



02393 Programming in C++

Module 10: Recursive Programming

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Slides based on previous versions by Andrea Vandin, Alberto Lluch Lafuente, Sebastian Mödersheim

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Course plan

Module no.	Date	Topic	Book chapter*
0 and 1	30.08	Welcome & C++ Overview	1
2	06.09	Basic C++ and Data Types	2.1, 2.3 - 2.5, 11.1, 11.3
3	13.09	Enumerations and Structures & <i>LAB DAY</i>	1.5
4	20.09	Memory Management	12.1, 11.2, 11.3
5	27.09	Libraries and Interfaces	2.2, 2.6 - 2.8, 3.1 - 3.3, 4.1 - 4.3
6	04.10	Classes and Objects	5.1, 6.1, 11.2, 12.1, 12.4, 12.7
7	11.10	Templates	5.1, 14.2
<i>Autumn break</i>			
8	25.10	Inheritance	19.1 - 19.3
9	01.11	<i>LAB DAY</i>	<i>Previous exams</i>
10	08.11	Recursive Programming	7
11	15.11	Linked Lists	12.2, 12.3
12	22.11	Trees	16.1 - 16.4
13	29.11	Conclusion & <i>LAB DAY</i>	<i>Exam preparation</i>
22.12		Exam (held physically, all aids allowed)	

* Recall that the book uses some ad-hoc libraries (e.g., for vectors). We will use standard libraries

Outline

Recursive programming

- Overview

- Mathematical recursion, in code

- Base cases and termination

- The “recursive leap of faith”

- Rules of thumb

- Live coding

On the complexity of problems and algorithms

Examples on recursive programming and complexity

Lab

What is recursion?

Recursive programming is a solution technique that **solves large problems** by **reducing them to smaller problems of the same form**

- ▶ It is crucial that both the large and smaller problems have the same form!
- ▶ So we can use the same technique (and the same code!) to solve both

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Why might recursion seem... weird?

- ▶ Recursion requires a form of mathematical **inductive reasoning**
- ▶ Recursion is **more abstract** than programming concepts having a mechanical intuition
 - ▶ *loop*: repeat an action several times
 - ▶ *if-then-else*: check a condition, make a decision
 - ▶ *recursion*: ???

Examples

You may have already seen recursion in **mathematical definitions**. E.g., the factorial:

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ n \cdot ((n-1)!) & \text{otherwise} \end{cases}$$

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A possible **iterative implementation** of the factorial in C++:

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3     factorial = factorial * i;
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4 }
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Example: executing `Fact(4)`

