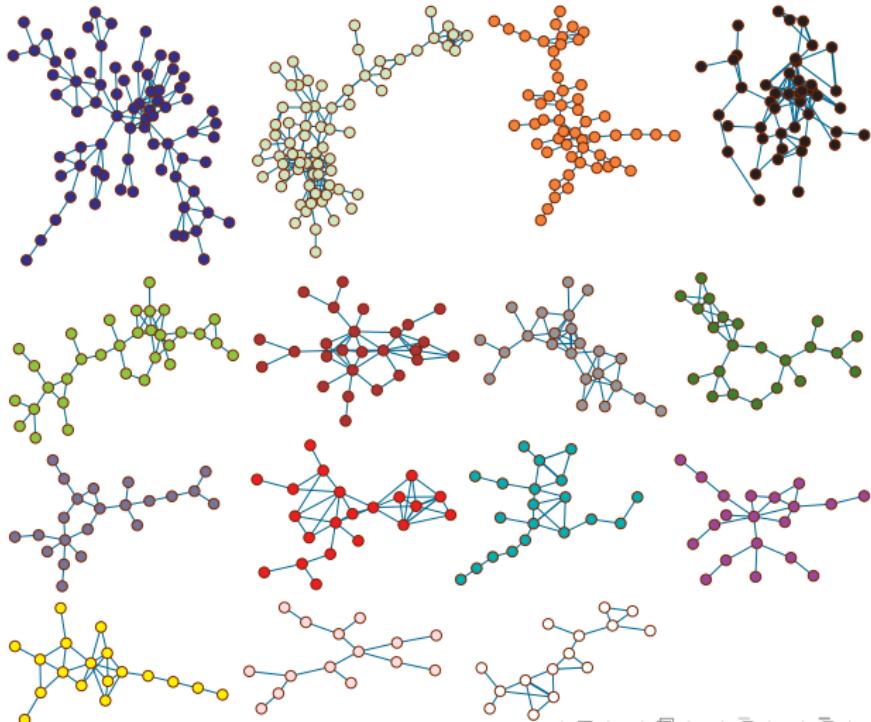
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Network BMc (2016): for "block model*" or "network cluster*" ...;
 $|W| = 5695, |A| = 13376, |J| = 1756, |K| = 10269$





Bibliographic Coupling

Jaccard island 4 (74)

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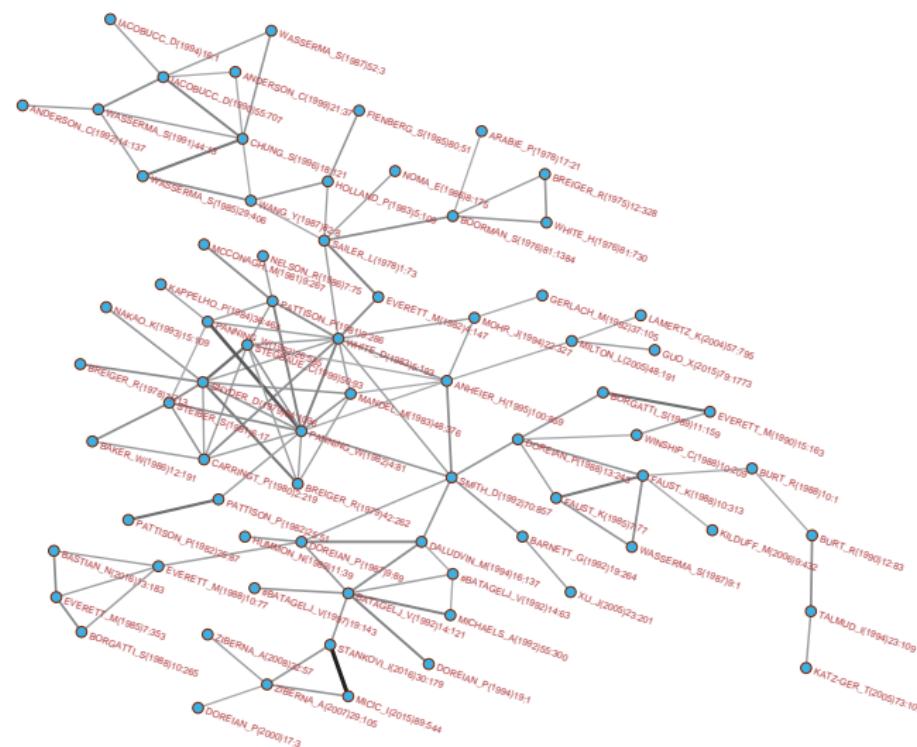
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Bibliographic Coupling

Jaccard islands 12 (23), 11 (22), 1 (18)

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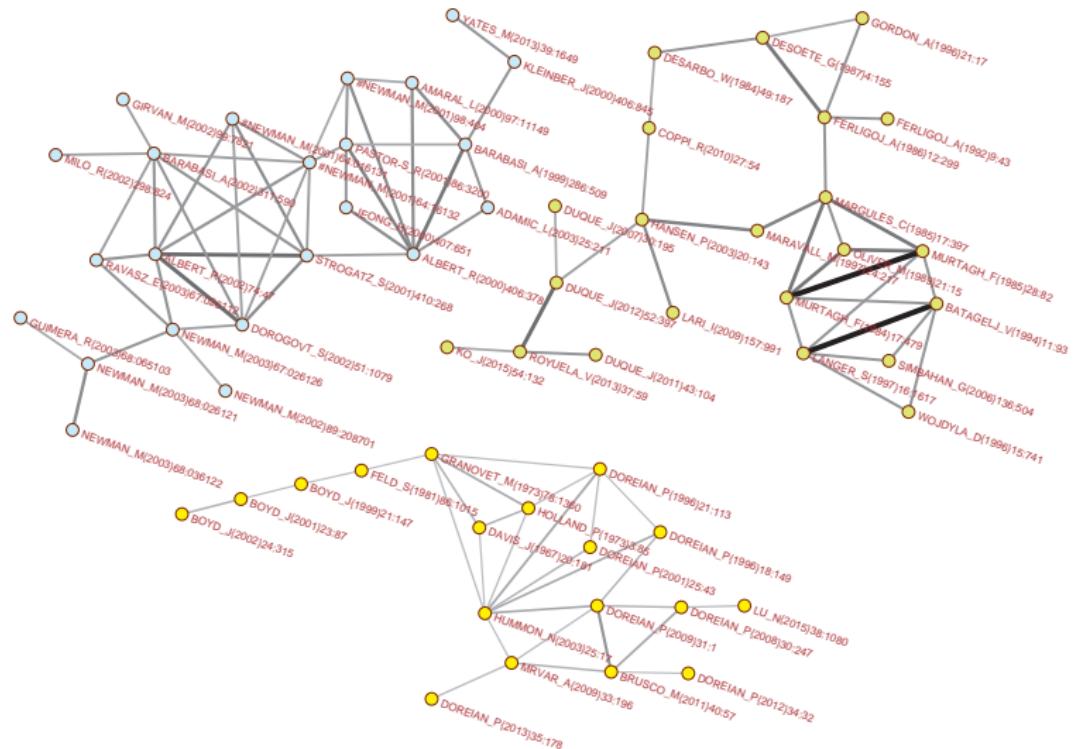
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Example: Islands for w_4

Charlie Brown and Adult

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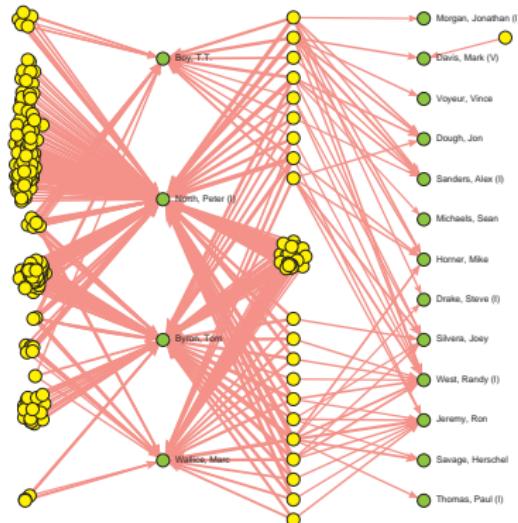
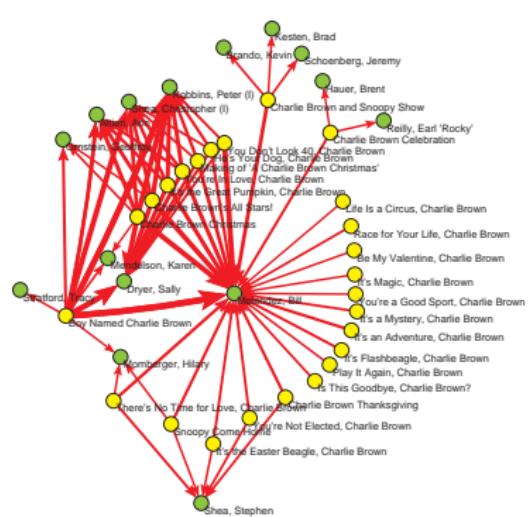
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Usually we are clustering the nodes of a given network.

There are different approaches:

- connectivity components from graph theory
- clustering with relational constraint
- blockmodeling



Graph theoretic approaches

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The basic decomposition of undirected graphs is to (weakly) connected components – partition of nodes (and links); and to (weakly) biconnected components – partition of links. For both, very efficient algorithms exist [[Cormen et al.\(2001\)](#)].

For directed graphs, the fundamental decomposition results can be found in [[Cartwright and Harary\(1956\)](#)]. If in a directed graph we shrink each strong component into a node the obtained reduced graph (*condensation*) is acyclic.

