

# Test case generation

The construction of the test case is obtained by applying dedicated symbolic execution techniques to the reference timed symbolic automaton, in order to derive a symbolic subtree restricted to the test purpose, i.e., a path represented as a sequence of transitions of the reference automaton. In the following, we **first provide an overview of these test-oriented symbolic techniques**, and **then describe the actual test case generation**, obtained by applying specific transformations to this subtree (mirroring and constraint simplification). Finally, we show **how to use SPTG to generate the test cases**.

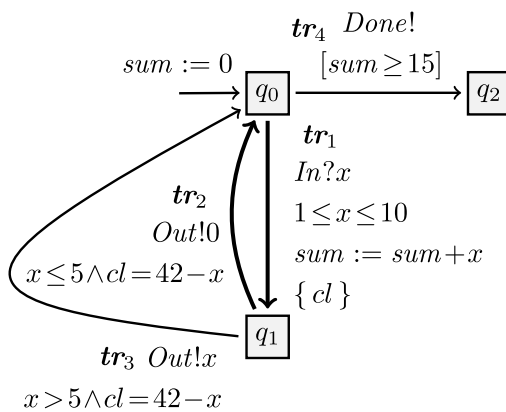
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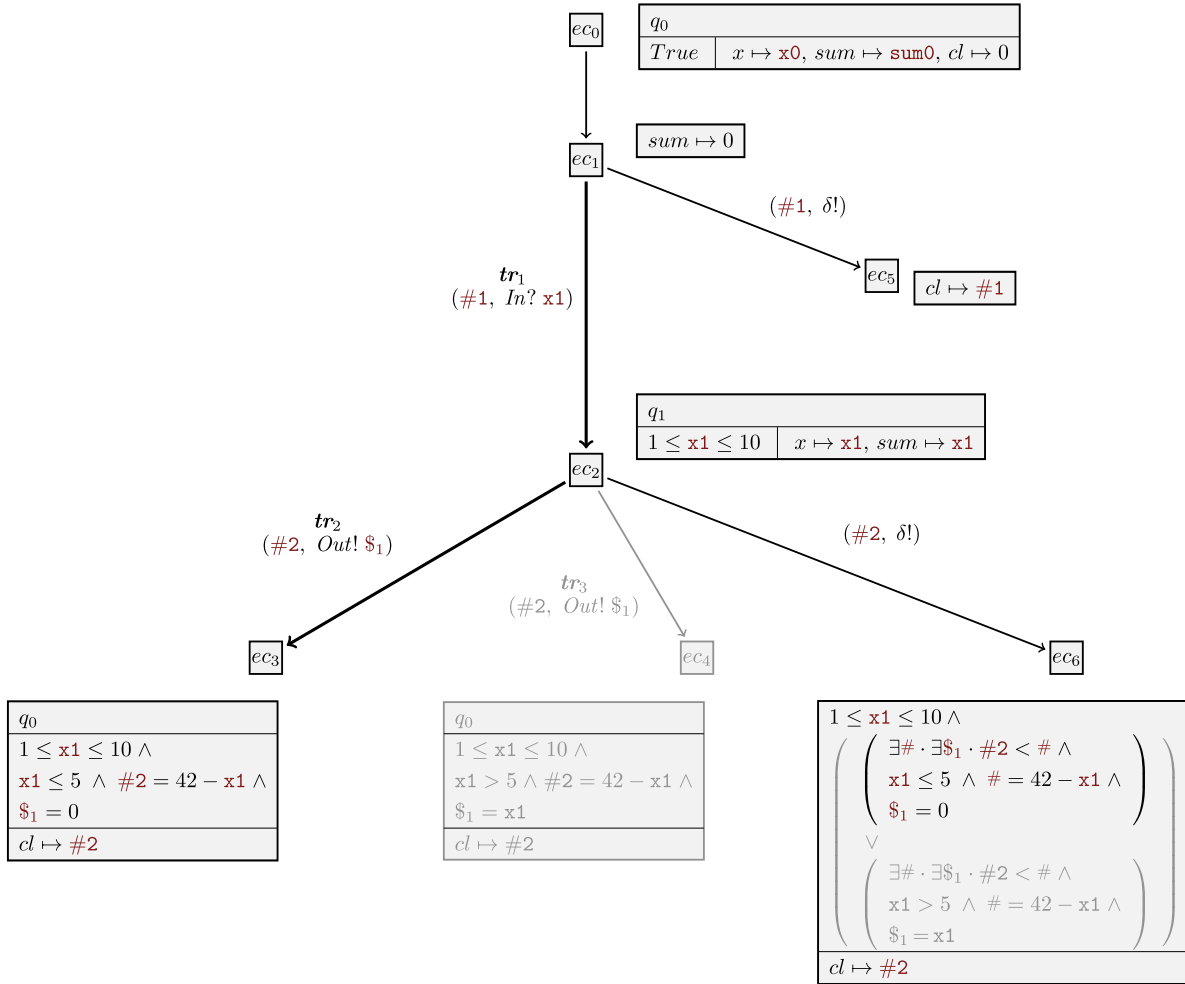
## Test-oriented Symbolic Execution Techniques

