

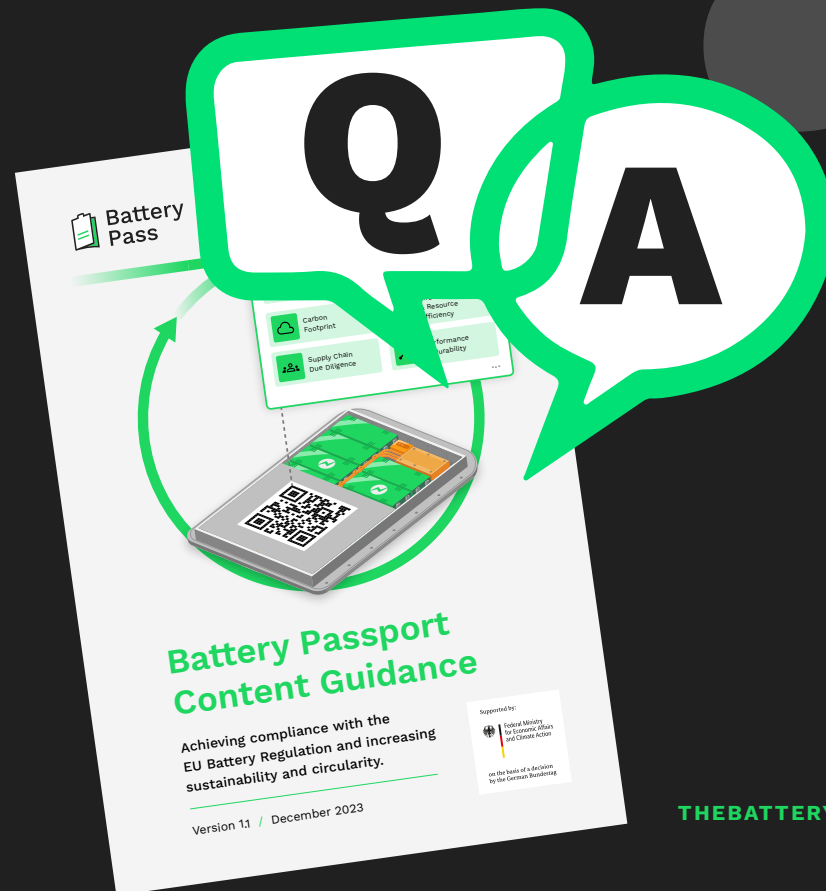


Battery Pass

FAST FACTS CONTENT GUIDANCE

Battery Passport Content Guidance

Achieving compliance with the EU Battery Regulation
and increasing sustainability and circularity



THEBATTERYPASS.EU

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**Further reading:**Battery Passport Content Guidance
and supporting documents

QUESTION 1

What is a digital battery passport and from when will it be required?



The EU is introducing digital product passports (DPP) as part of its broader regulatory ambition towards sustainability with the first being required for batteries from 2027.

DPPs are included in the EU's new Eco-design for Sustainable Products Regulation (ESPR), which entered into force in July 2024. These passports are digital records of a product that collect data along its entire lifecycle.

The information held in a DPP helps manufacturing and end-of-life processes to be more sustainable and circular. It also supports consumers in making informed choices. DPPs are key to advancing the European 'Twin Transition,' aiming for a more sustainable, circular, and digital economy.

The battery passport is the first DPP to be introduced in Europe and will be a detailed digital record of a battery's materials, components, and lifecycle. This requirement comes from the EU Battery Regulation and will be mandatory starting in February 2027.



The battery passport will be the first of a series of digital product passports to be launched in the European Union

Objectives of DPPs



Provide transparency
to impact decisions



Shift from linear
to circular economies



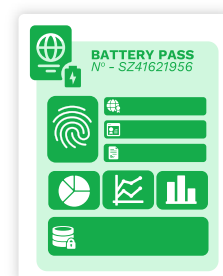
Create a level
playing field

DPPs in the ESPR

The Eco-design for Sustainable Products Regulation (ESPR) includes the requirement that **„products can only be placed on the market or put into service if a digital product passport is available“**.

The Digital Battery Passport

Article 77 of the EU Battery Regulation mandates for batteries an **„electronic record (battery passport)“** from 18 February 2027.



QUESTION 2

For which batteries will the digital battery passport be required?

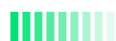


The EU Battery Regulation outlines its requirements for different battery categories.




According to Article 77(1), the battery passport will be mandatory from February 2027 for batteries placed or put into service on the EU market including:

- Electric vehicle (EV) batteries.
- Light means of transport (LMT) batteries, such as e-bikes and e-scooters.
- Industrial batteries with a capacity above 2 kWh, such as those used in industrial activities, communication infrastructure or energy storage.

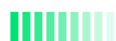
Further details on how the Battery Regulation defines different batteries are shown on the back.



