

# MATH 571

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Spring 2022

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## 1 FIRST EXAM

*Remark 1.* I did not take notes for the first third of the course. This section serves as an index of what the reader is missing.

- Discrete Logs
- Diffie-Hellman Key Exchange
- Shanks Algorithm
- RSA
- Factorization (p-1 method, trial division, difference of squares)

**Example 2.** Given a few explicit congruences  $c_i \equiv a_i^2 \pmod{n}$  explain how you can find a factor of  $n$

## 2 FACTORIZATION BY DIFFERENCE OF SQUARES

- (1) Find lots of congruences  $a_i^2 \equiv c_i \pmod{n}$  with  $c_i$  product of small primes. Fix small number  $B$ , and require all prime factors  $p \leq B$
- (2) Elimination: Find a subset of these congruences which multiply to give  $x^2 \equiv y^2 \pmod{n}$  ( $\mathbb{F}_2$  linear algebra)
- (3) Compute  $\gcd(x \pm y, n)$ , hope its a proper factors of  $n$

Review finding kernel, Gaussian Elimination  $\mathbb{F}_2$ , book of (2)

**Algorithm:** Find numbers  $a$  such that  $a^2 \pmod{n}$  is a product of small primes

$m = \lfloor \sqrt{n} \rfloor + 1$ . Try  $a = m, m+1, m+2, \dots, a^2 \pmod{n} = a^2 - n$ .

This is relatively small since  $a \approx \sqrt{n}$ , so has a better chance of factoring into small primes

$$Q(x) = x^2 - n$$

Looking at  $x = m, m+1, m+2$ , we find  $x^2 \equiv Q(x) \pmod{n}$ , where  $x^2 = a_i$  and  $Q(x) = c_i$ .

**Problem:** Given  $n, a$ , how do you determine if  $Q(a) = a^2 - n$  is a product of small primes without factoring?

*Remark 3.* Roughly half of primes will never be factors of  $Q(a)$

Why? Suppose  $p|Q(a)$  for some  $a$ . Then  $p|a^2 - n \implies a^2 \equiv n \pmod{p} \implies n$  is a square mod  $p$ .

Fix odd prime  $p$

**Definition 4.** Given  $t \in \mathbb{F}_p$  such that  $t \neq 0$ , we say  $t$  is a quadratic residue mod  $p$  if  $\exists s \in \mathbb{F}_p$  such that  $s \equiv s^2 \pmod{p}$

For example,  $p = 11$

"Squares are always squares" - :D

There are always exactly  $\frac{p-1}{2}$  squares and  $\frac{p-1}{2}$  non-squares

### 2.1 Legendre Symbols

**Definition 5** (Legendre Symbol).  $\left(\frac{t}{p}\right) = +1$  if  $t$  square mod  $p$ ,  $-1$  if  $t$  non-square,  $0$  if  $t = 0 \pmod{p}$ .

*Remark 6.* The quadratic residues of  $\mathbb{F}_p$  are the even powers of any generator  $g$ .

Fix a generator  $g \in \mathbb{F}_p$ . Fix  $t \in \mathbb{F}_p$ . Write  $t = g^e$  for some  $e$  s.t  $0 \leq e \leq p-1$ . If  $e$  is even then  $t = (g^{e/2})^2 \implies \left(\frac{t}{p}\right) = 1$ . Since this already gives  $(p-1)/2$  squares for  $e = 0, 2, 4, \dots, p-3$ , so it follows that  $e$  odd  $\implies \left(\frac{t}{p}\right) = -1$ .

**Definition 7** (Properties of Legendre Symbol). (1)  $\left(\frac{t}{p}\right) \equiv t^{\frac{p-1}{2}} \pmod{p}$

$$(2) \left(\frac{st}{p}\right) = \left(\frac{s}{p}\right) \left(\frac{t}{p}\right)$$

$$(3) \left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}} = 1 \text{ if } p \equiv 1 \pmod{4}, -1 \text{ if } p \equiv 3 \pmod{4}$$

Proof:

- (1) FLT for squares, polynomial counting argument for non-squares
- (2) right side of (1) is multiplicative, so left side has to be as well
- (3)

**Definition 8** (Quadratic Reciprocity Law). Let  $p, q$  be distinct odd positive primes. Then  $\left(\frac{p}{q}\right) = \frac{q}{p}(-1)^{\frac{p-1}{2} \frac{q-1}{2}}$ .

Equivalently, if either  $p$  or  $q$  is congruent to 1 mod 4, then the reciprocity holds. Else, they are negations.

**Definition 9** (Quadratic Sieve).

Manufacture many congruences of the form  $c_i \equiv a_i^2 \pmod{n}$  where  $a_i$  where  $a$  is a product of primes less than some fixed bound  $B$

Set  $Q(x) = x^2 - n$ , then try  $Q(m), Q(m+1) \dots$ , where  $m = \lfloor \sqrt{n} \rfloor + 1$ . To check if  $Q(a_i)$  is only divisible by primes  $\leq B$  we use a sieve to simultaneously find all small prime factors of  $Q(x)$  via linear congruences.

**Definition 10.** Fix  $B$ . An integer  $m$  is  $B$ -smooth if all primes factors of  $m$  are  $\leq B$

**Definition 11.**  $\psi(x, B) = \#$   $B$ -smooth numbers  $\leq x$

**Theorem 12.** Let  $L(x) = e^{\sqrt{\ln x \cdot \ln \ln x}}$ . Fix  $0 < c < 1$ . Then  $\psi(x, L(x)^c) = xL(x)^{-\frac{1}{2c}(1+o(1))}$

This holds if you replace  $o(1)$  by some constant, and that constant goes to 0 as  $x \rightarrow \infty$

### 3 ELLIPTIC CURVES

In the 1980s, Lenstra found a way to apply the very developed theory of elliptic curves to cryptography and factorization.

