



Aalto University
School of Electrical
Engineering

/ Model-based Deep Learning for Beam Prediction based on a Channel Chart /

Taha YASSINE^{*,†}, Baptiste CHATELIER^{‡,†,*}, Vincent CORLAY^{‡,*}, Matthieu CRUSSIÈRE^{†,*},
Stéphane PAQUELET^{*}, Olav TIRKKONEN[§], Luc LE MAGOAROU^{†,*}

† Univ Rennes, INSA Rennes, CNRS, IETR-UMR 6164, Rennes, France

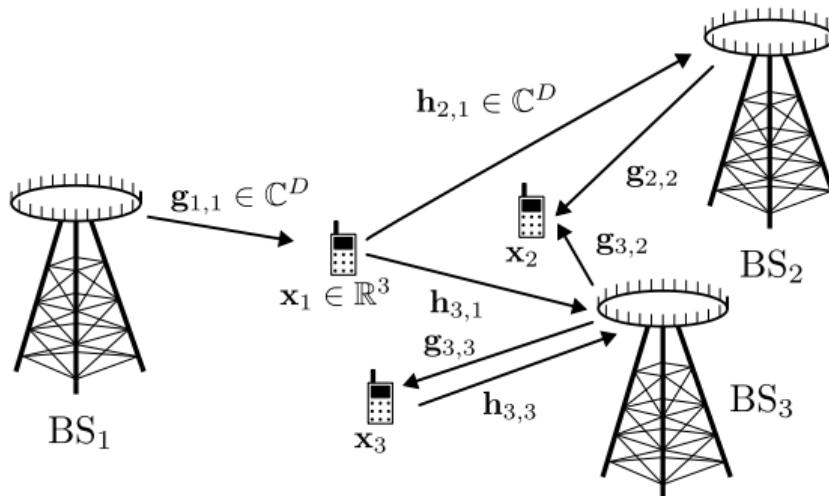
‡ Mitsubishi Electric R&D Centre Europe, Rennes, France

§ Department of Information and Communications Engineering, Aalto University, Finland

* b<>com, Rennes, France

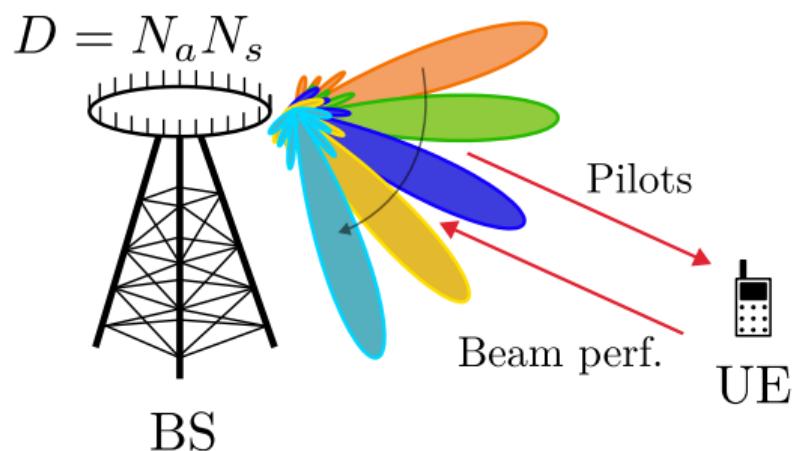
baptiste.chatelier@insa-rennes.fr

- In Cell Free Massive MIMO communication systems, with different uplink and downlink frequencies, how to attribute the best BS beam to a given UE ?



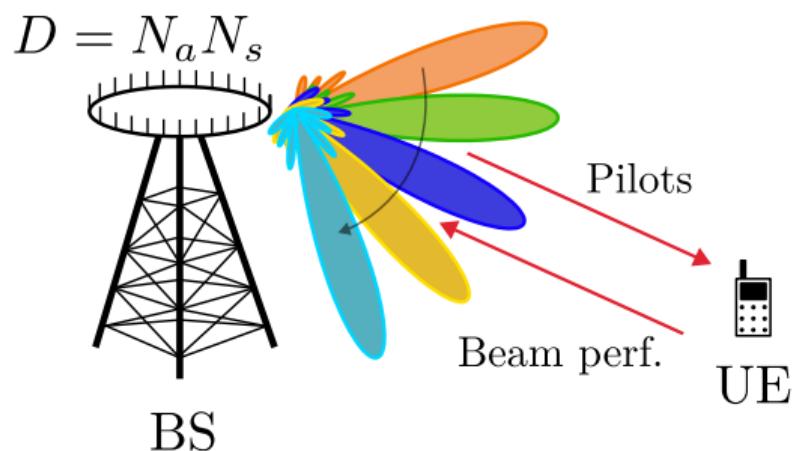
Beam sweeping

$$N_b = \mathcal{O}(D)$$



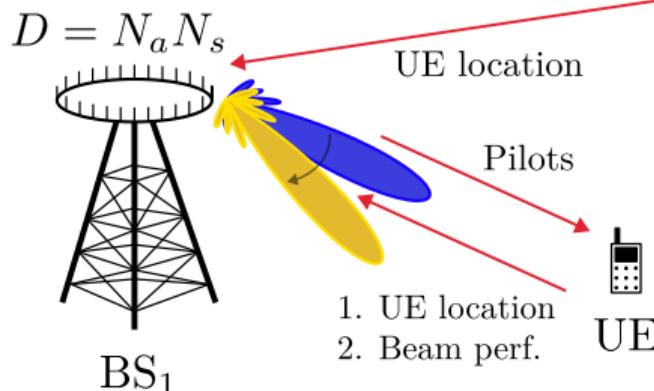
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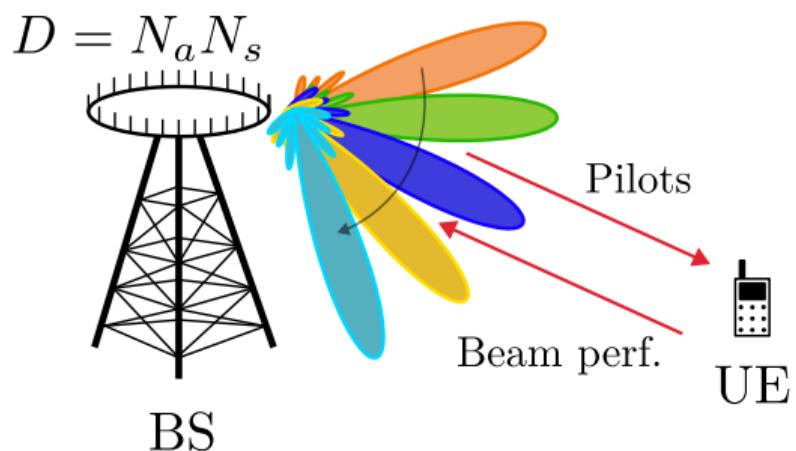
Location based
beam allocation

