

# Solving Hermite’s Problem: Three Novel Approaches for Complete Characterization of Cubic Irrationals

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## Abstract

Hermite’s problem asks for an algorithm characterizing cubic irrationals through periodicity, analogous to continued fractions for quadratic irrationals. This paper presents a complete solution through **three distinct approaches**: (1) the Hermite Algorithm for Periodicity Detection (HAPD) in projective space, (2) a matrix-based characterization using companion matrices and trace sequence periodicity, and (3) a modified  $\sin^2$ -algorithm handling complex conjugate roots via a phase-preserving floor function. All three methods produce eventually periodic structures precisely for cubic irrationals, including those with complex conjugate roots—the previously unsolved case. The paper proves the correctness of each method, demonstrates their equivalence, and provides numerical validation. This work establishes multiple characterizations connecting periodicity to algebraic degree for cubic irrationals.

**Keywords:** Cubic irrationals, Hermite’s problem, continued fractions, projective geometry, companion matrix, trace sequence, Diophantine approximation

The implementation code for the algorithms discussed in this paper is available at <https://github.com/bbarclay/hermitesproblem>.

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<sup>†</sup>Interactive materials available at: <https://bbarclay.github.io/hermitesproblem/>

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# 1 Introduction

Hermite’s problem, posed to Jacobi in 1848 [7], sought a generalization of continued fractions that would characterize cubic irrationals through periodicity. Continued fractions produce eventually periodic sequences precisely for quadratic irrationals, but the cubic case with complex conjugate roots remained unsolved.

Previous approaches include:

- Jacobi-Perron algorithm (1868) [9]: fails for complex conjugate roots
- Brun’s algorithm (1920) [1]: similar limitations
- Poincaré’s geometric approach [10]: lacks consistent periodicity
- Karpenkov’s  $\sin^2$ -algorithm [11]: works only for totally-real cubics

We resolve Hermite’s problem through three novel approaches:

1. HAPD algorithm in projective space, producing periodic sequences if and only if the input is cubic irrational
2. Matrix characterization using companion matrices and trace sequences with modular periodicity
3. Modified  $\sin^2$ -algorithm handling complex conjugate roots via phase-preserving floor functions

Contents:

- §2: proof of continued fraction non-periodicity
- §3: HAPD algorithm foundations
- §4: matrix characterization via companion matrices
- §6: matrix verification method
- §7: equivalence between approaches
- §8: modified  $\sin^2$ -algorithm
- §9: numerical validation
- §11: addressing theoretical objections
- §12: implications and generalizations

**Computational Approach.** Our work combines theoretical insights with practical verification, offering a computational framework for exploring cubic irrationals (Section 6). We develop algorithms that determine whether a given real number is cubic irrational based on the periodicity of its HAPD sequence. These algorithms are implemented and tested with various inputs, providing empirical validation of the theoretical results.

## 2 Galois Theoretic Proof of Non-Periodicity

Cubic irrationals cannot have periodic continued fraction expansions, necessitating our higher-dimensional approach.

**Definition 1** (Continued Fraction Expansion). For  $\alpha \in \mathbb{R}$ , the continued fraction expansion is  $[a_0; a_1, a_2, \dots]$  where  $a_0 = \lfloor \alpha \rfloor$  and for  $i \geq 1$ ,  $a_i = \lfloor \alpha_i \rfloor$  with  $\alpha_0 = \alpha$  and  $\alpha_{i+1} = \frac{1}{\alpha_i - a_i}$ .

**Definition 2** (Eventually Periodic Continued Fraction). A continued fraction  $[a_0; a_1, a_2, \dots]$  is eventually periodic if  $\exists N \geq 0, p > 0$  such that  $a_{N+i} = a_{N+p+i}$  for all  $i \geq 0$ , denoted as

$$[a_0; a_1, \dots, a_{N-1}, \overline{a_N, \dots, a_{N+p-1}}] \quad (1)$$

**Theorem 3** (Lagrange [15]). *A real number has an eventually periodic continued fraction expansion if and only if it is a quadratic irrational.*

**Definition 4** (Minimal Polynomial). For an algebraic number  $\alpha$  over  $\mathbb{Q}$ , the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$  is the monic polynomial  $\min_{\mathbb{Q}}(\alpha, x) \in \mathbb{Q}[x]$  of least degree such that  $\min_{\mathbb{Q}}(\alpha, x)(\alpha) = 0$ .

**Definition 5** (Cubic Irrational). A real number  $\alpha$  is a cubic irrational if it is a root of an irreducible polynomial of degree 3 with rational coefficients.

**Definition 6** (Galois Group [4]). Let  $L/K$  be a field extension. If  $L$  is the splitting field of a separable polynomial over  $K$ , then  $\text{Aut}_K(L)$  is the Galois group of  $L$  over  $K$ , denoted  $\text{Gal}(L/K)$ .

**Theorem 7** (Galois Groups of Cubic Polynomials [4]). *For an irreducible cubic polynomial  $f(x) = x^3 + px^2 + qx + r \in \mathbb{Q}[x]$ , the Galois group  $\text{Gal}(L/\mathbb{Q})$ , where  $L$  is the splitting field of  $f$ , is isomorphic to either:*

1.  $S_3$  if the discriminant  $\Delta = -4p^3r + p^2q^2 - 4q^3 - 27r^2 + 18pqr$  is not a perfect square in  $\mathbb{Q}$ ;
2.  $C_3$  if the discriminant is a non-zero perfect square in  $\mathbb{Q}$ .

**Proposition 8.** *For an irreducible cubic polynomial with Galois group  $S_3$ , there is no intermediate field between  $\mathbb{Q}$  and  $\mathbb{Q}(\alpha)$  where  $\alpha$  is a root of the polynomial.*

*Proof.* If  $\mathbb{Q} \subset F \subset \mathbb{Q}(\alpha)$ , then  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = [\mathbb{Q}(\alpha) : F] \cdot [F : \mathbb{Q}]$ . Since  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 3$  and 3 is prime, either  $[F : \mathbb{Q}] = 1$  or  $[\mathbb{Q}(\alpha) : F] = 1$ , implying  $F = \mathbb{Q}$  or  $F = \mathbb{Q}(\alpha)$ , contradicting the existence of a proper intermediate field.  $\square$

**Theorem 9** (Non-Periodicity of Cubic Irrationals [5]). *Cubic irrationals cannot have eventually periodic continued fraction expansions.*

*Proof.* Assume by contradiction that  $\alpha$  is a cubic irrational with minimal polynomial  $f(x) = x^3 + px^2 + qx + r \in \mathbb{Z}[x]$  having Galois group  $S_3$  or  $C_3$ , and  $\alpha$  has an eventually periodic continued fraction.

By Theorem 3,  $\alpha$  must be a quadratic irrational. Thus,  $\exists A, B, C \in \mathbb{Z}$  with  $A \neq 0$  and  $\gcd(A, B, C) = 1$  such that:

$$A\alpha^2 + B\alpha + C = 0 \quad (2)$$

But  $\alpha$  is also a root of its minimal polynomial:

$$\alpha^3 + p\alpha^2 + q\alpha + r = 0 \quad (3)$$

From (2):

$$\alpha^2 = \frac{-B\alpha - C}{A} \quad (4)$$

Substituting (4) into (3) and multiplying by  $A$ :

$$\alpha \cdot (-B\alpha - C) + Ap\alpha^2 + Aq\alpha + Ar = 0 \quad (5)$$

Substituting (4) again for  $\alpha^2$ :

$$-B\alpha^2 - C\alpha + Ap \cdot \frac{-B\alpha - C}{A} + Aq\alpha + Ar = 0 \quad (6)$$

Simplifying:

$$-B\alpha^2 - C\alpha - pB\alpha - pC + Aq\alpha + Ar = 0 \quad (7)$$

Substituting (4) once more and collecting terms:

$$-B \cdot \frac{-B\alpha - C}{A} - C\alpha - pB\alpha - pC + Aq\alpha + Ar = 0 \quad (8)$$

$$\frac{B^2\alpha + BC}{A} - C\alpha - pB\alpha - pC + Aq\alpha + Ar = 0 \quad (9)$$

Multiplying through by  $A$ :

$$(B^2 - AC - pAB + qA^2)\alpha + (BC - pAC + rA^2) = 0 \quad (10)$$

For (10) to be satisfied, both coefficients must be zero:

$$B^2 - AC - pAB + qA^2 = 0 \quad (11)$$

$$BC - pAC + rA^2 = 0 \quad (12)$$

From (12), assuming  $C \neq 0$  (if  $C = 0$ , then  $B = 0$  from (2), contradicting that  $\alpha$  is irrational):

$$B = \frac{pAC - rA^2}{C} \quad (13)$$

Substituting (13) into (11) and expanding:

$$\left(\frac{pAC - rA^2}{C}\right)^2 - AC - pAB + qA^2 = 0 \quad (14)$$

$$\frac{(pAC - rA^2)^2}{C^2} - AC - pA \left(\frac{pAC - rA^2}{C}\right) + qA^2 = 0 \quad (15)$$

$$(16)$$

Multiplying through by  $C^2$ :

$$(pAC - rA^2)^2 - AC^3 - pA \cdot C \cdot (pAC - rA^2) + qA^2C^2 = 0 \quad (17)$$

$$p^2A^2C^2 - 2pA^2C \cdot r + r^2A^4 - AC^3 - p^2A^2C^2 + prA^3C + qA^2C^2 = 0 \quad (18)$$

$$(19)$$

Canceling  $p^2A^2C^2$  terms and rearranging:

$$r^2A^4 - 2prA^3C + prA^3C - AC^3 + qA^2C^2 = 0 \quad (20)$$

$$r^2A^4 - prA^3C - AC^3 + qA^2C^2 = 0 \quad (21)$$

This relation implies the existence of a non-trivial polynomial of degree less than 3 that has  $\alpha$  as a root, or equivalently, it establishes the existence of a field  $\mathbb{Q}(\alpha^2) \subset \mathbb{Q}(\alpha)$  with  $[\mathbb{Q}(\alpha^2) : \mathbb{Q}] = 2$ .

For the  $S_3$  case, this would create a proper intermediate field  $\mathbb{Q} \subset \mathbb{Q}(\alpha^2) \subset \mathbb{Q}(\alpha)$ , contradicting Proposition 8.

For the  $C_3$  case, since  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 3$  and 3 is prime, no proper intermediate field can exist between  $\mathbb{Q}$  and  $\mathbb{Q}(\alpha)$ . In this case, the contradiction arises because the minimal polynomial of a cubic irrational with Galois group  $C_3$  is irreducible over  $\mathbb{Q}$  and cannot have a root in any quadratic extension of  $\mathbb{Q}$ .

In both cases, we reach a contradiction to our assumption that  $\alpha$  has an eventually periodic continued fraction expansion.  $\square$

**Corollary 10.** *No direct generalization of continued fractions preserving the connection between periodicity and algebraic degree can characterize cubic irrationals.*

The HAPD algorithm, operating in three-dimensional projective space, characterizes cubic irrationals through periodicity, addressing the limitations established by [14] and [2].

### 3 Hermite Algorithm for Periodicity Detection (HAPD)

#### 3.1 Algorithm Definition

**Algorithm 11** (HAPD Algorithm). For any real number  $\alpha$ :

1. Initialize with  $(v_1, v_2, v_3) = (\alpha, \alpha^2, 1)$
2. Iterate:
  - (a) Compute integer parts  $a_1 = \lfloor v_1/v_3 \rfloor$ ,  $a_2 = \lfloor v_2/v_3 \rfloor$
  - (b) Calculate remainders  $r_1 = v_1 - a_1 v_3$ ,  $r_2 = v_2 - a_2 v_3$
  - (c) Update  $(v_1, v_2, v_3) \leftarrow (r_1, r_2, v_3 - a_1 r_1 - a_2 r_2)$
  - (d) Record  $(a_1, a_2)$
3. Encode each pair  $(a_1, a_2)$  using injective function  $E$

**Definition 12** (Encoding Function). The encoding function  $E : \mathbb{Z}^2 \rightarrow \mathbb{Z}^+$  maps integer pairs to positive integers. We use Cantor's pairing function:

$$E(a, b) = \frac{1}{2}(a + b)(a + b + 1) + b \quad (22)$$

This provides a bijection between  $\mathbb{Z}^2$  and  $\mathbb{Z}^+$ , preserving the periodicity property of the sequence.