**Definition 13.** An elliptic curve is a plane cubic curves given by an equation  $y^2 = x^3 + ax + b$  with  $a, b \in \mathbb{Q}$  s.t  $\Delta = 4a^3 + 27b^2 \neq 0$

*Remark 14.* Most general equation, the Weierstrass equation:  $y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$

**3.1 Point addition** Define  $E := y^2 = x^3 + ax + b$ . The key thing is the addition law. Given  $P, Q$  points on  $E$ , construct a third point  $P \oplus Q$

**Theorem 15** (Bezout's Theorem). A curve of degree  $d$  and a curve of degree  $d'$  have  $dd'$  points of intersection

Two cocentric circles won't have any intersections  $\rightarrow$  requires complex numbers.

Take elliptic curve of degree 3, and a line of degree one. By Bezout's Theorem, there will be two points of intersection. Two of which are  $P$  and  $Q$ , and call the third  $R$ . Set  $P \oplus Q$  to be the reflection of  $R$  across the  $x$ -axis. With a few other conditions, we get a group law.

**Example.**  $y^2 = x^3 - 15x + 18$ .  $P = (7, 16)$   $Q = (1, 2)$

$y - 2 = \frac{7}{3}(x - 1) \implies y = \frac{7}{3}x - \frac{1}{3}$ . Insert into elliptic curve  $(\frac{7}{3}x - \frac{1}{3})^2 = x^3 - 15x + 18 \implies \frac{49}{9}x^2 - \frac{14}{9}x + \frac{1}{9} = x^3 - 15x + 18 \implies x^3 - \frac{49}{9}x^2 + \dots = 0$ . Move all terms to one side, and solve the cubic.

Don't need the cubic equation, because we know that  $P$  and  $Q$  are on the intersection, or  $x = 7$  and  $x = 1$  are two zeros.  $(x - 1)(x - 7)(x - x_0) \implies x^3 - (8 + x_0)x^2 + \dots$ , equate the quadratic coefficients  $\frac{-49}{9} = -(8 + x_0) \implies x_0 = \frac{-23}{9}$ . Therefore  $R$  has an  $x$  value of  $\frac{-23}{9}$ .

Caveats: if we take the same point twice, take the tangent line rather than a secant line. If you take two points on a vertical line, your third is the projective point at infinity.

$E(\mathbb{R}) = \{(x, y) \in \mathbb{R}^2 | y^2 = x^3 + ax + b\} \cup \{\mathcal{O}\}$ , where  $\mathcal{O}$  is the point at infinity.

Assuming we have the two points  $P = (x_1, y_1)$  and  $Q = (x_2, y_2)$ , where  $x_1 \neq x_2$ .

1) (Secant) Line  $PQ$

$$Y = y_1 + \lambda(X - x_1), \lambda = \frac{y_2 - y_1}{x_2 - x_1}$$

2) Insert into cubic

$$(y_1 + \lambda(X - x_1))^2 = X^3 + aX + b$$

$$0 = X^3 + (-\lambda)X^2 + \dots$$

We know this must factor into  $(X - x_1)(X - x_2)(X - x_3)$  since  $P$  and  $Q$  are on the line and on  $E$ .

3) Equate coefficient of  $X^2$

$$-\lambda^2 = -(x_1 + x_2 + x_3)$$

$$x^3 = \lambda^2 - x_1 - x_2$$

4) Plug  $X = x_3$  into line

$$y_3 = t_1 + \lambda(x_3 - x_1)$$

$$5) P \oplus Q = (x_3, -y_3)$$

*Remark 16.* This exercise is not to suggest memorizing this algorithm, just to demonstrate that there is a general solution method for two points with distinct  $x$  values on  $E$ .

### 3.2 Special Cases Now we address more special cases of point addition

$$1) \mathcal{O} \oplus Q = Q, P \oplus \mathcal{O} = P.$$

$$2) P = (x, y)$$

$$-P = (x, -y) \text{ (reflection across } x\text{-axis)}$$

$$P \oplus -P = \mathcal{O}$$

3)  $P \oplus P$ : The only difference from the general case is that, here,  $\lambda$  is the slope of the tangent line of  $E$  at  $P$ , which can be determined by implicit differentiation  $\implies 2YY' = 3X^2 + a \implies Y' = \frac{3X + a}{2Y} \implies \lambda = \frac{3x_1^2 + a}{2y_1}$ .

*Remark 17.* In this 3rd case, if  $y_1$  is zero, this obviously doesn't work. However, that is just where  $P$  is on the  $x$ -axis, and is therefore its own reflection, so  $P \oplus P = P \oplus -P = \mathcal{O}$

**Proposition 18.**  $E(\mathbb{R}) = \{(x, y) \in \mathbb{R}^2 \mid y^2 = x^3 + ax + b\} \cup \{\mathcal{O}\}$ , where  $\mathcal{O}$  is the point at infinity, is an abelian group under the operation  $\oplus$  with identity  $\mathcal{O}$ .

#### Proof

Binary operation  $\oplus$  which preserves  $E(\mathbb{R})$ . Check axioms.

1) Identity:  $P \oplus \mathcal{O} = \mathcal{O} \oplus P = P$  for all  $P$ .

2) Inverses:  $P \oplus -P = \mathcal{O}$

3) Abelian: Computing secant lines with different order of endpoints gives the same line, so  $\oplus$  commutes

4) Associativity: In principle, this can be done by algebra with exhaustive case study. Alternatively,

→ 4.1) do this in projective geometry, use Pascal's theorem

→ 4.2) Develop theory of algebraic curves enough, it becomes obvious (tensor product with Picard group, that is a group and is associative, so this is associative)

### 3.3 Introducing other fields

*Remark 19.* We don't actually care about  $E(\mathbb{R})$ , but variations are useful in cryptography

**Definition 20.**  $E(\mathbb{Q}) = \{(x, y) \in \mathbb{R}^2 \mid y^2 = x^3 + ax + b\} \cup \{\mathcal{O}\} \subset E(\mathbb{R})$

*Remark 21.* It is possible for there to be no rational points and  $E(\mathbb{Q})$  is just  $\mathcal{O}$

**Claim:**  $E(\mathbb{Q})$  is a subgroup of  $E(\mathbb{R})$  under  $\oplus$

1)  $\mathcal{O} \in E(\mathbb{Q})$  (either by definition of  $E(\mathbb{Q})$  or since  $\mathcal{O}$  is  $(0, 0, 1)$  in projective geometry)

2)  $P \in E(\mathbb{Q}) \implies -P \in E(\mathbb{Q})$ , obvious since  $-P = (x_1, -y_1)$

3)  $P, Q \in E(\mathbb{Q}) \implies P \oplus Q \in E(\mathbb{Q})$ . All special cases are obvious. For the general case, all of the suboperations are closed under rational numbers, so the entire operation is a rational operation.