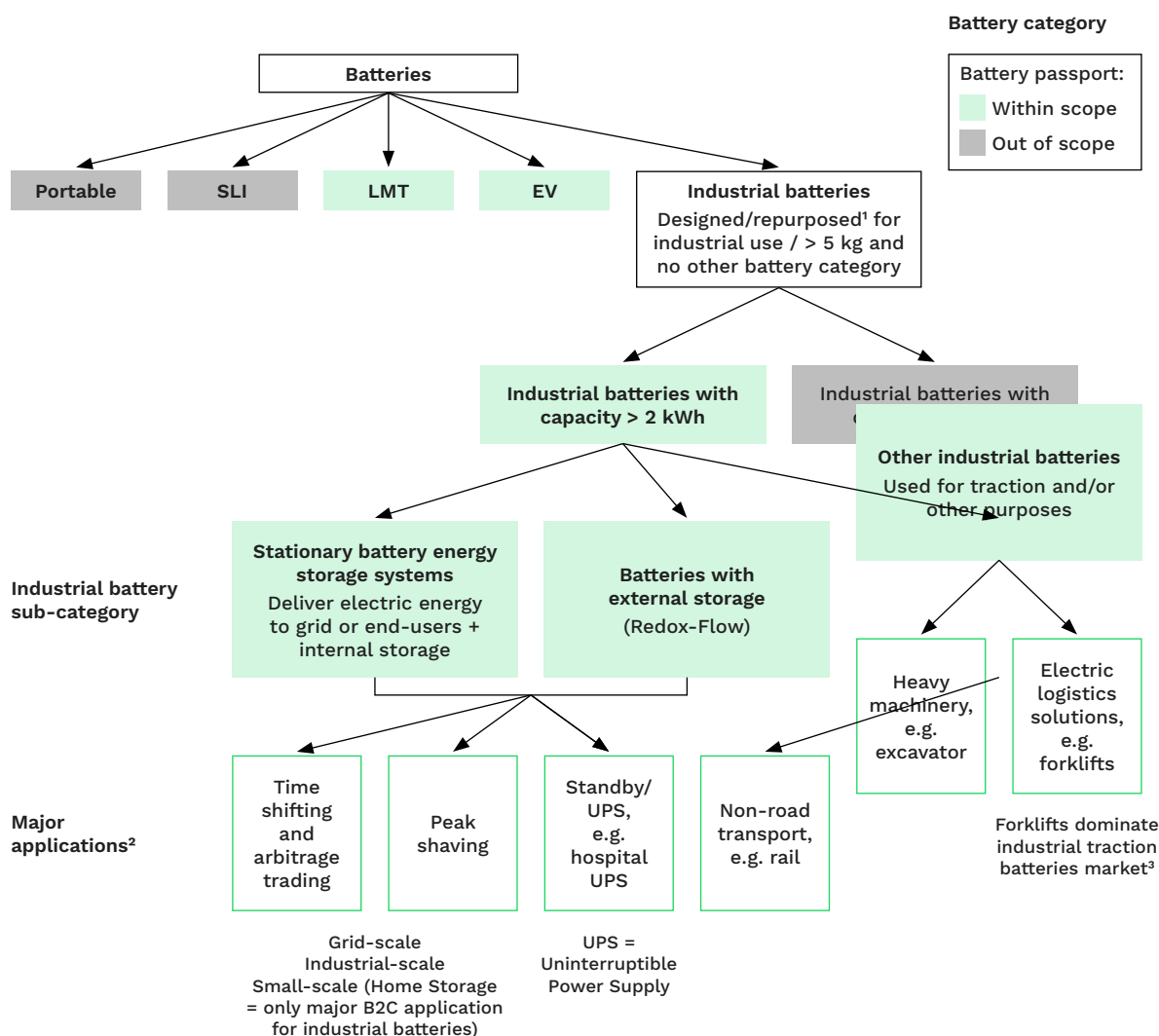
Electric vehicle, light means of transport, and industrial batteries with a capacity above 2 kWh will be in scope for the EU battery passport

Battery categories	Battery categories	Battery weight
 <p>Electric vehicle (EV) battery</p>	<p>Provide electric power for the traction to hybrid or electric vehicles</p> <ul style="list-style-type: none"> • of categories L (Regulation (EU) No 168/2013), if larger than 25 kg, or • of categories M, N or O (Regulation (EU) 2018/858) 	<p>> 25 kg (category L)</p>
 <p>Light means of transport (LMT) battery</p>	<p>Provide electric power for traction to wheeled vehicles that can be powered by the electric motor alone or by a combination of motor and human power including type-approved vehicles of category L (Regulation (EU) No 168/2013), e.g., e-bikes and e-scooters</p>	<p>≤ 25 kg</p>
 <p>Industrial battery¹</p>	<ul style="list-style-type: none"> • Designed specifically for industrial uses, or • intended for industrial uses after being subject to preparing for repurpose or repurposing, or • any battery above 5 kg that is not an LMT, EV or SLI battery • Industrial uses include (Recital 15) <ul style="list-style-type: none"> – industrial activities – communication infrastructure – agricultural activities – energy storage in private or domestic environments – generation and distribution of electric energy – traction in other transport vehicles incl. rail, waterborne, aviation or off-road machinery <p>Sub-category: Stationary battery energy storage system</p> <ul style="list-style-type: none"> • Industrial battery with internal storage • specifically designed to store and deliver electric energy from and into the grid or store and deliver electric energy to end-users, regardless of where and by whom this battery is being used 	<p>≤ 25 kg</p>

1) Only industrial batteries above 2 kWh within scope of battery passport



Industrial batteries are characterised in different sub-categories and a broad range of applications, with varying market conditions and processes affecting the use cases



