MATH 412: RINGS AND MODULES

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1 RINGS AND FIELDS

Definition 1. A Ring R is a set with 2 binary operations + and · that satisfy the following axioms

- 1. (R, +) is an abelian group: associative, commutative, existence of identity and inverses
- 2. Multiplication is associative
- 3. $\forall a, b, c \in R : a \cdot (b+c) = a \cdot b + a \cdot c$ (left distributive) and $(a+b) \cdot c = a \cdot c + b \cdot c$ (right distributive)

 $\textbf{Definition 2.} \ \, \text{A subset S of a ring R is called a subring if S is a ring with respect to the binary operations of R}$

Definition 3. A ring R is commutative if multiplication is also commutative

Remark 4. (R, \cdot) is almost never a ring since 0 (the general additive identity) is almost never invertible with respect to \cdot

Example 5 (Non-commutative rings). $Mat_n(\mathbb{R})$ with generic element, addition, and multiplication defined as

$$A = \begin{pmatrix} \alpha_{11} & \dots & \alpha_{1n} \\ \vdots & \ddots & \vdots \\ \alpha_{n1} & \dots & \alpha_{nn} \end{pmatrix} \in Mat_n(\mathbb{R})$$

$$\begin{aligned} &(a_{ij}) + (b_{ij}) = a_{ij} + b_{ij} \\ &(a_{i1} \ldots a_{in}) \cdot \begin{pmatrix} b_{1j} \\ \vdots \\ b_{nj} \end{pmatrix} = \left(a_{i1}b_{1j} + \ldots + ainb_{nj}\right) \end{aligned}$$

Example 6 (Rings of functions). $F = \{f | f : \mathbb{R} \to \mathbb{R}\}$ (f+g)(x) = f(x) + g(x)

 $(f \cdot g)(x) = f(x)g(x)$

Definition 7. R is a ring with unity 1 if $\forall a \in R : a \cdot 1 = 1 \cdot a$

Note that rings don't necessarily have unity. For example, $(2\mathbb{Z}, +, \cdot)$ has no unity, but satisfies all ring axioms

Remark 8. $(\mathbb{Z}_n, +)$ is cyclic abelian group with generator 1. 1 is also unity for modular multiplication

Definition 9 (Direct Product of Rings). For R, S, rings, we define the direct product of R and S

 $R \times S = \{(r, s) | r \in Rs \in S\}.$ (r, s) + (r', s') = (r + r', s + s')(r, s)(r', s') = (rr', ss')

Definition 10. For rings R, S a function $\phi : R \to S$ is a homomorphism if $\forall a, b \in R$, $\phi(a+b) = \phi(a) + \phi(b)$ and $\phi(ab) = \phi(a)\phi(b)$. An isomorphism is a bijective homomorphism.

2 Fermat's and Euler's Theorems

Definition 11. Define R as a ring with unit 1. $a \in R$ is called a unit if ab = ba = 1 for some $b \in R$.

For example, take $R = Mat_n(R)$. R's unity is the identity matrix Id.

 $A \in R$ is a unit $\iff AB = BA = Id$ for some $B \in Mat_n(R)$

⇔ A is an invertible matrix

 \iff det $A \neq 0$

If $R = \mathbb{Z}_p$, p prime, $x \in \mathbb{Z}_p$ is a unit $\iff x \neq 0$

Exercise 12 (HW). $R^* = \{a \in R | a \text{ is a unit } \}$. R^* is a group w/respect to multiplication

For example, \mathbb{Z}_p^* is a group of order p-1. In every finite group G, the order of every element divides the order of the group (Lagrange Corollary)

 $a^n = 1$ if n = order(G)

Corollary 13 (Fermat's Little Theorem). $x \in \mathbb{Z}_p^* \implies x^{p-1} = 1 \in \mathbb{Z}_p^*$.

Equivalently, $x \in \mathbb{Z}$, $gcd(x, p) = 1 \implies x^{p-1} \equiv 1 \pmod{p}$.

Equivalently, $x \in \mathbb{Z} \implies x^p \equiv x \pmod{p}$. If gcd(p,x) = 1, multiply both sides of the result of Fermat's Little Theorem by p. Otherwise, gcd(p,x) > 1, $x \nmid p$ since p prime, so $p|x \implies x \equiv 0 \pmod{p}$, therefore $x^p \equiv 0 \equiv x \pmod{p}$.

Example 14. Show that $n^{33} - n$ always divisible by 15 for all n.

We want to show that $n^{33} - n$ is divisible by both 3 and 5 individually, which will then imply it is divisible by 15.

If 3|n, then $n^{33} - n$ is trivially divisible by n. Else, gcd(n,3) = 1 since 3 is prime, so by FLT,

$$n^{2} \equiv 1 \pmod{3}$$
$$(n^{2})^{16} \equiv 1^{16} \pmod{3}$$
$$n^{32} \equiv 1 \pmod{3}$$
$$n^{33} \equiv n \pmod{3}$$
$$n^{33} - n \equiv 0 \pmod{3}$$

The proof is same for 5: if 5|n, then it is trivial, else we apply FLT to say that $n^4 \equiv 1 \pmod{5}$, raise both sides to the 8th power, multiply by n, and substract by n.

Example 15. For $R = \mathbb{Z}_n$, $x \in \mathbb{Z}_n$ is a unit $\iff \gcd(x, n) = 1$.

Definition 16. The order of \mathbb{Z}_n^* is $\phi(n)$.

Here, $\phi(n)$ is the Euler totient function, or the number of integers up to n that are coprime to n. This goes with the preceeding example, since this will count exactly the number of elements $\in \mathbb{Z}_n$ such that gcd(x,n)=1, which are therefore exactly the number of units.

For p prime, $\phi(p) = p-1$, since no $d \in \{1,2,\dots p-1\}$ may divide p, since p is prime. $\phi(p^k) = p^k - p^{k-1}$ since the elements that are not coprime to p^k are $\{p,2p,\dots,p^{k-1}p\}$. There are p^{k-1} such values, so the remaining $p^k - p^{k-1}$ values are coprime to p^k .

Theorem 17. n = rs, r, s coprime, $\mathbb{Z} \cong \mathbb{Z}_r \times \mathbb{Z}_s$ (as rings). Implies Chinese Remainder Theorem

Theorem 18. R and S are rings with unity $1 \implies (R \times S)^* \cong R^* \times S^*$

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(a,b) \in R \times S is a unit \iff (a,b)*(c,d) = (c,d)*(a,b) = (1,1) unity in R \times S for some (c,d) \iff ac = ca = 1 and bd = db = 1 \iff a \in R^* and b \in S^* \iff (a,b) \in R^* \times S^*
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Corollary 19. r, s coprime, $n = rs \implies \mathbb{Z}_n^* \cong \mathbb{Z}_r^* \times \mathbb{Z}_s^*$

Corollary 20. r, s coprime $\phi(n) = \phi(r)\phi(s)$ (multiplicative function)

If r, s are coprime, then the multiples of r and the multiples of s cannot intersect until rs. Therefore, the numbers coprime to rs will be products of numbers $1 \le x \le r$ coprime to r and $1 \le y \le s$ coprime to s, and we can use a combinatorial argument to say that there are $\phi(r)\phi(s)$ such pairs.

Corollary 21. Write
$$n = p_1^{k_1} \cdots p_r^{k_r}$$
. Then $\phi(n) = \phi(p_1^{k_1}) \cdots \phi(p_r^{k_r}) = (p_1^{k_1} - p_1^{k_1}) \cdots (p_r^{k_r} - p_r^{k_r-1})$

This is simply leveraging the preceeding Corollary that $\phi(n)$ is multiplicative, and pairwise breaking up n into seperate $\phi(p_i^{k_i})$ terms.

Corollary 22 (Euler's Theorem). $x \in \mathbb{Z}_n^* \implies x^{\phi(n)} = 1 \in \mathbb{Z}$

Recall that $\phi(n)$ is the order of \mathbb{Z}_n^* . For A = order(x), by Corollary to Lagrange, $o|\phi(n)$, so $\exists n : An = \phi(n)$, and $n^{\varphi(n)} = n^{An} = (n^A)^n = 1^n = 1 \in \mathbb{Z}_n^*$.

Theorem 23. $\mathbb{Z}_{\mathfrak{p}}^*$ is a cyclic group

The proof will come later. For now, we can use this to say Z_p^* has a generator or that Z_7^* has a generator

Example 24. Determine existence of solutions for, and determine solutions of an equation (congruence) $ax = b \in \mathbb{Z}_n$.

MAGMA: Solution(a, b, n) returns sequence of solutions if they exist, and -1 if no solution.

