Supplementary Material

"Heterogeneity of dN/dS ratios at the classical HLA class I genes over divergence time and across the allelic phylogeny"

Bárbara D. Bitarello, Rodrigo dos Santos Francisco, Diogo Meyer (2015)

Table S1: Pairwise estimations for substitution rates (NR data sets)

| | | | nc | on-ARS | | | | | | ARS | |
|----------|-----------------------|-----------------|-----------------|----------------------------------|-------------------------------|---------------|-----------------|-----------------|---------------------|-------------------------------|---------------|
| Locus | Quantile ^a | \overline{dN} | \overline{dS} | $\overline{\omega}^{\mathrm{b}}$ | $\overline{dN}/\overline{dS}$ | $dN > dS^{d}$ | \overline{dN} | \overline{dS} | $\overline{\omega}$ | $\overline{dN}/\overline{dS}$ | dN > dS |
| HLA-A | | $0.01^{\rm c}$ | 0.05 | 0.36 | 0.36 | 449 (8.38%) | 0.11 | 0.07 | 1.37 | 1.62 | 5356 (75.99%) |
| | 1 | 0.00 | 0.01 | 0.38 | 0.50 | 449 | 0.03 | 0.03 | 0.91 | 1.25 | 877 |
| | 2 | 0.02 | 0.06 | 0.33 | 0.32 | 0 | 0.13 | 0.07 | 1.38 | 1.82 | 1684 |
| | 3 | 0.02 | 0.05 | 0.36 | 0.35 | 0 | 0.13 | 0.08 | 1.37 | 1.66 | 1800 |
| | 4 | 0.02 | 0.08 | 0.30 | 0.30 | 0 | 0.14 | 0.08 | 1.56 | 1.76 | 1160 |
| HLA- B | | 0.01 | 0.04 | 0.33 | 0.30 | 121 (4.97%) | 0.12 | 0.10 | 1.27 | 1.19 | 1637 (65.87%) |
| | 1 | 0.01 | 0.01 | 0.47 | 0.48 | 121 | 0.08 | 0.07 | 1.32 | 1.19 | 392 |
| | 2 | 0.01 | 0.03 | 0.36 | 0.36 | 0 | 0.13 | 0.13 | 0.97 | 0.94 | 336 |
| | 3 | 0.01 | 0.04 | 0.28 | 0.28 | 0 | 0.14 | 0.12 | 1.42 | 1.20 | 419 |
| | 4 | 0.01 | 0.06 | 0.24 | 0.24 | 0 | 0.14 | 0.09 | 1.40 | 1.55 | 490 |
| HLA- C | | 0.02 | 0.05 | 0.39 | 0.38 | 434 (7.24%) | 0.07 | 0.03 | 1.00 | 2.53 | 4749 (79.22%) |
| | 1 | 0.00 | 0.01 | 0.48 | 0.52 | 434 | 0.03 | 0.02 | 0.89 | 1.38 | 928 |
| | 2 | 0.01 | 0.04 | 0.32 | 0.32 | 0 | 0.07 | 0.03 | 0.96 | 2.82 | 1267 |
| | 3 | 0.02 | 0.06 | 0.41 | 0.42 | 0 | 0.08 | 0.03 | 1.11 | 2.87 | 1282 |
| | 4 | 0.03 | 0.08 | 0.37 | 0.37 | 0 | 0.09 | 0.03 | 1.08 | 2.94 | 1266 |

a, quantiles of divergence $(dS_{non-ARS})$.

Table S2: Likelihoods for site models Locus $2(l_2-l_1)$ $\overline{2(l_8-l_7)}$ l_1 HLA-A-4361.1(-3537.1) -4217.2(-3386.9) 287.9*(180.5*) -4374.7(-3538.6) -4218.5(-3386.6) 312.3*(190.9* HLA-B-6624.9(-3386.9) 180.4*(300.4*) 190.9*(310*) -6969.1(-3537.1) -6986.5(-3541.6) -6632.8(-3386.6) 145.6*(106.8* HLA-C-3634.1(-3256.9) -3562.1(-3257.5) 144*(-1.2) -3635.5(-3257.9) -3562.7(-3204.5)

NOTE.- l_1 and l_2 , negative log likelihoods for models M1 and M2 (the same applies to models M7 and M8);

Numbers in parenthesis refer to the equivalent estimates for NR datasets.

b, average pairwise dN/dS.

c, bold refers to the average pairwise values for each locus.

d, percentages correspond to the proportion of pairs for which dN > dS in relation to the total number of pairwise comparisons.

NR, non-recombinant data sets (after removal of recombinants)

^{*}P < 0.01; d.f.=2

Table S3: Site models likelihood convergence for HLA-A (R)

| Model | Initial ω | Initial κ | M1 | M2 | $\frac{\text{M2}vs\text{M1}}{\text{M2}vs\text{M1}}$ | M7 | M8 | M8vsM7 |
|--------|------------------|------------------|----------|----------|---|----------|----------|--------|
| | 0.2 | 2 | -4476.54 | -4267.42 | * | -4480.98 | -4267.47 | * |
| | 0.4 | 2 | -4476.54 | -4267.42 | * | -4483.70 | -4266.10 | * |
| Fequal | 0.6 | 2 | -4476.54 | -4265.98 | * | -4479.93 | -4265.99 | * |
| | 0.8 | 2 | -4476.54 | -4266.09 | * | -4479.90 | -4266.11 | * |
| | | | | | | | | |
| | 0.2 | 2 | -4450.86 | -4259.73 | * | -4456.03 | -4259.88 | * |
| | 0.4 | 2 | -4450.86 | -4259.73 | * | -4459.38 | -4259.88 | * |
| F1x4 | 0.6 | 2 | -4450.86 | -4259.73 | * | -4456.03 | -4259.88 | * |
| | 0.8 | 2 | -4450.86 | -4259.73 | * | -4456.03 | -4259.88 | * |
| | | | | | | | | |
| | 02 | 2 | -4361.10 | -4217.16 | * | -4374.67 | -4218.53 | * |
| | 0.4 | 1 | -4361.10 | -4217.16 | * | -4374.67 | -4218.53 | * |
| F3x4 | 0.4 | 2 | -4361.10 | -4217.16 | * | -4374.67 | -4218.53 | * |
| г эх4 | 0.6 | 2 | -4361.10 | -4217.16 | * | -4374.67 | -4218.53 | * |
| | 0.8 | 2 | -4361.10 | -4217.16 | * | -4374.67 | -4218.53 | * |
| | | | | | | | | |
| | 0.2 | 2 | -4320.43 | -4192.21 | * | -4336.08 | -4194.25 | * |
| | 0.4 | 2 | -4320.43 | -4192.21 | * | -4336.08 | -4194.25 | * |
| F61 | 0.6 | 2 | -4320.43 | -4192.21 | * | -4336.08 | -4194.25 | * |
| | 0.8 | 2 | -4320.43 | -4192.21 | * | -4336.08 | -4194.25 | * |
| | * significan | t LRT ($P <$ | 0.05). | | | | | |