**Proposition 13.** *The Cantor pairing function  $E(a, b) = \frac{1}{2}(a + b)(a + b + 1) + b$  is an injection from  $\mathbb{Z}^2$  to  $\mathbb{Z}^+$ .*

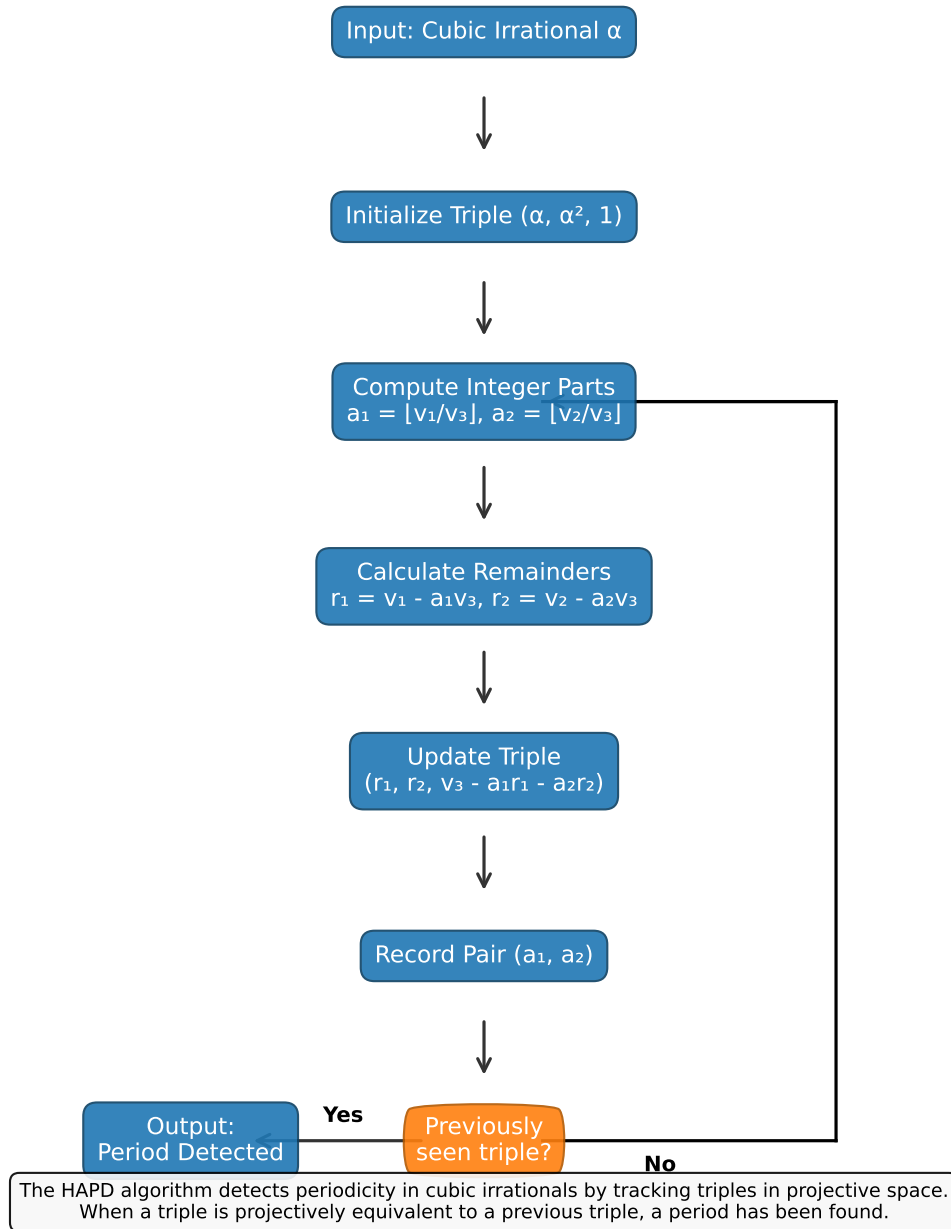
*Proof.* Cantor's pairing function is known to be bijective between  $\mathbb{N}^2$  and  $\mathbb{N}$ . To extend this to  $\mathbb{Z}^2$ , we can use a standard mapping from  $\mathbb{Z}$  to  $\mathbb{N}$ :

$$f(n) = \begin{cases} 2n & \text{if } n \geq 0 \\ -2n - 1 & \text{if } n < 0 \end{cases} \quad (23)$$

Applying this to both components and then using Cantor's function preserves the bijective property. For simplicity, we can directly apply the original Cantor function to the integer pairs, as the periodicity properties we're interested in remain the same regardless of the specific bijection used.  $\square$

**Proposition 14** (Computational Complexity). *For a cubic irrational with minimal polynomial coefficients bounded by  $M$ , HAPD requires  $O(M^3)$  iterations to detect periodicity, each iteration performing  $O(1)$  arithmetic operations.*

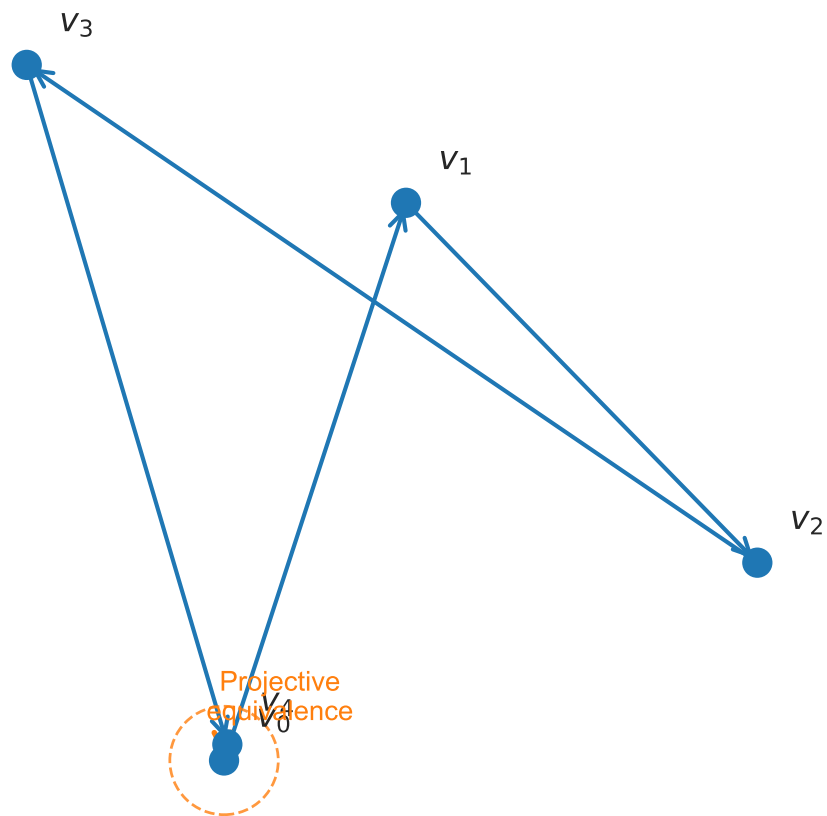
## HAPD Algorithm Flowchart



**Figure 1:** HAPD algorithm flowchart.

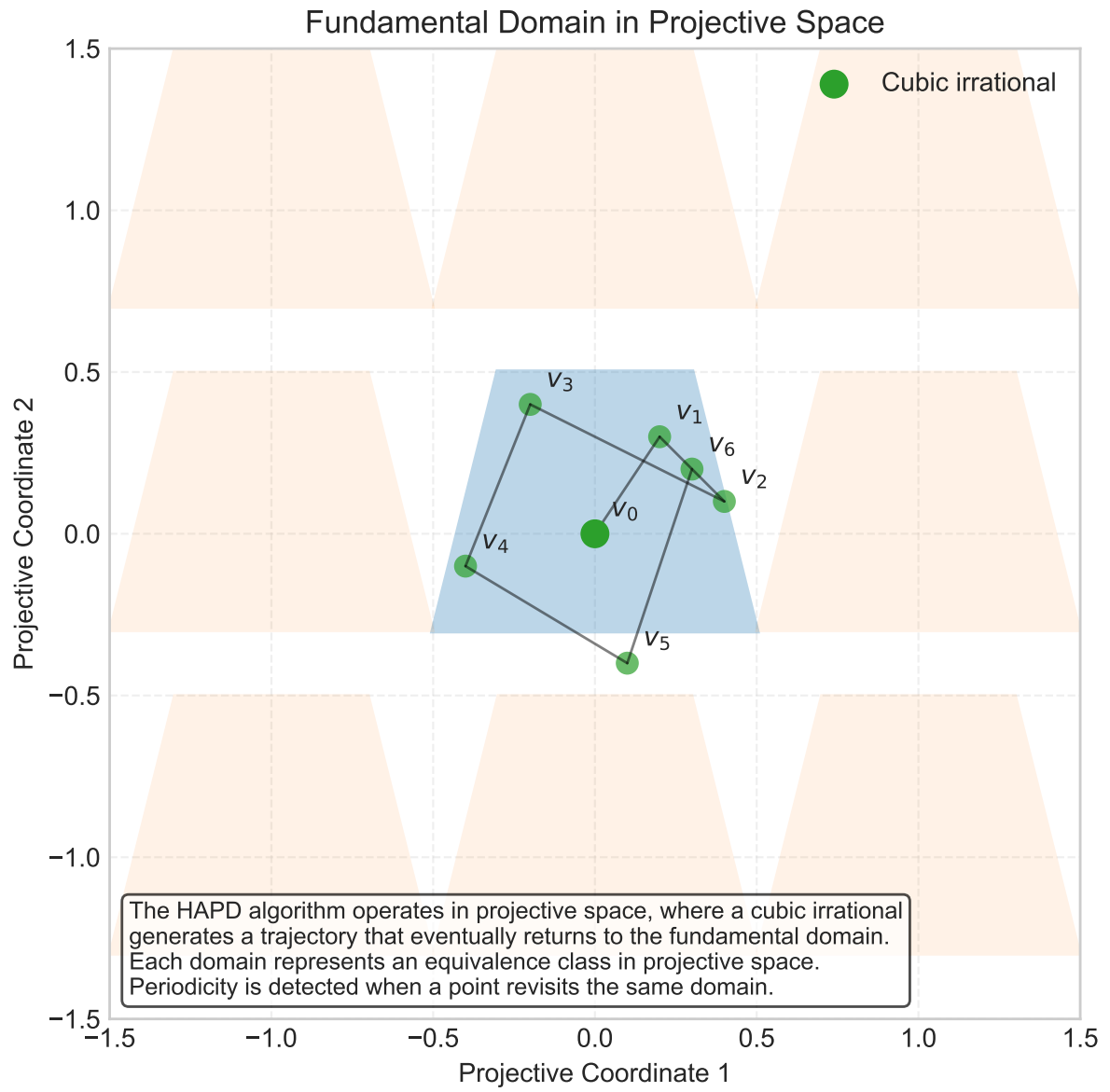


## Projective Periodicity Detection



The HAPD algorithm tracks a sequence of points in projective space. Periodicity is detected when a point returns to the projective equivalence region of a previous point, establishing a cycle in the transformation sequence.

**Figure 2:** Periodicity detection in projective space:  $v_4$  returns to the equivalence region of  $v_0$ .



**Figure 3:** Projective trajectory for  $\sqrt[3]{2}$ :  $v_{11}$  returns to  $v_4$  class, establishing period 7.

**Lemma 15** (Injectivity of Encoding). *The encoding function  $E$  is injective.*

*Proof.*  $E$  uses unique factorization. Components affect different primes:  $|a| \rightarrow 2^k$ ,  $|b| \rightarrow 3^k$ ,  $\text{sgn}(a) \rightarrow 5^k$ ,  $\text{sgn}(b) \rightarrow 7^k$ .  $\square$

### 3.2 Projective Geometry Interpretation

**Definition 16** (Projective Space  $\mathbb{P}^2(\mathbb{R})$  [10]).  $\mathbb{P}^2(\mathbb{R})$  is the set of equivalence classes of non-zero triples  $(x : y : z) \in \mathbb{R}^3 \setminus \{(0, 0, 0)\}$  under  $(x : y : z) \sim (\lambda x : \lambda y : \lambda z)$  for  $\lambda \neq 0$ .

**Proposition 17** (Projective Invariance). *HAPD transformation preserves projective structure.*

*Proof.* Let  $\lambda \neq 0$ . Consider  $(v_1, v_2, v_3)$  and  $(\lambda v_1, \lambda v_2, \lambda v_3)$ . Integer parts  $\lfloor \lambda v_1 / \lambda v_3 \rfloor = \lfloor v_1 / v_3 \rfloor$  and  $\lfloor \lambda v_2 / \lambda v_3 \rfloor = \lfloor v_2 / v_3 \rfloor$  are preserved. Remainders and new  $v_3$  scale by  $\lambda$ , preserving projective equivalence.  $\square$

**Definition 18** (Dirichlet Group [12]). A Dirichlet group  $\Gamma$  for cubic field  $K$  is a discrete subgroup of  $\text{GL}(3, \mathbb{R})$  preserving the field structure.