$$N_b \ll \mathcal{O}(D)$$

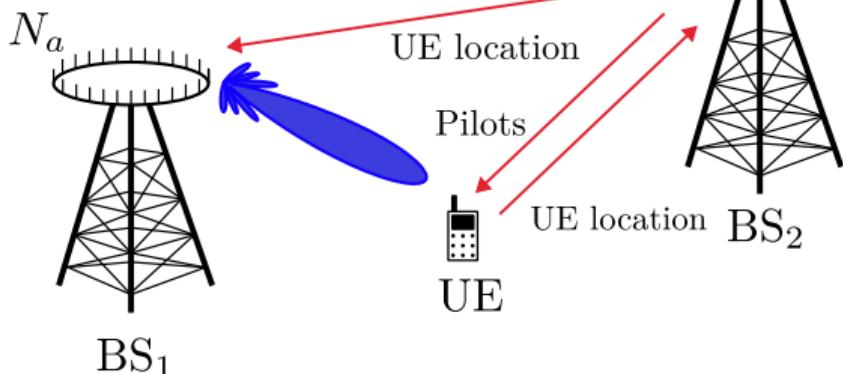


Beam sweeping

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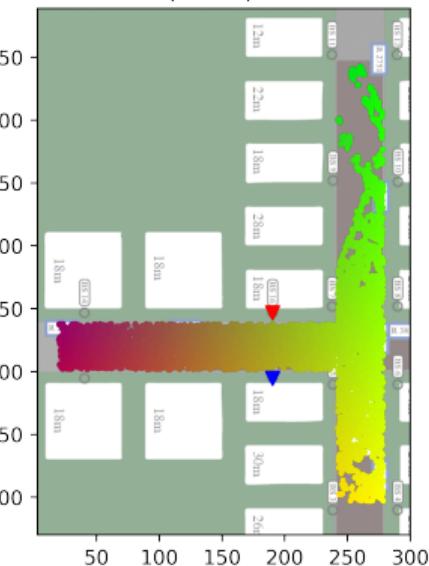
ML-aided location based
beam allocation

Location to beam mapping

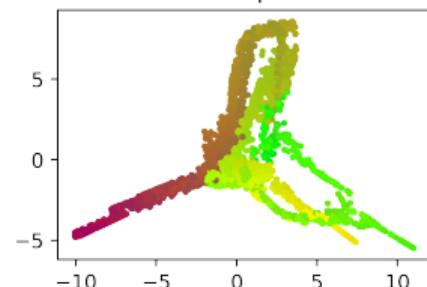


What happens if the location becomes a chart location ?

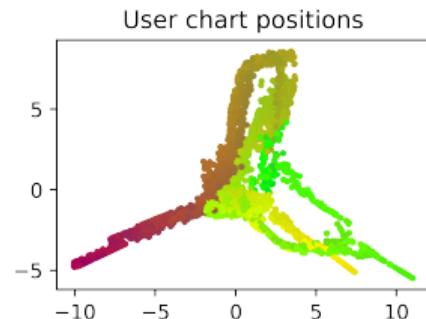
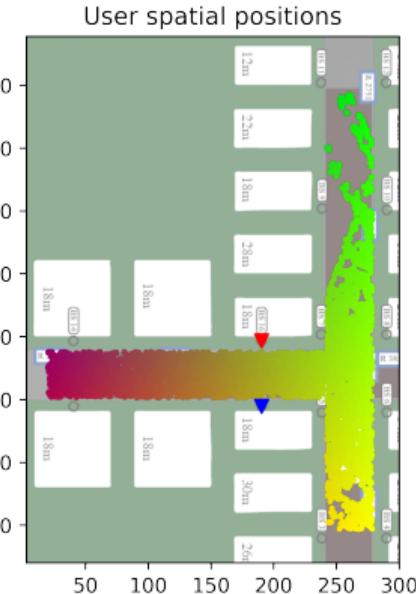
User spatial positions



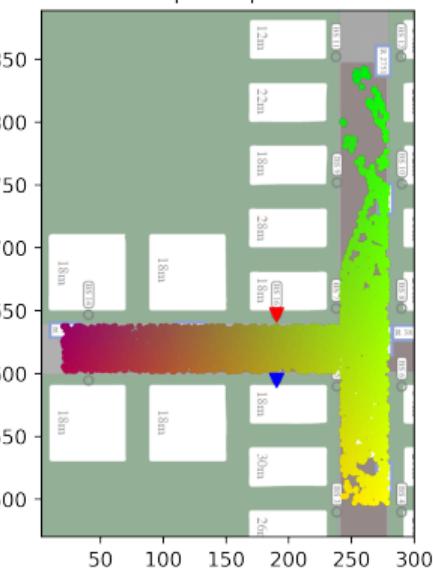
User chart positions



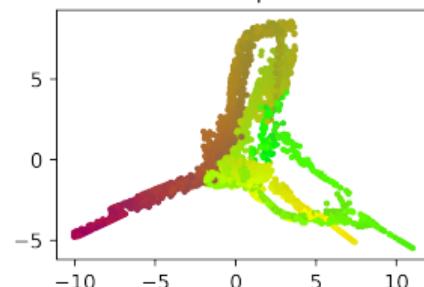
- Locations: GNSS



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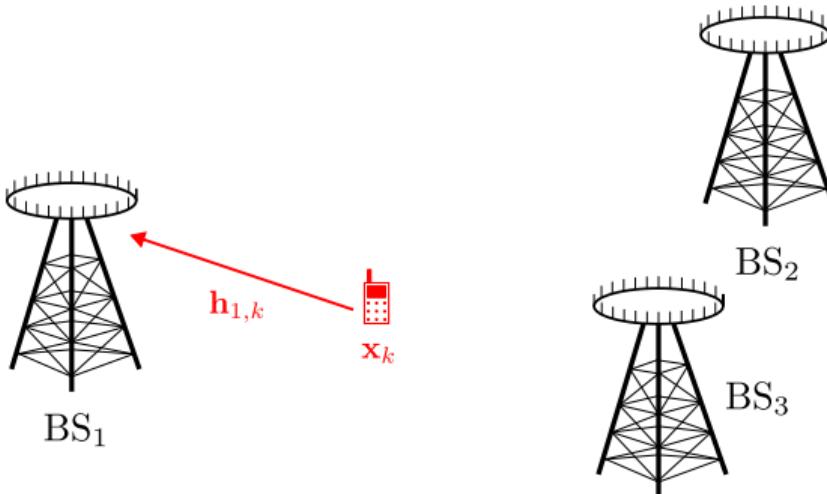