NOTE.- k, transition/transversion rate ratio; Fequal, all codons have the same frequency; F1x4, codon frequencies based on the frequencies of each nucleotide; F3x4, codon frequencies based on the frequencies of each nucleotide in each codon position; F61, each codon frequency is a free parameter. R, data set prior to removal of recombinants.

Table S4: Site models likelihood convergence for HLA-A (NR)

| Model | Initial ω | Initial κ | M1 | M2 | M2vsM1 | M7 | M8 | M28vsM7 |
|--------|------------------|------------------|----------|----------|--------|----------|----------|---------|
| | 0.2 | 2 | -3701.92 | -3491.17 | * | -3701.97 | -3491.30 | * |
| | 0.4 | 2 | -3701.92 | -3491.17 | * | -3701.97 | -3491.30 | * |
| Fequal | 0.6 | 2 | -3701.92 | -3500.97 | * | -3702.03 | -3491.30 | * |
| _ | 0.8 | 2 | -3701.98 | -3491.15 | * | -3701.97 | -3491.28 | * |
| | | | | | | | | |
| | 0.2 | 2 | -3668.14 | -3464.40 | * | -3675.15 | -3464.41 | * |
| | 0.4 | 2 | -3668.14 | -3475.11 | * | -3668.30 | -3464.45 | * |
| F1x4 | 0.6 | 2 | -3668.14 | -3464.40 | * | -3668.30 | -3464.41 | * |
| | 0.8 | 2 | -3668.14 | -3475.11 | * | -3675.15 | -3464.41 | * |
| | | | | | | | | |
| | 02 | 2 | -3537.14 | -3386.90 | * | -3538.56 | -3386.57 | * |
| | 0.4 | 1 | -3537.14 | -3386.90 | * | -3541.58 | -3386.57 | * |
| E24 | 0.4 | 2 | -3537.14 | -3386.90 | * | -3538.56 | -3386.57 | * |
| F3x4 | 0.6 | 2 | -3537.14 | -3386.90 | * | -3541.58 | -3386.57 | * |
| | 0.8 | 2 | -3537.14 | -3386.90 | * | -3538.56 | -3386.57 | * |
| | | | | | | | | |
| | 0.2 | 2 | -3473.08 | -3334.39 | * | -3484.42 | -3333.90 | * |
| | 0.4 | 2 | -3473.08 | -3334.39 | * | -3474.05 | -3333.90 | * |
| Fcodon | 0.6 | 2 | -3473.08 | -3334.39 | * | -3474.05 | -3333.90 | * |
| | 0.8 | 2 | -3473.08 | -3334.39 | * | -3474.05 | -3333.90 | * |
| | * significan | t LRT ($P <$ | 0.05). | | | | | |

NOTE.- k, the transition/transversion rate ratio; Fequal, all codons have the same frequency; F1x4, codon frequencies based on the frequencies of each nucleotide; F3x4, codon frequencies based on the frequencies of each nucleotide in each codon position; F61, each codon frequency is a free parameter. NR, data set after removal of recombinants.

Table S5: Site models likelihood convergence for HLA-B (R)

| Model | Initial ω | Initial κ | M1 | M2 | M2vsM1 | M7 | M8 | M8vsM7 |
|--------|------------------|------------------|----------|----------|--------|----------|----------|--------|
| | 0.2 | 2 | -7228.90 | -6760.75 | * | -7274.97 | -6753.2 | * |
| | 0.4 | 2 | -7228.98 | -6751.69 | * | -7251.21 | -6753.9 | * |
| Fequal | 0.6 | 2 | -7227.86 | -6752.46 | * | -7239.66 | -6754.86 | * |
| | 0.8 | 2 | -7228.98 | -6751.69 | * | -7240.78 | -6755.04 | * |
| | 0.2 | 2 | -7222.87 | -6767.71 | * | -7234.06 | -6771.06 | * |
| | $0.2 \\ 0.4$ | $\frac{2}{2}$ | -7226.59 | -6767.08 | * | -7234.00 | -6771.00 | |
| F1x4 | $0.4 \\ 0.6$ | $\frac{2}{2}$ | -7223.55 | -6767.71 | * | -6767.71 | -6771.62 | |
| 1 174 | 0.8 | $\frac{2}{2}$ | -7227.79 | -6767.08 | * | -7233.72 | -6771.99 | * |
| | 00 | 0 | COCC 72 | CC02 70 | * | CO04 4F | CO24 27 | * |
| | 02 | 2 | -6966.73 | -6623.72 | * | -6984.45 | -6934.37 | * |
| | 0.4 | 1 | -6970.05 | -6624.89 | * | -6983.14 | -6632.79 | * |
| F3x4 | 0.6 | 2 | -6969.08 | -6624.88 | * | -6986.54 | -6632.79 | * |
| | 0.6 | 2 | -6969.88 | -6624.88 | 7 | -6981.93 | -6633.68 | * |
| | 0.8 | 2 | -6966.54 | -6625.24 | | -6983.3 | -6632.79 | Φ |
| | 0.2 | 2 | -6862.47 | -6535.93 | * | -6876.08 | -6547.08 | * |
| | 0.4 | 2 | -6860.18 | -6539.27 | * | -6872.78 | -6548.52 | * |
| Fcodon | 0.6 | 2 | -6861.53 | -6537.12 | * | -6903.90 | -6549.89 | * |
| | 0.8 | 2 | -6860.6 | -6537.12 | * | -6905.63 | -6543.72 | * |
| | * significan | t LRT ($P < 1$ | 0.05). | | | | | |

NOTE.- k, the transition/transversion rate ratio; Fequal, all codons have the same frequency; F1x4, codon frequencies based on the frequencies of each nucleotide; F3x4, codon frequencies based on the frequencies of each nucleotide in each codon position; F61, each codon frequency is a free parameter. R,data set prior to removal of recombinants.