In the 1970s and 1980s, Matula studied different types of connectivities in graphs and the structures they induce [[Matula\(1977\)](#)]. In most cases the algorithms are too demanding to be used on larger graphs. A nice overview of connectivity algorithms can be found in [[Esfahanian\(2013\)](#)]. The graph partitioning problem has also several technical applications supported by special algorithms [[Kernighan and Lin\(1970\)](#)], [[Karypis and Kumar\(1998\)](#)], [[Grygorash et al.\(2006\)](#)].



Condensation

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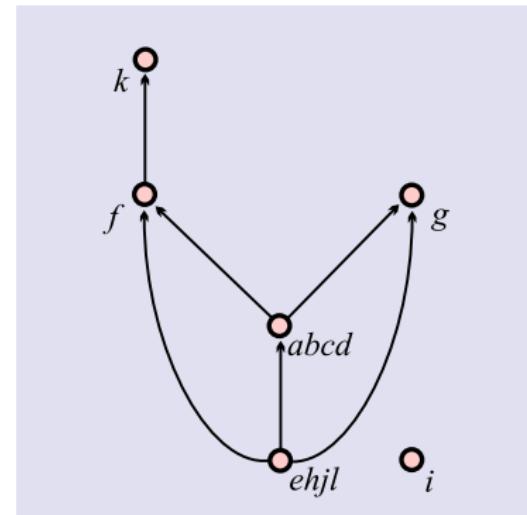
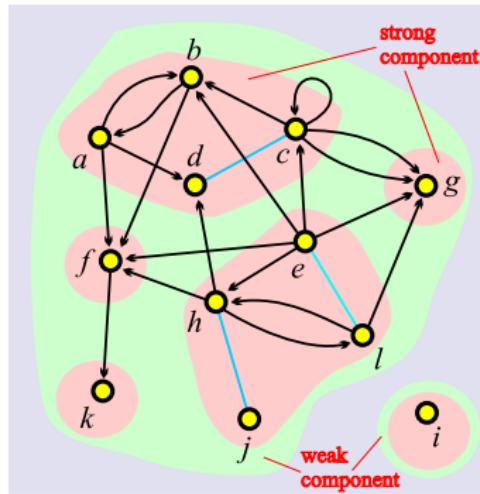
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If we shrink every strong component of a given graph into a node, delete all loops and identify parallel arcs the obtained *reduced* graph (*condensation*) is acyclic. For every acyclic graph an *ordering / level* function $i : \mathcal{V} \rightarrow \mathbb{N}$ exists s.t. $(u, v) \in \mathcal{A} \Rightarrow i(u) < i(v)$.



Clustering with relational constraint

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We shall deal with the *clustering problem* (Φ, P) :
Determine the clustering $\mathbf{C}^* \in \Phi$ for which

$$P(\mathbf{C}^*) = \min_{\mathbf{C} \in \Phi} P(\mathbf{C})$$

where \mathbf{U} is a finite *set of units*; C is a *cluster*, $\emptyset \subset C \subseteq \mathbf{U}$; $\mathbf{C} = \{C_i\}$ is a *clustering*; Φ is a set of *feasible clusterings*; and $P : \Phi \rightarrow \mathbb{R}_0^+$ is a *criterion function*.

The criterion function $P(\mathbf{C})$ combines "partial/local errors" into a "total error". Usually it takes the form: $P(\mathbf{C}) = \sum_{C \in \mathbf{C}} p(C)$. The cluster-error $p(C)$ is usually expressed using a *dissimilarity* $d : \mathcal{U} \times \mathcal{U} \rightarrow \mathbb{R}_0^+$.



Agglomerative method for relational constraints

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Suppose that the units are described by attribute data $a: \mathbf{U} \rightarrow [\mathbf{U}]$ and are related by a binary *relation* $R \subseteq \mathbf{U} \times \mathbf{U}$ that determine the *relational data* or *network* (\mathbf{U}, R, a) [Batagelj and Ferligoj(2000)].

We want to cluster the units according to some (dis)similarity of their descriptions, but also considering the relation R which imposes *constraints* on the set of feasible clusterings [Ferligoj and Batagelj(1982)], [Ferligoj and Batagelj(1983)], usually in the following form:

$$\Phi(R) = \{\mathbf{C} \in P(\mathbf{U}) : \text{each cluster } C \in \mathbf{C} \text{ induces a subgraph } (C, R \cap C \times C) \text{ in the graph } (\mathbf{U}, R) \text{ of the required type of connectedness}\}$$

Example: regionalization problem – group given territorial units into regions such that units inside the region will be similar and form contiguous part of the territory.

We can define different types of sets of feasible clusterings for the same relation R .



Types of connectedness

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Some examples of *types of relational constraints*, $\Phi^i(R)$, are
[Ferligoj and Batagelj(1983)]

clusterings	type of connectedness
$\Phi^1(R)$	weakly connected units
$\Phi^2(R)$	weakly connected units that contain at most one center
$\Phi^3(R)$	strongly connected units
$\Phi^4(R)$	clique
$\Phi^5(R)$	existence of a trail containing all the units of the cluster

In a directed graph a *trail* is a walk in which all arcs are distinct.

The set $R(X) = \{Y : X R Y\}$ is a *set of successors* of unit $X \in \mathbf{U}$ and, for a cluster $C \subseteq \mathbf{U}$, $R(C) = \bigcup_{X \in C} R(X)$. A set of units, $L \subseteq C$ is a *center* of a cluster C in the clustering of type $\Phi^2(R)$ iff the subgraph induced by L is strongly connected and $R(L) \cap (C \setminus L) = \emptyset$.

We can use both hierarchical and local optimization methods for solving some types of problems with relational constraint

[Ferligoj and Batagelj(1982), Ferligoj and Batagelj(1983),
Batagelj et al.(2014)].



Hierarchical method

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Here, we present only the hierarchical method:

1. $k := n; \mathbf{C}(k) := \{\{X\} : X \in \mathbf{U}\}; h_D(X) = 0, X \in \mathbf{U}$
2. **while** $\exists C_i, C_j \in \mathbf{C}(k) : (i \neq j \wedge \psi(C_i, C_j))$ **repeat**
 - 2.1. $(C_p, C_q) := \operatorname{argmin}\{D(C_i, C_j) : i \neq j \wedge \psi(C_i, C_j)\};$
 - 2.2. $C := C_p \cup C_q; k := k - 1; h_D(C) = D(C_p, C_q)$
 - 2.3. $\mathbf{C}(k) := \mathbf{C}(k + 1) \setminus \{C_p, C_q\} \cup \{C\};$
 - 2.4. determine $D(C, C_s)$ for all $C_s \in \mathbf{C}(k)$; and
 - 2.5. **adjust the relation R as required by the clustering type**
3. $m := k$

The **fusibility condition** $\psi(C_i, C_j)$ is equivalent to $C_i RC_j$ for tolerant, leader and strict method; and to $C_i RC_j \wedge C_j RC_i$ for two-way method.

To get clustering procedures, it is necessary to further elaborate the questions how to adjust the relation after joining two clusters and how to update the dissimilarity $D(C, C_s)$.



Types of relational constraints

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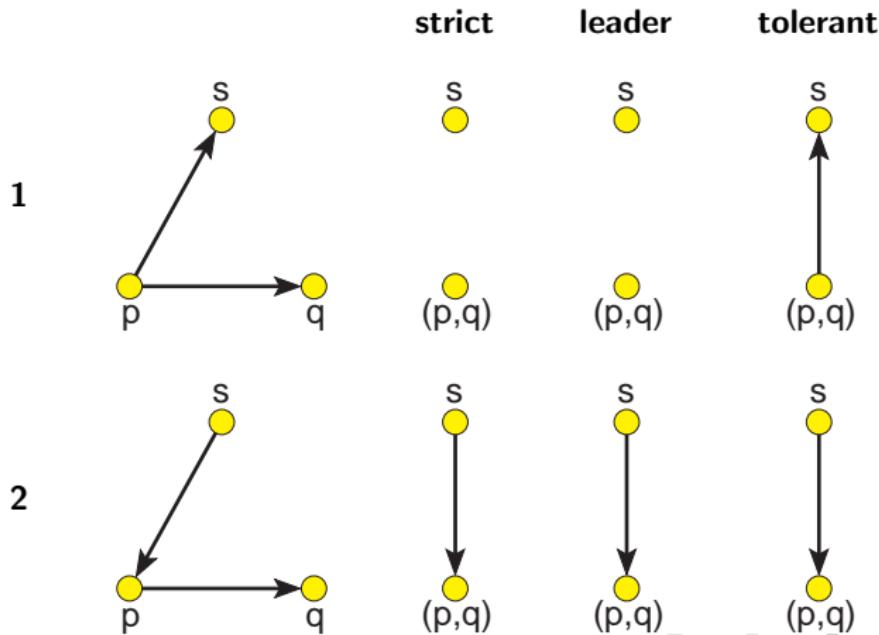
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In figures four adjusting *strategies* are presented. They are compatible with the corresponding types of constraints: Φ^1 – tolerant, Φ^2 – leader, Φ^4 – strict, and Φ^5 – two-way.