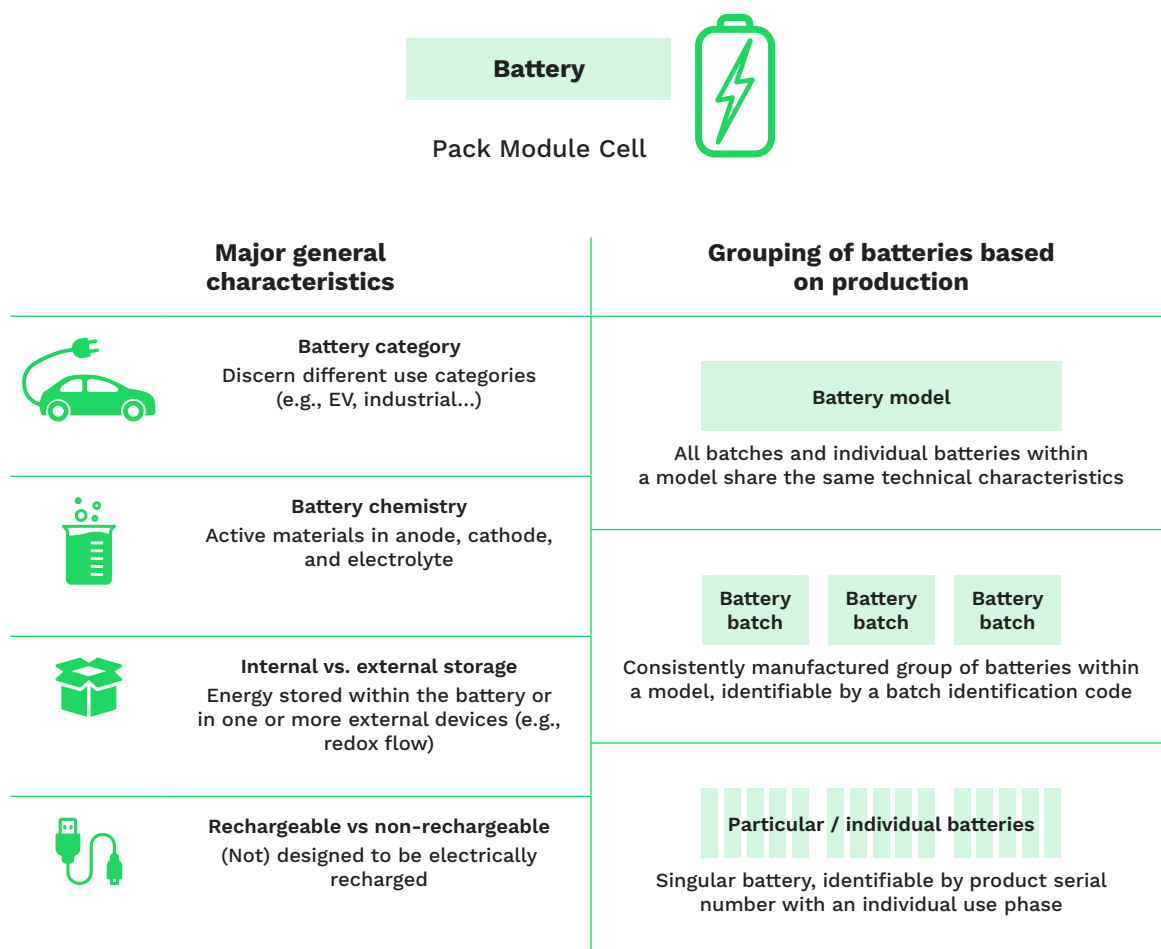
1) A number of industrial batteries may be repurposed batteries (e.g. a former EV battery is repurposed into an industrial battery). However, repurposing used industrial batteries is a less likely scenario.

2) Market conditions and processes (e.g. servicing processes) can vary among industrial batteries applications, resulting in an impact on the applicability of the overall use case assessment.

3) cf. Global Market Insights Report (2023)



Overview of the hierarchy of battery definitions



QUESTION 3

Who is responsible for the digital battery passport, and when do they need to take action?

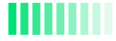


The economic operator who places the battery on the market or puts it into service is responsible for meeting the battery passport requirements. This can be either the manufacturer or the importer.

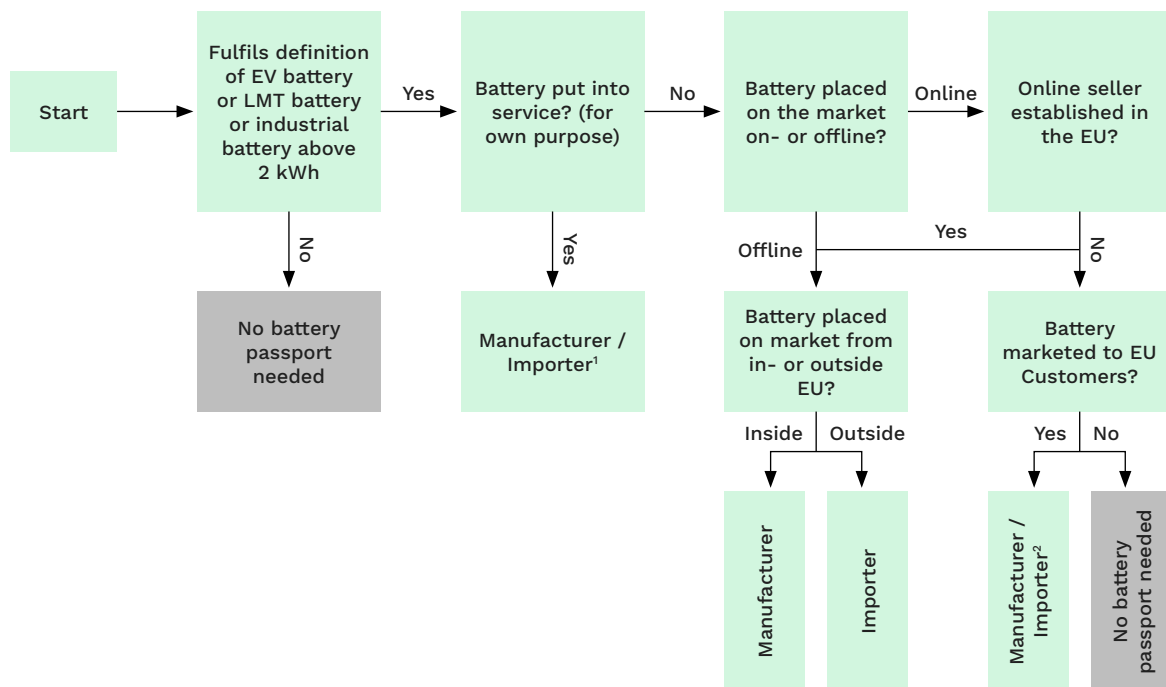
The term ‘manufacturer’ refers to any natural or legal person that manufactures a battery or has a battery designed or manufactured and markets that battery under its own name or trademark or puts it into service for its own purposes. The ‘importer’ is established within the EU and places a battery on the market from a third country. The economic operator is explicitly allowed to authorise another operator “to act on their behalf”.