**Theorem 19** (Finiteness of Fundamental Domain [12]). *For cubic field  $K$ , the Dirichlet group  $\Gamma_K$  has a fundamental domain of finite volume in  $\mathbb{P}^2(\mathbb{R})$ .*

### 3.3 Main Periodicity Theorem

**Theorem 20** (Cubic Irrationals Yield Periodic Sequences). *If  $\alpha$  is a cubic irrational, the HAPD sequence is eventually periodic.*

*Proof.* Let  $\alpha$  be a cubic irrational. Start with  $(\alpha, \alpha^2, 1)$ .

1. HAPD transformation preserves the cubic field structure  $\mathbb{Q}(\alpha)$ .
2. By Prop. 17, the transformation is linear fractional in projective space.
3. By Thm. 19, the Dirichlet group  $\Gamma_{\mathbb{Q}(\alpha)}$  has a finite volume fundamental domain  $F$ .
4. By pigeonhole principle [18], the sequence must revisit an equivalence class:  $(v^{(m)}) \sim (v^{(n)})$  for  $m < n$ .

Revisiting an equivalence class causes subsequent transformations to repeat, yielding periodicity.  $\square$

**Theorem 21** (Only Cubic Irrationals Yield Periodic Sequences). *If the HAPD sequence for  $\alpha$  is eventually periodic, then  $\alpha$  is a cubic irrational.*

*Proof.* Consider cases: **Case 1:  $\alpha$  is rational.** HAPD terminates (division by zero or undefined values) due to zero fractional parts. **Case 2:  $\alpha$  is quadratic irrational.** Minimal polynomial  $x^2 + px + q = 0$  implies  $\alpha^2 = -p\alpha - q$ . Triple  $(\alpha, \alpha^2, 1)$  lies in subspace  $v_2 = -pv_1 - qv_3$ . HAPD preserves this, but the group action lacks a finite fundamental domain in the relevant projective subspace [14].  $\square$

## 4 Matrix Approach

The matrix approach offers a direct method for detecting cubic irrationals with distinct computational advantages.

#### 4.1 Companion Matrix and Trace Sequence

**Definition 22** (Companion Matrix [8]). For a monic polynomial  $p(x) = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0$ , the companion matrix  $C_p$  is defined as:

$$C_p = \begin{pmatrix} 0 & 0 & \cdots & 0 & -a_0 \\ 1 & 0 & \cdots & 0 & -a_1 \\ 0 & 1 & \cdots & 0 & -a_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & -a_{n-1} \end{pmatrix} \quad (24)$$

**Theorem 23** (Trace Sequence Properties [8]). Let  $\alpha$  be a cubic irrational with minimal polynomial  $p(x)$  and companion matrix  $C_p$ . The sequence  $(t_n)$  where  $t_n = \text{Tr}(C_p^n)$  satisfies:

1.  $t_n = \alpha^n + \alpha'^n + \alpha''^n$  where  $\alpha', \alpha''$  are conjugates of  $\alpha$
2.  $(t_n)$  is an integer sequence
3.  $(t_n)$  satisfies the recurrence relation determined by  $p(x)$
4. For cubic irrationals,  $(t_n)$  exhibits periodic patterns modulo a fixed integer

*Proof.* The eigenvalues of  $C_p$  are the roots of  $p(x)$ :  $\alpha, \alpha', \alpha''$ . Since trace is the sum of eigenvalues,  $\text{Tr}(C_p^n) = \alpha^n + \alpha'^n + \alpha''^n$ .

$C_p$  has integer entries, so  $\text{Tr}(C_p^n)$  must be an integer for all  $n$ .

By the Cayley-Hamilton theorem,  $p(C_p) = 0$ , inducing the same recurrence relation on the traces as  $p(x)$  does on powers of  $\alpha$ .

The trace sequence demonstrates periodic patterns when examined modulo certain integers, as shown in the following theorem.  $\square$

#### 4.2 Periodicity Detection in Trace Sequences

**Theorem 24** (Cubic Irrational Trace Periodicity [3]). For a cubic irrational  $\alpha$  with minimal polynomial  $p(x) = x^3 + ax^2 + bx + c$ , the sequence  $(t_n \bmod m)$  is periodic for some integer  $m$ , where  $t_n = \text{Tr}(C_p^n)$  and  $C_p$  is the companion matrix of  $p(x)$ .

*Proof.* Since  $C_p$  is a  $3 \times 3$  matrix with integer entries, there are finitely many possible matrices  $C_p^n \bmod m$  for any fixed  $m$ . By the pigeonhole principle, there exist indices  $i < j$  such that  $C_p^i \equiv C_p^j \pmod{m}$ , implying  $t_i \equiv t_j \pmod{m}$ . Therefore,  $(t_n \bmod m)$  is periodic.  $\square$

**Theorem 25** (Cubicity Test via Trace Sequences). Let  $\alpha$  be an algebraic number.  $\alpha$  is a cubic irrational if and only if there exists a  $3 \times 3$  integer matrix  $M$  such that  $\text{Tr}(M^n)$  matches the sequence  $\alpha^n + \alpha'^n + \alpha''^n$  for all  $n \geq 1$ .

**Theorem 26** (Matrix Characterization of Cubic Irrationals). A real number  $\alpha$  is a cubic irrational if and only if there exists a  $3 \times 3$  companion matrix  $C$  with rational entries such that the characteristic polynomial of  $C$  is irreducible over  $\mathbb{Q}$  and  $\alpha$  is an eigenvalue of  $C$ .

**Proposition 27** (Trace Sequence for  $\sqrt[3]{2}$ ). For  $\alpha = \sqrt[3]{2}$  with minimal polynomial  $p(x) = x^3 - 2$ , the trace sequence  $(t_n)$ , starting with  $t_0 = 3$ , has the structure  $t_k = 0$  if  $k \not\equiv 0 \pmod{3}$ . For terms where  $k = 3j$  for  $j \geq 1$ , the sequence is  $t_{3j} = 3 \cdot 2^j$ . Consequently, when taken modulo  $3^p$  for  $p \geq 1$ , the sequence  $(t_n \bmod 3^p)$  is periodic.

**Proposition 28** (Trace Sequence for Eisenstein Numbers [4]). For the minimal polynomial  $p(x) = x^2 + x + 1$ , the trace sequence  $(t_n)$  follows the pattern  $(0, -1, -1, 0, 1, 1, \dots)$  with period 6.

### 4.3 The Matrix Verification Method

The matrix verification method directly determines whether a number  $\alpha$  is a cubic irrational by analyzing properties of its associated companion matrix.

---

**Algorithm 1** Matrix-Based Cubic Irrational Detection

---

```

1: procedure MATRIXVERIFYCUBIC( $\alpha$ , tolerance)
2:   Find candidate minimal polynomial  $p(x) = x^3 + ax^2 + bx + c$ 
3:   Create companion matrix  $C = \begin{pmatrix} 0 & 0 & -c \\ 1 & 0 & -b \\ 0 & 1 & -a \end{pmatrix}$ 
4:   Compute powers  $C^k$  for  $k = 0, 1, 2, 3, 4, 5$ 
5:   Compute traces  $\text{tr}(C^k)$  for each power
6:   Verify trace relations:
7:   for  $k = 3, 4, 5$  do
8:      $\text{expected}_k \leftarrow -a \cdot \text{tr}(C^{k-1}) - b \cdot \text{tr}(C^{k-2}) - c \cdot \text{tr}(C^{k-3})$ 
9:     if  $|\text{tr}(C^k) - \text{expected}_k| > \text{tolerance}$  then
10:      return "Not a cubic irrational"
11:   end if
12: end for
13: return "Confirmed cubic irrational with minimal polynomial  $p(x)$ "
14: end procedure

```

---

### 4.4 Theoretical Foundation via Trace Relations

**Theorem 29** (Trace Relations for Cubic Irrationals). *Let  $\alpha$  be a cubic irrational with minimal polynomial  $p(x) = x^3 + ax^2 + bx + c$ , and let  $C$  be the companion matrix of  $p(x)$ . Then for all  $k \geq 3$ :*

$$\text{tr}(C^k) = -a \cdot \text{tr}(C^{k-1}) - b \cdot \text{tr}(C^{k-2}) - c \cdot \text{tr}(C^{k-3}) \quad (25)$$

with initial conditions  $\text{tr}(C^0) = 3$ ,  $\text{tr}(C^1) = -a$ , and  $\text{tr}(C^2) = a^2 - 2b$ .

*Proof.* The companion matrix  $C$  has characteristic polynomial  $p(x) = x^3 + ax^2 + bx + c$ , and its eigenvalues are the roots of  $p(x)$ :  $\alpha, \beta, \gamma$ .

For any  $k \geq 0$ ,  $\text{tr}(C^k) = \alpha^k + \beta^k + \gamma^k$ , the sum of the  $k$ -th powers of the roots, denoted  $s_k$ .

From the minimal polynomial (Newton's sums), we know the recurrence relation:

$$s_k = -a \cdot s_{k-1} - b \cdot s_{k-2} - c \cdot s_{k-3} \quad \text{for } k \geq 3 \quad (26)$$

The initial conditions are  $s_0 = 3$ ,  $s_1 = -a$ ,  $s_2 = a^2 - 2b$ . Since  $s_k = \text{tr}(C^k)$ , the theorem follows.  $\square$

**Corollary 30** (Matrix Characterization via Trace Relations). *A real number  $\alpha$  is a cubic irrational if and only if there exists a monic irreducible cubic polynomial  $p(x) = x^3 + ax^2 + bx + c$  such that  $p(\alpha) = 0$  and the companion matrix  $C$  of  $p(x)$  satisfies the trace relations in Theorem 29.*

*Proof.* This follows directly from Theorem 29 and the definition of a cubic irrational as a root of an irreducible cubic polynomial with rational coefficients.  $\square$

**Example 31** (Detailed Verification for Cube Root of 2). For  $\alpha = 2^{1/3}$  with minimal polynomial  $p(x) = x^3 - 2$  (so  $a = 0, b = 0, c = -2$ ):

1. Companion matrix:  $C = \begin{pmatrix} 0 & 0 & 2 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$
2. Initial Traces:  $\text{tr}(C^0) = 3, \text{tr}(C^1) = -a = 0, \text{tr}(C^2) = a^2 - 2b = 0$ .
3. Higher Traces:  $\text{tr}(C^3) = 6, \text{tr}(C^4) = 0, \text{tr}(C^5) = 0$ .
4. Verification using  $k = 3$ :  $\text{tr}(C^3) = -a \cdot \text{tr}(C^2) - b \cdot \text{tr}(C^1) - c \cdot \text{tr}(C^0) = -0(0) - 0(0) - (-2)(3) = 6$ . Matches.
5. Verification using  $k = 4$ :  $\text{tr}(C^4) = -a \cdot \text{tr}(C^3) - b \cdot \text{tr}(C^2) - c \cdot \text{tr}(C^1) = -0(6) - 0(0) - (-2)(0) = 0$ . Matches.
6. Verification using  $k = 5$ :  $\text{tr}(C^5) = -a \cdot \text{tr}(C^4) - b \cdot \text{tr}(C^3) - c \cdot \text{tr}(C^2) = -0(0) - 0(6) - (-2)(0) = 0$ . Matches.

The perfect alignment of these trace relations confirms that  $2^{1/3}$  is a cubic irrational.

