

# **Trusted Federated Learning with Blockchain Technology**

**DS 2022 Project - Final Presentation**

**Giacomo Lombardo, André Gaillard**

# Introduction

# Challenges in Centralized Machine Learning



Increasing  
amount of data



Availability of  
commodity devices



Privacy  
concerns



Legal  
concerns

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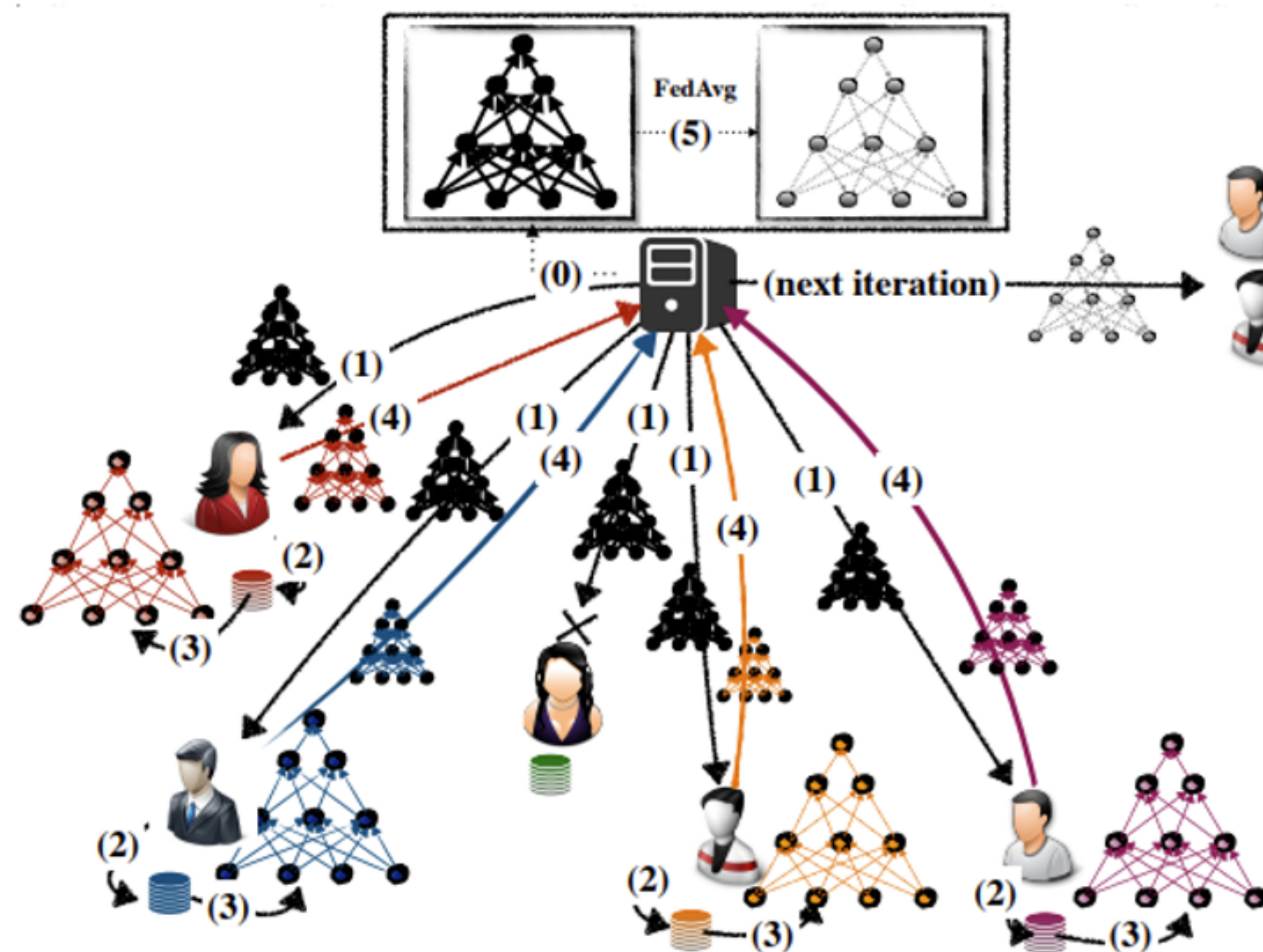
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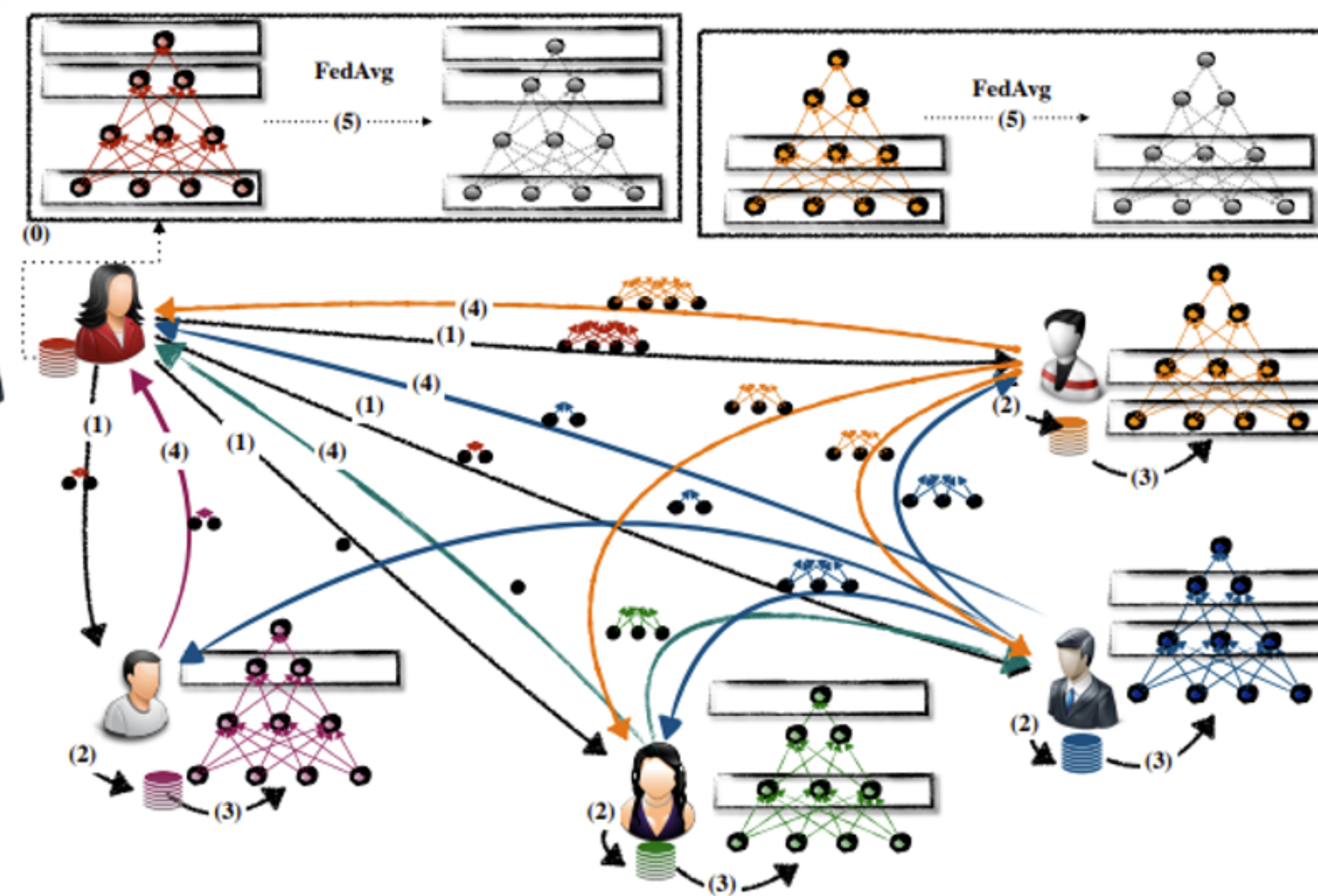
Federated Learning emerges as a new paradigm



# Federated Learning: Two Schools



(a) Centralised FL.



(b) Decentralised FL.

# Blockchain for Decentralised FL

- **Use Blockchain to coordinate the FL learning process.**
  - ▶ **Blockchain-based Federated Learning: A Comprehensive Survey**  
Zhiling Wang, Qin Hu - [arxiv.org/abs/2110.02182](https://arxiv.org/abs/2110.02182)
  - ▶ **BAFFLE : Blockchain Based Aggregator Free Federated Learning**  
Paritosh Ramanan, Kiyoshi Nakayama - [arxiv.org/abs/1909.07452](https://arxiv.org/abs/1909.07452)
  - ▶ **BlockFLow: An Accountable and Privacy-Preserving Solution for FL**  
Vaikkunth Mugunthan, Ravi Rahman, Lalana Kagal - [arxiv.org/abs/2007.03856](https://arxiv.org/abs/2007.03856)
  - ▶ **Mechanism Design for An Incentive-aware Blockchain-enabled Federated Learning Platform**  
Kentaro Toyoda, Allan N. Zhang - [ieeexplore.ieee.org/document/9006344](https://ieeexplore.ieee.org/document/9006344)

# Decentralised FL: Challenges

- Ensure trust
  - What is trust?

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- Ensure trust
  - What is trust?
- Limitations of blockchain
  - Algorithmic and in storage size
- Incentivization system
  - Why should people participate (fairly!)
- Creation of a final global model
  - To be used by anybody