- Locations: GNSS
- Chart/Pseudo-locations: dim. reduction of the channel

Contributions

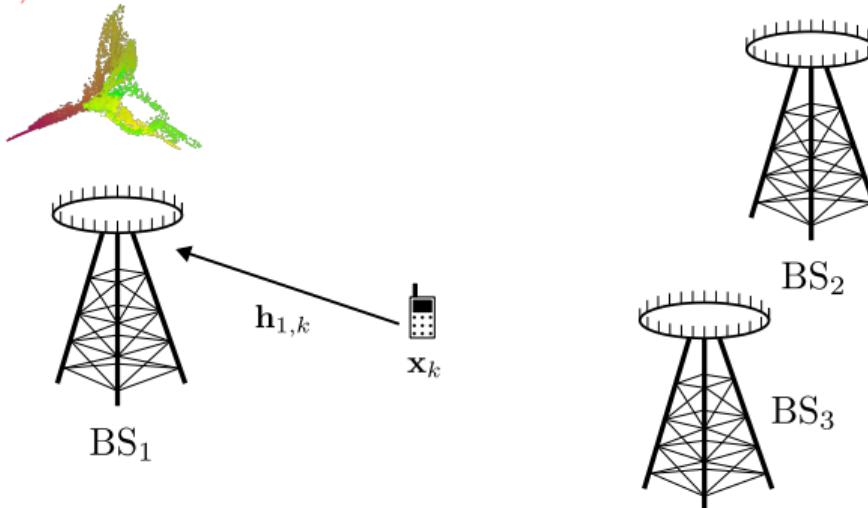
- New neural architecture for the pseudo-location to beam mapping

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- Assessment of codebook performance versus precoder learning in cell-free systems



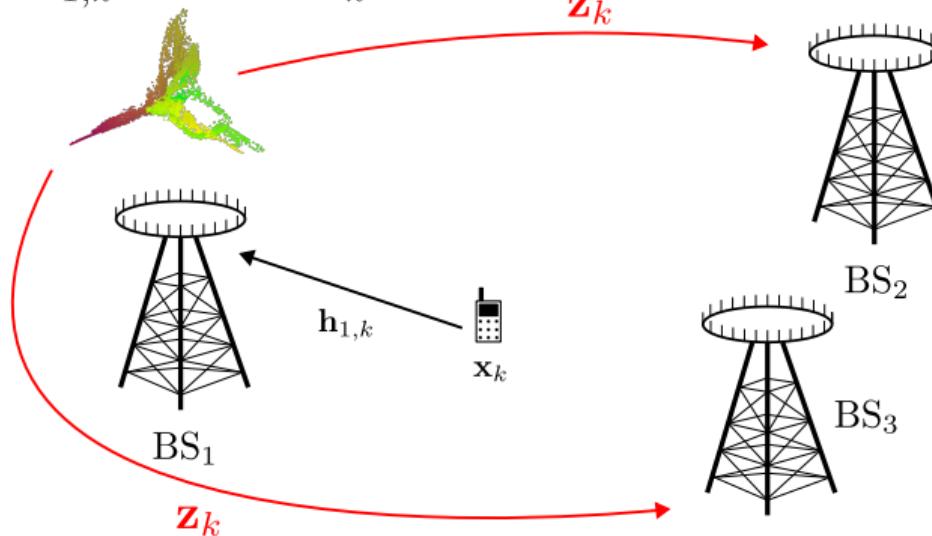
- Inference: get uplink channels at BS1

$$\text{CC: } \mathbf{h}_{1,k} \in \mathbb{C}^D \rightarrow \mathbf{z}_k \in \mathbb{R}^d$$

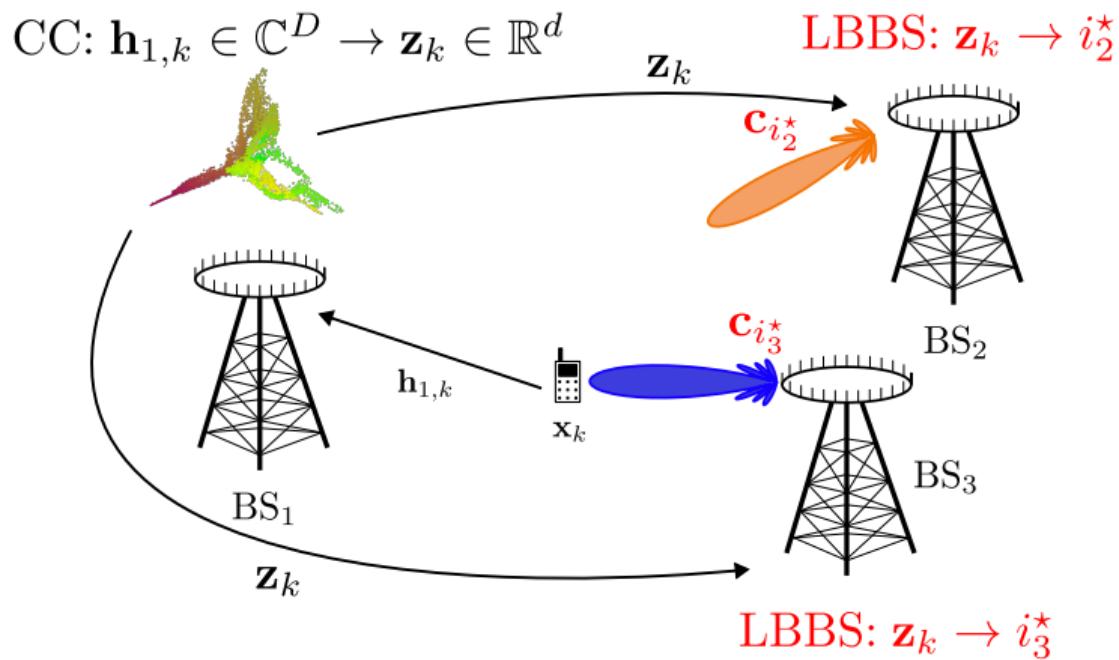


- Inference: channel charting

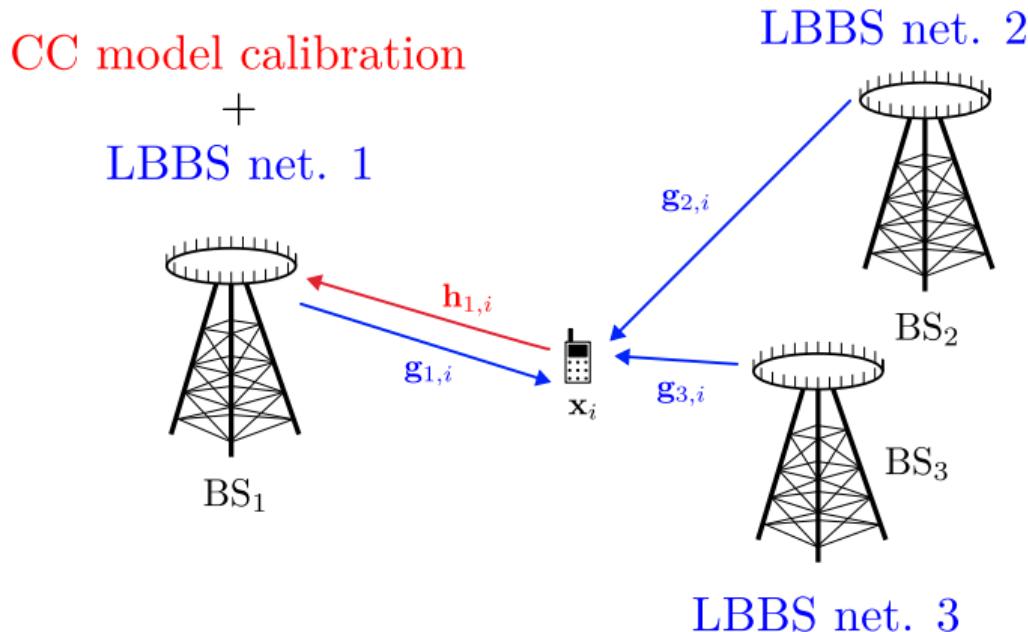
CC: $\mathbf{h}_{1,k} \in \mathbb{C}^D \rightarrow \mathbf{z}_k \in \mathbb{R}^d$