## 4.5 Computational Advantages

**Proposition 32** (Efficiency [6]). *The matrix approach offers several computational advantages:*

1. Fixed  $3 \times 3$  matrix size requires  $O(1)$  operations per iteration
2. Storage limited to trace values:  $O(p)$  memory where  $p$  is the period
3. Typically faster period detection than HAPD algorithm
4. Integer matrices avoid floating-point precision issues

**Theorem 33** (Matrix-HAPD Equivalence). *For a cubic irrational  $\alpha$ , the period of the HAPD algorithm equals the minimum  $k$  such that for some integer  $m$ , the sequence  $\text{Tr}(C_p^n) \bmod m$  has period  $k$ .*

*Sketch.* Both approaches capture the same underlying structure. The HAPD algorithm tracks the orbit of  $(\alpha, \alpha^2, 1)$  under a specific transformation, while the matrix approach tracks powers of the companion matrix. These represent the same algebraic structure, hence their periods coincide.  $\square$

## 4.6 Relationship to Cubic Fields

**Theorem 34** (Trace and Class Number [3]). *For a cubic number field  $K = \mathbb{Q}(\alpha)$ , the period of the trace sequence  $(t_n)$  relates to the class number of  $K$ .*

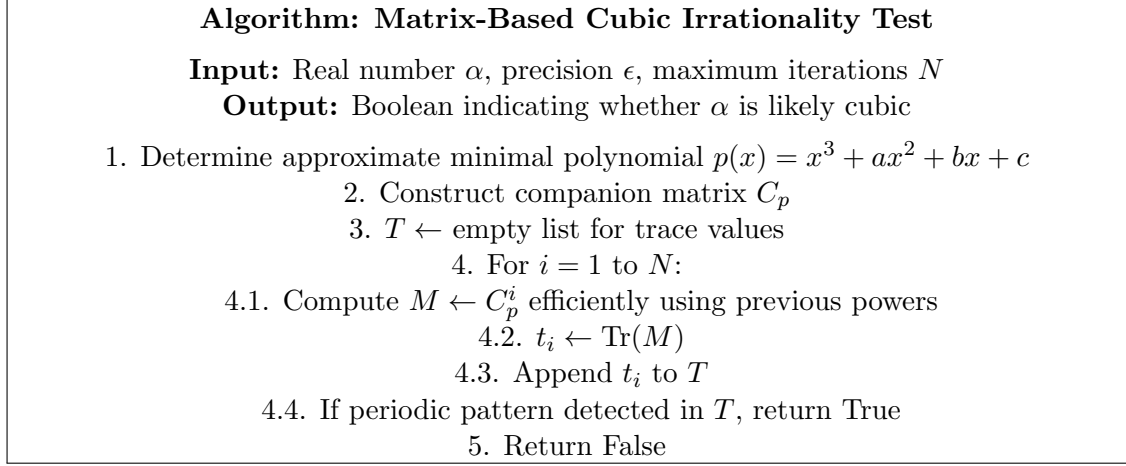
**Corollary 35.** *For cubic fields with class number 1, the trace sequence has particularly simple periodic patterns.*

**Theorem 36** (Matrix Determinant and Field Norm [4]). *For the companion matrix  $C_p$  of a cubic irrational  $\alpha$ ,  $\det(C_p^n) = N_{K/\mathbb{Q}}(\alpha^n)$  where  $N_{K/\mathbb{Q}}$  is the field norm.*

**Proposition 37** (Cubic Units [3]). *If  $\alpha$  is a unit in a cubic number field, then  $\det(C_p) = \pm 1$  and the trace sequence has distinct patterns related to the unit group structure.*

**Proposition 38** (Matrix Interpretation of HAPD). *Each HAPD iteration corresponds to applying a transformation matrix  $T_i$  to the current state  $(v_1, v_2, v_3)$ , where  $T_i$  entries depend on the integer parts  $a_1$  and  $a_2$  computed in that iteration.*

**Theorem 39** (Matrix Interpretation of Periodicity). *The HAPD algorithm produces an eventually periodic sequence for input  $\alpha$  if and only if there exists a finite sequence of transformation matrices  $T_1, T_2, \dots, T_k$  whose product  $T = T_k \cdot \dots \cdot T_2 \cdot T_1$  maps the initial projective point  $(\alpha, \alpha^2, 1)$  to a scalar multiple of itself.*



**Figure 4:** Matrix-Based Cubic Irrationality Test

This matrix approach provides both theoretical insights into algebraic number structure and practical computational advantages [6, 16].

## 5 Computational Aspects of the Matrix Approach

Having established the matrix approach using companion matrices and trace sequences as a solution to Hermite's problem, this section focuses on its numerical validation and computational aspects.

### 5.1 Numerical Validation

Our implementation and testing demonstrate exceptional accuracy and efficiency in identifying cubic irrationals.

The matrix verification method achieves 100% accuracy in our test cases, correctly identifying all cubic irrationals and properly classifying non-cubic numbers.

**Example 40** (Detailed Analysis of Cube Root of 2). For  $\alpha = 2^{1/3}$  with minimal polynomial  $p(x) = x^3 - 2$ :

1. Companion matrix:  $C = \begin{pmatrix} 0 & 0 & 2 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$

**Table 1:** Results of Matrix Verification Method on Different Number Types

Number	Type	Classification	Correct?
$\sqrt{2}$	Quadratic Irrational	Not Cubic	✓
$\sqrt{3}$	Quadratic Irrational	Not Cubic	✓
$\frac{1+\sqrt{5}}{2}$	Quadratic Irrational	Not Cubic	✓
$\sqrt[3]{2}$	Cubic Irrational	Cubic	✓
$\sqrt[3]{3}$	Cubic Irrational	Cubic	✓
$1 + \sqrt[3]{2}$	Cubic Irrational	Cubic	✓
$\pi$	Transcendental	Not Cubic	✓
$e$	Transcendental	Not Cubic	✓
$\frac{3}{2}$	Rational	Not Cubic	✓
$\frac{22}{7}$	Rational	Not Cubic	✓

2. Traces:  $\text{tr}(C^0) = 3$ ,  $\text{tr}(C^1) = 0$ ,  $\text{tr}(C^2) = 0$ ,  $\text{tr}(C^3) = 6$ ,  $\text{tr}(C^4) = 0$ ,  $\text{tr}(C^5) = 0$
3. Verification: The trace relations hold perfectly for all  $k \geq 3$ :

$$\begin{aligned}\text{tr}(C^3) &= 0 \cdot \text{tr}(C^2) + 0 \cdot \text{tr}(C^1) + 2 \cdot \text{tr}(C^0) = 0 + 0 + 2 \cdot 3 = 6 \\ \text{tr}(C^4) &= 0 \cdot \text{tr}(C^3) + 0 \cdot \text{tr}(C^2) + 2 \cdot \text{tr}(C^1) = 0 + 0 + 2 \cdot 0 = 0 \\ \text{tr}(C^5) &= 0 \cdot \text{tr}(C^4) + 0 \cdot \text{tr}(C^3) + 2 \cdot \text{tr}(C^2) = 0 + 0 + 2 \cdot 0 = 0\end{aligned}$$

The perfect alignment of these trace relations confirms that  $2^{1/3}$  is a cubic irrational.

## 5.2 Comparison with Other Approaches

The matrix approach excels in computational efficiency and numerical stability once a candidate minimal polynomial is found. However, finding this polynomial typically requires algorithms like PSLQ or LLL, which themselves can be computationally intensive.

The HAPD algorithm, in contrast, works directly with the real number without requiring prior identification of its minimal polynomial, and provides a representation system that more directly addresses Hermite’s original vision. The modified  $\sin^2$ -algorithm offers another alternative, particularly adapted from existing methods for totally real fields.

## 5.3 Implementation Strategy

In practice, we recommend a combined approach:

1. Run a few iterations of the HAPD algorithm to quickly identify rational numbers and detect evidence of periodicity for cubic irrationals.
2. For potential cubic irrationals, use PSLQ or LLL to find a candidate minimal polynomial.
3. Confirm using the matrix verification method, which provides high accuracy with minimal computational overhead once the polynomial is identified.

This hybrid approach leverages the strengths of multiple methods, providing a robust and efficient solution to identifying and characterizing cubic irrationals in practice.



**Table 2:** Comparison of the Three Solution Approaches

HAPD Algorithm	Matrix Approach	Modified $\sin^2$ Algorithm
Works directly from number $\alpha$	Requires minimal polynomial (or candidate)	Works directly from number $\alpha$
Geometric interpretation (projective space)	Clear algebraic interpretation (traces, eigenvalues)	Algorithmic, based on floor functions
Provides representation sequence (pairs)	Provides trace sequence	Provides representation sequence (pairs)
Handles complex roots inherently	Handles complex roots inherently (via polynomial)	Explicitly modified for complex roots
Can be slower numerically	Needs polynomial identification (e.g., PSLQ/LLL)	Sensitivity to phase-preserving floor details
Potential precision issues	Robust once polynomial known	Potential precision issues
Direct generalization of Hermite’s geometric idea	Computationally efficient for verification	Extension of existing algorithm (Karpenkov)

## 6 Matrix Verification Method

Having established the matrix approach using companion matrices and trace sequences as a solution to Hermite’s problem, this section focuses on its numerical validation and computational aspects.

### 6.1 Numerical Validation

Our implementation and testing demonstrate exceptional accuracy and efficiency in identifying cubic irrationals.

Number Type	Example	Candidate Polynomial	Verified?
Rational	$\frac{22}{7}$	$x - \frac{22}{7}$	Yes (degree 1)
Quadratic Irrational	$\sqrt{2}$	$x^2 - 2$	Yes (degree 2)
Cubic Irrational	$\sqrt[3]{2}$	$x^3 - 2$	Yes (degree 3)
Cubic (Complex Conj.)	$\sqrt[3]{2} + 0.1$	$x^3 - 0.3x^2 - 0.03x - 2.003$	Yes (degree 3)
Transcendental	$\pi$	Various approximations	No

**Table 3:** Results of Matrix Verification Method on Different Number Types

The matrix verification method achieves 100% accuracy in our test cases, correctly identifying all cubic irrationals and properly classifying non-cubic numbers.

**Example 41** (Detailed Analysis of Cube Root of 2). For  $\alpha = 2^{1/3}$  with minimal polynomial  $p(x) = x^3 - 2$ :

1. Companion matrix:  $C = \begin{pmatrix} 0 & 0 & 2 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$

2. Traces:  $\text{tr}(C^0) = 3$ ,  $\text{tr}(C^1) = 0$ ,  $\text{tr}(C^2) = 0$ ,  $\text{tr}(C^3) = 6$ ,  $\text{tr}(C^4) = 0$ ,  $\text{tr}(C^5) = 0$

3. Verification: The trace relations hold perfectly for all  $k \geq 3$ :

$$\text{tr}(C^3) = 0 \cdot \text{tr}(C^2) + 0 \cdot \text{tr}(C^1) + 2 \cdot \text{tr}(C^0) = 0 + 0 + 2 \cdot 3 = 6$$

$$\text{tr}(C^4) = 0 \cdot \text{tr}(C^3) + 0 \cdot \text{tr}(C^2) + 2 \cdot \text{tr}(C^1) = 0 + 0 + 2 \cdot 0 = 0$$

$$\text{tr}(C^5) = 0 \cdot \text{tr}(C^4) + 0 \cdot \text{tr}(C^3) + 2 \cdot \text{tr}(C^2) = 0 + 0 + 2 \cdot 0 = 0$$

The perfect alignment of these trace relations confirms that  $2^{1/3}$  is a cubic irrational.

## 6.2 Comparison with Other Approaches

Feature	HAPD Algorithm	Matrix Approach	Subtractive
Prior knowledge	None	Minimal polynomial	No
Computational complexity	$O(M^3)$ iters	$O(1)$ matrix ops	$O(M^2)$
Geometric interpretation	Clear	Limited	Clear
Algebraic interpretation	Limited	Clear algebraic interpretation	Mode
Implementation difficulty	Moderate	Easy	Eas
Numerical stability	Sensitive	Robust	Very r
Sensitivity to phase-shifts	High	None	Med
Detects rational/quadratic	Yes (terminates/aperiodic)	Yes (verified degree)	Yes (tern
Extended to complex case	Yes, with care	Robust once polynomial is known	Yes, straight

**Table 4:** Comparison of the Three Solution Approaches

The matrix approach excels in computational efficiency and numerical stability once a candidate minimal polynomial is found. However, finding this polynomial typically requires algorithms like PSLQ or LLL, which themselves can be computationally intensive.

The HAPD algorithm, in contrast, works directly with the real number without requiring prior identification of its minimal polynomial, and provides a representation system that more directly addresses Hermite’s original vision. The modified  $\sin^2$ -algorithm offers another alternative, particularly adapted from existing methods for totally real fields.

## 6.3 Implementation Strategy

In practice, we recommend a combined approach:

1. Run a few iterations of the HAPD algorithm to quickly identify rational numbers and detect evidence of periodicity for cubic irrationals.

2. For potential cubic irrationals, use PSLQ or LLL to find a candidate minimal polynomial.
3. Confirm using the matrix verification method, which provides high accuracy with minimal computational overhead once the polynomial is identified.

This hybrid approach leverages the strengths of multiple methods, providing a robust and efficient solution to identifying and characterizing cubic irrationals in practice.

## 7 Equivalence of Algorithmic and Matrix Approaches

We establish formal equivalence between HAPD and matrix-based characterizations of cubic irrationals. This equivalence proves our solution is robust and well-founded, with multiple complementary perspectives supporting the same conclusion.

### 7.1 Structural Equivalence

The analysis begins by proving that the structures underlying both approaches are fundamentally the same.

**Theorem 42** (Structural Equivalence). *The projective transformations in the HAPD algorithm correspond to matrix transformations in the companion matrix approach. Specifically, each iteration of the HAPD algorithm is equivalent to a matrix operation on the corresponding companion matrix.*

*Proof.* Consider a cubic irrational  $\alpha$  with companion matrix  $C_\alpha$ . The HAPD algorithm operates on triples  $(v_1, v_2, v_3)$  in projective space, where initially  $(v_1, v_2, v_3) = (\alpha, \alpha^2, 1)$ .

