# Training Scale-Invariant Neural Networks on the Sphere Can Happen in Three Regimes

Maxim Kodryan\*, Ekaterina Lobacheva\*, Maksim Nakhodnov\*, Dmitry Vetrov

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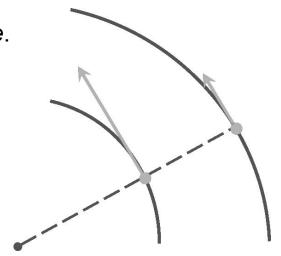
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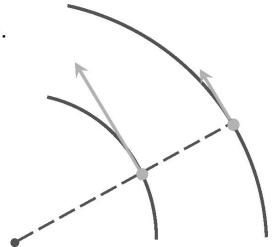
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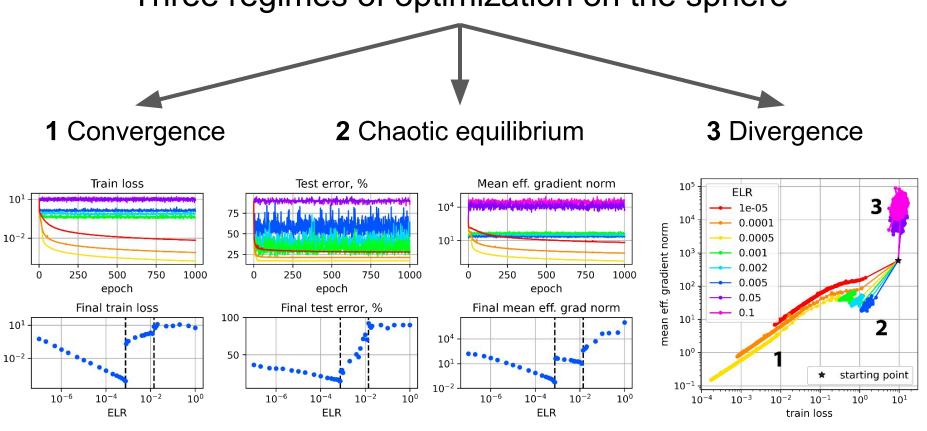
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Let's optimize SI models directly on the sphere! (with a <u>fixed</u> effective learning rate)

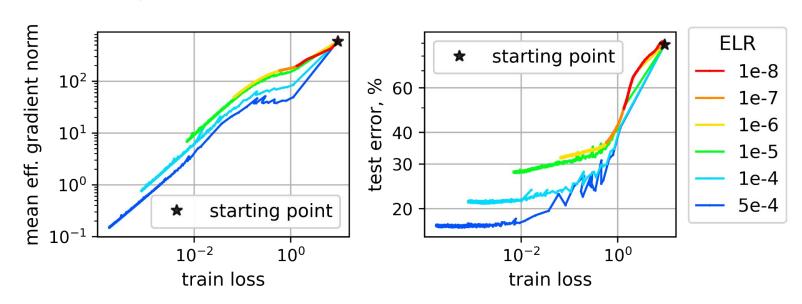


#### Three regimes of optimization on the sphere



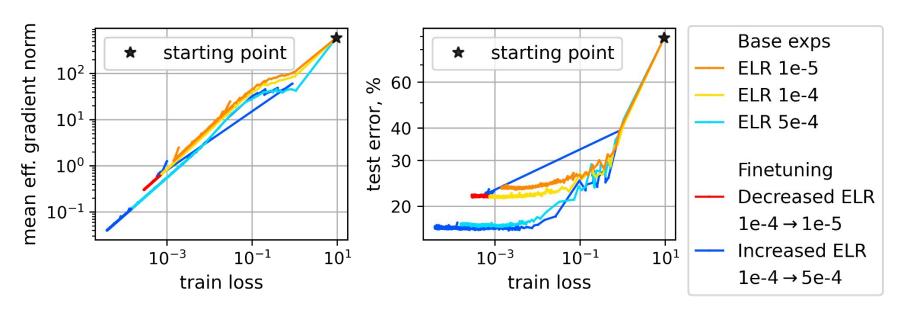
#### Regime 1: convergence

- Small ELR ⇒ convergence
- Different optima depending on the ELR: higher ELR = better final solution (in terms of sharpness/generalization)



