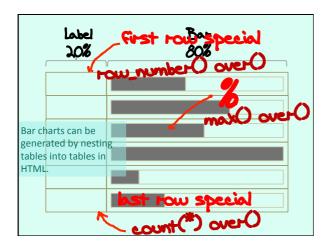
# **CS307**Database Principles

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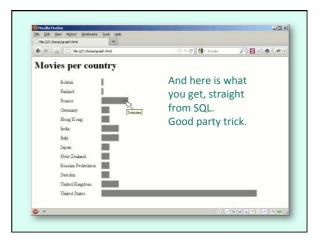
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### **HTML Charts**

As pasting query output in Excel to generate charts (if you want an audit report to look credible, you need charts) is an exercise that is even more painful that reformatting plain text in Word, here is how you can generate HTML bar charts straight from SQL.

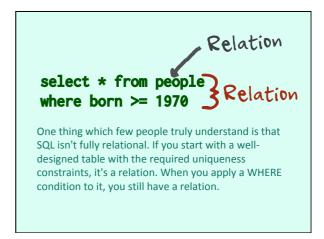


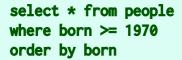
```
select case rn
when 1 then
'<a href="https://doi.org/10.1001/j.com/ric/">https://doi.org//ric/">https://doi.org//ric/<a href="https://doi.org//ric/">https://doi.org//ric/<a href="https://doi.
```



# **SQL Subtleties**

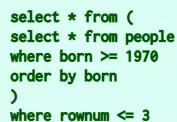
There are a number of things that many people don't master too well and can be quite useful with SQL. Sadly, many people don't design their databases well enough, and this may require a bit of SQL backbending.





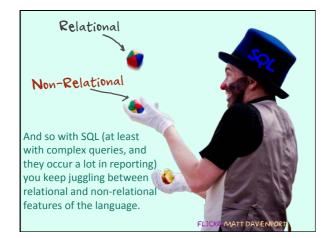


Apply an ORDER BY to it, and you no longer have a relation. You have collected all the rows you wanted, you have sorted them, and now what you really have is more like an array.





However, if you only want say the top three in your array, you can turn your array into a relation because then order no longer counts, just the fact that you have three of the oldest people born in 1970 or later.



### **Common Table Expressions**

An interesting feature introduced mostly in the past 10 years in SQL is what is known in SQL Server circles as 'Common Table Expressions' or CTEs. They are available in every product, including SQLite (3.8 and above) except, at the time of writing, MySQL. MariaDB, compatible with MySQL, has them..

CTEs are simply a kind of factorization of subqueries. They use WITH, like recursive queries, but implement no recursion: it's just a convenient way of writing a query.

```
In this query, you need
                                 without a window
select c.continent,
      count(m.movieid) nb_films function to compute
                                twice the number of
from countries c
    inner join movies m
                                films per continent, once
    on m.country = c.country_code to find the maximum,
group by c.continent
                               and once to find the
                                continent matching this
     select max(nb_films)
                               maximum.
      from (select c.continent,
                 count(m.movieid) nb_films
           from countries c
                inner join movies m
                on m.country = c.country\_code
           group by c.continent) continent_films
```

```
It can be done with a
                                   ioin, or as shown here.
select c.continent.
      t c.continent,
count(m.movieid) nb_films
but in all cases you get a
rather unwieldly and
from countries c
                            slightly scary query.
    inner join movies m
     on m.country = c.country_code
group by c.continent
having count(*) =
     (select max(nb_films)
      from (select c.continent,
                   count(m.movieid) nb_films
             from countries c
                 inner join movies m
                  on m.country = c.country_code
             group by c.continent) continent_films)
```

```
So, the idea is, as with
                                 numerical factorisation,
select c.continent,
                                 to extract the common
      count(m.movieid) nb_films
                                 part and WRITE it only
from countries c
                                 once. Whether it will
     inner join movies m
     on m.country = c.country_code be EXECUTED only
                                 once is the choice of the
group by c.continent
                                 query optimizer.
having count(*) =
     (select max(nb_films)
      from (select c.continent,
                   count(m.movieid) nb_films
            from countries c
                 inner join movies m
                 on m.country = c.country_code
            group by c.continent) continent_films)
```

We give it a name using WITH, after which we can use it. Note that you can define a comma-separated CTEs (with q1 as (), q2 as (), ...) and that any CTE can refer to a CTE that has been defined before it.

