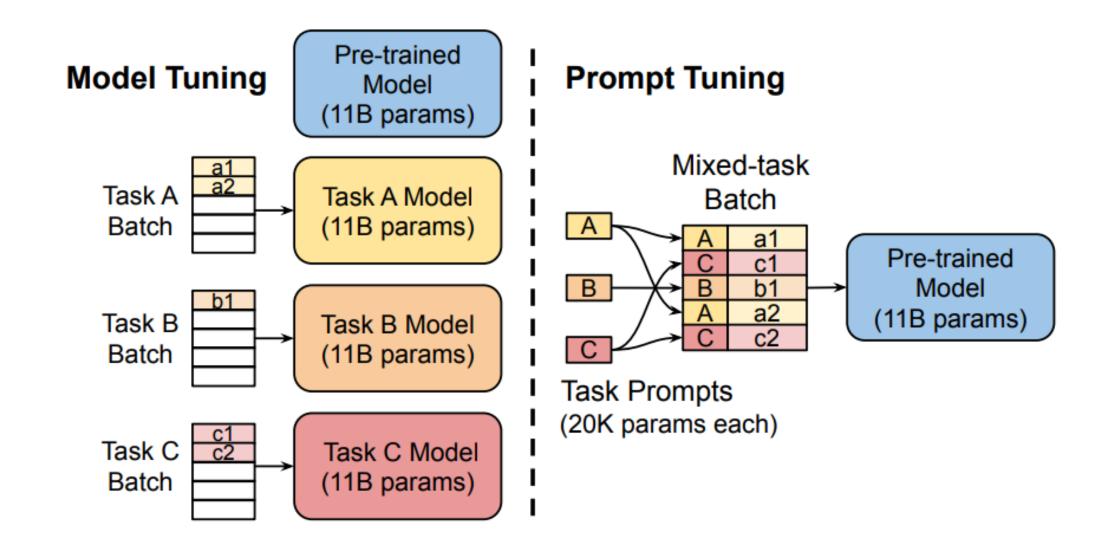
# Prompt-tuning: сравнение методов

## План на сегодня

- Идея prompt-tuning'a
- Parameter-Efficient Prompt Tuning
  - Суть подхода
  - Результаты эксперимента
  - Сравнение с прочими подходами
- Pre-trained Prompt Tuning
  - Суть подхода
  - Эффективность
- Итоги



#### Основная идея

$$\Pr(y|X) - Pr_{theta,theta_p}(Y|[P;X])$$

#### Основная идея

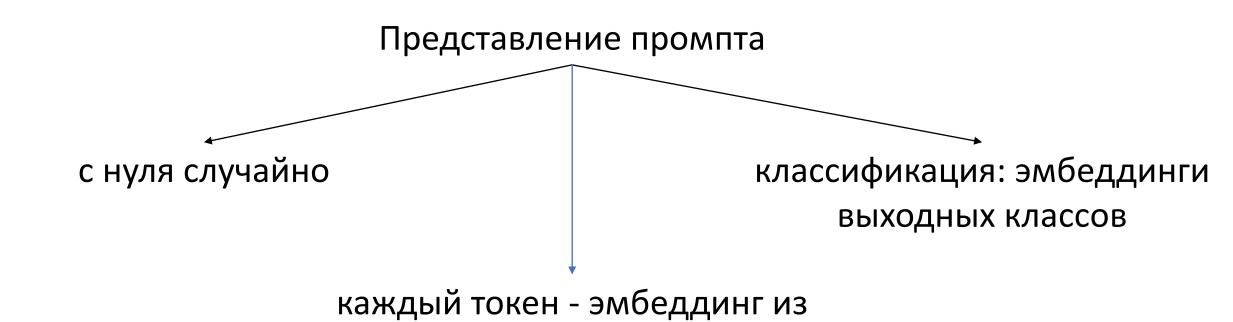
$$Pr(y|X) - Pr_{theta,theta_p}(Y|[P;X])$$