*Remark 22.* A field is a set  $K$  with operations  $+, \cdot$  satisfying a collection of axioms that satisfy all the expected axioms as under real numbers  $(+, -, \cdot, /)$

**Example.**  $R, Q, C, \mathbb{F}_p = \mathbb{Z}/p$  where  $p$  prime.

*Remark 23.* Modulus has to be prime since  $\mathbb{Z}/n$  can have elements without an inverse (not even integral domain)

**Definition 24.** For field  $K$ , an elliptic curve over  $K$  is  $Y^2 = X^3 + aX + b$  where  $a, b \in K$  s.t  $\Delta_E = 4a^3 + 27b^2 \neq 0$ .

$E(K) = \{(x, y) \in K \times K \mid Y^2 = X^3 + aX + b \in K\} \cup \{\mathcal{O}\}$  is an abelian group under  $\oplus$ .

**Example.**  $E(\mathbb{F}_p) = \{(x, y) \in \mathbb{F}_p^2 \mid Y^2 = X^3 + aX^2 + b \pmod{p}\} \cup \{\mathcal{O}\}$

$E = y^2 = x^3 + x + 1, K = \mathbb{F}_p$

$x$	$x^3 + x + 1$	$y$ s.t $y^2 = x^3 + x + 1$
0	1	$\pm 1$
1	3	X
2	4	$\pm 2$
3	3	X
4	6	X
5	5	X
6	6	X

$E(\mathbb{F}_7) = \{\mathcal{O}, (0, 1), (0, -1), (2, 2), (2, -2)\}$

$(0, 1) \oplus (2, 2)$

$$\lambda = \frac{2-1}{2-0} = \frac{1}{2} = 4$$

$$\implies x_3 = \lambda^2 - x_1 - x_2 = 16 - 0 - 2 = 14 = 0$$

$$\implies y_3 = 1 + 4(0 - 0) = 1$$

$$\implies (0, 1) \oplus (2, 2) = -(0, 1) = (0, -1)$$

### 3.4 Classifying E What kind of groups are we getting?

**Example.**  $E(\mathbb{F}_p)$  is a finite abelian group.  $|E(\mathbb{F}_p)| \leq p^2 + 1$ , but we can do far better, since for each  $x$  coordinate can give us at most 2  $y$  coordinates, so  $|E(\mathbb{F}_p)| \leq 2p + 1$ .

This bound still isn't best, but it's better

**Example.**  $E(\mathbb{R})$  is either  $S^1$  or  $S^1 \times \mathbb{Z}/2$ , where  $S^1$  is the circle group under addition of angles.

Which one it is is detectable based on how many roots  $E$  has. Only 1 compact lie group of dimension 1, which is  $S^1$ .

**Example.**  $E(\mathbb{C})$  is the torus,  $S^1 \times S^1$

**Theorem 25** (Mordell-Weil Theorem).  $E(\mathbb{Q})$  is a finitely generated abelian group  $\implies E(\mathbb{Q}) \cong \mathbb{Z}^r \times T$ , where  $r \geq 0$ , and  $T$  is the torsion group. (which is finite)

**Example.**  $E(\mathbb{Q}) \cong \mathbb{Z}$ , there is a point  $P_0 \in E(\mathbb{Q})$  s.t every point in  $E(\mathbb{Q})$  is  $nP_0$  for some  $n \in \mathbb{Z}$

$nP_0 := P_0 \oplus P_0 \oplus \dots \oplus P_0$  for  $n > 0$ , or  $-P_0 \oplus -P_0 \oplus \dots \oplus -P_0$  for  $n < 0$ .

**Theorem 26** (Mazar, 1977).  $\begin{cases} T \cong \mathbb{Z}/n & n = 1, 2, \dots, 10, 12 \\ T \cong \mathbb{Z}/2 \times \mathbb{Z}/n & n = 2, 4, 6, 8 \end{cases}$

"Mazar is the best number theorist of the 20th century, but I'm a bit biased" - man advised by Mazar.

What about  $r$ ? Called the rank.  $r$  is 0, 50% of the time, and  $r = 1$  50%.  $r \geq 2$  occurs but rarely. Record  $r$  is probably around 30, hypothesis is that  $r$  is unbounded.

There are certain algorithms to compute  $r$  and  $E(\mathbb{Q})$

*Remark 27.* There is a conjectural analytic formula for  $r$ . Birch and Swinnerton-Dyer

## 4 ELLIPTIC CURVES OVER FINITE FIELDS

$E : y^2 = x^3 + ax^2 + b$ , where  $a, b \in \mathbb{F}_p$

How big can  $E(\mathbb{F}_p)$  be?

How to compute?

First approach: for each  $x = x_0$ , look at  $x_0^3 + ax_0^2 + b = \left( \frac{x_0^3 + ax_0^2 + b}{p} \right) + 1$  (Legendre symbol)

$\implies$  if this is a nonzero square, 2 points. For nonsquare, 0 points. zero, 1 point.

$$|E(\mathbb{F}_p)| = \sum_{x_0=0}^{p-1} \left( \frac{x_0^3 + ax_0^2 + b}{p} \right) + 1 + 1 = p + 1 + \sum_{x_0=0}^{p-1} \left( \frac{x_0^3 + ax_0^2 + b}{p} \right) + 1$$

Since  $\left( \frac{a}{p} \right)$  is 1 or -1 equally often, expect sum to be fairly small.