Table S6: Site models likelihood convergence for HLA-B (NR)

| Model | Initial ω | Initial κ | M1 | M2 | M2vsM1 | M7 | M8 | M8vsM7 |
|--------|------------------|------------------|----------|-----------|--------|----------|----------|--------|
| | 0.2 | 2 | -3701.98 | -3491.17 | * | -3701.97 | -3491.30 | * |
| | 0.4 | 2 | -3702.11 | -3491.15 | * | -3702.03 | -3491.28 | * |
| Fequal | 0.6 | 2 | -3701.92 | -3491.155 | * | -3702.03 | -3491.30 | * |
| | 0.8 | 2 | -3701.92 | -3491.155 | * | -3707.27 | -3491.28 | * |
| | | | | | | | | |
| | 0.2 | 2 | -3668.84 | -3464.4 | * | -3668.30 | -3464.41 | * |
| | 0.4 | 2 | -3668.14 | -3464.4 | * | -3668.30 | -3464.45 | * |
| F1x4 | 0.6 | 2 | -3668.14 | -3464.43 | * | -3668.30 | -3464.41 | * |
| | 0.8 | 2 | -3668.14 | -3475.11 | * | -3668.30 | -3464.41 | * |
| | | | | | | | | |
| | 02 | 2 | -3537.14 | -3386.90 | * | -3547.78 | -3386.57 | * |
| | 0.4 | 1 | -3537.14 | -3386.90 | * | -3541.59 | -3386.57 | * |
| F3x4 | 0.4 | 2 | -3537.14 | -3386.90 | * | -3541.58 | -3386.57 | * |
| г эх4 | 0.6 | 2 | -3537.14 | -3386.90 | * | -3541.58 | -3386.57 | * |
| | 0.8 | 2 | -3537.14 | -3386.90 | * | -3541.58 | -3386.57 | * |
| | | | | | | | | |
| | 0.2 | 2 | -3473.08 | -3334.39 | * | -3475.46 | -3333.90 | * |
| | 0.4 | 2 | -3473.08 | -3334.39 | * | -3474.05 | -3333.90 | * |
| Fcodon | 0.6 | 2 | -3473.08 | -3334.39 | * | -3484.42 | -3333.90 | * |
| | 0.8 | 2 | -3473.08 | -3334.39 | * | -3475.46 | -3333.90 | * |
| | * significan | t LRT ($P <$ | 0.05). | | | | | |

NOTE.- k, the transition/transversion rate ratio; Fequal, all codons have the same frequency; F1x4, codon frequencies based on the frequencies of each nucleotide; F3x4, codon frequencies based on the frequencies of each nucleotide in each codon position; F61, each codon frequency is a free parameter. NR, data set after removal of recombinants.

Table S7: Site models likelihood convergence for HLA-C (R)

| Model | Initial ω | Initial κ | M1 | M2 | M2vsM1 | M7 | M8 | M8vsM7 |
|--------|------------------|------------------|----------|----------|--------|----------|----------|--------|
| | 0.2 | 2 | -3697.36 | -3603.67 | * | -3698.94 | -3603.92 | * |
| | 0.4 | 2 | -3697.36 | -3603.67 | * | -3699.17 | -3605.09 | * |
| Fequal | 0.6 | 2 | -3697.36 | -3606.20 | * | -3697.52 | -3767.94 | |
| | 0.8 | 2 | -3697.36 | -3602.37 | * | -3697.52 | -3602.61 | * |
| | | | | | | | | |
| | 0.2 | 2 | -3701.58 | -3702.28 | | -3704.0 | -3611.8 | * |
| | 0.4 | 2 | -3701.58 | -3702.29 | | -3701.95 | -3611.8 | * |
| F1x4 | 0.6 | 2 | -3702.28 | -3611.76 | * | -3701.95 | -3703.4 | |
| | 0.8 | 2 | -3701.02 | -3702.29 | | -3701.95 | -3611.8 | * |
| | | | | | | | | |
| | 02 | 2 | -3633.59 | -3634.21 | | -3636.53 | -3562.82 | * |
| | 0.4 | 1 | -3633.59 | -3634.21 | | -3636.07 | -3562.82 | * |
| F3x4 | 0.4 | 2 | -3634.08 | -3562.14 | * | -3635.49 | -3562.73 | * |
| 1.974 | 0.6 | 2 | -3634.59 | -3562.77 | * | -3636.02 | -3562.82 | * |
| | 0.8 | 2 | -3634.08 | -3562.14 | * | -3638.35 | -3636.18 | |
| | | | | | | | | |
| | 0.2 | 2 | -3572.77 | -3573.43 | | -3575.73 | -3506.68 | * |
| | 0.4 | 2 | -3572.77 | -3505.98 | * | -3575.73 | -3506.68 | * |
| Fcodon | 0.6 | 2 | -3572.92 | -3505.98 | * | -3575.87 | -3506.68 | * |
| | 0.8 | 2 | -3572.77 | -3505.98 | * | -3575.73 | -3506.68 | * |
| | * significan | t LRT ($P <$ | 0.05). | | | | | |

NOTE.- k, the transition/transversion rate ratio; Fequal, all codons have the same frequency; F1x4, codon frequencies based on the frequencies of each nucleotide; F3x4, codon frequencies based on the frequencies of each nucleotide in each codon position; F61, each codon frequency is a free parameter. R, data set prior to removal of recombinants.