For example, if an EU-based car manufacturer buys battery cells and assembles them into an electric vehicle battery, they are considered the manufacturer and thus the economic operator responsible for the battery passport.

The main responsibilities for the battery passport include creating a new battery passport, as well as updating and storing the information within it.



Either the “manufacturer” or “importer” are responsible for the battery passport

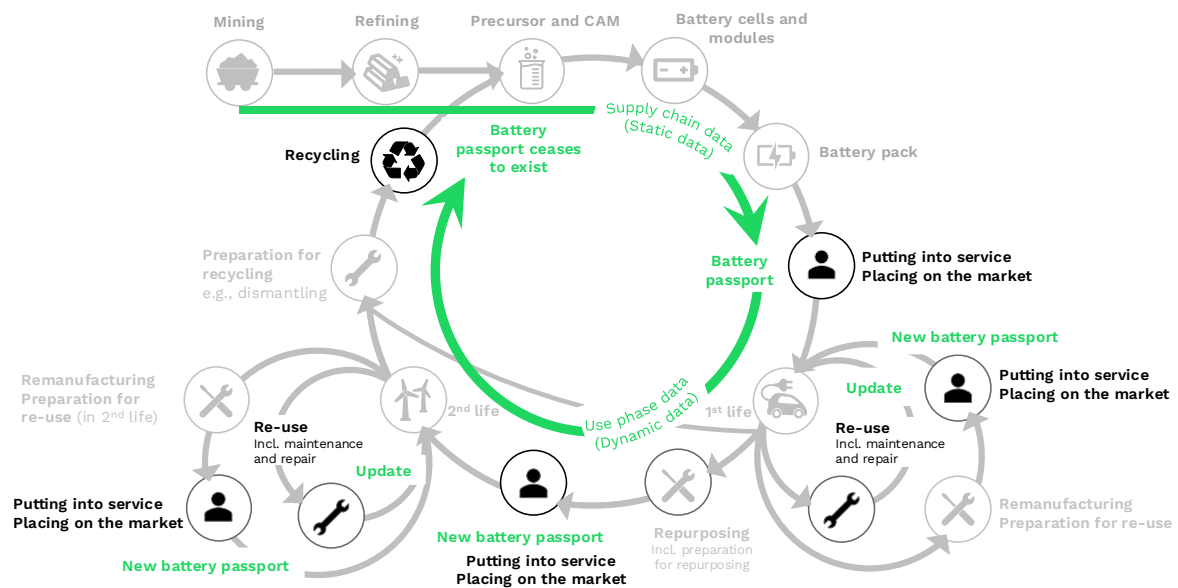


1) Battery Pass understanding, not clearly defined in Battery Regulation

2) Depending on who is targeting EU consumers



Core responsibilities for the battery passport comprise the issuing of a (new) battery passport as well as updating and storing the information



1) Treatment of manufacturing and processing waste as well as collection and transport can occur between all activities within the value chain

QUESTION 4

Which information will be requested for the battery passport and who will have access to it?



The EU Battery Regulation requires a wide range of data attributes to be included in the battery passport. For EV batteries alone, Article 77 and Annex XIII outline around 80 mandatory data attributes covering the entire battery lifecycle.

The Battery Pass consortium has organised the data attributes into seven content clusters along the battery life cycle:

1. General battery and manufacturer information
2. Compliance, labels, certifications
3. Battery carbon footprint
4. Supply chain due diligence
5. Battery materials and composition
6. Circularity and resource efficiency
7. Performance and durability

The data attributes can be related to the battery model or specific to the individual battery. For the technical implementation, they can also be differentiated by as “static” (unchanging or not changing often) or “dynamic” (changing often over time).

Topic		Subtopic (if applicable)	
Static Data	Battery carbon footprint		
	<div><div></div>Overall battery carbon footprint per functional unit</div> <div><div></div>Carbon footprint of raw material acquisition and pre-processing lifecycle stage</div> <div><div></div>Carbon footprint of main product production / manufacturing lifecycle stage</div> <div><div></div>Carbon footprint performance class</div>	<div><div></div>Carbon footprint of distribution lifecycle stage</div> <div><div></div>Web link to public carbon footprint study</div> <div><div></div>General battery and manufacturer information</div> <div><div></div>Absolute battery carbon footprint (recommended)</div>	
	Supply chain due diligence		
	<div><div></div>Information of due diligence report</div> <div><div></div>Third-party assurances of recognised schemes (recommended)</div>	<div><div></div>Supply chain indices (recommended)</div>	
	Battery materials and composition		
	<div><div></div>Battery chemistry</div> <div><div></div>Critical raw materials</div> <div><div></div>Materials used in cathode, anode and electrolyte</div>	<div><div></div>Hazardous substances</div> <div><div></div>Impact of substances on environment, human health, safety, persons</div>	
	Circularity and resource efficiency		
	<div><div></div>Dismantling information: Manuals for the removal and the disassembly of the battery pack</div> <div><div></div>Part numbers for components</div> <div><div></div>Postal address of sources for spare parts</div>	<div><div></div>E-mail address of sources for spare parts</div> <div><div></div>Web address of sources for spare parts</div> <div><div></div>Safety measures</div>	Circularity information
	<div><div></div>Pre-consumer recycled content share of Ni/Co/Li/Pb</div> <div><div></div>Renewable content share</div>	<div><div></div>Post-consumer recycled content share of Ni/Co/Li/Pb</div>	Recycled & renewable content
	<div><div></div>Information on the role of end-users in contributing to waste prevention</div> <div><div></div>Information on the role of end-users in contributing to separate collection of waste batteries</div>	<div><div></div>Information on the separate collection, the take back, collection points and preparation for re-use, preparation for repurposing and treatment available for waste batteries</div>	Role of end-user in waste prevention and collection
	Performance & Durability		
	<div><div></div>Rated capacity</div> <div><div></div>Capacity fade</div> <div><div></div>Certified usable battery energy (recommended)</div> <div><div></div>Minimal, nominal and maximum voltage, with temperature ranges when relevant</div>	<div><div></div>Remaining capacity</div> <div><div></div>Remaining usable battery energy (recommended)</div> <div><div></div>State of certified energy (SOCE)</div> <div><div></div>State of charge (SoC)</div>	Capacity, energy and voltage