GPT3: P=  $\{p_1,...,p_n\}$  -  $p_i$  меняются, theta fixed

PT: P (prompt)- fixed, theta\_p fixed & own for each prompt, embeddings updated

Prompt design: обновляемые  $p_i$  из фиксированных эмбеддингов

# Подходы к дизайну инициализации



словаря модели

#### Setup:

- Т5 различных размеров
- Дефолтная конфигурация в 100 токенов
- Benchmark: SuperGLUE
  - Каждый промпт своя задача
  - Без мультитаска/смешивания данных
- 30.000 steps
- Т5 -> стандартное отклонение + кросс энтропия
- Ir: const 0.3
- Batchsize: 32
- Optimizer^ Adafactor

#### Baselines:

- (1) T5 model tuning, default
- (2) T5 multitask
- + сравнение с GPT-3 few-shot

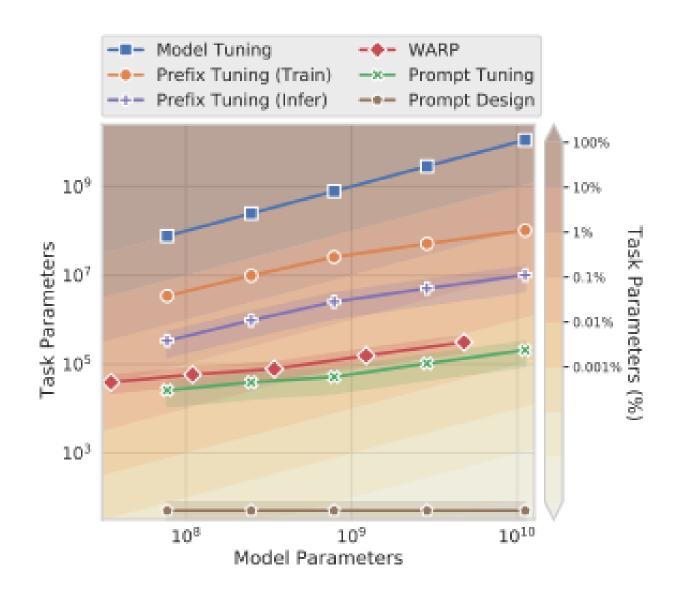
Model Tuning: все параметры специфичны для задания

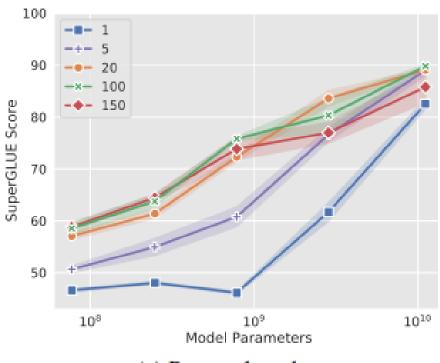
Prefix Tuning: активации в prefix каждого слоя, 0.1–1% task-specific параметров для задачи, но больше для обучения

WARP: 0.1%, tuning входных/выходных слоев

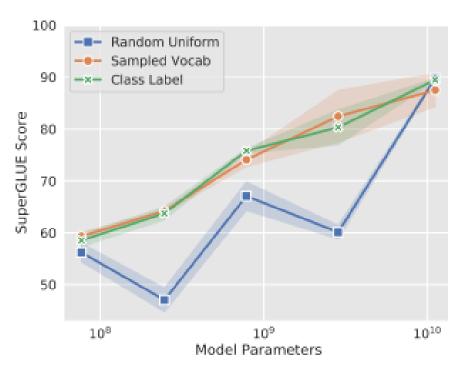
Prompt Tuning: только prompt embeddings

Prompt Design: только последовательность prompt IDs (500–2000 токенов)