**Symbolic execution** explores a model by representing both data and time with symbolic variables instead of concrete values. It unfolds the automaton while generating constraints over symbolic variables, producing a **symbolic execution tree**. The tree's nodes are **execution contexts**, and its edges represent symbolic steps such as initialization, transition firing, or **quiescence completion**.

Consider the following dummy automaton example:



The symbolic execution tree (restricted by test purpose transitions sequence  $\mathbf{tr}_1 . \mathbf{tr}_2$ ):



## Execution Contexts

An **execution context**  $ec = (q, \pi, \lambda, ev, pec)$  consists of:

- The current state  $q$ .
- The **path condition**  $\pi$  (accumulated constraints).
- The mapping  $\lambda$  of variables and clocks to symbolic terms.
- The triggering event  $ev$ .
- The predecessor context  $pec$ .

The **root context**  $ec_0$  starts in  $q_0$ , with clocks at zero, variables assigned fresh symbols,  $\pi = True$ , and  $ev$  and  $pec$  undefined. Initialization produces the first successor,  $ec_1$ .

**Symbolic Variables:** Fresh symbolic variables are introduced:

$x_0, x_1, \dots$  represent successive values of a data variable  $x$  (with  $x_0$  being the initial value).

$\#1, \#2, \dots$  denote **symbolic delays**.

$\$1, \$2, \dots$  denote **emitted values** typed according to their channels.

## Symbolic Paths

Contexts  $ec_2$ ,  $ec_3$ , and  $ec_4$  illustrate the symbolic execution of transitions  $tr_1$ ,  $tr_2$ , and  $tr_3$ .

### 1. Edge from $ec_1$ to $ec_2$ ( $tr_1$ ):

- Transition from  $q_0$  to  $q_1$  via input  $In$ .
- $x$  is updated to  $x1$ . Clock  $cl$  is reset to 0.
- Edge label: symbolic action  $In?x1$  and delay  $\#1$ .
- **Path condition:**  $1 \leq x1 \leq 10$  (from guard  $1 \leq x \leq 10$ ).
- Update:  $sum \mapsto x1$ .

### 2. Edge from $ec_2$ to $ec_3$ ( $tr_2$ ):

- Transition from  $q_1$  to  $q_0$ , emitting on channel  $Out$ .
- $\#2$  is elapsed time, and  $\$1$  is the emitted value. Clock value becomes  $\#2$ .
- **Path condition:**  $x1 \leq 5$  and  $\#2 = 42 - x1$  (from guard  $x \leq 5$  and  $cl = 42 - x$ ), and  $\$1 = 0$ .

The symbolic path  $ec_1.ec_2.ec_3$  corresponds to model path  $tr_1.tr_2$ , yielding the symbolic trace  $(\#1, In?x1).(\#2, Out!\$1)$ .

