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examples of primitive recursive encoding

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In this entry, we present three examples of primitive recursive encodings. In all the examples, the following notations are used:  $\mathbb{N}$  is the set of all natural numbers (including 0),  $\mathbb{N}^*$  is the set of all finite sequences over  $\mathbb{N}$ , and  $E : \mathbb{N}^* \rightarrow \mathbb{N}$  is the encoding in question. For any sequence  $a_1, \dots, a_k$ , its image under  $E$  is denoted by  $\langle a_1, \dots, a_k \rangle$ , and is called the sequence number corresponding to  $a_1, \dots, a_k$ . Furthermore,  $()$  denotes the empty sequence, and its sequence number is denoted by  $\langle \rangle$ . The fact that the  $E$  in each of the examples below is in fact encoding is proved in <http://planetmath.org/EncodingWordsthis> entry.

**Example 1.** (Multiplicative encoding)  $E$  is defined as follows:

$$\begin{aligned}\langle \rangle &:= 1, \\ \langle a_1, \dots, a_k \rangle &:= p_1^{s(a_1)} \cdots p_k^{s(a_k)},\end{aligned}$$

where  $s$  is the successor function, and  $p_1, \dots, p_k$  are the first  $k$  prime numbers.

To see that  $E$  is primitive recursive, we verify the following:

- the predicate “ $x$  is a sequence number” is primitive recursive: a number  $x \in \mathbb{N}$  is a sequence number iff  $x = 1$  or, if  $p|x$  for some prime  $p$ , then  $q|x$  for any prime  $q \leq p$ . The predicates

$$\Phi_1 := “p|x \text{ for some prime } p” \equiv “\exists p \leq x (P(p) \wedge (p|x))”,$$

$$\Phi_2 := “p \text{ is prime and } q|x \text{ for all primes } q \leq p” \equiv “\forall q \leq p (P(p) \wedge P(q) \wedge (q|x))”$$

where  $P(r) := “r \text{ is prime}”$ , are primitive recursive by bounded quantification. Thus “ $x$  is a sequence number” iff “ $x = 1$  or  $\Phi_1 \rightarrow \Phi_2$ ” iff “ $(x = 1) \vee (\neg \Phi_1 \vee \Phi_2)$ ”, is primitive recursive as a result.

- $E_k(a_1, \dots, a_k) := p_1^{s(a_1)} \cdots p_k^{s(a_k)}$  is clearly primitive recursive.
- $\text{lh}(x)$  can be defined as the number of primes dividing  $x$ , which is primitive recursive.
- $(x)_y$  can be defined as the exponent of the  $y$ -th prime in  $x$  (the largest power of  $p_y$  dividing  $x$ ), which is again primitive recursive.

**Example 2.** (Encoding via a pairing function) First, let  $J : \mathbb{N}^2 \rightarrow \mathbb{N}$  be a (primitive recursive) pairing function. For any  $n \geq 2$ , define

$$\begin{aligned}J_2(x_1, x_2) &:= J(x_1, x_2) \\ J_{n+1}(x_1, \dots, x_n, x_{n+1}) &:= J(x_1, J_n(x_2, \dots, x_{n+1})).\end{aligned}$$

Then define  $E$  by

$$\begin{aligned}\langle \rangle &:= 0, \\ \langle a_1, \dots, a_k \rangle &:= J(k, J_k(a_1, \dots, a_k)).\end{aligned}$$

$E$  is primitive recursive because

- $E$  is a bijection, so the predicate “ $x$  is a sequence number” is the same as “ $x \in \mathbb{N}$ ”, which is clearly primitive recursive,
- $E_k(a_1, \dots, a_k) := J(k, J_k(a_1, \dots, a_k))$  is primitive recursive since both  $J$  and  $J_k$  are, the latter of which can be shown to be primitive recursive by induction,
- The two functions  $R, L : \mathbb{N} \rightarrow \mathbb{N}$  such that  $J(L(m), R(m)) = m$  are primitive recursive. So  $\text{lh}(x) = L(x)$  in particular is primitive recursive.
- If  $J_k(a_1, \dots, a_k) = b$ , then  $a_1 = L(b)$ ,  $a_2 = LRL(b)$ ,  $\dots$ ,  $a_{k-1} = (LR)^{k-2}L(b)$ , and  $a_k = R(LR)^{k-2}L(b)$ . Thus,

$$(x)_y = \begin{cases} (LR)^y(x) & \text{if } y < L(x), \\ R(LR)^y(x) & \text{if } y = L(x), \\ 0 & \text{otherwise.} \end{cases}$$

is primitive recursive, since each case is primitive recursive.

**Example 3.** (Digital Representation) Pick a positive integers  $p > 1$ . Define  $E$  by

$$\begin{aligned}\langle \rangle &:= 1 \\ \langle a \rangle &:= p^{s(a)} \\ \langle a_1, \dots, a_k, a_{k+1} \rangle &:= \langle a_1 \rangle (\langle a_2, \dots, a_{k+1} \rangle + 1).\end{aligned}$$

In other words,

$$\langle a_1, \dots, a_k \rangle = p^{s(a_1)} + p^{s(a_1)+s(a_2)} + \dots + p^{s(a_1)+\dots+s(a_k)}. \quad (1)$$

To see that  $E$  is primitive recursive, we first define three functions  $f : \mathbb{N} \rightarrow \mathbb{N}$  given by  $f(x) := \text{lo}(p, x)$ , the exponent of  $p$  in  $x$ ,  $g : \mathbb{N} \rightarrow \mathbb{N}$  given by  $g(x) := \text{quo}(x, p^{f(x)}) - 1$ , and  $h : \mathbb{N}^2 \rightarrow \mathbb{N}$  given by

$$\begin{aligned}h(x, 0) &:= x \\ h(x, n+1) &:= g(h(x, n)).\end{aligned}$$

Clearly,  $f, g, h$  are all primitive recursive. Furthermore,  $h$  has the property that if  $h(x, n) > 0$ , then  $h(x, n+1) < h(x, n)$ , and therefore  $h(x, n) = 0$  for large enough  $n$ . Using  $h$ , we may proceed to show that  $E$  is primitive recursive:

- the predicate “ $x$  is a sequence number” is equivalent to the predicate

$$“(x = 1) \vee ((x > 0) \wedge (\forall n \, p|h(x, n)))”$$

which is equivalent to the predicate

$$“(x = 1) \vee ((x > 0) \wedge (\forall n \leq x \, p|h(x, n)))”$$

since  $p > 1$ . As the quantification is bounded, the predicate is primitive recursive.

- $E_k(a_1, \dots, a_k) = \langle a_1, \dots, a_k \rangle$  is primitive recursive by equation (1) above.
- $\text{lh}(x)$  can be defined as the number of  $n$  such that  $h(x, n) \neq 0$ , or

$$\sum_{i=0}^x \text{sgn}(h(x, i)),$$

which is primitive recursive, because it is a bounded sum.

- If  $\langle a_1, \dots, a_k \rangle = x$ , then  $f(h(x, 0)) = s(a_1), \dots, f(h(x, k-1)) = s(a_k)$ . Therefore,  $(x)_y$  is just  $f(h(x, y-1)) - 1$ , which is primitive recursive.

**Remark.** In the third example,  $E$  can be more generally defined so that

$$\langle a_1, \dots, a_k, a_{k+1} \rangle := \langle a_1 \rangle (r \langle a_2, \dots, a_{k+1} \rangle + q),$$

provided that  $p, q$  are coprime. It is fairly straightforward to show that  $E$  is again primitive recursive.