To determine $d := \gcd(a,n)$, $ax \equiv b \pmod n \implies d|b$. In other words, $ax + ny = b \implies ax + ny \equiv 0 \equiv b \pmod d$.

If $d \nmid b$ then there are no solutions. Else, a = a'd, b = b'd, n = n'd. $ax \equiv b \pmod{n}$, so $a'd \equiv b'd \pmod{n'd}$. Divide the equivalent Diophantine equation by d to obtain $a'x \equiv b' \pmod{n'}$. gcd(a', n') = 1 (else d < gcd(a, n)) so a is invertible in $Z_{n'}$. $1 \equiv a'c'$ in $\mathbb{Z}_{r'}$

Multiply both sides of $a'x \equiv b' \pmod{n'}$ by c' to get $a'c'x \equiv x \equiv b'c' \pmod{n'}$. This allows us to conclude that x is unique modulo n', but not necessarily unique modulo n = n'd. Solutions modulo $n : x, x + n', x + 2n' \dots, x + (d-1)n'$. Therefore, the congruence will either have there are either 0 or d solutions.

3 FIELD OF FRACTIONS

 $\mathbb{Z} \subset \mathbb{Q}$. \mathbb{Z} is an integral domain, \mathbb{Q} is a field. There is a little bit more than an integral domain being imbedded in a field, since \mathbb{Z} is also imbedded in \mathbb{R} and \mathbb{C} .

Remark 25. $\forall q \in \mathbb{Q}$ can be written as $\frac{n}{m}$, $n, m \in \mathbb{Z}$

We can call this "the most economical field including \mathbb{Z} .

Theorem 26. Let R be an integral domain. Then there exists a field K, called is the field of fractions of R, such that

- 1. R contained in K
- 2. $\forall x \in K$ can be written as $x = \frac{r}{s}$, $r, s \in R$

Understand R in terms of it's field of fractions.

Might be easier to solve Diophantine equations in terms of rationals, then make sense of integral solution.

To prove, we need to

- 1. Construct K
- 2. Check that all conditions in the theorem are satisfied

Let S be the set of pairs $(r, s), r, s \in R, s \neq 0$

Define an equivalence relation on S: $(r, s) \sim (r', s')$ if rs' = r's

Define K as set of equivalence classes of pairs (r, s)

Check conditions of equivalence relation ~:

$$(r, s) \sim (r, s)$$
 since $rs = rs$

$$(r,s) \sim (r's') \iff (r',s') \sim (r,s)$$
 givens $rs' = r's$ and $r's = rs'$, which are obviously the same

$$(\mathbf{r},\mathbf{s}) \sim (\mathbf{r}',\mathbf{s}')$$
 and $(\mathbf{r}',\mathbf{s}') \sim (\mathbf{r}'',\mathbf{s}'') \stackrel{?}{\Longrightarrow} (\mathbf{r},\mathbf{s}) \sim (\mathbf{r}'',\mathbf{s}'')$

R integral domain \implies cancelation law

Define L as the set of equivalence classes of pairs (r, s)

Let's define a fraction $\frac{r}{s}$ as the equivalence class of that contains a pair (r,s)

Define binary operations on K

•
$$\frac{rs' + r's}{ss'}$$

•
$$\frac{\mathbf{r}}{\mathbf{s}} \cdot \frac{\mathbf{r}'}{\mathbf{s}'} = \frac{\mathbf{r}\mathbf{r}'}{\mathbf{s}\mathbf{s}'}$$

Need to check that these operations do not depend on which element of the equivalence classes that we select.

Need to check that K satsifies ring axioms

check field axioms

Need to imbedd R

Every element of K is written as a rs^{-1} , with $r, s \in R$

Check distributivity, find what are 0 and 1 in K, check field unit axiom, Embed into into using i(r) := r/1

4 Polynomial Rings

Definition 27. R is a ring, then $R[X] = \{\text{polynomials in } X \text{ with coefficients in } R\}$ = $\{a_0 + a_1x + a_2x^2 + ... | a_i \in R \text{, finitely many nonzero } a_i\}$

Every $f \in R[X]$ determines a function $R \to R$, $r \to f(r) = a_0 + a_1 r + a_2 r^2 + \dots$

Remark 28. In algebra, two different polynomials can define the same function with coefficients in an arbitrary ring.

 x^p , $x \in \mathbb{Z}_p[X]$, p prime. different polynomials, but the functions are the same $\mathbb{Z}_p \to \mathbb{Z}_p$ beacuse $r^p = r$ because $\forall r \in \mathbb{Z}_p$ by FLT

Suppose $R \subset S$ (subring). $f(x) \in R[X]$. We can also view f as an element of $S[X] \implies$ we can evaluate $f(s), s \in S$. Therefore, we have to be careful to specify what ring we're working with for coefficients.

Definition 29. $f(x) \in R[X]$. $r \in R$ is called a zero of f(x) if f(r) = 0. Alternatively called a root.

 $x^2 + 1$ has no roots in $\mathbb{R}[X]$, but has two roots in $\mathbb{C}[X]$, $\pm i$

 $x^2 - 2 = 0$ has no solution in $\mathbb{Q}[X]$, but has two roots in $\mathbb{R}[X]$

Definition 30 (Rational Zeros Theorem). $f(x) = a_0 + a_1x + ... + a_nx^n \in \mathbb{Z}[X]$. If $f(\frac{p}{q}) = 0$, gcd(p,q) = 1, then $p|a_0$ and $q|a_n$.

Lemma 31. R[X] *is a ring*

$$\begin{array}{l} (a_0 + a_1 x + \ldots) + (b_+ b_1 x + \ldots) = (a_0 + b_0) + (a_1 + b_1) x + \ldots \\ (R,+) \text{ is an abelian group } \Longrightarrow (R[X],+) \text{ is an abelian group} \\ (a_0 + a_1 x + \ldots) (b_+ b_1 x + \ldots) = (a_0 + b_0) = (\sum\limits_{i \geqslant 0} a_i x^i) (\sum\limits_{j \geqslant 0} b_j x^j) = \sum\limits_{i,j} = a_i b_j x^{ij} \end{array}$$

Remark 32. Fix $r \in R$. $R[X] \to R$ evalutation map, $f(x) \to f(r)$, is not always a homomorphism unless the ring is commutative

 $f(x) \to f(r), g(x) \to g(r), f+g \to f(r)+g(r)$ okay since + abelian, but $fg \to f(r)g(r)$ may not work if we don't know commutativity holds. $(a_0+a_1r+\ldots)(b_0+b_1x+\ldots) \iff (a_0+a_1x+\ldots)(b_0+b_1r+\ldots)$ with r placed in for X after multiplying polynomials, $a_1rb_1r \neq a_1b_1r^2$ unless R is a commutative ring.

Definition 33. A factorization of $f(x) \in R[X]$ is $f(x) = p_1(x) \cdots p_k(x)$, $p_i \in R[X]$. Suppose R is commutative $\Rightarrow p_i(r) = 0$ for some $i \Rightarrow f(r) = 0$ (b.c $f(r) = p_1(r) \cdots p_k(r)$).

If R is an integral domain \implies if $f(r) = 0 \implies p_i(r) = 0$ for some i

Remark 34. Fields are the easiest rings. The next "easiest" ring is F[X], where F is a field

Definition 35 (Long Division of Polynomials). F field, $f, g \in R[X], g \neq 0 \implies$ we can write f = qg + r, where deg(r) < deg(g) or r = 0.

 $\mathbb{Z}_5[X]$

5 Group Work 2

Remark 36. If $\phi_p(x)$ has a root in \mathbb{Z}_q , then $\phi_p(x)$ factors as a product of linear factors.

$$x^p-1=(x-1)\varphi_p(x) \implies \varphi_p(x) \text{ has root 1 or has root } \alpha \in \mathbb{Z}_q, \alpha \neq 1.$$

$$\text{If } \varphi_p(1) = 1 + 1 + \ldots + 1 = \mathfrak{p} = 0 \, \, (\text{mod } \, \mathfrak{q}) \text{, then } \mathfrak{p} = \mathfrak{q}. \, \, x^\mathfrak{p} - 1 \in \mathbb{Z}_\mathfrak{p}[x] = (x-1)^\mathfrak{p} \implies \varphi_\mathfrak{p}(x) = (x-1)^{\mathfrak{p}-1}$$

 $\phi_p(x)$ has root $\alpha \neq 1 \in \mathbb{Z}_q$. $\alpha^p = 1 \in \mathbb{Z}_q$. \mathbb{Z}_q^* is a cyclic group of order q-1. $<\alpha>\subset \mathbb{Z}_q^*$, which has p elements, so p|q-1. Has $\alpha,\alpha^2,\ldots,\alpha^{p-1}$, all of which have order p by Corollary to Lagrange. So there are all roots of $x^p-1 \implies$ they are all roots of $\phi_p(x) \implies \phi_p(x)$ factors as $(x-\alpha)(x-\alpha^2)\cdots(\alpha-\alpha^{p-1})$, which is a product of linear factors.