The **path condition** for this trace ( $\#1$  is unconstrained) is:

$$1 \leq x1 \leq 10 \wedge x1 \leq 5 \wedge \#2 = 42 - x1 \wedge \$1 = 0$$

This is **satisfiable** e.g. with  $x1 \mapsto 1, \$1 \mapsto 0, \#1 \mapsto 0, \#2 \mapsto 41$ , producing the **timed trace**  $(0, In?1).(41, Out!0)$ . This trace shows the system receives  $In?1$  after initialization and emits  $Out!0$  41 time units later.

## Completion by Quiescence

Contexts  $ec_5$  and  $ec_6$  model **quiescence** (system silence). Symbolic variables are reused across sibling contexts (e.g.,  $\#1$  for  $ec_2$  and  $ec_5$ ).

- **Quiescence context  $ec_5$ :** Derived from  $ec_1$ . The edge is labeled with the quiescence event  $(\#1, \delta!)$ . The system may remain silent indefinitely, reflected by  $\pi = True$  and unconstrained delay  $\#1$ .
- **Quiescence context  $ec_6$ :** Derived from  $ec_2$ 's output successors ( $ec_3$  and  $ec_4$ ). Its path condition is a disjunction of existential constraints (e.g.,  $\exists \# \cdot \exists \$1 \cdot \#2 < \# \wedge \dots$ ), capturing that quiescence persists until an output is possible.
- **Trace-determinism and pruning:** For a chosen Test Path (TP)  $ec_1.ec_2.ec_3$  (which implies  $x1 \leq 5$ ), context  $ec_4$  (which implies  $x1 > 5$ ) **conflicts** and is removed (grayed out). This simplifies  $ec_6$ 's path condition.

A **witness timed trace**  $(0, In?1).(40, \delta!)$  covers  $ec_6$  (with  $x1 \mapsto 1, \#2 \mapsto 40$ ), demonstrating that after  $In?1$ , the system can remain silent for 40 time units, expecting the next output at 41.

## SPTG Workflow

For a model  $\mathbb{G}$ , the **Symbolic Path-guided Test Generation (SPTG)** workflow restricts symbolic exploration to a **model path**  $p = tr_1 \dots tr_n$ , chosen as a **test path (TP)**.

Starting from the initial state  $q_0$ , the workflow performs **symbolic execution along  $p$** , using the SMT solver **Z3** to verify:

- satisfiability of execution contexts,
- trace-determinism, and
- conflict detection.

The workflow proceeds through the following five main steps:

### 1. Symbolic execution along the path

- From the current execution context  $ec_1$ , all successor contexts are computed (Custom Symbex).
- For each transition  $\mathbf{tr}_i$ , the workflow checks whether it can be fired.
- If the transition is fireable, exploration continues exploring the remaining suffix  $p' = \mathbf{tr}_{i+1} \cdots \mathbf{tr}_n$  from the successor produced by  $\mathbf{tr}_i$ .
- Otherwise, the exploration stops.

### 2. Conflict removal

- Any conflicting contexts detected during symbolic execution are removed.

### 3. Trace-determinism verification

- The workflow verifies that no two sibling contexts on the same channel could be covered by the same trace.
- Exploration halts if nondeterminism is detected.

### 4. Incorporation of quiescence contexts

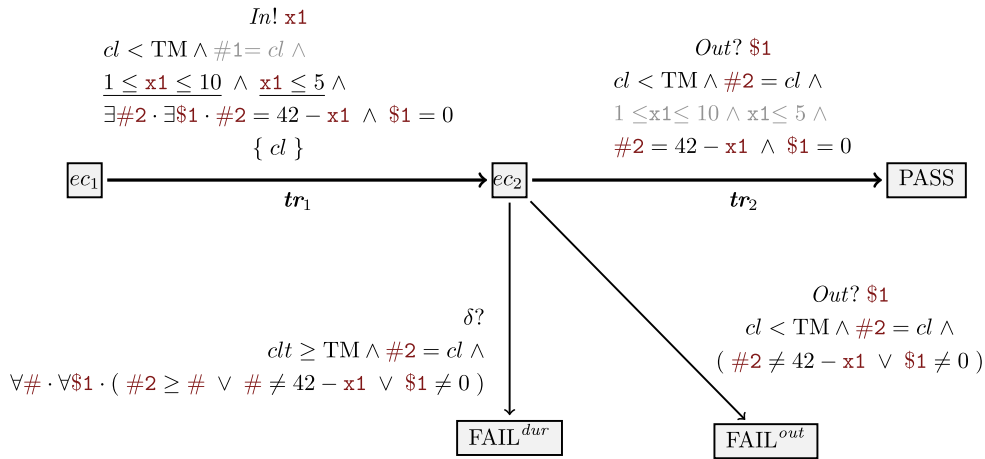
- Quiescence contexts are added, producing a restricted, deterministic, quiescence-augmented symbolic execution tree  $SE(\mathbb{G})_{/p}^\delta$ , which contains the path and its immediate trace-deterministic divergences.