- Inference: send pseudo-locations to other BSs

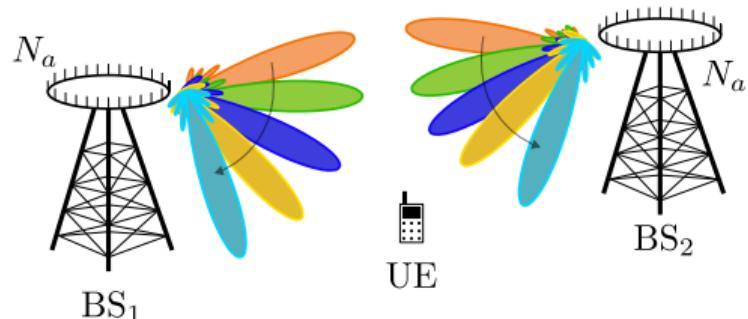


- Inference: beam selection from pseudo-locations

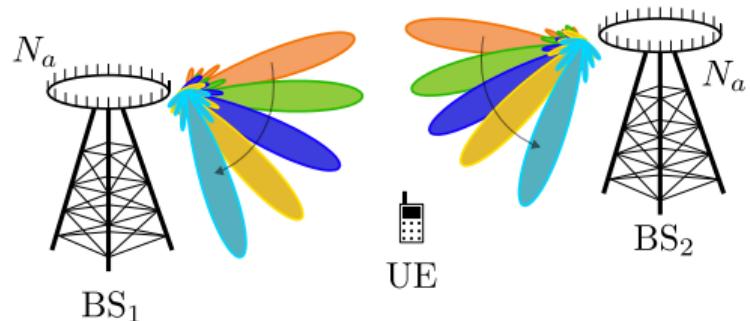


- Training: CC only at BS1, LBBS networks at all BSs

- Classical approach:

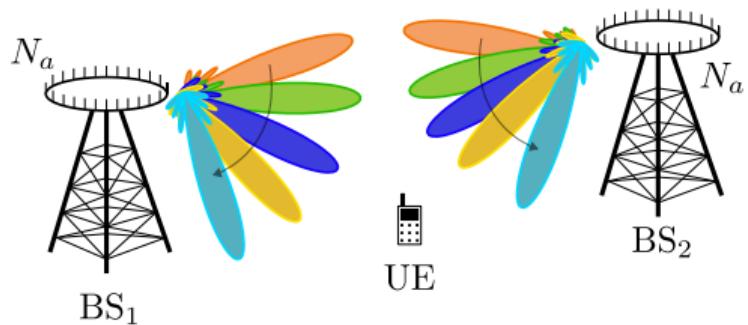


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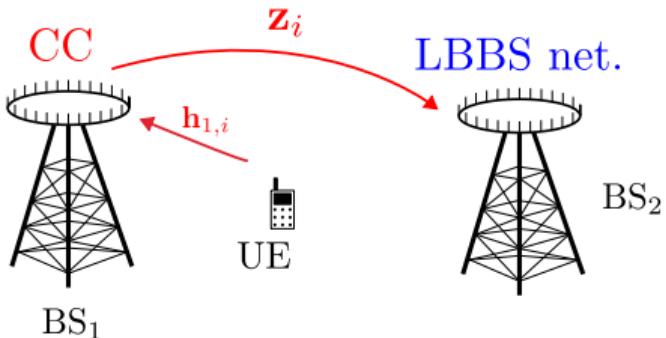


- All BSs perform beam sweeping:
 $\mathcal{O}(BD)$

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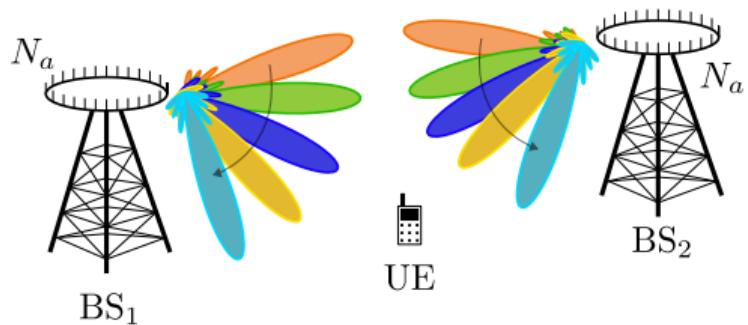


- CC-based approach:



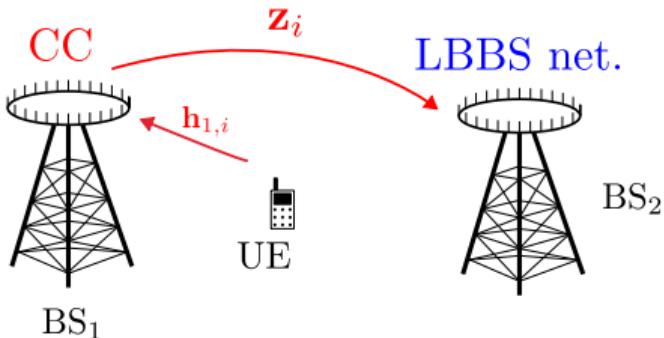
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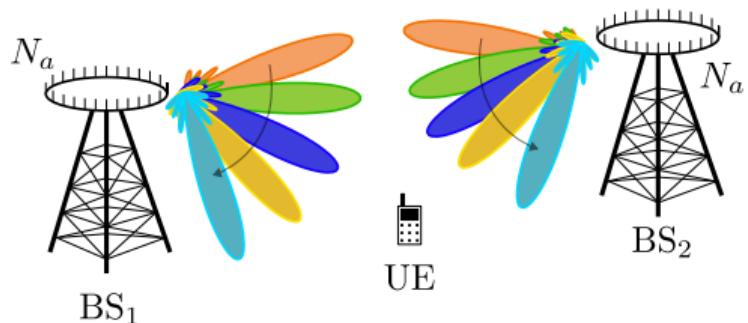
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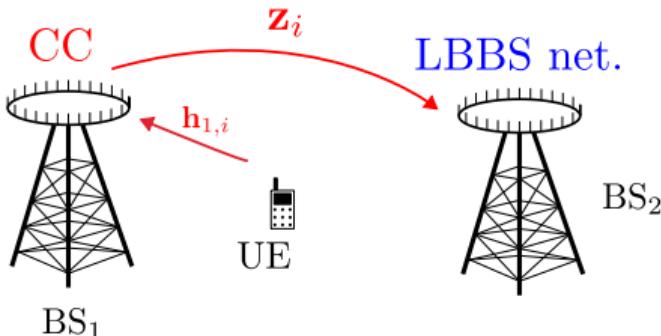


