**Michael Stoddard, Jr.**

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**Qualifications:** Seasoned technology executive with over 20 years of management experience in all aspects of information technology from application development to infrastructure support and operations and project/program management. Environments include mainframe, client/server, cloud services, infrastructure, telephony, distributed computing, server and database administration and related technologies.

**Experience:**

**Intech Investment Management LLC, A Janus Henderson Investors Company,** West Palm Beach, FL

**Chief Technology Officer(April 2011 – Present)**

*Synopsis of Responsibilities and Accomplishments:*

* Responsible for all aspects of technology for the firm; member of Intech’s senior leadership team.
* Network and telephony infrastructure architecture and design, application development and support, systems engineering and administration and end-user services.
* Responsible for application roadmap and strategy to meet the needs the firm’s clients and user community.
* Commissioned a firm-wide (cross-functional) data management and business process optimization initiative to deliver multiple efficiencies and cost savings to the organization.
* Responsible for the firm’s cyber security program as a part of the business continuity roadmap.
* Co-Chairman of the Intech Business Continuity Planning Committee
* Represent Intech’s technology and computing platforms to prospective and existing clients as a part of the firm’s business development initiatives or client operational due diligence.

**UBS Investment Bank,** Stamford, CT and New York, NY

**Director**, **Information Technology(June 1998 – March 2011)**

*Synopsis of Responsibilities and Accomplishments:*

* Successfully managed application and infrastructure support, development and engineering teams.
* Managed systems migrations for Finance, Credit and Market Risk Control, including infrastructure build and testing.
* Managed resources within a matrixed organization of approximately 70 developers and testing staff.
* Built and staffed a global helpdesk to support SAP enterprise users globally and integrated this helpdesk with a global batch support team running 4 regional batches (North America, EMEA, Asia Pacific and Switzerland).
* Spent extended time in Zurich, Switzerland helping to develop and implement a Global General Ledger solution and set up a support team in the “Swiss Secure Zone” banking secrecy environment.
* Managed/developed/coached staff at various levels while managing for high performance (including responsibility for recruitment and dismissal as appropriate). Also served on management panels and as a keynote speaker for various UBS sponsored organizations. Mentor for urban youths sponsored by UBS for internships and apprenticeships via the Year Up non-profit organization.
* Managed the eCommerce Infrastructure solution for UBS Group (Investment Bank, Private Wealth Management and Global Asset Management) allowing front-office functions to bring in substantial revenues via the Internet.

**Roles:**

**Infrastructure Management and Delivery (June 2007—March 2011):**

*Investment Banking Technology Services:* Global Head of Business Technology Strategy and Support:

* Responsible for all aspects of infrastructure delivery for the Fixed Income Currency and Commodities Trading area, including FX Cash and Collateral Trading areas. Role encompasses operational delivery, architecture, relationship management with shared services infrastructure teams, cost control and premium managed services providing services for risk systems, trade capture systems for the Rates and Fixed Income business streams.
* Accountable to the CTO of Fixed Income and Head of Development for delivery of technology services.
* Re-structuring of team for operational and financial efficiency.
* Team of approximately 15 expert technologists and project managers focused on architecture, infrastructure support and platform administration: Oracle, SQL Server, Unix/Linux, Websphere, Documentum, SharePoint and other platforms.

**Applications Support/Service Delivery Management (June 2005—May 2007):**

*Investment Banking Division:* Global Head of Global Service Delivery:

* Managed, built and developed an integrated Second and Third Level application and infrastructure support team to provide 24x7 support for all technologies used by the Investment Banking Division.
* Commissioned a 1st level service desk for direct client support by hiring customer service oriented technical staff while improving team operational efficiency.
* Managed SLAs and KPIs with the business as well as with internal service providers.
* Responsible for new and existing application releases and overall service delivery with accountability to the business.
* Managed direct and allocated cost budget of approximately $5MM including consulting engagements, staff costs, project deliverables and outsourcing.
* Managed outsourcing strategy and execution for the function with input into the global outsourcing strategy for Service Delivery, Development and QA.
* Accountable to the Global and Regional COO functions of the Investment Banking Division.
* Responsible for Sarbanes-Oxley internal control certification for my department as well as audit signatory.
* Team of approximately 40 staff.

**Infrastructure Support Management (November 2002—June 2005):**

*Integrated Managed IT Services:* Client Authentication and Identity Management Infrastructure Manager:

* Responsible for the 24x7 support of all Client and non-client facing authentication and authorization support delivery, including all SSO (single sign-on) and role based authentication system support for UBS global infrastructure.
* Contributed to the architectural strategy formation for Identity Management infrastructure ensuring high availability.
* Managed ongoing support of UBS Investment Bank’s Hosted Authentication Services.
* Responsible for building out a Business Intelligence Managed Service department/function including engineering and architecture (Business Objects was the core technology product). Also responsible for regional (Americas) management of Web Infrastructure Services as per below role.
* Team of approximately 20 direct staff and 50 matrixed staff.