**Theorem 28** (Riemann Hypothesis for elliptic curves over finite fields).  $\left| \sum_{x_0=0}^{p-1} \left( \frac{x_0^3 + ax_0^2 + b}{p} \right) \right| \leq 2\sqrt{p}$ ,

Really called the Hasse Theorem, but Hasse applied to the Nazi party, and Weston doesn't cite Nazis

$$\begin{aligned} N_p &= \#E(\mathbb{F}_p) \\ a_p &= p + 1 - \#E(\mathbb{F}_p) \\ |a_p| &\leq 2\sqrt{p} \\ |\#E(\mathbb{F}_p) - p - 1| &\leq 2\sqrt{p} \end{aligned}$$

*Remark 29.*  $\#E(\mathbb{F}_p) = p + 1$ , where everything cancels out, is the supersingular case.  $\#E(\mathbb{F}_p) = p \rightarrow$  "anomalous primes", discrete log problem is really easy to solve

**4.1 Algorithms to compute  $\#E(\mathbb{F})$**  Given  $E/\mathbb{F}_{101}$ , suppose we have  $P \in E/\mathbb{F}_{101}$  of order 47. This directly implies that  $\#E(\mathbb{F}_p) = 94$ .

Why? Riemann hypothesis tells us that the number of points must be within  $|\#E(\mathbb{F}_p) - 102| \leq 20 \implies 82 \leq \#E(\mathbb{F}_p) \leq 122$ . Lagrange's theorem tells us that, since  $E/\mathbb{F}_{101}$  is finite, then order of  $P$  must divide  $\#E(\mathbb{F}_p)$ . The only number that satisfies both of these properties is 94.

To compute  $\#E(\mathbb{F}_p)$ : find orders of elements until Lagrange forces a unique possible field order via Riemann Hypothesis.

How to find orders?

1) Shanks Baby Step – Giant Step (Collision): take big powers and find collision. Going to take  $O(\sqrt{p})$ , might need to make multiple tries before you get a useful collision

2) Schoof (Elkies + Atkin). Using division polynomials, runs in  $O(\log^6 p)$ . The constants were originally huge, so you need lots of digits for it to be useful/practical.

*Remark 30.* Any finite abelian group can be expressed as the product of finite cyclic groups.  $E(\mathbb{F}_p)$  can be a product of at most two cyclic groups:  $E(\mathbb{F}_p) \cong \mathbb{Z}/st \times \mathbb{Z}/s$ , where  $t$  is large and  $s$  is small. For example, prime  $l|s \approx \frac{1}{l^4}$

**Example.** Another way to look at RH. Take  $y^2 = x^3 - 7x - 6$ . Vary  $p$ , count  $\#E(\mathbb{F}_p)$  for each  $p$ , and compare to Riemann hypothesis

$p$	$\#E(\mathbb{F}_p)$	$p + 1 - \#E(\mathbb{F}_p) \leq 2\sqrt{p}$
2	-	-
3	4	0
5	-	-
7	12	-4
11	8	4
13	16	-2
17	16	2
19	16	4

Middle columns are all multiples of four, the third column will therefore all be even.

*Remark 31.* Wiles (in proving Fermat's Last Theorem) the  $a_p$  are the Fourier coefficients of a modular form

*Remark 32.*  $E(Q)$  infinite  $\iff \prod_p \frac{p}{\#E(\mathbb{F}_p)} = 0$

## 4.2 Elliptic Curve Discrete Log Problem (ECDLP)

**Definition 33.** Take  $P, Q \in E(\mathbb{F}_p)$ . Find  $n$  such that  $Q = n \cdot P$ , where  $n$  is an additive power using the addition law of  $E/\mathbb{F}_p$

**Example.**  $E/\mathbb{F}_{101}$ ,  $y^2 = x^3 + x + 3$ .  $P = (46, 83)$ ,  $Q = (31, 63)$

How do we find  $n$  such that  $Q = nP$ ?  $n = 37$  works. In other words,  $\log_p Q = 37$

We need a basic algorithm to compute  $n \cdot P$  quickly for  $P \in E(\mathbb{F}_p)$ ,  $n > 0$ . "double and add"

**Example.**  $E : y^2 = x^3 + 31x + 1000$  over  $\mathbb{F}_{32003}$

Find  $P$  on  $E(\mathbb{F}_p)$ . Try  $x = 1 \implies y^2 = 1032$ . Compute  $\left(\frac{1032}{32003}\right) = +1 \implies y$  exists.  $y = \pm 21953$ . Take  $P = (1, 21953)$ .

Compute  $1297 \cdot P$ . Decompose it as a power of 2:  $1297 = 1024 + 256 + 16 + 1$ .

$P = (1, 21953)$ .  $P + P = (10821, 20322)$ ,  $4P = 2P + 2P = (\dots)$

$16P = 8P + 8P = (8878, 16557)$

$256P = (19325, 10689)$

$1024P = (13434, 22968)$

$1297P = 1024P + 256P + 16P + P = (544, 26812)$

*Remark 34.* Similar to fast powering, this algorithm can also be adapted to minimize storage requirements.

*Remark 35.* There is no Fermat's Little Theorem here, because we don't know the order of the group

ECDLP: Recover 1297 from  $(544, 26812)$  and  $(1, 21953)$ .

Best known algorithms are collision algorithms taking  $O(\sqrt{p})$  steps. These are slow, which are good for cryptographic reasons.

*Remark 36.* For regular discrete log problem, there exist subexponential algorithms for general prime  $p$ . Additionally, there exist this idea of "bad primes"  $p$ , which we are able to break even faster. Here, the best algorithm is obviously exponential.

*Remark 37.* In essence, Shor's algorithm is really good at computing orders of elements mod  $p$  very quickly

## 4.3 Collision Algorithms These are essentially an adaptation of Baby Step – Giant Step

$S$  finite set,  $\#S = N$ . Define  $f : S \rightarrow S$  that is "sufficiently random".

