

# **Case #1—Stage IIIA NSCLC: A Multidisciplinary Treatment Approach**

## **Part II**

**Niels Reinmuth, MD, PhD**  
Hospital Grosshansdorf  
Grosshansdorf, Germany

# Stage III

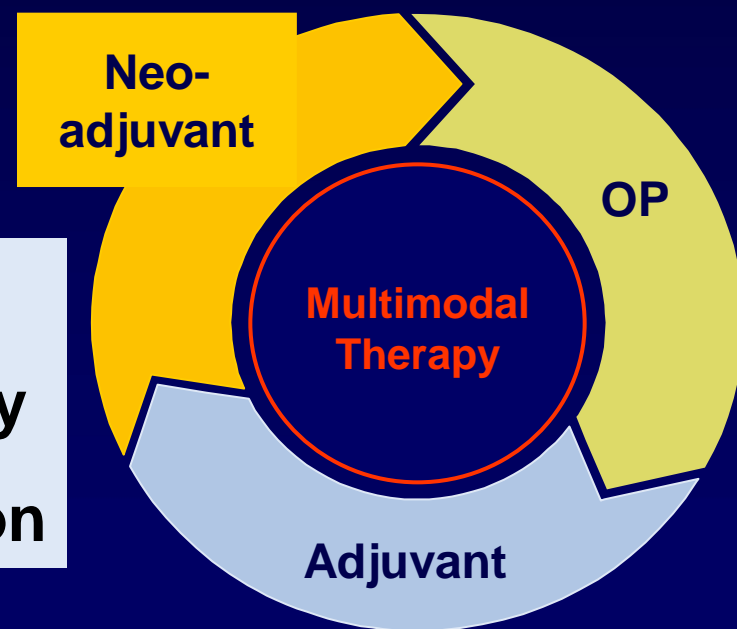
## A Heterogeneous Disease

Stage IIIA	Stage IIIB
18% to 20%	
T3 N1 M0	Tx N3 M0
T4 N0/N1 M0	T4 N2 M0
T1-3 N2 M0	

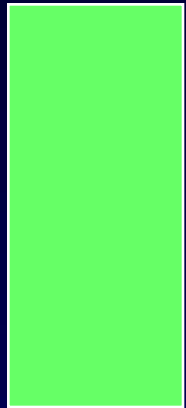
# Surgery at Stage IIIa (N2-Level)

- Potential cure / incurable
- Dissectable / not dissectable
- Neoadjuvant / adjuvant therapy

Mediastinal staging and graduation of the multimodal therapy are adjusted to the individual situation



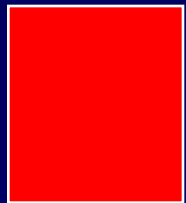
## N2: Should Surgery Be Done?



- **IIIA<sub>1</sub>** Incidental nodal metastases found on final pathology examination of the resection specimen
- **IIIA<sub>2</sub>** Nodal (single station) metastases recognized intraoperatively



- **IIIA<sub>3</sub>** Nodal metastases (single or multiple station) recognized preoperatively (eg, mediastinoscopy, EBUS)



- **IIIA<sub>4</sub>** Bulky or fixed multistation N2 disease
- **IIIB**

# Perioperative Chemotherapy

# NSCLC I-III A (Incidental)

## Lung Adjuvant Cisplatin Evaluation (LACE)

- 4584 patients eligible from 5 trials (ALPI, BLT, IALT, JBR 10, ANITA)
- Follow-up 62 months (median)
- 9% of pts  $\geq 70$  year
- Pneumonectomy: 29%

Stage (pTNM)	Total	IA (8%)	IB (29%)	II (35%)	IIIA (28%)
HR (OS)	<b>0.89</b>	1.40	0.93	<b>0.83</b>	<b>0.83</b>
P value	.005				