### 5. Test case synthesis

- The final step synthesizes from  $SE(\mathbb{G})_{/p}^\delta$  the timed symbolic test case  $\mathbb{TC}_p$ .

In the following, we detail the construction of  $\mathbb{TC}_p$ , illustrated below for our running dummy example, and explain how SPTG generates it from the given model path  $p$ , which serves as the test purpose.

The test case  $\mathbb{TC}_{\mathbf{tr}_1.\mathbf{tr}_2}$  which corresponds to the test purpose path  $\mathbf{tr}_1.\mathbf{tr}_2$  (partial view):



## Symbolic Path-guided Test Case

The test case  $\mathbb{TC}_p$  is defined as a **timed symbolic transition system** equipped with a **single clock  $c1$** , which measures the elapsed time before each action it performs.

The **data variable set** of  $\mathbb{TC}_p$  includes all symbolic variables used to produce the execution contexts covering the path  $p$ .

These variables represent the information known and manipulated by the test case as execution progresses, including:

- **Input values** to stimulate the SUT with (e.g.,  $x1$ ) and their associated **submission durations** (e.g.,  $\#1$ ).
- **Output values** expected from the SUT (e.g.,  $\$1$ ) and their corresponding **observation times** (e.g.,  $\#2$ ).

### Clock constraint

- The clock satisfies:

$$cl < TM$$

where  $TM$  denotes the maximal waiting time before either:

- applying a stimulation, or
- observing an output.

This timing mechanism, combined with quiescence detection ( $cl \geq TM$ ), ensures that the test case can be implemented in a real-time environment.

### Test case general structure

The test case mirrors  $SE(\mathbb{G})_{/p}^\delta$  and is used to **check the conformance** of the SUT to  $\mathbb{G}$  along the symbolic path  $p$ .

Roughly speaking, test case structure is obtained as follows:

- The execution contexts related to path  $p$  form the **main branch** leading to the verdict **PASS**. The target context is replaced by **PASS**.

- Any deviation from this branch triggers a verdict state:
  - FAIL if the behavior violates expectations.
  - INC (inconclusive) if no clear verdict can be determined.

## Test case guard derivation

The **guard** of the test-case transition from  $ec_1$  to  $ec_2$  is derived from the target of the test path (TP), denoted  $ec_3$ .

It guides the selection of the stimulation  $In!x_1$  along this path.

The guard is expressed as:

$$cl < TM \wedge 1 \leq x_1 \leq 10 \wedge x_1 \leq 5 \wedge \exists \#2 \cdot \exists \$1 \cdot (\#2 = 42 - x_1 \wedge \$1 = 0)$$

At this stage:

- $x_1$  and its duration  $\#1$  are determined.
- $\#2$  and  $\$1$  remain undetermined.

The variable  $x_1$  is constrained by the path condition of  $ec_3$  (corresponding to small input values), whereas  $\#1$  is unconstrained and can therefore be omitted (shown as grayed out in the explanatory figure of the test case  $TC_{tr_1.tr_2}$ ).

Conditions producing  $ec_3$  are, by default, under existential quantifiers:  $\exists \#2 \cdot \exists \$1 \cdot (x_1 \leq 5 \wedge \#2 = 42 - x_1 \wedge \$1 = 0)$ . Since  $\#2$  and  $\$1$  do not occur freely in  $x_1 \leq 5$ , this constraint is moved outside the quantifiers, yielding the final guard.

