#### Deep Speech

## Scaling up end-to-end speech recognition

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# MOTIVATION BEHIND THE METHOD

#### **SLIDE TITLE HERE**



#### **PREVIOUS MODELS**

 Top speech recognition systems rely on sophisticated pipelines composed of multiple algorithms and hand-engineered processing stages



#### AIMS

- lessen the number of extra steps and instruments needed to organise speech recognition
- perform great in noisy environments



#### **SPECIFICS**

 one must find innovative ways to build large, labeled training sets and must be able to train networks that are large enough to effectively utilize all of this data

## MODEL ARCHITECTURE

#### **ARCHITECTURE**

#### **INPUT DATA**

 $x_i$ , t,  $p - x_i$  is a time-series of length  $T_i$ , with each time slice as vector of powers of p'th frequency

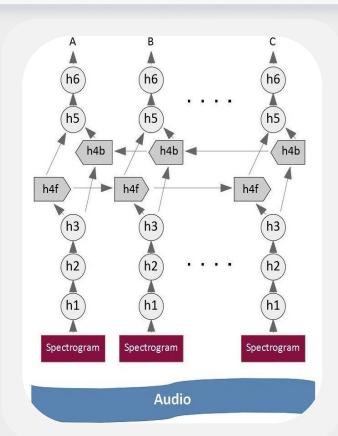
#### **3 NON-RECURRENT LAYERS**

$$h_t^{(l)} = g(W^{(l)}h_t^{(l-1)} + b^{(l)})$$

h is set with x at first, I denotes the layer,  $g(z) = min\{max(0, z\}, 20\}$  is clipped ReLU

#### 4 - BIDIRECTIONAL RECURRENT LAYER

$$h_t^{(f)} = g(W^{(4)}h_t^{(3)} + W_r^{(f)}h_{t-1}^{(f)} + b^{(4)})$$
  
$$h_t^{(b)} = g(W^{(4)}h_t^{(3)} + W_r^{(b)}h_{t+1}^{(b)} + b^{(4)})$$



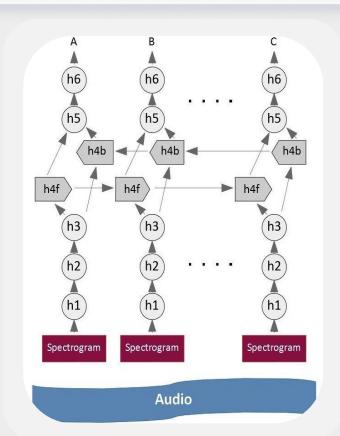
#### **ARCHITECTURE**

#### 5 - NON-RECURRENT LAYER

$$h_t^{(5)} = g(W^{(5)}h_t^{(4)} + b^{(5)})$$
  
where  $h_t^{(4)} = h_t^{(f)} + h_t^{(b)}$ .

#### 6 - SOFTMAX OUTPUT

$$h_{t,k}^{(6)} = \hat{y}_{t,k} \equiv \mathbb{P}(c_t = k|x) = \frac{\exp(W_k^{(6)} h_t^{(5)} + b_k^{(6)})}{\sum_j \exp(W_j^{(6)} h_t^{(5)} + b_j^{(6)})}.$$



#### CONNECTIONIST TEMPORAL CLASSIFICATION LOSS. PROBLEM STATEMENT

We have a dataset of audio clips and corresponding transcripts. We don't know how the characters in the transcript align to the audio.

We try to map X - audio sequences to Y - transcriptions. There are challenges which get in the way of us using simpler supervised learning algorithms. In particular:

- Both X and Y can vary in length.
- We don't have an accurate alignment (correspondence of the elements) of X and Y.

For a given X model gives us an output distribution over all possible Y's.

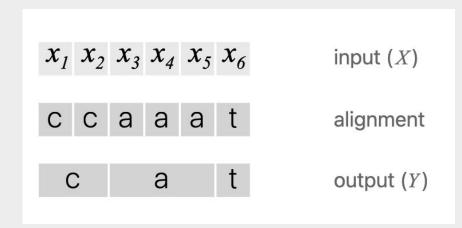
**Loss Function:** For a given input, we'd like to train our model to maximize the probability it assigns to the right answer.

$$Y^* = \underset{V}{\operatorname{argmax}} p(Y \mid X).$$

#### CTC LOSS. INTRODUCING "BLANK" SYMBOL

Naive approach has two problems.

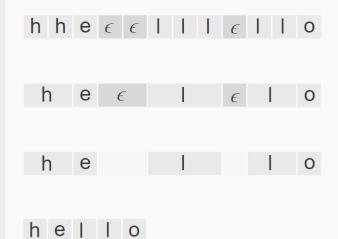
- It doesn't make sense to force every input step to align to some output, cause the input can have stretches of silence with no corresponding output.
- There is no way to produce outputs with multiple characters in a row



CTC introduces a new token called the blank token  $\epsilon$ . The  $\epsilon$  token doesn't correspond to anything and is simply removed from the output.

