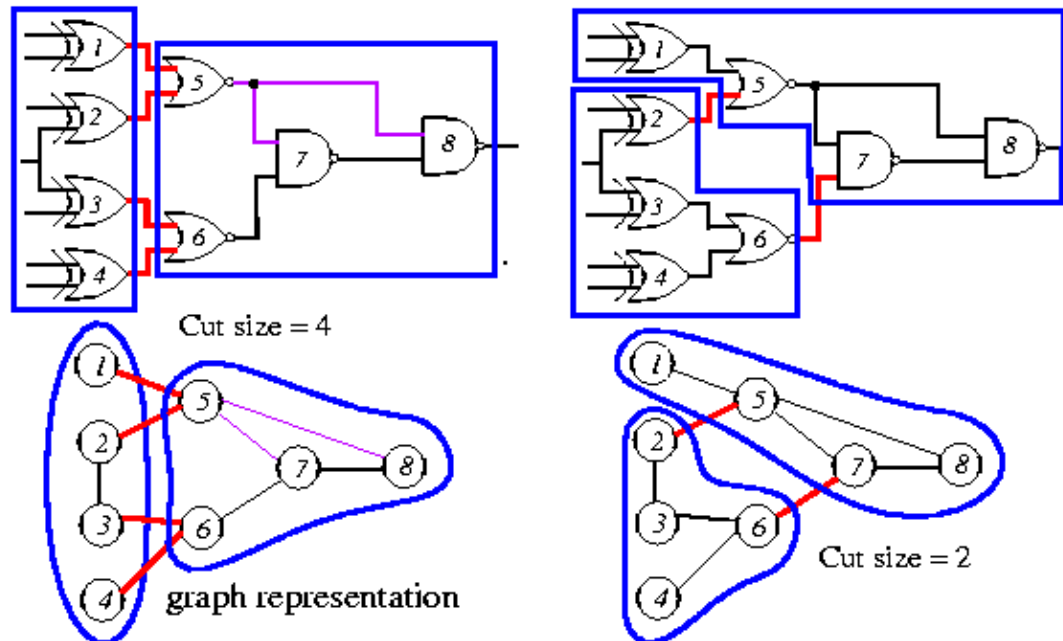
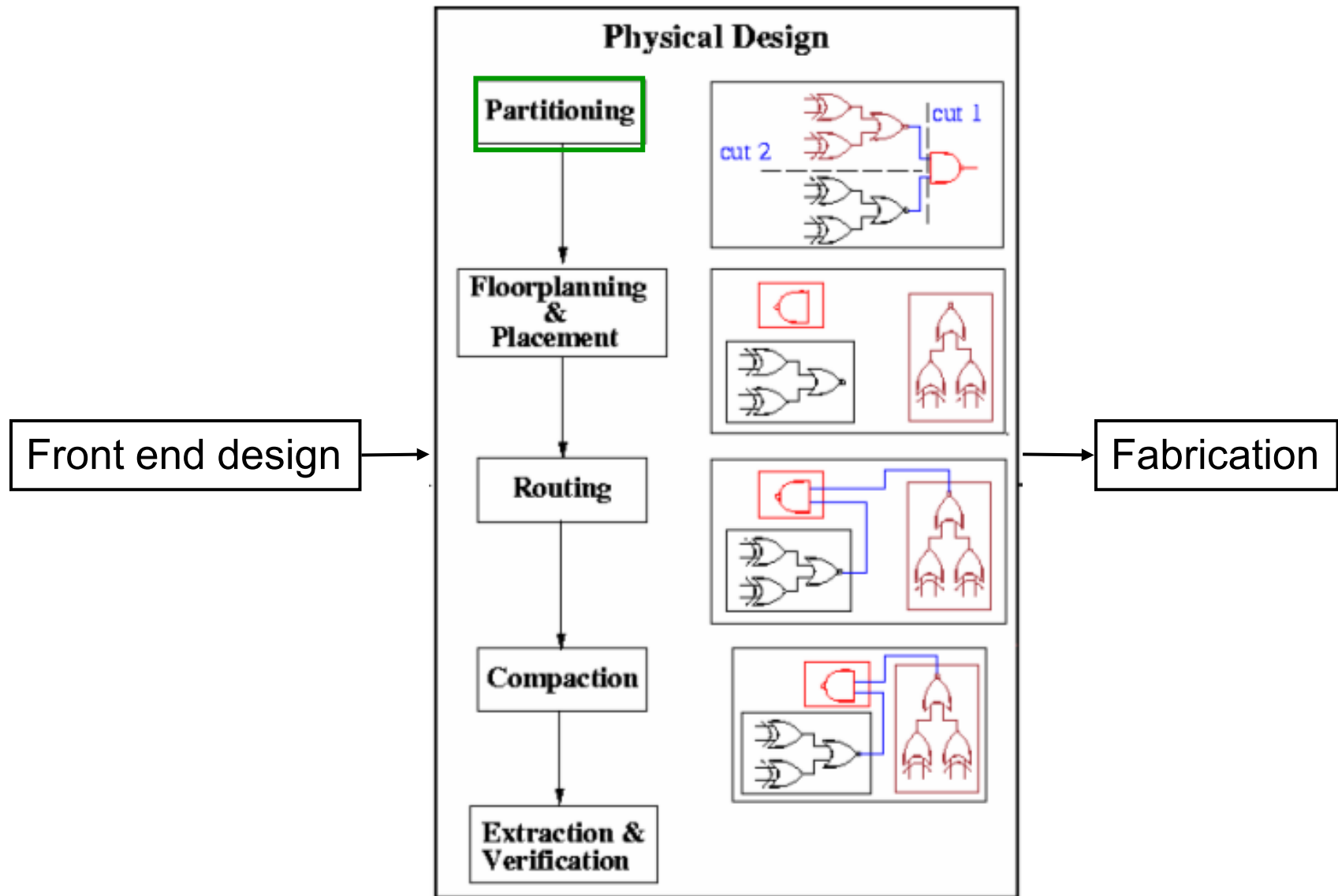