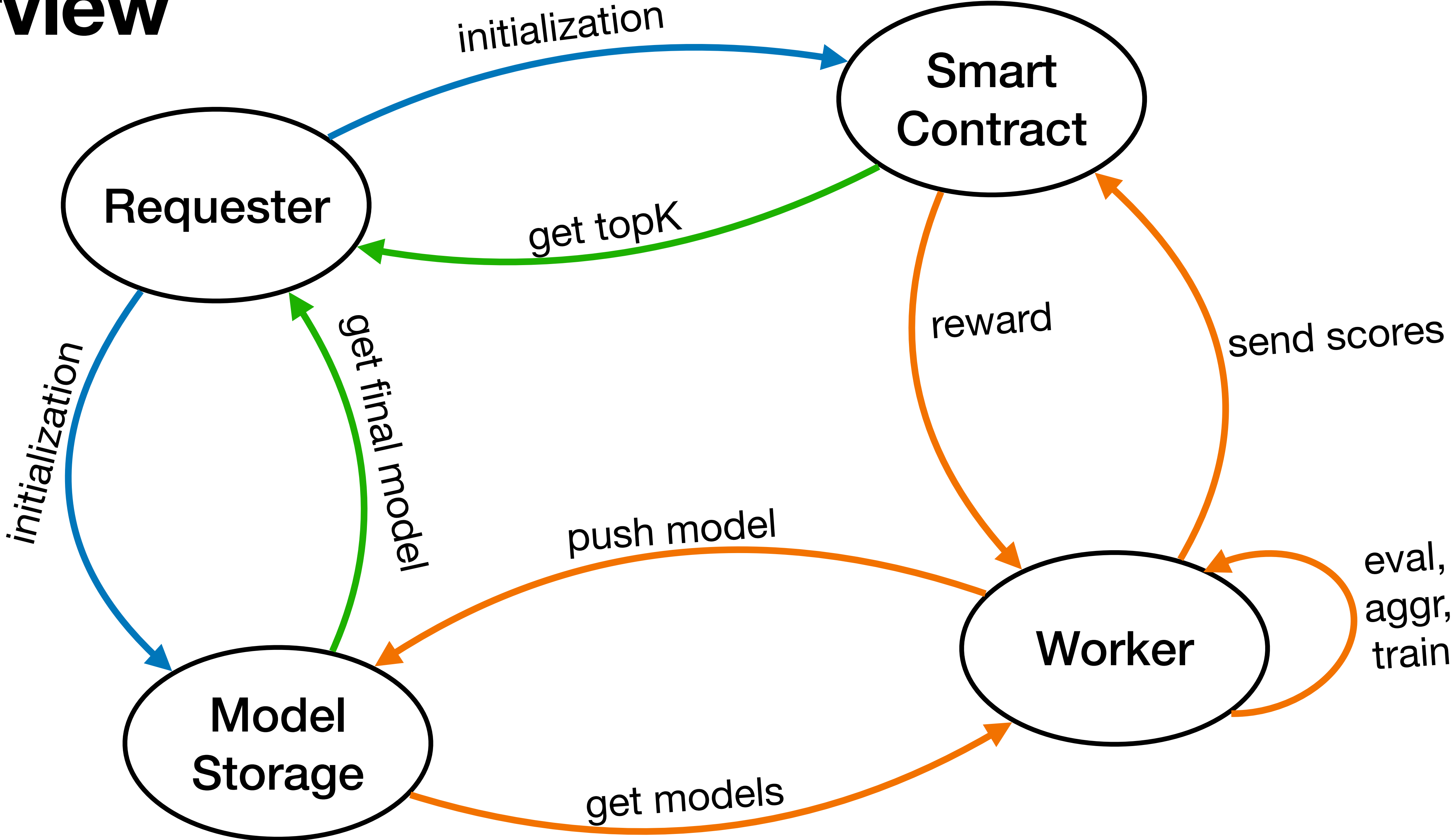


# DiscoFL

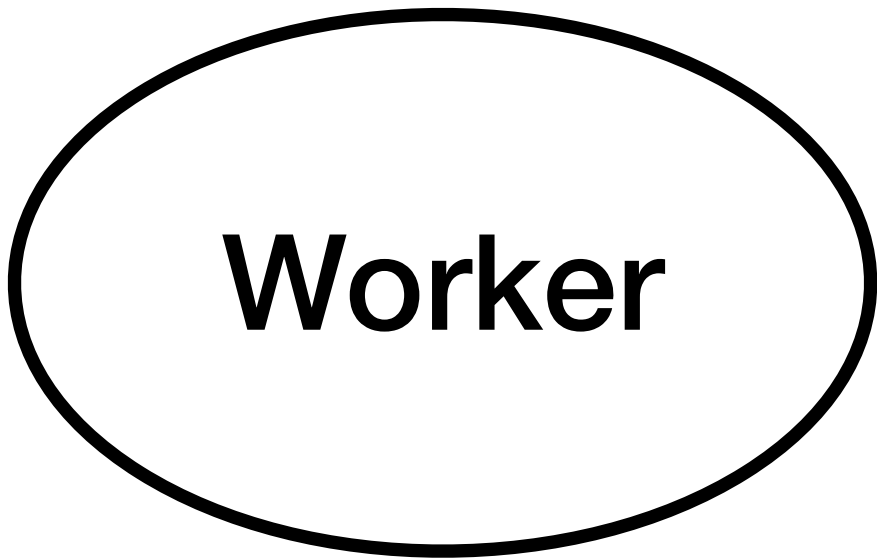
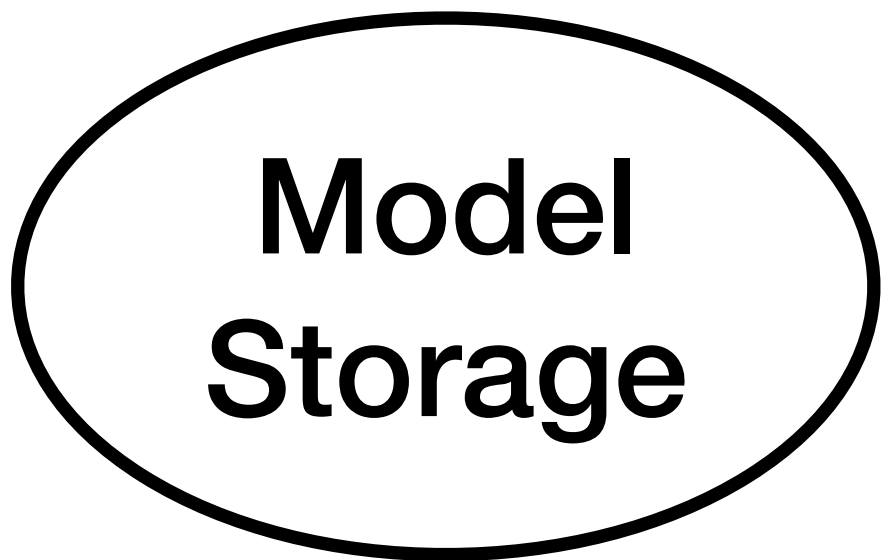
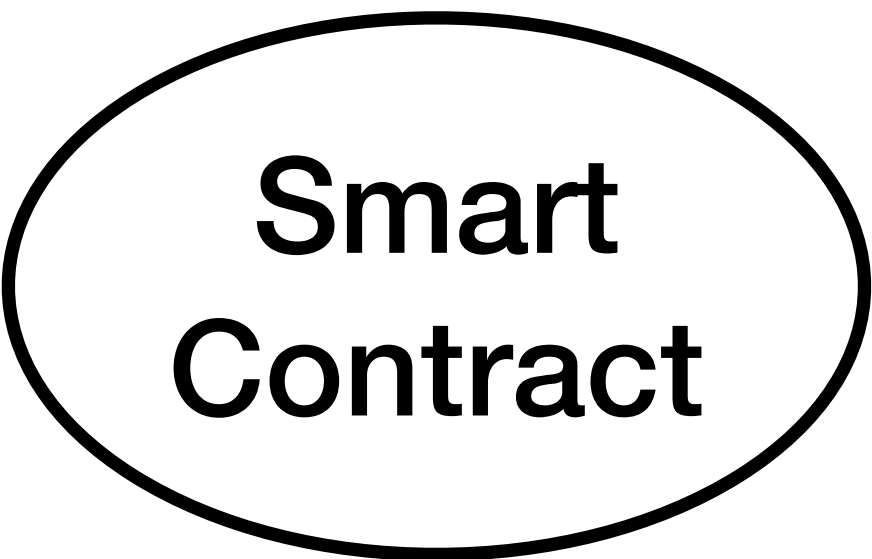
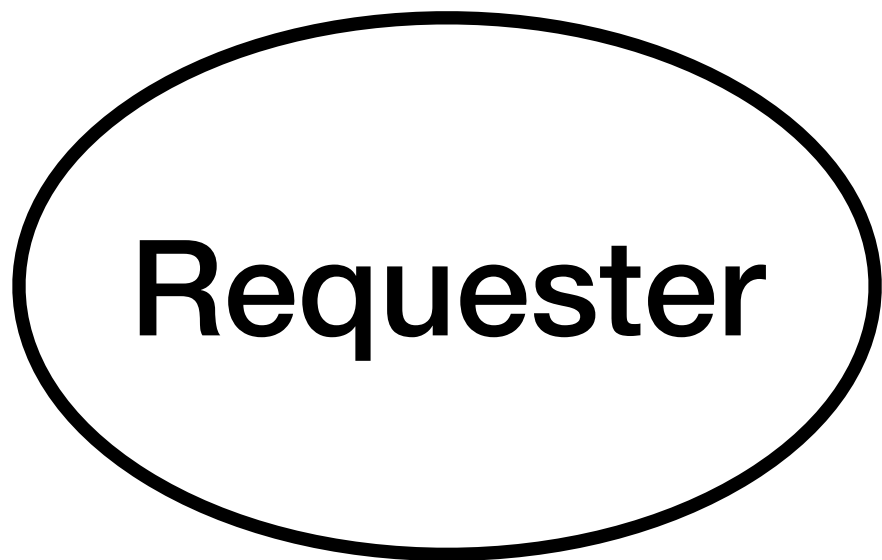
**Distributed Incentive System for Cooperatively Orchestrated FL**