Another important thing to notice is that, contrary to what happens with recursive queries (also defined using WITH) there is no parenthesed list of columns returned after the name given to the query.

### Interesting use of left outer join

Left outer joins are extremely useful for "filling the gaps", especially in time series. This is a usage that may seem a bit remote from joins as we have seen them, but which is quite practical.

```
select year_released, count(*) as films from movies where year_released between 1925 and 1934 group by year_released

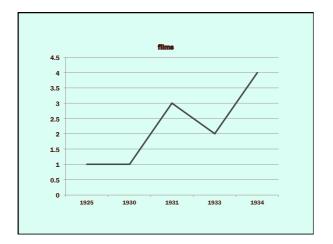
year_released films
1925 1
13 Problem
```

1931

1933

1934

If we look at very old films, we may not have films released every year, and gaps in the series of years. This may be a problem if we want to generate a chart from this data, or compute values (rate of increase?) that assume regular intervals.



select year\_released, count(\*) as films
from movies
where year\_released between 1925 and 1934
group by year\_released

One way to solve this problem is to "outer join"
the result of our query to a full, gap-less, list of
years.

```
select x.year_released, count(m.movieid) as films
from (select 1925 as year_released There are various
      union all select 1926
                                  tricks for
      union all select 1927
                                   generating lists of
      union all select 1928
                                  values
      union all select 1929
                               You can
      union all select 1930
      union all select 1931
                               sometimes be
      union all select 1932
                               cleverer
      union all select 1933
      union all select 1934) x
    left outer join movies m
    on m.year_released = x.year_released
group by x.year_released
```

year_released 1925 1926 1927 1928 1929 1930 1931 1932 1933	films  With the outer join, count(movied), which only counts not null values (remember that aggregate functions ignore nulls)  will return 0 when we have no films for that year (count() never returns NULL). Note that count(*)  wouldn't work as it counts rows.
	24

### Interesting use of min/max

Aggregate functions are often an elegant way to solve problems in which there is no explicit reference to an aggregate, but in which comparing several rows is required for answering the question.

Take for instance this case, which probably occurs pretty often in web stores when every ordered item in a command isn't in stock.

Orders composed of a variable number of articles

Articles may be in status available (A) or pending (P)

Shipment occurs when ALL articles are in status A

Most people (and books) will show you a query like this.

select o.order\_id, ...

from orders o
where not exists
 (select null
 from order\_detail d
 where d.order\_id = o.order\_id
 and d.status 'A')

Correlated: inefficient with big volumes

It hurts because you need to fully scan the table of orders, and for each row check that there is nothing pending.

In this query you execute some massive operations, but not tons of small queries, and on big volumes it will be far more select o.order\_id, ... efficient. It takes from orders o advantage of the fact that 'A' comes inner join order\_detail d comes before 'P' on d.order\_id = o.order\_id\_alphabetically.

group by o.order\_id, ... If the max is 'A', then having max(d.status) = 'A' there is no 'P'

All values are equal: having min(...) = max(...)

### exists compared to count

When it comes to correlated subqueries, if you execute them a number of times, then you don't want to spend too much time in each one.