Start with $f(x) + x^d + ... \in \mathbb{Z}[x]$. Assume f(x) is irreducible $\mathbb{Z}[x]$.

Theorem (Chebotarev density Theorem). Every type of the factorization is possible over some \mathbb{Z}_p . This happens infinitely often.

$$\lim_{N\to\infty} \frac{\text{\# of all primes }\leqslant N \text{ with a specific factorization type}}{\text{\# all primes }\leqslant N}$$

$$\label{eq:special_special} \begin{split} & \text{Irreducible polynomial } x^d + \ldots \in \mathbb{Q}[x] \to \text{Galois group} \subset S_d. \text{ Density of primes that give a complete factorization} \\ & \text{of } f(x) \text{ into linear factors} = \frac{1}{|\text{Galois group}|}. \end{split}$$

 $G\subset S_5 \ |G| \ divides \ |S_5|=120. \ \frac{1}{|G|}\sim \frac{2}{95}\sim \frac{1}{47}.$

$$x^5 + 2z + 2 \to \frac{9}{1040} \sim \frac{1}{115} \sim \frac{1}{120} \implies G = S_5$$

6 Homomorphisms, Ideals, and Quotient Rings

6.1 Homomorphisms

Definition 37. $\phi : R \to S$ is a homomorphism of rings iff

- ϕ is a homomorphism of abelian groups with respect to addition: $\phi(\alpha+b) + \phi(\alpha) + \phi(b)$
- $\phi(ab) = \phi(a)\phi(b)$

Definition 38. All the set of all elements $r \in R$ such that $\phi(r) = 0$ is called the **kernel**, which will be an abelian subgroup of the ring R.

Take $r \in R$, $s \in \text{Ker}\phi$. Then $\phi(rs) = \phi(r)\phi(s) = \phi(r)0 = 0 = 0$, $\phi(r) = \phi(s)\phi(r) = \phi(sr)$, so rs, $sr \in \text{Ker}\phi$.

6.2 Ideals

Definition 39. A subset $I \subset R$ is called an **ideal** if

- I is an abelian subgroup with respect to addition
- If $r \in R$ and $s \in R \implies rs, sr \in I$.

Corollary 40. For any homomorphism $\phi : R \to S$, Ker ϕ is an ideal

Example. The abelian subgroups of \mathbb{Z} are $n\mathbb{Z}$. If you take $r \in \mathbb{Z}$ and $s \in n\mathbb{Z}$, then s = nk, and $rs = rnk = n(rk) \in n\mathbb{Z}$.

Corollary 41. All ideals in \mathbb{Z} are of the form $I = n\mathbb{Z}$.

 $n\mathbb{Z} \text{ is the kernel of the homomorphisms } \varphi: \mathbb{Z} \to \mathbb{Z}_n \text{ where } \varphi \text{ maps } \mathfrak{m} \to \mathfrak{m} \pmod{\mathfrak{n}}$

Example. $R_1 \times \{0\} = R_1 \times R_2$ is an ideal as well. $(s,0) \cdot (r_1,r_2) = (sr_1,0)$, and $(r_1,r_2) \cdot (s,0) = (r_1s,0)$. This is the kernel of $\phi : R_1 \times R_2 \to R_2$, where ϕ maps $(r_1,r_2) \to r_2$.

Let R be any ring. Then R always has at least two ideals: R (improper ideal) and {0} (trivial ideal).

Remark 42. Every ideal of a field F is either F or {0}.

Let $I \subset F$ be an ideal. If $I = \{0\}$, we're done. Suppose $I \neq \{0\}$. Then exists $x \in I$. So $x^{-1} \in F \implies x^{-1}x = 1 \in I$. Then take any $y \in F$, $y \cdot 1 = y \in I$. Therefore F = I.

Corollary 43. $I \subset R$ is an ideal in a ring with unity. $u \in I$ is a unit $\implies I = R$.

Example. R = R[x], F is a field. $I = \{f \in R : f(1) = 0\}$. This is an ideal, because $f \in F$ and $g \in I$, then $f(1)g(1) = f(1)0 = 0 \in I$. Alternatively, $\phi : F[X] \to F$ where $\phi(f(x)) \to f(1)$.

 $f(x) \in I \iff f(1) = 0 \iff f(x) = (x-1)g(x) \implies I = \{r(x) : f(x) = (x-1)g(x)\} = (x-1)F[x]$. This looks a *lot* like $n\mathbb{Z}$.

Definition 44. R is a ring. Pick $r \in R$. Then the ideal $I = rR := \{rs : s \in R\}$ is called a **principle ideal**.

I is an abelian group since $rs + rs' = r(s + s') \in I$.

Closure since $rsr' = r'rs = r(r's) \in I$

Definition 45. An integral domain is called a **principle ideal domain** (PID) if every ideal is principle.

Very good example here being \mathbb{Z} , where all ideals are $I = n\mathbb{Z}$.

Take F to be a field. Two ideals: $\{0\}$ (0·F) and F (1·F), therefore both are principle.

Theorem 46. R = F[x] is a PID for every field F.

Take an ideal $I \subset R$. If $I = \{0\}$, then trivial.

Suppose $I \neq \{0\}$. What is the possible generator of I? Choose polynomial $f(x) \in I$ of the smallest possible degree.

Claim: Every $g(x) \in I$ is a multiple of $f(x) \implies I = f(x)R[x]$ principle ideal.

g(x) = f(x)q(x) + r(x). Either r(x) = 0, and we are done, or deg(r) < deg(f). Then r(x) can be written as $g(x) - f(x)q(x) \implies r(x)$ is in the ideal, but this contradicts r(x) having smaller degree than f(x), which is a contradiction. Therefore, $deg(r) = 0 \implies g(x) = f(x)q(x)$.

Remark 47. ϕ is one to one \iff Ker $\phi = \{0\}$

Because this is true for homomorphisms of abelian groups.

Definition 48. For ring R and ideal $I \subset R$ such that $I \neq R$, I is called **maximal** if every ideal J such that $I \subset J \subset R$ is either I or R.

Example. $\{0\} \subset F$ field, $p\mathbb{Z} \subset \mathbb{Z}$ where p prime.

F[x], for F field, is a principle ideal domain. Take $f(x)F[x] \subset F[x]$, where f(x) is an irreducible polynomial $\implies f(x)F[x]$ is a maximal ideal

Example 49. Compute $\mathbb{Z}_2[x]/(x^2+x+1)F[x]$.

What are the cosets? Take $g(x) \in \mathbb{Z}_2[x]$ and take its coset $g(x) + x^2 + x + 1$.

Claim: there are only four cosets. The ideal itself I, 1 + I, x + I, (1 + x) + I

Take any coset g(x) + I. Perform long division $g(x) = (x^2 + x + 1)q(x) + r(x)$, where deg(r) < 2. All possible r(x) are 0, 1, x, x + 1.

7 Unioue Factorization Domains

Define R to be an integral domain.

Definition 50. For $p \in R$ irreducible, if $p = ab \implies a$ or b is a unit

Definition 51. If $(p) \subset R$ is a prime ideal, then $p \in R$ prime.

Recall Euclid's Lemma: $p|ab \implies p|a$ or $p|b \forall a, b \in R$

Remark 52. If p is prime then p is irreducible

Definition 53. An integral domain R is called a unique factorization domain (UFD) if

- 1) Every element can be written as $r = up_1p_2 \cdots p_r$ where u is a unit and p_i are irreducible elements
- 2) Suppose $up_1 \cdots p_r = vq_1 \cdots q_s$, with u,v unit, everything else irreducible, then r=s and after reordering $q_1 \dots q_s$, $p_i = q_i \cdot u$) i for some unit u_i

Remark 54. If R is a UFD, then every irreducible element is prime

 $r \in R$ irreducible. Suppose r|ab, then ab = pc, $c \in R$. Apply factorization to a, b, c: $(up_1 ... p_r)(vq_1 ... q_s) = p(wl_1 ... l_k)$, u, v, w are units

Uniqueness of factorization \implies $p_i = \alpha p$ or $q_i = \alpha p$ for for some i, unit α .