Following the test path, the test case expects an observation  $Out?\$1$  on channel  $Out$ , storing it in  $\$1$ . It transitions from  $ec_2$  to PASS under the following guard:

$$cl < TM \wedge \#2 = cl \wedge 1 \leq x_1 \leq 10 \wedge x_1 \leq 5 \wedge \#2 = 42 - x_1 \wedge \$1 = 0$$

- The formulas  $1 \leq x_1 \leq 10$  and  $x_1 \leq 5$  appear *grayed* because they are inherited from earlier transitions.
- The remaining guard ensures that:
  - the observed value  $\$1$  matches the expected output 0 for small inputs ( $x_1 \leq 5$ ), and
  - the measured duration  $\#2$  recorded by  $cl$  equals  $42 - x_1$ .

Transition to  $FAIL^{out}$  is triggered when  $\#2$  is within the time limit (TM), but either the duration or the observed value  $\$1$  violates the guard from  $ec_2$  to PASS:

$$cl < TM \wedge \#2 = cl \wedge 1 \leq x_1 \leq 10 \wedge x_1 \leq 5 \wedge (\#2 \neq 42 - x_1 \vee \$1 \neq 0)$$

Transition to  $FAIL^{dur}$  captures invalid quiescence, defined by:

$$cl \geq TM \wedge \#2 = cl \wedge \forall \# \cdot \forall \$1 \cdot (\#2 \geq \# \vee \# \neq 42 - x_1 \vee \$1 \neq 0)$$

Other test case transitions are shown in (complete) test case image generated by SPTG.

Example verdicts (for  $TM = 60$ )

Verdict	Trace	Description
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Verdict	Trace	Description
PASS	$(0, In?1).(41, Out!0)$	Valid output and timing
FAIL <sup>out</sup>	$(0, In?1).(40, Out!0)$	Incorrect timing
FAIL <sup>out</sup>	$(0, In?1).(41, Out!1)$	Output mismatch
FAIL <sup>dur</sup>	$(0, In?1).(60, \delta!)$	Quiescence beyond allowed duration

The last trace shows quiescence exceeding the allowed duration, with only  $(41, Out!0)$  as a valid output after  $(0, In?1)$ , resulting in a FAIL<sup>dur</sup> verdict.

## Using SPTG

Navigate to the `/path/to/SPTG/examples/example02_dummy/` directory, then run:

```
cd /path/to/SPTG/examples/example02_dummy/  
./run-sptg-h2.sh
```

Script `run-sptg-h2.sh` invokes `sptg.exe` using the workflow configuration file:

**File** `/path/to/SPTG/examples/example02_dummy/workflow_4_testcase_generation_h2.sew`

An excerpt from this file:

```
workspace [  
    root    = "example02_dummy"  
    launch  = "example02_dummy"  
    output  = "output_h2"  
] // end workspace  
...  
project 'path of input model' [  
    source = "."  
    model  = "example02_dummy.xlia"  
] // end project  
...  
path#guided#testcase#generator testcase_genertor {  
    ...  
    trace [  
        //Sequence of elements characterizing the test purpose.  
        transition = "tr1"  
        transition = "tr2"  
    ] // end trace  
    vfs [  
        file#tc      = "testcase.xlia"  
        file#tc#pum1 = "testcase.puml"  
    ] // end vfs  
    ...  
}  
...
```

SPTG generates the resulting **test case automaton** in the following formats:

- Graphical format: PlantUML**  
File `/path/to/SPTG/examples/example02_dummy/output_h2/testcase.puml`  
Comment: This file provides a visual representation of the test case automaton, which can be rendered using PlantUML.
- Specification language: XLIA**  
The same language used to express the reference model.  
File `/path/to/SPTG/examples/example02_dummy/output_h2/testcase.xlia`  
Comment: This file can be explored using the symbolic execution platform Diversity.
- JSON format with SMT-LIB guards**  
File `/path/to/SPTG/examples/example02_dummy/output_h2/testcase_smt.json`  
Comment: This JSON file encodes the test case automaton, including guards in SMT-LIB format, suitable for automated execution against system under test (SUT) using an SMT-solver (e.g. Z3).