A surprising high number of people use count(\*) when they are only interested in existence and only want to check whether the result of the count is zero or not. Suppose that you are scanning a 1,000,000 row table. If you use EXISTS and if the third row you inspect verifies the condition, you can stop here. If you use COUNT, you must check all rows to find how many other rows also verify the condition.

```
select d.city, a.city,
...
from flights f
inner join airports d
on d.code = f.departure
inner join airports a
on a.code = f.arrival

GROUP BY can sometimes replace self-joins, especially when a table appears many, many times. You can display the names of cities between which you have flights with this (legitimate) query.
```

```
Multiple joins with the same table

select a.city,

from flights f
   inner join airports a
   on a.code in (f.departure,
        f.arrival)

You can also join once, but in that case your query will return two rows in the same column, which is probably not how you want your result displayed.
```

```
Select case a.code

when f.departure then a.city
else null
end, ...
from flights f
inner join airports a
on a.code in (f.departure,
f.arrival)

With CASE you can spread your result over two columns, with a name and a NULL, in opposite positions.
```

```
select max(case a.code
when f.departure then a.city
else null
Apply MAX(), which ignores
NULL, and you squash your
two rows into one.

from flights f
inner join airports a
on a.code in (f.departure,
f.arrival)
group by ...
```

### Limiting damage

Another interesting use of window functions is limiting damage with runaway queries, especially in interactive environments where queries are dynamically built from user input that may not be very selective, thus leading to queries that return huuuuge numbers of rows.

```
select count(*)
from (original query)

if count <= maxcnt:
    original query</pre>
```

I have seen people trying to solve this problem in this way, wrapping the query first into a count(\*) and checking how many rows it retrieves. If the first query saves on data transfers and data rendition, it still executes the painful part. And if the query is OK, in practice you run it twice.

```
A far better way to do it (here with Oracle) is to count(*) over () cnt from ...

where ... and rownum <= maxcnt + 1 order by ...

If cnt > maxcnt:

"Refine your query"
```

What are we doing here?

Suppose that we consider that the query should normally not return more than 2,000 rows. We limit what we get to 2,001 at most, only sort these rows (not a big number, should be fast) and count in the process how many rows we have.

If we find 2,000 rows or less, we can be certain that we have retrieved all rows of interest. If we have 2,001 rows, the ordered set may be wrong, but we won't display it so it doesn't matter. We're putting a cap over what may go wrong.

# **Fuzzy** searches

Life would be easy with databases if we were looking all the time for strict equality of data. Unfortunately, this is rarely the case, especially with text data. Even if we try to normalize and standardize data as much as we can, constraints will do little against typos and some misspellings (surnames are a nightmare for emergency services at hospitals). We'll explore a few problems and possible solutions.



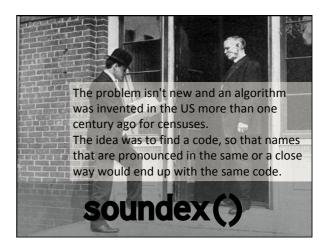
Guilgood Gillgood Gielgud Gilgud

Picture by Allan Warren

This famous British Shakespearean actor probably had one of the most misspelt names in his country (Gielgud is correct). His family was of German origin and they never felt a need to make their name look more English (contrary to the von Battenbergs who became Mountbattens, or Sachs-Coburg Gothas who became Windsors during WWI, much to the amusement of Wilhelm II of Prussia, himself a grandson of Queen Victoria)



Spelling issues are even worse with name originally written in another script than the Latin alphabet, and for which transcription is merely based on sound (and pronunciation may vary). This common Chinese surname may be turned into many variants.