Table S8: Site models likelihood convergence for HLA-C (NR)

| Model | Initial ω | Initial κ | M1 | M2 | $\frac{1}{M2vsM1}$ | $\frac{M7}{M7}$ | M8 | $\overline{\mathrm{M8}vs\mathrm{M7}}$ |
|--------|------------------|------------------|----------|----------|--------------------|-----------------|----------|---------------------------------------|
| Model | | 2 | | | W1203W11 | | | * |
| | 0.2 | | -3333.10 | -3378.3 | JL | -3335.15 | -3265.59 | * |
| | 0.4 | 2 | -3333.13 | -3265.59 | * | -3334.89 | -3265.59 | |
| Fequal | 0.6 | 2 | -3335.08 | -3267.85 | * | -3333.17 | -3265.59 | * |
| | 0.8 | 2 | -3333.10 | -3267.85 | * | -3334.87 | -3265.59 | * |
| | | | | | | | | |
| | 0.2 | 2 | -3321.13 | -3321.47 | | -3321.13 | -3321.66 | |
| | 0.4 | 2 | -3321.17 | -3254.27 | * | -3321.25 | -3321.43 | |
| F1x4 | 0.6 | 2 | -3321.25 | -3254.25 | * | -3321.05 | -3321.64 | |
| | 0.8 | 2 | -3321.13 | -3321.64 | | -3321.05 | -3321.50 | |
| | | | | | | | | |
| | 02 | 2 | -3256.94 | -3257.45 | | -3258.21 | -3258.36 | |
| | 0.4 | 1 | -3256.89 | -3204.55 | * | -3258.16 | -3258.74 | |
| E24 | 0.4 | 2 | -3256.89 | -3257.46 | | -3257.91 | -3204.64 | * |
| F3x4 | 0.6 | 2 | -3256.89 | -3204.49 | * | -3257.87 | -3258.66 | |
| | 0.8 | 2 | -3256.94 | -3257.26 | | -3257.91 | -3204.50 | * |
| | | | | | | | | |
| | 0.2 | 2 | -3204.57 | -3164.9 | * | -3205.78 | -3208.89 | |
| | 0.4 | 2 | -3204.57 | -3154.39 | * | -3205.78 | -3154.55 | * |
| Fcodon | 0.6 | 2 | 3204.57 | -3205.05 | | -3205.78 | -3206.28 | |
| | 0.8 | 2 | 3204.57 | -3154.39 | * | -3205.78 | -3154.55 | * |
| | * significan | t LRT $(P <$ | 0.05). | | | | | |
| | | | | | | | | |

NOTE.- k, the transition/transversion rate ratio; Fequal, all codons have the same frequency; F1x4, codon frequencies based on the frequencies of each nucleotide; F3x4, codon frequencies based on the frequencies of each nucleotide in each codon position; F61, each codon frequency is a free parameter. NR, data set after removal of recombinants.

| Table S9: Classifications of ARS codons | | | | | | | |
|---|------------------------|--|--|--|--|--|--|
| Reference | Total number of codons | | | | | | |
| Bjorkman et al. (1987) (BJOR) | 57 | | | | | | |
| Chelvanayagam (1996) (CHEV) | 48 | | | | | | |
| Yang and Swanson (2002) (YANG) | 26 | | | | | | |
| BIT | 33 | | | | | | |

BJOR and CHEV are ARS classifications.

YANG is a list of codons with significant $\omega > 1$ in HLA genes.

BIT is the set of codons with P > 0.95 (M8; see Methods for details).

Table S10: Parameter estimates under site models for HLA-A(CODEML and HyPhy)

| · | 3.5. 1.1 | D | |
|--------------|----------|---|---|
| Data set | Model | Parameter estimates | Sites with $\omega > 1$ |
| \mathbf{R} | M0 | $\omega = 0.96$ | None |
| | M1 | $p_0 = 0.80, p = 0.2, \omega_0 = 0.05, \omega_1 = 1$ | Not allowed |
| | M2 | $p_0 = 0.68, p_1 = 0.25, p_2 = 0.07$ | 9F,62Q,63E,66N,70H,76A,77N,81L,82R,97I, |
| | | $\omega_0 = 0.08, \omega_1 = 1, \omega = 9.12$ | $99\mathrm{Y},\!114\mathrm{R}$ |
| | M7 | p = 0.08, q = 0.20 | Not allowed |
| | M8 | $p_0 = 0.93, p = 0.09, q = 0.16$ | $9F^{a,b},62Q^b,63E, 65 ^{a,b},66N^b,70H,76A^{a,b},77N^b, 79 ^b, 80 ^b,\\$ |
| | | $(p_1 = 0.07), \omega_s = 9.44$ | $81L^{a,b}$, $82R^{a,b}$, $ 83 ^{b}$, $95I$, $97I$, $99Y^{b}$, $114R$, $ 105 ^{b}$, $116D$ |
| | | | $151 m{H}^{ m b}, 152 m{A}^{ m a,b}, 156 m{R}, 163 m{R}^{ m a,b}$ |
| NR | M0 | $\omega = 0.93$ | None |
| | M1 | $p_0 = 0.76, p = 0.24, \omega_0 = 0.06, \omega_1 = 1$ | Not allowed |
| | M2 | $p_0 = 0.63, p_1 = 0.30, p_2 = 0.07$ | 9F,62Q,63E,66N,70H,76A,77N,81L,97I, |
| | | $\omega_0 = 0.09, \omega_1 = 1, \omega = 8.54$ | 99Y,114R,151H,152A,156R,163R,167G |
| | M7 | p = 0.02, q = 0.03 | Not allowed |
| | M8 | $p_0 = 0.93, p = 0.02, q = 0.02$ | $9\mathrm{F}^{\mathrm{b}},\!62^{\mathrm{a},\mathrm{b}}\mathrm{Q},\!63\mathrm{E},\! 65 ^{\mathrm{a},\mathrm{b}},\!66\mathrm{N},\!70\mathrm{H},\!76\mathrm{A}^{\mathrm{a},\mathrm{b}},\!77\mathrm{N},\!81\mathrm{L}^{\mathrm{a},\mathrm{b}},\!97\mathrm{I}$ |
| | | $(p_1 = 0.07), \omega_s = 9.11$ | $99Y,114R,151H^{b},152A^{a,b},156R^{a},163R^{a,b},$ 167G |