# Architecture

# Overview

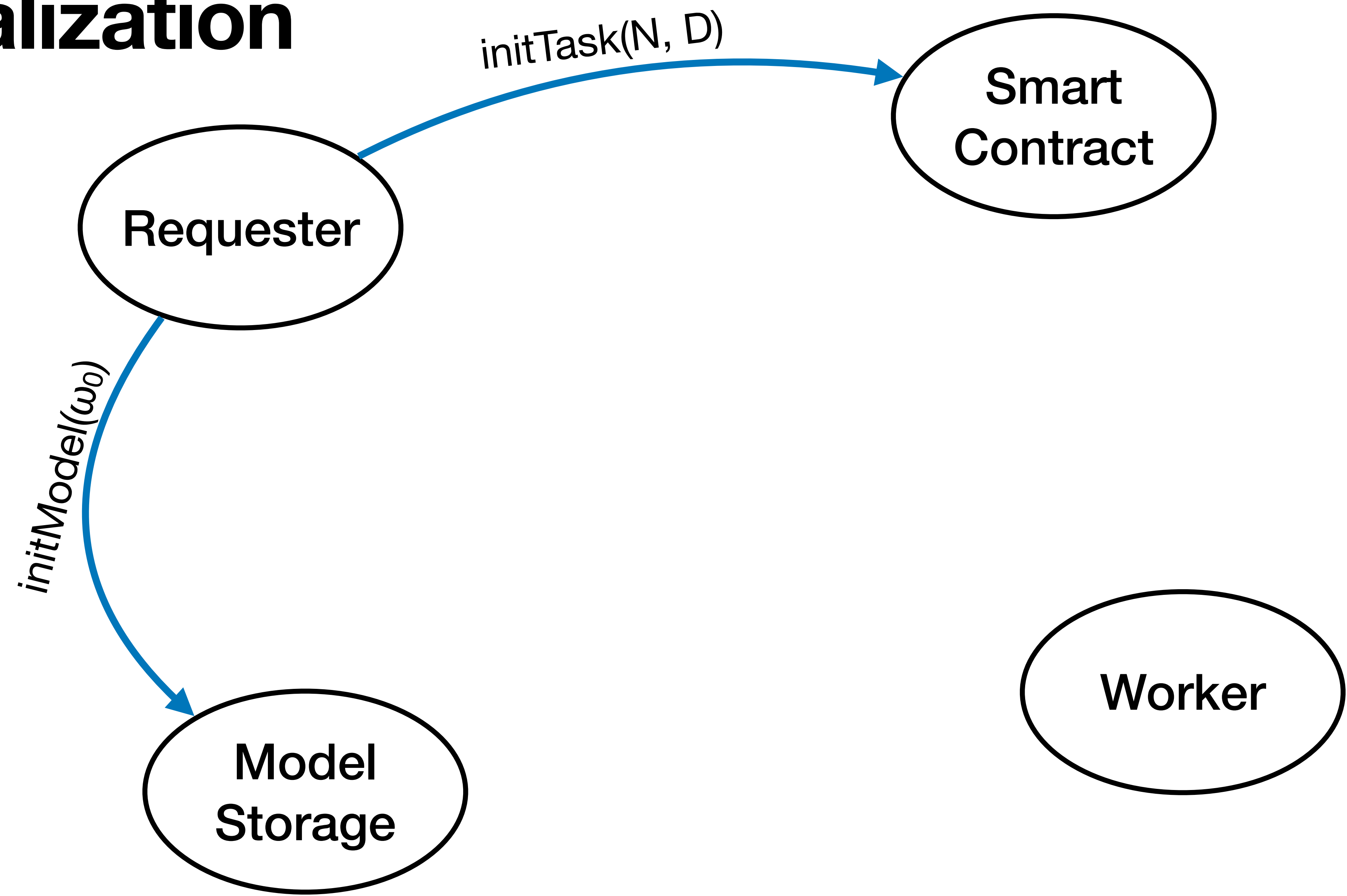


# Initialization

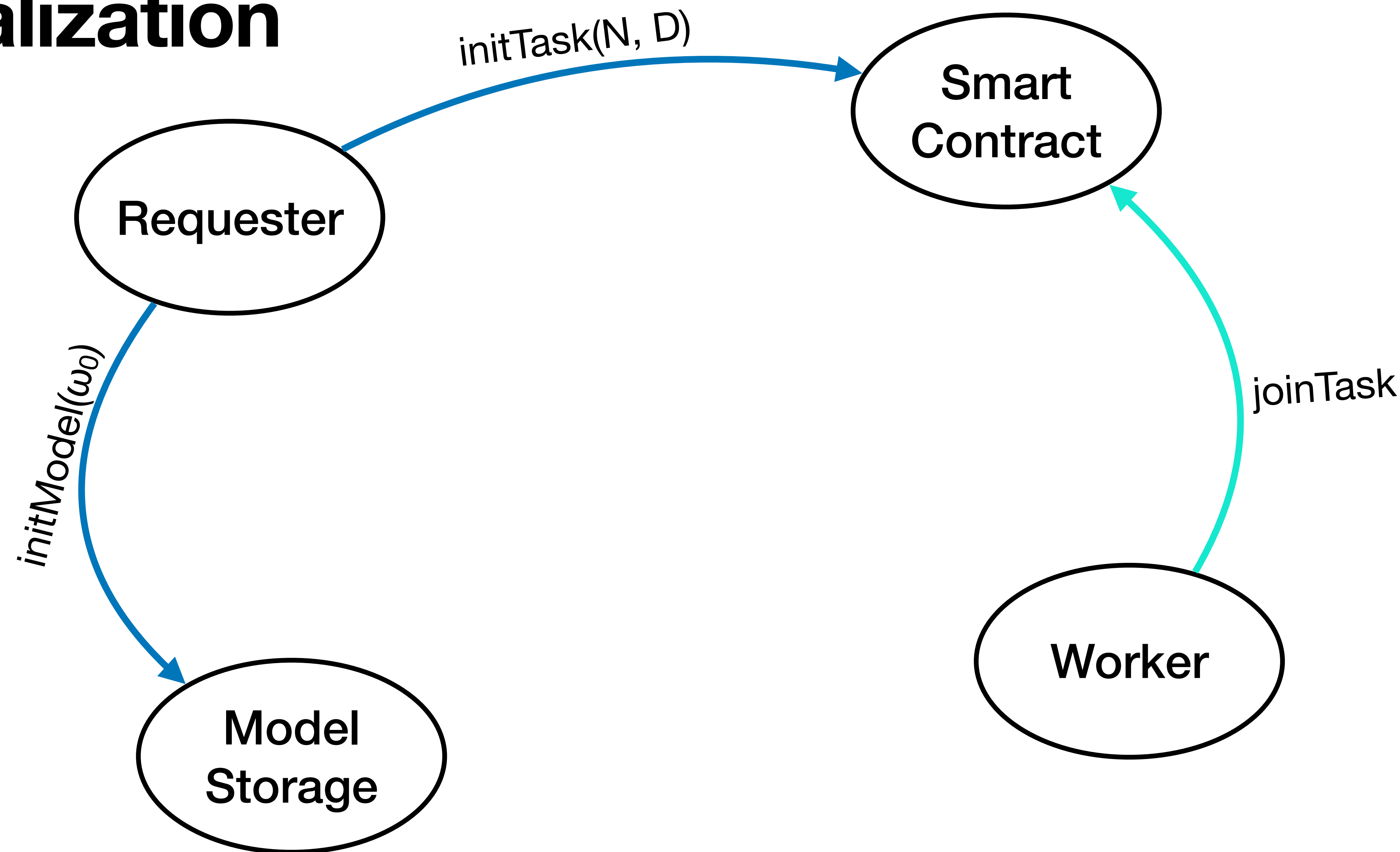




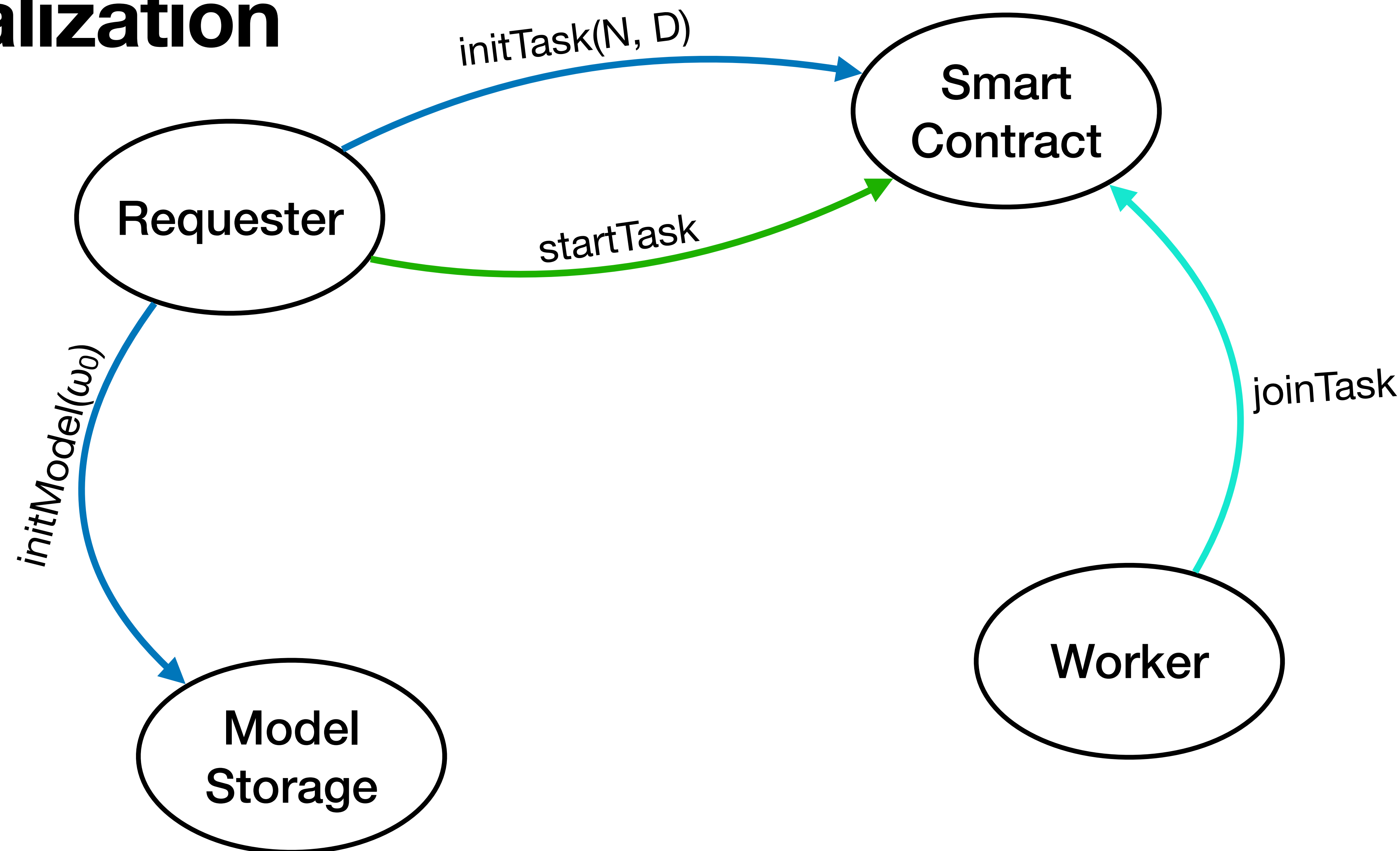
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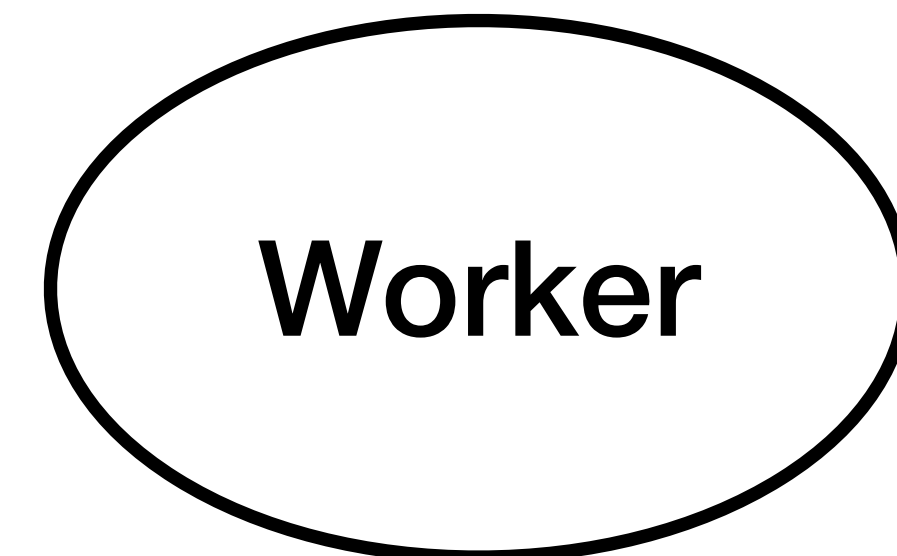
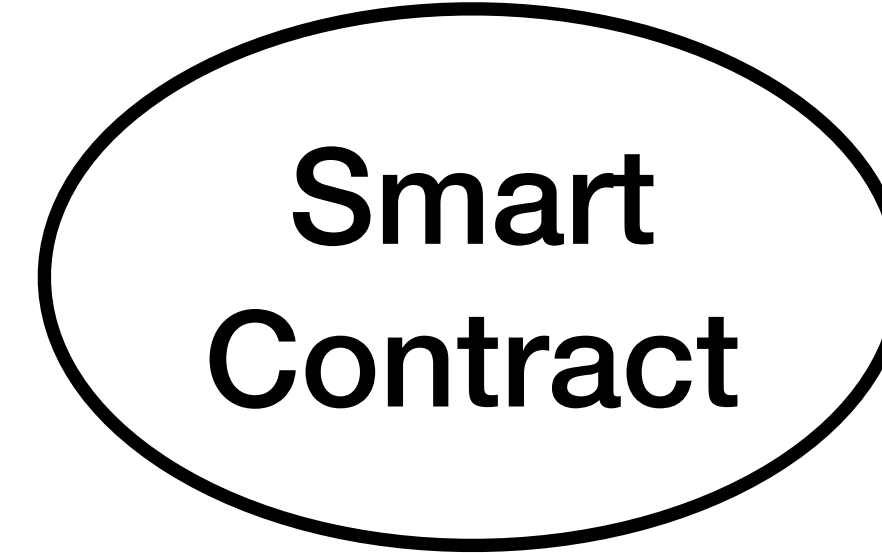
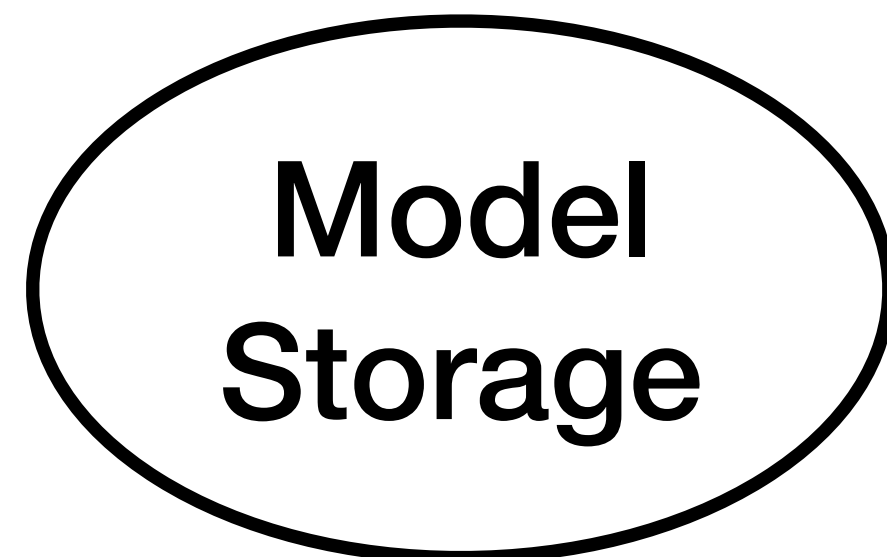