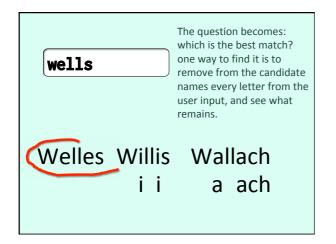


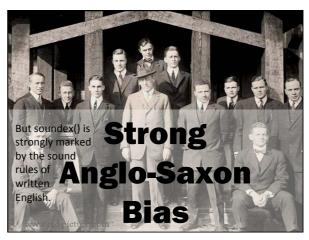
Basically you retain the first letter, drop vowels, letters that sound like vowels (w G 4 2 3 = oo), h (often silent), then replace similarly sounding consonents by the same digit, before eliminating one of two successive identical digits. Gielgud and Guilgood both become G423. B, F, P, V C, G, J, K, Q, V, X, Z 2 D, T 3 L 4 M, N

wells

W420

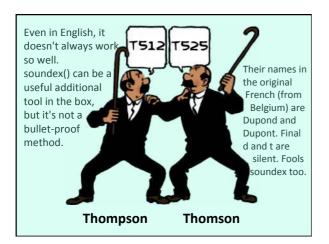
Now, if people look for "Wells", as in H.G. Wells, a name absent from the film database, nothing will be found. However, if we look for names the soundex of which is the same as the soundex of "Wells", we find many, among which those three.











Title to search: 2001, a space odyssey

### 2001: A Space Odyssey

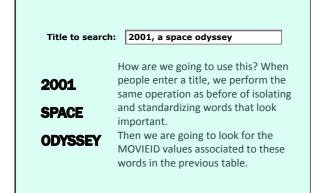
Even if finding a simple surname when you hesitate about spelling isn't easy (well, there is LIKE), difficulty pales in comparison of searching by title. The longer the title, the more opportunities for having something wrong, and sometimes it may be as little as punctuation.

What people use is known as "full-text search" and here is a simplified version of how it works. You split a text in (pure) words, eliminate words that are too common, then associate each word with the film identifier.

# FULL-TEXT SEARCH 2001: a space odyssey 2001 SPACE ODYSSEY

These words are stored in a special table. Note that a true full-text search engine "stems" words - it will recognize singular and plural as well as infinitive and preterit or past participle as the same word.

create table movie\_title\_ft\_index
 (title\_word varchar(30) not null,
 movieid int not null,
 primary key(title\_word, movieid),
 foreign key (movieid)
 references movies(movieid))





Such a query might serve the purpose. We count how many of the words we find by film for from (select movieid which at least one is found.

rank()

over (order by hits desc) as rnk

from (select movieid,

count(\*) as hits

from movie\_title\_ft\_index

where title\_word in

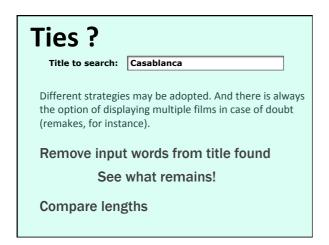
('SPACE', 'ODYSSEY', '2001')

group by movieid q1) q2

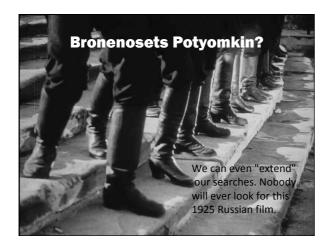
where rnk = 1

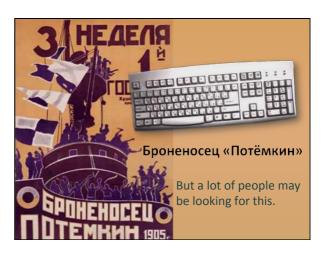
Then we rank. Notice that here we are interested by ties.



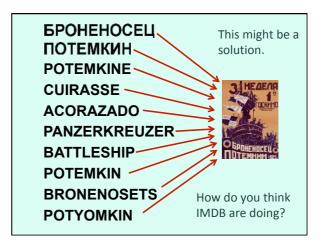


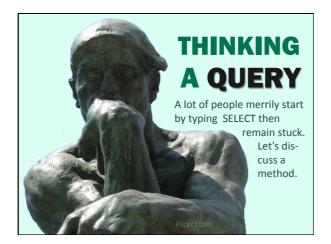














Let's take an example and see how we can turn the expression of a query problem into SQL, and if possible efficient SQL.

If we want to do it right, we must proceed in about 5 steps.

