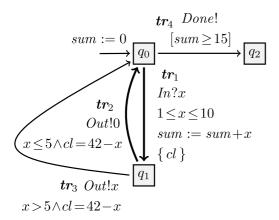
# Test case generation

The construction of the test case is obtained by applying dedicated symbolic execution techniques to the reference timed symbolic automaton, in order to derive a symbolic subtree restricted to the test purpose, i.e., a path represented as a sequence of transitions of the reference automaton. In the following, we **first provide** an overview of these test-oriented symbolic techniques, and then describe the test case generation itself, obtained by applying transformations to this subtree (mirroring and constraint simplifications).

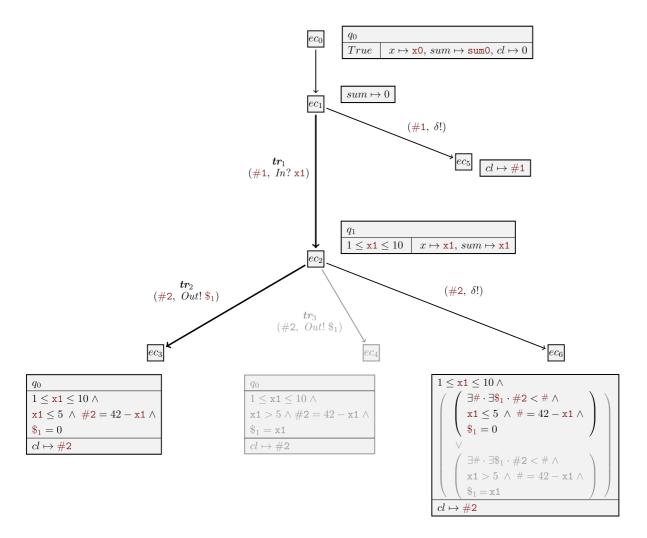
## 1. Test-oriented Symbolic Execution Techniques

**Symbolic execution** explores a model by representing both data and time with symbolic variables instead of concrete values. It unfolds the automaton while generating constraints over symbolic variables, producing a **symbolic execution tree**. The tree's nodes are **execution contexts**, and its edges represent symbolic steps such as initialization, transition firing, or **quiescence completion**.

Recall the dummy automaton example (discussed model specification tutorial):



The symbolic execution tree (restricted by test purpose transitions sequence  $\mathbf{tr}_1$ .  $\mathbf{tr}_2$ ):



#### **Execution Contexts**

An **execution context**  $ec = (q, \pi, \lambda, ev, pec)$  consists of:

- The current state q.
- The **path condition**  $\pi$  (accumulated constraints).
- The mapping  $\lambda$  of variables and clocks to symbolic terms.
- The triggering event ev.
- The predecessor context pec.

The **root context**  $ec_0$  starts in  $q_0$ , with clocks at zero, variables assigned fresh symbols,  $\pi = True$ , and ev and pec undefined. Initialization produces the first successor,  $ec_1$ .

Symbolic Variables: Fresh symbolic variables are introduced:

 $\times 0$ ,  $\times 1$ , ... represent successive values of a data variable x (with  $\times 0$  being the initial value).

#1, #2, ... denote symbolic delays.

\$1, \$2, ... denote **emitted values** typed according to their channels.

#### Symbolic Paths

Contexts  $ec_2$ ,  $ec_3$ , and  $ec_4$  illustrate the symbolic execution of transitions  $\mathbf{tr}_1$ ,  $\mathbf{tr}_2$ , and  $\mathbf{tr}_3$ .

#### 1. Edge from $ec_1$ to $ec_2$ (tr<sub>1</sub>):

- Transition from  $q_0$  to  $q_1$  via input In.
- $\circ \ x$  is updated to  $\times 1$ . Clock cl is reset to 0.
- Edge label: symbolic action  $In? \times 1$  and delay #1.
- **Path condition**:  $1 \le x1 \le 10$  (from guard  $1 \le x \le 10$ ).
- Update:  $sum \mapsto x1$ .

#### 2. Edge from $ec_2$ to $ec_3$ (tr<sub>2</sub>):

- Transition from  $q_1$  to  $q_0$ , emitting on channel Out.
- #2 is elapsed time, and \$1 is the emitted value. Clock value becomes #2.
- Path condition:  $x1 \le 5$  and #2 = 42 x1 (from guard  $x \le 5$  and cl = 42 x), and \$1 = 0.

The symbolic path  $ec_1.ec_2.ec_3$  corresponds to model path  $\mathbf{tr}_1.\mathbf{tr}_2$ , yielding the symbolic trace (#1,  $In?\times1$ ). (#2, Out!\$1).