Topic Performance & Durability (cont'd)		Subtopic (if applicable)
Static Data ↑	☒ Original power capability ☒ Power fade ☒ Maximum permitted battery power ☒ Ratio between nominal battery power and battery energy (recommended)	⚙️ Where possible, remaining power capability Power Capability
	☒ Initial round trip energy efficiency ☒ Where applicable, round trip energy efficiency fade ☒ Round trip energy efficiency at 50% of cycle life ⚙️ Initial self-discharge rate	⚙️ Where possible, remaining round trip energy efficiency ⚙️ Current self-discharge rate ⚙️ Evolution of self-discharge rate (recommended) Energy round trip efficiency, Self-discharge
	☒ Internal battery resistance (cell & pack) ☒ Internal resistance increase (pack; cell/module recommended)	Internal Resistance
	☒ Expected lifetime in calendar years ☒ Commercial warranty period ☒ Expected lifetime: Number of charge-discharge cycles ☒ Cycle-life Reference test ☒ C-rate of relevant cycle-life test 🚗 Capacity threshold for exhaustion	⚙️ Number of full charging and discharging cycles ⚙️ Capacity throughput ⚙️ Energy throughput Battery lifetime
	☒ Temperature range idle state (lower boundary) ☒ Temperature range idle state (upper boundary)	☒ Temperature information ⚙️ Time spent charging during extreme temperatures ⚙️ Time spent in extreme temperatures Temperature conditions
		⚙️ Number of deep discharge events (recommended for EV, industrial) ☒ Number of overcharge events (recommended) ☒ Information on accidents Negative events
	↓ Dynamic Data	

1) BMS limitation: Data specified for all battery categories must be reported regardless of BMS use

2) Category listed as part of entire battery passport scope. No data attribute applies solely to this battery category

Please refer to the DIN DKE Spec 99100 text and the Excel document "Battery Passport Data Longlist" for more information.

QUESTION 5

What value does the digital battery passport unlock?



With the battery passport, transparency is created by making comprehensive data available to different actors along the battery life cycle. It is not only a regulatory compliance tool benefitting market surveillance authorities. By closing existing information asymmetries, the battery passport will unlock major value to industry and society alike.

Based on the respective data, informed decisions can be taken, processes be improved, and circular business models enabled – all together leading to an increase in economic value as well as a reduction in negative environmental and social impacts.

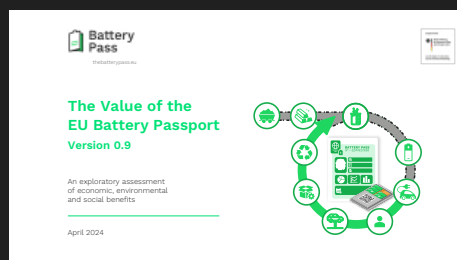
To learn more, read ‘The Value of the EU Battery Passport’, published in April 2023.



Full document



Executive Summary



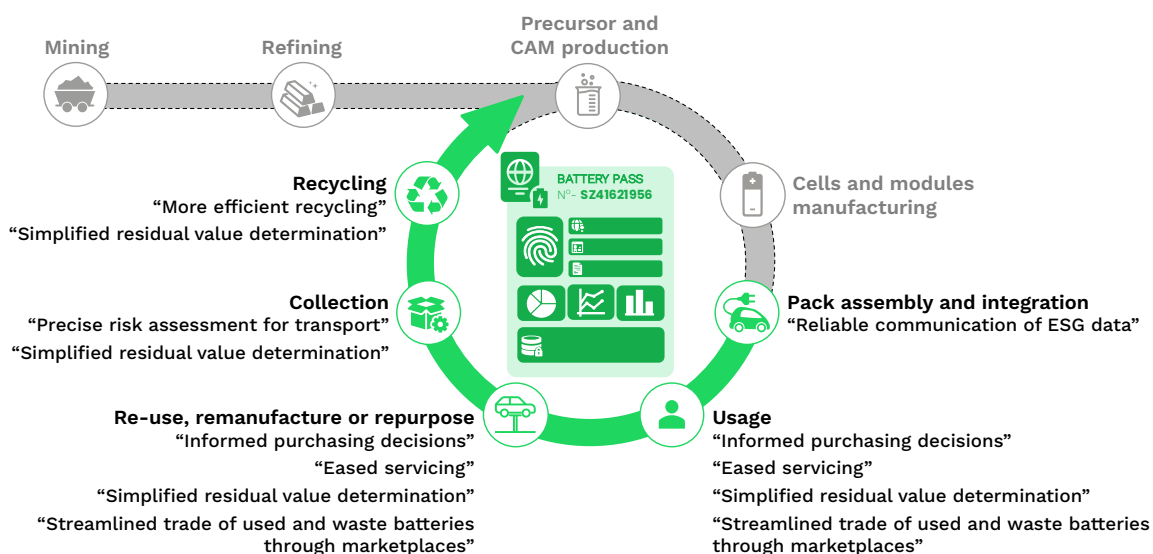
Battery Pass will be releasing a further publication on the expected value of the EU battery passport in late 2024. This will include further analysis on specific considerations for light means of transport (LMTs), an assessment of the required implementation efforts, and a synthesis of the benefits of the battery passport and outlook on Digital Product Passports (DPPs) in general.