Types of relational constraints

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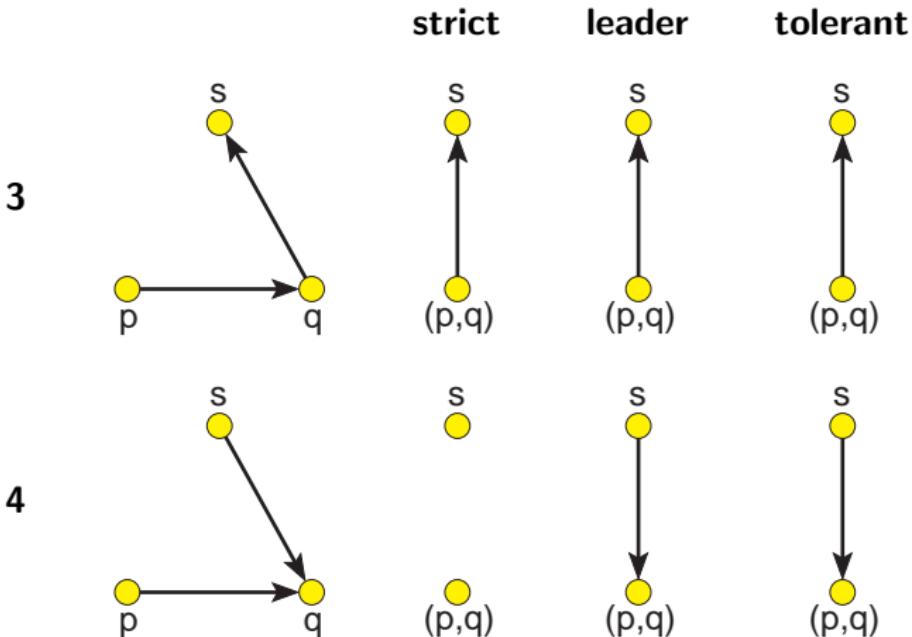
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The two-way strategy

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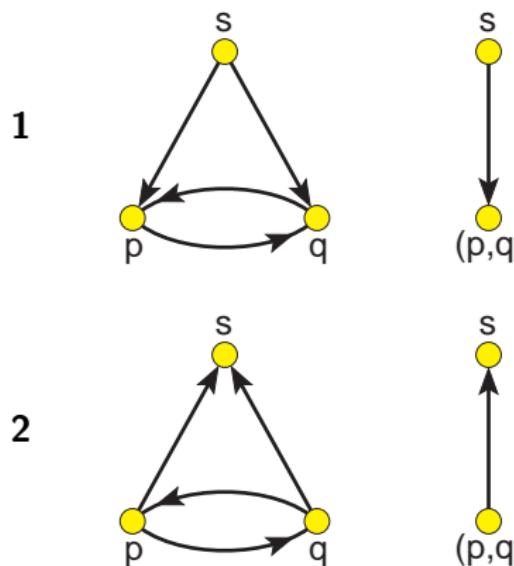
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An example of application of strategies

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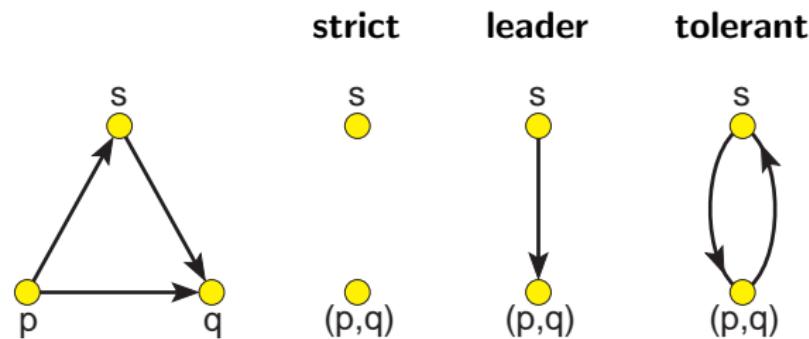
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Adjusting dissimilarity

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In the original approach (Ferligoj 1983), a complete dissimilarity matrix is needed. To obtain fast algorithms that can be applied to large data sets we propose *considering only the dissimilarities between linked units*. For large data sets, we assume that the relation R is *sparse*.

For step 2.4, “determine $D(C, C_s)$ for all $C_s \in \mathbf{C}(k)$ ” in the agglomerative procedure requires the adjustment of dissimilarities – computing the dissimilarities between a new cluster C and other remaining clusters. In the case of the relational constraints, we can limit the computation only to clusters that are related/linked to C .

This can be done efficiently in the following two ways:

- A: we define a dissimilarity $D(S, T)$ between clusters S and T that allows quick updates (as in Lance-Williams formula).
- B: to each cluster we assign a representative and can efficiently compute a representative of merged clusters along with a dissimilarity between clusters in terms of their representatives.



The first approach

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We will present only the first approach. Let (\mathbf{U}, R) , $R \subseteq \mathbf{U} \times \mathbf{U}$ be a graph and $\emptyset \subset S, T \subset \mathbf{U}$ and $S \cap T = \emptyset$. We call a *block* of relation R for S and T its part $R(S, T) = R \cap S \times T$. The *symmetric closure* of relation R we denote with $\hat{R} = R \cup R^{-1}$. It holds:

$$\hat{R}(S, T) = \hat{R}(T, S).$$

For all dissimilarities between clusters $D(S, T)$ we set:

$$D(\{s\}, \{t\}) = \begin{cases} d(s, t) & s \hat{R} t \\ \infty & \text{otherwise} \end{cases}$$

where d is a selected dissimilarity between units.

Minimum

$$D_{\min}(S, T) = \min_{(s,t) \in \hat{R}(S, T)} d(s, t)$$

$$D_{\min}(S, T_1 \cup T_2) = \min(D_{\min}(S, T_1), D_{\min}(S, T_2))$$



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Maximum

$$D_{\max}(S, T) = \max_{(s,t) \in \hat{R}(S, T)} d(s, t)$$

$$D_{\max}(S, T_1 \cup T_2) = \max(D_{\max}(S, T_1), D_{\max}(S, T_2))$$

Average

$w : V \rightarrow \mathbb{R}$ – is a weight on units; for example $w(v) = 1$, for all $v \in \mathbf{U}$.

$$D_a(S, T) = \frac{1}{w(\hat{R}(S, T))} \sum_{(s,t) \in \hat{R}(S, T)} d(s, t)$$

$$w(\hat{R}(S, T_1 \cup T_2)) = w(\hat{R}(S, T_1)) + w(\hat{R}(S, T_2))$$

$$D_a(S, T_1 \cup T_2) = \frac{w(\hat{R}(S, T_1))}{w(\hat{R}(S, T_1 \cup T_2))} D_a(S, T_1) + \frac{w(\hat{R}(S, T_2))}{w(\hat{R}(S, T_1 \cup T_2))} D_a(S, T_2)$$



Reducibility

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The dissimilarity D has the *reducibility* property (Bruynooghe, 1977) iff for all C_p , C_q and C_s

$$D(C_p, C_q) \leq \min(D(C_p, C_s), D(C_q, C_s)) \Rightarrow$$

$$\min(D(C_p, C_s), d(C_q, C_s)) \leq D(C_p \cup C_q, C_s)$$

Theorem: If a dissimilarity D has the reducibility property then h_D is a level function.

Theorem: Dissimilarities D_{min} , D_{max} and D_a have the reducibility property.

All three dissimilarities have the reducibility property. In this case, also the *nearest neighbor network* for a given network is preserved after joining the nearest clusters. This allows us to develop a very fast agglomerative hierarchical clustering procedure [[Murtagh\(1985\)](#)] and

[[Batagelj et al.\(2014\)](#)] (Subsection 9.3.5). It is available in the program [Pajek](#). The same approach can be extended also to clustering of links of network [[Bodlaj and Batagelj\(2015\)](#)] by transforming a given network into its line-graph in which the original links become new nodes.



Examples

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To illustrate the hierarchical clustering with relational constraints, we use three examples:

- Clustering the US states according to the selected variables into geographically contiguous clusters.
- Clustering of 3000 US counties.
- Clustering the authors from the network clustering literature (see Chapter 2) according to their citations into clusters with a single leaders group.



Example: US 2016 data

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From the site <https://datausa.io/profile/geo/united-states/> we obtained the data about US states in 2016 for the following variables: crime – homicide deaths, violent – violent crimes, smoking – adult smoking prevalence, drinking – excessive drinking prevalence, diabetes – diabetes prevalence, opioid – opioid overdose death rate, and income – median household income.

In his book *The Stanford GraphBase* [Knuth(1993)] Knuth provided a description of neighboring relation for the contiguous part of USA contiguous-usa.dat (without Alaska and Hawaii). Because of missing data we removed also Washington DC.



US 2016 data

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We first applied the Ward's hierarchical clustering method using the squared Euclidean dissimilarity between units with standardized variables. On the basis of the corresponding dendrogram (see the left top part of the figure in next slide, we considered a clustering into 5 clusters:

$$C_1 = \{AL, AR, LA, MS, NM, TN, SC\},$$

$$C_2 = \{AZ, CA, DE, FL, GA, IL, IN, KS, MI, MO, NC, NV, NY, OH, OK, PA, TX\},$$

$$C_3 = \{CO, IA, ID, ME, MN, MT, ND, NE, OR, SD, WY, RI, WI, WA, VT\},$$

$$C_4 = \{CT, MA, MD, NH, NJ, UT, VA\},$$

$$C_5 = \{KY, WV\}.$$

The bottom left part of the figure shows the dissimilarity matrix reordered according to the obtained clustering.