Unit 3: Circuit Partitioning

- Course contents:
 - Kernighan-Lin heuristic
 - Simulated annealing based partitioning algorithm
 - Fiduccia-Mattheyses heuristic

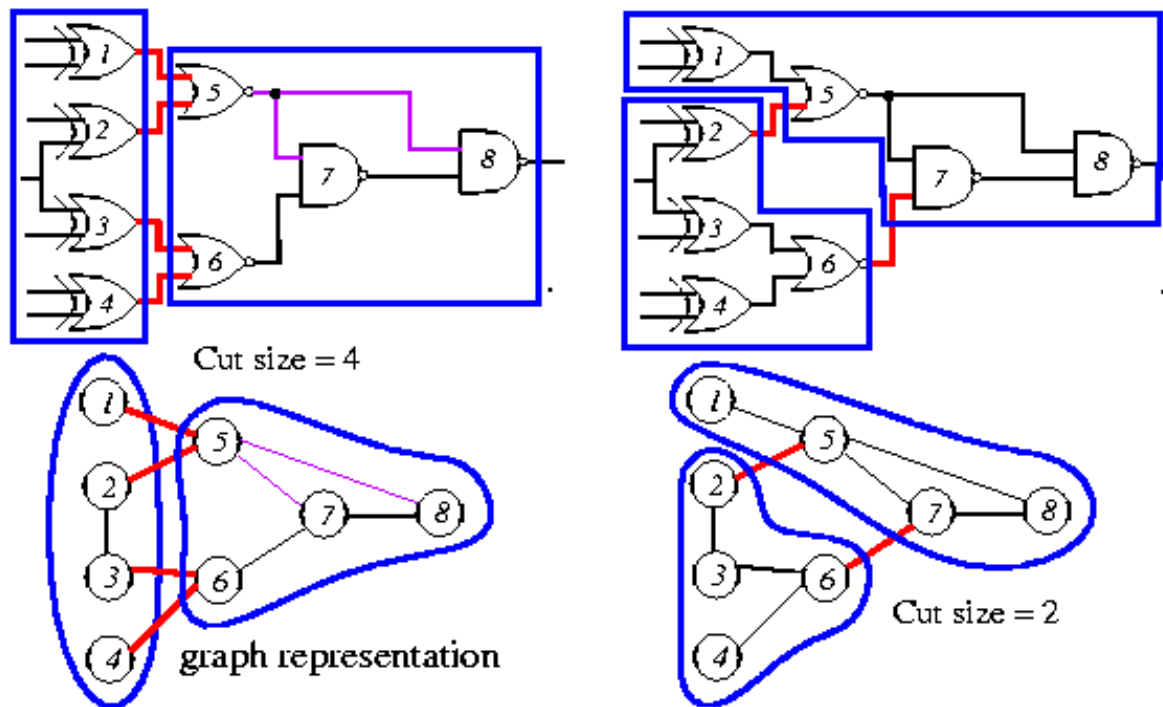


Position of Partitioning



Circuit Partitioning

- **Objective:** Partition a circuit into parts such that every component is within a prescribed range and the # of connections among the components is minimized.
 - More constraints are possible for some applications.
- Cutset? Cut size? Size of a component?