In the first case, then $\mathfrak{a}=\mathfrak{u}\mathfrak{p}_1\dots\mathfrak{p}_{i-1}(\alpha\mathfrak{p})\mathfrak{p}_{i+1}\dots\mathfrak{p}_r\implies \mathfrak{p}|\mathfrak{a}$

Remark 55. Suppose R is an an integral domain where factorization exists. ⇒ one can conclude that, if every irreducible unit is prime, then R is a UFD

Suppose $\mathfrak{up}_1 \cdots \mathfrak{p}_r = \nu \mathfrak{q}_1 \cdots \mathfrak{q}_s$, with \mathfrak{u}, ν unit. Then $\mathfrak{p}_1 | \nu \mathfrak{q}_1 \ldots \mathfrak{q}_s$. $\mathfrak{p}_1 \nmid \mathfrak{u} \implies \mathfrak{p}_1 | \mathfrak{q}_i$ for some i. (Because \mathfrak{p}_1 is irreducible, and here all irreducibles are prime). By rearranging, $\mathfrak{p}_1 | \mathfrak{q}_1$, so $\mathfrak{p}_1 \beta = \mathfrak{q}_1$. \mathfrak{q}_1 irreducible implies β must be a unit. Cancel \mathfrak{p}_1 using integral domain cancelation law: $\mathfrak{up}_2 \ldots \mathfrak{p}_r = (\nu \beta) \mathfrak{q}_2 \ldots \mathfrak{q}_s$. By induction, we are done.

Example. K[X] is a UFD if K is a field.

(1) $f(x) \in K[x]$ is irreducible. We already checked that f(x)K[x] is maximal. But every maximal ideal is prime $\implies f(x)$ is a prime element.

(2) Show existence of factorization: take polynomial $f(x) \in K[x]$. Argue by induction on deg(f(x)). If f(x) is unit $\iff deg(f(x)) = 0 \implies$ factorization exists. If f(x) is irreducible \implies factorization exists. Else, f(x) = g(x)h(x) for 0 < deg(g(x)), deg(h(x)) < deg(f(x)). Both admit factorizations by induction, so combine then to get factorization.

Suppose $r=r_1$ does not allow factorization $\implies r_1$ is not a unit, not irreducible $\implies r=ab$, where a, b not units. One of them, say $a=r_2$ does not allow factorization. $r_1=r_2b_2$, b_2 is not a unit. Can continue inducting, and get a sequence $r_i=r_{i+1}b_{i+1}$ where all $r_1,r_2,...$ do not allow factorization and $b_1,b_2,...$ are not units.

Take (r_1) and (r_2) . $(r_1) \subset (r_2) \subset (r_3) \subset \ldots$ Can it be that $(r_1) = (r_{i+1})$? No. Then $r_i = r_{i+1}b_{i+1}$ and $r_{i+1} = r_ic_i \implies r_i = r_ib_{i+1}c_i \implies 1 = b_{i+1}c_i \implies b_{i+1}$ is a unit, contradiction.

 $(r_1) \subsetneq (r_2) \subsetneq (r_3) \subsetneq \dots$

Definition 56. A commutative ring R is called Noetherian if there are no infinite ascending chains of ideals $I_1 \subsetneq I_2 \subsetneq I_3 \subsetneq ...$

Corollary 57. If R is Noetherian integral domain where irreducible elements are prime, then it's a UFD

8 FIELD EXTENSIONS

 $K \subset F$, towers of fields: $K_1 \subset K_2 \subset K_3$

K field, $f(x) \in K[x]$ irreducible polynomial. Take I = f(x) maximal ideal. F = K[X]/I is a field.

Theorem 58. $K \to K[x] \to K[x]/I = F \implies K \to F$ by composition. f(x) has a root $\alpha \in F$

Corollary 59. If you take any polynomial in $f(x) \in K[x]$, factors into linear factors in some field extension of $K \subset F$

Proof: $K \xrightarrow{\varphi} F$. Ker φ is an ideal of K, K is a field, either Ker $\varphi = \{0\}$ (and φ is injective) or Ker $\varphi = K$. But that can't happen because $1 \in K \to 1 \in K[x] \to 1+I$, a unity in F, which is certainly not zero, so $\varphi(1) \neq 0$, and I must be $\{0\} \Longrightarrow K \to F$

Claim: $x + I = \alpha \in F$ is going to be a root of f(x) $f(x + I) = f(x) + I = I = 0 \in F$. If confused, try plugging in x + I and doing it out.

 $x^2+1 \in \mathbb{R}[x]$, $I=(x^2+1)$. $\mathbb{R}[x]I=\{p(x)+I\}=\{p(x)=I: deg(p<2)\}$. Indeed $p(x)=(x^2+1)q(x)+r(x) \Longrightarrow p(x)+I=r(x)+I$ because $p(x)-r(x)=q(x)(x^2+1)\in I$. Morever, every coset can be written uniquely as $\{a+bx+I\}$ where $a,b\in\mathbb{R}$.

Definition 60. Let $K \subset K$ be a field extension. Choose some $\alpha \in F$. α is **algebraic** over K if there exists $f(x) \in K[x]$ such that $f(\alpha) = 0$.

Definition 61. Any element that is not algebraic is **transcendental** over K

Example. Consider $\mathbb{Q} \subset \mathbb{C}$. Algebraic $\alpha \in \mathbb{C}$ over \mathbb{Q} are called algebraic (transcendental) numbers.

Theorem 62. e, π are transcendental over \mathbb{Q}

Very hard to prove. Much easier to prove numbers are algebraic

Remark 63. If you have a trivial field extension $F \subset F$, then all elements will be algebraic

In a real analysis context, algebraic and transcendental are with rational coefficients, so π and e are transcendental. For the extension $\mathbb{R} \subset \mathbb{R}$ and $\mathbb{R} \subset \mathbb{C}$ both are now algebraic, since $x - \pi = 0$ has π as a solution, and x - e = 0 has e as a solution.

Lemma 64. Suppose $K \subset F$ field extension. Take $\alpha \in F$ algebraic \implies there exists a unique minimal (aka irreducible) polynomial $irr(\alpha, K)$ which is

- 1) irreducible and nonzero
- 2) has α as a root
- 3) and monic

 $irr(\alpha, K)$ is the minimal polynomial of α over K.

The main tool to prove this is the evalutation homomorphism. $\phi: K[x] \to F$ which sends $f(x) \to f(\alpha)$.

 $I = Ker(\varphi) \subset K[x]$ ideal. By definition, it is $= \{ f \in K[x] : f(\alpha) = 0 \}$. $I \neq 0 \iff \alpha$ is algebraic /K, and $I = 0 \iff \alpha$ is transcendental /K.

Case 1: $I \neq 0 \iff \alpha$ is algebraic. The ideal I is principle: I = (f). Rescale f by a constant to make it monic. Why is it irreducible? If f(x) = a(x)b(x) with deg(a), deg(b) < deg(f). $f(\alpha) = a(\alpha)b(\alpha) = 0$, but then at least one of them has to be in the ideal, but they can't be since they have degree less than f (because we selected f to be the generating polynomial). Therefore $irr(\alpha, K)$ exists.

Why is it unique? Suppose g(x) also satisfies the three conditions. Therefore, $g(\alpha) = 0$, so g(x) is in the ideal I = (f). But then g(x) = f(x)q(x). But g(x) is irreducible, so q(x) has to be a constant, else g(x) has a nontrivial factorization, and must be 1 else one of f(x) or g(x) isn't monic.

Example.
$$\operatorname{irr}(\sqrt{2}, \mathbb{Q}) = x^2 - 2$$
, $\operatorname{irr}(\sqrt{2}, \mathbb{R}) = x - \sqrt{2}$.

Definition 65. Suppose $K \subset F$ fields, $\alpha \in F$. A **simple field extension** $K(\alpha)$ is the smallest subfield of F that contains K and α . Generalization: $K(\alpha, \beta)$ contains K, α , and β .

$$\begin{array}{l} \varphi: K[x] \to F, \ I = Ker \varphi. \ I \neq 0 \iff \alpha \ algebraic/K \\ \Longrightarrow \ I = (f), \ where \ f = irr(\alpha, K) \end{array}$$

Apply the first isomorphism theorem:

$$\begin{array}{ccc} K[x] & \stackrel{\varphi}{\longrightarrow} F \\ \downarrow & & \downarrow \uparrow \\ K[x]/I & \stackrel{\simeq}{\longrightarrow} Im\phi \end{array}$$

 \implies Im ϕ is a subfield, isomorphic to K[x]/I, contains J, $\alpha = \phi(x)$.