- One BS performs channel estimation and channel charting

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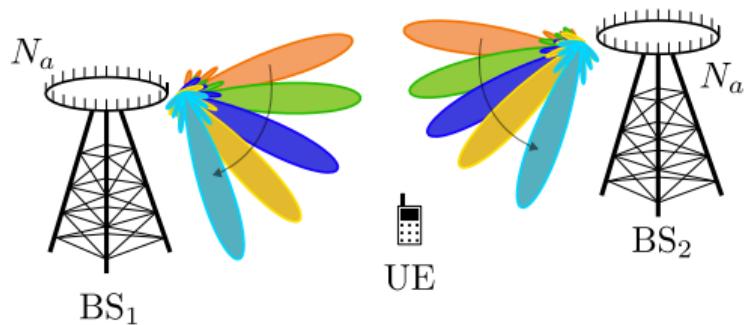
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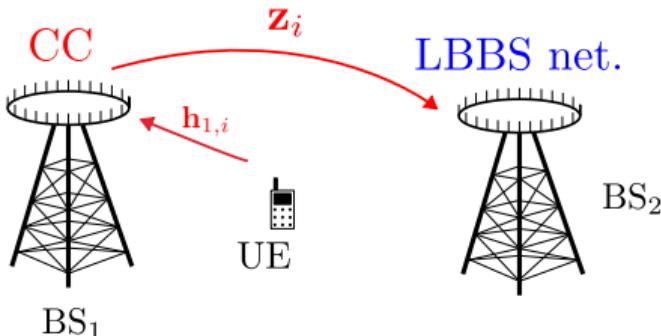
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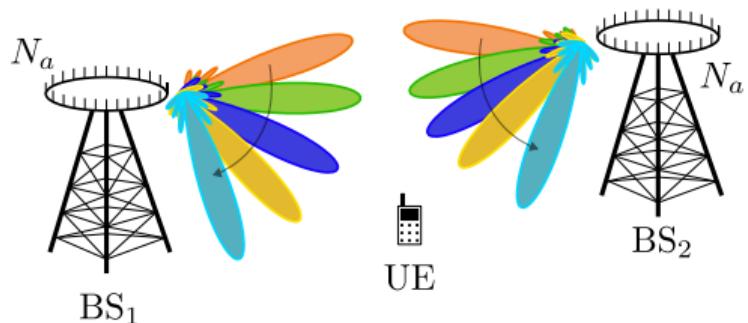
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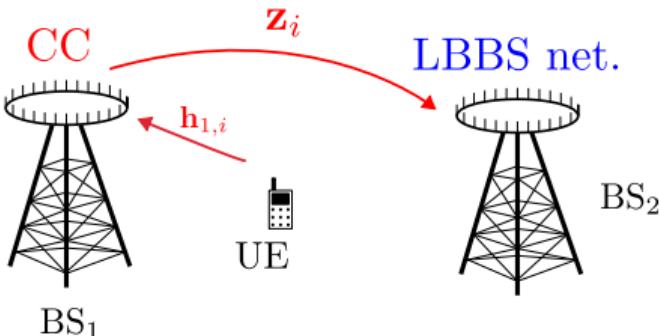
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- Total complexity: $\mathcal{O}(D + Bd)$, $d \ll D$

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Huge complexity reduction

- Manifold learning methods such as ISOMAP are computationally intensive in out-of-sample scenarios

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¹Yassine et al., “Leveraging triplet loss and nonlinear dimensionality reduction for on-the-fly channel charting”.

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$$\begin{array}{ccc} \{\mathbf{h}_{1,n}\}_{n=1}^N & \xrightarrow{\text{ISOMAP}} & \{\mathbf{z}_{1,n}\}_{n=1}^N \\ \left(\mathbf{D} \triangleq (\mathbf{h}_{1,1} \cdots \mathbf{h}_{1,N}) \in \mathbb{C}^{N_a \times N} \right) & & \left(\mathbf{Z} \triangleq (\mathbf{z}_{1,1} \cdots \mathbf{z}_{1,N}) \in \mathbb{C}^{d \times N} \right) \end{array}$$

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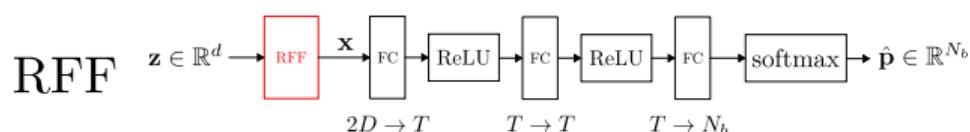
Out-of-sample channel: $\mathbf{h}_{1,j}$



- $\mathbf{z}_{1,j}$ can be seen as a convex combination of the pseudo-locations associated to the K most correlated channels with $\mathbf{h}_{1,j}$.

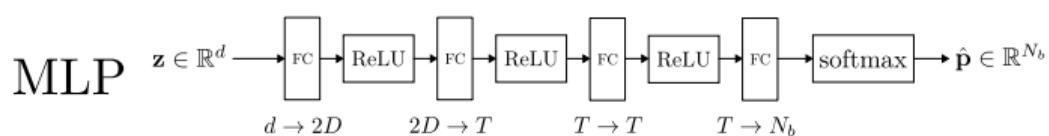
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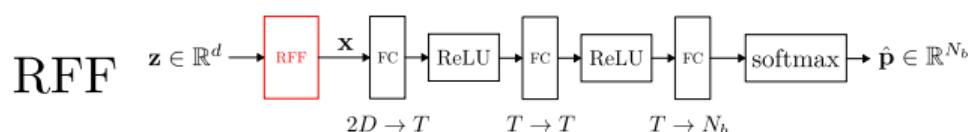


Training loss: multi-class cross entropy

$$\mathcal{L} = - \sum_{u=1}^{\mathcal{B}} \mathbf{p}_u^T \log_2 (\hat{\mathbf{p}}_u)$$

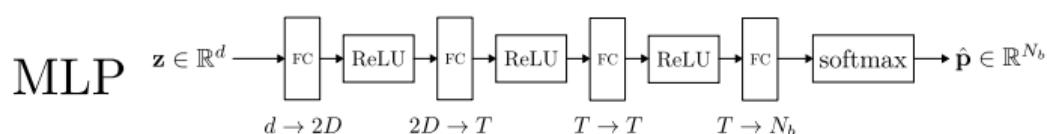


$\mathbf{p}_u \in \mathbb{R}^{N_b}$, $(\mathbf{p}_u)_j = 1 \Leftrightarrow i^* = j$ for UE u .