Problem Definition: Partitioning

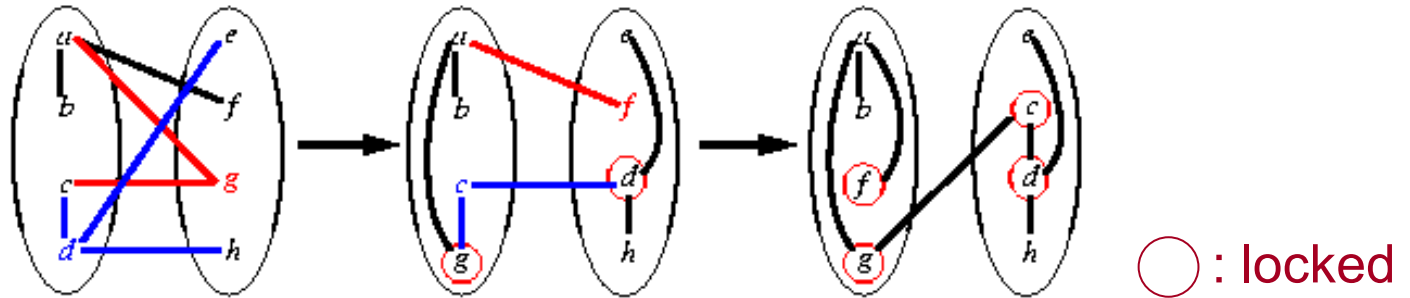
- **k-way partitioning:** Given a graph $G(V, E)$, where each vertex $v \in V$ has a **size** $s(v)$ and each edge $e \in E$ has a **weight** $w(e)$, the problem is to divide the set V into k disjoint subsets V_1, V_2, \dots, V_k , such that an objective function is optimized, subject to certain constraints.
- **Bounded size constraint:** The size of the i -th subset is bounded by B_i ($\sum_{v \in V_i} s(v) \leq B_i$).
 - Is the partition balanced?
- **Min-cut cost between two subsets:**
Minimize $\sum_{\forall e=(u,v) \wedge p(u) \neq p(v)} w(e)$, where $p(u)$ is the partition # of node u .
 - May not be balanced.
- The 2-way, balanced partitioning problem is NP-complete, even in its simple form with identical vertex sizes and unit edge weights.

Kernighan-Lin Algorithm

- Kernighan and Lin, “An efficient heuristic procedure for partitioning graphs,” *The Bell System Technical Journal*, vol. 49, no. 2, Feb. 1970.
- An **iterative, 2-way, balanced** partitioning (bi-sectioning) heuristic.
- Till the cut size keeps decreasing
 - Vertex pairs which give the largest decrease **or the smallest increase** in cut size are exchanged.
 - These vertices are then **locked** (and thus are prohibited from participating in any further exchanges).
 - This process continues until all the vertices are locked.
 - Find the set with the largest partial sum for swapping.
 - Unlock all vertices.

K-L Algorithm: A Simple Example

- Each edge has a unit weight.



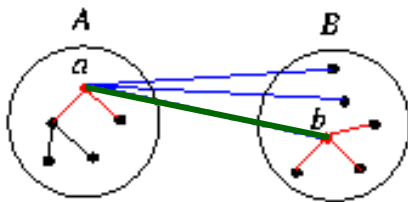
Step #	Vertex pair	Cost reduction	Cut cost
0	-	0	5
1	{d, g}	3	2
2	{c, f}	1	1
3	{b, h}	-2	3
4	{a, e}	-2	5

- Questions: How to compute cost reduction? What pairs to be swapped?
 - Consider the change of internal & external connections.

Properties

- Two sets A and B such that $|A| = n = |B|$ and $A \cap B = \emptyset$.
- **External cost** of $a \in A$: $E_a = \sum_{v \in B} c_{av}$.
- **Internal cost** of $a \in A$: $I_a = \sum_{v \in A} c_{av}$.
- D -value of a vertex a : $D_a = E_a - I_a$ (cost reduction for moving a).
- Cost reduction (gain) for swapping a and b : $g_{ab} = D_a + D_b - 2c_{ab}$.
- If $a \in A$ and $b \in B$ are interchanged, then the new D -values, D' , are given by

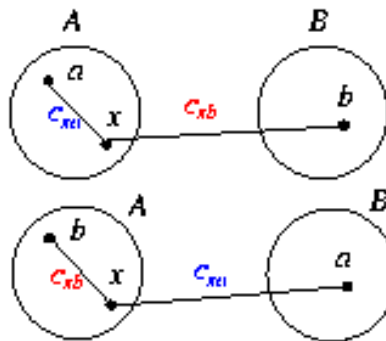
$$\begin{aligned} D'_x &= D_x + 2c_{xa} - 2c_{xb}, \forall x \in A - \{a\} \\ D'_y &= D_y + 2c_{yb} - 2c_{ya}, \forall y \in B - \{b\}. \end{aligned}$$



$$\text{Gain}_{a \rightarrow B} : D_a - c_{ab}$$

$$\text{Gain}_{b \rightarrow A} : D_b - c_{ab}$$

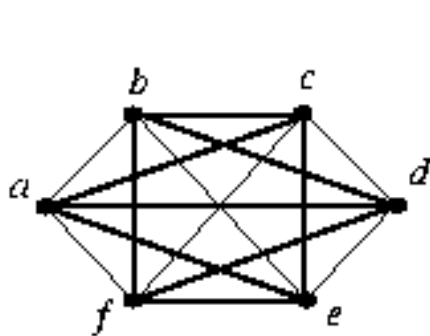
Internal cost vs. External cost



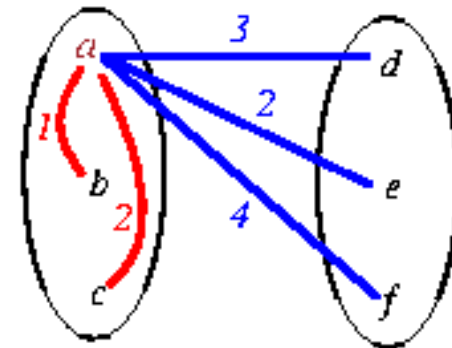
updating D -values

before swap	after swap	ΔC
$-c_{xa}$	$+c_{xa}$	$+2c_{xa}$
$+c_{xb}$	$-c_{xb}$	$-2c_{xb}$