*Integrated Managed IT Services:* Infrastructure Delivery Manager:

* Responsible for revenue generating client facing internet (eCommerce) infrastructure and intranet 24x7 infrastructure service delivery enabling front-office business revenue generation.
* Management of a $6MM per annum vendor (Savvis Communications, formerly Digital Island) for client facing Internet Hosting including management of two outsourced **SAS 70 Level 2** Certified data centers as well as internal web infrastructure (web-farms) across various core UBS sites. Vendor contract negotiation for renewals and new deliverables/statements of work.
* Instituted security review of infrastructure which resulted in the in-sourcing of security monitoring as well as ongoing security maintenance based on adopted standards—generated an approximate $300K per annum cost savings as a result of this and similar initiatives.
* Managed a matrixed staff of approximately 30 people distributed globally across UBS and Perot Systems Corporation as well as an outsourced support team of approximately 20 dedicated network, Unix and Microsoft engineers as well as delivery of overall account management.

**Applications Support/Development Management (June 1998—October 2002):**

*Financial Control Division ERP Application Development*: Software Release Delivery and QA Manager:

* Responsible for a team that coordinates developers' testing and release efforts throughout each stage of the SDLC
* Managed test and QA environments and developed/implemented processes for delivering various programs/projects.
* Worked with matrixed development team (70+ developers) on re-engineering of the core Global General Ledger application
* Hands-on Informatica/ETL development as well as testing coordination and management. (September 2001—October 2002)

*Enterprise Shared Services Global Service Delivery*: Global Production Support Manager:

* Responsible for 24x7 global IT Operations team that supports the Bank’s Global General Ledger System with staff located in the Bank’s core sites in North America, Europe and Asia Pacific. This included infrastructure management of Sun Hardware and O/S, and support of an SAP enterprise client (FI/CO/MM modules) and a homegrown integrated Data Warehouse solution, as well as vendor management for these products. As a back-office system owner, responsibilities also included SLA management of upstream data delivery from internal systems as well as external or 3rd party systems such as FedWire, Global Plus/Global One, MINT and others.
* Led application development and roll-out efforts for major delivery streams. Also supported various back-office/logistics functional teams including HR, Legal & Compliance and Risk.
* Staff of approximately 20 technologists globally. (September 2000—August 2001)

*Financial Control Global P&L/Reporting*: Regional Application Production Support Project Manager:

* Responsible for 24x7 regional support and operations of global profit and loss applications.
* Staff of approximately 15 technologists globally. (January 2000—August 2001)

*Financial Control Application Management: Year 2000 Remediation Project Manager*:

* Managed application development effort for Year 2000 remediation.
* Produced RFPs, worked with in-house and off shore teams to remediate accounting and regulatory reporting applications in preparation for the Year 2000.
* Built and managed a regional “Command and Control Center” to manage the Year 2000 arrival and organized support and engineering resources. (June 1998—January 2000)

**Swiss Bank Corporation/SBC Warburg Dillon Read**, New York, NY, **(May 1997—April 1998)**

*Applications Management Consultant Project Manager*:

* Assisted in the coordination of the migration of critical back office systems from the New York Swiss Bank offices to the new North American Headquarters in Stamford, CT. This included physical end-user moves and build out of new desktop infrastructure in line with the Global Distributed Infrastructure Program.
* Assisted in managing the systems/staff integration with the acquisition of Dillon Read by Swiss Bank Corporation.

**Internships/Relevant Experience**

**The Chubb Corporation/Chubb & Son Insurance**, Warren, NJ, *Information Technology Summer Intern*: Developed neural network applications and expert systems while working with senior programmers to develop software for underwriting use within Chubb (Summers of 1994, 1995 & 1996)

**Bell Communications Research (Bellcore)**, Piscataway, NJ, *Summer Intern*:

Software technology development to augment research and development efforts of Bellcore to support regional Bell Operating Companies (Summers of 1992 & 1993)

**Yale Psychiatric Institute**, New Haven, CT, *Medical Records Computer Support Technician*: Maintained and updated databases used for tracking patient charts; provided technical software support for medical records staff and administrators (On campus semester work-study, September 1994 – May 1998)

**Computer/Special Skills:**

• ITIL Certification • Management and Leadership

• Cyber Security Planning • Mentoring/Coaching

• Data Center coordination/management • Disaster Recovery/Business Continuity

**Education:**

**Yale University,** New Haven, Connecticut: BA, Psychology, 1998

**Community Service/Organizational Affiliations**

* IT Senior Management Forum (ITSMF) National Organization Affiliation
* Black Data Processing Associates (BDPA) Member
* Who’s Who of Distinguished Individuals

**Hobbies/Interests**

* Music (saxophone and percussion instrumentalist, learning to play bass guitar)
* Radio Control Cars and Aircraft
* Video Gaming
* Outdoor sports/activities