NOTE.- F3x4, initial k=2; positively selected sites by the BEB method were identified based on the p>95% criterion. Sites with p>99% are in bold. $p_0, p_1, p_2, \omega_0, \omega_1, \omega_S$ proportions of sites within each category of ω values (purifying selection, neutral evolution, positive selection); p=q, shape parameters for the beta distribution of M7; value estimated from data under M8. Letters attached to the site positions refer to the aminoacid present in that position in the reference sequence for the locus. R, data set with recombinants; NR, data set after removal of recombinants. a, sites which are detected through the SLAC method (significance level 0.1).b, sites which are detected through the FEL method (significance level 0.1). Sites in parenthesis were detected only with FEL. Sites detected with HyPhy were marked only for M8.

Table S11: Parameter estimates under site models for HLA-B (CODEML and HyPhy)

| | Table 511. I dramover obtained by ander 5100 models for 11111 B (CODDINI and 1131 hy) | | | | | | | | |
|----------|---|---|---|--|--|--|--|--|--|
| Data set | Model | Parameter estimates | Sites with $\omega > 1$ | | | | | | |
| R | M0 | $\omega = 0.77$ | None | | | | | | |
| | M1 | $p_0 = 0.83, p = 0.17, \omega_0 = 0.02, \omega_1 = 1$ | Not allowed | | | | | | |
| | M2 | $p_0 = 0.796, p_1 = 0.13, p_2 = 0.07$ | 24S, 45E, 63N, 67Y, 70Q, 71A, 74D, 77S, | | | | | | |
| | | $\omega_0 = 0.03, \omega_1 = 1, \omega = 7.66$ | 80N,81L,82R,94T,95L,97S,113H, | | | | | | |
| | | | $114\mathrm{D},\!116\mathrm{Y},\!152\mathrm{E},\!156\mathrm{R},\!163\mathrm{E},\!305\mathrm{A}$ | | | | | | |
| | M7 | p = 0.01, q = 0.02 | Not allowed | | | | | | |
| | M8 | $p_0 = 0.93, p = 0.01, q = 0.05$ | $24S, 41 ^{a,b}, 45E^{a,b}, 63N^{a,b}, 67Y^{a,b}, 69A^{a,b}, 70Q^{a,b},$ | | | | | | |
| | | $(p_1 = 0.07), \omega_s = 8.098$ | $71{\rm A}^{\rm b}, 74{\rm D}^{\rm b}, 77{\rm S}^{\rm a,b}80{\rm N}^{\rm a,b}, 81{\rm L}^{\rm a,b}, 82{\rm R}^{\rm a,b}, 83 ^{\rm a,b}, 94{\rm T}, 95{\rm L}^{\rm a,b},$ | | | | | | |
| | | | $97S^{a}, 103 ^{a,b}, 113H, 114D^{a,b}, 116Y^{a,b}, 143 ^{b}, 152E^{a,b},$ | | | | | | |
| | | | $156 m{R}^{a,b}, 158 ^b, 163 m{E}^{a,b}, 305 m{A}^{a,b}$ | | | | | | |
| NR | M0 | $\omega = 0.86$ | None | | | | | | |
| | M1 | $p_0 = 0.83, p = 0.17, \omega_0 = 0.02, \omega_1 = 1$ | Not allowed | | | | | | |
| | M2 | $p_0 = 0.77, p_1 = 0.14, p_2 = 0.09$ | 9Y,11S,12V,24S,45E,63N,67Y,70Q,71A, | | | | | | |
| | | $\omega_0 = 0.02, \omega_1 = 1, \omega = 9.64$ | 74D,77S,80N,81L,82R,83G,95L,97S,99Y, | | | | | | |
| | | | 113H,114D,116Y,152E,156R,163E,171Y,305A | | | | | | |
| | M7 | p = 0.01, q = 0.09 | Not allowed | | | | | | |
| | M8 | $p_0 = 0.91 \ p = 0.02 \ q = 0.14$ | $9Y,11S^{a,b},12V,24S,45E,63N^a,67Y^{a,b},69A^b,70Q,71A^b,$ | | | | | | |
| | | $(p_1 = 0.09) \ \omega_s = 9.48$ | $74\mathrm{D}, 77\mathrm{S}, 80\mathrm{N}, 81\mathrm{L}^{\mathrm{a,b}}, 82\mathrm{R}^{\mathrm{a,b}}, 83\mathrm{G}^{\mathrm{a,b}}, 95\mathrm{L}, 97\mathrm{S}, 99\mathrm{Y}, 113\mathrm{H},$ | | | | | | |
| | | | $114\mathrm{D},\!116\mathrm{Y}^{\mathrm{a},\mathrm{b}},\!152\mathrm{E}^{\mathrm{b}},\!156\mathrm{R}^{\mathrm{a},\mathrm{b}},\!163\mathrm{E}^{\mathrm{a},\mathrm{b}},\!167\mathrm{W},\!171\mathrm{Y},\!305\mathrm{A}^{\mathrm{b}}$ | | | | | | |

NOTE.- F3x4; initial k=2; positively selected sites by the BEB method were identified based on the p>95% criterion. Sites with p>99% are in bold. $p_0, p_1, p_2, \omega_0, \omega_1, \omega_S$ proportions of sites within each category of ω values (purifying selection, neutral evolution, positive selection); p=q, shape parameters for the beta distribution of M7; value estimated from data under M8. Letters attached to the site positions refer to the aminoacid present in that position in the reference sequence for the locus. R, data set with recombinants; NR, data set after removal of recombinants. a, sites which are detected through the SLAC method (significance level 0.1).b, sites which are detected through the FEL method (significance level 0.1). Sites in parenthesis were detected only with FEL.Sites detected with HyPhy were marked only for M8.