Find the titles and movies the directors of movies for which we have three actors or more.

STEP 1: The Scope

The first step consists in identifying the tables we need. Note that often some entities aren't required, just relationships

just count them and CREDITS is good enough.

pointing to them. We don't need here PEOPLE for actors, we

movies for which we have three actors or more.

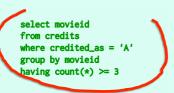
## **STEP 2: Aggregates**

The second step consists in computing aggregates. A huge number of queries involve aggregates, in a way or another. We must perform them before joining when possible.

select movieid
from credits
where credited\_as = 'A'
group by movieid
having count(\*) >= 3

### **STEP 2: Aggregates**

In that case we'll have to look for all actors in CREDITS, but we are operating against small amounts of data (just identifiers). If a condition other than being an actor had allowed to reduce the number of rows before aggregating, we should have taken advantage of it.



### **STEP 3: Main Filter**

There is usually in a query a main filter, THE condition that defines the most precisely the subset of rows we want to retrieve. In that case it's the aggregate computed earlier.

```
select m.movieid, m.title, m.year_released
from (select movieid
    from credits
    where credited_as = 'A'
    group by movieid
    having count(*) >= 3) m0
inner join movies m
    on m.movieid = m0.movieid
```

### STEP 4: Core Joins

At this stage you should add "core joins". Core joins are either the ones that contribute to filtering (not in that case) or that returns information that you should return and that shall be here.

```
select core.title, core.year_released,
       p.first_name, p.surname
from (select m.movieid, m.title, m.year_released
      from (select movieid
                                           And finally you
             from credits
                                           deal with
             where credited_as = 'A'
                                           everything else:
             group by movieid
                                           outer joins that
             having count(*) >= 3) m0
                                           may return
           inner join movies {\bf m}
               on m.movieid = m0.movieid) core additional
left outer join credits c
                                           information (or
   on c.movieid = core.movieid
                                           not), ordering.
 on p.peopleid = c.peopleid
                                           All this is just to
                                           make the result
                                           nicer.
order by core.title,
         core.year_released,
         p.surname
```

A brief summary of points that have little to do with SQL syntax or relational theory but are often of high practical importance.

Searching for names and in text is tricky. **soundex()** is no silver bullet. Full-text search is helpful for

Fuzzy searches are basically counting exact matches on "atomic" elements, and ranking.

Build queries bit by bit. Scope, aggregates, main filter, core joins, then qualifying joins, ordering etc.





# Transaction

First of all, let's talk about something very, very important which is directly linked to the idea of consistency: transactions.





The classical database example is transfering money from your current account to your saving account. You need to change the balance in two rows, what happens if the system crashes in the middle?

Account type	Account number	Balance
CURRENT ACNT	1234567	300.00
SAVINGS ACNT	8765432	1600.00

At worst, balances should remain what they were before you initiated the transfer.

# Single Unit

This idea that one business operation may translate into several database operations that must all succeed or fail is of prime importance to a DBMS.

Some products require a special command to start a transaction (BEGIN is sometimes START)

### begin transaction

insert

Other products such as Oracle or DB2 automatically start a transaction if you aren't already in one when delete you start modifying data.

A transaction ends when you issue either COMMIT (which is like an OK button) or ROLLBACK, which cancels everything you have done since the beginning of a transaction (you can sometimes cancel a subpart of a transaction, but it's not much used)

### commit



ROLLBACK automatically undoes everything. You can no longer do it after COMMIT.

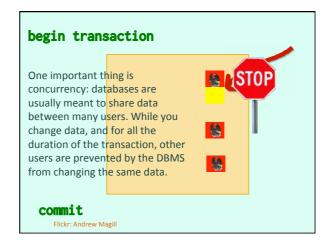
rollback

Cancel



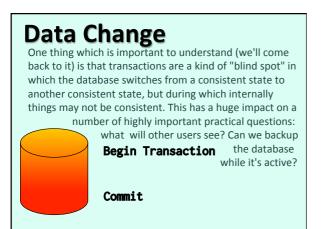
Account type	Account number	Balance
CURRENT ACNT	1234567	300.00
SAVINGS ACNT	8765432	1600.00

If during a transfer the debit from one account went well but you couldn't credit the other one (in some countries, some saving accounts cannot hold more than a given amount, it would be a reason for failure) ROLLBACK will restore the original balance.