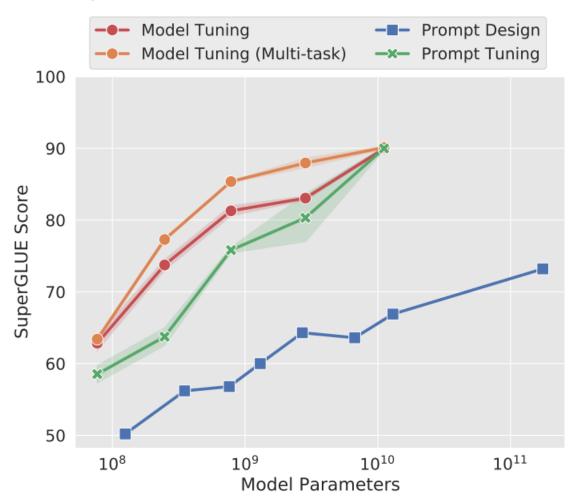




(a) Prompt length



(b) Prompt initialization



Стандартная Т5 - высокая производительность, но требует хранения отдельных копий модели для каждой конечной задачи.

Prompt tuned T5 повторно использует одну модель для всех задач.

Подход значительно превосходит несколько вариантов fewshot prompt для GPT-3.

Показано среднее значение и стандартное отклонение за 3 прогона для tuning'а

# Результаты: ансамблирование

Dataset	Metric	Average	Best	Ensemble	
BoolQ	acc.	91.1	91.3	91.7	
CB	acc./F1	99.3 / 99.0	100.00 / 100.00	100.0 / 100.0	
COPA	acc.	98.8	100.0	100.0	
MultiRC	$EM/F1_a$	65.7 / 88.7	66.3 / 89.0	67.1 / 89.4	
ReCoRD	EM/F1	92.7 / 93.4	92.9 / 93.5	93.2 / 93.9	
RTE	acc.	92.6	93.5	93.5	
WiC	acc.	76.2	76.6	77.4	
WSC	acc.	95.8	96.2	96.2	
SuperGLUE (dev)		90.5	91.0	91.3	

Table 3: Performance of a five-prompt ensemble built from a single frozen T5-XXL model exceeds both the average and the best among the five prompts.

PT ~ full model tuning

PT << few-shot learning

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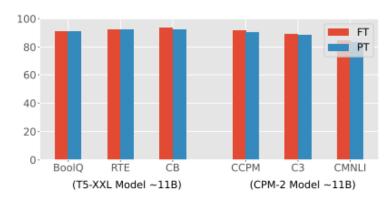
Решение: предобучать soft prompts, добавив их в стадию предобучения

PT ~ full model tuning

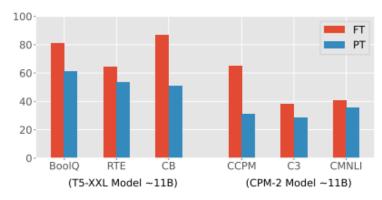
PT << few-shot learning

Решение: предобучать soft prompts, добавив их в стадию предобучения

+ для обощаемости похожие задачи классификации сводить в единую и предобучать под неё



(a) Full-Data



(b) Few-Shot

Figure 2: Comparison between PT and FT. The tuned prompt is composed of 100 learnable embeddings whose dimensions are the same as the token embeddings of PLMs (4096 dimensions). All these results are based on 11B PLMs T5 and CPM-2. FT needs to optimize all 11B parameters, while PT only trains about 410K prompt parameters.

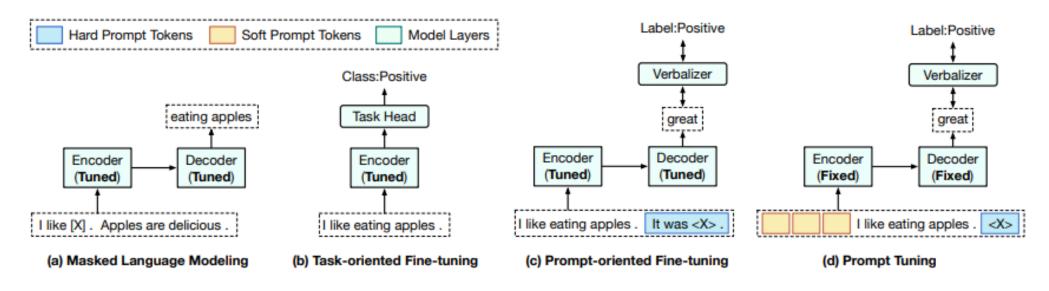


Figure 1: Paradigms of pre-training (masked language modeling), full-model tuning (task-oriented fine-tuning and prompt-oriented fine-tuning), and prompt tuning. The verbalizer is a function to map task labels to concrete words.  $\langle X \rangle$  means the mask of typical pre-trained encoder-decoder models