US 2016 data

Ward clustering (left) and Maximum/Tolerant clustering (right)

Clustering in networks

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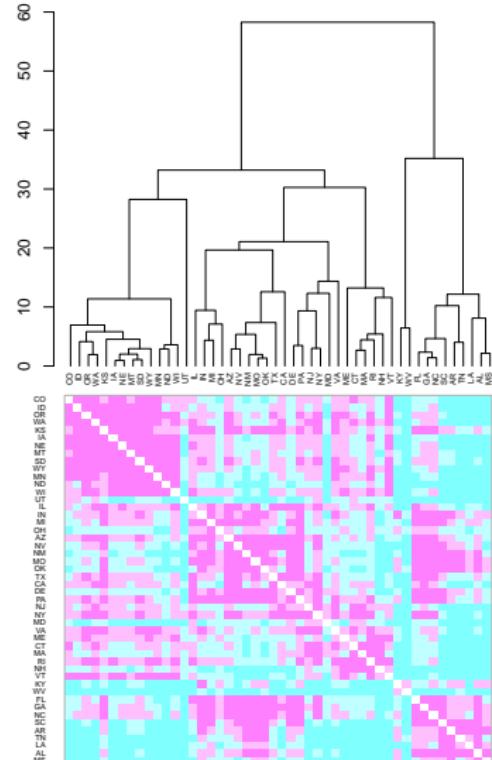
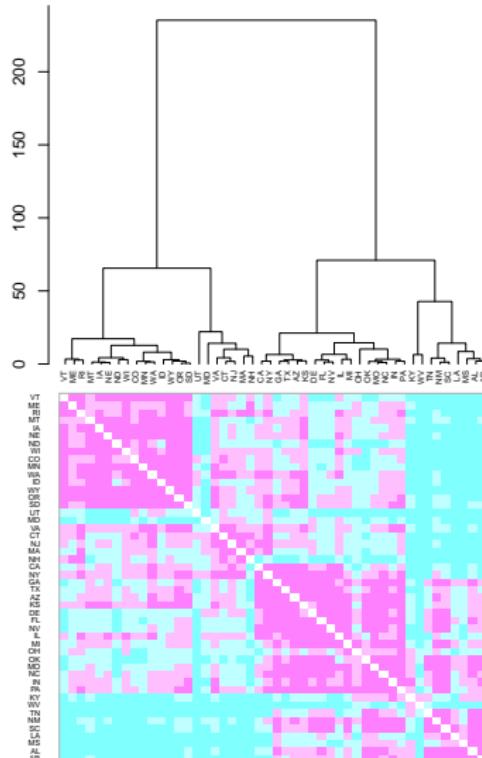
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Using hierarchical clustering with relational constraints with the Maximum/Tolerant strategy, we get a clustering that considers a given dissimilarity among units and produces clusters that form contiguous regions. On the basis of the dendrogram in the right top part of the previous figure, we considered a clustering into 6 clusters:

$$C_1 = \{AL, AR, FL, GA, LA, MS, NC, TN, SC\},$$

$$C_2 = \{AZ, CA, DE, IL, IN, MD, MI, MO, NJ, NM, NV, NY, OH, OK, PA, VA, TX\},$$

$$C_3 = \{CO, IA, ID, KS, MN, MT, ND, NE, OR, SD, WY, WI, WA\},$$

$$C_4 = \{CT, MA, ME, NH, RI, VT\},$$

$$C_5 = \{KY, WV\},$$

$$C_6 = \{UT\}.$$

The clusters of the obtained clustering/partition induce connected subnetworks, as expected. See the right network in the next figure.



US 2016 data

Ward clustering (left) and Maximum/Tolerant clustering (right)

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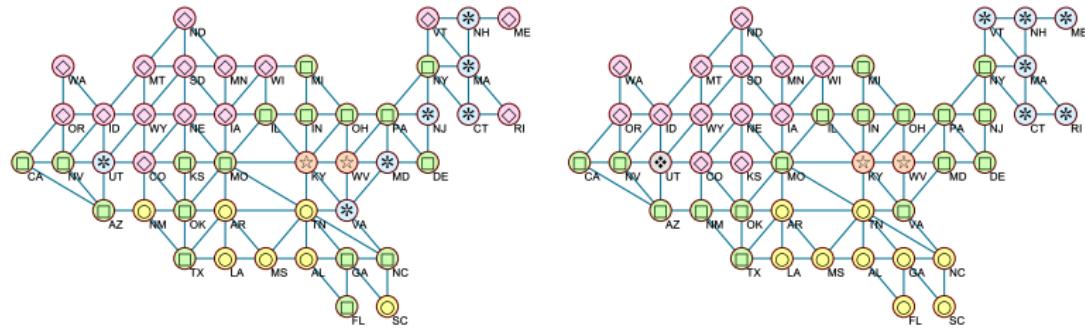
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In the left part of figure the obtained clustering/partition is represented with node colors on the network of neighboring US states. It is clear that the subnetworks induced by clusters are not all connected (forming contiguous regions). For example, the subnetwork induced by C_4 has 4 components $\{CT, MA, NH\}$, $\{NJ\}$, $\{MD, VA\}$ and $\{UT\}$. The subnetworks in the right part of figure are all connected.



US 2016 data

Maximum/Tolerant partition on the map

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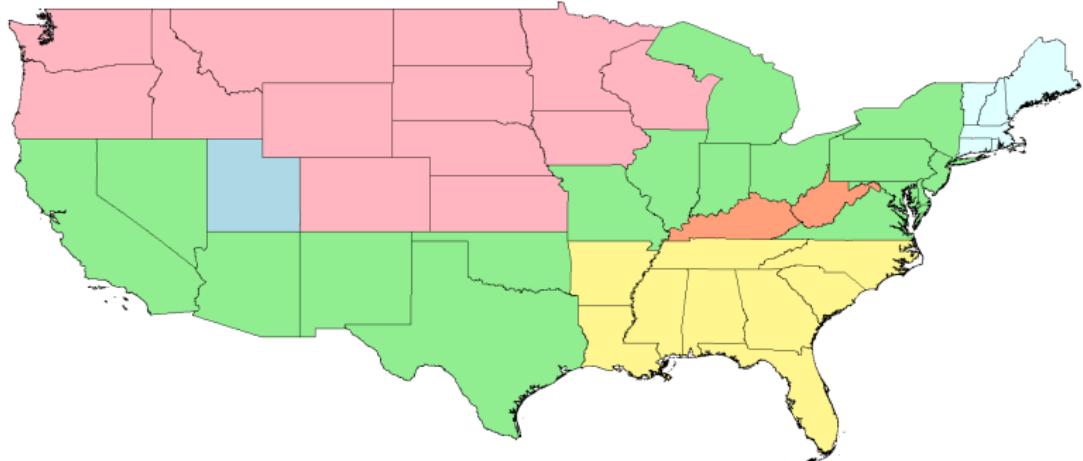
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US 2016 data

Averages for Ward's clustering

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To interpret the obtained clusters we produced a table with averages of each variable over each cluster for raw and standardized units. The interpretation is left to the reader.

	<i>crime</i>	<i>violent</i>	<i>smoking</i>	<i>drinking</i>	<i>diabetes</i>	<i>opioid</i>	<i>income</i>
C_1	8.7857	496.45	0.2251	0.1447	0.1173	10.857	44631
C_2	5.9118	427.96	0.1826	0.1714	0.1048	13.853	53535
C_3	2.6333	239.99	0.1755	0.2023	0.0847	10.767	55908
C_4	3.8000	300.99	0.1521	0.1699	0.0903	23.657	69947
C_5	4.9000	273.02	0.2645	0.1195	0.1210	33.500	43727
<i>all</i>	4.9563	354.23	0.1856	0.1748	0.0989	14.700	54963
C_1	1.5723	1.0924	1.1363	-0.9927	1.2826	-0.4229	-1.1990
C_2	0.3923	0.5663	-0.0843	-0.1123	0.4134	-0.0932	-0.1657
C_3	-0.9537	-0.8776	-0.2887	0.9094	-0.9924	-0.4328	0.1097
C_4	-0.4747	-0.4090	-0.9605	-0.1617	-0.6005	0.9856	1.7389
C_5	-0.0231	-0.6239	2.2668	-1.8260	1.5416	2.0687	-1.3039



US 2016 data

Averages for Maximum/Tolerant clustering

Clustering in
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	<i>crime</i>	<i>violent</i>	<i>smoking</i>	<i>drinking</i>	<i>diabetes</i>	<i>opioid</i>	<i>income</i>
C_1	8.1667	462.00	0.2140	0.1488	0.1160	10.788	46104
C_2	5.9701	425.91	0.1804	0.1719	0.1032	15.794	57054
C_3	2.8385	265.25	0.1765	0.2005	0.0852	7.408	55913
C_4	2.3833	234.31	0.1660	0.1932	0.0880	26.717	62751
C_5	4.9000	273.02	0.2645	0.1195	0.1210	33.500	43727
C_6	1.9000	204.72	0.0970	0.1210	0.0710	16.400	62518

Blockmodeling

	<i>crime</i>	<i>violent</i>	<i>smoking</i>	<i>drinking</i>	<i>diabetes</i>	<i>opioid</i>	<i>income</i>
C_1	1.3181	0.8278	0.8162	-0.8584	1.1929	-0.4304	-1.0281
C_2	0.4165	0.5506	-0.1502	-0.0928	0.3026	0.1204	0.2427
C_3	-0.8695	-0.6836	-0.2620	0.8523	-0.9584	-0.8024	0.1103
C_4	-1.0564	-0.9212	-0.5625	0.6087	-0.7599	1.3223	0.9039
C_5	-0.0231	-0.6239	2.2668	-1.8260	1.5416	2.0687	-1.3039
C_6	-1.2548	-1.1485	-2.5445	-1.7764	-1.9456	0.1871	0.8767