#### CTC LOSS. INTRODUCING "BLANK" SYMBOL

The alignments allowed by CTC are the same length as the input. We allow any alignment which maps to Y after merging repeats and removing  $\epsilon$  tokens:



First, merge repeat characters.

Then, remove any  $\epsilon$  tokens.

The remaining characters are the output.

#### CTC LOSS. INTRODUCING "BLANK" SYMBOL

#### Valid Alignments



ccaatt

c a  $\epsilon$   $\epsilon$   $\epsilon$  t

#### **Invalid Alignments**

 $c \in c \in a t$ 

c c a a t

 $c \in \epsilon \in t t$ 

corresponds to Y = [c, c, a, t]

has length 5

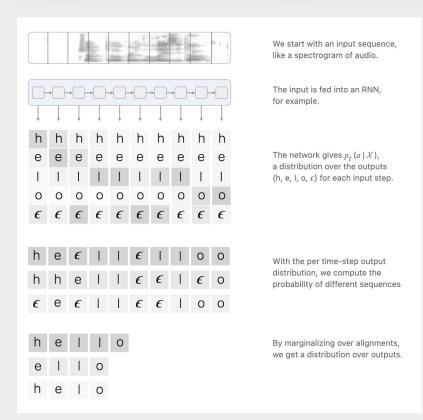
missing the 'a'

#### CTC LOSS. BASIC STATEMENTS

The CTC alignments have a few notable properties:

- the allowed alignments between X and Y are monotonic.
- the alignment of X to Y is many-to-one. One or more input elements can align to a single output element but not vice-versa.
- the length of Y cannot be greater than the length of X.

#### CTC LOSS. COUNTING THE LOSS



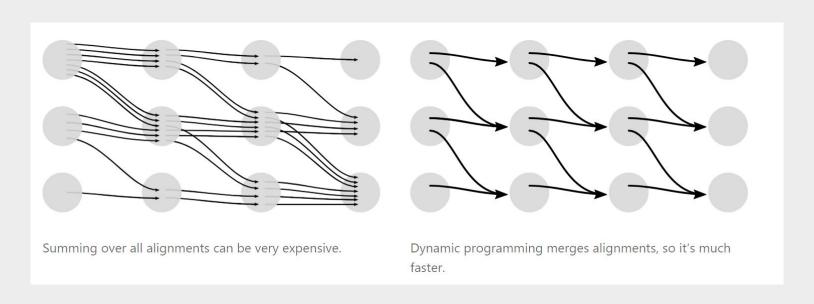
$$p(Y\mid X) = \sum_{A\in\mathcal{A}_{X,Y}} \prod_{t=1}^T p_t(a_t\mid X)$$
 The CTC conditional probability marginalizes over the set of valid alignments computing the probability for a single alignment step-by-step.

It can be very expensive to to count the CTC conditional probability using a straightforward approach, cause there can be a massive number of alignments.

To do that efficiently we can use dynamic programming.

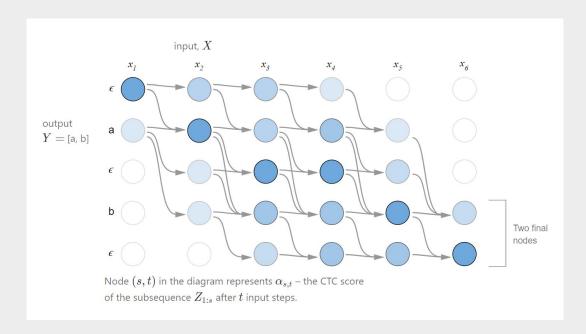
#### CTC LOSS. COUNTING THE LOSS

The key insight is that if two alignments have reached the same output at the same step, then we can merge them.



#### CTC LOSS. COUNTING THE LOSS

There are two valid starting nodes and two valid final nodes since the  $\epsilon$  at the beginning and end of the sequence is optional. The complete probability is the sum of the two final nodes.



Let's let  $\alpha$  be the score of the merged alignments at a given node. More precisely,  $\alpha_s$ , t is the CTC score of the subsequence Z[1:s] after t input steps.

We'll compute the final CTC score, P(Y|X), from the  $\alpha$ 's at the last time-step.

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#### CTC LOSS. LOSS OPTIMIZATION

The CTC loss function is differentiable as sums and products of the per time-step output probabilities.

We can analytically compute the gradient of the loss function with respect to the (unnormalized) output probabilities and from there run backpropagation as usual.

For a training set D, the model's parameters are tuned to minimize the negative log-likelihood.

$$\sum_{(X,Y)\in\mathcal{D}} -\log\;p(Y\mid X)$$

#### CTC LOSS. INFERENCE

## TAKE MOST LIKELY OUTPUT AT EACH TIME-STEP

$$A^* = \operatorname*{argmax}_A \prod_{t=1}^I p_t(a_t \mid X)$$



## BEAM SEARCH TO TAKE INTO ACCOUNT "SIMILAR" OUTPUTS

[a, a, a] and [a, a, ε]

#### **REGULARIZATION**

#### **DROPOUT**

The dropout with rate 5%-10% is applied to feed-forward layers, not the recurrent.