**Note:** The script also generates the graphical **PlantUML** file for the reference automaton:

File `/path/to/SPTG/examples/example02_dummy/output_h2/example02_dummy.puml`

Comment: This file provides a visual representation of the reference automaton.

**Note:** You can visualize `.puml` files using [PlantUML](#) or the online tool [PlantText](#). You can convert a file `.puml` to a file `.svg` (see the [PlantUML Conversion Guide](#)).

**Note:** If the **PlantUML JAR** and the Graphviz `dot` executable are located in `/path/to/SPTG/bin`, the script automatically produces:

File `/path/to/SPTG/examples/example02_dummy/testcase.svg`.

The table below summarizes the inputs and outputs for generating the **test case** with SPTG. The figures shown are **visual representations** obtained by converting the corresponding **PlantUML** files into **SVG** format.

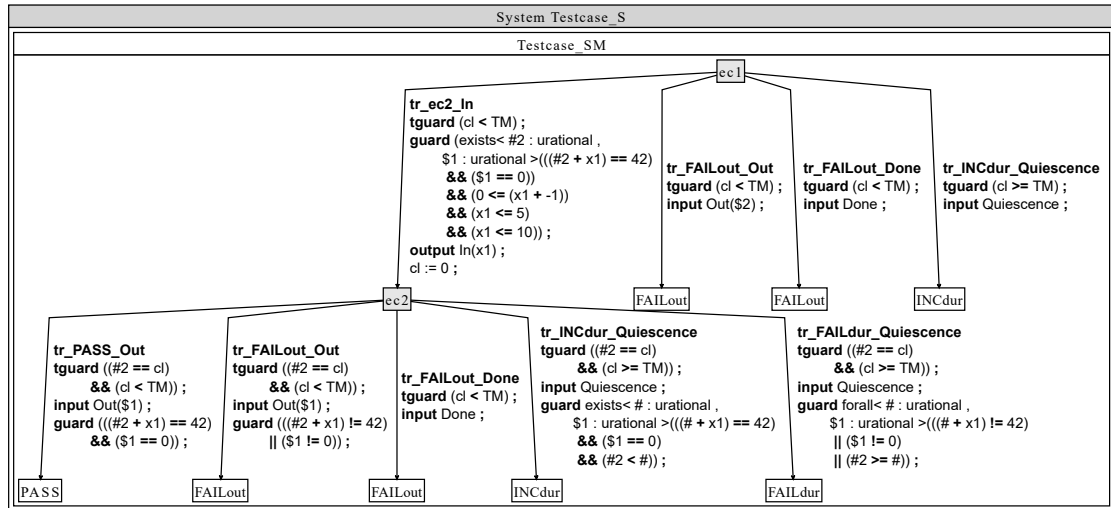
Description	Content
<b>Input 1:</b> Reference system model (Timed Symbolic Automaton)	<div><div>System Example02_Dummy_S</div><div>Example02_Dummy_SM</div><div><div>tr1 input In(x) ; guard ((1 &lt;= x)     &amp;&amp; (x &lt;= 10)) ; sum := (sum + x) ; cl := 0 ;</div><div>tr2 guard ((x &lt;= 5)     &amp;&amp; (cl == (42 + (- x)))) ; output Out(0) ;</div><div>tr3 guard ((x &gt; 5)     &amp;&amp; (cl == (42 + (- x)))) ; output Out(x) ;</div><div>tr4 guard (sum &gt;= 15) ; output Done ;</div><div>q0</div><div>q1</div><div>q2</div></div></div>
<b>Input 2:</b> Test purpose (Sequence of transitions)	<div>tr1; tr2</div>



Description	Content
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**Output:**

Generated  
test case  
(Deterministic  
Timed  
Symbolic  
Automaton)



To generate another test purpose of length 5 for the same reference model, run:

```
cd /path/to/SPTG/examples/example02_dummy/
./run-sptg-h5.sh
```

This script executes the workflow configured for a longer test purpose (length 5). As a result, you obtain the following generated test case:

Description	Content
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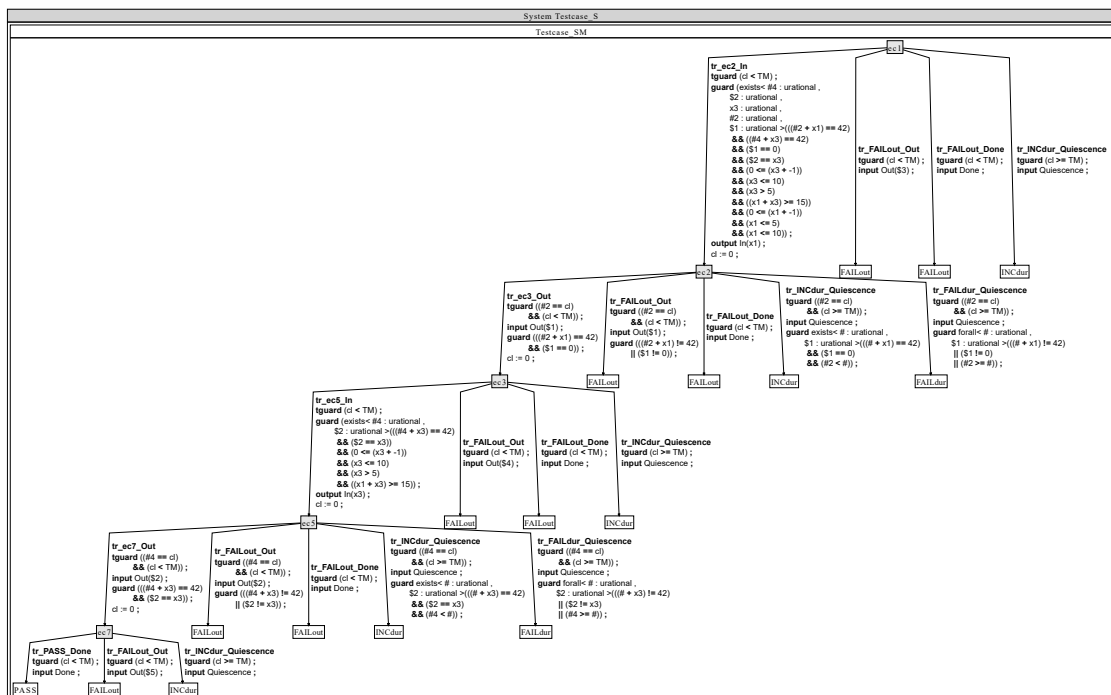
**Input 2: Test**

purpose  
(Sequence of  
transitions)

tr1; tr2; tr1; tr3; tr4

**Output:**

Generated  
test case  
(Deterministic  
Timed  
Symbolic  
Automaton)



# PlantUML: PUML to SVG Conversion Guide

A concise reference for converting `.puml` files to `.svg` images via the command line. PlantUML requires **Graphviz** for diagram rendering.

## Prerequisites

- 1. **Java Runtime Environment (JRE):** Required to execute PlantUML.
- 2. **PlantUML JAR File:** The standalone PlantUML application.
- 3. **Graphviz:** Used internally by PlantUML for layout and rendering.  
After installation, Graphviz will be available in your system path.

## a. Installation

### Install Graphviz

On Debian/Ubuntu-based systems, install Graphviz with:

```
sudo apt install graphviz
```

After this, the `dot` executable will be available system-wide.

### b. Download PlantUML

Get the latest stable release of `plantuml.jar` from:

 <https://github.com/plantuml/plantuml/releases>

Ensure both `java` and `dot` commands are available:

```
java -version
dot -V
```

### c. Conversion Command

Navigate to the folder containing both `plantuml.jar` and your `.puml` file.

Use the `-tsvg` flag to generate an SVG image:

Command	Action
<code>java -jar plantuml.jar -tsvg yourfile.puml</code>	Converts the input file ( <code>.puml</code> ) to an SVG output ( <code>.svg</code> ).

### Example

```
# Generates 'MyDiagram.svg'  
java -jar plantuml.jar -tsvg MyDiagram.puml
```