References

	<i>crime</i>	<i>violent</i>	<i>smoking</i>	<i>drinking</i>	<i>diabetes</i>	<i>opioid</i>	<i>income</i>
C_1	1.3181	0.8278	0.8162	-0.8584	1.1929	-0.4304	-1.0281
C_2	0.4165	0.5506	-0.1502	-0.0928	0.3026	0.1204	0.2427
C_3	-0.8695	-0.6836	-0.2620	0.8523	-0.9584	-0.8024	0.1103
C_4	-1.0564	-0.9212	-0.5625	0.6087	-0.7599	1.3223	0.9039
C_5	-0.0231	-0.6239	2.2668	-1.8260	1.5416	2.0687	-1.3039
C_6	-1.2548	-1.1485	-2.5445	-1.7764	-1.9456	0.1871	0.8767



Example: US counties neighboring relation

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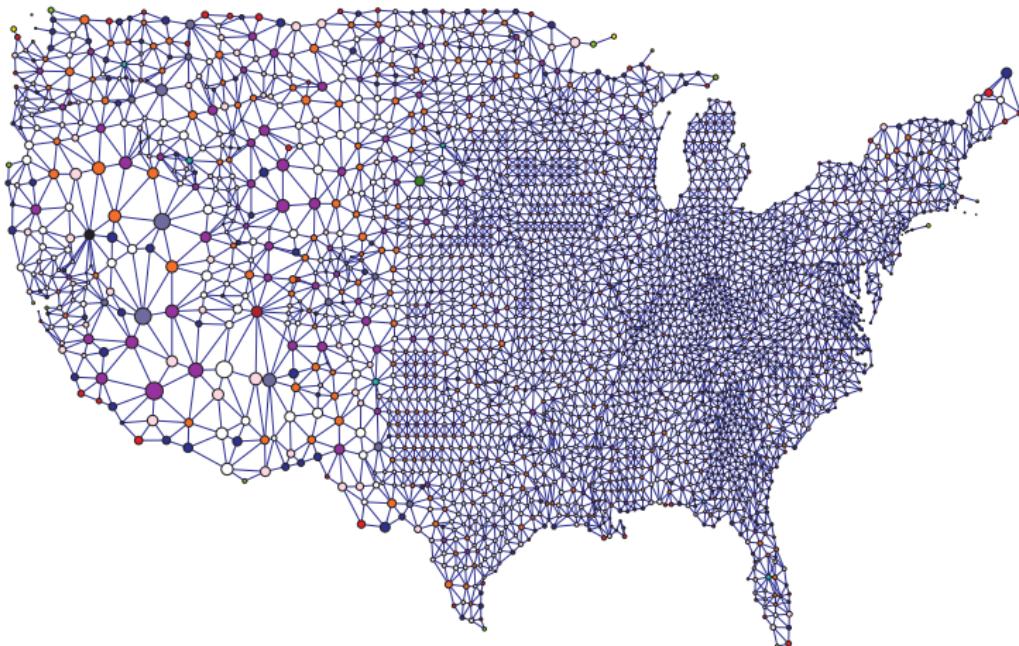
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Example: US counties

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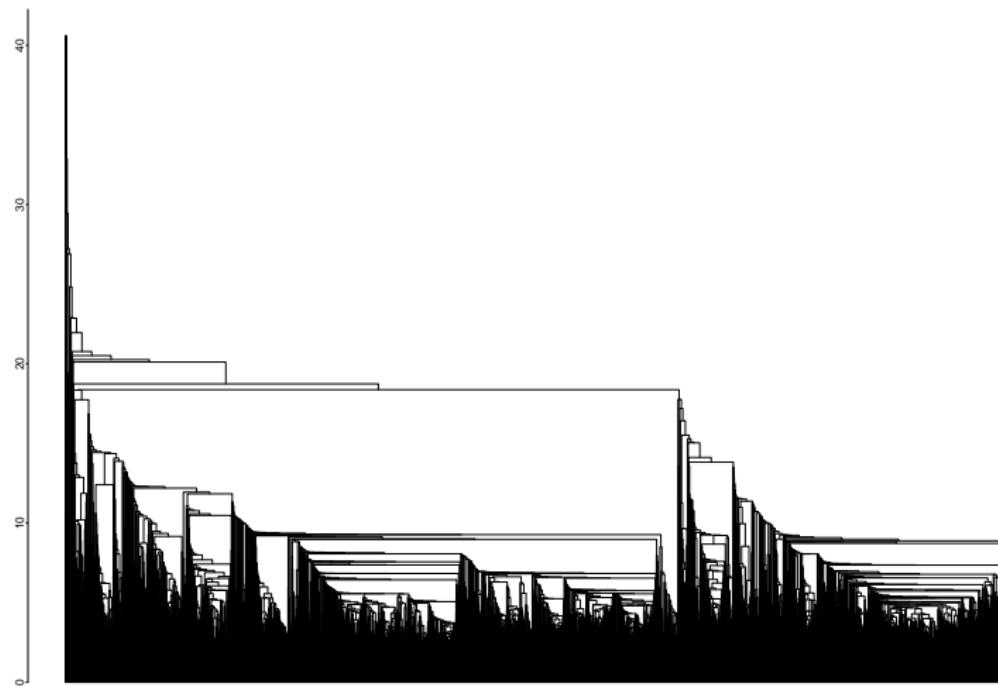
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Example: US partition in 8 clusters – regions

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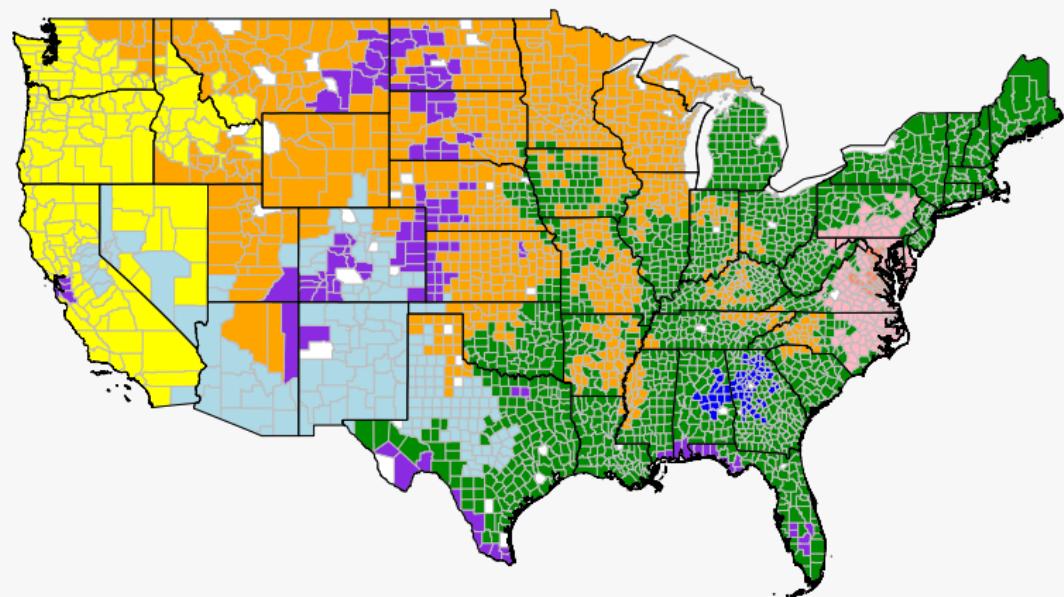
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We obtained many clusters. In the picture, we preserved only the largest eight regions with at least 20 counties. Smaller regions are colored with violet and the outliers with white.



Example: Citations among authors from the network clustering literature

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We consider the bibliometric data on the network clustering literature. We analyze the normalized network of citations among authors

nAcite = $n(\mathbf{WAc})^T * n(\mathbf{CiteC}) * n(\mathbf{WAc})$. The weight **nAcite**[u, v] of the arc (u, v) is equal to the fractional share of works co-authored by u that are citing a work co-authored by v .

In this example, we identified clusters such that the corresponding induced subnetworks are connected and contain a single center – type Φ^2 . The **nAcite** weights are similarities, $s \in [\infty, 0]$. To convert them to dissimilarities d , different transformations can be used, including:

$d = \frac{s_{max}}{s} - 1 \in [0, \infty]$ or $d = 1 - \frac{s}{s_{max}} \in [0, 1]$. We selected the second option with $s_{max} = 2.52$.

On the obtained network, we applied, in Pajek, the hierarchical clustering with relational constraints procedure with the Maximum/Leader strategy and determined the partition of units into clusters of size at most 50. There are 257 such clusters. To reduce their number, we decided to consider only clusters with at least 20 units. There are 57 such clusters. Most of the subnetworks of clusters for the Leader strategy have almost acyclic structure. This has to be considered also in their visualization.