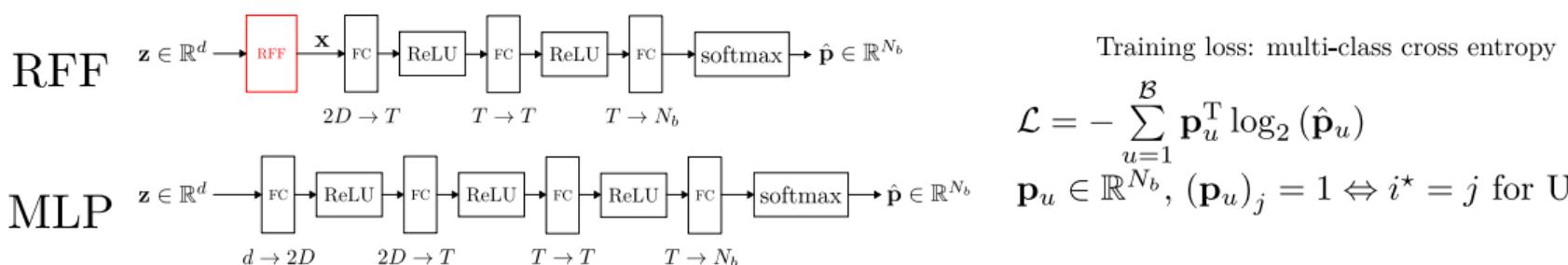
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- $\mathbf{x} = \begin{bmatrix} \cos(2\pi \mathbf{B}\mathbf{z}) \\ \sin(2\pi \mathbf{B}\mathbf{z}) \end{bmatrix}, \mathbf{B} \in \mathbb{R}^{F \times d}$
- $\mathbf{B} \sim \mathcal{N}(\mathbf{0}_F, \sigma^2 \mathbf{Id}_F)$
- $d = 5, F = 200, T = 64, N_b = 256$



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- Baseline: 1-NN \rightarrow the best beam for a given test pseudo-loc. is the optimal beam of the closest train pseudo-loc.

- Two different scenes:
 - Urban canyon with *DeepMIMO*³
 - Paris, Étoile neighborhood with *Sionna*⁴

³Alkhateeb, “DeepMIMO: A Generic Deep Learning Dataset for Millimeter Wave and Massive MIMO Applications”.

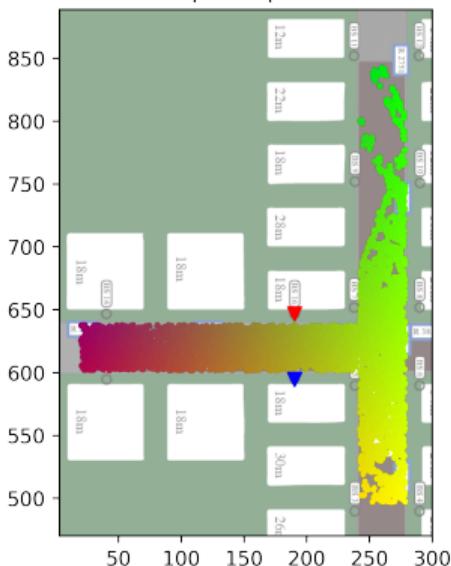
⁴Hoydis et al., “Sionna: An Open-Source Library for Next-Generation Physical Layer Research”.

- Two different scenes:
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- Radio parameters:
 - 2 BSs: UPA 8x8 $\Rightarrow N_a = 64$
 - 2D-DFT codebook: $N_b = 4N_a$
 - UEs: mono-antenna
 - Uplink: 3.5GHz
 - Downlink: 28GHz
 - Multicarrier: 16 subcarriers over 20MHz bandwidth

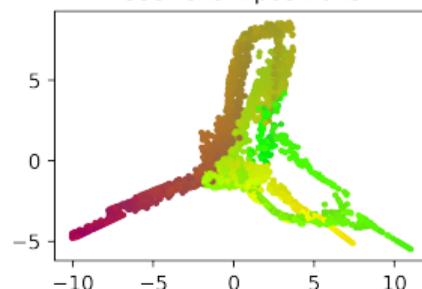
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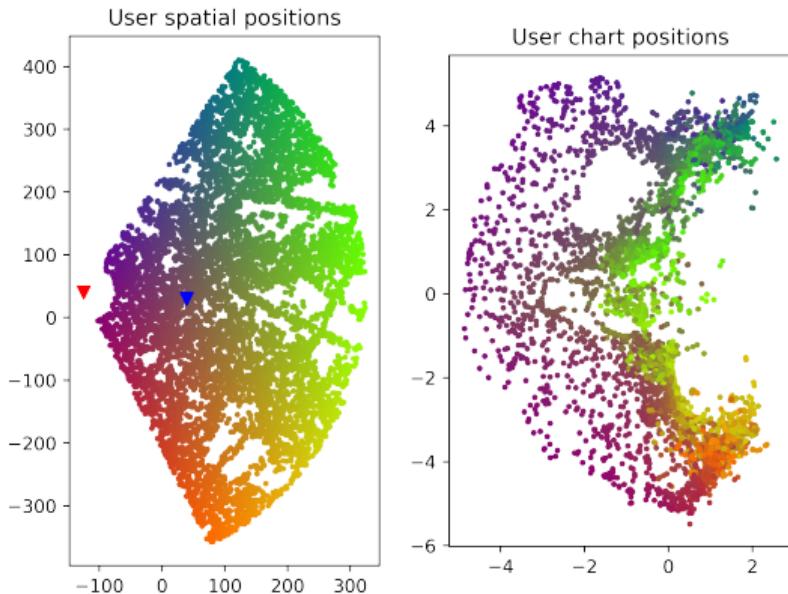


User chart positions



- Neighbours: 5% of dataset size

TW	CT	KS
0.973	0.929	0.471



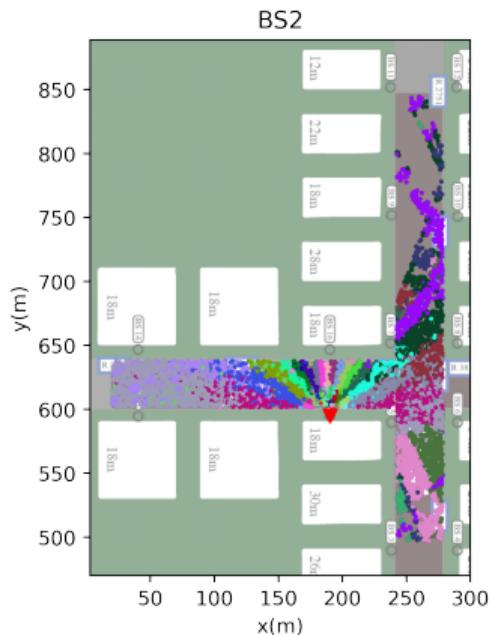
- Chart shape can be explained⁵

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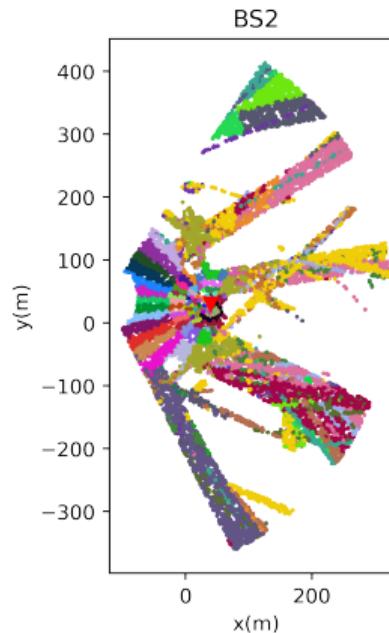
TW	CT	KS
0.960	0.952	0.292

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- DeepMIMO



- Sionna



- Pseudo-locs.