K-L Algorithm: A Weighted Example



	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
<i>a</i>	0	1	2	3	2	4
<i>b</i>	1	0	1	4	2	1
<i>c</i>	2	1	0	3	2	1
<i>d</i>	3	4	3	0	4	3
<i>e</i>	2	2	2	4	0	2
<i>f</i>	4	1	1	3	2	0



costs associated with a

$$\text{Initial cut cost} = (3+2+4) + (4+2+1) + (3+2+1) = 22$$

• Iteration 1:

$$\begin{array}{lll}
 I_a = 1 + 2 = 3; & E_a = 3 + 2 + 4 = 9; & D_a = E_a - I_a = 9 - 3 = 6 \\
 I_b = 1 + 1 = 2; & E_b = 4 + 2 + 1 = 7; & D_b = E_b - I_b = 7 - 2 = 5 \\
 I_c = 2 + 1 = 3; & E_c = 3 + 2 + 1 = 6; & D_c = E_c - I_c = 6 - 3 = 3 \\
 I_d = 4 + 3 = 7; & E_d = 3 + 4 + 3 = 10; & D_d = E_d - I_d = 10 - 7 = 3 \\
 I_e = 4 + 2 = 6; & E_e = 2 + 2 + 2 = 6; & D_e = E_e - I_e = 6 - 6 = 0 \\
 I_f = 3 + 2 = 5; & E_f = 4 + 1 + 1 = 6; & D_f = E_f - I_f = 6 - 5 = 1
 \end{array}$$

Computing the g Value

- Iteration 1:

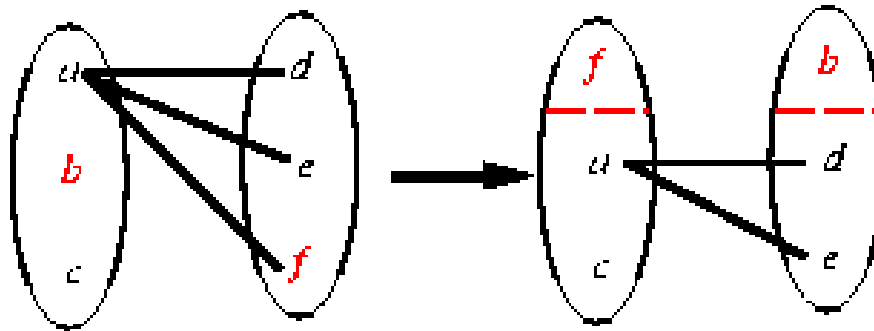
$$\begin{array}{lll}
 I_a = 1 + 2 = 3; & E_a = 3 + 2 + 4 = 9; & D_a = E_a - I_a = 9 - 3 = 6 \\
 I_b = 1 + 1 = 2; & E_b = 4 + 2 + 1 = 7; & D_b = E_b - I_b = 7 - 2 = 5 \\
 I_c = 2 + 1 = 3; & E_c = 3 + 2 + 1 = 6; & D_c = E_c - I_c = 6 - 3 = 3 \\
 I_d = 4 + 3 = 7; & E_d = 3 + 4 + 3 = 10; & D_d = E_d - I_d = 10 - 7 = 3 \\
 I_e = 4 + 2 = 6; & E_e = 2 + 2 + 2 = 6; & D_e = E_e - I_e = 6 - 6 = 0 \\
 I_f = 3 + 2 = 5; & E_f = 4 + 1 + 1 = 6; & D_f = E_f - I_f = 6 - 5 = 1
 \end{array}$$

- $g_{xy} = D_x + D_y - 2c_{xy}$

$$\begin{array}{ll}
 g_{ad} &= D_a + D_d - 2c_{ad} = 6 + 3 - 2 \times 3 = 3 \\
 g_{ae} &= 6 + 0 - 2 \times 2 = 2 \\
 g_{af} &= 6 + 1 - 2 \times 4 = -1 \\
 g_{bd} &= 5 + 3 - 2 \times 4 = 0 \\
 g_{be} &= 5 + 0 - 2 \times 2 = 1 \\
 g_{bf} &= 5 + 1 - 2 \times 1 = 4 \text{ (maximum)} \\
 g_{cd} &= 3 + 3 - 2 \times 3 = 0 \\
 g_{ce} &= 3 + 0 - 2 \times 2 = -1 \\
 g_{cf} &= 3 + 1 - 2 \times 1 = 2
 \end{array}$$

- Swap b and f . ($\hat{g}_1 = 4$)