Citations in network clustering literature

Wasserman's subnetwork

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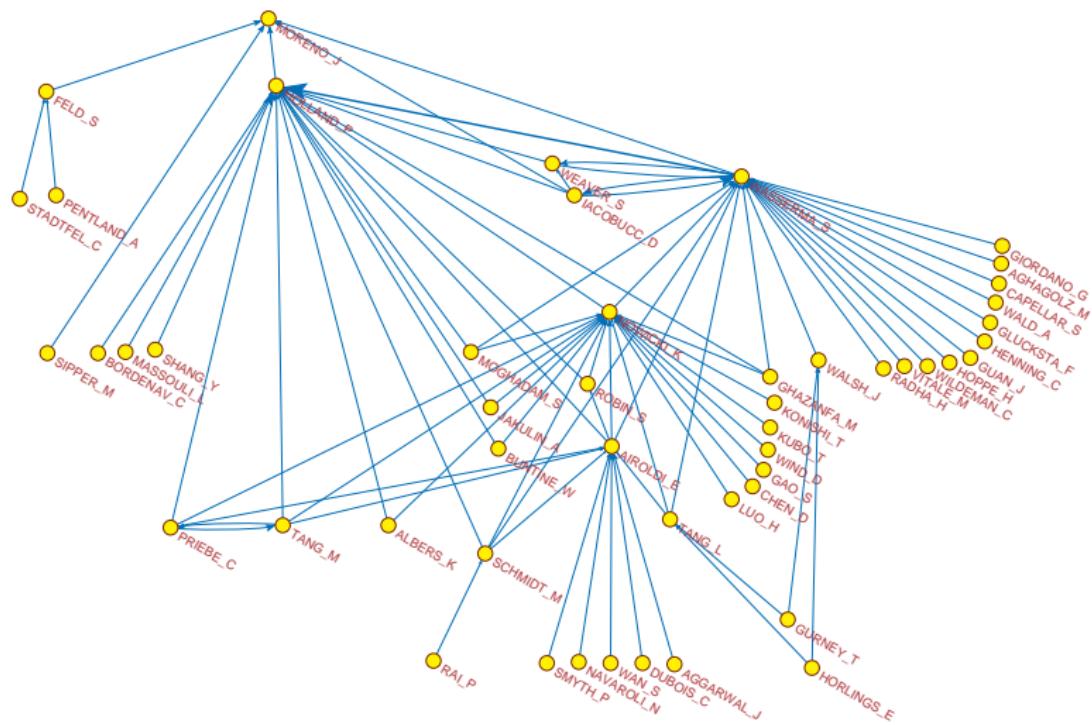
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Citations in network clustering literature

Ward's subnetwork

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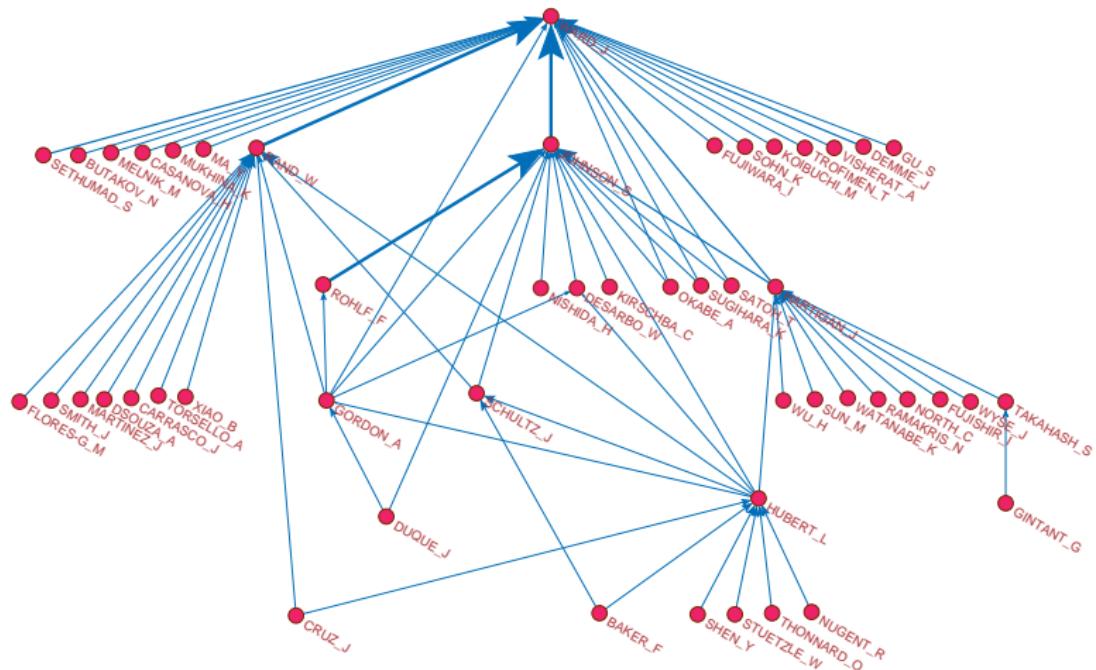
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Citations in network clustering literature

Harary's subnetwork

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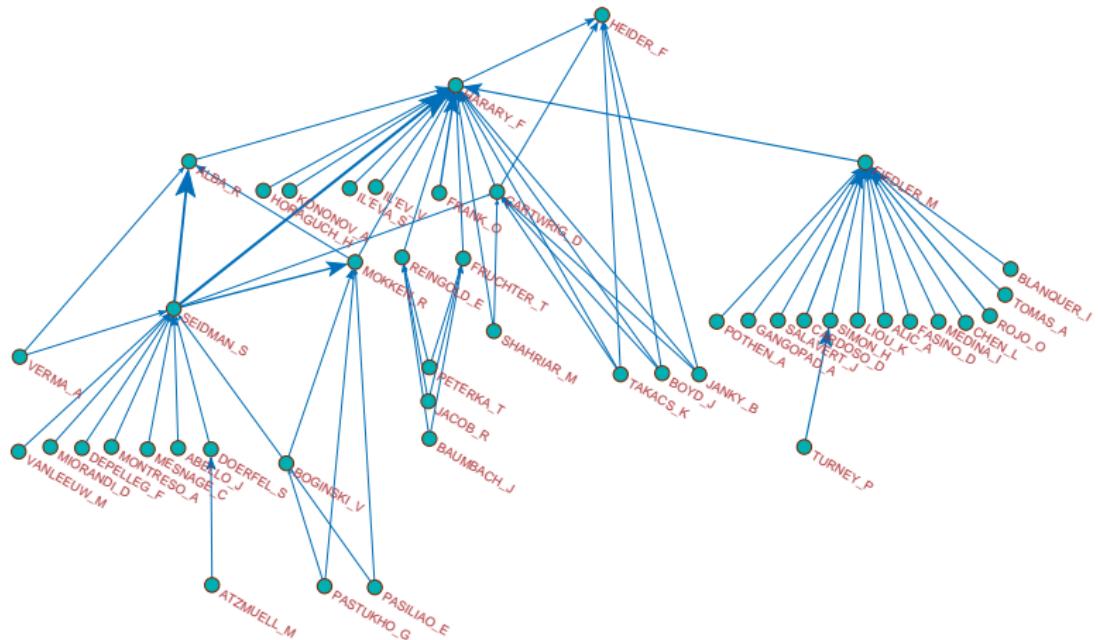
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Citations in network clustering literature

Batagelj + Ferligoj's subnetwork

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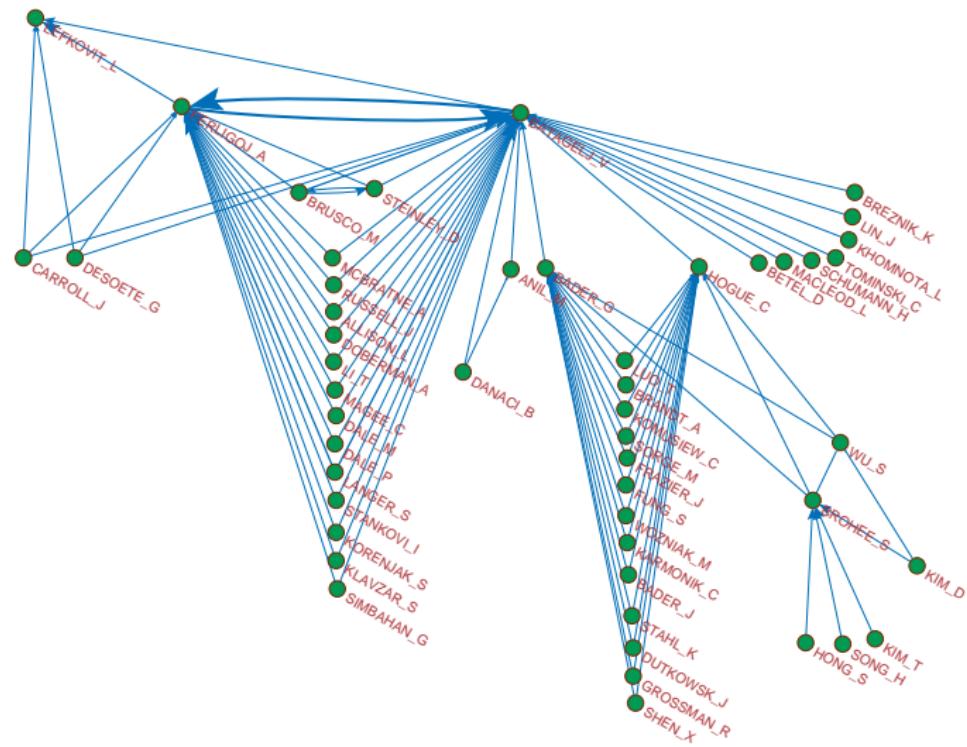
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Matrix rearrangement view on blockmodeling