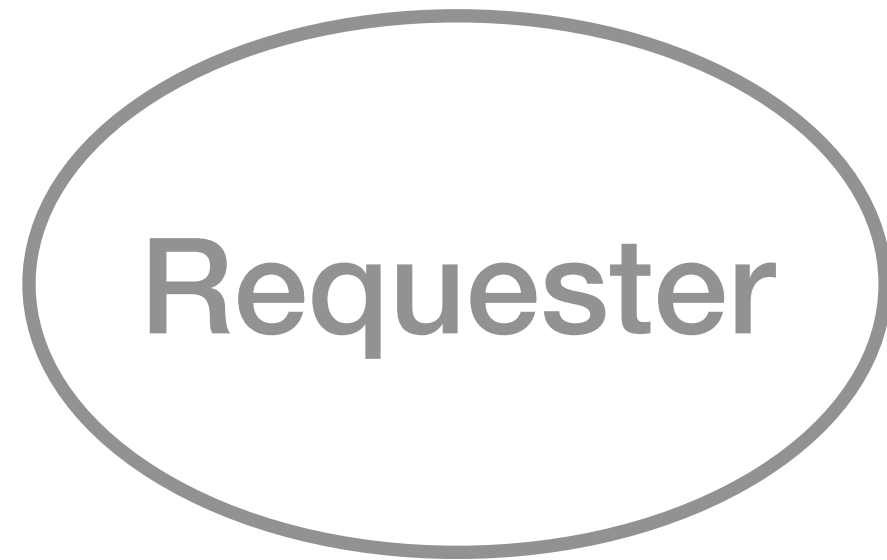
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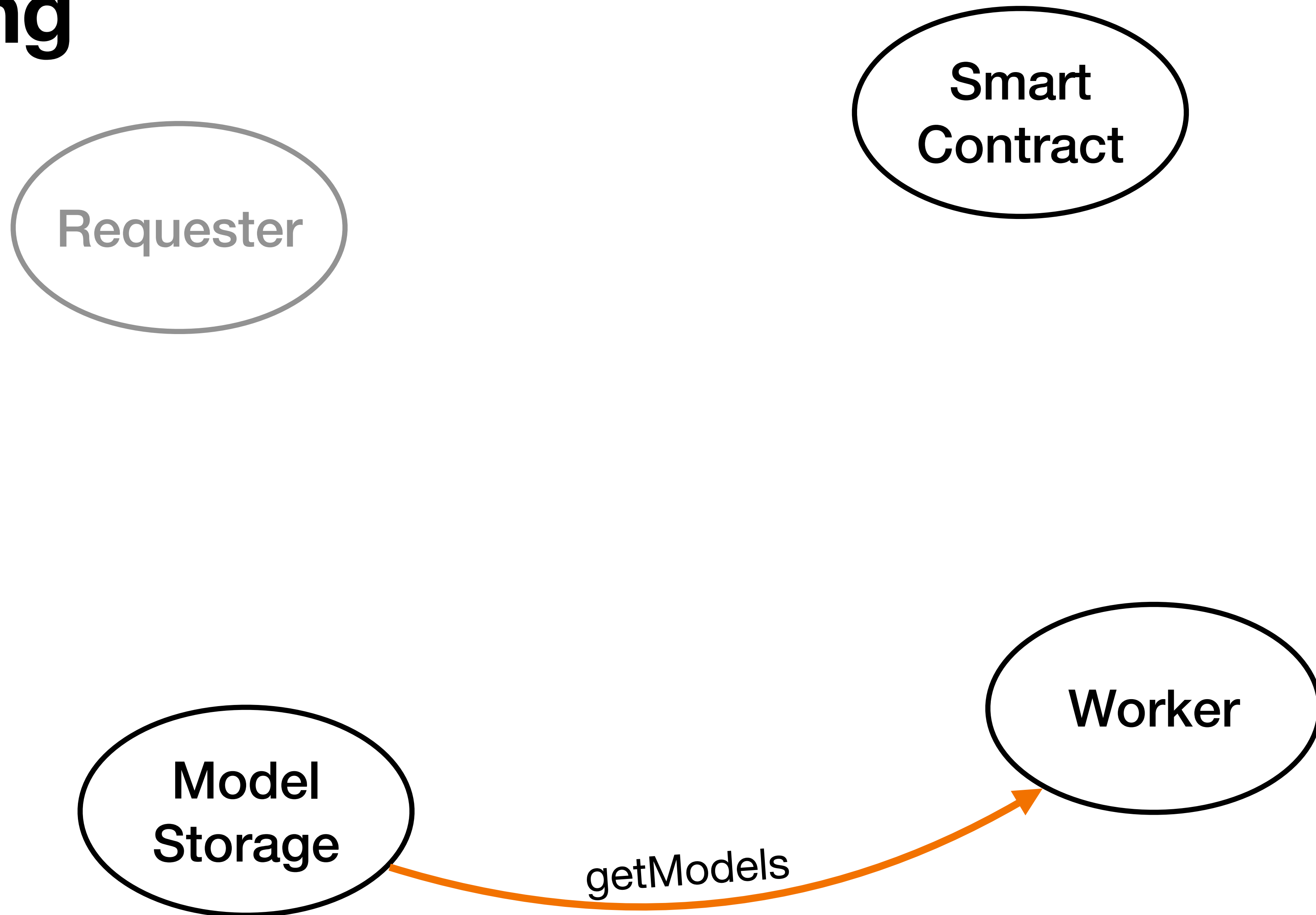
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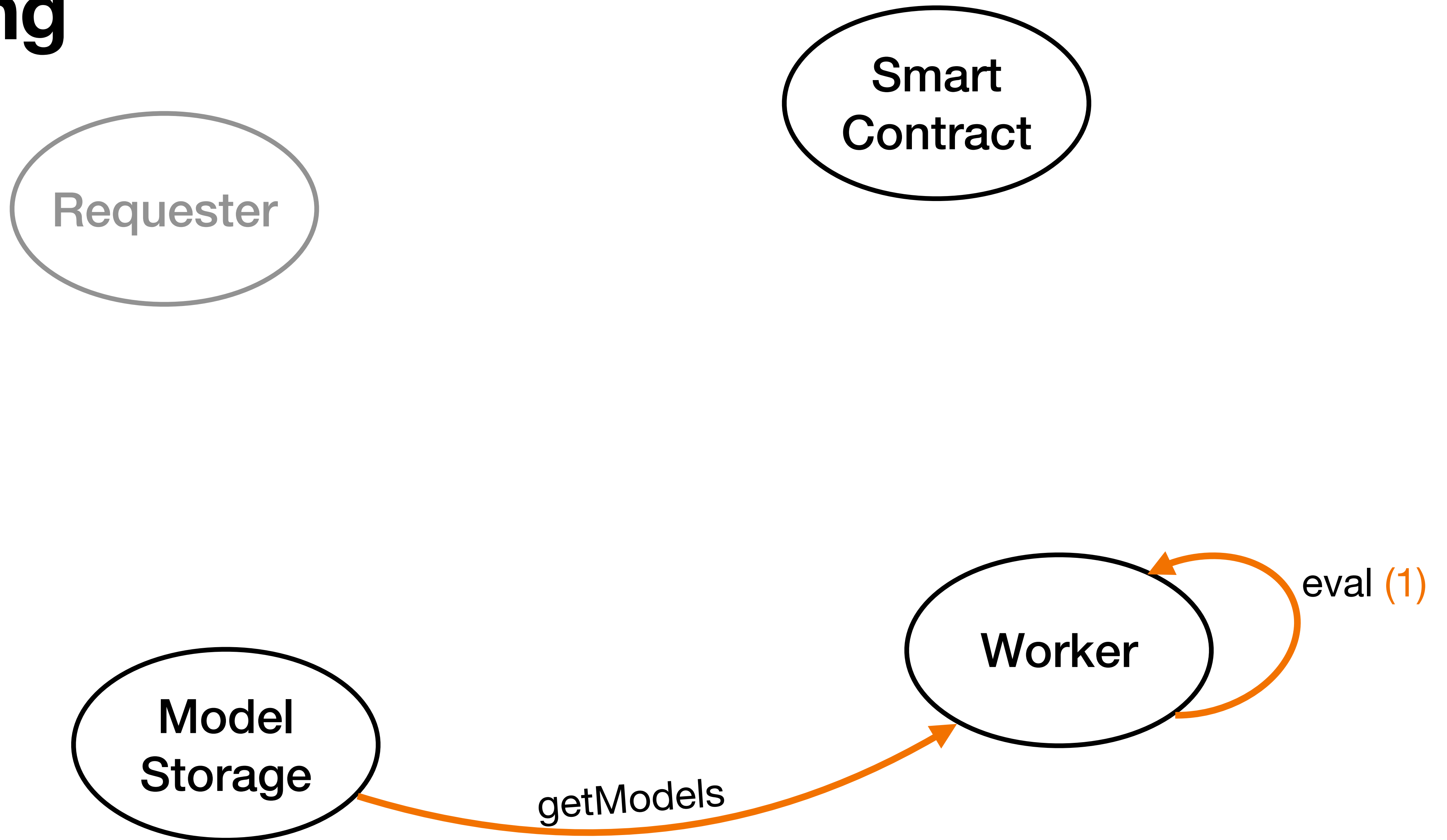
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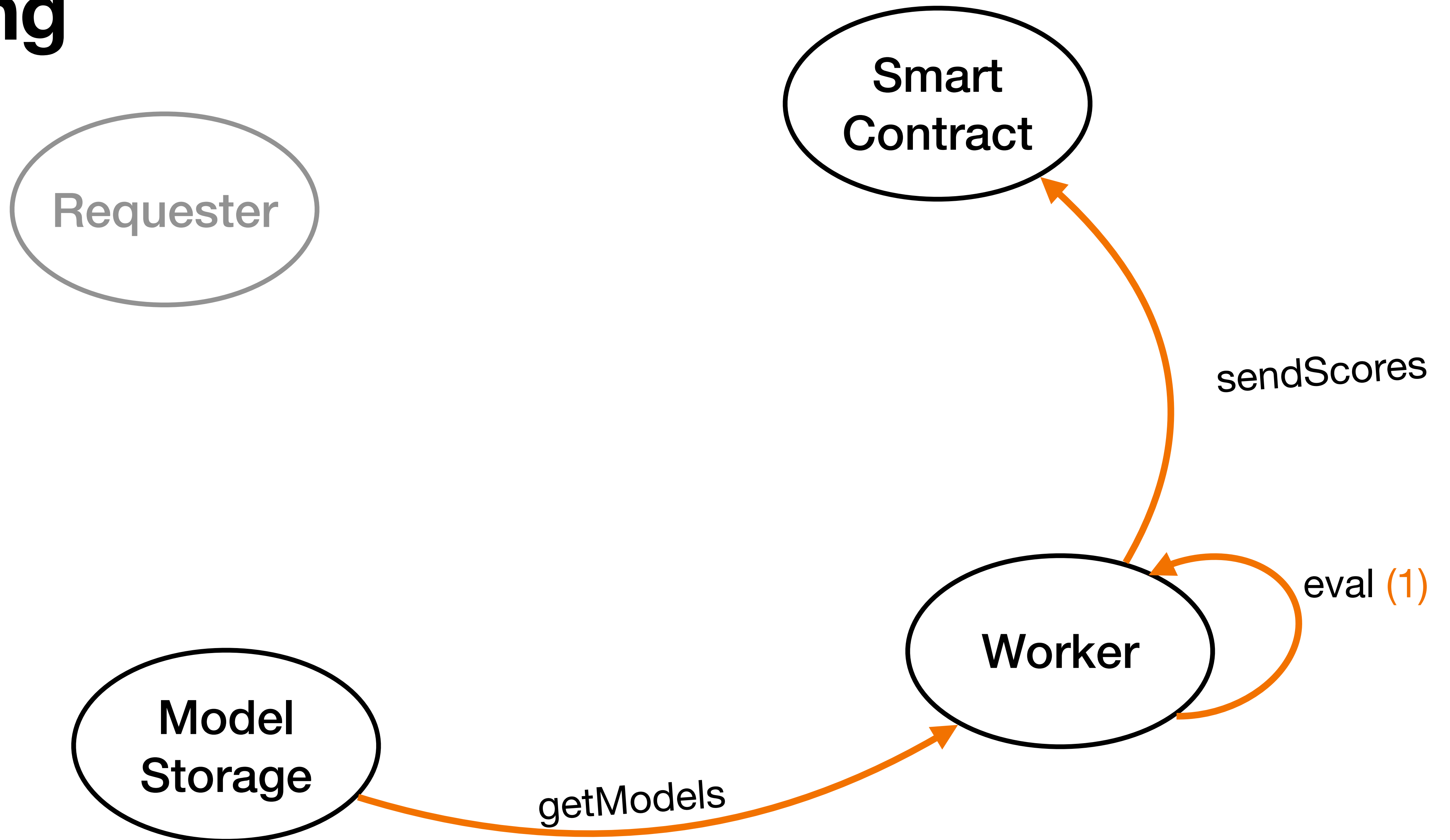