$$\{T_1, T_2, \dots, T_m\}$$
 – набор задач

$$T_i = \{PVP_i^1, PVP_i^2, ..., PVP_i^{n_i}\}$$

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$$PVP_i^k = (f_i^k, v_i^k)$$

f – mapping pattern, v - vocab

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 $\{P_1, P_2, \dots, P_m\}$  - prompts

## PPT подход: setup для экспериментов

Chinese and English

PT on CPM-2 vs T5

PT on T5-XXL vs T5

# PPT подход: setup для экспериментов

Chinese and English

PT on CPM-2 vs T5

PT on T5-XXL vs T5

- 100 soft tokens
- 410K params in PT (vs 11B FT)

# РРТ подход: итоги

English Tasks											
	Model	Method	SST-2 Acc.	SST-5 Acc.	RACE-m Acc.	RACE-h Acc.	BoolQ Acc.	RTE Acc.	CB F1		
FT (11B)	T5-Small T5-Base T5-Large T5-XL T5-XXL	- - - -	$72.8_{3.1} \\ 74.6_{2.7} \\ 89.1_{2.2} \\ 89.6_{3.2} \\ 91.4_{0.8}$	$\begin{array}{c} 31.1_{0.4} \\ 28.8_{1.8} \\ 42.4_{1.2} \\ 38.4_{5.1} \\ 40.6_{2.0} \end{array}$	$26.4_{0.6} \\ 27.2_{0.5} \\ 48.2_{1.6} \\ 55.0_{2.8} \\ \mathbf{62.9_{3.9}}$	$26.3_{0.5} \\ 26.7_{0.2} \\ 43.2_{1.7} \\ 50.9_{2.6} \\ \mathbf{54.8_{3.0}}$	$59.2_{0.6}  61.9_{2.1}  74.6_{0.9}  77.2_{2.1}  80.8_{2.4}$	$54.0_{1.7} \\ 56.1_{2.3} \\ 64.4_{3.4} \\ 62.3_{6.8} \\ 64.1_{2.0}$	$70.1_{4.6} \\ 70.4_{2.6} \\ 82.3_{2.2} \\ 81.9_{9.0} \\ \mathbf{86.5_{5.3}}$		
PT (410K)	T5-XXL	Vanilla PT Hybrid PT LM Adaption PPT	$70.5_{15.5}  87.6_{6.6}  77.6_{7.5}  93.5_{0.3}$	32.3 <sub>8.3</sub> 40.9 <sub>2.7</sub> 36.2 <sub>3.6</sub> <b>50.2<sub>0.7</sub></b>	$   \begin{array}{r}     34.7_{8.2} \\     53.5_{8.2} \\     27.3_{0.2}   \end{array} $ $   \begin{array}{r}     60.0_{1.2}   \end{array} $	31.63.5 44.26.4 26.50.4 53.00.4	$ \begin{array}{c} 61.0_{5.3} \\ 79.8_{1.5} \\ 62.0_{0.3} \end{array} $	53.5 <sub>3.5</sub> 56.8 <sub>2.6</sub> 55.3 <sub>1.0</sub> 58.9 <sub>1.6</sub>	$ \begin{array}{r} 50.7_{4.1} \\ 66.5_{7.2} \\ 61.2_{1.7} \\ \hline 71.2_{6.2} \end{array} $		