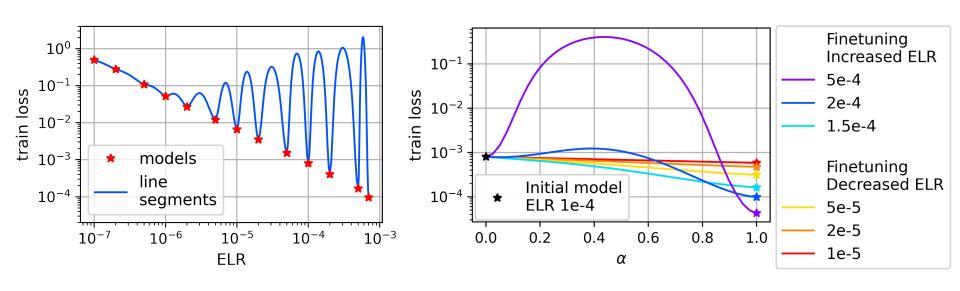
#### Regime 1: convergence

- Fine-tuning with **lower** ELR ⇒ the **same** trajectory
- Fine-tuning with **higher** ELR ⇒ jumping out into a **new wider** basin



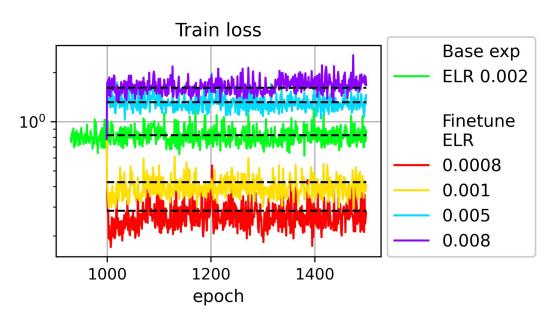
#### Regime 1: convergence

- Optima from different ELRs are not linearly connected (LC)
- Pre-trained and fine-tuned (FT) weights are LC for low FT ELR and not for high FT ELR



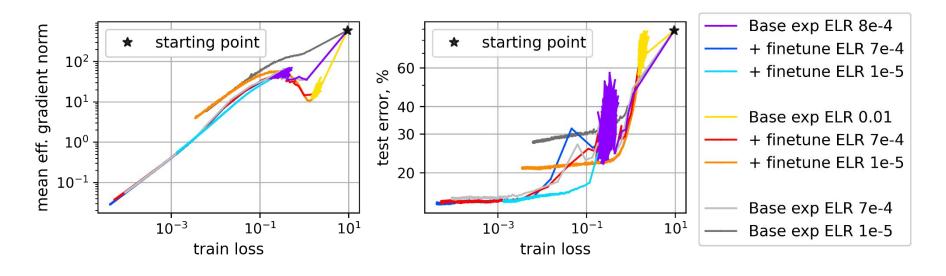
#### Regime 2: chaotic equilibrium

- Medium ELR ⇒ *chaotic equilibrium*: loss noisily stabilizes at some *level*
- Changing the ELR ⇒ changing the level
- Optimization is "hopping along the walls" of the loss landscape



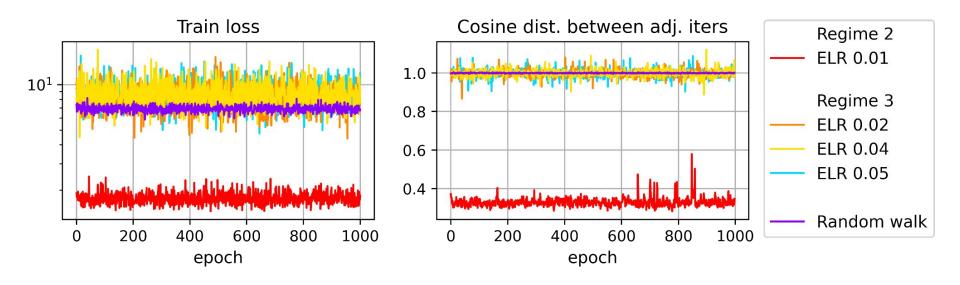
#### Regime 2: chaotic equilibrium

- Fine tuning with regime-1 ELRs from **low** regime-2 ELRs ⇒ convergence to the **widest** optimum
- Fine tuning with regime-1 ELRs from **high** regime-2 ELRs ⇒ convergence to **various** optima



#### Regime 3: divergence

High ELR ⇒ divergence: near random guess behavior (close to random walk)