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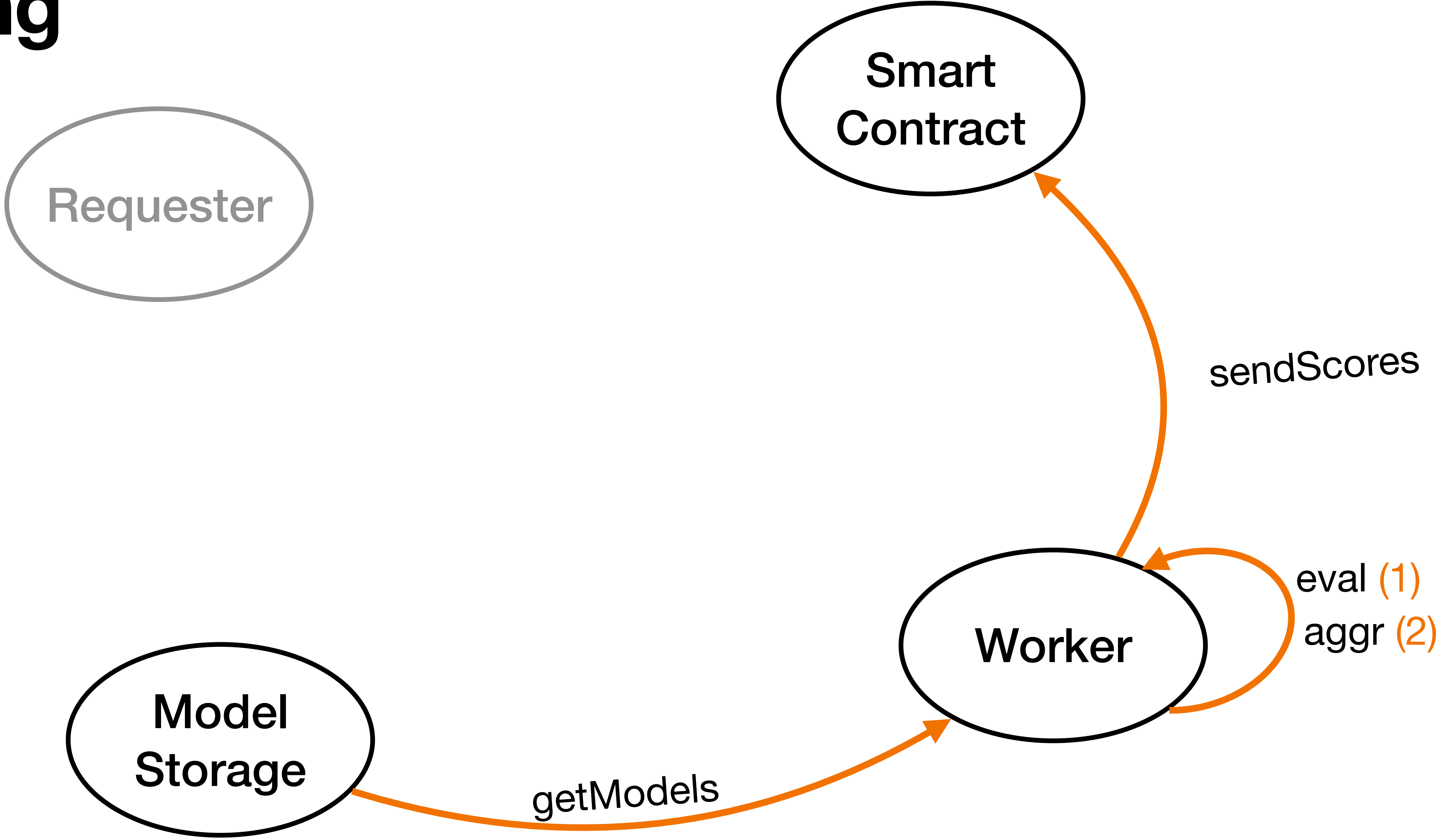