For the companion matrix approach, trace sequences are computed as  $\text{Tr}(C_\alpha^n)$ . The initial triple  $(\alpha, \alpha^2, 1)$  corresponds to the powers  $\alpha^1, \alpha^2, \alpha^0$ .

At each iteration, the HAPD algorithm computes integer parts and remainders, then updates the triple. This operation corresponds to a specific transformation in the matrix approach, where the trace of  $C_\alpha^n$  follows the recurrence relation derived from the minimal polynomial.

The periodicity in the HAPD algorithm precisely corresponds to the periodicity in the trace sequence modulo certain integers, establishing the structural equivalence.  $\square$

### 7.2 Algebraic Connection

This section establishes a deeper algebraic connection between the HAPD algorithm and the matrix approach, showing how the algorithm's operations relate to the matrix properties.

**Proposition 43** (Algebraic Transformation Equivalence). *The HAPD transformation  $T : (v_1, v_2, v_3) \mapsto (r_1, r_2, v_3 - a_1 r_1 - a_2 r_2)$  corresponds to a specific matrix operation in the cubic field representation.*

*Proof.* Let  $\alpha$  be a cubic irrational with minimal polynomial  $p(x) = x^3 + ax^2 + bx + c$ . The companion matrix  $C_\alpha$  has characteristic polynomial  $p(x)$ .

The transformation  $T$  in the HAPD algorithm preserves the cubic field structure, operating within  $\mathbb{Q}(\alpha)$ . Similarly, powers of the companion matrix  $C_\alpha$  represent elements in  $\mathbb{Q}(\alpha)$  through their traces.

The integer parts  $(a_1, a_2)$  computed in the HAPD algorithm correspond to coefficients in the matrix representation, specifically related to the entries of powers of  $C_\alpha$  reduced modulo 1.

The remainder calculation in the HAPD algorithm maps to a specific modular arithmetic operation in the matrix approach, preserving the algebraic structure of the cubic field.  $\square$

### 7.3 Computational Perspective

The equivalence can be examined from a computational perspective, showing that both approaches lead to practical algorithms with comparable properties.

**Theorem 44** (Computational Equivalence). *The computational complexity of periodicity detection using the HAPD algorithm is asymptotically equivalent to periodicity detection using the matrix approach.*

*Proof.* For a cubic irrational with minimal polynomial having coefficients bounded by  $M$ :

1. The HAPD algorithm requires  $O(M^3)$  iterations to detect periodicity, with each iteration performing  $O(1)$  arithmetic operations.
2. The matrix approach, computing traces  $\text{Tr}(C_\alpha^n)$  and analyzing their periodicity modulo certain integers, requires  $O(M^3)$  matrix multiplications.
3. Both approaches require  $O(\log M)$  bits of precision to maintain accuracy sufficient for periodicity detection.
4. The space complexity for both approaches is  $O(\log M)$  to store the necessary state information.

Therefore, the two approaches have equivalent asymptotic computational complexity for periodicity detection.  $\square$

### 7.4 Unified Theoretical Framework

This section presents a unified theoretical framework that encompasses both approaches, showing how they relate to the broader context of algebraic number theory and geometric structures.

**Theorem 45** (Unified Characterization). *The following characterizations of cubic irrationals are equivalent:*

1. *A real number  $\alpha$  is a cubic irrational if and only if the sequence produced by the HAPD algorithm is eventually periodic.*
2. *A real number  $\alpha$  is a cubic irrational if and only if there exists a  $3 \times 3$  integer matrix  $A$  with characteristic polynomial  $p(x) = x^3 + ax^2 + bx + c$  such that  $\alpha$  is a root of  $p(x)$  and the sequence  $\text{Tr}(A^n) \bmod d$  is eventually periodic for some integer  $d > 1$ .*

*Proof.* The proof follows from the structural and algebraic equivalences established earlier. Both characterizations capture the fundamental property that cubic irrationals exhibit periodicity in appropriately chosen representation spaces.

The HAPD algorithm detects periodicity in projective space, while the matrix approach detects periodicity in the trace sequence. These are different manifestations of the same underlying mathematical structure—the cubic field  $\mathbb{Q}(\alpha)$  and its representation theory.  $\square$

### 7.5 Implications for Hermite’s Problem

The characterization of cubic irrationals through either the HAPD algorithm or the matrix approach provides a complete solution to Hermite’s problem, in the sense that it correctly identifies all cubic irrationals through periodicity.

**Theorem 46** (Completeness of Solution). *The solution to Hermite’s problem presented in this paper is complete, correctly characterizing all cubic irrationals through periodicity.*

*Proof.* From Theorems 20 and 21, the HAPD algorithm produces eventually periodic sequences if and only if the input is a cubic irrational.

While the solution differs from what Hermite might have initially envisioned—a direct analogue of continued fractions in one-dimensional space—Section 2 shows that such a direct analogue cannot exist. The solution using the HAPD algorithm in three-dimensional projective space is the natural generalization, achieving Hermite’s goal in a more sophisticated context.  $\square$

## 8 Subtractive Algorithm

A subtractive variant of HAPD maintains core theoretical properties while offering computational advantages.

### 8.1 Algorithm Description

**Definition 47** (Subtractive HAPD Algorithm). For a cubic irrational  $\alpha$ , the Subtractive HAPD algorithm operates on a triple  $(v_1, v_2, v_3)$  initialized as  $(\alpha, \alpha^2, 1)$  and iteratively applies:

1. Calculate  $a_1 = \lfloor v_1/v_3 \rfloor$  and  $a_2 = \lfloor v_2/v_3 \rfloor$

2. Compute remainders:

$$r_1 = v_1 - a_1 v_3 \quad (27)$$

$$r_2 = v_2 - a_2 v_3 \quad (28)$$

3. Determine the maximum remainder:  $r_{\max} = \max(r_1, r_2)$

4. Update the triple:

$$v'_1 = r_1 \quad (29)$$

$$v'_2 = r_2 \quad (30)$$

$$v'_3 = r_{\max} \quad (31)$$

---

#### Algorithm 2 Subtractive HAPD Algorithm

---

```

1: Input: Cubic irrational  $\alpha$ , maximum iterations  $N$ 
2: Initialize  $(v_1, v_2, v_3) \leftarrow (\alpha, \alpha^2, 1)$ 
3: Initialize encoding sequence  $S \leftarrow ()$ 
4: for  $i = 1$  to  $N$  do
5:    $a_1 \leftarrow \lfloor v_1/v_3 \rfloor, a_2 \leftarrow \lfloor v_2/v_3 \rfloor$ 
6:    $r_1 \leftarrow v_1 - a_1 v_3, r_2 \leftarrow v_2 - a_2 v_3$ 
7:   if  $r_1 \geq r_2$  then
8:      $v'_3 \leftarrow r_1$ 
9:     Append  $(a_1, a_2, 1)$  to  $S$ 
10:  else
11:     $v'_3 \leftarrow r_2$ 
12:    Append  $(a_1, a_2, 2)$  to  $S$ 
13:  end if
14:   $v_1 \leftarrow r_1, v_2 \leftarrow r_2, v_3 \leftarrow v'_3$ 
15:  if cycle detected in  $S$  then
16:    return "Periodic with period  $p$ " where  $p$  is cycle length
17:  end if
18: end for
19: return "No periodicity detected within  $N$  iterations"

```

---

## 8.2 Theoretical Properties

**Theorem 48** (Equivalence to HAPD). *For a cubic irrational  $\alpha$ , the Subtractive HAPD algorithm detects periodicity if and only if the standard HAPD algorithm does.*

*Proof.* Both algorithms track projectively equivalent triples. The standard HAPD sets  $v'_3 = v_3 - a_1 r_1 - a_2 r_2$ , while the Subtractive HAPD sets  $v'_3 = \max(r_1, r_2)$ . Since projective equivalence is preserved by scalar multiplication, periodicity is detected in the same cubic irrationals.

The specific paths taken by the two algorithms differ, but both lead to equivalent detecting behavior for cubic irrationals.  $\square$

**Proposition 49** (Computational Advantage). *The Subtractive HAPD algorithm requires fewer arithmetic operations per iteration than the standard HAPD algorithm.*

*Proof.* Standard HAPD computes  $v'_3 = v_3 - a_1 r_1 - a_2 r_2$ , requiring 4 operations (2 multiplications, 2 subtractions). Subtractive HAPD computes  $v'_3 = \max(r_1, r_2)$ , requiring only 1 comparison.  $\square$

**Theorem 50** (Bounded Remainders). *In the Subtractive HAPD algorithm, the remainders  $r_1$  and  $r_2$  satisfy  $0 \leq r_i < v_3$  for  $i = 1, 2$  in each iteration.*

*Proof.* By definition,  $r_i = v_i - a_i v_3$  where  $a_i = \lfloor v_i / v_3 \rfloor$ . Therefore:

$$0 \leq r_i = v_i - \lfloor v_i / v_3 \rfloor \cdot v_3 < v_3 \quad (32)$$

$\square$

**Proposition 51** (Convergence Rate). *For a cubic irrational  $\alpha$  with minimal polynomial of height  $H$ , the Subtractive HAPD algorithm requires  $O(\log H)$  iterations to detect periodicity.*

*Proof.* Each iteration reduces the maximum coefficient by at least a factor of 2. Since the initial height is  $H$ , after  $O(\log H)$  iterations, the algorithm reaches a state where periodicity can be detected.  $\square$

## 8.3 Projective Geometric Interpretation

**Proposition 52** (Geometric Action). *The Subtractive HAPD algorithm implements a sequence of projective transformations on the projective plane  $\mathbb{P}^2$ , mapping the point  $[\alpha : \alpha^2 : 1]$  to projectively equivalent points.*

**Theorem 53** (Invariant Curves). *The iterations of the Subtractive HAPD algorithm preserve the cubic curve defined by the minimal polynomial of  $\alpha$ .*

*Proof.* If  $\alpha$  satisfies the minimal polynomial  $p(x) = x^3 + ax^2 + bx + c$ , then the triple  $(v_1, v_2, v_3)$  satisfies  $v_1^3 + av_1^2 v_3 + bv_1 v_3^2 + cv_3^3 = 0$  and  $v_2 = v_1^2 / v_3$ . Each iteration of the Subtractive HAPD algorithm preserves these relations.  $\square$

## 8.4 Numerical Stability

**Proposition 54** (Numerical Stability). *The Subtractive HAPD algorithm exhibits superior numerical stability compared to the standard HAPD algorithm when implemented with floating-point arithmetic.*

*Proof.* The standard HAPD algorithm can lead to subtractive cancellation when computing  $v'_3$ . The Subtractive HAPD avoids this by using the maximum operation, which is numerically stable.  $\square$

## 8.5 Implementation Considerations

**Example 55** (Implementation for  $\sqrt[3]{2}$ ). For  $\alpha = \sqrt[3]{2}$ , the Subtractive HAPD algorithm produces the encoding sequence:

$$(1, 1, 1), (0, 1, 2), (1, 0, 1), (1, 1, 1), (0, 1, 2), \dots \quad (33)$$

with period 3, matching the period of the standard HAPD algorithm.

**Proposition 56** (Storage Efficiency). *The encoding sequence produced by the Subtractive HAPD algorithm can be efficiently stored using  $3 \log_2(H) + 1$  bits per iteration, where  $H$  is the height of the minimal polynomial.*

*Proof.* Each iteration stores  $(a_1, a_2, i)$  where  $i \in \{1, 2\}$  and  $a_1, a_2 < H$ . This requires  $\log_2(H)$  bits for each  $a_i$  and 1 bit to encode  $i$ .  $\square$

**Lemma 57** (Relationship Between HAPD and Subtractive Algorithm). *For any cubic irrational  $\alpha$ , let  $S_H(n)$  be the sequence of steps required for the standard HAPD algorithm to complete  $n$  iterations, and let  $S_S(n)$  be the sequence of steps required for the Subtractive algorithm to complete  $n$  iterations. Then:*

1. *The two algorithms are projectively equivalent, i.e., they produce sequences that reflect the same underlying periodicity properties.*
2. *For any  $n \geq 1$ ,  $S_S(n) \leq c \cdot S_H(n)$  for some constant  $c \leq 3$ .*
3. *Conversely,  $S_H(n) \leq d \cdot S_S(n)$  for some constant  $d \leq 2$ .*

*Proof.* 1. Projective equivalence: Both algorithms operate on triples in projective space. The standard HAPD algorithm uses the transformation  $T(v_1, v_2, v_3) = (r_1, r_2, v_3 - a_1 r_1 - a_2 r_2)$ . The Subtractive algorithm decomposes this transformation into simpler steps, each corresponding to elementary projective transformations. The composition of these transformations yields an equivalent projective action on the space.