The **path condition** for this trace (#1 is unconstrained) is:

```
1 \le x1 \le 10 \land x1 \le 5 \land #2 = 42 - x1 \land $1 = 0
```

This is **satisfiable** e.g. with  $\times 1 \mapsto 1$ ,  $\$1 \mapsto 0$ ,  $\$1 \mapsto 0$ ,  $\$2 \mapsto 41$ , producing the **timed trace** (0, In?1). (41, Out!0). This trace shows the system receives In?1 after initialization and emits Out!0 41 time units later.

#### Completion by Quiescence

Contexts  $ec_5$  and  $ec_6$  model **quiescence** (system silence). Symbolic variables are reused across sibling contexts (e.g., #1 for  $ec_2$  and  $ec_5$ ).

- Quiescence context  $ec_5$ : Derived from  $ec_1$ . The edge is labeled with the quiescence event (#1,  $\delta$ !). The system may remain silent indefinitely, reflected by  $\pi = True$  and unconstrained delay #1.
- Quiescence context  $ec_6$ : Derived from  $ec_2$ 's output successors ( $ec_3$  and  $ec_4$ ). Its path condition is a disjunction of existential constraints (e.g.,  $\exists \# \cdot \exists \$1 \cdot \#2 < \# \land \ldots$ ), capturing that quiescence persists until an output is possible.
- Trace-determinism and pruning: For a chosen Test Path (TP)  $ec_1.ec_2.ec_3$  (which implies  $x1 \le 5$ ), context  $ec_4$  (which implies x1 > 5) conflicts and is removed (grayed out). This simplifies  $ec_6$ 's path condition.

A witness timed trace  $(0, In?1) \cdot (40, \delta!)$  covers  $ec_6$  (with  $x1 \mapsto 1$ , #2  $\mapsto$  40), demonstrating that after In?1, the system can remain silent for 40 time units, expecting the next output at 41.

#### SPTG Workflow

For a model  $\mathbb{G}$ , the **Symbolic Path-guided Test Generation (SPTG)** workflow restricts symbolic exploration to a **model path**  $p = \mathbf{tr}_1 \cdots \mathbf{tr}_n$ , chosen as a **test path (TP)**.

Starting from the initial state  $q_0$ , the workflow performs **symbolic execution along** p, using the SMT solver **Z3** to verify:

- · satisfiability of execution contexts,
- · trace-determinism, and
- conflict detection.

The workflow proceeds through the following five main steps:

#### 1. Symbolic execution along the path

- $\circ$  From the current execution context  $ec_1$ , all successor contexts are computed (Custom Symbex).
- $\circ$  For each transition  $\mathbf{tr}_i$ , the workflow checks whether it can be fired.
- o If the transition is fireable, exploration continues exploring the remaining suffix  $p'=\mathbf{tr}_{i+1}\cdots\mathbf{tr}_n$  from the successor produced by  $\mathbf{tr}_i$ , .
- Otherwise, the exploration stops.

#### 2. Conflict removal

• Any conflicting contexts detected during symbolic execution are removed.

#### 3. Trace-determinism verification

- The workflow verifies that no two sibling contexts on the same channel could be covered by the same trace.
- Exploration halts if nondeterminism is detected.

#### 4. Incorporation of quiescence contexts

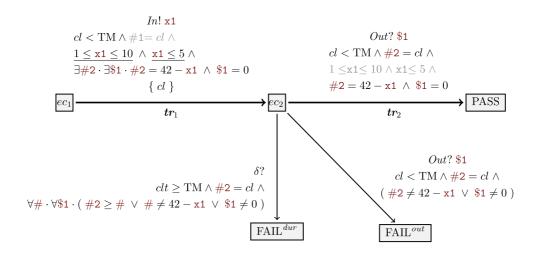
o Quiescence contexts are added, producing a restricted, deterministic, quiescence-augmented symbolic execution tree  $SE(\mathbb{G})_{/p'}^{\delta}$  which contains the path and its immediate trace-deterministic divergences.

#### 5. Test case synthesis

 $\circ$  The final step synthesizes from  $SE(\mathbb{G})_{/p}^{\delta}$  the timed symbolic test case  $\mathbb{TC}_p$ .

In the following, we detail the construction of  $\mathbb{TC}_p$ , illustrated below for our running dummy example, and explain how SPTG generates it from the given model path p, which serves as the test purpose.

The test case  $\mathbb{TC}_{\mathbf{tr}_1.\mathbf{tr}_2}$  which corresponds to the test purpose path  $\mathbf{tr}_1.\mathbf{tr}_2$  (partial view):



### 2. Symbolic path-guided test case

The test case  $\mathbb{TC}_p$  is defined as a **timed symbolic transition system** equipped with a **single clock**  $\mathfrak{cl}$ , which measures the elapsed time before each action it performs.