Table S12: Parameter estimates under site models for HLA-C (CODEML and HyPhy)

| | rable 512. I distincted estimates and is site models for 11211 C (CODENIE and Try) | | | | | | | | |
|----------------|--|---|---|--|--|--|--|--|--|
| Data set | Model | Parameter estimates | Sites with $\omega > 1$ | | | | | | |
| \overline{R} | M0 | $\omega = 0.73$ | None. | | | | | | |
| | M1 | $p_0 = 0.74, p = 0.26, \omega_0 = 0.04, \omega_1 = 1$ | Not allowed | | | | | | |
| | M2 | $p_0 = 0.65, p_1 = 0.32, p_2 = 0.03$ | 9F,24S,73T,77S,80N ^b ,99C,116Y,156R,163T | | | | | | |
| | | $\omega_0 = 0.03, \omega_1 = 1, \omega = 9.8$ | | | | | | | |
| | M7 | p = 0.01, q = 0.02 | Not allowed | | | | | | |
| | M8 | $p_0 = 0.97, p = 0.005, q = 0.01$ | $9\mathrm{F,}24\mathrm{S}^{\mathrm{b}},\!73\mathrm{T}^{\mathrm{a,b}},\!77\mathrm{S,}80\mathrm{N},$ | | | | | | |
| | | $(p_1 = 0.03), \omega_S = 10.47$ | $(90), 99\mathrm{C}, 116\mathrm{Y}^{\mathrm{a,b}}, 156\mathrm{R}^{\mathrm{a,b}}, 163\mathrm{T}^{\mathrm{a}}$ | | | | | | |
| NR | M0 | $\omega = 0.74$ | None | | | | | | |
| | M1 | $p_0 = 0.69, p = 0.31, \omega_0 = 0.04, \omega_1 = 1$ | Not allowed | | | | | | |
| | M2 | $p_0 = 0.69, p_1 = 0.31, p_2 = 0.00$ | 9F, 24S, 73T, 77S , 80N , 116Y , 156R , 163T | | | | | | |
| | | $\omega_0 = 0.04, \omega_1 = 1, \omega = 999^a$ | | | | | | | |
| | M7 | p = 0.02, q = 0.03 | Not allowed | | | | | | |
| | M8 | $p_0 = 0.95, p = 0.05, q = 0.006$ | $9\mathrm{F},\!24\mathrm{S}^{\mathrm{b}},\!73\mathrm{T}^{\mathrm{a,b}},\!77\mathrm{S},\!80\mathrm{N}^{\mathrm{b}},\!(90),99\mathrm{C},$ | | | | | | |
| | | $(p_1 = 0.05), \omega_s = 8.79$ | $116{ m Y}^{ m a,b},\!156{ m R}^{ m a,b},\!163{ m T}$ | | | | | | |

NOTE.- F3x4, initial k=2; positively selected sites by the BEB method were identified based on the p>95% criterion. Sites with p>99% are in bold. $p_0, p_1, p_2, \omega_0, \omega_1, \omega_S$ proportions of sites within each category of ω values (purifying selection, neutral evolution, positive selection); $p \in q$, shape parameters for the beta distribution of M7; value estimated from data under M8. Letters attached to the site positions refer to the aminoacid present in that position in the reference sequence for the locus. R, data set with recombinants; NR, data set after removal of recombinants. a, sites which are detected through the SLAC method (significance level 0.1). b, sites which are detected through the FEL method (significance level 0.1). Sites in parenthesis were detected only with FEL. Sites in between pipes (|) were detected only with the two HypHy methods. Sites detected with HyPhy were marked only for M8.

Table S13: Correlations between pairwise dN/dS_{ARS} and divergence $(dS_{non-ARS})$

| Locus | Spearman | Kendall | Pearson |
|----------|--------------|--------------|--------------|
| HLA-A | 0.12*(0.22*) | 0.08*(0.14*) | 0.17*(0.25*) |
| HLA- B | 0.20*(0.19*) | 0.14*(0.13*) | 0.20*(0.12*) |
| HLA-C | 0.26*(0.24*) | 0.18*(0.16*) | 0.20*(0.19*) |

^{*} P < 0.001 obtained by Mantel test.

NAs handled by casewise deletion.

In parentheses: values for NR data sets.

Table S14: Branch models likelihood convergence for HLA-A (ARS; NR)