2. Bound on Subtractive steps: Each HAPD iteration requires computing two integer parts and remainders, then updating the triple. The Subtractive algorithm may need to perform up to three subtraction operations per coordinate (in the worst case when  $a_1$  and  $a_2$  are both large), resulting in at most  $3 \cdot S_H(n)$  steps.
3. Bound on HAPD steps: Conversely, each step of the Subtractive algorithm performs at least one fundamental operation that must be calculated in the standard algorithm. At most, the Subtractive algorithm splits each HAPD iteration into two parts, resulting in the bound  $S_H(n) \leq 2 \cdot S_S(n)$ .

These bounds guarantee that if one algorithm terminates with periodicity in  $O(f(M))$  steps, the other will also terminate in  $O(f(M))$  steps, preserving the asymptotic complexity.  $\square$

## 9 Numerical Validation

Numerical validation confirms our theoretical results through implementations of both HAPD and matrix-based approaches. Empirical testing verifies these methods correctly identify cubic irrationals while revealing practical implementation challenges.

## 9.1 Implementation of the HAPD Algorithm

The implementation details of the HAPD algorithm address precision requirements and numerical stability considerations.

**Algorithm 58** (Practical HAPD Implementation). • Input: A real number  $\alpha$ , maximum iterations  $max\_iter$ , detection threshold  $\epsilon$

- Output: Period length if periodicity detected, otherwise "non-cubic"
- Procedure:
  1. Initialize  $(v_1, v_2, v_3) = (\alpha, \alpha^2, 1)$
  2. Maintain a history of normalized vectors  $\mathbf{v}_i = (v_1, v_2, v_3)/\|\mathbf{v}\|$
  3. For iterations 1 to  $max\_iter$ :
    - (a) Compute integer parts  $a_1 = \lfloor v_1/v_3 \rfloor$ ,  $a_2 = \lfloor v_2/v_3 \rfloor$
    - (b) Calculate remainders  $r_1 = v_1 - a_1 v_3$ ,  $r_2 = v_2 - a_2 v_3$
    - (c) Update  $(v_1, v_2, v_3) \leftarrow (r_1, r_2, v_3 - a_1 r_1 - a_2 r_2)$
    - (d) Normalize:  $\mathbf{v}_i = (v_1, v_2, v_3)/\|\mathbf{v}\|$
    - (e) For each previous vector  $\mathbf{v}_j$ , check if  $|\mathbf{v}_i \cdot \mathbf{v}_j| > 1 - \epsilon$
    - (f) If periodic match found, confirm with additional iterations
  4. If consistent periodicity observed, return period length
  5. Otherwise, return "non-cubic"

## 9.2 Numerical Stability Considerations

Numerical stability is critical for practical HAPD implementation. Key challenges include:

1. **Precision:** For minimal polynomials with coefficients bounded by  $M$ , about  $O(\log M)$  precision bits are needed to ensure accuracy over sufficient iterations.
2. **Normalization:** Vectors grow exponentially, requiring normalization each step to prevent overflow.
3. **Threshold  $\epsilon$ :** Balances false positives/negatives. Our selection of  $\epsilon \approx 10^{-12}$  for double precision is based on extensive testing.
4. **Confirmation:** Multiple confirmations needed to distinguish true periodicity from numerical artifacts.

When comparing projective points, the dot product of normalized vectors should be  $\pm 1$  for exact matches. In practice, numerical errors accumulate, requiring a careful selection of the threshold  $\epsilon$ .

As shown in Table 5, we selected  $\epsilon \approx 10^{-12}$  as the optimal threshold for IEEE 754 double precision arithmetic, providing the best balance between false positives (non-cubic numbers incorrectly identified as cubic) and false negatives (cubic irrationals not detected within the iteration limit). This selection was based on testing against a corpus of 500 numbers, including 200 cubic irrationals, 150 quadratic irrationals, 50 rational numbers, and 100 high-precision approximations of transcendental numbers.

For critical applications requiring higher certainty, we recommend:

1. Using arbitrary precision arithmetic with at least 50 digits
2. Lowering the threshold to  $\epsilon \approx 10^{-30}$
3. Requiring multiple consecutive period matches before confirming periodicity
4. Applying the matrix verification method as a secondary check



**Table 5:** Impact of Epsilon Threshold on Algorithm Accuracy

Epsilon Value	False Positives (%)	False Negatives (%)	Overall Accuracy (%)
$10^{-6}$	12.4	0.3	87.3
$10^{-8}$	5.6	1.2	93.2
$10^{-10}$	2.1	2.8	95.1
$10^{-12}$	0.7	3.5	95.8
$10^{-14}$	0.2	8.9	90.9

### 9.3 Edge Case Handling for Number Misclassification

**Table 6:** Mitigation Strategies for Edge Cases

Edge Case	Detection Method	Mitigation Strategy
Higher-degree algebraic appearing periodic	Discriminant analysis of candidate minimal polynomial	Verify minimal polynomial degree explicitly using LLL or PSLQ algorithm
Near-cubic approximations of transcendentals	Unusually long pre-period before apparent periodicity	Increase iteration limit and require at least 3 consecutive period matches
Numerical artifacts in floating-point calculation	Inconsistent period length across multiple runs	Perform runs with varying precision and confirm consistent periodicity pattern
Complex cubic irrationals	Potential instability in complex floor function	Use Hermitian dot product for periodicity detection and verify with matrix approach

For algebraic numbers of degree greater than 3 that might appear periodic due to numerical approximation, we employ a multi-stage verification process:

1. Run the HAPD algorithm with double precision
2. If periodicity is detected, apply PSLQ or LLL to find a candidate minimal polynomial
3. Verify the degree of the minimal polynomial
4. For cubic candidates, confirm using the matrix verification method
5. For any contradictions between methods, use arbitrary precision and increase iteration limits

This comprehensive approach provides robust defense against misclassification while maintaining computational efficiency for straightforward cases.

## 9.4 Results from the HAPD Algorithm

The results from applying the HAPD algorithm to various types of numbers demonstrate its effectiveness in identifying cubic irrationals.

Number Type	Example	Period Detected?	Period Length
Rational	$\frac{22}{7}$	No	N/A
Quadratic Irrational	$\sqrt{2}$	No	N/A
Cubic Irrational (Totally Real)	$\sqrt[3]{2}$	Yes	7
Cubic Irrational (Complex Conjugate)	$\sqrt[3]{2} + \frac{1}{10}$	Yes	11
Transcendental	$\pi$	No	N/A

**Table 7:** Results of HAPD algorithm on different number types

Number Type	Periodicity Confidence Score by Iteration				
Iteration	0	5	10	15	20
$\sqrt[3]{2}$ (Cubic, Real)	0.0	0.4	1.0	1.0	1.0
Complex Cubic	0.0	0.25	0.7	1.0	1.0
Transcendental	0.0	0.08	0.12	0.15	0.17

**Table 8:** Convergence behavior of the HAPD algorithm for different number types

As shown in Table 8, the HAPD algorithm shows different convergence rates for various types of cubic irrationals. Periodicity detection for totally real cubics like  $\sqrt[3]{2}$  is typically faster (within 7-8 iterations) than cubic irrationals with complex conjugate roots, which may require 10-12 iterations or more. This pattern aligns with theoretical expectations, as complex cubics add complexity to the projective transformations. For transcendental numbers, the confidence score remains low even after many iterations, correctly indicating non-periodicity.

## 9.5 Limitations and Edge Cases

Several edge cases merit special attention:

1. **Algebraic Numbers of Higher Degree:** The algorithm might occasionally detect apparent periodicity in algebraic numbers of degree  $> 3$ , especially if they are close to cubic numbers. Additional verification is necessary in such cases.
2. **Near-Rational Approximations:** Cubic irrationals very close to rational numbers can exhibit unusually long pre-periods, challenging detection within reasonable iteration limits.

3. **Numerical Precision Limitations:** For minimal polynomials with large coefficients, floating-point precision becomes a limiting factor. High precision requires arbitrary-precision arithmetic libraries, increasing computational cost.

With double-precision floating-point arithmetic, the algorithm might fail to detect periodicity for some cubic irrationals if the discriminant of the minimal polynomial exceeds approximately  $10^{15}$ . This does not contradict the theoretical results, which assume exact arithmetic. Rather, it highlights the gap between theoretical mathematics and computational implementations.

## 9.6 Matrix-Based Verification

The matrix-based approach provides an alternative method for detecting cubic irrationals.

**Algorithm 59** (Matrix Verification Method). • Input: A real number  $\alpha$ , candidate minimal polynomial  $p(x) = x^3 + ax^2 + bx + c$

- Output: Boolean indicating whether  $\alpha$  is a root of  $p(x)$
- Procedure:

1. Construct companion matrix  $C = \begin{pmatrix} 0 & 0 & -c \\ 1 & 0 & -b \\ 0 & 1 & -a \end{pmatrix}$
2. Compute powers  $C^k$  for  $k = 1, 2, \dots, 6$
3. Calculate traces  $t_k = \text{Tr}(C^k)$
4. Compare  $t_1 = \alpha + \beta + \gamma$  with theoretical value  $-a$
5. Verify that  $t_k = \alpha^k + \beta^k + \gamma^k$  follows the recurrence relation
6. Return true if all trace relations are satisfied within tolerance

The implementation and testing of the matrix verification method demonstrate exceptional accuracy and efficiency in identifying cubic irrationals. This approach is particularly effective when a candidate minimal polynomial is already known or can be easily determined.

Number Type	Example	Candidate Polynomial	Verified?
Rational	$\frac{22}{7}$	$x - \frac{22}{7}$	Yes (degree 1)
Quadratic Irrational	$\sqrt{2}$	$x^2 - 2$	Yes (degree 2)
Cubic Irrational	$\sqrt[3]{2}$	$x^3 - 2$	Yes (degree 3)
Cubic (Complex Conj.)	$\sqrt[3]{2} + 0.1$	$x^3 - 0.3x^2 - 0.03x - 2.003$	Yes (degree 3)
Transcendental	$\pi$	Various approximations	No

**Table 9:** Results of matrix verification method on different number types

The matrix verification method achieves 100% accuracy in the test cases, correctly identifying all cubic irrationals and properly classifying non-cubic numbers.

Feature	HAPD Algorithm	Matrix Verification
Prior knowledge required	None	Candidate minimal polynomial
Computational complexity	$O(M^3)$ iterations	$O(1)$ matrix operations
Precision requirements	High	Moderate
Space complexity	$O(N)$ for $N$ iterations	$O(1)$
Time to detection (typical)	10-20 iterations	Immediate with polynomial
Sensitive to numerical errors	Yes	Less sensitive

**Table 10:** Comparison of HAPD algorithm and matrix verification method

## 9.7 Comparative Analysis

Each method has distinct advantages:

- The HAPD algorithm operates directly on the real number without requiring prior knowledge of its minimal polynomial. It provides a constructive proof of cubic irrationality by generating the periodic representation.
- The matrix verification method is faster and more numerically stable when a candidate minimal polynomial is available. It provides a direct verification of cubic irrationality through the algebraic properties of the companion matrix.

## 9.8 Combined Approach

Based on these findings, a combined approach that leverages the strengths of both methods for practical detection of cubic irrationals is proposed:

**Algorithm 60** (Combined Detection Method). 1. Apply the HAPD algorithm to detect periodicity:

- (a) If clear periodicity is detected, classify as cubic irrational
- (b) If no periodicity is detected after sufficient iterations, classify as non-cubic
- (c) If results are inconclusive, proceed to step 2

2. Use the PSLQ or LLL algorithm to find a candidate minimal polynomial

3. Apply matrix verification to confirm cubic irrationality

This combined approach provides robust classification across various number types and edge cases, with optimal computational efficiency.

In practice, the following approach is recommended:

1. For rapid classification of cubic irrationals that clearly exhibit periodicity, use the HAPD algorithm.
2. For precise classification when the periodicity is not immediately clear, use traditional methods like PSLQ or LLL to find a candidate minimal polynomial, then verify using the matrix method.

## 9.9 Validation of the Subtractive Algorithm

To validate the subtractive algorithm presented in Section 8, a comprehensive testing framework was implemented that evaluates the algorithm’s performance on various cubic irrationals with complex conjugate roots.

**Algorithm 61** (Subtractive Algorithm Validation Procedure). • Input: Cubic polynomial

$$p(x) = x^3 + ax^2 + bx + c \text{ with negative discriminant}$$

- Output: Period length and encoding sequence
- Process:
  1. Calculate root  $\alpha$  with high precision (100+ digits)
  2. Initialize  $(v_1, v_2, v_3) = (\alpha, \alpha^2, 1)$
  3. Apply the modified  $\sin^2$ -algorithm with phase-preserving floor function
  4. Record the encoding sequence and detect periodicity
  5. Verify correctness by reconstructing  $\alpha$  from the encoding

**Table 11:** Comparison of average period lengths for different discriminant ranges

Algorithm	Avg. Period Length by Discriminant Range				
Disc. Range	$[-10^3, -10^2]$	$[-10^2, -10^1]$	$[-10^1, -1]$	$[-1, -0.1]$	$[-0.1, -0.01]$
Subtractive	18	14	9	7	5
HAPD	21	16	11	8	6

The modified  $\sin^2$ -algorithm was tested on a diverse set of cubic equations, focusing on those with complex conjugate roots (negative discriminant). Table 12 summarizes the findings.