Direct use cases of the battery passport mainly unlock value along the downstream value chain

Value of the passport:

- Potential additional value beyond regulatory compliance pending conditions beyond regulatory requirements (see “potential” use cases)
- Direct value add along several dimensions (environmental, social and economic)



QUESTION 6

How are circularity aspects considered for the battery passport?



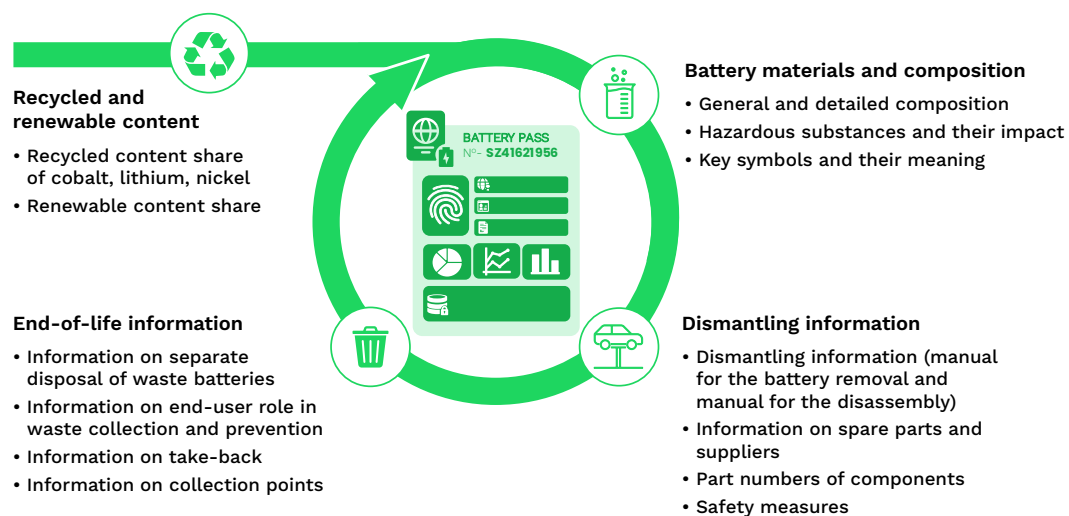
The information requirements of the battery passport are aimed at boosting repurposing efforts as well as the efficiency of collection, dismantling and recycling of batteries and the recovery of raw materials.

The battery passport requires four types of circularity information:

- 1. Recycled and renewable content:** The battery passport must specify the recycled content share of cobalt, lithium, lead, and nickel, as well as the renewable content share. The Battery Pass consortium also recommends calculating and declaring pre- and post-consumer shares.
- 2. Materials and composition:** To support second-life uses, the battery passport must include information on the general and detailed composition of the battery (with restricted data access), hazardous substances, as well as key symbols and their meaning.
- 3. Removability/replaceability:** Information on how to dismantle batteries, details about spare parts and suppliers, and safety measures must be included to help manage used or waste batteries.
- 4. End-of-life information:** The battery passport must provide information on the separate disposal of waste batteries, as well as details on take-back and collection points to improve collection rates.



Overview of battery passport circularity information



QUESTION 7

What supply chain due diligence information is included in the battery passport?



For the battery passport, the EU Battery Regulation requires economic operators to publicly share the information in their due diligence reports. The Battery Pass consortium recommends aligning this report with the due diligence requirements of other regulations and considering whether key parts of the report should be presented as individual data points, rather than just a PDF link.

The regulation only mandates the due diligence report for the battery passport. However, the Battery Pass consortium further explored the content, potential harmonisation with other legislation and additional voluntary supply chain due diligence information for the battery passport.

As a result, Battery Pass recommends including additional voluntary information in the report. This could involve third-party supply chain assurances, supply chain indices like those developed by the Global Battery Alliance, as well as information about the origins of the battery materials.



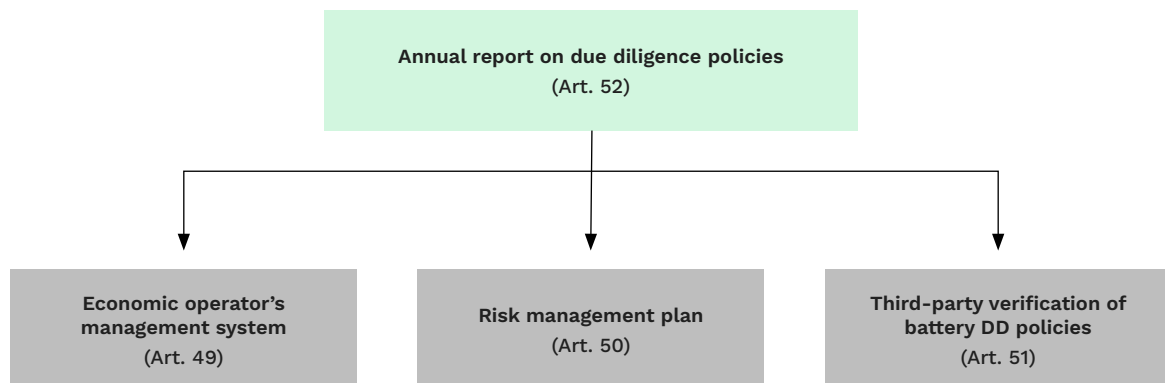
For further information, see also section 6.4 of the Battery Passport Content Guidance.