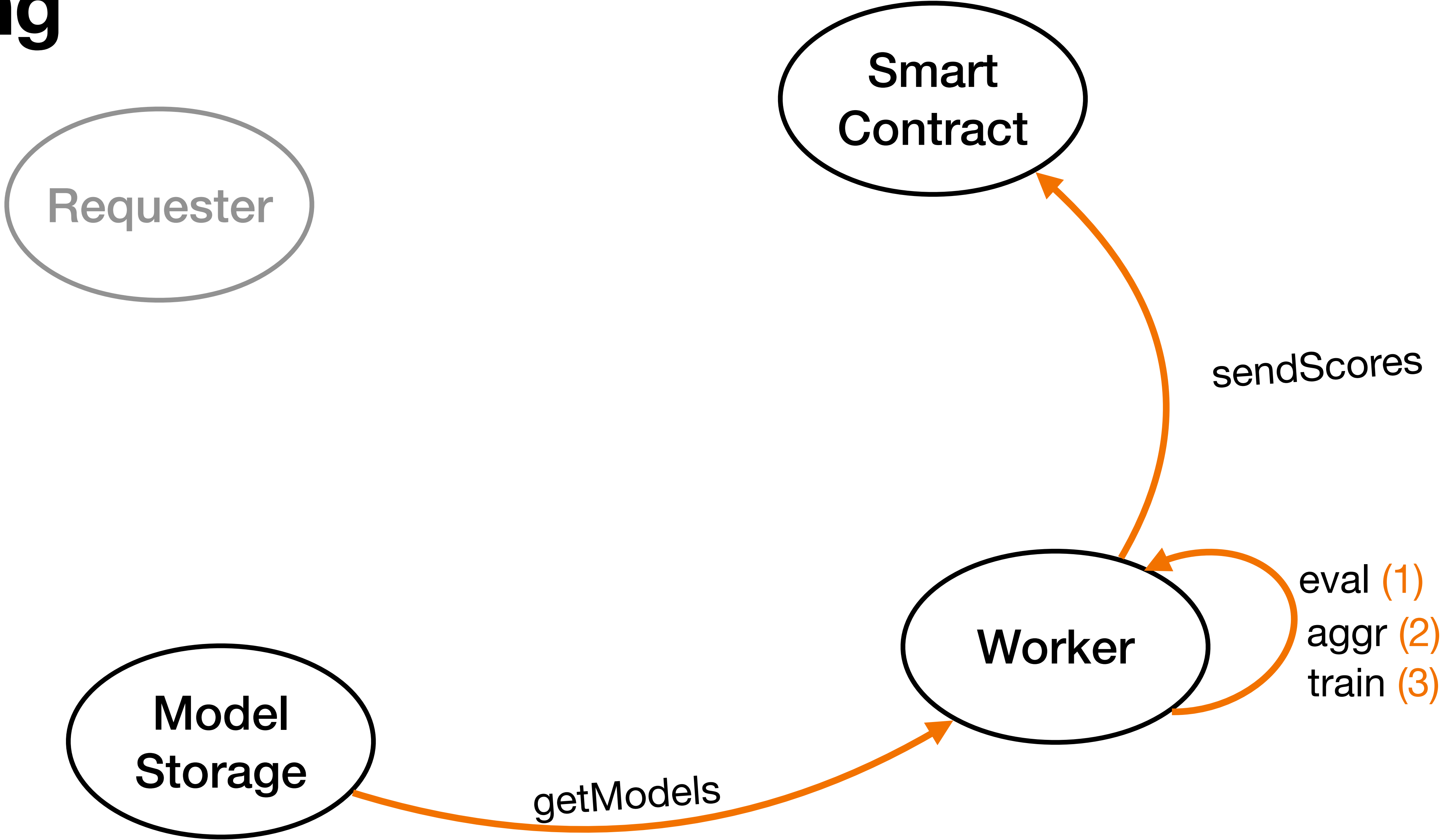
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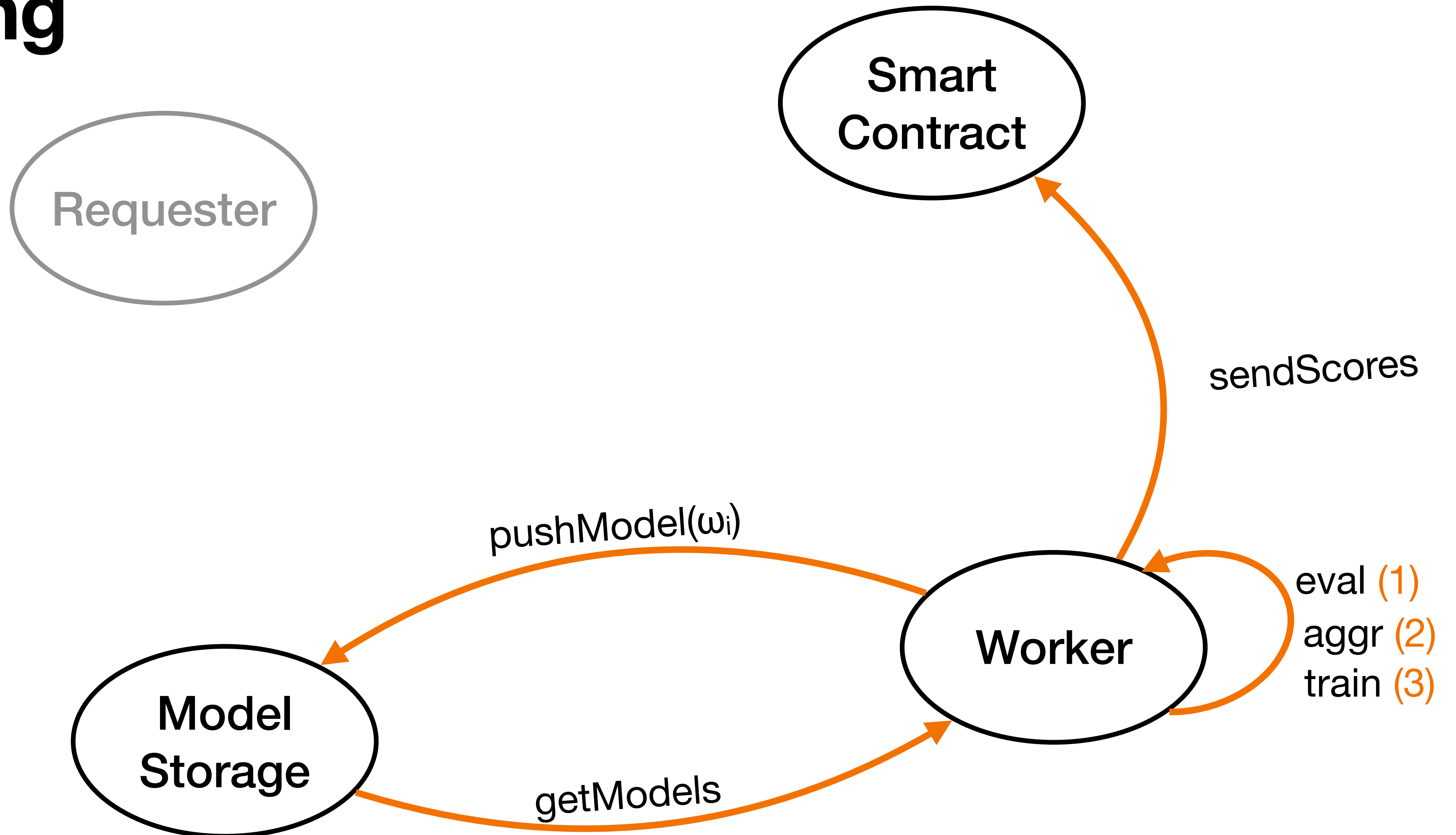
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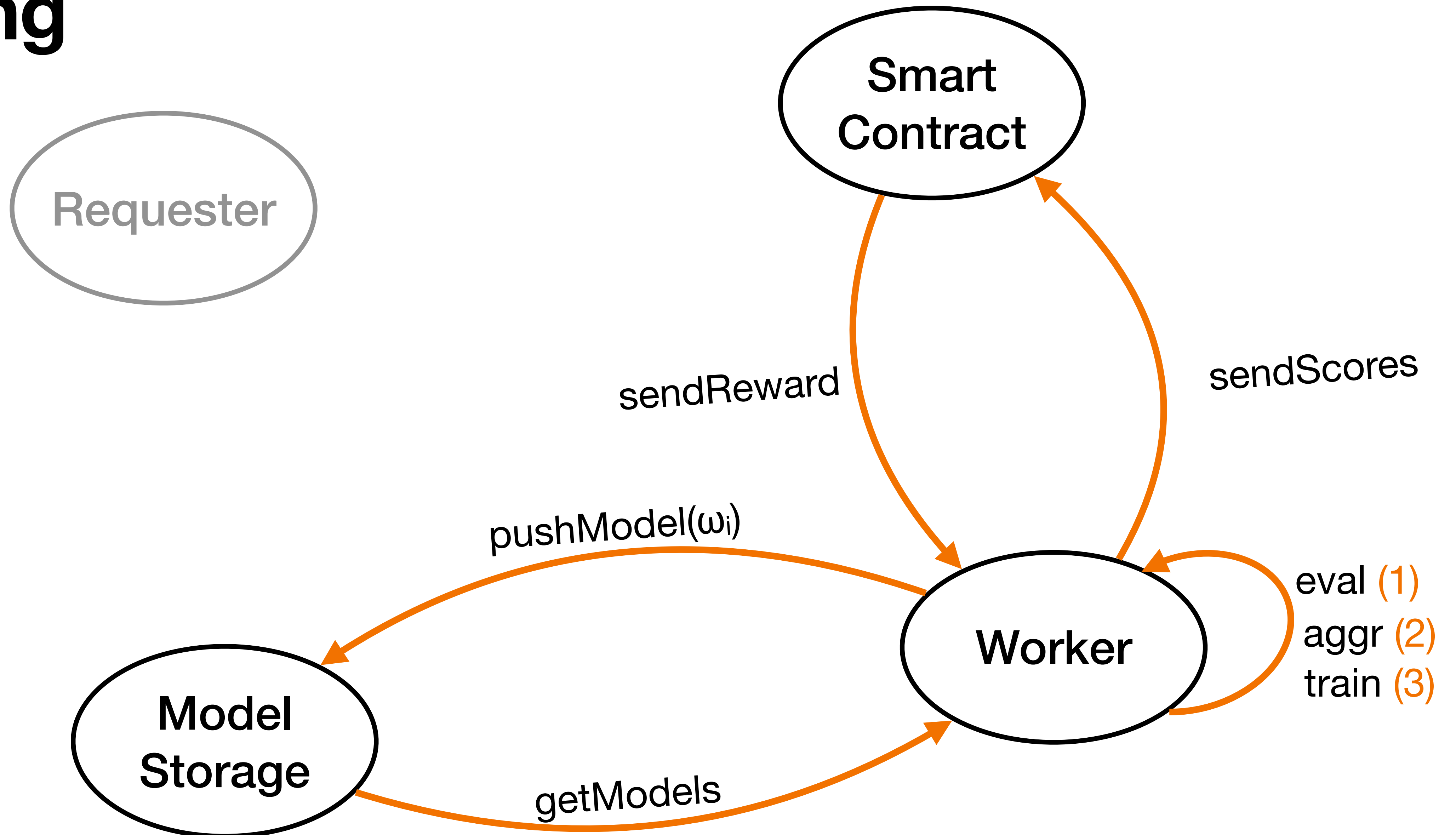
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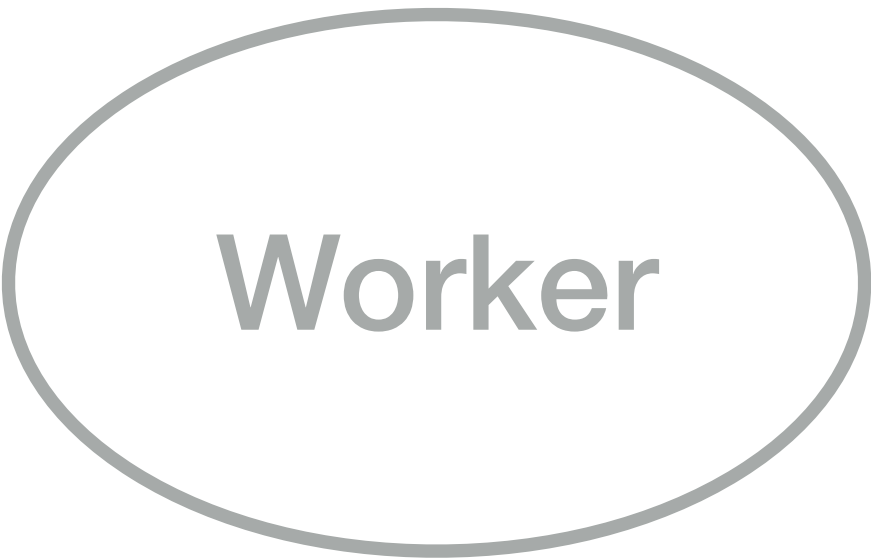
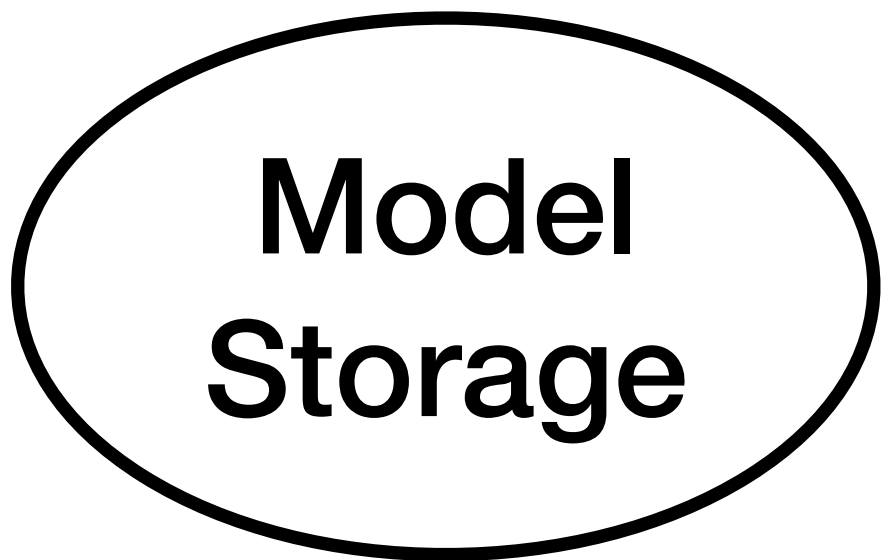
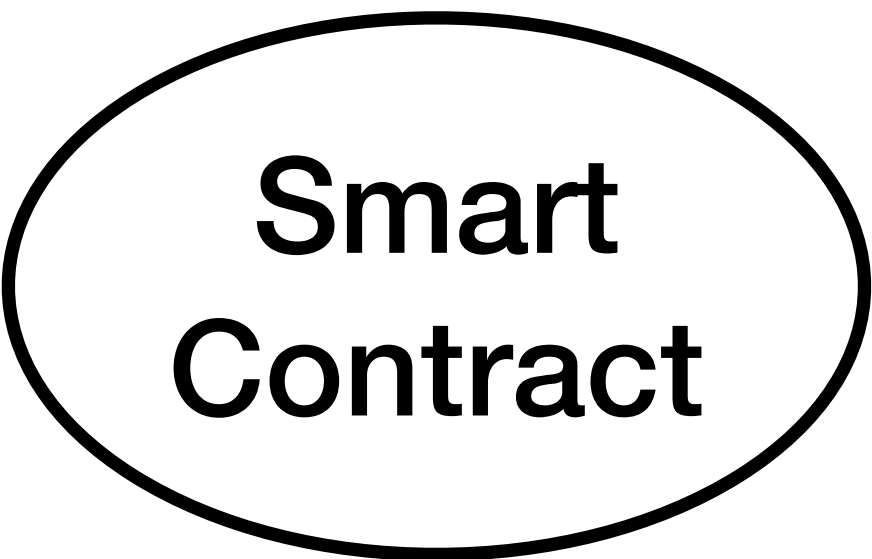
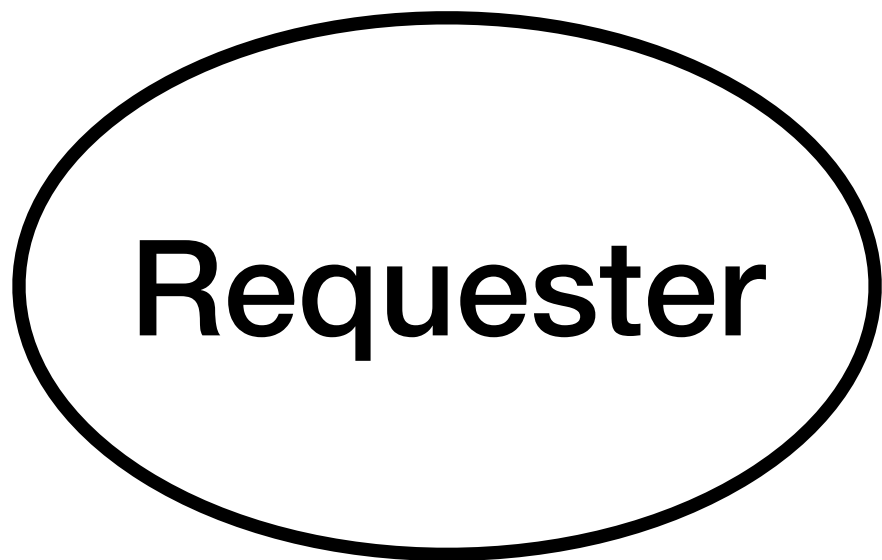
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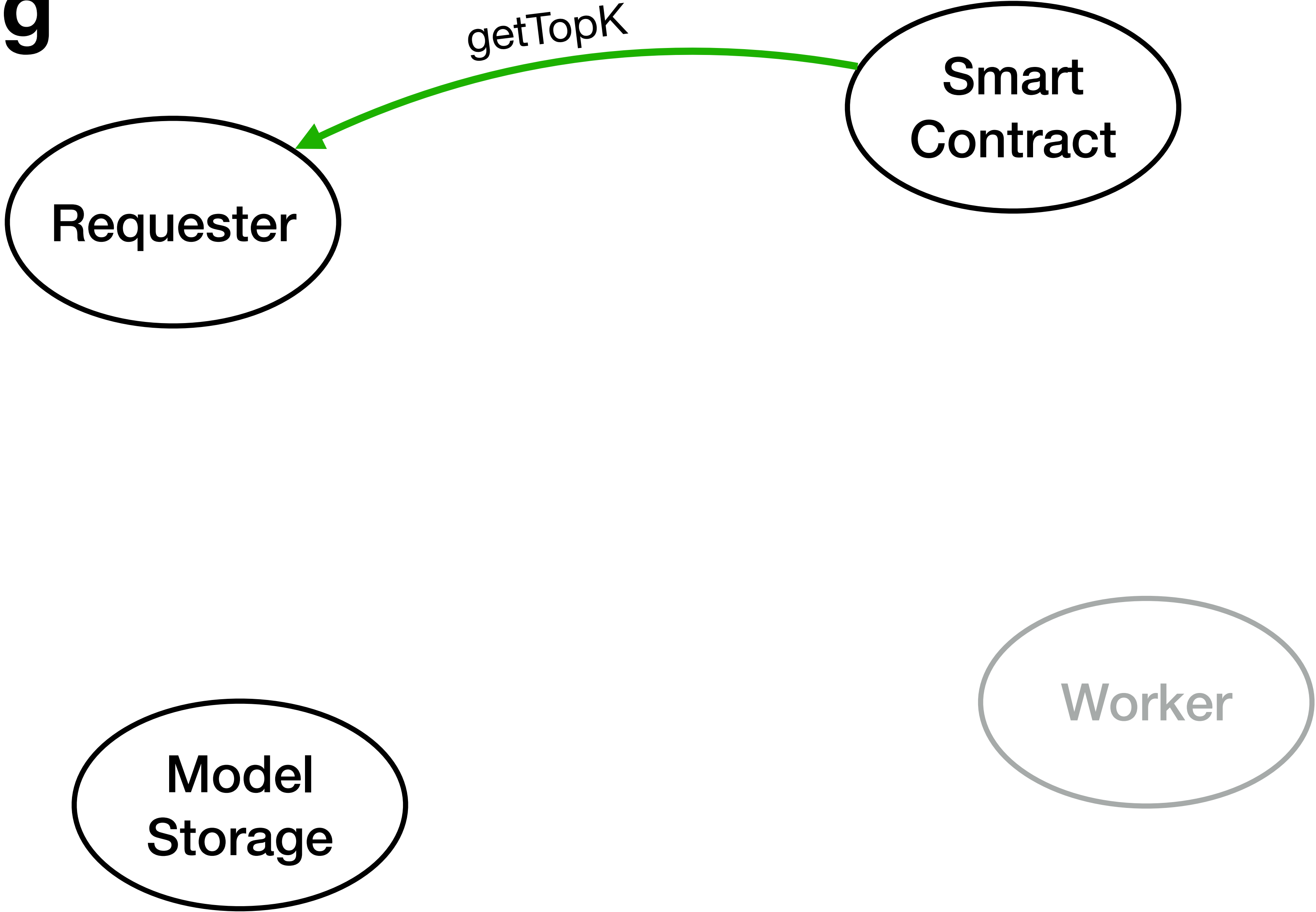