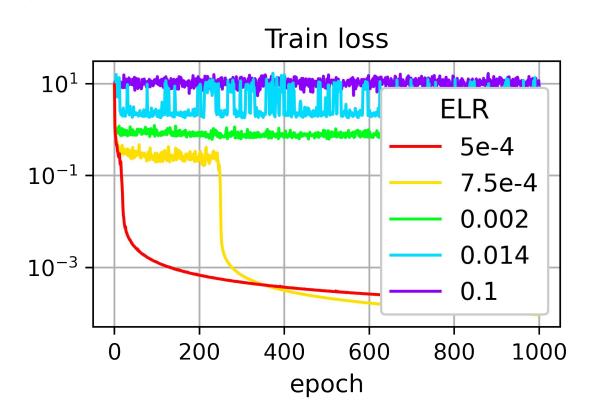
#### Transitions between regimes

#### Typical regime ELRs:

- Regime 1
- Regime 2
- Regime 3

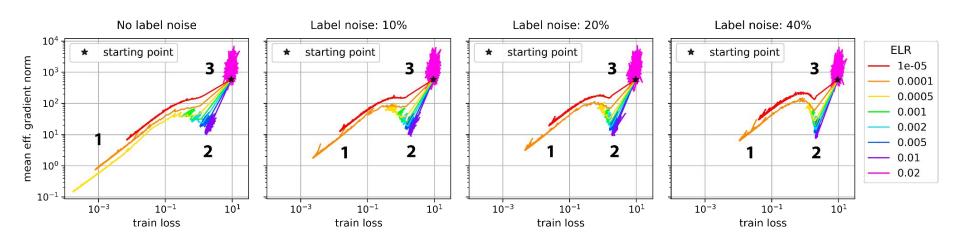
#### **Transition ELRs:**

- Regime 1/2
- Regime 2/3

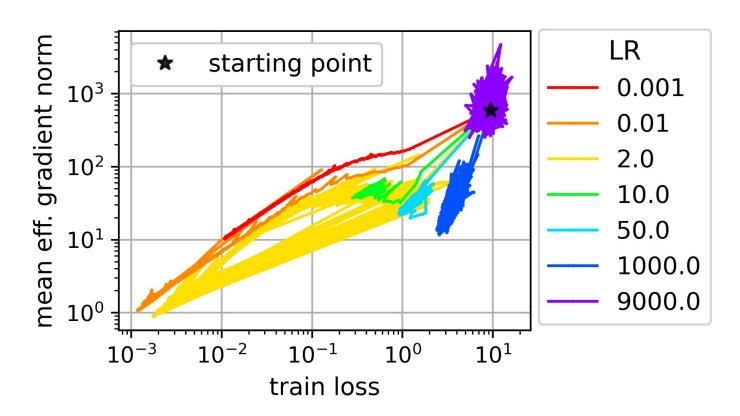


#### Transition between regimes 1/2 and DD

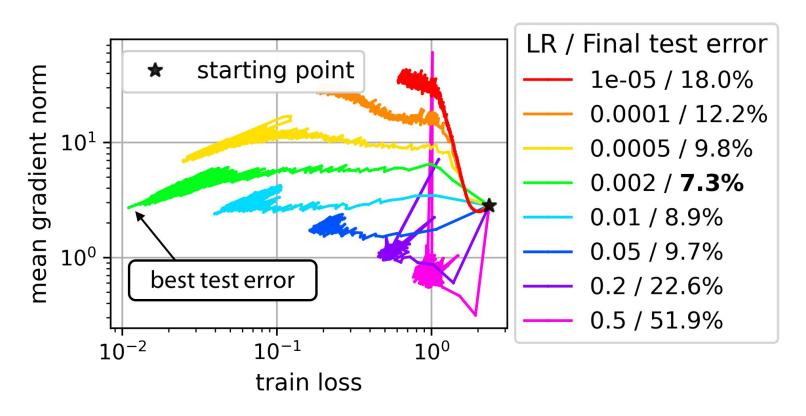
Double Descent peak ⇔ high-sharpness zone ⇔ transition between R1 and R2



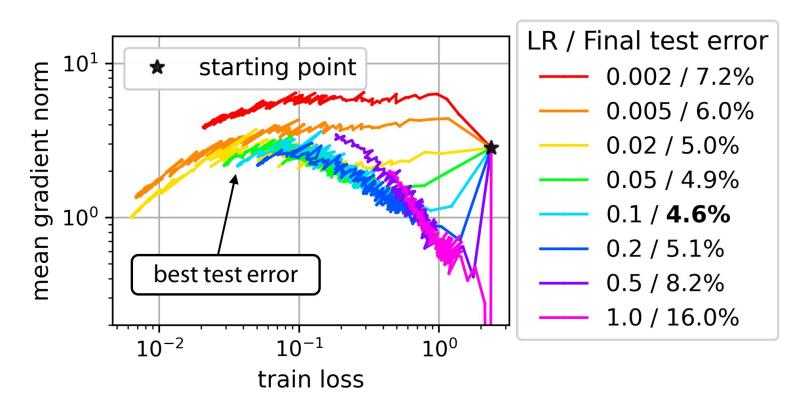
#### Regimes when training SI model in the whole space