main

Fact

n

4

```
→ if (n == 0) {  
    return (1);  
} else {  
    return (n * Fact(n - 1));  
}
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Example: executing `Fact(4)`

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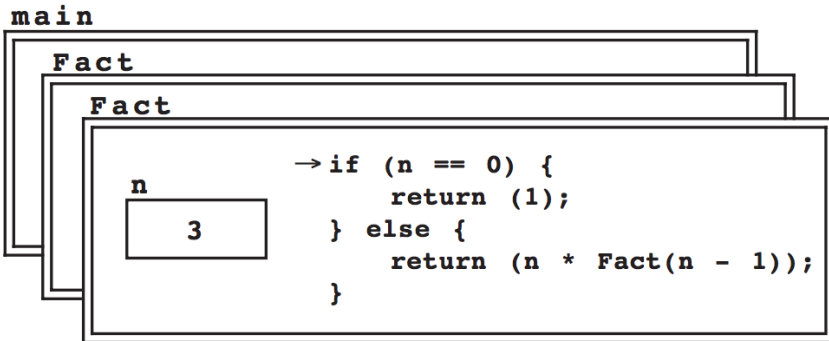
n

4

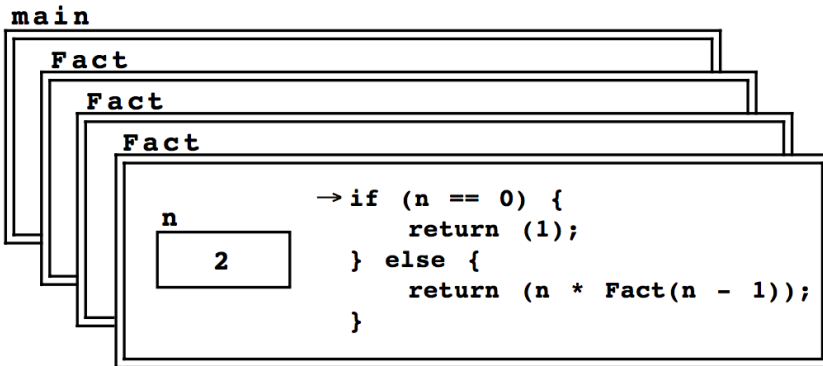
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↑ ?

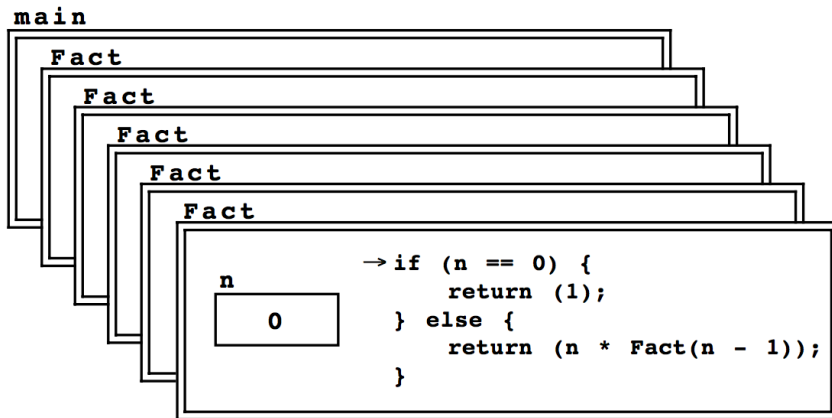
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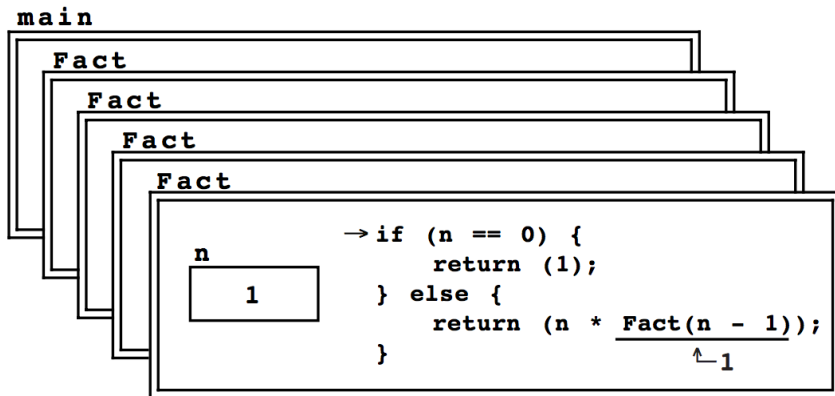
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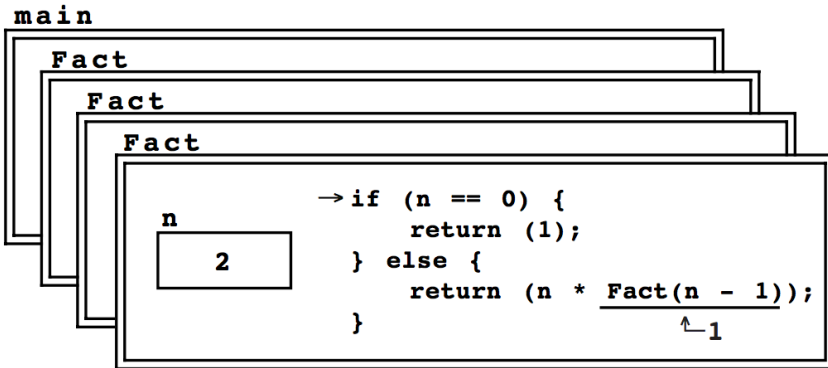
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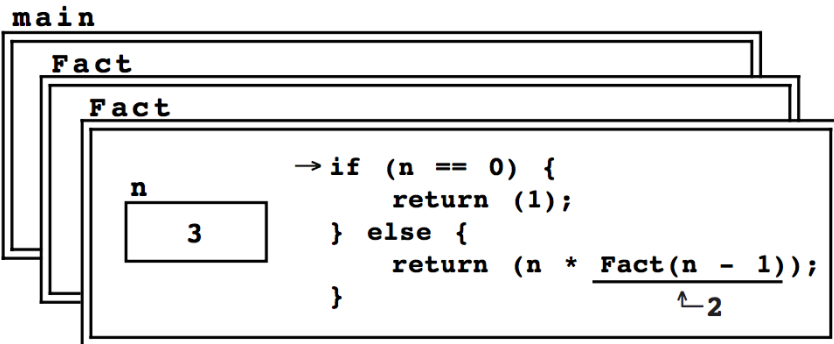
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↑ 6

Using recursion: base cases and termination

When using recursion we must ensure that:

1. there are one or more **base cases**
 - ▶ i.e., “**smallest**” problems for which we return a solution, without recursion
2. every recursion step reduces to a **smaller problem**
3. every sequence of recursion steps **eventually reaches one of the base cases**

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In the **Factorial** example: the **base case** is **n = 0**, we recursively call **Fact** on **smaller and smaller numbers**, so we **eventually reach the base case n = 0**

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If any of the 3 conditions above is not satisfied, **recursion may not work properly!**

- ▶ Risk of **non-termination** or **crashes** (stack overflow)

Using recursion: the “recursive leap of faith”

When writing a recursive function, we need to **assume that each recursive call with a smaller problem computes the correct solution**

- Example: to write `Fact(n)`, we need to assume that `Fact(n-1)` is correct