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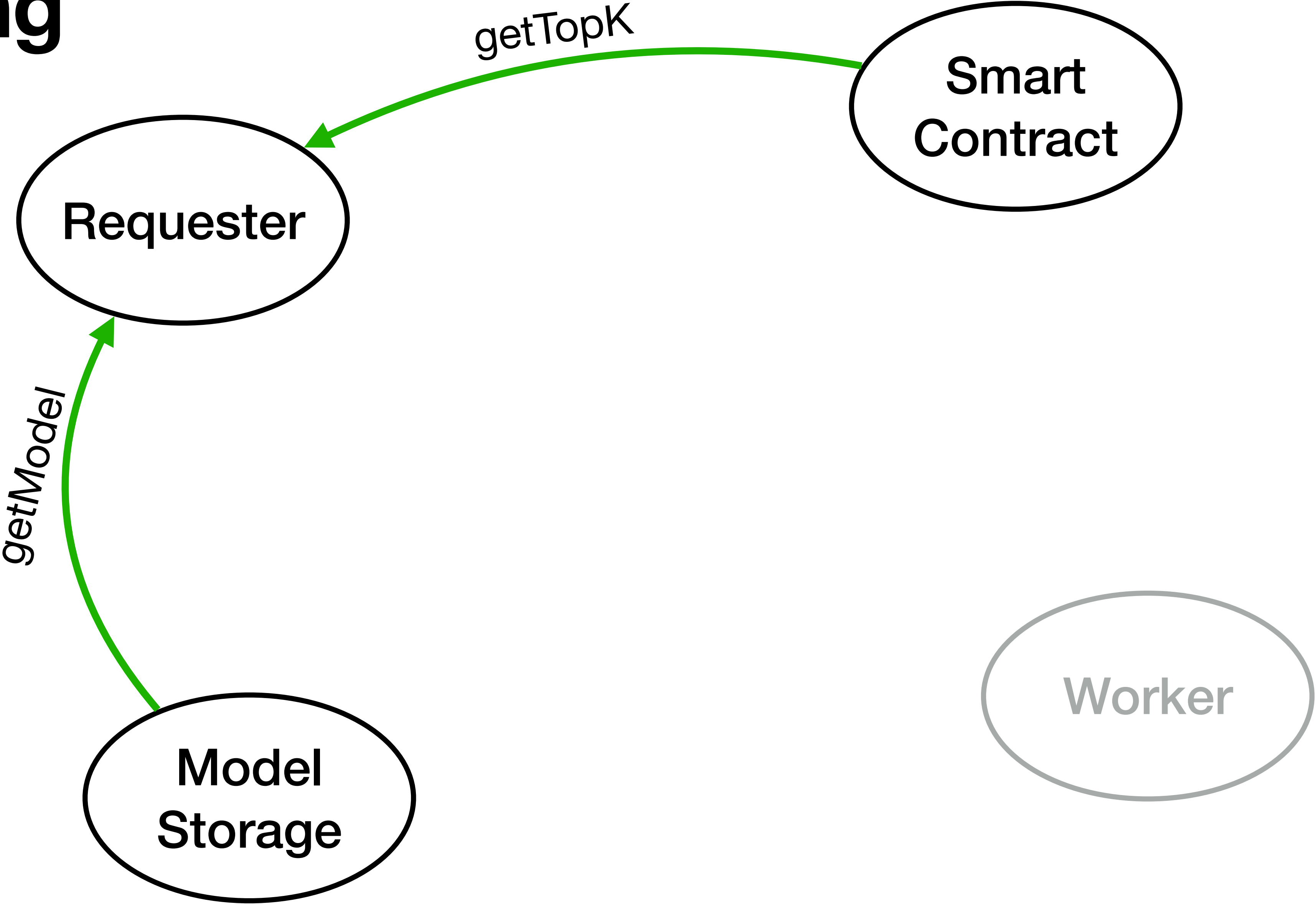