#### Regimes in standard training: fixed LR



#### Regimes in standard training: cosine LR schedule



$$F(\theta_1,\ldots,c\theta_i,\ldots,\theta_n)=F(\theta_1,\ldots,\theta_n),\, orall c>0,\, i=\overline{1,n}$$

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Fix: radius  $\rho$ , total LR  $\eta \Rightarrow \text{total ELR } \tilde{\eta} \equiv \eta/\rho^2$  is fixed

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Define:

ELR for 
$$heta_i$$
 as  $ilde{\eta}_i \equiv \eta/|| heta_i||^2$ 

Eff. grad. norm for  $\theta_i$  as  $\tilde{g}_i \equiv ||\nabla_{\theta_i} F|| \cdot ||\theta_i||$ 

ELRs relation: 
$$\sum_{i=1}^n 1/\tilde{\eta}_i = 1/\tilde{\eta}$$

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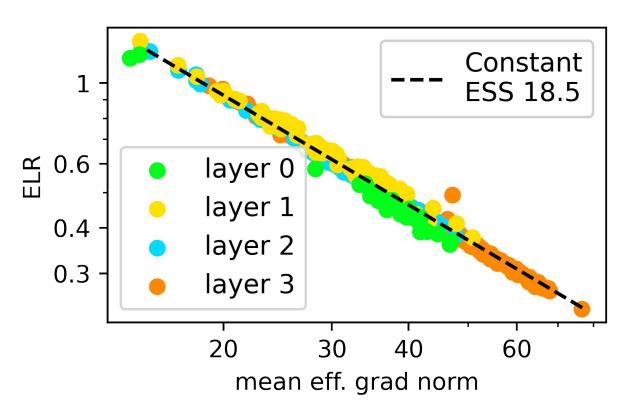
$$\text{Eff. step sizes relation: } (\tilde{g}\tilde{\eta})^2 = \sum_{i=1}^n \omega_i (\tilde{g}_i \tilde{\eta}_i)^2, \, (\omega_1, \ldots, \omega_n) \in \Delta^{n-1}$$

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$$ext{ELRs dynamics: } ilde{\eta}_i^{(t+1)} \leftarrow ilde{\eta}_i^{(t)} rac{1 + \left( ilde{g}^{(t)} ilde{\eta}
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# Regime 2: ESS alignment

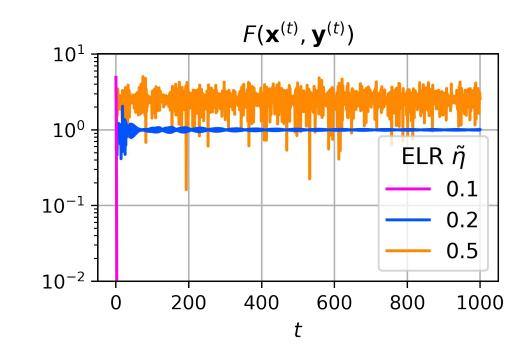


## Toy example

$$F(x_1,y_1,\dots,x_n,y_n) = \sum_{i=1}^n lpha_i rac{x_i^2}{x_i^2 + y_i^2}, \ lpha_i > 0.$$

$$ilde{\eta} < 1/\sum_{i=1}^n lpha_i \, \Rightarrow \, ext{Regime 1}$$

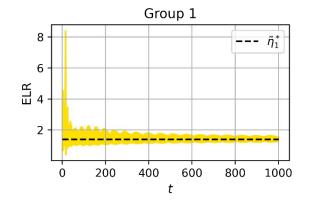
$$ilde{\eta} > 1/\sum_{i=1}^n lpha_i \, \Rightarrow \, ext{Regime } 2/3$$

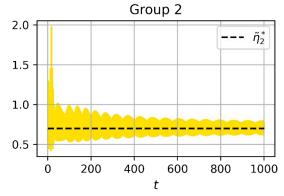


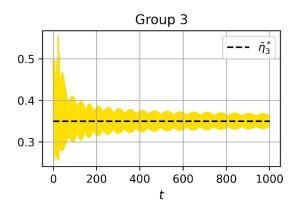
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Regime 2/3 with equilibrium ELRs  $\tilde{\eta}_i^* \equiv \frac{\tilde{\eta} \sum_{j=1}^n \alpha_j}{\alpha_i}$ 







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- Other results: LC of regime-2 checkpoints, dependence on model width/data complexity, additional experiments, etc.