Claim: $Im(\varphi) = K(\alpha)$. Why is it the smallest? Suppose N is a subfield of F that contains K and α . Is $Im(\varphi) \in N$? Yes, $\varphi(\alpha_0 + \ldots + \alpha_n x^n) = \alpha_0 + \ldots + \alpha_n x^n \in N$

Case 2: $\phi : K[x] \to F$ which sends $p(x) \to p(\alpha)$. $I = Ker \phi = 0 \implies \phi$ is injective.

$$K[x] \xrightarrow{\varphi} F \implies K(x) = \{\frac{p(x)}{q(x)} : p, q \in K[x]\} \text{ is also contained in } F \implies K(\alpha) \cong K[x]$$

9 Linear algebra over a field K

Vector space of column vectors $\begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix}$, $a_i \in K$

Two operations:
$$\begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} + \begin{bmatrix} b_1 \\ \vdots \\ b_n \end{bmatrix} = \begin{bmatrix} a_1 + b_1 \\ \vdots \\ a_n + b_n \end{bmatrix}$$
 and
$$k \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} = \begin{bmatrix} ka_1 \\ \vdots \\ ka_n \end{bmatrix}$$

These operations satisfy axioms of vector space /K.

Set V with 2 operations:

 $V \times V \rightarrow V$, sends $u, v \rightarrow u + v$, and $K \times V \rightarrow V$ sending $k, v \rightarrow ku$ subject to axioms:

- (V, +) is an abelian group, in particular we have a zero vector $0 \in V$
- distributivity k(u + v) = ku + kv and (k + k')u = ku + k'u
- "action" or "associativity" l(ku) = (lk)u, and $1 \cdot v = v$

Example. Suppose we have a field extension $K \subset F$. Then we have $f_1 + f_2$, $f_1, f_2 \in F$, and can compute kf, for $k \in K$ and $f \in F$. Therefore, as a consequence of ring axioms, F satisfies the axioms of a vector space over a field K.

Example. $R \subset C$

View \mathbb{C} as a vector space over \mathbb{R} , with basis vectors 1 and i.

Remark 66. We can imbed field K into a ring R and this still holds since we used ring axioms only.

Definition 67. Suppose we have V vector space/K, with $v_1, \ldots, v_k \in V$. We say v_1, \ldots, v_k **span** V if $\forall v \in V$ can be written $v = \sum a_i v_i$ for $a_i \in K$.

Definition 68. $v_1, \dots v_k$ are linearly independent if $\sum a_1 v_1 = 0 \implies \forall a_i = 0$.

Definition 69. $\{v_1, \dots, v_k\}$ is a **basis** if they span and are linearly independent.

Lemma 70. v_1, \ldots, v_n span V and u_1, \ldots, u_k are linearly independent, then $k \leq n$.

 $u_i = \sum_{j=1}^n \alpha_{ij} v_j$. Argue by contradiction. Suppose k > n. Leters try to find a nontrivial linear combination (all terms nonzero) $x_1u_1 + \dots x_ku_k = 0$ $x_i \in K$.

$$\implies \sum_{i=1}^{k} x_i u_i = 0$$

$$\implies \sum_{i=1}^{k} x_i \sum_{j=1}^{n} a_{ij} v_j = 0$$

$$\implies \sum_{i=1}^{k} \sum_{j=1}^{n} x_i a_{ij} v_j = 0$$

Certainly true if $\sum_{i=1}^{k} x_i a_{ij} = 0 \ \forall j = 1,...,n$. We have a system of n homogeneous linear equations in k variables $x_1,...,x_k$, and k > n. Therefore, it has a nontrivial solution.

Run row reduction, we have > 0 independent variables, which can take arbitrary values.

Corollary 71. If V has a finite basis with n vectors, then every other basis also has n vectors. This n is called the **dimension** of V over K (otherwise $\dim V = \infty$)

Example. dim \mathbb{C} over \mathbb{R} is 2 with basis 1 and i

 $\alpha \in \mathbb{C}$, $\alpha = a \cdot 1 + b \cdot i$, $a, b \in \mathbb{R} \implies 1$, i span \mathbb{C}

 $a, b \in \mathbb{R}, a + bi = 0 \implies a = b = 0 \implies 1$ and i are linearly independent.

Definition 72. $K \subset F$ a field extension \implies F vector space/K. Then the dimension of F over K is called the **degree** of the field extension, notated [F : K]

Lemma 73. $f(x) \in K[x]$ irreducible of degree n. $I = (f) \subset K[x]$, F = K[x]/I. Then [F : K] = n, easy to write a basis as well.

 $\alpha = X + I \in F$. Claim: 1, α , α^2 , α^3 , ..., α^{n-1} is a basis of F over K, with dimension n.

 $F = K[x]/I \implies$ elements of F are cosets p(x) + I, $p(x) \in K[x]$. Recall p(x) = f(x)q(x) + r(x), degree of r < degree f. I = (f(x)), $f(x)q(x) \in I$, p(x) + I = r(x) + I.

If r(x) and r'(x) give the same coset, then r(x) must be equal to r'(x), since $r(x)-r'(x)\in I\implies r(x)-r'(x)=f(x)s(x)\implies deg(r-r')< deg(f)\implies r=r'\implies we can write every element of F as <math>a_0+a_1x+\ldots+a_{n-1}x^{n-1}+I$, $a_i\in K$ uniquely. $a_0+a_1\alpha+\ldots+a_{n-1}\alpha^{n-1}=a_0(1+I)+a_1(x+I)+\ldots+a_{n-1}(x+I)^{n-1}=above.$

Therefore, $\{1, \alpha, ..., \alpha^{n-1} \text{ is a basis, since every element of } F \text{ is a unique linear combination of } 1, ..., \alpha^{n-1} \text{ with coefficients in } K.$

Corollary 74. $K \subset F$ field extension, $\alpha \in F$ algebraic over K with minimal polynomial of degree $n \implies [K(\alpha), K] = n$, with basis $\{1, \alpha, \dots, \alpha^{n-1}\}$.

 $f(x) = irr(\alpha, K)$. Last time: $K(\alpha) \cong F/(f)$ with α matched with x + I.

Example. $K = \mathbb{Q}, \alpha \in \mathbb{C}$, study $K(\alpha)$?

 $\mathbb{Q}(\sqrt{2}), \operatorname{irr}(\sqrt{2}) = x^2 - 2 \implies [\mathbb{Q}(\sqrt{2}), \mathbb{Q}] = 2$, with basis 1, $\sqrt{2}$.

Therefore, $\forall x \in \mathbb{Q}(\sqrt{2})$ can be written uniquely as $a + b\sqrt{2}$, $a, b \in \mathbb{Q}$.

Example. $\mathbb{Q}(\sqrt{1+\sqrt{3}})$

$$\alpha^2 = 1 + \sqrt{3} \implies \alpha^2 - 1 = \sqrt{3} \implies (\alpha^2 - 1)^2 = 3 \implies \alpha^4 - 2\alpha^2 - 2 = 0$$
. Is irreducible by Eisenstein with $p = 2$.

Therefore, $[\mathbb{Q}(\alpha), \mathbb{Q}] = 4$, basis is $1, \alpha, \alpha^2, \alpha^3$

How to write $\frac{1}{1+\alpha+\alpha^2}$ as linear combination?

 $x_0 + x_1\alpha + x_2\alpha^2 + x_3\alpha^3$, solve for $x_0, \dots x_4$. $1 = (1 + \alpha + \alpha^2)(x_0 + x_1\alpha + x_2\alpha^2 + x_3\alpha^3)$. $\alpha^4 = 2\alpha^2 + 2$. Multiply out, and substitute in for α^4 at each step to only use powers of $\alpha < 4$. Gives a system of 4 equations in four variables.

10 Algebraic Extensions

Definition 75. A field extension $K \subset F$ is called **algebraic** if every element $\alpha \in F$ is algebraic/K.

Theorem 76. Every finite extension is algebraic

 $[F:K]=n, \alpha \in F$. Basis $e_1, e_2, \ldots, e_n \in F$. Take $1, \alpha, \ldots, \alpha^n$. Are linearly dependent $\implies x_0 + x_1 \alpha + \ldots + x_n \alpha^n = 0$ for some $x_i \in K$, not all 0, so $P(\alpha)=0$.

Example. $\mathbb{Q}(2^{\alpha/3})$

$$[\mathbb{Q}(2^{\alpha/3}):\mathbb{Q}]=3$$
 since $x^3-2=0$, irr by Eisenstein $\implies \forall p\in\mathbb{Q}(2^{1/3})$ is algebraic over \mathbb{Q}

Take $\beta=1+2^{1/3}+2^{(2/3)}$. Basis of $\mathbb{Q}(2^{1/3})$ is $\{1,2^{1/3},2^{2/3}\}$. Compute $1,\beta,\beta^2,\beta^3$ as linear combinations of $1,2^{1/3},2^{2/3}$ \Longrightarrow set-up a linear combination with unknown coefficients $x_0+x_1\beta+x_2\beta^2+x_3\beta^3$ in terms of the basis. Solve a SLE with 4 variables and 3 equations.