Updating the D Value



- $D'_x = D_x + 2c_{xp} - 2c_{xq}, \forall x \in A - \{p\}$ (swap p and $q, p \in A, q \in B$)

$$D'_a = D_a + 2c_{ab} - 2c_{af} = 6 + 2 \times 1 - 2 \times 4 = 0$$

$$D'_c = D_c + 2c_{cb} - 2c_{cf} = 3 + 2 \times 1 - 2 \times 1 = 3$$

$$D'_d = D_d + 2c_{df} - 2c_{db} = 3 + 2 \times 3 - 2 \times 4 = 1$$

$$D'_e = D_e + 2c_{ef} - 2c_{eb} = 0 + 2 \times 2 - 2 \times 2 = 0$$

- $g_{xy} = D'_x + D'_y - 2c_{xy}$

$$g_{ad} = D'_a + D'_d - 2c_{ad} = 0 + 1 - 2 \times 3 = -5$$

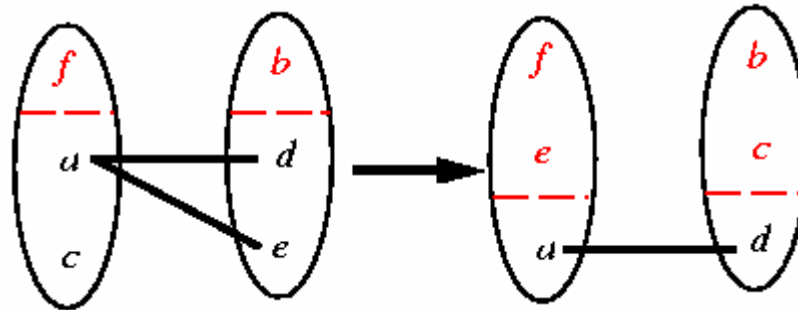
$$g_{ae} = D'_a + D'_e - 2c_{ae} = 0 + 0 - 2 \times 2 = -4$$

$$g_{cd} = D'_c + D'_d - 2c_{cd} = 3 + 1 - 2 \times 3 = -2$$

$$g_{ce} = D'_c + D'_e - 2c_{ce} = 3 + 0 - 2 \times 2 = -1 \text{ (maximum)}$$

- Swap c and e . ($\hat{g}_2 = -1$)

Determining Swapping Pairs



- $D''_x = D'_x + 2c_{xp} - 2c_{xq}, \forall x \in A - \{p\}$

$$D''_a = D'_a + 2c_{ac} - 2c_{ae} = 0 + 2 \times 2 - 2 \times 2 = 0$$

$$D''_d = D'_d + 2c_{de} - 2c_{dc} = 1 + 2 \times 4 - 2 \times 3 = 3$$

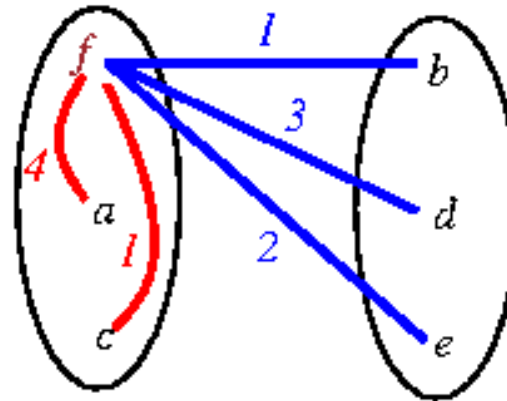
- $g_{xy} = D''_x + D''_y - 2c_{xy}$

$$g_{ad} = D''_a + D''_d - 2c_{ad} = 0 + 3 - 2 \times 3 = -3 (\hat{g}_3 = -3)$$

- Note that this step is redundant ($\sum_{i=1}^n \hat{g}_i = 0$).
- Summary: $\hat{g}_1 = g_{bf} = 4$, $\hat{g}_2 = g_{ce} = -1$, $\hat{g}_3 = g_{ad} = -3$.
- Largest partial sum $\max \sum_{i=1}^k \hat{g}_i = 4$ ($k = 1$) \Rightarrow Swap b and f .

Next Iteration

	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	<i>f</i>
<i>a</i>	0	1	2	3	2	4
<i>b</i>	1	0	1	4	2	1
<i>c</i>	2	1	0	3	2	1
<i>d</i>	3	4	3	0	4	3
<i>e</i>	2	2	2	4	0	2
<i>f</i>	4	1	1	3	2	0



$$\text{Initial cut cost} = (1+3+2) + (1+3+2) + (1+3+2) = 18 \quad (22-4)$$

- Iteration 2: Repeat what we did at Iteration 1 (Initial cost = $22-4=18$).
- Summary: $\hat{g}_1 = g_{ce} = -1$, $\hat{g}_2 = g_{ab} = -3$, $\hat{g}_3 = g_{fd} = 4$.
- Largest partial sum = $\max \sum_{i=1}^k \hat{g}_i = 0 \quad (k=3) \Rightarrow \text{Stop!}$

Kernighan-Lin Algorithm

Algorithm: Kernighan-Lin(G)

Input: $G = (V, E), |V| = 2n$.

Output: Balanced bi-partition A and B with “small” cut cost.