```
1 unsigned int Fact(unsigned int n) {  
2     if (n == 0) return 1;  
3     else return n * Fact(n-1);  
4 }
```

Assuming that a recursive call works correctly is called the “**recursive leap of faith**”

Recursion: rules of thumb

1. **Identify the base cases** (i.e., the “smallest” cases whose solution does not need recursion)
2. **Solve the base cases**
3. **Address the recursive cases** (i.e., the non-base cases that need recursive calls)
 - ▶ Ensure that the arguments to the recursive calls are “smaller” than the original arguments
 - ▶ Ensure that recursive calls eventually reach one of the base cases

Live coding

Another simple example:
sum of n consecutive integers

On the complexity of algorithms and problems

We are often interested in estimating the **resources needed by an algorithm**:

- ▶ **time**: number of operations
- ▶ **space**: amount of memory/disk

Such resources usually depend on the **size of the algorithm inputs** (denoted N)

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Complexity of a problem: given a concrete problem (e.g., sorting a list of numbers) what time/space resources are needed by the **best** solution algorithm?

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Notes:

- ▶ Some problems are **not computable!** (i.e., no algorithm exists)
- ▶ Sometimes we have a **trade-off** between time and space
- ▶ For many problems, the **precise complexity is not known**:
 - ▶ we may know some solution algorithms, but we don't know whether a better one exists
 - ▶ we can give a **lower bound** to the complexity

Asymptotic complexity: Big-O notation

We are often interested in the **worst-case time / space** requirements of an algorithm, given an input size N . In such cases, we use **Big-O notation**. E.g.:

$$2N^2 + 17N + 53 \text{ operations} \implies O(N^2) \text{ time complexity}$$

We **only consider dominant terms**. This is because, as N grows,

- ▶ larger exponents have more impact
- ▶ constant factors and minor terms tend to become irrelevant

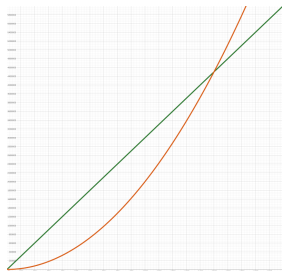
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For example, if we have:

1. a **good algorithm**, time: $3000N \implies O(N)$ time complexity
2. a **bad algorithm**, time: $2N^2 \implies O(N^2)$ time complexity

The plot shows N (x -axis) vs. number of operations (y -axis)
Above some N , the **algorithm (1)** performs better (less operations)

Asymptotic complexity: Big-O (cont'd)

Definition (Big-O notation). $O(f)$ is the class of functions that **asymptotically grow no faster** than f . More formally:

$$O(f) = \{ g : \mathbb{N} \rightarrow \mathbb{R}^+ \mid \exists c \in \mathbb{R}^+ : \exists N_0 \in \mathbb{N} : \forall N \geq N_0 : g(N) \leq c f(N) \}$$

For instance, we have: $2N^2 + 2N + 1 \in O(N^2)$

This is because taking $c = 5$ and $N_0 = 1$, we have $2N^2 + 2N + 1 \leq cN^2$ for all $N \geq N_0$

Asymptotic complexity: Big-O (cont'd) and Big-Ω notation

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Dually, we are sometimes interested in the **best-case time / space** requirements (i.e., **lower-bound complexity**) of an algorithm, given an input size N

For this we use $\Omega(f)$ which is the class of functions that grow **at least as fast** as f — and the corresponding **Big-Ω notation**

More examples of recursion (see lecture code)

- ▶ Efficient search: **binary search**
 - ▶ Naive search (linear search) of an element in a set takes $O(n)$
 - ▶ Binary search is a divide-and-conquer $O(\log n)$ solution
- ▶ Efficient sorting: **merge sort**
 - ▶ The recursion paradigm directly triggers an efficient solution!
 - ▶ Naive bubble sort: $O(n^2)$ for array of size n
 - ▶ Merge sort: $O(n \log n)$ (theoretical optimum)
- ▶ **Efficient exponentiation** in cryptography ($a^n \bmod p$)
 - ▶ Naive exponentiation: $O(n)$
 - ▶ Efficient exponentiation: $O(\log n)$
 - ▶ Efficient solution is hard to program without recursion!

Lab

Today's lab begins now. Tasks:

- ▶ make sure C++ works on your computer, request help if it doesn't
- ▶ begin working on **Assignment 9**
- ▶ ask questions if something is unclear (including previous assignments)