Remark 77. Suppose α is algebraic over F. Then $F(\alpha) \cong F[x]/(f)$ where f(x) is the irreducible polynomial. We have a basis of $F(\alpha)$ over F given by $1, \alpha, \alpha^2, \ldots, \alpha^{n-1}$, where n is the degree of $f(x) = [F(\alpha) : F]$

Theorem 78 (Transitivity of degree). $F \subset K \subset L$ fields. Suppose L is a finite extension of F. Then [L : F] = [L : K][K : F]

Proof: Choose a basis $\alpha_1, \ldots, \alpha_n$ of K as a vector space over F. Choose β_1, \ldots, β_m of L as a vector space over K. Claim $\alpha_i \beta_j$ for $i = 1, \ldots, n$ and $j = 1, \ldots, m$ is a basis of L over F.

Have to check that

- (1) every element $\gamma \in L$ can be written as a linear combination of $\alpha_i \beta_i$ with coefficients in F
- (2) These vectors are linearly independent over F.

Well, the β terms being a basis of L over K means that $\gamma = \sum_{j=1}^{m} k_j \beta_j$. But the α terms form a basis of K over F, so

each $k_j = \sum_{i=1}^n f_{ij} \alpha_i$. Therefore, you can substitute in the summations to get $\gamma = \sum_{j=1}^m \sum_{i=1}^n f_{ij} \alpha_i b_j$. so we have part (1).

(2) Claim: $\alpha_i \beta_j$ are linearly independent over F. Write $\sum_{i,j} f_{ij} \alpha_i \beta_j = 0$. To show linear, independence, we must show that all f_{ij} are 0.

Well, this implies that $\sum\limits_{j=1}^m (\sum\limits_{i=1}^n f_{ij}\alpha_i)\beta_j = 0$, but the β terms form a basis, so are linearly independent with each summation term being in K, so each summation w.r.t j equals 0. Well, by the same logic, since α are all linearly independent, all f_{ij} must be zero, and we are done.

Corollary 79. If [L:F] is prime, then either $[L:K] = 1 \implies L = K$ or $[K:F] = 1 \implies K = F$

Example.
$$[Q(2^{(\alpha/3)}):Q] = 3$$

 $\beta=1+2^{1/3}+2^{2/3}$. Then, take $\mathbb{Q}\subset\mathbb{Q}(\beta)\subset\mathbb{Q}(\alpha)$. Then either $\mathbb{Q}=\mathbb{Q}(\beta)$ or $\mathbb{Q}(\beta)=\mathbb{Q}(2^{1/3})$. The latter must be true, since β is not rational $\implies deg(irr(\beta,\mathbb{Q}))=[\mathbb{Q}(\beta):\mathbb{Q}]=[\mathbb{Q}(2^{1/3}):\mathbb{Q}]=3$

Example. $\mathbb{Q}[\sqrt{2}]$

Has degree 2, since irreducible polynomial is $x^2 - 2$. Take $\mathbb{Q}[\sqrt{2}, \sqrt{3}]$ over $\mathbb{Q}[\sqrt{2}]$ has degree two because the irreducible polynomial is $x^2 - 3$

Is this irreducible? Well if not, then there is a root, namely $\sqrt{3}$ so then $\sqrt{3} \in \mathbb{Q}[\sqrt{2}]$, so $\sqrt{3} = a + b\sqrt{2}$ for $a, b \in \mathbb{Q}$. Square both sides, get $3 = a^2 + 2b^2 + 2ab\sqrt{2}$, which can't be true unless a is zero or b is zero.

If b is zero, then $\sqrt{3} = a$, but we know it's irrational. If a is zero, then we have $\sqrt{3} = b\sqrt{2}$, or $2b^2 - 3 = 0$, which is irreducible by Eisenstein, so b is irrational if the two sides are indeed equal.

Therefore, $[\mathbb{Q}(\sqrt{2},\sqrt{3})]$ has degree 4, with basis $1,\sqrt{2},\sqrt{3},\sqrt{6}$. This is a simple field extension, since we've already checked that $x^4 - 10x^2 + 1$ is an irreducible polynommial with degree 4 with $\sqrt{2} + \sqrt{3}$ as a root.

Therefore, we have $Q \subset \mathbb{Q}(\sqrt{2} + \sqrt{3}) \subset \mathbb{Q}(\sqrt{2}, \sqrt{3})$, where the first extension has degree 4, and the whole extension has degree 4, so the right two must be equal, and the last field must have degree 4 over \mathbb{Q}

Example. $\mathbb{Q}(\sqrt{2}, \sqrt[3]{2})$

 $F \subset K$ field extension. Consider $L = \{\alpha \in L : \alpha \text{ is algebraic over } F\}$ If $F \subset K$ i algebraic $\implies L = K$.

Lemma 80. L is a subfield of K, called an algebraic closure of F in K

Example. $\mathbb{Q} \subset \mathbb{C}$. Algebraic closure of $\mathbb{Q} \in \mathbb{C}$ is denoted $\overline{\mathbb{Q}}$, field of algebraic numbers

Proof of lemma: \forall , α , $\beta \in L$, check that $\alpha\beta$, $\alpha - \beta$, and α/β is in L. Meaning, these three should also algebraic over K.

Consider extension $K \subset K(\alpha)$, which is finite. Then extension $K(\alpha) \subset K(\alpha,\beta)$, which is also finite since β is algebraic over K. Therefore $K \subset K(\alpha,\beta)$ is also finite with degree of product of the subdegrees. Because this extension is finite, it must be algebraic $\implies \alpha \pm \beta, \alpha\beta, \alpha/\beta$ are algebraic over K

Remark 81. How can we find a

Example. $\overline{Q} = \{\alpha \in \mathbb{C} : \alpha \text{ is algebraic over } Q\}$ is an algebraic closure, and is therefore automatically a field without having to prove it specifically

Definition 82. An algebraic closure \overline{K} of a field K is a field extension of K such that

- 1. $\forall \alpha \in \overline{K}$ is algebraic over K
- 2. \overline{K} is algebraically closed, which means that every polynomial in $\overline{K}[x]$ has a root in \overline{K}
- (2) \iff every polynomial in $\overline{K}[x]$ factors into linear factors in $\overline{K}[x]$

Example. \mathbb{C} is algebraically closed $\implies \mathbb{C}$ is an algebraic closure of \mathbb{R}

- (1) C is algebraically closed
- (2) $a + bi \in C$ is algebraic over \mathbb{R} ? $(x a bi)(x a + bi) = x^2 2ax + (a^2 + b^2)$

Example. $\overline{\mathbb{Q}}$ is algebraically closed

Example.
$$c = \sum_{n \ge 1} \frac{1}{10^{n!}}$$

Last time: c is a Liouville number, which means that $c \notin \mathbb{Q}$, and $\forall n \geqslant 1, \exists \frac{p}{q} \in \mathbb{Q}$ such that $\left| c - \frac{p}{q} \right| \leqslant \frac{1}{q^n}$

Lemma 83. Liouville numbers are transcendental $(\not\in \mathbb{Q})$

Argue by contradiction> Suppose that a Liouville number α is algebraic/Q. Well, then there exists an irreducible polynomial $f(x) \in \mathbb{Q}[x]$ such that $f(\alpha) = 0$. Rescale the polynomial by the lcm of the denominators such that $f(x) \in \mathbb{Z}[x]$

$$f(\alpha) = 0$$
, but $f(\frac{p}{q}) \neq 0$ because $f(x)$ is irreducible/ \mathbb{Q}

$$f(x) = a_0 x^m + a_1 x^{m-1} + ... + a_m$$
 with $a_i \in \mathbb{Z}$.

$$\left|f(\frac{p}{q})\right| = |a_0 \frac{p^m}{q^m} + \dots + a_m| \geqslant \frac{1}{q^m} \text{ because} = \left|\frac{a_0 p^m + a_1 p^{m-1} q + \dots + a_m q_m}{q^m}\right|$$

Choose $\frac{p}{q}$ such that $\left|\alpha - \frac{p}{q}\right| < \frac{1}{q^n}$. Then $f(\alpha) - f(\frac{p}{q}) = f'(x)(\alpha - \frac{p}{q})$ x between α and $\frac{p}{q}$

$$\left| f(\alpha) - f(\frac{p}{q}) \right| = |f'(\alpha)| \left| \alpha - \frac{p}{q} \right|$$

 $|x-\alpha|\leqslant 1$ because $\left|\frac{p}{q}-\alpha\right|<\frac{1}{q^n}\leqslant 1.$ Let M be the $\sup_{|x-\alpha|\leqslant 1}|f'(x)|$, so

$$\left|\frac{1}{q^m} \leqslant \left| f(\frac{p}{q}) \right| = \left| f(\alpha) - f(\frac{p}{q}) \right| \leqslant M \left| \alpha - \frac{p}{q} \right| \leqslant M \frac{1}{q^n}$$

$$\Rightarrow \frac{1}{q^m} \leqslant \frac{M}{q^n} \Rightarrow q^n \leqslant Mq_a^m \Rightarrow 2^{n-m} \leqslant q^{n-m} \leqslant M$$
. This obviously can't be true for all n

11 Geometric Constructions

What can be constructed with a straightedge and a compass

Classical problems

- 1. Doubling the cube (basically, can we construct cube root of 3)
- 2. Trisect angle
- 3. Squaring the circle (circle with area A to square with area A)

Algebraic interpretation: Let's define field $K \subset \mathbb{R}$ to be a field of all numbers x such that the segment of length x can be constructed with straightedge and compass starting with a segment of length 1.