Information of the due diligence report is the only mandatory battery passport data point for responsible supply chains

The due diligence report

The due diligence report, made available via the battery passport, shall provide documentation on three main elements (based on the 5-step OECD framework):



QUESTION 8

Which performance and durability data attributes are in scope of the battery passport?



The battery passport requires information on around 40 different performance and durability data attributes. These include information on battery energy/capacity, power, resistance, and the number of charge and discharge cycles. It also requires reporting on temperature conditions and negative events the battery encounters during its use.

The battery passport separates data into static (pre-use) and dynamic (in-use) categories.

- Static data points provide information about the battery model and are mostly publicly available.
- Dynamic data points pertain to individual batteries and are accessible only to persons with a legitimate interest.

The EU Battery Regulation also distinguishes some of the requirements by the different battery categories such as electric vehicles, stationary battery storage systems and LMT batteries.
















Please note that the list of data attributes below has been updated to reflect the information as included in the DIN DKE Spec 99100 (to be published in late 2024), and therefore differs from Figure 13 shown in the Battery Passport Content Guidance (p.61).



Around 40 performance and durability data attributes are required for the Battery Passport, while detailed descriptions are falling short in the Battery Regulation

Access groups: <div>Public</div> <div>Interested persons</div>	Battery categories: <div>All batteries</div> <div>EV batteries (if BMS is used)</div> <div>LMT batteries (if BMS is used)</div> <div>Industrial batteries incl. stationary ESS > 2 kWh</div> <div>Stationary BEES > 2 kWh</div>
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Topic		Subtopic
Performance data attributes		
Static Data	<div>Rated capacity</div> <div>Capacity fade</div> <div>Certified usable battery energy (recommended)</div> <div>Minimal, nominal and maximum voltage, with temperature ranges when relevant</div>	<div>Remaining capacity</div> <div>Certified usable battery energy (recommended)</div> <div>State of certified energy (SOCE)</div> <div>State of charge (SoC)</div> <div>Capacity, energy and voltage</div>
	<div>Original power capability</div> <div>Power fade</div> <div>Maximum permitted battery power</div> <div>Ratio between nominal battery power and battery energy (recommended)</div>	
	<div>Initial round trip energy efficiency</div> <div>Where applicable, round trip energy efficiency fade</div> <div>Round trip energy efficiency at 50% of cycle life</div> <div>Initial self-discharge rate</div>	
	<div>Internal battery resistance (cell & pack)</div> <div>Internal resistance increase (pack; cell/module recommended)</div>	
Dynamic Data		
		<div>Where possible, remaining power capability</div> <div>Power Capability</div>
		<div>Where possible, remaining round trip energy efficiency</div> <div>Current self-discharge rate (recommended)</div> <div>Evolution of self-discharge rate (recommended)</div> <div>Energy round trip efficiency Self-discharge</div>
		Internal Resistance

Topic		Subtopic		
Durability data attributes				
Static Data	 Expected lifetime in calendar years	 Number of full charge-discharge cycles	Battery lifetime	
				 Commercial warranty period
				 Expected lifetime in number of charge-discharge cycles
				 Cycle-life reference test
				 C-rate of relevant cycle-life test
				 Capacity threshold for exhaustion
	 Temperature range idle state (lower boundary)	 Temperature information	Temperature conditions	
				 Temperature range idle state (upper boundary)
	Dynamic Data	 Time spent charging during extreme temperatures		
		 Time spent in extreme temperatures		
		 Number of deep discharge events (voluntary for EV, industrial)	Negative events	
				 Number of overcharge events (recommended)
 Information on accidents				

QUESTION 9

How is the battery carbon footprint calculated and where can I find detailed guidance?



The carbon footprint of a battery is calculated by collecting data on activities such as energy use and materials and then multiplying these by their respective emissions factors. These figures are combined to create carbon footprints for each production process of the material or component.

The carbon footprint must be calculated for four stages of the battery's lifecycle:

1. Raw material acquisition and pre-processing,
2. Manufacturing,
3. Distribution,
4. End-of-life and recycling.

For the Manufacturing and Distribution stages, the draft Delegated Act establishing the methodology for the calculation and verification of the carbon footprint of EV batteries requires using company-specific activity data. For 'most relevant processes' in the other stages, secondary data may be used, but the Battery Pass consortium recommends using company-specific data.

While the EU Delegated Act must be complied with, the Global Battery Alliance GHG Rulebook and the Battery Pass Carbon Footprint Rules provide guidance on how to measure carbon footprints for each production step in the lifecycle stages.

EU Commission Draft
Delegated Act



Global Battery Alliance
GHG Rulebook



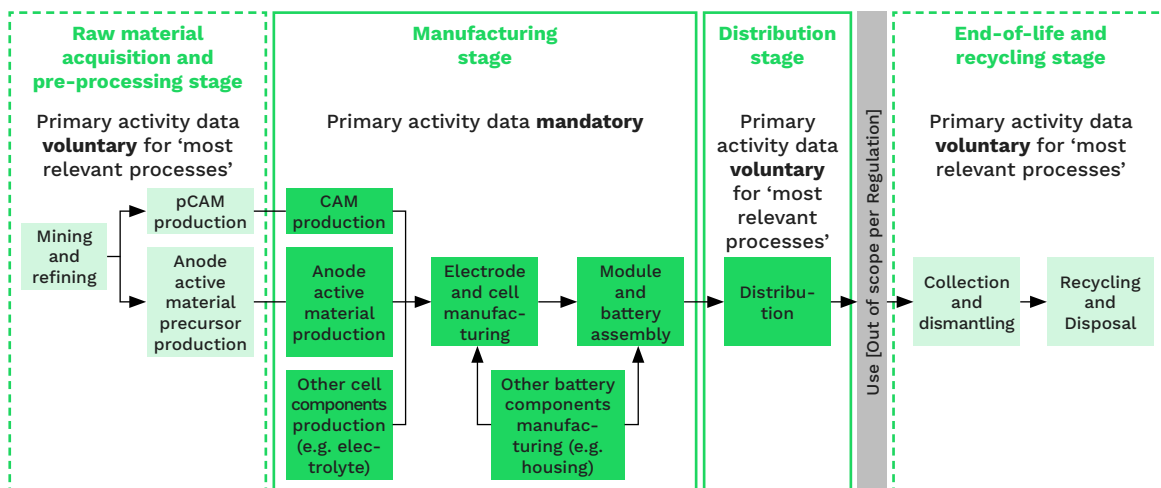
Battery Pass Carbon
Footprint Rules
(included in GBA
Rulebook v2.0)