#### **JITTERING**

Audios are shifted by 5 ms to the left and right, the forward propagation is performed and output probabilities are averaged.

#### RNN'S ENSEMBLE

At test time RNN'S ensemble is used with averaging the output probabilities.

#### N-GRAM LM AS A DECODER

#### N-GRAM LM

An n-gram LM is a statistical model used to estimate the likelihood of a sequence of words.

#### N-GRAM

An n-gram is a contiguous sequence of n items from a given sample of words.

#### PROBABILITY ESTIMATION

The probability of a word is estimated based on the conditional probability of that word given the preceding (n-1) words.

#### N-GRAM LM AS A DECODER

The errors made by the RNN in this case tend to be phonetically plausible renderings of English words. Now we optimize Q with beam search.

$$Q(c) = \log(\mathbb{P}(c|x)) + \alpha \log(\mathbb{P}_{lm}(c)) + \beta \text{ word\_count}(c)$$

RNN output	Decoded Transcription
what is the weather like in bostin right now prime miniter nerenr modi arther n tickets for the game	what is the weather like in boston right now prime minister narendra modi are there any tickets for the game

Table 1: Examples of transcriptions directly from the RNN (left) with errors that are fixed by addition of a language model (right).

## GPU OPTIMIZATIONS

#### **GPU OPTIMIZATIONS**

#### **FEED-FORWARD**

### BIDIRECTIONAL RECURRENT

## FEATURE EXTRACTION

#### DATA PARALLELISM

## Feed-forward layers are computed by batches rather than samples. Batch size is maximum size possible with each GPU

#### **MODEL PARALLELISM**

Recurrent layers are parallelised by the time axis. Each GPU computing [1;T/2] or [T/2;T] time slices (forward and backward hidden vectors respectively). Then exchanging vectors T/2 and swapping functions (now backward and forward on the same data chunk)

#### **STRIDING**

Setting stride (window size) between frames in a spectrogram



#### TRAINING DATA

Noisy data is synthesized with superposition principle, thus using linear combination of a clear sound and some noises. The average powers for each frequency must remain statistically close to real-life noisy sound.

To capture Lombard Effect (when the speaker adapts its voice to the noise) and still have clean audios, speakers were offered the earphones with background noise.

Dataset	Type	Hours	Speakers
WSJ	read	80	280
Switchboard	conversational	300	4000
Fisher	conversational	2000	23000
Baidu	read	5000	9600

Table 2: A summary of the datasets used to train Deep Speech. The Wall Street Journal, Switchboard and Fisher [3] corpora are all published by the Linguistic Data Consortium.

### **EXPERIMENTS**

#### **CONVERSATIONAL SPEECH: SWITCHBOARD HUB5'00 (FULL)**

Model	SWB	CH	Full
Vesely et al. (GMM-HMM BMMI) [44]	18.6	33.0	25.8
Vesely et al. (DNN-HMM sMBR) [44]	12.6	24.1	18.4
Maas et al. (DNN-HMM SWB) [28]	14.6	26.3	20.5
Maas et al. (DNN-HMM FSH) [28]	16.0	23.7	19.9
Seide et al. (CD-DNN) [39]	16.1	n/a	n/a
Kingsbury et al. (DNN-HMM sMBR HF) [22]	13.3	n/a	n/a
Sainath et al. (CNN-HMM) [36]	11.5	n/a	n/a
Soltau et al. (MLP/CNN+I-Vector) [40]	10.4	n/a	n/a
Deep Speech SWB	20.0	31.8	25.9
Deep Speech SWB + FSH		19.3	16.0

Table 3: Published error rates (%WER) on Switchboard dataset splits. The columns labeled "SWB" and "CH" are respectively the easy and hard subsets of Hub5'00.

#### **NOISY SPEECH**

System	Clean (94)	Noisy (82)	Combined (176)
Apple Dictation	14.24	43.76	26.73
Bing Speech	11.73	36.12	22.05
Google API	6.64	30.47	16.72
wit.ai	7.94	35.06	19.41
<b>Deep Speech</b>	6.56	19.06	11.85

Table 4: Results (%WER) for 5 systems evaluated on the original audio. Scores are reported *only* for utterances with predictions given by all systems. The number in parentheses next to each dataset, e.g. Clean (94), is the number of utterances scored.

#### LITERATURE OVERVIEW

Awni Hannun\*, Carl Case, Jared Casper, Bryan Catanzaro, Greg Diamos, Erich Elsen, Ryan Prenger, Sanjeev Satheesh, Shubho Sengupta, Adam Coates, Andrew Y. Ng. Deep Speech: Scaling up end-to-end speech recognition. 2014

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