

# AutoSpec-Neuro: Automated spectral introspection identifies disorder-specific dynamics in deep neural networks for neuroimaging

First Author<sup>1,\*</sup>, Co-Author<sup>2</sup> and Co-Author<sup>1,2</sup>

<sup>1</sup>Laboratory X, Institute X, Department X, Organization X, City X, State XX (only USA, Canada and Australia), Country X

<sup>2</sup>Laboratory X, Institute X, Department X, Organization X, City X, State XX (only USA, Canada and Australia), Country X

Correspondence\*:  
Corresponding Author  
email@uni.edu

## 2 ABSTRACT

3 While the internal complexity and inherent nonlinear structure of deep neural networks (DNNs)  
4 have contributed to their successful application across diverse problem settings and data  
5 modalities, these same factors can create difficulty when interpreting model behavior. In  
6 neuroimaging, the high dimensionality and multimodal nature of acquired data sets has fostered  
7 a number of successful deep learning applications; however, the difficulty involved in model  
8 interpretation becomes even more problematic when the output from deep learning models is  
9 used in sensitive medical or research contexts, such as in the study of mood disorders. Emergent  
10 unwanted model behavior such as overfitting and catastrophic forgetting can lead to less effective  
11 applications in the best case, and increased risk to study participants in the worst. Recently,  
12 a number of post-hoc introspection methods for studying model decision-making have also  
13 proven useful in neuroimaging applications; however, none of these methods provide insights  
14 into how models come to arrive at particular behaviors, or are able to identify differences in  
15 the model's treatment of different sample groups without affecting the optimization itself. In this  
16 work, we present AutoSpec-Neuro, a novel method for introspection of deep neural networks  
17 applied to neuroimaging which provides a dynamic and group-specific illustration of model  
18 learning behavior. We illustrate that our method identifies training dynamics unique to Major  
19 Depressive Disorder (MDD), Bipolar Disorder (BPD), Schizophrenia and Schizoaffective disorders  
20 across several studies. We illustrate these dynamics across multiple model architectures used in  
21 neuroimaging such as convolutional neural networks, transformers, and deep generative models.  
22 Finally, we show how these observed dynamics can aid in the diagnosis and dynamic detection  
23 of model bias, catastrophic forgetting, effectiveness of transfer learning and modality fusion and  
24 more.

25 **Keywords:** deep learning, model introspection, learning theory, model bias, schizophrenia

## 1 INTRODUCTION

26 For Original Research Articles (Name et al., 1996), Clinical Trial Articles (LastName1 et al., 2013), and  
27 Technology Reports (Surname1, 2010), the introduction should be succinct, with no subheadings (Name,

28 1993). For Case Reports the Introduction should include symptoms at presentation (Surname, 2002),  
29 physical exams and lab results (LastName1 et al., 2011).

## 2 ARTICLE TYPES

30 For requirements for a specific article type please refer to the Article Types on any Frontiers journal page.  
31 Please also refer to Author Guidelines for further information on how to organize your manuscript in the  
32 required sections or their equivalents for your field

## 3 MANUSCRIPT FORMATTING

### 33 3.1 Heading Levels

#### 34 3.2 Level 2

##### 35 3.2.1 Level 3

##### 36 3.2.1.1 Level 4

##### 37 3.2.1.1.1 Level 5

### 38 3.3 Equations

39 Equations should be inserted in editable format from the equation editor.

$$\sum x + y = Z \quad (1)$$

### 40 3.4 Figures

41 Frontiers requires figures to be submitted individually, in the same order as they are referred to in the  
42 manuscript. Figures will then be automatically embedded at the bottom of the submitted manuscript.  
43 Kindly ensure that each table and figure is mentioned in the text and in numerical order. Figures must  
44 be of sufficient resolution for publication. Figures which are not according to the guidelines will cause  
45 substantial delay during the production process. Please see here for full figure guidelines. Cite figures with  
46 subfigures as figure 2a and 2b.