Snyder & Kick's World trade network / $n = 118$, $m = 514$

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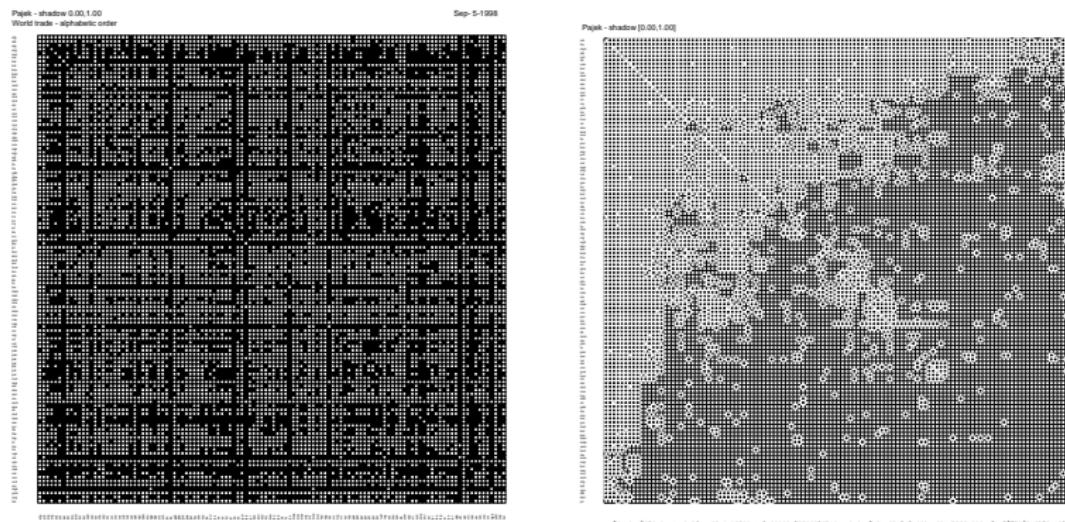
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Alphabetic order of countries (left) and rearrangement (right)



Blockmodeling as a clustering problem

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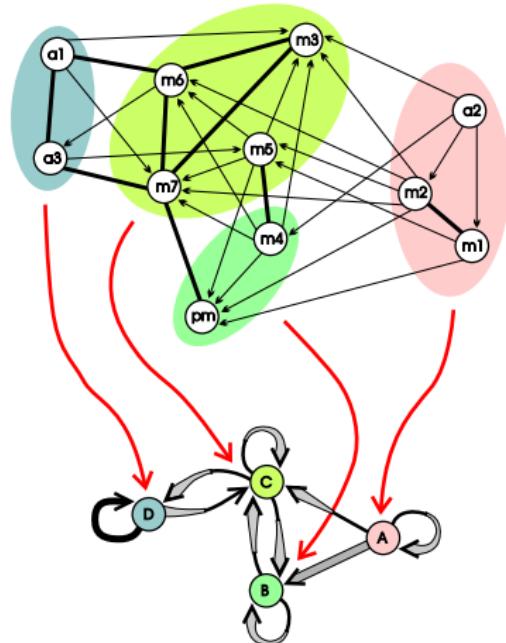
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The goal of *blockmodeling* is to reduce a large, potentially incoherent network to a smaller comprehensible structure that can be interpreted more readily. Blockmodeling, as an empirical procedure, is based on the idea that units in a network can be grouped according to the extent to which they are equivalent, according to some *meaningful* definition of equivalence.





Cluster, clustering, blocks

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One of the main procedural goals of blockmodeling is to identify, in a given network $\mathcal{N} = (\mathbf{U}, R)$, $R \subseteq \mathbf{U} \times \mathbf{U}$, *clusters* (classes) of units that share structural characteristics defined in terms of R . The units within a cluster have the same or similar connection patterns to other units. They form a *clustering* $\mathbf{C} = \{C_1, C_2, \dots, C_k\}$ which is a *partition* of the set \mathbf{U} . Each partition determines an equivalence relation (and vice versa). Let us denote by \sim the relation determined by partition \mathbf{C} .

A clustering \mathbf{C} partitions also the relation R into *blocks*

$$R(C_i, C_j) = R \cap C_i \times C_j$$

Each such block consists of units belonging to clusters C_i and C_j and all arcs leading from cluster C_i to cluster C_j . If $i = j$, a block $R(C_i, C_i)$ is called a *diagonal* block.



Clustering in Graphs and Networks

Clustering in networks

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Since in a graph $\mathbf{G} = (V, L)$, we have two kinds of objects – nodes and links we can think about *clustering of nodes* and *clustering of links*. Usually we deal with clustering of nodes.

Again, we use the standard clustering methods – provided that we have an appropriate definition of dissimilarity between nodes. The usual approach is to define a vector description $[v] = [t_1, t_2, \dots, t_m]$ of each node $v \in V$, and then use some standard dissimilarity, δ , on \mathbb{R}^m to compare these vectors $d(u, v) = \delta([u], [v])$.

We can assign to each node v different neighborhoods, such as $N(v) = \{u \in V : (v, u) \in L\}$, and other sets. In these cases, the dissimilarities between sets are used on them.

For a given graph $\mathbf{G} = (V, L)$, a property $t : V \rightarrow \mathbb{R}$ is *structural* iff for every automorphism φ of \mathbf{G} it holds

$$\forall v \in V : t(v) = t(\varphi(v))$$



Clustering Graphs and Networks

indirect approach

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Examples of such properties are:

$t(v)$ = degree (the number of neighbors) of node v

$t(v)$ = the number of nodes at distance d from node v

$t(v)$ = the number of triads of type x at node v

$t(v)$ = the number of graphlets of type x at node v [Pržulj(2007)]

For a given graph $\mathbf{G} = (V, L)$, a *property of pairs of nodes*

$q : V \times V \rightarrow \mathbb{R}$ is *structural* if for every automorphism φ of \mathbf{G} , it holds:

$$\forall u, v \in V : q(u, v) = q(\varphi(u), \varphi(v))$$

Some examples of structural properties of pairs of nodes are:

$q(u, v) = \text{if } (u, v) \in L \text{ then } 1 \text{ else } 0;$

$q(u, v) = \text{number of common neighbors of units } u \text{ and } v;$

$q(u, v) = \text{length of the shortest path from } u \text{ to } v.$



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Using a selected property of pairs of nodes, q , we can describe each node u with a vector

$$[u] = [q(u, v_1), q(u, v_2), \dots, q(u, v_n), q(v_1, u), \dots, q(v_n, u)]$$

and we define the dissimilarity between nodes $u, v \in V$ as
 $d(u, v) = \delta([u], [v])$.

Corrected dissimilarities based on properties of pairs of nodes for measuring the similarity between nodes v_i and v_j ($p \geq 0$) must be used [**Doreian, Batagelj, and Ferligoj(2005)**] such as:

The corrected Manhattan distance:

$$d_c(p)(v_i, v_j) = \sum_{\substack{s=1 \\ s \neq i, j}}^n (|q_{is} - q_{js}| + |q_{si} - q_{sj}|) + p \cdot (|q_{ii} - q_{jj}| + |q_{ij} - q_{ji}|)$$

The corrected Euclidean distance:

$$d_e(p)(v_i, v_j) = \sqrt{\sum_{\substack{s=1 \\ s \neq i, j}}^n ((q_{is} - q_{js})^2 + (q_{si} - q_{sj})^2) + p \cdot ((q_{ii} - q_{jj})^2 + (q_{ij} - q_{ji})^2)}$$



Clustering Graphs and Networks

A direct approach – blockmodeling

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A partition $\mathbf{C} = \{C_i\}$ splits the set of links (arcs) $L \subseteq V \times V$ into **blocks** $B_{ij} = L \cap C_i \times C_j$ – a subgraph of arcs from cluster C_i to cluster C_j . In blockmodeling, analysts adopting this approach attempt to find partitions producing blocks of selected types (complete, empty, regular, etc.), while allowing for some ‘errors’ in the form of links not consistent with the specified block types

[[Doreian, Batagelj, and Ferligoj\(2005\)](#)]. Usually, the relocation method is used for solving the corresponding optimization problems.

Not all clustering problems can be expressed by a simple criterion function. In some applications, a **general** criterion function of the form

$$P(\mathbf{C}) = \bigoplus_{(C_1, C_2) \in \mathbf{C} \times \mathbf{C}} q(C_1, C_2), \quad q(C_1, C_2) \geq 0$$

is needed. We use this in the optimizational approach to blockmodeling [[Doreian, Batagelj, and Ferligoj\(2005\)](#)].



Example: Support network among informatics students

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The analyzed network consists of social support exchange relation among fifteen students of the Social Science Informatics fourth year class (2002/2003) at the Faculty of Social Sciences, University of Ljubljana. Interviews were conducted in October 2002. Support relation among students was identified by the following question:

Introduction: You have done several exams since you are in the second class now. Students usually borrow studying material from their colleagues.

Enumerate (list) the names of your colleagues that you have most often borrowed studying material from. (The number of listed persons is not limited.)

Nodes represent students in the class; circles – girls, squares – boys. Opposite pairs of arcs are replaced by edges.



Indirect approach

Clustering in networks

V. Batagelj

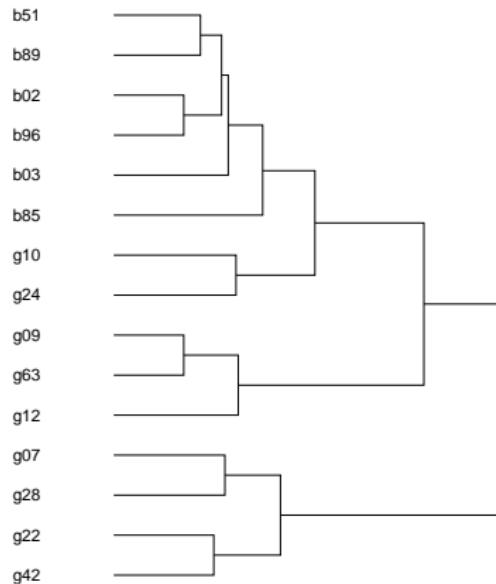
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Using *Corrected Euclidean-like dissimilarity* and *Ward clustering method* we obtain the following dendrogram.

From it we can determine the number of clusters: 'Natural' clusterings correspond to clear 'jumps' in the dendrogram.