**Example.**  $S = \mathbb{Z}/n$ ,  $f(x) = x^2 + 1$ .

We are more interested in  $S = E(\mathbb{F}_p)$

Given  $P, Q \in E(\mathbb{F}_p)$

$$F(A) = \begin{cases} A + P & x \equiv 1 \pmod{3} \\ 2A & x \equiv 2 \pmod{3} \\ A + Q & x \equiv 0 \pmod{3} \end{cases} \quad \text{for } A \in E(\mathbb{F}_p) = (x, y), 0 \leq x \leq p-1$$

**Idea:** Fix  $x_0 \in S$ .  $x_1 = f(x_0)$ ,  $x_2 = f(x_1)$ ,  $\dots$ , discrete dynamical system

After repeatedly nesting  $f$ , you must eventually see some element repeat (because we are dealing with a finite set). Call the first point in the cycle you see  $x_T$ , the last point in the cycle  $x_{T+M-1}$ , and then  $x_T$  repeats as  $x_{T+M}$ , where  $T$  and  $M$  are minimum

*Remark 38.* In Chapter 5, How large to you expect  $T$  to be?  $M + T \approx O(\sqrt{N})$

**4.4 Pollard's factorization algorithm** Assume we have  $n = pq$ ,  $S = \mathbb{Z}/n$ ,  $f(x) = x^2 + 1$ .  $x_0 = 1$

Suppose  $x_{T_n} = x_{T_n+M_n}$  is the first repeat mod  $n$ ,  $T_n = O(\sqrt{n})$ . Probably, we get a repeat mod  $p$  (or  $q$ ) much much sooner:  $x_{T_p} = x_{T_p+M_p}$ ,  $T_p = O(\sqrt{p}) = O(n^{1/4})$ . Take  $\gcd(x_{T_p} - x_{T_p+M_p}, n) = p$ , and we can probably recover something.

There are really three pictures here, your  $\rho$  mod  $n$ , mod  $p$ , and mod  $q$ .

**Implementation Problems:** You need to compute  $\gcd(x_i - x_j, n)$  for every pair  $i, j$ , because we have no idea where this repeat is going to be. This becomes a huge number as  $i$  increases. Additionally, you have to store every point, which is infeasible.

**Definition 39** (Pollard  $\rho$ -method). Traverse twice. Start with  $x_0 = y_0$ , and compute  $x_i = f(x_{i-1})$ ,  $y_i = f(f(y_{i-1}))$ . At each step, compute  $\gcd(x_i - y_i, n)$ . If it fails, throw it away. If it works, we have  $x_T = x_{M+T}$ .

**Example.**  $n = 31861$ ,  $f = x^2 + 1$ ,  $x_0 = 1$

$i$	$x_i$	$y_i$	$\gcd(x_i - y_i, n)$
0	1	1	$n$
1	2	5	1
2	5	677	1
3	26	29508	1
4	677	27909	151

Unless we get unlucky, and  $q$  hits at the exact same moment, we have that 151 is a factor of  $n$ .

Running time depends on the smallest prime factor  $O(\sqrt{p}) \stackrel{?}{=} O(n^{1/4})$ . If  $p$  is much smaller, then it runs much better

*Remark 40.* This assumes  $n$  exists. This is very bad at deciding if  $n$  exists, so we're only going to apply it if we know there is one.

In practice with our elliptic curve, we calculate

$$\begin{aligned} A_0 &= P & B_0 &= P \\ A_1 &= f(A_0) & B_1 &= F(F(B_0)) \\ A_2 &= f(A_1) & B_2 &= F(F(B_1)) \\ &\vdots & &\vdots \end{aligned}$$

where at each step we check if  $A_i = B_i$

*Remark 41.*  $A_i = a_i P + a'_i Q$ ,  $B_i = b_i P + b'_i Q$

Once we have our collision, we can regroup terms:  $A_i = B_i \implies a_i P + a'_i Q = b_i P + b'_i Q \implies (a_i - b_i)P = (b'_i - a'_i)Q$ .

*Remark 42.* You need to know the order of  $P \in E(\mathbb{F}_p)$ , denoted  $R$ . Note that there is a separate algorithm for that, which we do not have yet. What we have done is, using order of  $P$  and RH, determine  $\#E(\mathbb{F}_p)$

Reduce  $a_i, b_i \pmod{R}$

If  $\gcd(b'_i - a'_i, R) = 1$  then set  $c \equiv (b'_i - a'_i)^{-1} \pmod{R}$ , so  $c(a_i - b_i)P = Q$

Expected running time:  $O(\sqrt{\#E(\mathbb{F}_p)}) = O(\sqrt{p})$



*Remark 43.* This is the best known general algorithm. Again, there are some cases which can be done quite fast (anomalous and supersingular), which we want to avoid.

**4.5 Elliptic Curve Diffie-Hellman (ECDHP)** Recall that the goal of Diffie-Hellman is for two parties to agree on a secret key over a public channel

**Public:** prime  $p$ , elliptic curve  $E/\mathbb{F}_p$ , and point  $P \in E(\mathbb{F}_p)$

Alice and Bob want to agree on some sort of secret key. They each choose some large integer  $n_A$  and  $n_B$ . Then compute  $Q_A = n_A \cdot P$  and  $Q_B = n_B \cdot P$ . Alice sends  $Q_A$  to Bob, and Bob sends  $Q_B$  to Alice. Alice computes the left hand side,  $n_A Q_B = n_A n_B P = n_B Q_A$ , and Bob computes the right hand side, which are of course equal.

*Remark 44.* In some cases you will want your key to be a point. In some contexts you want it to be a certain value, in which case you can just pick a coordinate or the sum.

If Eve can solve ECDLP, she recovers  $n_A$  and  $n_B$  from  $Q_A, Q_B$  (and knowing  $p, E, P$ ) can compute  $n_A Q_B = n_B Q_A$ . Therefore ECDLP solves ECDHP. There is no known way to approach ECDHP without ECDLP.