| Frequency Model | Initial ω | Model 0 (lnl; ω) | Model 2a (lnl; ω_w ; ω_b) | Model 2b (lnl; ω_{tip} ; ω_{int}) |
|-----------------|------------------|--------------------------|--|--|
| Fequal | 0.2 | $-946.16; \omega = 4.59$ | $-946.15; \omega_w = 4.34; \omega_b = 4.88$ | -948.55; $\omega_t = 6.91$; $\omega_i = 3.77$ |
| | 0.4 | $-946.16; \omega = 4.59$ | $-946.15; \omega_w = 4.34; \omega_b = 4.88$ | $-945.92; \omega_t = 3.43; \omega_i = 5.95$ |
| | 0.6 | $-946.16; \omega = 4.59$ | $-946.15; \omega_w = 4.34; \omega_b = 4.88$ | $-945.92; \omega_t = 3.43; \omega_i = 5.95$ |
| | 0.8 | $-946.16; \omega = 4.59$ | $-946.15; \omega_w = 4.34; \omega_b = 4.88$ | $-945.92; \omega_t = 3.43; \omega_i = 5.95$ |
| | | | | |
| | 0.2 | $-943.60; \omega = 4.23$ | $-943.59; \omega_w = 4.01; \omega_b = 4.47$ | -945.30; $\omega_t = 6.25$; $\omega_i = 3.50$ |
| | 0.4 | $-943.60; \omega = 4.23$ | $-943.59; \omega_w = 4.01; \omega_b = 4.47$ | $-947.898; \omega_t = 6.75; \omega_i = 3.82$ |
| F1x4 | 0.6 | $-943.60; \omega = 4.23$ | $-943.59; \omega_w = 4.01; \omega_b = 4.47$ | $-947.898; \omega_t = 6.75; \omega_i = 3.82$ |
| | 0.8 | $-943.60; \omega = 4.23$ | $-943.59; \omega_w = 4.01; \omega_b = 4.47$ | $-947.898; \omega_t = 6.75; \omega_i = 3.82$ |
| | | | | |
| | 0.2 | $-935.21; \omega = 1.84$ | $-935.18; \omega_w = 1.68; \omega_b = 2.03$ | $-934.96; \omega_t = 1.39; \omega_i = 2.35$ |
| | 0.4 | $-935.21; \omega = 1.84$ | $-935.18; \omega_w = 1.68; \omega_b = 2.03$ | $-934.96; \omega_t = 1.39; \ \omega_i = 2.35$ |
| F3x4 | 0.6 | $-935.21; \omega = 1.84$ | $-935.18; \omega_w = 1.68; \omega_b = 2.03$ | $-934.96; \omega_t = 1.39; \omega_i = 2.35$ |
| | 0.8 | $-935.21; \omega = 1.84$ | $-935.18; \omega_w = 1.68; \omega_b = 2.03$ | $-934.96; \omega_t = 1.39; \omega_i = 2.35$ |
| | | | | |
| | 0.2 | $-910.02; \omega = 1.82$ | $-909.91; \omega_w = 1.59; \omega_b = 2.17$ | -910.01; $\omega_t = 1.74$; $\omega_i = 1.89$ |
| | 0.4 | -910.02; $\omega = 1.82$ | $-909.91; \omega_w = 1.59; \omega_b = 2.17$ | -910.01; $\omega_t = 1.74$; $\omega_i = 1.89$ |
| Fcodon | 0.6 | -910.02; $\omega = 1.82$ | -909.91; $\omega_w = 1.59$; $\omega_b = 2.17$ | -910.01; $\omega_t = 1.74$; $\omega_i = 1.89$ |
| | 0.8 | -910.02; $\omega = 1.82$ | -909.91; $\omega_w = 1.59$; $\omega_b = 2.17$ | -910.01; $\omega_t = 1.74$; $\omega_i = 1.89$ |
| | Model 2a: | within/between; Model | 2b: terminal/internal; lnl, log likelihood | l. NR, data set after removal of recombinants. |

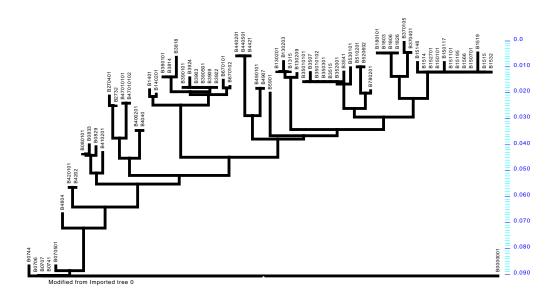
Table S15: Branch models likelihood convergence for HLA-B (ARS; NR)

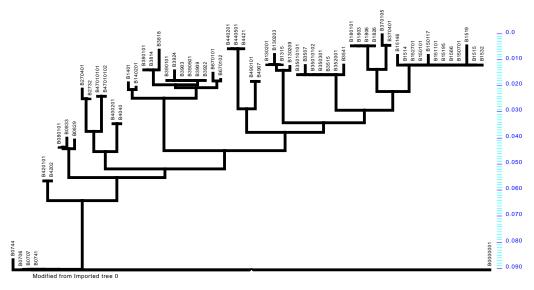
| | Tuble 515. Branen models intermode convergence for 11211 B (11165, 1116) | | | | | | |
|--------|--|---------------------------|---|--|--|--|--|
| Model | Initial ω | Model 0 (lnl; ω) | Model 2a (lnl; ω_w ; ω_b) | Model 2b (lnl; ω_{tip} ; ω_{int}) | | | |
| Fequal | 0.2 | -1159.28; $\omega = 2.79$ | $-1158.96; \omega_w = 2.08; \ \omega_b = 3.26$ | -1158.77; $\omega_t = 1.9$; $\omega_i = 3.38$ | | | |
| | 0.4 | -1159.28; $\omega = 2.79$ | -1158.96; $\omega_w = 2.08$; $\omega_b = 3.26$ | -1158.77; $\omega_t = 1.9$; $\omega_i = 3.38$ | | | |
| | 0.6 | -1159.28; $\omega = 2.79$ | -1158.96; $\omega_w = 2.08$; $\omega_b = 3.26$ | -1158.77; $\omega_t = 1.9$; $\omega_i = 3.38$ | | | |
| | 0.8 | -1159.28; $\omega = 2.79$ | -1158.96; $\omega_w = 2.08$; $\omega_b = 3.26$ | -1158.77; $\omega_t = 1.9$; $\omega_i = 3.38$ | | | |
| | | | | | | | |
| F1x4 | 0.2 | $-1159.21; \omega = 2.58$ | -1158.87; $\omega_w = 1.95$; $\omega_b = 2.99$ | -1158.75; $\omega_t = 1.79$; $\omega_i = 3.11$ | | | |
| | 0.4 | -1159.21; $\omega = 2.58$ | -1158.93; $\omega_w = 1.95$; $\omega_b = 2.99$ | -1158.87; $\omega_t = 1.95$; $\omega_i = 2.99$ | | | |
| | 0.6 | -1159.16; $\omega = 2.58$ | -1158.93; $\omega_w = 1.95$; $\omega_b = 2.99$ | -1158.87; $\omega_t = 1.95$; $\omega_i = 2.99$ | | | |
| | 0.8 | -1159.16; $\omega = 2.58$ | $-1158.93; \omega_w = 1.95; \ \omega_b = 2.99$ | -1158.87; $\omega_t = 1.95$; $\omega_i = 2.99$ | | | |
| | | | | | | | |
| F3x4 | 0.2 | $-1112.27; \omega = 0.99$ | -1111.91; $\omega_w = 0.73$; $\omega_b = 1.16$ | -1111.78; $\omega_t = 0.69$; $\omega_i = 1.2$ | | | |
| | 0.4 | -1112.27; $\omega = 0.99$ | -1111.91; $\omega_w = 0.73$; $\omega_b = 1.16$ | -1111.78; $\omega_t = 0.69$; $\omega_i = 1.2$ | | | |
| | 0.6 | -1112.27; $\omega = 0.99$ | -1111.91; $\omega_w = 0.73$; $\omega_b = 1.16$ | -1111.78; $\omega_t = 0.69$; $\omega_i = 1.2$ | | | |
| | 0.8 | -1112.27; $\omega = 0.99$ | -1111.91; $\omega_w = 0.73$; $\omega_b = 1.16$ | -1111.78; $\omega_t = 0.69$; $\omega_i = 1.2$ | | | |
| | | | | | | | |
| Fcodon | 0.2 | $-1088.75; \omega = 1.88$ | -1088.27; $\omega_w = 1.3$; $\omega_b = 2.29$ | -1089.39; $\omega_t = 1.20$; $\omega_i = 2.36$ | | | |
| | 0.4 | -1088.75; $\omega = 1.88$ | -1088.27; $\omega_w = 1.3$; $\omega_b = 2.29$ | -1089.8; $\omega_t = 1.27$; $\omega_i = 2.31$ | | | |
| | 0.6 | -1090.33; $\omega = 1.88$ | -1088.27; $\omega_w = 1.3$; $\omega_b = 2.29$ | -1088.76; $\omega_t = 1.20$; $\omega_i = 2.35$ | | | |
| | 0.8 | -1088.75; $\omega = 1.88$ | -1089.84; $\omega_w = 1.30$; $\omega_b = 2.29$ | -1088.11; $\omega_t = 1.22$; $\omega_i = 2.34$ | | | |
| | Model 2a: | within/between; Model 2 | b: terminal/internal; lnl, log likelihood. | NR, data set after removal of recombinants. | | | |