		Hybrid PPT Unified PPT	$93.8_{0.1}$ $94.4_{0.3}$	$\frac{50.2_{0.7}}{50.1_{0.5}}$ $46.0_{1.3}$	$\frac{62.5_{0.9}}{58.0_{0.9}}$	$\frac{53.0_{0.4}}{52.2_{0.7}}$ $49.9_{1.3}$	$\frac{82.0_{1.0}}{76.0_{2.7}}$	59.8 <sub>3.2</sub> 65.8 <sub>2.1</sub>	$73.2_{7.0} \\ \underline{82.2_{5.4}}$		
Chinese Tasks											
	Model	Method	ChnSent Acc.	Amazon Acc.	CCPM Acc.	C <sup>3</sup> Acc.	LCQMC Acc.	CMNLI Acc.	OCNLI Acc.		
FT (11B)	mT5-Small mT5-Base mT5-Large mT5-XL mT5-XXL CPM-2	- - - -	$76.1_{2.6} \\78.2_{0.6} \\79.1_{0.6} \\82.7_{2.6} \\83.6_{1.5} \\86.1_{1.8}$	$\begin{array}{c} 29.9_{1.9} \\ 36.4_{0.9} \\ 31.0_{1.4} \\ 35.5_{1.7} \\ 42.1_{0.8} \\ 42.5_{2.0} \end{array}$	$\begin{array}{c} 31.9_{1.2} \\ 40.4_{6.8} \\ 46.0_{4.0} \\ 68.3_{5.1} \\ 79.7_{1.1} \\ 81.8_{1.6} \end{array}$	$\begin{array}{c} 29.6_{0.5} \\ 29.4_{0.6} \\ 29.9_{0.8} \\ 29.7_{1.2} \\ 37.2_{3.3} \\ 38.4_{3.7} \end{array}$	$52.4_{2.5} \\ 50.9_{1.0} \\ 52.1_{0.6} \\ 52.9_{2.4} \\ 53.1_{1.0} \\ 58.8_{1.8}$	$\begin{array}{c} 36.5_{0.2} \\ 36.3_{0.5} \\ 35.8_{1.2} \\ 36.8_{1.6} \\ 39.0_{0.4} \\ 40.7_{1.0} \end{array}$	$\begin{array}{c} 34.9_{1.3} \\ 35.4_{0.6} \\ 35.2_{1.1} \\ 35.6_{0.5} \\ 37.4_{1.2} \\ 38.5_{1.5} \end{array}$		
PT (410K)	CPM-2	Vanilla PT Hybrid PT LM Adaption PPT	$ \begin{array}{c} 62.1_{3.1} \\ 79.2_{4.0} \\ 74.3_{5.2} \end{array} $	$30.3_{4.8}$ $39.1_{3.8}$ $35.2_{2.4}$ $48.6_{0.6}$	31.0 <sub>9.7</sub> 46.6 <sub>15.0</sub> 33.7 <sub>12.8</sub> <b>85.4<sub>0.6</sub></b>	$28.2_{0.4}  29.2_{0.5}  30.2_{1.5}  43.8_{2.2}  46.0$	51.5 <sub>3.4</sub> 54.6 <sub>2.3</sub> 51.4 <sub>2.9</sub> 59.1 <sub>0.6</sub>	35.4 <sub>0.5</sub> 37.1 <sub>0.6</sub> 35.1 <sub>0.3</sub> 43.0 <sub>0.5</sub>	$   \begin{array}{r}     37.0_{0.5} \\     37.8_{1.4} \\     38.0_{1.1}   \end{array} $ $   \begin{array}{r}     40.1_{0.4} \\     20.7   \end{array} $		
		Hybrid PPT Unified PPT	$89.5_{0.3}$ $90.7_{0.2}$	$\frac{48.8_{2.0}}{44.6_{1.1}}$	83.9 <sub>0.5</sub> 83.4 <sub>0.9</sub>	$\frac{46.0_{0.5}}{\mathbf{50.2_{0.6}}}$	$\frac{\mathbf{67.3_{0.9}}}{55.0_{0.4}}$	$41.3_{0.8} \\ 40.6_{0.4}$	$38.7_{0.6}$ $41.5_{1.5}$		