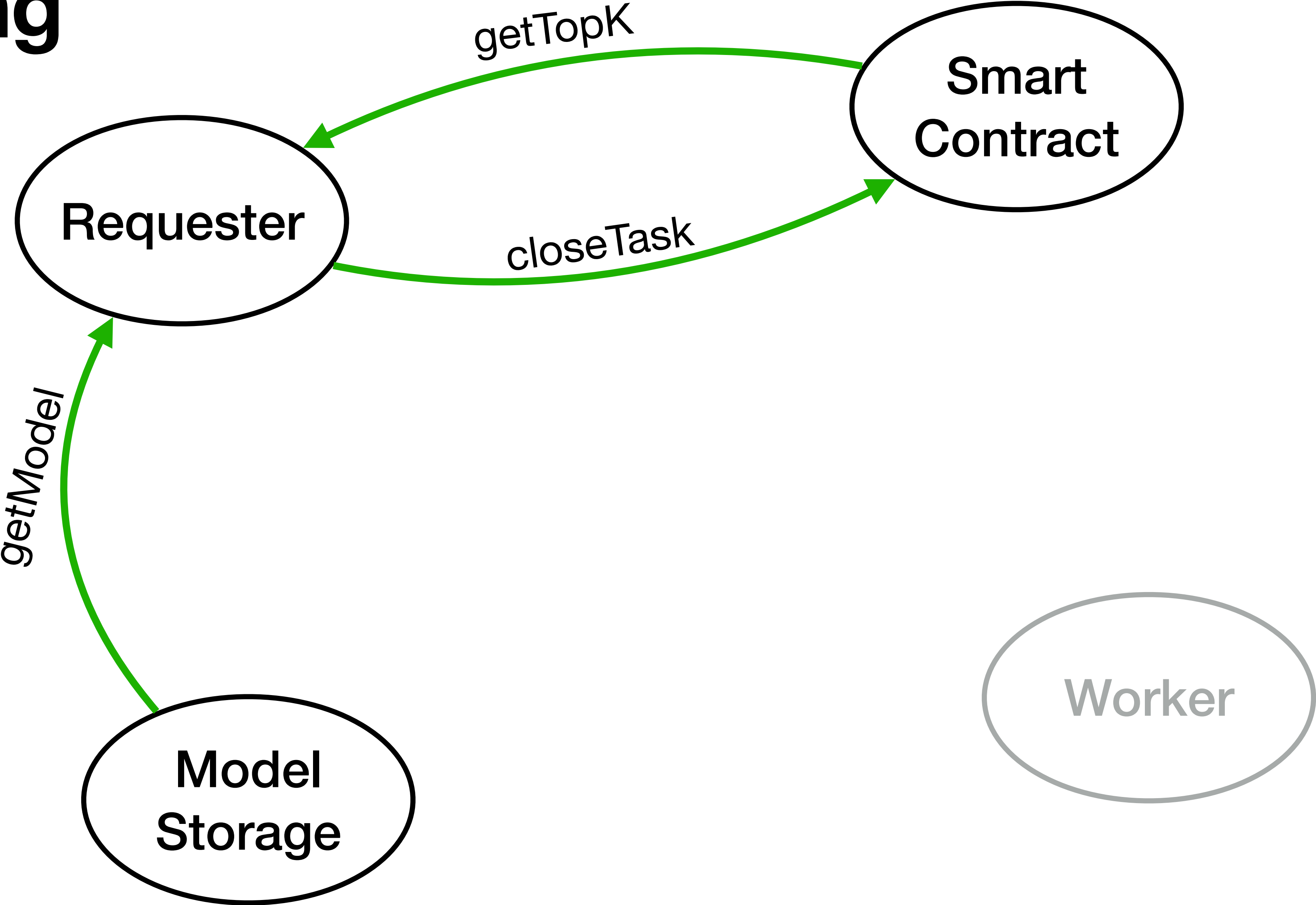
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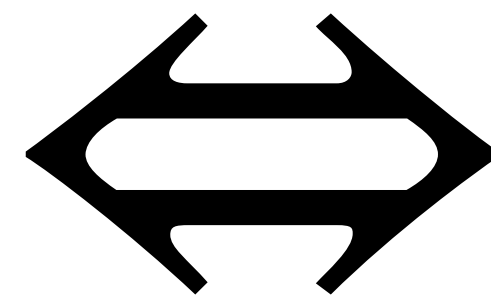
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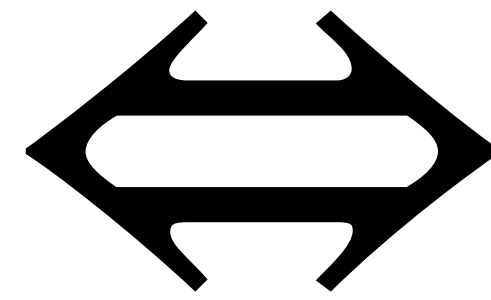
**Trustworthy**  
system



**Fair behaviour of**  
workers and  
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# Trust

**Trustworthy**  
system



**Fair behaviour of**  
workers and  
**rewards based on**  
**contribution**

- ▶ Poisoning the model is not leading to worthy rewards
- ▶ The workers are anonymized
  - ▶ Not possible to trigger rewards for “friend workers”



# Rewarding system

- Based on currency **deposit** on Smart Contract and mutual **evaluation**
- Workers are “paid” by the requester
- The requester is rewarded with the training of the model

# Evaluation system

- Each worker evaluates other workers' model on his validation set
  - Better model performance  $\Rightarrow$  Better reward
- BlockFLow-like contribution scoring procedure
  - Penalize low-quality models and inaccurate evaluations

# Implementation

# ML Implementation

- **Assumption:** workers data is disjoint
- 20% of worker's datasets are used for validation
- In principle, the architecture is model agnostic
  - Requester distributes the initial model
  - More sophisticated aggregation schemes could be implemented (see *future work*)

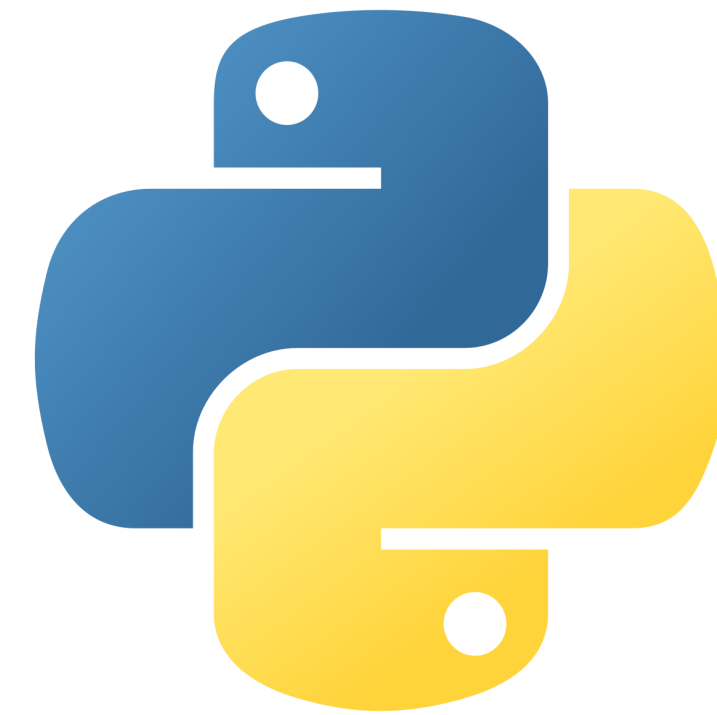
# ML Implementation

- **Lack of infrastructure enforces simulation of distributedness**
  - Simplifies playing with different parameters
  - Simplifies timing and synchronization issues
  - Simplifies storage access

# Smart Contract Implementation

- Incentive mechanism implemented through smart contract
- Deployed by the requester, interacts with workers and requester
- Main functionalities:
  - Round coordination
  - Score aggregation
  - Reward distribution

# Smart Contract Implementation



# Future work & Conclusions



# Future work

- Decentralize File System
  - Use IPFS (c.f. BlockFLow)
  - Store model on Blockchain (c.f. BAFFLE)
- Extend prototype to work with distributed network
- Implement cryptography and anonymity
- Improve the aggregation algorithm

# Conclusions

- We designed **DiscoFL**: an architecture for decentralized FL powered by a Blockchain-based incentive mechanism.
- We defined our notion of trust and how our system can be trustworthy.
- We implemented a simplified prototype providing a demo of the main functionalities of DiscoFL.

# Thanks for the attention!

**Any questions?**