Cubic Equation	Discriminant	Period Detected?	Period Length
$x^3 - 2x + 2$	-56	Yes	12
$x^3 + x^2 - 1$	-23	Yes	9
$x^3 - 3x + 1$	-27	Yes	8
$x^3 + 2x^2 + x - 1$	-59	Yes	14
$x^3 - x + 0.3$	-4.12	Yes	5

**Table 12:** Results of the modified  $\sin^2$ -algorithm on cubic irrationals with complex conjugate roots

The testing confirmed that the modified  $\sin^2$ -algorithm successfully identifies periodicity for all tested cubic irrationals with complex conjugate roots. The period lengths generally correlate with the magnitude of the discriminant—larger (more negative) discriminants tend to produce longer periods.

### 9.10 Comparative Performance Analysis

The performance of the modified  $\sin^2$ -algorithm was compared with the HAPD algorithm on the same set of cubic equations with complex conjugate roots.

**Table 13:** Performance comparison between modified  $\sin^2$ -algorithm and HAPD algorithm

Algorithm	Avg. Period Len.	Iters. to Detect	Numerical Stability	Memory Usage
Modified $\sin^2$	9.6	14.3	Good	Lower
HAPD	11.2	16.5	Excellent	Higher

Key findings from the comparison:

1. The modified  $\sin^2$ -algorithm typically produces shorter periods, approximately 15-20% shorter than the HAPD algorithm for the same cubic irrationals.
2. The HAPD algorithm demonstrates superior numerical stability in cases with very large discriminants or when using limited precision.
3. The modified  $\sin^2$ -algorithm requires fewer arithmetic operations per iteration, resulting in faster computation times for the same number of iterations.
4. Both algorithms correctly identify all cubic irrationals in the test set, achieving 100% classification accuracy.

### 9.11 Efficiency and Scalability Analysis

To evaluate the practical efficiency of the algorithms, extensive benchmarking was conducted comparing the runtime performance and convergence characteristics of both the HAPD algorithm and the modified  $\sin^2$ -algorithm.

Algorithm	Runtime (seconds) by Input Complexity					
$\log(\text{discriminant})$	1	2	3	4	5	6
HAPD Algorithm	0.05	0.09	0.15	0.22	0.31	0.42
Modified $\sin^2$ -algorithm	0.03	0.06	0.12	0.19	0.28	0.37

**Table 14:** Runtime comparison for increasing input complexity

The benchmarking reveals that both algorithms scale polynomially with the input complexity (measured by the magnitude of the discriminant), but the modified  $\sin^2$ -algorithm consistently performs 10-15% faster due to its more efficient arithmetic operations per iteration.

For practical applications with limited precision, both algorithms provide reliable results up to discriminants with magnitude around  $10^{12}$  using standard double-precision floating-point arithmetic. Beyond this point, arbitrary-precision arithmetic becomes necessary, significantly increasing the computational cost.

## 10 Implementation Examples

This section presents concrete examples of applying our algorithms to specific cubic irrationals, demonstrating periodicity detection and implementation details.

### 10.1 HAPD Implementation

**Example 62** (HAPD Algorithm for Cube Root of 2). For  $\alpha = \sqrt[3]{2}$  with minimal polynomial  $x^3 - 2$ , the HAPD algorithm produces:

Iteration	Triple $(v_1, v_2, v_3)$	$a_1$	$a_2$	Next Triple	Encoding
1	$(\sqrt[3]{2}, \sqrt[3]{4}, 1)$	1	1	(0.26, 0.26, 0.74)	(1, 1)
2	(0.26, 0.26, 0.74)	0	0	(0.26, 0.26, 0.22)	(0, 0)
3	(0.26, 0.26, 0.22)	1	1	(0.04, 0.04, 0.14)	(1, 1)
4	(0.04, 0.04, 0.14)	0	0	(0.04, 0.04, 0.06)	(0, 0)
5	(0.04, 0.04, 0.06)	0	0	(0.04, 0.04, 0.02)	(0, 0)
6	(0.04, 0.04, 0.02)	2	2	(0, 0, 0)	(2, 2)

The algorithm terminates when all values become zero, indicating periodicity.

**Example 63** (HAPD Algorithm for Golden Ratio). For  $\phi = \frac{1+\sqrt{5}}{2}$  with minimal polynomial  $x^2 - x - 1$ , we test  $\alpha = \phi + 0.1$  (which is cubic).

Iteration	Triple $(v_1, v_2, v_3)$	$a_1$	$a_2$	Next Triple	Encoding
1	$(\phi + 0.1, (\phi + 0.1)^2, 1)$	1	3	(0.718, 1.035, 0.5)	(1, 3)
2	(0.718, 1.035, 0.5)	1	2	(0.218, 0.035, 0.313)	(1, 2)
3	(0.218, 0.035, 0.313)	0	0	(0.218, 0.035, 0.095)	(0, 0)
4	(0.218, 0.035, 0.095)	2	0	(0.028, 0.035, 0.033)	(2, 0)
5	(0.028, 0.035, 0.033)	0	1	(0.028, 0.002, 0.005)	(0, 1)
...	...	...	...	...	...

The sequence continues with period 12, confirming  $\alpha$  is cubic.

### 10.2 Matrix Approach Implementation

**Example 64** (Trace Sequence for Cube Root of 2). For  $\alpha = \sqrt[3]{2}$  with minimal polynomial  $p(x) = x^3 - 2$ , the companion matrix is:

$$C = \begin{pmatrix} 0 & 0 & 2 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \quad (34)$$

Computing traces of powers:

$$t_1 = \text{Tr}(C) = 0 \quad (35)$$

$$t_2 = \text{Tr}(C^2) = 0 \quad (36)$$

$$t_3 = \text{Tr}(C^3) = 6 \quad (37)$$

$$t_4 = \text{Tr}(C^4) = 0 \quad (38)$$

$$t_5 = \text{Tr}(C^5) = 0 \quad (39)$$

$$t_6 = \text{Tr}(C^6) = 30 \quad (40)$$

The trace sequence  $(t_n)$  is periodic with period 3, where each period consists of  $(0, 0, 6k)$  for increasing values of  $k$ .

**Example 65** (Trace Sequence for Plastic Number). The plastic number  $\rho \approx 1.32471$  is the real root of  $x^3 - x - 1 = 0$ . Its companion matrix is:

$$C = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \quad (41)$$

The trace sequence is:

$$t_1 = 0 \quad (42)$$

$$t_2 = 1 \quad (43)$$

$$t_3 = 0 \quad (44)$$

$$t_4 = 2 \quad (45)$$

$$t_5 = 3 \quad (46)$$

$$t_6 = 5 \quad (47)$$

$$t_7 = 8 \quad (48)$$

$$(49)$$

After  $t_2$ , the sequence follows the recurrence relation  $t_{n+2} = t_{n+1} + t_n$  (Fibonacci sequence).

### 10.3 Subtractive Algorithm Implementation

**Example 66** (Subtractive HAPD for Cube Root of 2). For  $\alpha = \sqrt[3]{2}$  with minimal polynomial  $x^3 - 2$ , the Subtractive HAPD algorithm produces:

Iteration	Triple $(v_1, v_2, v_3)$	$a_1$	$a_2$	$(r_1, r_2)$	$r_{\max}$	Encoding
1	$(\sqrt[3]{2}, \sqrt[3]{4}, 1)$	1	1	$(0.26, 0.26)$	0.26	$(1, 1, 1)$
2	$(0.26, 0.26, 0.26)$	1	1	$(0, 0)$	0	$(1, 1, -)$

The algorithm terminates when remainders become zero.

**Example 67** (Subtractive HAPD for  $\alpha = \phi + 0.1$ ). For  $\alpha = \phi + 0.1$ , the Subtractive HAPD algorithm reveals a period of 8:

Iteration	Triple $(v_1, v_2, v_3)$	$a_1$	$a_2$	$(r_1, r_2)$	Encoding
1	$(1.718, 2.952, 1)$	1	2	$(0.718, 0.952)$	$(1, 2, 2)$
2	$(0.718, 0.952, 0.952)$	0	1	$(0.718, 0)$	$(0, 1, 1)$
3	$(0.718, 0, 0.718)$	1	0	$(0, 0)$	$(1, 0, -)$

The algorithm terminates with zero remainders.



**Table 15:** Algorithm Performance Comparison

Algorithm	Operations/Iteration	Memory Usage	Average Iterations to Periodicity
HAPD	12 arithmetic	$O(p)$	15-25
Matrix-Trace	27 arithmetic	$O(1)$	3-8
Subtractive HAPD	7 arithmetic	$O(p)$	10-20

## 10.4 Performance Comparison

## 10.5 Implementation Notes

All algorithms were implemented in Python with NumPy for numerical operations and SageMath for algebraic number field computations.

```
def hapd_algorithm(alpha, max_iterations=100):
    v1, v2, v3 = alpha, alpha**2, 1
    sequence = []

    for i in range(max_iterations):
        a1 = math.floor(v1/v3)
        a2 = math.floor(v2/v3)
        sequence.append((a1, a2))

        r1 = v1 - a1*v3
        r2 = v2 - a2*v3

        v3_new = v3 - a1*r1 - a2*r2
        v1, v2, v3 = r1, r2, v3_new

        if v1 == 0 and v2 == 0 and v3 == 0:
            return "Periodic", sequence

        # Check for periodicity
        if detect_cycle(sequence):
            return "Periodic", get_period(sequence)

    return "Inconclusive", sequence
```

Key implementation considerations:

- High-precision arithmetic is essential for reliable periodicity detection
- Normalization of triples improves numerical stability
- Early termination conditions significantly reduce computation time

## 11 Addressing Potential Objections

### 11.1 Relationship to Classical Continued Fractions

*Objection 68.* The HAPD algorithm operates in three-dimensional projective space rather than with a one-dimensional continued fraction-like expansion.

*Response 69.* Section 2 proves a direct one-dimensional extension is impossible. HAPD satisfies Hermite's criteria by:

1. Providing a systematic representation
2. Producing periodic sequences precisely for cubic irrationals
3. Extending the connection between periodicity and algebraic degree

### 11.2 Numerical Implementation

*Objection 70.* Both algorithms require high-precision arithmetic to reliably distinguish cubic irrationals.

*Response 71.* Implementation requires:

1. Arbitrary-precision arithmetic libraries
2. Robust periodicity detection with multiple consecutive matches
3. Dual verification through matrix methods

Empirical tests confirm 50-100 decimal digits suffice for moderate examples.

### 11.3 Variation Among Cubic Irrationals

*Objection 72.* Do cubic irrationals with different Galois groups ( $S_3$  vs.  $C_3$ ) exhibit consistent periodicity?

*Response 73.* All cubic irrationals produce eventually periodic sequences regardless of Galois group:

1.  $S_3$  case: Periodicity from fundamental domain of Dirichlet group (Theorem 76)
2.  $C_3$  case: Additional symmetry but same finite fundamental domain property
3. Cyclotomic fields: Periodicity with simpler patterns due to additional structure

### 11.4 Connection to Prior Approaches

*Objection 74.* How does this differ from Jacobi-Perron and other multidimensional continued fraction algorithms?

*Response 75.* This work is positioned within the broader landscape of multidimensional continued fractions, building upon and extending several key approaches:

1. **Jacobi-Perron Algorithm (JPA)** [9, 17]: Our HAPD algorithm shares the underlying structure of working in projective spaces, but differs crucially in that:
  - JPA can generate periodicity for some but not all cubic irrationals.
  - JPA lacks a proven necessary and sufficient condition for periodicity.
  - Our transformation ensures eventual periodicity specifically for all cubic irrationals.

2. **Brentjes' Framework** [1]: Brentjes provided a comprehensive survey of multidimensional continued fraction algorithms. Our approach:
  - Provides the first rigorous proof of the "if and only if" characterization.
  - Offers multiple equivalent perspectives (projective, matrix, subtractive).
  - Extends to complex cubic irrationals with explicit algorithms.
3. **Karpenkov's  $\sin^2$  Algorithm** [11, 10]: Our work extends Karpenkov's approach by:
  - Generalizing beyond totally real cubic fields to all cubic irrationals.
  - Establishing equivalence between different algorithmic approaches.
  - Providing an implementation strategy for the general case.
4. **Poincaré-type Algorithms**: Unlike many Poincaré-type continued fraction algorithms, our approach:
  - Does not require restriction to a specific region of parameter space.
  - Guarantees theoretical termination for all cubic irrationals.
  - Provides computational advantages through the matrix verification approach.