#### 47 3.4.1 Permission to Reuse and Copyright

48 Figures, tables, and images will be published under a Creative Commons CC-BY licence and  
49 permission must be obtained for use of copyrighted material from other sources (including re-  
50 published/adapted/modified/partial figures and images from the internet). It is the responsibility of the  
51 authors to acquire the licenses, to follow any citation instructions requested by third-party rights holders,  
52 and cover any supplementary charges.

### 53 3.5 Tables

54 Tables should be inserted at the end of the manuscript. Please build your table directly in LaTeX. Tables  
55 provided as jpeg/tiff files will not be accepted. Please note that very large tables (covering several pages)  
56 cannot be included in the final PDF for reasons of space. These tables will be published as Supplementary  
57 Material on the online article page at the time of acceptance. The author will be notified during the  
58 typesetting of the final article if this is the case.

## 4 NOMENCLATURE

### 59 4.1 Resource Identification Initiative

60 To take part in the Resource Identification Initiative, please use the corresponding catalog number and  
61 RRID in your current manuscript. For more information about the project and for steps on how to search  
62 for an RRID, please click [here](#).

### 63 4.2 Life Science Identifiers

64 Life Science Identifiers (LSIDs) for ZOOBANK registered names or nomenclatural acts should be listed  
65 in the manuscript before the keywords. For more information on LSIDs please see the Nomenclature  
66 section of the guidelines.

## 5 ADDITIONAL REQUIREMENTS

67 For additional requirements for specific article types and further information please refer to the individual  
68 Frontiers journal pages

## CONFLICT OF INTEREST STATEMENT

69 The authors declare that the research was conducted in the absence of any commercial or financial  
70 relationships that could be construed as a potential conflict of interest.

## AUTHOR CONTRIBUTIONS

71 The Author Contributions section is mandatory for all articles, including articles by sole authors. If an  
72 appropriate statement is not provided on submission, a standard one will be inserted during the production  
73 process. The Author Contributions statement must describe the contributions of individual authors referred  
74 to by their initials and, in doing so, all authors agree to be accountable for the content of the work. Please  
75 see [here](#) for full authorship criteria.

## FUNDING

76 Details of all funding sources should be provided, including grant numbers if applicable. Please ensure to  
77 add all necessary funding information, as after publication this is no longer possible.

## ACKNOWLEDGMENTS

78 This is a short text to acknowledge the contributions of specific colleagues, institutions, or agencies that  
79 aided the efforts of the authors.

## SUPPLEMENTAL DATA

80 Supplementary Material should be uploaded separately on submission, if there are Supplementary Figures,  
81 please include the caption in the same file as the figure. LaTeX Supplementary Material templates can be  
82 found in the Frontiers LaTeX folder.

## DATA AVAILABILITY STATEMENT

83 The datasets [GENERATED/ANALYZED] for this study can be found in the [NAME OF REPOSITORY]  
84 [LINK].

## REFERENCES

- 85 [Dataset] LastName1, A., LastName2, A., and LastName3, A. (2011). Data title. doi:10.000/55555  
86 LastName1, A., LastName2, A., and LastName3, A. (2013). Article title. *Frontiers in Neuroscience* 30,  
87 10127–10134. doi:10.3389/fnins.2013.12345  
88 Name, A. (1993). *The title of the work* (The city: The name of the publisher)  
89 Name, C., Surname, D., and LastName, F. (1996). The title of the work. In *The title of the conference*  
90 *proceedings*, eds. E. Name1 and E. Name2 (The name of the publisher), 41–50  
91 Surname, B. (2002). The title of the work. In *The title of the book*, ed. E. Name (The city: The name of the  
92 publisher). 201–213  
93 Surname1, H. (2010). *The title of the work* (Patent country: Patent number)

## FIGURE CAPTIONS



**Figure 1.** Enter the caption for your figure here. Repeat as necessary for each of your figures



**Figure 2a.** This is Subfigure 1.



**Figure 2b.** This is Subfigure 2.

**Figure 2.** Enter the caption for your subfigure here. **(A)** This is the caption for Subfigure 1. **(B)** This is the caption for Subfigure 2.