1 **begin**

2 Bipartition G into A and B such that $|V_A| = |V_B|$, $V_A \cap V_B = \emptyset$,
and $V_A \cup V_B = V$.

3 **repeat**

4 Compute $D_v, \forall v \in V$.

5 **for** $i = 1$ **to** n **do**

6 Find a pair of unlocked vertices $v_{ai} \in V_A$ and $v_{bi} \in V_B$ whose
exchange makes the largest decrease or smallest increase in
cut cost;

7 Mark v_{ai} and v_{bi} as locked, store the gain \hat{g}_i , and compute
the new D_v , for all unlocked $v \in V$;

8 Find k , such that $G_k = \sum_{i=1}^k \hat{g}_i$ is maximized;

9 **if** $G_k > 0$ **then**

10 Move v_{a1}, \dots, v_{ak} from V_A to V_B and v_{b1}, \dots, v_{bk} from V_B to V_A ;

11 Unlock $v, \forall v \in V$.

12 **until** $G_k \leq 0$;

13 **end**

Time Complexity

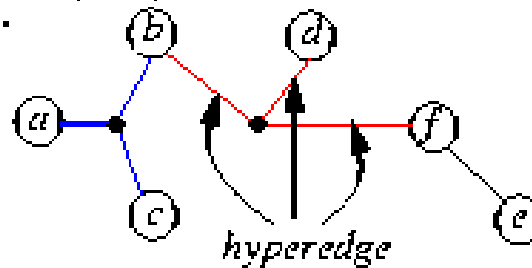
- Line 4: Initial computation of D : $O(n^2)$
- Line 5: The **for**-loop: $O(n)$
- The body of the loop: $O(n^2)$.
 - Lines 6—7: Step i takes $(n - i + 1)^2$ time.
- Lines 4--11: Each pass of the repeat loop: $O(n^3)$.
- Suppose the repeat loop terminates after r passes.
- The total running time: $O(rn^3)$.
 - Polynomial-time algorithm?

Extensions of K-L Algorithm

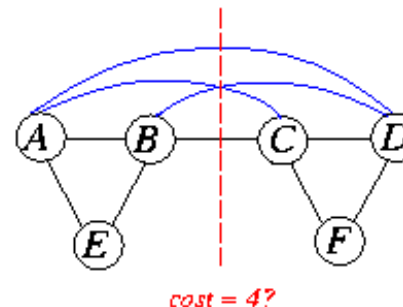
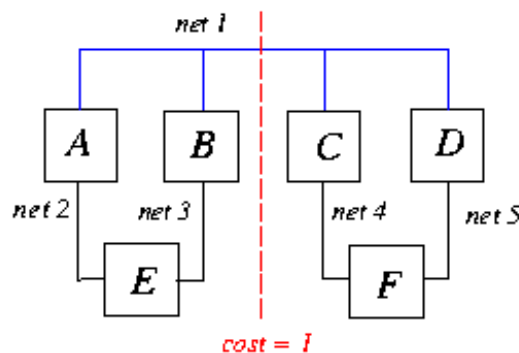
- **Unequal sized subsets** (assume $n_1 < n_2$)
 1. Partition: $|A| = n_1$ and $|B| = n_2$.
 2. Add $n_2 - n_1$ dummy vertices to set A. Dummy vertices have no connections to the original graph.
 3. Apply the Kernighan-Lin algorithm.
 4. Remove all dummy vertices.
- **Unequal sized “vertices”**
 1. Assume that the smallest “vertex” has unit size.
 2. Replace each vertex of size s with s vertices which are fully connected with edges of infinite weight.
 3. Apply the Kernighan-Lin algorithm.
- **k -way partition**
 1. Partition the graph into k equal-sized sets.
 2. Apply the Kernighan-Lin algorithm for each pair of subsets.
 3. Time complexity? Can be reduced by recursive bi-partition.
- How to **handle hypergraphs?**
 - Need to handle multi-terminal nets directly.

Coping with Hypergraph

- A hypergraph $H = (N, L)$ consists of a set N of vertices and a set L of hyperedges, where each hyperedge corresponds to a **subset** N_i of distinct vertices with $|N_i| \geq 2$.

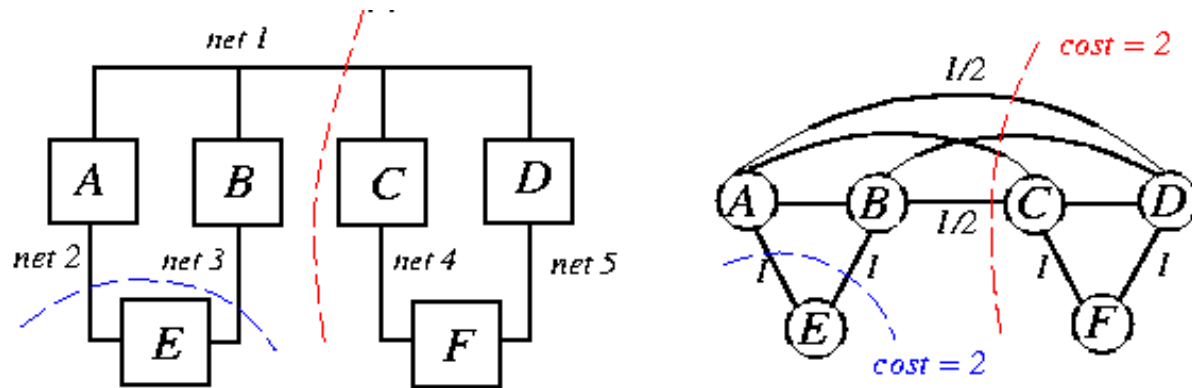


- Schweikert and Kernighan, "A proper model for the partitioning of electrical circuits," 9th Design Automation Workshop, 1972.
- For multi-terminal nets, **net cut** is a more accurate measurement for cut cost (i.e., deal with hyperedges).
 - $\{A, B, E\}, \{C, D, F\}$ is a good partition.
 - Should not assign the same weight for all edges.