<i>DeepMIMO</i>	RFF	MLP	1-NN
Top 1 acc. (%)	66.07	56.06	61.40
Top 2 acc. (%)	84.87	76.97	81.31
Top 3 acc. (%)	90.66	85.09	88.77
<i>Sionna</i>	RFF	MLP	1-NN
Top 1 acc. (%)	66.07	54.07	69.73
Top 2 acc. (%)	75.13	65.00	79.47
Top 3 acc. (%)	78.27	69.07	81.87

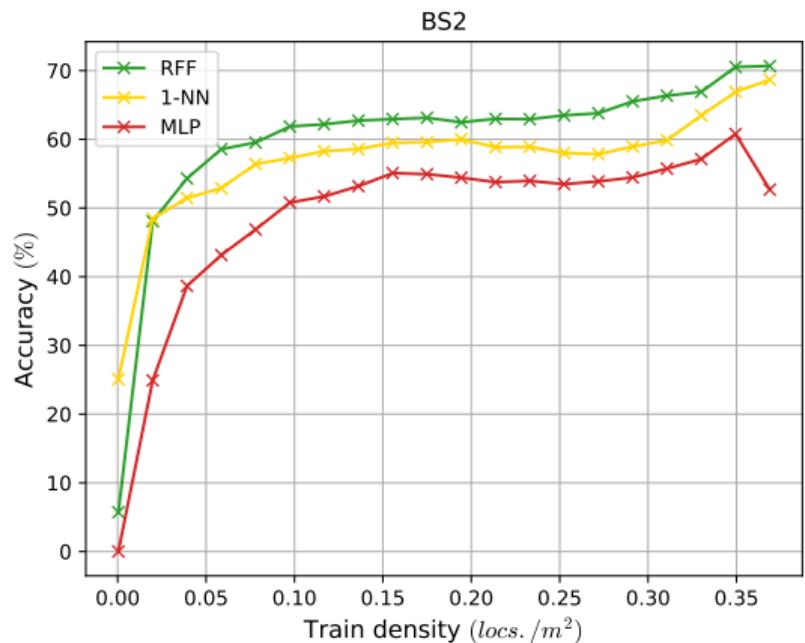
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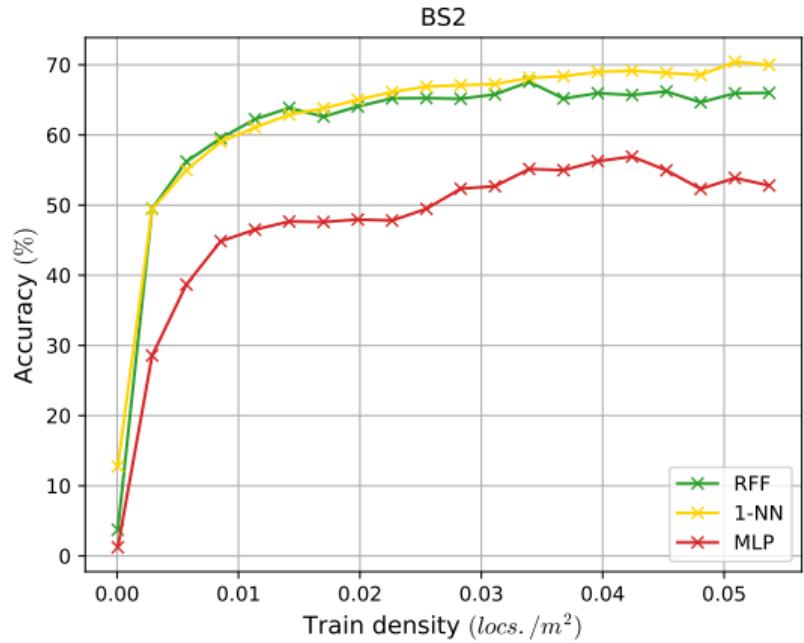
- True locs.

<i>DeepMIMO</i>	RFF	MLP	1-NN
Top 1 acc. (%)	74.53	34.15	71.08
Top 2 acc. (%)	91.21	46.61	88.32
Top 3 acc. (%)	95.77	54.39	94.33
<i>Sionna</i>	RFF	MLP	1-NN
Top 1 acc. (%)	82.53	42.40	82.07
Top 2 acc. (%)	88.40	49.93	88.27
Top 3 acc. (%)	89.87	53.80	89.87

- DeepMIMO



- Sionna



- Mean execution time for inference (1.5M pseudo-locs.)

	RFF	MLP	1-NN (ball-tree)	1-NN (brute force)
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- Optimized 1-NN is interesting: information in pseudo-locations work well with very simple ML methods
- When considering online learning, parametric methods (i.e. RFF/MLP) would outperform non-parametric methods (i.e. 1-NN) in terms of inference complexity

- From a pseudo-location learn a precoder $\mathbf{w} \in \mathbb{C}^{N_a}$
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$$\mathcal{L} = 1 - \frac{1}{\mathcal{B}} \sum_{u=1}^{\mathcal{B}} \frac{|\mathbf{w}_u^H \mathbf{g}_u|^2}{\|\mathbf{g}_u\|_2^2} \quad (1)$$