Table S16: Branch models likelihood convergence for HLA-C (ARS; NR)

| | 10 | ele elo: Branen me | della interino da convergence for 112. | 11 0 (11160, 1116) |
|--------|------------------|--------------------------|--|--|
| Model | Initial ω | Model 0 (lnl; ω) | Model 2a (lnl; ω_w ; ω_b) | Model 2b (lnl; ω_t ; ω_i) |
| Fequal | 0.2 | $-946.76; \omega = 4.47$ | $-946.89; \omega_w = 2.77; \omega = 9.6$ | $-944.33; \omega_t = 2.12; \omega_i = 11.57$ |
| | 0.4 | $-946.76; \omega = 4.47$ | $-945.49; \omega_w = 2.77; \ \omega_b = 9.6$ | -944.33; $\omega_t = 2.12$; $\omega_i = 11.57$ |
| | 0.6 | $-946.76; \omega = 4.47$ | $-946.89; \omega_w = 2.77; \omega_b = 9.6$ | -944.33; $\omega_t = 2.12$; $\omega_i = 11.57$ |
| | 0.8 | $-946.76; \omega = 4.47$ | $-946.89; \omega_w = 2.77; \omega_b = 9.6$ | $-944.33; \omega_t = 2.12; \omega_i = 11.57$ |
| | | | | |
| F1x4 | 0.2 | $-960.73; \omega = 4.04$ | $-959.47; \omega_w = 2.51; \omega_b = 8.66$ | $-958.44; \ \omega_t = 1.97; \omega_i = 10.29$ |
| | 0.4 | $-960.73; \omega = 4.04$ | $-959.47; \omega_w = 2.51; \omega_b = 8.66$ | -959.47; $\omega_t = 2.51$; $\omega_i = 8.66$ |
| | 0.6 | $-960.73; \omega = 4.04$ | $-959.47; \omega_w = 2.51; \omega_b = 8.66$ | -959.47; $\omega_t = 2.51$; $\omega_i = 8.66$ |
| | 0.8 | $-960.73; \omega = 4.04$ | $-959.47; \omega_w = 2.51; \omega_b = 8.66$ | -959.47; $\omega_t = 2.51$; $\omega_i = 8.66$ |
| | | | | |
| F3x4 | 0.2 | $-951.50; \omega = 1.89$ | $-950.199; \omega_w = 1.19; \ \omega_b = 4.14$ | $-947.82; \omega_t = 0.95 \; ; \; \omega_i = 4.91$ |
| | 0.4 | $-951.50; \omega = 1.89$ | $-950.199 \ \omega_w = 1.19; \ \omega_b = 4.14$ | -947.82; $\omega_t = 0.95$; $\omega_i = 4.91$ |
| | 0.6 | $-951.50; \omega = 1.89$ | $-950.199 \ \omega_w = 1.19; \ \omega_b = 4.14$ | -947.82; $\omega_t = 0.95$; $\omega_i = 4.91$ |
| | 0.8 | $-951.50; \omega = 1.89$ | $-950.199; \omega_w = 1.19; \omega_b = 4.14$ | -947.82; $\omega_t = 0.95$; $\omega_i = 4.91$ |
| | | | | |
| Fcodon | 0.2 | $-900.96; \omega = 2.99$ | $-899.56; \omega_w = 1.8; \omega_b = 6.65$ | $-898.68; \omega_t = 1.46; \omega_i = 7.67$ |
| | 0.4 | $-900.96; \omega = 2.99$ | -899.56 ; $\omega_w = 1.8$; $\omega_b = 6.65$ | -898.68; $\omega_t = 1.46$; $\omega_i = 7.67$ |
| | 0.6 | $-900.96; \omega = 2.99$ | -899.56; $\omega_w = 1.8$; $\omega_b = 6.65$ | -898.68; $\omega_t = 1.46$; $\omega_i = 7.67$ |
| | 0.8 | $-900.96; \omega = 2.99$ | -899.56; $\omega_w = 1.8$; $\omega_b = 6.65$ | -898.68; $\omega_t = 1.46$; $\omega_i = 7.67$ |
| | Model 2a: | within/between; Model | 2b: terminal/internal; lnl, log likelihood | NR, data set after removal of recombinants. |

Figure S1: *HLA-B* phylogenetic trees prior to (top) and after pruning (bottom).





Allele names were numbered sequentially for analyses, and do not represent actual HLA-B nomenclature. A conversion hash table is provided in a repository linked to this manuscript.