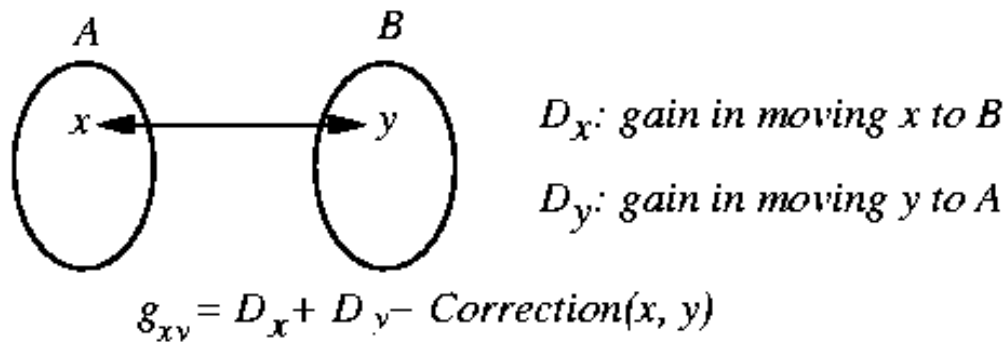


Net-Cut Model

- Let $n(i)$ = # of cells associated with Net i .
- Edge weight $w_{xy} = \frac{2}{n(i)}$ for an edge connecting cells x and y .

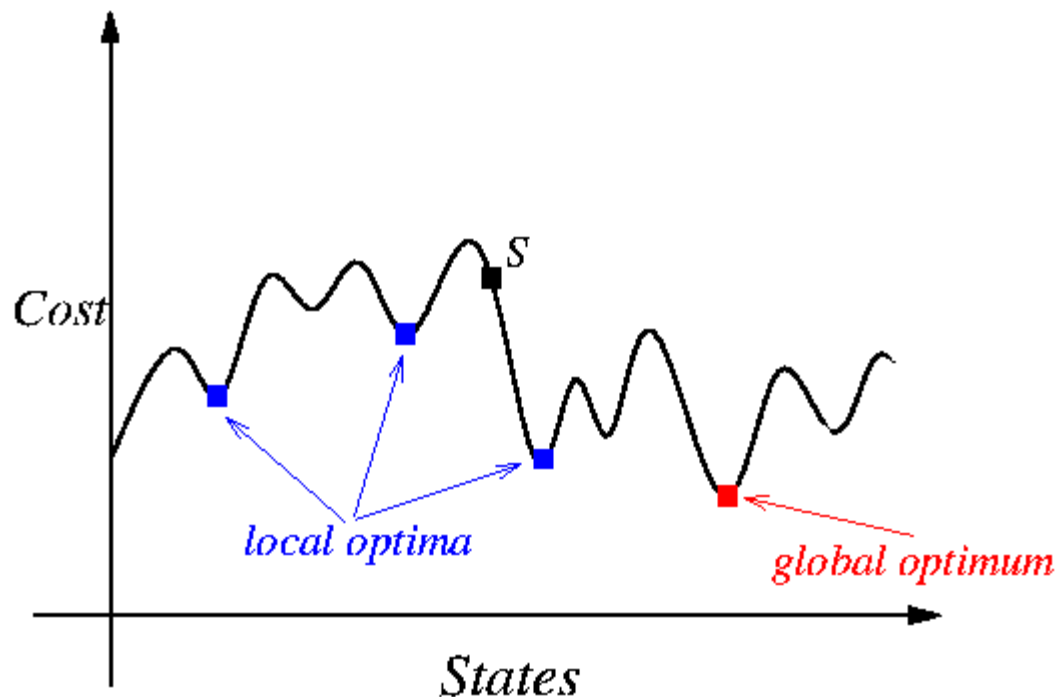


- Easy modification of the K-L heuristic.



Simulated Annealing

- Kirkpatrick, Gelatt, and Vecchi, “Optimization by simulated annealing,” *Science*, May 1983.
- Greene and Supowit, “Simulated annealing without rejected moves,” ICCD-84.



Simulated Annealing Basics

- Non-zero probability for “up-hill” moves.
- Probability depends on
 1. magnitude of the “up-hill” movement
 2. total search time

$$Prob(S \rightarrow S') = \begin{cases} 1 & \text{if } \Delta C \leq 0 \quad /* \text{“down - hill” moves} */ \\ e^{-\frac{\Delta C}{T}} & \text{if } \Delta C > 0 \quad /* \text{“up - hill” moves} */ \end{cases}$$

- $\Delta C = cost(S') - cost(S)$
- T : Control parameter (temperature)
- Annealing schedule: $T = T_0, T_1, T_2, \dots$, where $T_i = r^i T_0$, $r < 1$.