The **data variable set** of  $\mathbb{TC}_p$  includes all symbolic variables used to produce the execution contexts covering the path p.

These variables represent the information known and manipulated by the test case as execution progresses, including:

- Input values to stimulate the SUT with (e.g., x1) and their associated submission durations (e.g., #1).
- Output values expected from the SUT (e.g., \$1) and their corresponding observation times (e.g., #2).

#### **Clock constraint**

The clock satisfies:

where TM denotes the maximal waiting time before either:

- o applying a stimulation, or
- o observing an output.

This timing mechanism, combined with quiescence detection ( $cl \geq TM$ ), ensures that the test case can be implemented in a real-time environment.

#### Test case general structure

The test case mirrors  $SE(\mathbb{G})_{/p}^{\delta}$  and is used to **check the conformance** of the SUT to  $\mathbb{G}$  along the symbolic path p.

Roughly speaking, test case structure is obtained as follows:

• The execution contexts related to path p form the **main branch** leading to the verdict PASS. The target context is replaced by PASS.

- Any deviation from this branch triggers a verdict state:
  - FAIL if the behavior violates expectations.
  - INC (inconclusive) if no clear verdict can be determined.

#### Test case guard derivation

The **guard** of the test-case transition from  $ec_1$  to  $ec_2$  is derived from the target of the test path (TP), denoted  $ec_3$ .

It guides the selection of the stimulation  $In!x_1$  along this path.

The guard is expressed as:

$$cl < TM \land 1 \le x1 \le 10 \land x1 \le 5 \land \exists \#2 \cdot \exists \$1 \cdot (\#2 = 42 - x1 \land \$1 = 0)$$

At this stage:

- x1 and its duration #1 are determined.
- #2 and \$1 remain undetermined.

The variable x1 is constrained by the path condition of  $ec_3$  (corresponding to small input values), whereas #1 is unconstrained and can therefore be omitted (shown as grayed out in the explanatory figure of the test case  $\mathbb{TC}_{\mathbf{tr}_1,\mathbf{tr}_2}$ ).

Conditions producing  $ec_3$  are, by default, under existential quantifiers:  $\exists \#2 \cdot \exists \$1 \cdot (x1 \le 5 \land \cdot \#2 = 42 - x1 \land \$1 = 0)$ . Since #2 and \$1 do not occur freely in  $x1 \le 5$ , this constraint is moved outside the quantifiers, yielding the final guard.

Following the test path, the test case expects an observation Out?\$1 on channel Out, storing it in \$1. It transitions from  $ec_2$  to PASS under the following guard:

$$cl < \text{TM} \land \text{#2} = cl \land 1 \leq \text{x1} \leq 10 \land \text{x1} \leq 5 \land \text{#2} = 42 - \text{x1} \land \text{\$1} = 0$$

- The formulas  $1 \le x1 \le 10$  and  $x1 \le 5$  appear *grayed* because they are inherited from earlier transitions.
- The remaining guard ensures that:
  - the observed value \$1 matches the expected output 0 for small inputs ( $x1 \le 5$ ), and
  - $\circ$  the measured duration #2 recorded by cl equals 42 x1.

Transition to  $FAIL^{out}$  is triggered when #2 is within the time limit (TM), but either the duration or the observed value \$1 violates the guard from  $ec_2$  to PASS:

$$cl$$
 <  $\mathrm{TM}$   $\wedge$  #2 =  $cl$   $\wedge$  1  $\leq$  x1  $\leq$  10  $\wedge$  x1  $\leq$  5  $\wedge$  (#2  $\neq$  42 - x1  $\vee$  \$1  $\neq$  0)

Transition to  ${\rm FAIL}^{dur}$  captures invalid quiescence, defined by:

$$cl \geq \mathrm{TM} \wedge \text{#2} = cl \wedge \forall \text{ #} \cdot \forall \text{ $1} \cdot \text{(#2} \geq \text{#} \vee \text{#} \neq \text{42} - \text{x1} \vee \text{$1 \neq 0$)}$$

Other test case transitions are shown in (complete) test case image generated by SPTG.

Example verdicts (for TM = 60)

Verdict Trace Description

Verdict	Trace	Description
PASS	(0, In?1). (41, Out!0)	Valid output and timing
$\overline{\mathrm{FAIL}^{out}}$	(0, In?1). (40, Out!0)	Incorrect timing
$\overline{\mathrm{FAIL}^{out}}$	(0, In?1). (41, Out!1)	Output mismatch
$\overline{\mathrm{FAIL}^{dur}}$	$(0,In?1).(60,\delta!)$	Quiescence beyond allowed duration

The last trace shows quiescence exceeding the allowed duration, with only (41, Out!0) as a valid output after (0, In?1), resulting in a  ${\rm FAIL}^{dur}$  verdict.