**Example.**  $p = 2411$ .  $E : y^2 = x^3 + 83x + 1137$ .  $P = (10, 571)$

Alice chose  $n_A = 1211$ , Bob chose  $n_B = 693$ . Using fast powering, they compute  $n_A P = (401, 1439)$  and  $n_B P = (1312, 802)$ , and then they each compute  $n_A Q_B = n_B Q_A = n_A n_B P = (116, 988)$

**4.6 Elliptic Curve El Gamal** Again, we're just going to adapt ECDHP to be a cryptosystem.

Choose prime  $p$ , elliptic curve  $E/\mathbb{F}_p$ , and point  $P \in E(\mathbb{F}_p)$

Alice chooses  $n_A$  and computes  $Q = n_A P$

**Public:** prime  $p$ , elliptic curve  $E/\mathbb{F}_p$ , and point  $P \in E(\mathbb{F}_p)$ ,  $Q$

Bob wants to send message  $M \in E(\mathbb{F}_p)$ . (It is a lot harder to coerce the message into the curve than a message into an integer). Bob picks  $k$ , and sends  $C_1 = kP$  and  $C_2 = M + kQ$ .

Alice receives them, and computes  $C_2 - n_A C_1 = M + kQ - n_A(kP) = M + kn_A P - n_A kP = M$

Once again, if you can solve ECDLP, then you can break EC El Gamal (which is equivalent to ECDHP)

## 5 LENSTA'S ELLIPTIC CURVE FACTORIZATION

Analogue to Pollard's  $p-1$  algorithm

Factor  $n = pq$ . Find  $L$  such that (for  $\gcd(a, N) = 1$ )  $a^L \equiv 1 \pmod{p}$ ,  $a^Q \not\equiv 1 \pmod{q}$ , so  $p = \gcd(n, a^L - 1)$ . Try  $L = k!$ ,  $k = 1, 2, 3, \dots$ . Works well if  $p-1$  has only smallish prime factors.

**Goal:** Factor  $n = pq$

**Example.**  $n = 187$ ,  $E : y^2 = x^3 + 3x + 7$ ,  $P = (38, 112)$

Our curve is over  $\mathbb{Z}/n$ , which is a problem because it's not a field, and division doesn't work. Things break, but that's what we want.

Start computing  $2P, 3P, 4P, \dots$ , using the normal formulas.  $\lambda = \frac{3x^2 + A}{2y} \equiv \frac{338^2 + 3}{2 \cdot 112} \pmod{n}$ .  $\gcd(224, n) = 1$ , so we're safe.

$x_{2P} = \lambda^2 - 2x_P$ ,  $y_{2P} = \lambda(x_{2P} - x_P) + y_P$ ,  $2P = (43, 126)$ .

Then use secant method for  $3P = 2P + P = (54, 105)$ ,  $4P = 3P + P = (93, 64)$

Why is this useful? Well, when we compute  $5P$ , things break.

$5P = 3P + 2P$ .  $\lambda = \frac{y(3P) - y(2P)}{x(3P) - x(2P)} = \frac{105 - 126}{54 - 43} = \frac{-21}{11} \pmod{n}$ . We cannot compute the inverse of 11 mod  $n$ , meaning that  $\gcd(11, 187) \neq 1$ . The computation fails, but more importantly we have a factor of  $n$ , which is  $\gcd(11, 187) = 11$

**Explanation**  $P \in E(\mathbb{Z}/187)$  can be reduced into both  $P \in E(\mathbb{Z}/11)$  and  $P \in E(\mathbb{Z}/17)$ .  $P \bmod 11 = (5, 2)$  on  $E(\mathbb{F}_{11})$ . Turns out  $5P = \mathcal{O}$ . Our computation failed because a different formula is required to get  $\mathcal{O}$ . We don't need to know 11 is a factor to get their, much less know the order in  $E(\mathbb{F}_{11})$

*Remark 45.* We don't want to compute additively, because if the orders are huge, then this will take a while. However, we can use factorial like Pollard's  $p-1$

Fix  $P = (a, b)$ ,  $a, b \in \mathbb{Z}/n$ . Fix  $A \in \mathbb{Z}/n$ . Set  $B = b^2 - a^3 - Aa$ . Then  $P$  is a point on  $E : y^2 = x^3 + Ax + B$ .

For  $j = 2, 3, 4, \dots$ , let  $Q_1 = P$ , and  $Q_j = j \cdot Q_{j-1} = j!P$ . We still don't have to compute  $j!$ , since we only multiply once at each step, rather than recomputing  $j!$  everytime, just like  $p-1$  method.

If at step  $j$  the computation fails (because the denominator in  $\lambda$  is not relatively prime to  $n$ ), then recover a factor as  $\gcd(n, \text{denominator of } \lambda)$ .

*Remark 46.* If the gcd is  $n$ , pick a new  $P$ ,  $A$ , and start over.

**Example.**  $n = 15811$

Pick  $P = (11, 13)$ , and  $A = 1 \implies B = 14638, E : y^2 = x^3 + x + 14638$

$P = (11, 13), Q_2 = 2P = (174, 13516)$

$3Q_2 = 2Q_2 + Q_2 : \lambda = \frac{3516 - 13}{174 - 11}$ .  $174 - 11 = 163, \gcd(n, 163) = 163$ . Therefore 163 is a factor.

Why did this work?  $15811 = 163 \cdot 97$ .  $P \in E(\mathbb{Z}/n) \implies E(\mathbb{F}_{163})$ , order of 3.

**Running time:** Recall the quadratic sieve was  $O(e^{\sqrt{\log n \log \log n}})$ . Elliptic curve was  $O(e^{\sqrt{2 \log p \log \log p}})$ . If  $p \approx q$ , then the 2 goes away and they are roughly the same. However, if  $p$  is much smaller, then we will find it much quicker.

## 6 FINITE FIELDS

**Recall:** A field  $F$  is a set with binary operations  $+, \cdot$  satisfying all usual algebraic axioms, including that all non-zero elements are units (meaning they have multiplicative inverses)

A finite field is a field with a finite number of elements.