**Absolute OS benefit at 5 years  $5.4\% \pm 1.6\%$**

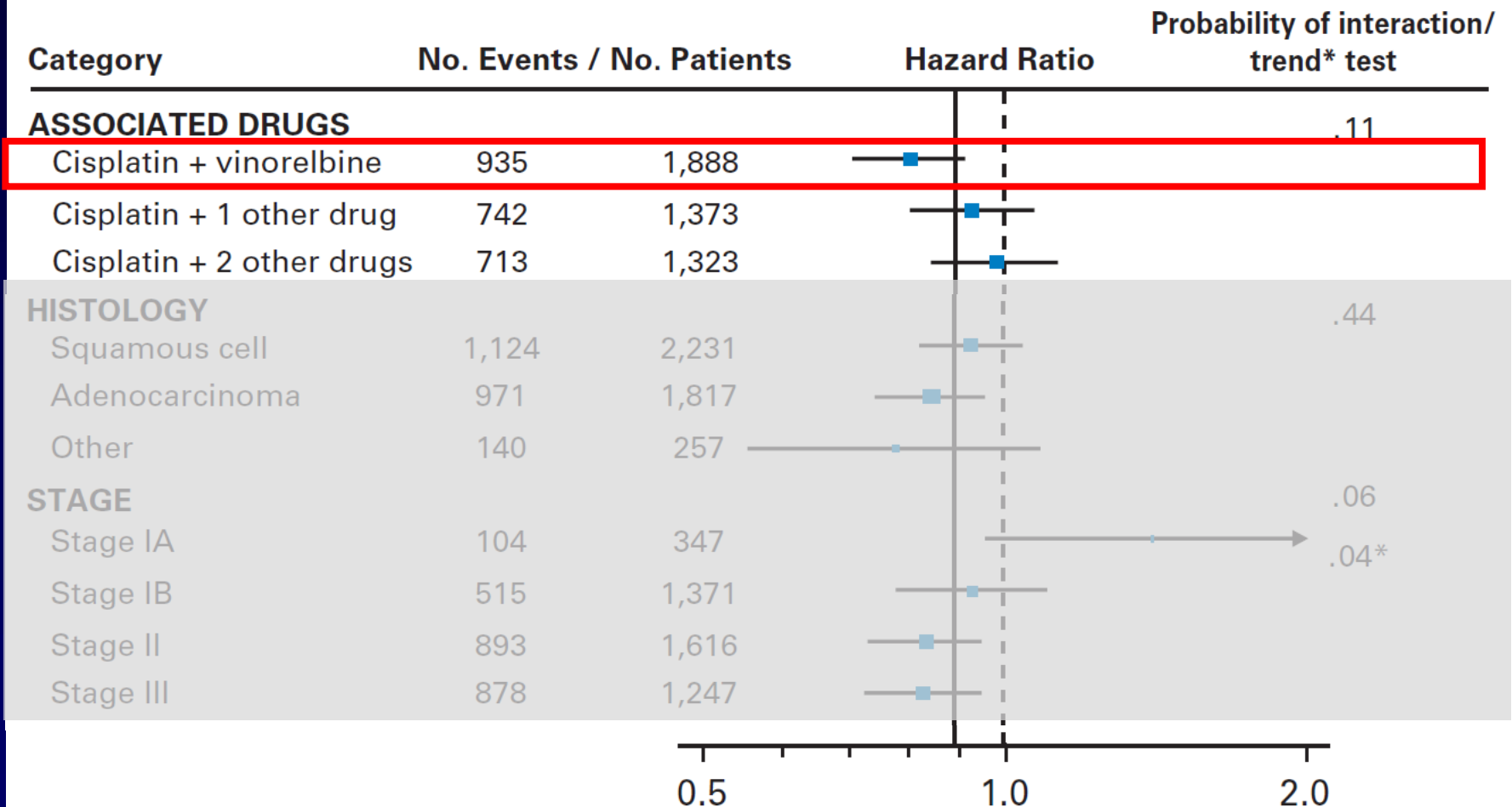
**Toxic death 0.8% to 2%**

pTNM, pathologic TNM stage; HR, hazard ratio; OS, overall survival

Pignon JP, et al. *J Clin Oncol*. 2008;26(21):3552-3559.