If we select 3 clusters we get the partition **C**.

$$\mathbf{C} = \{\{b51, b89, b02, b96, b03, b85, g10, g24\}, \\ \{g09, g63, g12\}, \{g07, g28, g22, g42\}\}$$



Partition in 3 clusters

Clustering in networks

V. Batagelj

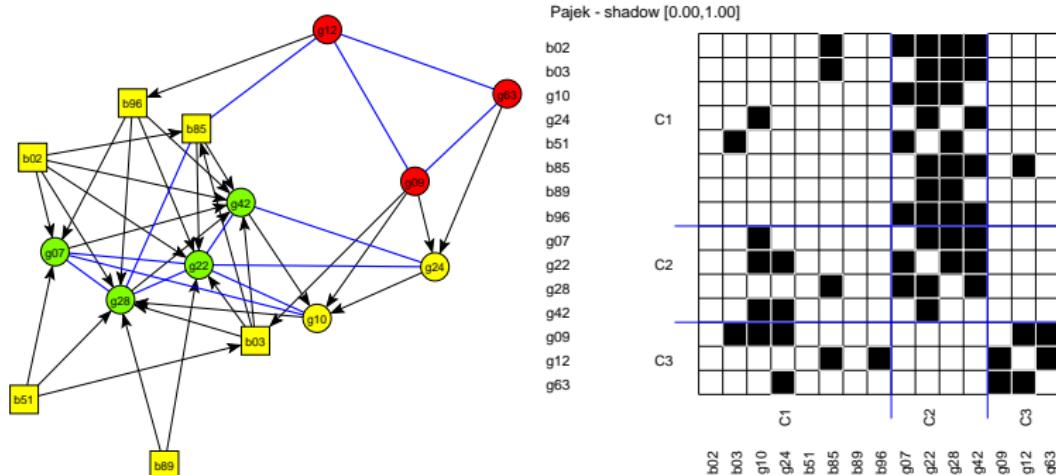
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The partition can be used also to reorder rows and columns of the matrix representing the network. Clusters are divided using blue vertical and horizontal lines.



Generalized Blockmodeling

Clustering in networks

V. Batagelj

Networks

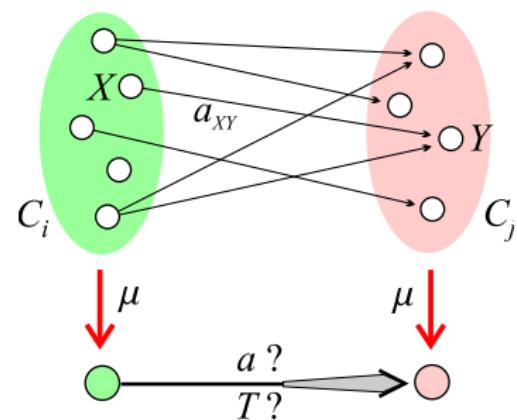
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A **blockmodel** consists of structures obtained by identifying all units from the same cluster of the clustering **C**. For an exact definition of a blockmodel we have to be precise also about which blocks produce an arc in the **reduced graph** and which do not, and of what **type**. Some types of connections are presented in the figure on the next slide. The reduced graph can be represented by relational matrix, called also **image matrix**.





Block Types

Clustering in networks

V. Batagelj

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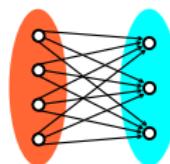
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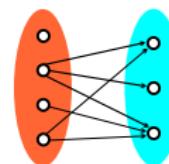
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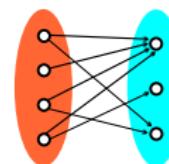
complete



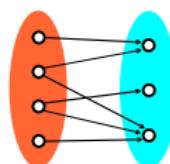
row-dominant



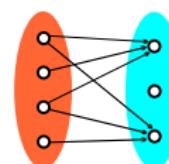
col-dominant



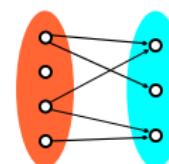
regular



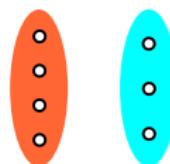
row-regular



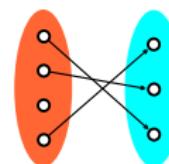
col-regular



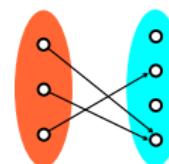
null

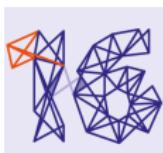


row-functional



col-functional





Generalized equivalence / Block Types

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V. Batagelj

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X	Y
1 1 1 1 1	0 1 0 0 0
1 1 1 1 1	1 1 1 1 1
1 1 1 1 1	0 0 0 0 0
1 1 1 1 1	0 0 0 1 0
complete	
0 1 0 0 0	0 1 0 0 0
1 0 1 1 0	0 1 1 0 0
0 0 1 0 1	1 0 1 0 0
1 1 0 0 0	0 1 0 0 1
row-dominant	
0 0 1 0 0	0 0 1 1 0
0 0 1 1 0	1 1 1 0 0
0 0 1 0 1	0 0 1 0 1
0 0 1 0 1	0 0 1 0 1
col-dominant	
0 1 0 0 0	0 1 0 1 0
1 0 1 0 0	1 0 1 0 0
1 1 0 1 1	1 1 0 1 1
0 0 0 0 0	0 0 0 0 0
regular	
0 0 0 0 0	0 0 0 1 0
0 0 0 0 0	0 0 1 0 0
0 0 0 0 0	1 0 0 0 0
0 0 0 0 0	0 0 0 1 0
row-regular	
1 0 0 0 0	1 0 0 1 0
0 1 0 0 0	0 1 0 0 0
0 0 1 0 0	0 0 1 0 0
0 0 0 1 0	0 0 0 0 1
col-regular	
0 0 0 0 0	1 0 0 0 0
0 0 0 0 0	0 1 0 0 0
0 0 0 0 0	0 0 1 0 0
0 0 0 0 0	0 0 0 0 0
null	
0 0 0 0 0	0 0 0 1 0
0 0 0 0 0	0 0 1 0 0
0 0 0 0 0	1 0 0 0 0
0 0 0 0 0	0 0 0 1 0
row-functional	
1 0 0 0 0	0 0 0 0 1
0 1 0 0 0	0 0 0 1 0
0 0 1 0 0	0 0 1 0 0
0 0 0 1 0	0 0 0 0 1
col-functional	



Some remarks

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V. Batagelj

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The blockmodeling of signed networks was developed by Patrick Doreian and Andrej Mrvar.

The extension of blockmodeling to weighted networks was elaborated by Aleš Žiberna.

Current blockmodeling algorithms can deal with networks with some hundreds of nodes.

Most of criterion functions for blockmodeling in large networks are based on structural equivalence. One of the challenges for future research is to develop efficient algorithms for other types of equivalences for large networks.

Peixoto developed a stochastic blockmodeling approach that can deal also with larger networks.



More on clustering and blockmodeling

Clustering in networks

V. Batagelj

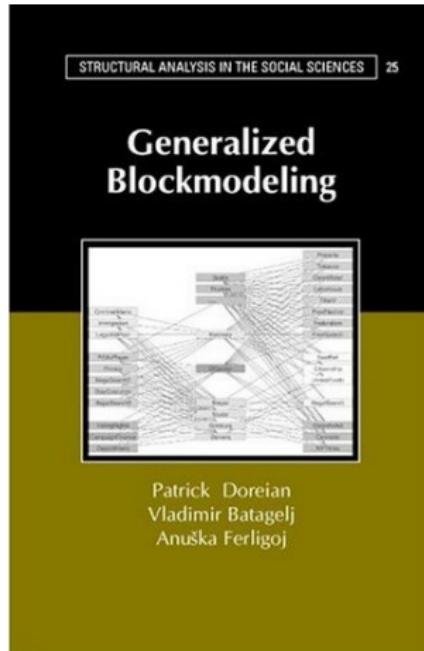
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The details about the clustering and (generalized) blockmodeling of networks can be found in our book:
P. Doreian, V. Batagelj, A. Ferligoj:
Generalized Blockmodeling, CUP, 2005.



Understanding large networks

Clustering in
networks

V. Batagelj

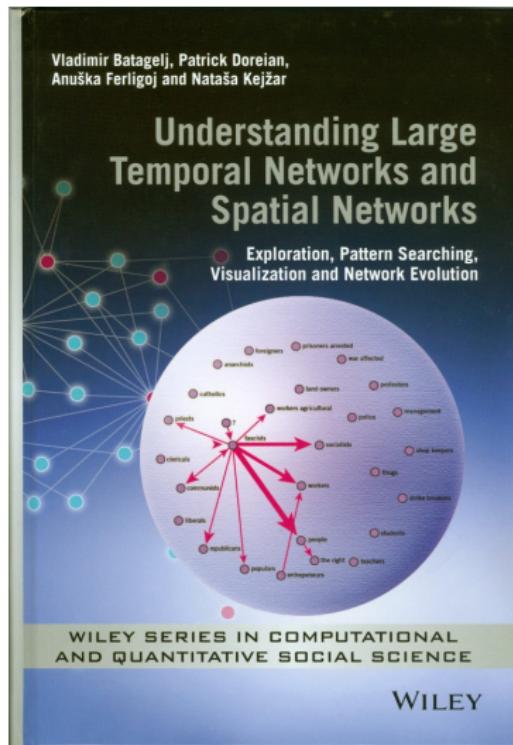
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For details on analysis of large networks see chapters 2 and 3 in the book:

Vladimir Batagelj, Patrick Doreian, Anuška Ferligoj and Nataša Kejžar: Understanding Large Temporal Networks and Spatial Networks: Exploration, Pattern Searching, Visualization and Network Evolution. Wiley Series in Computational and Quantitative Social Science. [Wiley](#), October 2014.



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