You can take α and β and get $\alpha + \beta$

Start with $1 \rightarrow \mathbb{Q}$. Easy.

Take a + 1, then half circle, then get altitude, which has length \sqrt{a} . Then we can adjoin Q with any square root.

Let's call $\alpha \in \mathbb{R}$ constructible if it can be constructed using straightedge and compass.

Theorem 84. $\alpha \in \mathbb{R}$ is constructible \iff there exists $\mathbb{Q} = \mathsf{K}_0 \subset \mathsf{K}_1 \subset \mathsf{K}_r$ such that $\mathsf{K}_r = \mathsf{K}_{r-1}(\sqrt{\beta_r})$, where $\beta_r \in \mathsf{K}_{r-1}$. We already just proved one direction.

For the other way, we can formalize "straightedge and compass" as we can create a series of points $(x_n, y_n) \in \mathbb{R}^2$ with starting points $(x_1, y_1) = (0, 0)$ and $(x_2, y_2) = (1, 0)$.

What can (x_n, y_n) be? Either (x_n, y_n) is an intersection point of a line passing through $(x_i, y_i), (x_j, y_j)$ and a line through (x_k, y_k) and (x_l, y_l) for i, j, k, l < n, or we can use circles with center (x_i, y_i) and passint through $(x_j, y_j), i, j < n$.

Claim: we can compute (x_n, y_n) using x_i, y_i for i < n using $+, -, \cdot, /$ and $\sqrt{}$

$$y - y_i = \frac{y_j - y_i}{x_j - x_i}(x - x_i)$$
 or $x = x_i$ if $x_i = x_j$, so a line $y = kx + b$ or vertical lines.

To intersect two lines y = kx + b and y = k'x + b', we just have to solve the linear system of two equations in two variables, and we can find (x, y) using arithmetic operations $+, -, \cdot, /$.

From circle, have
$$(x - x_i)^2 + (y - y_i)^2 = R^2 = (x_i - x_i)^2 + (y_i - y_i)^2$$
 and compute using $+, -, -, -$

Intersecting a line and $(x - x_i)^2 + (y - y_i)^2 = R^2$, solve for x, y by substituting the linear equation in for y, and solving the quadratic using the quadratic formula, which requires a square root

Finally, we can intersect two circles $\begin{cases} (x-x_i)^2+(y-y_i)^2=R^2\\ (x-x_j)^2+(y-y_j)^2=\overline{R}^2 \end{cases}$ If we subtract, the degree terms go away, and we are left with a linear equation in x and

Corollary 85. If α is constructable $\implies \alpha$ is algebraic $/\mathbb{Q}$, and its degree is a power of 2.

Proof: $\alpha \in K_r$ like in theorem. Then $[K_r : Q] = [K_r : K_{r-1}][K_{r-1} : Q] = 2[K_{r-1} : Q] = 2^r$ by induction

On the other hand, we have $\mathbb{Q} \subset \mathbb{Q}(\alpha) \subset K_r$. So again, by transitivity, $2^r = [K_r : \mathbb{Q}] = [K_r : \mathbb{Q}(\alpha)][\mathbb{Q}(\alpha) : \mathbb{Q}]$ $\implies [\mathbb{Q}(\alpha):\mathbb{Q}] = 2^s$, which is the degree of the minimal polynomial of α .

Corollary 86. We can't double the cube.

Proof: well if we can, then its side, $\sqrt[3]{2}$, is constructable. Therefore, $\sqrt[3]{2}$ has degree 2^s. But it has degree 3, since the minimal polynomial is $x^3 - 2$. Therefore, the cube can't be doubled.

Corollary 87. We can't trisect a general angle.

$$\cos(\alpha+\beta)=\cos(\alpha)\cos(\beta)-\sin(\alpha)\sin(beta). \text{ So } \cos(3\varphi)=\cos(2\varphi)\cos(\varphi)-\sin(2\varphi)\sin(\varphi)=[2\cos^2(\varphi)-1]\cos(\varphi)-2\sin^2(\varphi)\cos(\varphi)=2\cos^3\varphi-\cos\varphi-2(1-\cos^2\varphi)\cos\varphi+4\cos^3\varphi-3\cos\varphi$$

Claim: $cos(60 deg) = \frac{1}{2}$ is constructable, but cos(20 deg) is not. cos(20 deg) is a root of $8x^3 - 6x - 1$, which is irreducible. Therefore, the degree of $\cos(20\deg)$ is 3, which is not a power of 2.

Why irreducible? Well, degree 3, so it has to have a root, and by rational roots theorem it has none in $\{\pm 1, \pm 1/2, \pm 1/4, \pm 1/8\}$, therefore it is irreducible.

Corollary 88. You cannot square a circle

If you want to create create a square with area π , then you need to construct $\sqrt{\pi}$, which is transcendental / Q. Suppose $\sqrt{\pi}$ is algebraic / Q. Then $\sqrt{\pi}\sqrt{\pi}$ must also be algebraic, but in fact π is transcendental (by a difficult theorem proved by Lindemann ~ 1890)

12 FINITE FIELDS

F is a field \implies F contains the smallest possible subfield. This field, known as a prime field, is either Q or $\mathbb{Z}_{\mathfrak{p}} = \mathbb{F}_{\mathfrak{p}}$ for prime \mathfrak{p}

F a finite field \implies F \supset \mathbb{F}_p for p = charF \implies F is a vector space over \mathbb{F}_p \implies $|F| = p^n$, where $n = [F : \mathbb{F}_p]$

Theorem 89. There exists a field with p^n elements \forall prime $p, n \ge 1$

Idea 1: prove existence of an irreducible polynomial $f(x) \in \mathbb{F}_p[x]$ of degree $n \implies \mathbb{F}_p[x]/(f) = F$ field with p^n elements. Counting gets harder for $n \ge 2$

Idea 2: let F be a finite field with p^n elements. Then $F^* = F \setminus \{0\}$ is a group with respect to multiplication with $p^n - 1$ elements.

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\implies \forall x \in F^*, \operatorname{ord}(x)|p^n - 1 \text{ (Cauchy theorem)}\implies x^{p^n - 1} = 1 \text{ in } F^*
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 $\implies x^{p^n} = x \forall x \in F$ (a generalization of Little Fermat Theorem)

Very special polynomial $x^{p^n} - x \in \mathbb{F}_p[x]$ with degree p^n . It's roots are exactly elements of F, $|F| = p^n$

Theorem 90. Every field F has an algebraic closure, \overline{F} : a field containing F, algebraic over F, and algebraically closed

Corollary 91. $\mathbb{F}_{p} \subset \overline{F}$ algebraically closed and algebraic over \mathbb{F}_{p}

$$x^{p^n} - x \in \mathbb{F}_p[x] = \prod_{i=1}^{p^n} (x - \alpha_i), \alpha_i \in \overline{\mathbb{F}_p}$$

Claim: all of these roots are different.

Suppose we can factor $x^{p^n} - x = (x - \alpha_1)^2 g(x) \in \overline{F_p}[x]$. Then take a derivative, $(x^{p^n} - x)' = 2(x - \alpha_1)g(x) + (x - \alpha_1)g(x)$ α)²g'(x). But the right side is divisible by $x - \alpha_1$. Well, the left side is $p^n x^{p^n - 1} - 1 = -1$. If we plug in $x = \alpha_1$, we're left with -1 = 0, which is obviously a contradiction

Claim: $F = \{a_1, a_2, \dots, a_{p^n}\}$ is a field, so then we have a field with p^n elements.