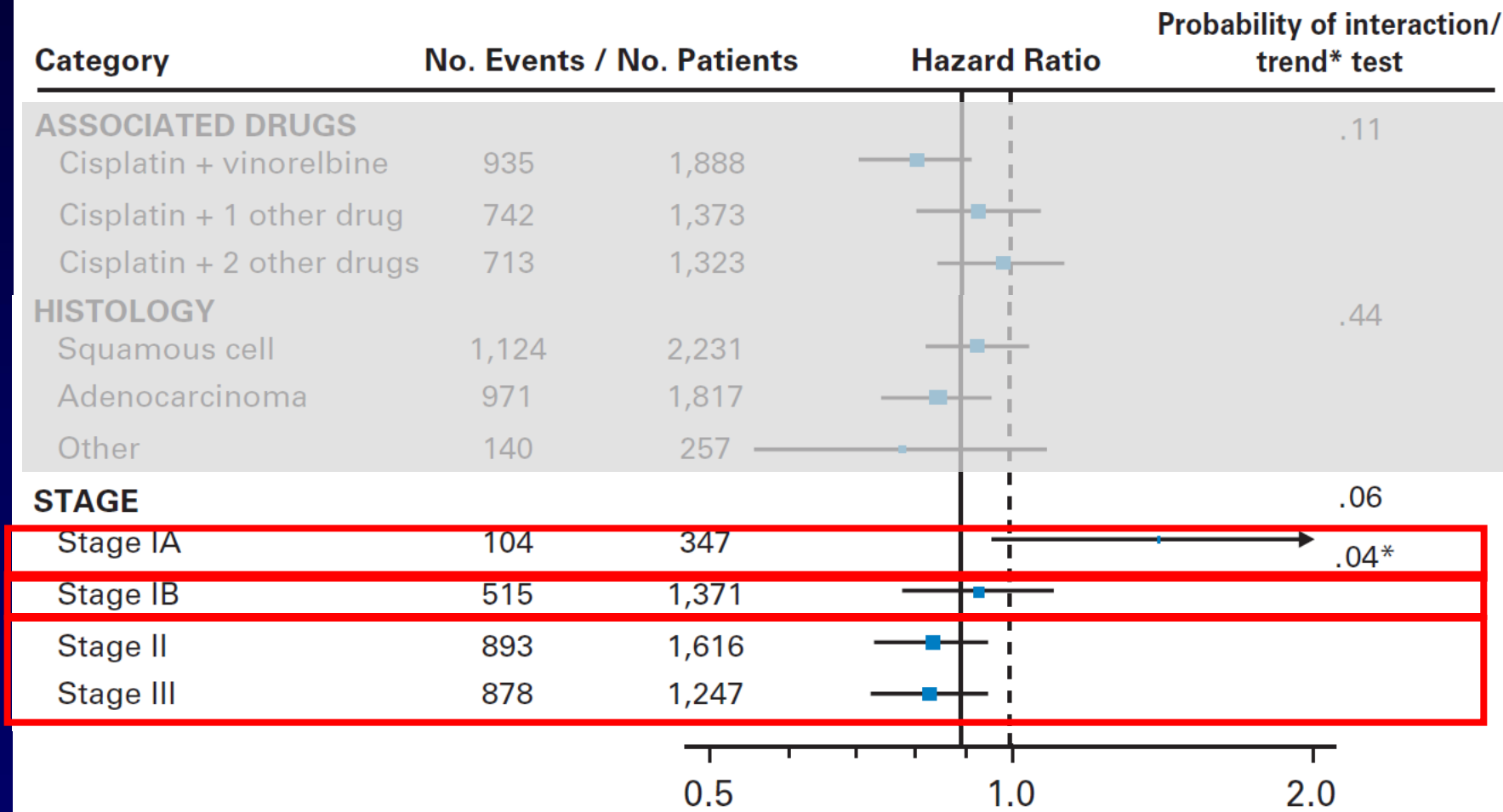
# LACE: OS According to Chemotherapy Regimen