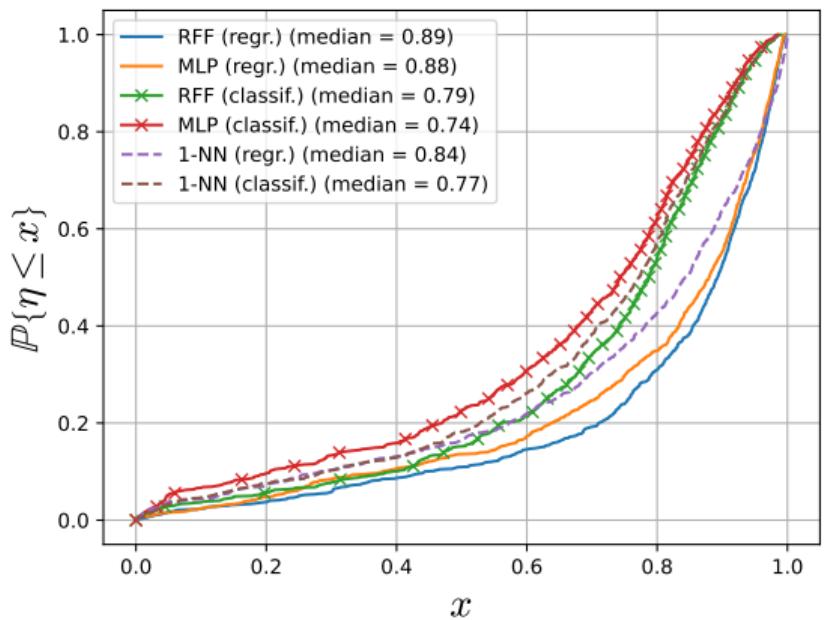
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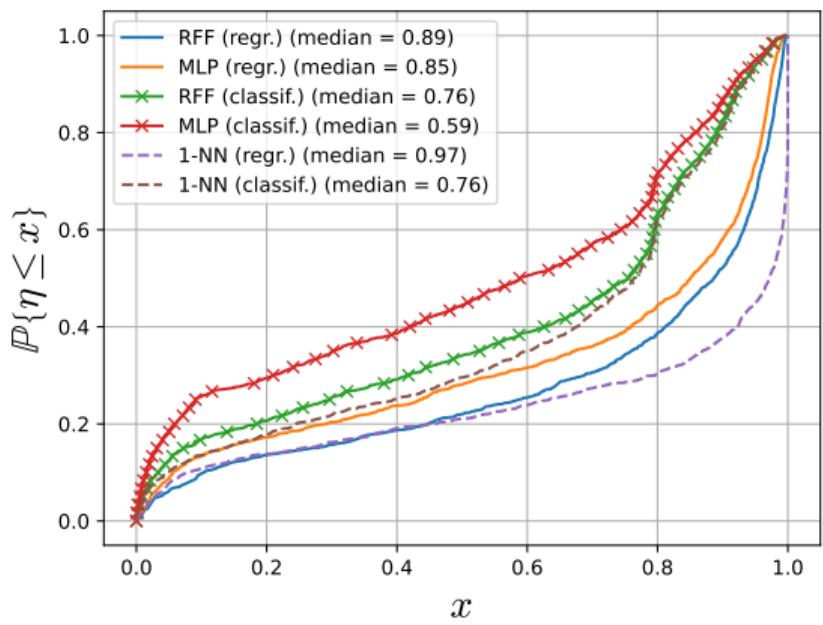
- Evaluation metric: normalized correlation between precoder and downlink channel:

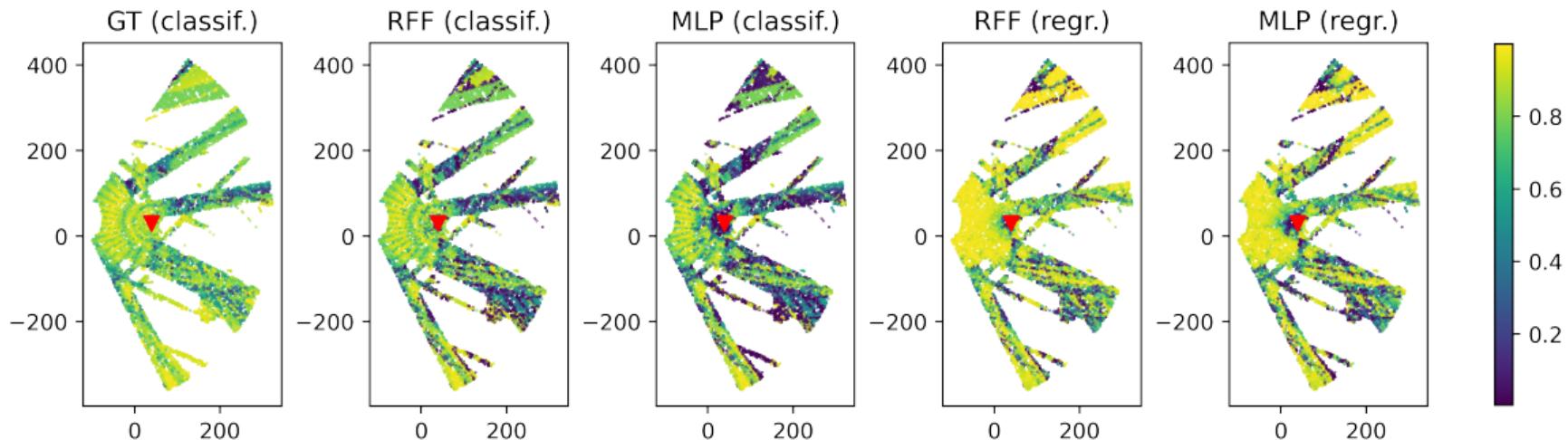
$$\eta = \frac{|\mathbf{w}^H \mathbf{g}|^2}{\|\mathbf{g}\|_2^2} \quad (2)$$

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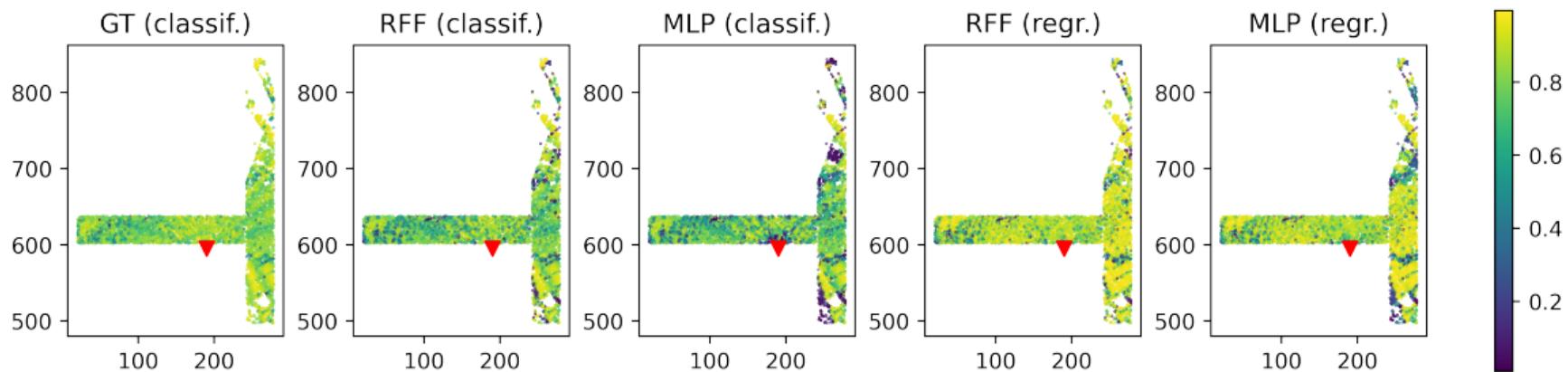
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 - Would using an auto-encoders cause a performance drop ?
 - End-to-end training for channel charting and neural network.

Thank you!
Questions?



Thanks