For products such as Oracle and MySQL, any change to the structure of the database (DDL operations) automatically commits all pending changes to data; DDL operations cannot usually be rolled back.
This isn't the case with SQL Server, for wich a transaction can contain DDL statements.

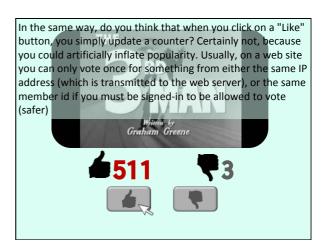


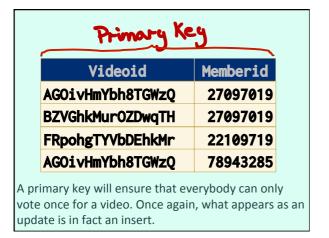
Number	Balance
1_5.567	300.00
8765432	1600.00
	1-5.567

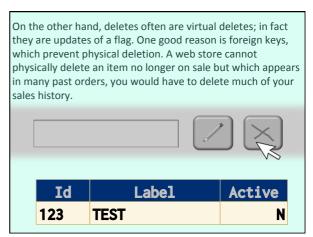
After having used the transfer-between-accounts example that you see everywhere, let's haste to say that a bank will never run two updates in one transaction for this kind of operation. Why? If you have ever looked at one of your bank statements, you have seen the list of operations since the last statement. What is stored is operations, and balances are recomputed once in a while.

Account type	Number	Balance	Date
CURRENT ACNT	1234567	300.00	1-Sep
SAVINGS ACNT	8765432	1600.00	1-Sep
<b>OPERATIONS</b>			
OPERATIONS Account number	Amount	Operation	Date
	<b>Amount</b> 100.00	<b>Operation</b> DEBIT	Date 3-Sep

may run daily, weekly or monthly to recompute the new balance. In between, balances can be recomputed from the old balance and by aggregating the latest operations. There are few updates, and a lot of inserts.







```
We have already seen the syntax for inserting one row.

insert into table_name
(column<sub>1</sub>, column<sub>2</sub>, ..., column<sub>n</sub>)
values (value<sub>1</sub>, value<sub>2</sub>, ..., value<sub>n</sub>),

(value<sub>p</sub>, value<sub>q</sub>, ..., value<sub>z</sub>)

Most products (exceptions are Oracle and SQLite) allow inserting several rows in one statement, with a commaseparated list of row data between parentheses.
```

If you don't specify the columns, it's understood as "all the columns, in the same order as they are displayed when running select \*"

insert into table\_name

values (value<sub>1</sub>, value<sub>2</sub>, ..., value<sub>n</sub>)

CAUTION

column order

may change

This is very dangerous in a program, because you can always add a column to a table, and often remove a column or change the default order.

What happens when you omit a column? The value inserted is the default one if defined, otherwise it will be NULL.

insert into table\_name
 (col1, col2, col4)
values (value<sub>1</sub>, value<sub>2</sub>, value<sub>4</sub>)





insert into countries(country\_code, country\_name, continent) values('kz', 'Kazakhstan', 'ASIA')

For instance, using the same table as before, this will insert NULL for the population of Kazakhstan. That's allowed, because I haven't made population a mandatory attribute.

If I want to specify a default value, I do it when I create the table. If I have a default value for a mandatory column, it will be OK to omit it in an insert statement. Note that if you have a default value for a nullable column, nothing prevents you from explicitly inserting NULL, and the default value won't be used.

