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### 1 The Quantum Fourier Transform (QFT)

The Quantum Fourier Transform (QFT) is the quantum analogue of the classical Discrete Fourier Transform (DFT). It is a linear transformation on quantum bits and is a key component in many quantum algorithms, including Shor's algorithm and the phase estimation algorithm.

#### 1.1 Definition

The QFT on an orthonormal basis  $|0\rangle, |1\rangle, \dots, |N-1\rangle$  is defined as a unitary operator that acts on a basis state  $|x\rangle$  as follows:

$$\text{QFT}_N |x\rangle = \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i \frac{xy}{N}} |y\rangle$$

For quantum computing, we typically work with  $N = 2^n$  for an  $n$ -qubit register. The definition becomes:

$$\text{QFT}_{2^n} |x\rangle = \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i \frac{xy}{2^n}} |y\rangle$$

The inverse QFT is defined by negating the exponent in the complex exponential:

$$\text{QFT}_{2^n}^{-1} |y\rangle = \frac{1}{\sqrt{2^n}} \sum_{x=0}^{2^n-1} e^{-2\pi i \frac{xy}{2^n}} |x\rangle$$

### 2 The Quantum Phase Estimation Problem

The goal of the phase estimation algorithm is to estimate the phase  $\omega$  of an eigenvalue of a unitary operator  $U$ . Specifically, if  $U$  has an eigenvector  $|\psi\rangle$  with a corresponding eigenvalue  $e^{2\pi i \omega}$ , where  $\omega \in [0, 1)$ , we want to find an accurate estimate of  $\omega$ .

#### 2.1 Initial State

The algorithm begins with a specific input state. For this analysis, we assume the first part of the algorithm has already been performed, and we are given the following  $n$ -qubit state:

$$|\Psi\rangle = \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i \omega y} |y\rangle$$

Our goal is to apply the inverse QFT to this state and measure it to find an  $n$ -bit approximation of  $\omega$ .

### 3 Analysis of the Measurement Probability

We apply the inverse QFT to the state  $|\Psi\rangle$ :

$$\begin{aligned}
\text{QFT}_{2^n}^{-1}|\Psi\rangle &= \text{QFT}_{2^n}^{-1} \left( \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i \omega y} |y\rangle \right) \\
&= \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i \omega y} (\text{QFT}_{2^n}^{-1} |y\rangle) \\
&= \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^n-1} e^{2\pi i \omega y} \left( \frac{1}{\sqrt{2^n}} \sum_{k=0}^{2^n-1} e^{-2\pi i \frac{yk}{2^n}} |k\rangle \right) \\
&= \frac{1}{2^n} \sum_{k=0}^{2^n-1} \sum_{y=0}^{2^n-1} e^{2\pi i \omega y - 2\pi i \frac{yk}{2^n}} |k\rangle \\
&= \frac{1}{2^n} \sum_{k=0}^{2^n-1} \left( \sum_{y=0}^{2^n-1} e^{2\pi i y (\omega - \frac{k}{2^n})} \right) |k\rangle
\end{aligned}$$

The expression in the parenthesis is the amplitude  $\alpha_k$  for measuring the basis state  $|k\rangle$ .

Let's write the phase  $\omega$  as an  $n$ -bit binary fraction, with a potential error term. Let  $a$  be the integer that represents the best  $n$ -bit approximation of  $2^n \omega$ . We can write:

$$2^n \omega = a + \delta$$

where  $a = \lfloor 2^n \omega \rfloor$  is an integer and  $-1/2 < \delta \leq 1/2$  is the error term. Substituting  $\omega = \frac{a+\delta}{2^n}$  into the amplitude calculation:

$$\alpha_k = \frac{1}{2^n} \sum_{y=0}^{2^n-1} e^{2\pi i y (\frac{a+\delta}{2^n} - \frac{k}{2^n})} = \frac{1}{2^n} \sum_{y=0}^{2^n-1} e^{\frac{2\pi i y}{2^n} (a-k+\delta)}$$

The probability of measuring the state  $|k\rangle$  is  $P(k) = |\alpha_k|^2$ .

#### 3.1 Case 1: The Phase is Exactly Representable ( $\delta = 0$ )

If  $\omega$  can be perfectly represented by  $n$  bits, then  $2^n \omega = a$  for some integer  $a$ , and the error  $\delta = 0$ . In this case, we measure the state  $|a\rangle$ . The amplitude for measuring  $|k = a\rangle$  is:

$$\alpha_a = \frac{1}{2^n} \sum_{y=0}^{2^n-1} e^{\frac{2\pi i y}{2^n} (a-a+0)} = \frac{1}{2^n} \sum_{y=0}^{2^n-1} 1 = \frac{2^n}{2^n} = 1$$

The probability of measuring  $|a\rangle$  is  $P(a) = |\alpha_a|^2 = 1$ . The algorithm succeeds with certainty.

#### 3.2 Case 2: The Phase is Not Exactly Representable ( $\delta \neq 0$ )

If  $\omega$  cannot be perfectly represented by  $n$  bits, we will measure the best approximation,  $a = \text{round}(2^n \omega)$ , with high probability. The amplitude for measuring  $|a\rangle$  is:

$$\alpha_a = \frac{1}{2^n} \sum_{y=0}^{2^n-1} e^{\frac{2\pi i y \delta}{2^n}} = \frac{1}{2^n} \sum_{y=0}^{2^n-1} \left( e^{\frac{2\pi i \delta}{2^n}} \right)^y$$

This is a geometric series with ratio  $r = e^{2\pi i \delta / 2^n}$ . The sum is:

$$\alpha_a = \frac{1}{2^n} \frac{1 - r^{2^n}}{1 - r} = \frac{1}{2^n} \frac{1 - e^{2\pi i \delta}}{1 - e^{2\pi i \delta / 2^n}}$$

The probability of measuring  $|a\rangle$  is  $P(a) = |\alpha_a|^2$ . We use the identity  $|1 - e^{i\theta}|^2 = 4 \sin^2(\theta/2)$ :

$$P(a) = \frac{1}{2^{2n}} \frac{|1 - e^{2\pi i \delta}|^2}{|1 - e^{2\pi i \delta / 2^n}|^2} = \frac{1}{2^{2n}} \frac{4 \sin^2(\pi \delta)}{4 \sin^2(\pi \delta / 2^n)} = \frac{\sin^2(\pi \delta)}{2^{2n} \sin^2(\pi \delta / 2^n)}$$

To find a lower bound, we use the inequality  $|\sin(x)| \leq |x|$  in the denominator and  $|\sin(\pi \delta)| \geq 2|\delta|$  for  $|\delta| \leq 1/2$  in the numerator.

$$P(a) \geq \frac{(2\delta)^2}{2^{2n} (\pi \delta / 2^n)^2} = \frac{4\delta^2}{2^{2n} \frac{\pi^2 \delta^2}{2^{2n}}} = \frac{4}{\pi^2} \approx 0.405$$

This shows that even when the phase is not exact, we have at least a 40.5% chance of measuring the best  $n$ -bit approximation.

## 4 Improving the Probability of Success

A success probability of  $\sim 40\%$  may not be sufficient. As noted in Nielsen & Chuang, we can improve this probability by adding a number of extra qubits,  $p$ , to our register. If we want to estimate  $\omega$  to  $n$  bits of precision with a success probability of at least  $1 - \epsilon$ , we should use a total of  $n + p$  qubits, where:

$$p = \left\lceil \log_2 \left( 2 + \frac{1}{2\epsilon} \right) \right\rceil$$