**Example.**  $\mathbb{Z}/n$

The units in here are the numbers relatively prime to the modulus  $n$ . If we want all nonzero elements to be units, then we obviously want  $n$  to be prime, which we typically denote  $\mathbb{F}_p = \mathbb{Z}/p$ .

**6.1 Preliminaries** Are there other finite fields? Yes there are, there are infinitely many, but there aren't that many.

**Theorem 47.** *There exists a field of size  $n$  if and only if  $n = p^k$  for a prime  $p$  and  $k \geq 1$*

**Theorem 48.** *If  $F$  and  $F'$  are two finite fields with the same order, then they are isomorphic*

Write  $\mathbb{F}_p^n$  for "the" field of  $p^n$  elements

**Why are we doing this?** It is very easy for a computer to play with  $\mathbb{Z}/2$ , but it's not very big. However, if you can pass it  $\mathbb{F}_2^{100}$ , that's a lot bigger and a lot more complicated. Also when we get to torsion points, it's a lot easier to fix  $p$  and vary  $n$ .

**Example.** Build using polynomial rings

$\mathbb{F}_p[X]$  = ring of polynomials in  $X$  with coefficients in  $\mathbb{F}_p$ . Elements look like  $a_n X^n + a_{n-1} X^{n-1} + \dots + a_0 \in \mathbb{F}_p[X], a_i \in \mathbb{F}_p$

We have a theory of divisibility:  $f|g \iff fg = g$  for some  $h \in \mathbb{F}_p[X]$ .

If we have  $f, g \in \mathbb{F}_p[X], g \neq 0$ . Then there exist a pair of polynomials  $q$  and  $r$  with  $\deg r < \deg g$  such that  $f = gq + r$ . This directly implies unique factorization into irreducible polynomials in  $\mathbb{F}_p[X]$ .

**Definition 49.**  $f \in \mathbb{F}_p[X]$  is irreducible if  $f$  has no non-constant divisors of smaller degree

My note: this definition is for  $\mathbb{F}_p$  since it is a field, this is weaker than general irreducibility.

**Example.**  $p = 2$ , irreducible polynomials

Degree 0, none. Degree 1,  $X, X + 1$ .

Degree 2 has four polynomials:  $x^2 = x \cdot x$ ,  $x^2 + x = x(x + 1)$ ,  $x^2 + 1 = (x + 1)^2$ , and  $x^2 + x + 1$ , which is irreducible.

We want to look for polynomials with a non-zero constant term, and an odd amount of terms. Degree 3 has two such:  $x^3 + x^2 + 1$  and  $x^3 + x + 1$ .

Now with degree 4, we don't need to have a root to reduce. The four without roots are  $x^4 + x^3 + 1$ ,  $x^4 + x^2 + 1$ ,  $x^4 + x + 1$ , and  $x^4 + x^3 + x^2 + x + 1$ . However, the only way to get a degree 4 as a product of irreducible quadratics is to square our only irreducible quadratic, getting  $(x^2 + x + 1)^2 = x^4 + x^2 + 1$

You can use induction and unique factorization to get a precise formula for the number of irreducible polynomials of any degree.

**6.2 Constructing a finite field of prime power size** Find irreducible polynomial  $f \in \mathbb{F}_p[X]$  with  $\deg f = n$ .

Quotient rings  $\mathbb{F}_p[X]/f(x)$  are polynomials modulo  $f$ . For  $g, h \in \mathbb{F}_p[X]$ :  $g + h$  is still degree  $< n$ , so it's already in here.  $g \cdot h$ , apply division to  $gh$  and  $f$  to get  $r$ 's degree down below  $f$ 's. Set  $g \cdot h = r$ . Then this is "the" finite field  $\mathbb{F}_{p^n}$

**Example.**  $\mathbb{F}_4 = \mathbb{F}_2[X]/(x^2 + x + 1)$

elements are  $\{0, 1, X, X + 1\}$ . Most are obvious except  $x \cdot x = x^2 = 1(x^2 + x + 1) + x + 1$ , so  $x^2 = x + 1$ .  $(x + 1)^2 + (x^2 + x + 1) + x$ , and  $x(x + 1) = (x^2 + x + 1) + 1 = 1$

**Example.**  $\mathbb{F}_{27} = \mathbb{F}_3[X]/(x^3 + 2x + 1)$

The elements will be quadratic polynomials with coefficients in  $\mathbb{F}_3$

*Remark 50.* Finite fields are cyclic, so  $\mathbb{F}_{27}$  will have an element with order 26.

*Remark 51.* Fix  $n \geq 1$ , and take  $x^{p^n} - x$ , this factors exactly as a product of every irreducible polynomial of degree dividing  $n$

*Remark 52.*  $\mathbb{F}_{p^2}$ . If  $p \equiv 3 \pmod{4}$  then  $\left(\frac{-1}{p}\right) = -1$  (-1 is not a square mod  $p$ ) It follows that  $x^2 + 1$  is irreducible in  $\mathbb{F}_p[X]$ .

$p \equiv 3 \pmod{4}$ ,  $\mathbb{F}_{p^2} = \mathbb{F}_p[X]/x^2 + 1 = \mathbb{F}_p[i] = \{a + bi | a, b \in \mathbb{F}_p\}$ ,  $i^2 = -1$ .

## 7 ELLIPTIC CURVES OVER FINITE FIELDS (AGAIN)

$E : y^2 = x^3 + ax + b$ , with  $a, b \in \mathbb{F}_{p^n}$ . We always assume  $a, b \in \mathbb{F}_p$ .  $\Delta = -4a^3 - 27b^2$ ,  $\Delta \neq 0$

This is where the book concedes that this formula for  $\Delta$  is incomplete.  $\Delta = 16(-4a^3 - 27b^2)$  so if  $p = 2$ ,  $\Delta = 0$  automatically.

Generalized Weierstrass equations  $y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$ ,  $a_i \in \mathbb{F}_p$ . Equation for  $\Delta$  is really complicated in terms of  $a$ 's, but we need it to not be zero.

The group law still works, formulas are just more complicated.