Generic Simulated Annealing Algorithm

```
1 begin
2 Get an initial solution  $S$ ;
3 Get an initial temperature  $T > 0$ ;
4 while not yet “frozen” do
5   for  $1 \leq i \leq P$  do
6     Pick a random neighbor  $S'$  of  $S$ ;
7      $\Delta \leftarrow \text{cost}(S') - \text{cost}(S)$ ;
8     /* downhill move */
9     if  $\Delta \leq 0$  then  $S \leftarrow S'$ 
10    /* uphill move */
11    if  $\Delta > 0$  then  $S \leftarrow S'$  with probability  $e^{-\frac{\Delta}{T}}$  ;
12   $T \leftarrow rT$ ; /* reduce temperature */
13 return  $S$ 
14 end
```

Basic Ingredients for Simulated Annealing

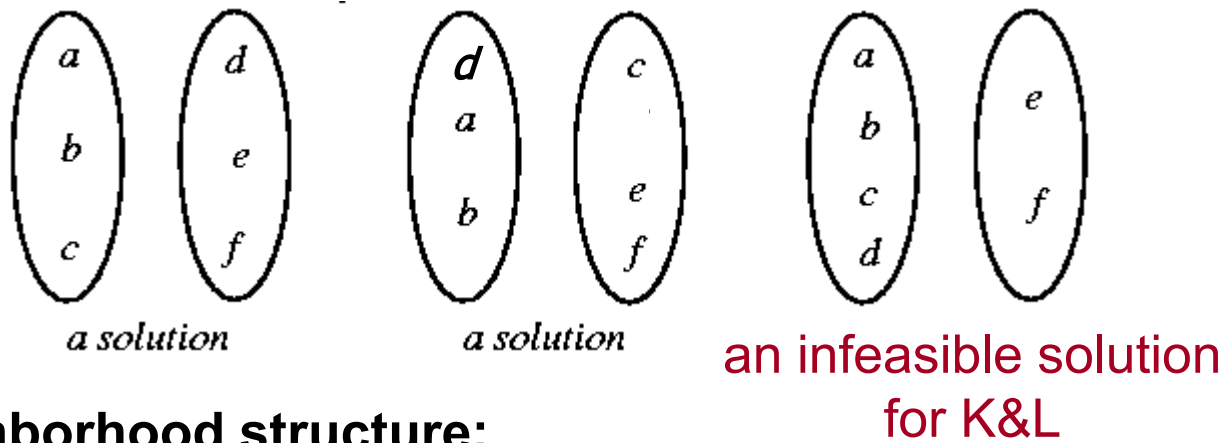
- Analogy:

Physical system	Optimization problem
state	configuration
energy	cost function
ground state	optimal solution
quenching	iterative improvement
careful annealing	simulated annealing

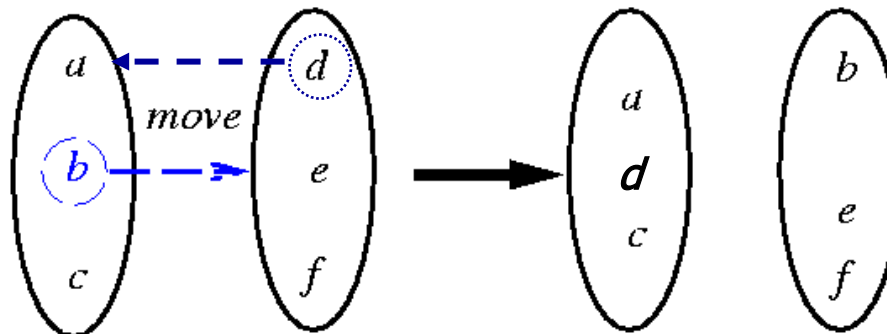
- Basic Ingredients for Simulated Annealing:
 - **Solution space**
 - **Neighborhood structure**
 - **Cost function**
 - **Annealing schedule**

Partition by Simulated Annealing

- Kirkpatrick, Gelatt, and Vecchi, “Optimization by simulated annealing,” *Science*, May 1983.
- **Solution space:** set of all partitions



- **Neighborhood structure:**



Randomly swap a pair of cells from each side

Partition by Simulated Annealing (cont'd)

- **Cost function:** $f = C$
 - C : the partition cost as used before.

The diagram illustrates two sets, $S1$ and $S2$, each represented by an oval. $S1$ contains elements a , b , and three dots. $S2$ contains elements p , q , and three dots. To the right of the ovals is the equation $B = (|S1| - |S2|)^2$.

- **Annealing schedule:**
 - $T_n = r^n T_0$, $r = 0.9$.
 - At each temperature, either
 1. there are 10 accepted moves/cell on the average, or
 2. # of attempts $\geq 100 \times$ total # of cells.
 - The system is “frozen” if very low acceptances at 3 consecutive temperatures.