 $F \subset \overline{\mathbb{F}_p}$. Now we just have to check closures. Take $x,y \in F$. Then $(xy)^{p^n} = x^{p^n}y^{p^n} \implies xy \in F$

$$(-x)^{p^n} = (-1)^{p^n} x^{p^n} = -x \implies -x \in F$$

$$(x+y)^p = x^p + y^p$$
, $(x+y)^{p^2} = [(x+y)^p]^p = (x^p)^p + (y^p)^p = x^{p^2} + y^{p^2}$. By induction, $(x+p)^{p^n} = [(x+y)^p]^{p^{n-1}} = x^{p^n} + y^{p^n}$

Summary $\mathbb{F}_{\mathfrak{p}} \subset F \subset \overline{\mathbb{F}_{\mathfrak{p}}}$. $F = \mathbb{F}_{\mathfrak{p}^n} = \mathbb{F}_{\mathfrak{q}}$ where $\mathfrak{q} = \mathfrak{p}^n$. Is exactly the set of roots of $x^{\mathfrak{p}^n} - x \in \mathbb{F}_{\mathfrak{p}}[x]$

Theorem 92. Let F be a field with p^n elements \implies F = $\mathbb{F}_p(\alpha)$ for some $\alpha \in F$

Corollary 93. F is isomorphic to $\mathbb{F}_p[x]/(f)$, where (f) is the minimal polynomial of α . In particular, we see that there exists an irreducible polynomial of degree n in $\mathbb{F}_p[x]$

In fact F^* is cyclic. Take $\alpha \in F^*$ any generator, then $F^* = \{1, \alpha, \alpha^2, \dots, \alpha^{p^n-1}\} \implies F$ is the smallest field that contains $\alpha \implies F = \mathbb{F}(\alpha)$

The proof that \mathbb{Z}_p^* works, because all we used was that the field is finite. If we assume F^* not cyclic, then all elements have order strictly less than $p^n - 1$, but that can't happen since we have $p^n - 1$ roots

Theorem 94. If E and F are finite fields with pⁿ elements, then they are isomorphic

Proof Write $E = \mathbb{F}_p(\alpha)$ for $\alpha \in F$. $f(x) = irr(\alpha, \mathbb{F}_p)$ irreducible of degree n. But we know that $\alpha^{p^n} = \alpha \implies \alpha$ is a root of $x^{p^n} - x = 0$, therefore f(x) dividies $x^{p^n} - x$.

Now consider F, $|F| = p^n$. $\forall x \in F \implies x^{p^n} - x = 0$. Well, this factors as f(x)g(x). Has p^n roots (all elements of F are roots). So, there exists some element β such that $f(\beta) = 0$ since f has degree n

 $\mathbb{F}_p \subset \mathbb{F}_p(\beta) \subset F. \ deg(\beta) = deg(f) = n \implies [F : \mathbb{F}_p] = [\mathbb{F}(\beta) : \mathbb{F}_p] \implies F = \mathbb{F}_p(\beta). \ So \ E = \mathbb{F}_p(\alpha) \ and \ F = \mathbb{F}_p(\beta),$ both of which have f as their minimal polynomial. Therefore, $E \cong \mathbb{F}_p(\alpha) \cong \mathbb{F}_p[x]/(f) \cong \mathbb{F}_p(\beta) \cong F$

Remark 95. Can it happen that $\mathbb{F}_{p^n} \subset \mathbb{F}_{p^m}$?

Let's consider $F_{p^n}* \subset \mathbb{F}_{p^m}^*$, well the left is a cyclic group of order p^n-1 and the right is a cyclic group of order p^m-1 . So we have $p^n-1|p^m-1$

Let's try long division. $p^m - 1 = p^{m-n}(p^n - 1) + p^{m-n} - 1$. Then we need $p^n - 1|p^{m-n} - 1$

Theorem 96. $p^{n} - 1$ divides $p^{m} - 1$ if and only if $n \mid m$

 $\mathfrak{n}|\mathfrak{m}\iff \mathfrak{n}|\mathfrak{m}-\mathfrak{n}\implies \text{Induction on }\mathfrak{m}\implies \mathfrak{p}^\mathfrak{n}-1|\mathfrak{p}^{\mathfrak{m}-\mathfrak{n}}-1\iff \mathfrak{n}|\mathfrak{m}$

Corollary 97. If $\mathbb{F}_{p^n} \subset \mathbb{F}_{p^m} \iff n|m$

13 Group Work 6

Group 2 Let $ax^2 + bx + c$ be a quadratic equation $(a \neq 0)$ with coefficients in a field K with characteristic $\neq 2$.

(1) Show that the usual quadratic formula gives roots of the equation either in K or in some field extension F of K such that [F:K]=2

Proof
$$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$
. Because $a \neq 0$, char(K) $\neq 0$, we know that $2a \neq 0$

Case 1:
$$b^2 - 4ac = d^2$$
, $(d \in K) x = -\frac{-b \pm d}{2a}$, so x is in K

Case 2: $b^2 - 4ac \neq d^2$, take $x^2 - D$ where $D = b^2 - 4ac \in K$, then take the field extension $F(\alpha)$ where $\alpha^2 - D$. Then this is obviously degree two.

(2) Let F be a field extension of K such that [F:K]=2 and charK $\neq 2$. Show that there exists $D\in K$ such that $F=K(\sqrt{D})$

Proof Let $\beta \in F$, $\beta \notin K$. We now that [F : K] = 2, and $[K(\beta), K] > 1$. Then $[F : K] = [F : K(\beta)][K(\beta) : K] = 2$, so $[F : K(\beta)]$ must be 1, and $F = K(\beta)$

 β is the solution to $\alpha x^2 + bx + c = 0$, and $\beta = \frac{-b \pm \sqrt{b^2 - 4\alpha c}}{2\alpha} = \frac{-b \pm \sqrt{D}}{2\alpha}$. Therefore, $\sqrt{D} \in K(\beta)$ and $K(\sqrt{D}) \subset K(\beta)$, therefore $K(\beta) = K(\sqrt{D})$. Therefore $K(\beta) = K(\sqrt{D})$ and we are done.

(3) Show that (1) can fail if charK = 2 **Proof** $[\mathbb{F}_4 : \mathbb{F}_2] = 2$, but both of the elements of \mathbb{F}_2 have square roots, the quadratics are reducible

Group A Let $F \subset K$ be a field extension and let $K_1, K_2 \subset K$ be subfields containing F, Let $K_1K_2 \subset K$ be the smallest subfield containing K_1 and K_2 Suppose K_1 and K_2 are algebraic over F

(1) Show that K_1K_2 is algebraic over F

Proof "Looks like an orgy of greek letters and summation signs", will do next time

Z and k[X] where k is a field are principle ideal domains. Both have long division.

Definition 98. An integral domain D is a Euclidean domain if there exists a function (called norm) $\nu : D \setminus \{0\} \to \mathbb{Z}_{\geq 0}$, such that for every $a, b \in D$, either a = bq or a = bq + r, where $\nu(r) < \nu(b)$, and $\nu(ab) \geqslant \nu(a)$

Example. \mathbb{Z} , $v(\alpha) = |\alpha|$

Example. k[X], v(f) = degree

Theorem 99. Every Eucliean domain is a PID [and therefore a UFD]

Take $I \subset D$ ideal. If $I = \{0\} \implies I$ is principal. $I \neq \{0\} \implies pick \ \alpha \in I$ to be the element of smallest norm.

Claim: I = (a). Take $b \in I$. If b = aq, then great. If not, do b = aq + r, where r has to have norm less than that norm of r, but r = b - aq, both of which are in the ideal, so we've found an element of norm smaller than a, contradiction.

Example. Gaussian Integers $\mathbb{Z}[i] = \{a + bi : a, b \in \mathbb{Z}\}$

Forms a grid graphically. This is definitely a commutative ring with 1. $\mathbb{Z}[i] \subset \mathbb{C}$ subring, therefore it must be an integral domain.

Norm: $a^2 + b^2$. Take $\alpha, \beta \in \mathbb{Z}[i]$. Draw $(\beta) = \gamma \beta$ where $\gamma \in \mathbb{Z}[i]$. $\beta(\alpha + bi) = \alpha \beta + ib\beta$. Plot for all α, b

it could be the case that α is already onto the grid. If not, then $\alpha = \beta \gamma + \delta$ where $\nu(\delta) < \nu(\beta)$. So choose the square containing α . Chose $\beta \gamma$ to be the vertex of the square that α is closest to. Then $|\delta| < |\beta|$ since β is the side length. But quarter circles cover the square.