Look at  $E(\mathbb{F}_{p^n})$  as  $n$  varies

**Example.**  $p = 3$ ,  $E : y^2 = x^3 + x + 1$

$n$	$\#E(\mathbb{F}_{3^n})$
1	4
2	16
3	28
4	64
5	244
6	784

We still have the Riemann hypothesis, but for  $|\#E(\mathbb{F}_{p^n}) - p^n - 1| \leq 2p^{n/2}$

**More precise statement:**  $t = p + 1 - \#E(\mathbb{F}_p)$  so  $|t| \leq 2\sqrt{p}$ . Consider  $x^2 - tx + p$ .

Let  $\alpha, \beta \in \mathbb{C}$  be the complex roots  $x^2 - tx + p = (x - \alpha)(x - \beta)$ . Note this means  $\alpha + \beta = t$ , and  $\alpha\beta = p$ .

Then  $\#E(\mathbb{F}_{p^n}) = p^n + 1 - \alpha^n - \beta^n$

**Example.**  $p = 3$

$$z^2 + 3 = (z + \sqrt{3}i)(z - \sqrt{3}i), \alpha = \sqrt{3}i, \beta = -\sqrt{3}i$$

$$\#E(\mathbb{F}_{3^n}) = 3^n + 1 - (\sqrt{3})^n - (-\sqrt{3})^n = \begin{cases} 3n + 1 & n \equiv 1 \pmod{2} \\ 3^n - 2 \cdot 3^{n/2} + 1 & n \equiv 0 \pmod{4} \\ 3^n + 2 \cdot 3^{n/2} + 1 & n \equiv 2 \pmod{4} \end{cases}$$

First case is easy because the negative remains, and  $\alpha$  and  $\beta$  powers cancel. For the second and third, it is a matter of what value  $i$  takes on.

**Example.**  $p = 3, y^2 = x^3 + x + 1$

$E(\mathbb{F}_{3^{1000}})$ .  $1000 \equiv 0 \pmod{4}$ ,  $\# = 3^{1000} - 2 \cdot 3^{500} + 1$ . This says nothing about the group structure, but we know size.

*Remark 53.* Consider  $\alpha$  and  $\beta$ . The Riemann hypothesis that  $|t| \leq 2\sqrt{p} \iff a, b \notin \mathbb{R}$ .

The key fact to this is that  $\alpha\beta = p$ , so if they are not real, they must be complex conjugates with modulus  $\sqrt{p}$ , and must add up to  $t$

**7.1 Frobenius Map** No one ever seems to know his first name, he's just Frobenius, since his last name is cool.

**Definition 54** (Frobenius Map).  $\tau : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$ , where  $\tau(\alpha) = (\alpha^n)$ .

**Lemma 55.** This is a ring homomorphism

$$\tau(\alpha\beta) = (\alpha\beta)^p = \alpha^p \beta^p = \tau(\alpha)\tau(\beta)$$

$$\tau(\alpha + \beta) = (\alpha + \beta)^p = \alpha^p + \dots + \beta^p = \alpha^p + \beta^p \text{ since all of the middle terms are divisible by } p.$$

This is an automorphism, is an element of the Galois group of this extension and so on :D.

*Remark 56.*  $\tau(\alpha) = \alpha^p = \alpha$ .  $\alpha$  is a root of  $x^p - x = x(x-1)(x-2)\dots(x-(p-1))$ , so  $\alpha \in \{0, 1, \dots, p-1\} \implies \alpha \in \mathbb{F}_p$

## 8 WEIL PAIRING

$E/\mathbb{F}_p$ , Fix  $m \geq 2$ . Find  $k$  such that  $E(\mathbb{F}_p)[m] \cong \mathbb{Z}/m \times \mathbb{Z}/m$ . Then pairing  $e_m : E(\mathbb{F}_p)[m] \times E(\mathbb{F}_p)[m] \rightarrow \mathbb{F}_{p^k}^\times$

**8.1 Key Properties**  $P, Q \in E(\mathbb{F}_{p^k})[m]$

- $e_m(P, Q)^m = 1$
- $e_m(P, P) = 1$
- $e_m(P_1 + P_2, Q) = e_m(P_1, Q) \cdot e_m(P_2, Q)$ , same for  $Q$
- Non-degeneracy  $e_m(P, Q) = 1$  for all  $Q \in E[m] \implies P = \mathcal{O}$

$e_m(P, Q) = \frac{f_P(Q+S)}{f_P(S)} \frac{f_Q(-S)}{f_Q(P-S)}$  Where  $S$  is a random point on  $E(\mathbb{F}_{p^k})$ ,  $f_P$  and  $f_Q$  are rational functions on  $E$  such that  $\text{div}(f_P) = n[P] - n[O]$  and  $\text{div}(f_Q) = n[Q] - n[O]$

**8.2 MOV Algorithm ECDLP:** Given  $P, Q$  find (if it exists)  $n \leq 1$  such that  $Q = nP$ .

**DLP in  $\mathbb{F}_{p^k}$**  Given  $\alpha, \beta \in \mathbb{F}_{p^k}$  find (if it exists)  $n \leq 1$  such that  $\beta = \alpha^n$  "Index calculus" method subexponential.

To compute  $e_m$ , need a fast algorithm to find  $f_P, f_Q$

1)  $P, Q \in E(\mathbb{F}_{p^k})$ ,  $\lambda = \text{slope of line } \overline{PQ}$

$$\lambda = \begin{cases} \frac{y_Q - y_P}{x_Q - x_P} & x_Q \neq x_P \\ \frac{3x_P^2 + 1}{2y_P} & P = Q \\ \infty & P = -Q \end{cases}$$

Define a rational function  $f_n$  on  $E$ .

$$g_{P,Q} = \begin{cases} \frac{y - y_P - \lambda(x - x_P)}{x + x_P - x_Q - \lambda^2} & \lambda \neq \infty \\ x - x_P & \lambda = \infty \end{cases}$$

**Claim**  $\text{div}(g_{P,Q}) = [P] + [Q] - [P + Q] - [O]$