Company-specific activity data are required for the Manufacturing and Distribution stages in the battery carbon footprint declaration

Legend:

- Primary activity data **voluntary** for most relevant processes as per draft delegated act for EV batteries carbon footprint section 2.3 and 2.3.1 (EU COM 2024)
- Primary activity data **mandatory** as per draft delegated act for EV batteries carbon footprint section 2.3 and 2.3.1 (EU COM 2024)



Cradle-to-grave: The calculation shall comply with the "Commission Delegated Regulation supplementing Regulation (EU) 2023/1542 (...) by establishing the methodology for the calculation and verification of the carbon footprint of electric vehicle batteries"



Cradle-to-Grave: GBA GHG Rulebook v2.0

including  Battery Pass CF Rules

'Company-specific dataset' means (...) a company-specific process, where all the activity data are company-specific, and which relates to a specific battery model produced in a specific production plant;
'Most relevant processes': pCAM, anode active material precursors, electrolyte slat and precursors, copper, aluminium, steel, battery recycling (section 2.3 and 2.3.2 of EU COM 2024).

EU COM (2024): Batteries for electric vehicles – carbon footprint methodology

QUESTION 10

How will remaining uncertainties regarding the battery passport be addressed?



The EU Battery Regulation will be supplemented with further methodological development – especially through around 50 Delegated and Implementing Acts. Some of these will be published by the European Commission (EC) by a certain date, others can be adopted under certain circumstances at the EC's discretion.

The Acts, known as the Secondary Legislation, will complete the Battery Regulation in detail; ensuring uniform conditions, calculations and verifications. Others will amend the Regulation based on market developments or technical and scientific progress. Topics covered concern, among others:

1. **Carbon footprint (CF):** Delegated Acts to establish the CF methodology, performance classes and threshold as well as Implementing Acts that specify the formats of these
2. **Circularity:** Delegated Acts to specify methodology for recycled content
3. **Performance and durability:** Delegated Acts to establish minimum values for electrochemical performance
4. **Battery passport access:** Implementing Acts to specify access rights
5. **Reporting to the Commission:** Implementing Act to harmonise format of data and information to be reported

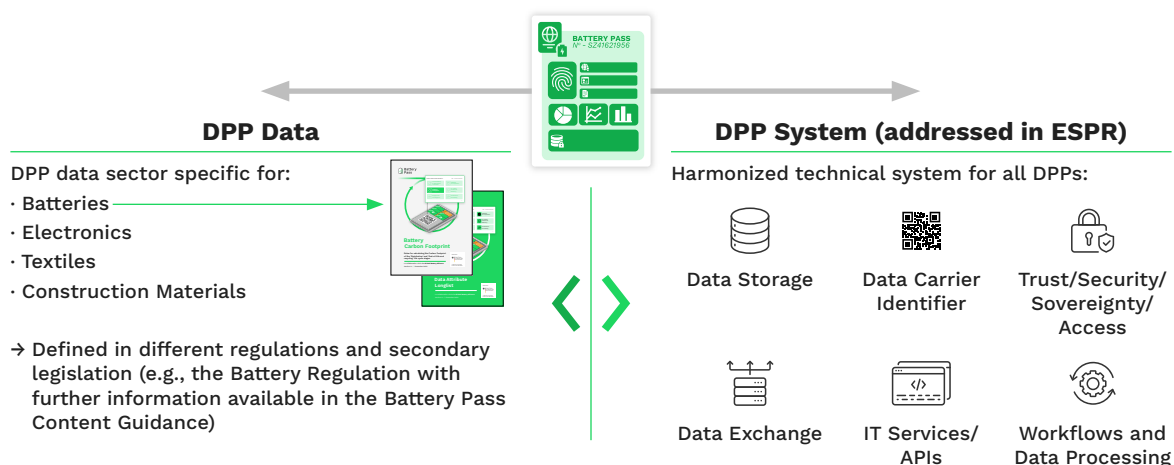


Learn more about the Secondary Legislation
following the EU Battery Regulation in this overview

In addition, the **Ecodesign for Sustainable Products Regulation (ESPR)** describes further details on technical aspects of DPP implementation (including the Battery Passport). Standardisation efforts for DPPs – being carried out by CEN-CENELEC in JTC24 – are ongoing in the context of the ESPR, which entered into force in July 2024. The details of these technical specifications on the ‘operating system’ of DPPs include e.g. data carriers and data exchange and are thus crucial for the implementation.



A digital product passport (DPP) consists of data and a system

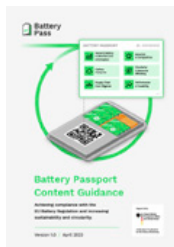




Further reading:

Battery Passport Content Guidance and supporting documents

Content Guidance



Data Attribute Longlist



Carbon Footprint Rules



EOL Allocation Analysis



CONSORTIUM LEAD

SYSTEMIQ

CONSORTIUM PARTNERS



* under subcontract

This project receives funding from the **German Federal Ministry for Economic Affairs and Climate Action** by resolution of the German Bundestag under grant agreement No BZF335.

Supported by:



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