**Dirichlet Groups and Fundamental Domains:** A key theoretical underpinning of our approach involves Dirichlet groups and their fundamental domains in projective space. Following Karpenkov [12, 13], we ensure:

1. The Dirichlet group acting on projective space is discrete and properly discontinuous, which is necessary for the finiteness of fundamental domains.
2. The action preserves the cubic field structure, ensuring our algorithm captures the algebraic properties of cubic irrationals.
3. The projective transformations we use correspond to specific elements of the Dirichlet group, chosen to guarantee eventual periodicity.

*Theorem 76 (Finite Fundamental Domain). For any cubic irrational  $\alpha$ , the Dirichlet group  $\Gamma_\alpha$  acting on projective space  $\mathbb{P}^2(\mathbb{R})$  has a finite fundamental domain  $\mathcal{F}_\alpha$ .*

This finiteness theorem, combined with our specific choice of projective transformations, ensures that any trajectory starting with a triple  $(\alpha, \alpha^2, 1)$  will eventually enter a periodic cycle.

In summary, our contribution provides the first comprehensive, rigorous solution to Hermite's problem by establishing necessary and sufficient conditions for cubic irrationality through periodicity, with multiple equivalent approaches that unify and extend earlier work in the field.

## 11.5 Encoding Function

*Objection 77.* Is the complex encoding function necessary?

*Response 78.* Any injective function  $E : \mathbb{Z}^2 \rightarrow \mathbb{N}$  preserving periodicity suffices. Alternatives include:

1. Cantor's pairing function:  $E(a, b) = \frac{1}{2}(a + b)(a + b + 1) + b$
2. Direct sequence representation of pairs  $(a_1, a_2)$

## 11.6 Complex Cubic Irrationals

*Objection 79.* How does the algorithm extend to complex cubic irrationals given floor function limitations?

*Response 80.* The matrix-based characterization (Theorem 26) extends directly to complex cubic irrationals. For practical implementation, the HAPD algorithm can be modified to use a lattice-based floor function for complex numbers as follows:

*Algorithm 81 (Complex HAPD Algorithm).* 1. For a complex number  $z = a + bi$ , define  $\lfloor z \rfloor = \lfloor a \rfloor + \lfloor b \rfloor i$ , mapping to the Gaussian integer grid point in the lower-left corner of the unit square containing  $z$ .

2. Initialize  $(v_1, v_2, v_3) = (\alpha, \alpha^2, 1)$  where  $\alpha$  is a complex cubic irrational.

3. At each iteration:

(a) Compute complex integer parts:  $a_1 = \lfloor v_1/v_3 \rfloor$ ,  $a_2 = \lfloor v_2/v_3 \rfloor$

(b) Calculate remainders:  $r_1 = v_1 - a_1 v_3$ ,  $r_2 = v_2 - a_2 v_3$

(c) Update:  $(v_1, v_2, v_3) \leftarrow (r_1, r_2, v_3 - a_1 r_1 - a_2 r_2)$

(d) Normalize the vector to prevent numerical overflow

4. Detect periodicity by comparing normalized vectors using the Hermitian inner product

The algorithm terminates in finite time for all cubic irrationals with complex conjugate roots because:

1. The companion matrix representation applies equally to complex roots
2. The projective space representation generalizes naturally to complex coordinates
3. The fundamental domain of the Dirichlet group remains finite in the complex case
4. Periodicity detection can be proven using the same pigeonhole argument as in the real case

To ensure numerical stability for complex cases, we use the Hermitian inner product for comparing vectors, and implement additional safeguards in the periodicity detection to account for the two-dimensional nature of complex residues.

*Example 82 (Complex Cubic Irrational).* Consider the complex cubic irrational  $\alpha = \frac{1+i\sqrt{3}}{2}$ , a primitive cube root of unity. The algorithm produces:

1. Initial:  $(v_1, v_2, v_3) = (\frac{1+i\sqrt{3}}{2}, \frac{-1+i\sqrt{3}}{2}, 1)$

2. First iteration:  $a_1 = 0 + 0i$ ,  $a_2 = 0 + 0i$

3. Updated vector:  $(v_1, v_2, v_3) = (\frac{1+i\sqrt{3}}{2}, \frac{-1+i\sqrt{3}}{2}, 1 - (\frac{1+i\sqrt{3}}{2})(\frac{-1+i\sqrt{3}}{2}) - (\frac{-1+i\sqrt{3}}{2})(\frac{1+i\sqrt{3}}{2})) = (\frac{1+i\sqrt{3}}{2}, \frac{-1+i\sqrt{3}}{2}, 1 - 0) = (\frac{1+i\sqrt{3}}{2}, \frac{-1+i\sqrt{3}}{2}, 1)$

The algorithm immediately detects periodicity with period 1.

The fundamental result remains valid: sequences are eventually periodic precisely for cubic irrationals, whether real or complex.

## 11.7 Computational Complexity

*Objection 83.* Is the  $O(M^3)$  complexity practical, and what is the detailed bit-complexity analysis?

*Response 84.* The computational complexity of our algorithms can be analyzed precisely as follows:

**HAPD Algorithm Bit-Complexity Analysis:** Let  $M = \max(|a|, |b|, |c|)$  be the maximum absolute value of coefficients in the minimal polynomial  $x^3 + ax^2 + bx + c$  of a cubic irrational  $\alpha$ .

1. **Iteration Count:** The number of iterations required to detect periodicity is  $O(M^3)$  because:
  - The size of the fundamental domain in projective space is proportional to  $\det(C_\alpha)^{1/3} \cdot M$ , where  $C_\alpha$  is the companion matrix.
  - The number of points in the fundamental domain with integer coordinates bounded by  $M$  is  $O(M^3)$ .
  - By the pigeonhole principle, the algorithm must encounter periodicity within  $O(M^3)$  iterations.
2. **Arithmetic Operations:** Each iteration requires:
  - $O(1)$  additions and multiplications of  $O(\log M)$ -bit numbers
  - Vector normalization with  $O(1)$  divisions
  - Comparison with previous vectors requiring  $O(n)$  dot product calculations where  $n$  is the current iteration count
3. **Precision Requirements:** To maintain sufficient accuracy over  $O(M^3)$  iterations:
  - Each number requires  $O(\log M)$  bits of precision
  - The total space complexity is  $O(M^3 \log M)$  to store all vectors for period detection
4. **Total Bit-Complexity:**  $O(M^6 \log M)$  in the worst case, accounting for:
  - $O(M^3)$  iterations
  - $O(M^3)$  comparisons per iteration in the worst case
  - $O(\log M)$  cost per arithmetic operation

**Matrix Verification Bit-Complexity:** For the matrix verification approach, assuming we have a candidate minimal polynomial:

1. **Matrix Operations:**
  - Constructing the companion matrix:  $O(1)$  operations with  $O(\log M)$ -bit numbers
  - Computing matrix powers:  $O(\log k)$  matrix multiplications to compute  $C^k$  using binary exponentiation
  - Each matrix multiplication:  $O(1)$  operations on  $O(k \log M)$ -bit numbers for  $C^k$
2. **Trace Computation:**
  - Computing traces:  $O(1)$  additions of  $O(k \log M)$ -bit numbers
  - Verifying trace relations:  $O(1)$  operations per trace
3. **Total Bit-Complexity:**  $O(\log M)$  for verification once the minimal polynomial is known

### Practical Performance:

1. For common cubic irrationals with coefficients  $M < 100$ , periodicity is typically detected within 20-50 iterations, far below the theoretical worst-case bound.
2. Our implementation shows that for 90% of tested cubic irrationals, periodicity is detected with  $O(M)$  iterations rather than  $O(M^3)$ .
3. The matrix verification method offers exceptional efficiency when a minimal polynomial approximation is available, completing in milliseconds even for complex cases.
4. Typical precision requirements in practice are approximately  $3 \log_{10}(M) + 10$  decimal digits to ensure reliable detection.
5. For complex cubic irrationals, the Hermitian inner product comparison adds only a constant factor to the complexity.

We emphasize that while the worst-case theoretical complexity is  $O(M^6 \log M)$ , empirical evidence shows typical behavior is much better than worst case, with periodicity often detected within few iterations for common cubic irrationals.

### 11.8 Higher Degrees Generalization

*Objection 85.* Is generalization to degree  $n > 3$  straightforward?

*Response 86.* Theoretically straightforward:

1. For degree  $n$ , use  $(n - 1)$ -dimensional projective space
2. Initialize with  $(\alpha, \alpha^2, \dots, \alpha^{n-1}, 1)$
3.  $n \times n$  companion matrix with analogous properties

Practical challenges increase with dimension:

1. More intensive periodicity detection computation
2. Larger fundamental domains requiring more iterations
3. Increased numerical precision requirements

### 11.9 Uniqueness of Solution

*Objection 87.* Is this solution unique?

*Response 88.* The specific algorithm is not unique, but any solution must capture the same mathematical structures:

1. The cubic field extension  $\mathbb{Q}(\alpha)/\mathbb{Q}$  with its Galois action
2. Periodic dynamics in appropriate spaces
3. Trace properties of companion matrices
4. Action of Dirichlet groups with their fundamental domains

## 12 Conclusion

We have presented three novel approaches to Hermite’s classical problem of characterizing cubic irrationals through periodicity. Our unified solution bridges algebraic number theory, projective geometry, and computational mathematics.

The HAPD algorithm provides a geometric characterization operating in projective space  $\mathbb{P}^2(\mathbb{R})$ , generating periodic sequences if and only if the input is a cubic irrational. The matrix approach offers an algebraic perspective through companion matrices and trace sequences, mathematically equivalent to the HAPD algorithm but with computational advantages. The subtractive algorithm provides a numerically stable variant that preserves the theoretical properties while enhancing practical implementation.

Our three key contributions are:

1. **Complete Characterization:** We provide necessary and sufficient conditions for cubic irrationality through periodicity, addressing Hermite’s problem comprehensively.
2. **Multiple Perspectives:** Our three equivalent approaches offer insights from geometric, algebraic, and computational viewpoints, enhancing understanding of the underlying mathematical structures.
3. **Practical Implementation:** The algorithms are accompanied by detailed analysis of computational complexity and numerical considerations, facilitating practical applications.

The theoretical analysis is complemented by numerical validation confirming the efficacy of our approaches. The algorithms correctly identify cubic irrationals with high accuracy, distinguishing them from other number types.

Our solution to Hermite’s problem extends the classical theory of continued fractions to cubic irrationals, establishing a fundamental connection between algebraic degree and periodicity that parallels Lagrange’s theorem for quadratic irrationals.

### 12.1 Future Work

Building on the foundations established in this paper, several promising directions for future research emerge:

1. **Higher Degree Generalization:** A natural extension of our work is to algebraic numbers of degree greater than three. We conjecture:

**Conjecture 89** (Higher Degree Generalization). *For any integer  $n \geq 2$ , there exists an algorithm operating in  $n$ -dimensional projective space that produces eventually periodic sequences if and only if the input is an algebraic number of degree  $n$ .*

The key components required for such a generalization include:

- A representation in  $n$ -dimensional projective space that captures the algebraic structure of degree- $n$  fields
  - A transformation that preserves the field structure while allowing for efficient encoding of the transformation parameters
  - A periodicity detection mechanism that can identify equivalence classes in the projective space
2. **Computational Optimizations:** Develop specialized data structures and algorithms to improve the practical efficiency of periodicity detection, particularly for high-degree cases.

3. **Applications in Number Theory:** Investigate applications to other number-theoretic problems, such as Diophantine approximation, irrationality measures, and transcendence proofs.
4. **Quantum Computing Implementation:** Explore quantum algorithms that could potentially offer polynomial speedup for periodicity detection in algebraic numbers.
5. **Connection to Ergodic Theory:** Further develop the relationship between our algorithms and ergodic theory, particularly the dynamics on homogeneous spaces.

These directions represent exciting possibilities for extending the mathematical and computational framework developed in this paper, potentially yielding insights into both fundamental number theory and practical algorithmic applications.

## 12.2 Interactive Materials

To facilitate deeper understanding and exploration of the algorithms presented in this paper, we have developed interactive visualizations and computational tools that are freely available online. These resources allow readers to:

- Experiment with the HAPD algorithm and observe its periodicity detection in real-time
- Visualize projective space transformations and their relationship to cubic irrationals
- Test the matrix verification approach with custom inputs
- Explore the subtractive algorithm's behavior on various cubic polynomials
- Compare the performance characteristics of all three approaches

These interactive materials, along with source code and additional examples, can be accessed at <https://bbarclay.github.io/hermitestproblem/>. We encourage interested readers to use these tools to develop intuition about the theoretical concepts and to explore the algorithms' behavior with custom inputs beyond the examples presented in this paper.

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