## Overall Survival



# LACE: OS Analysis by Stage

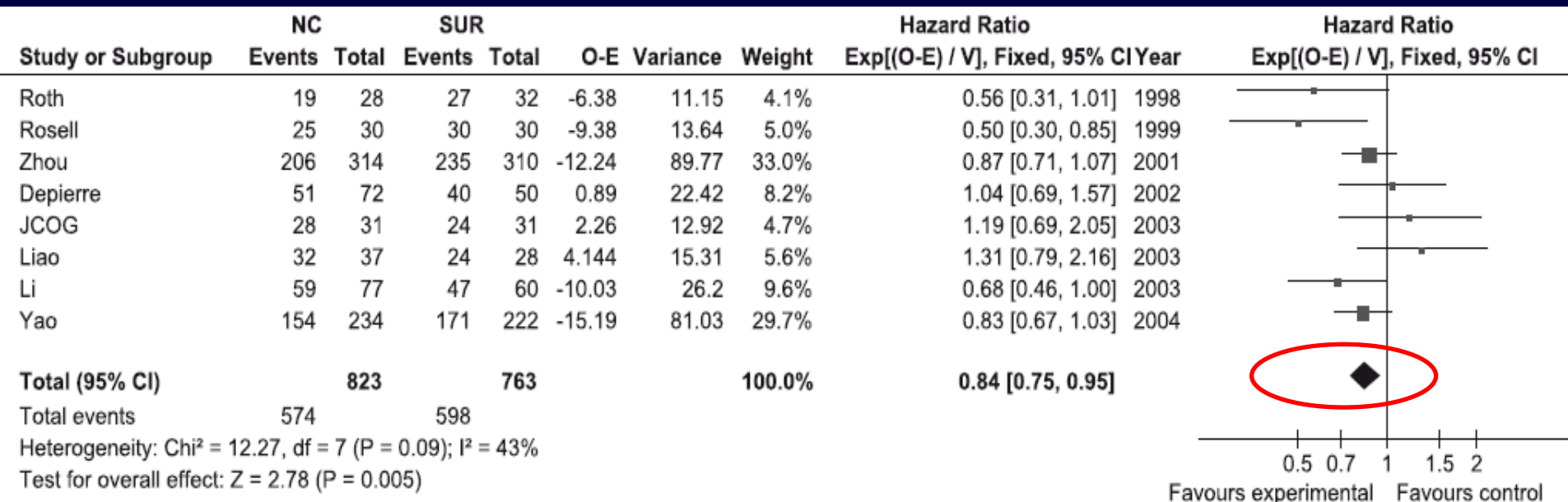
## Overall Survival





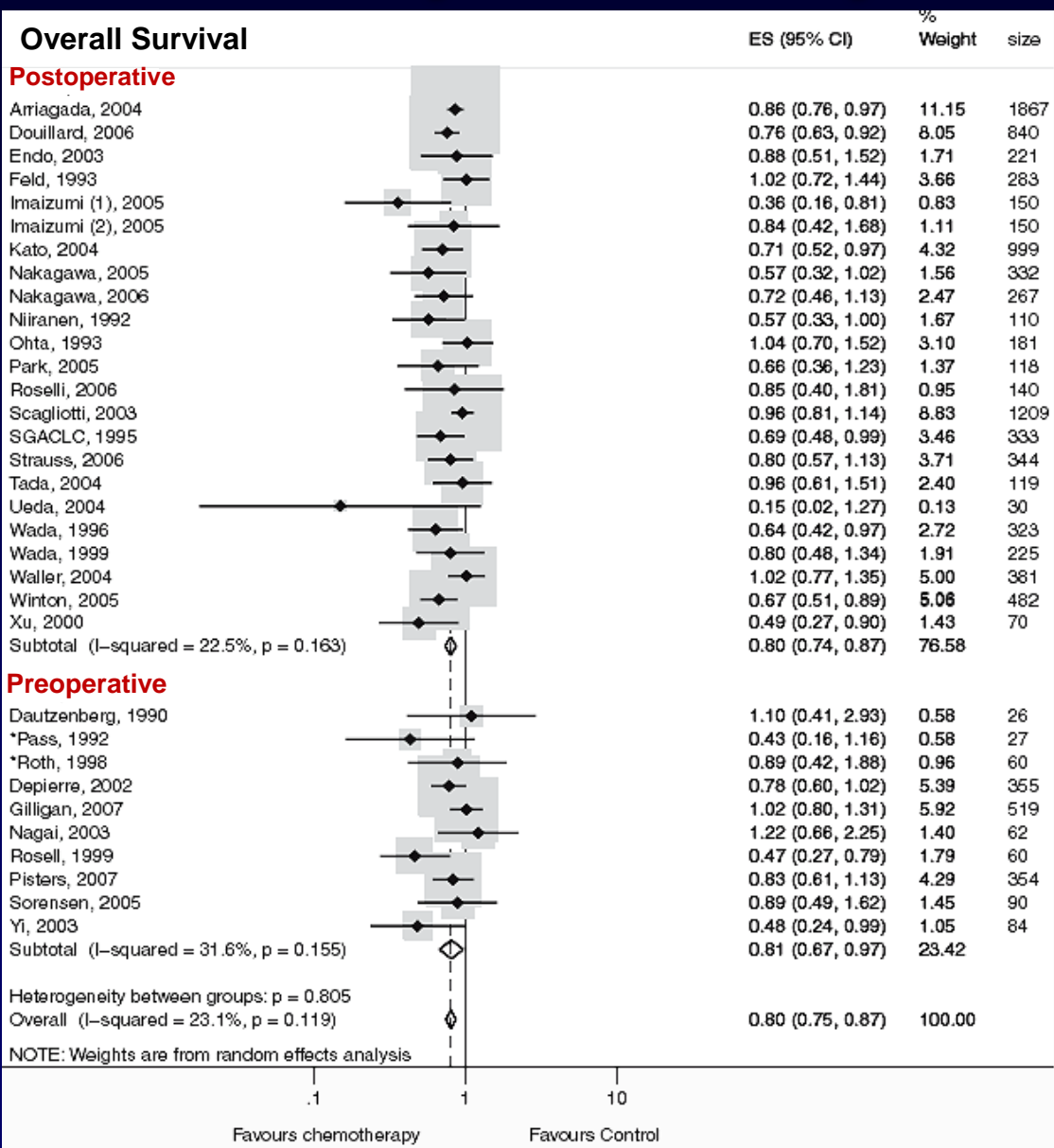
# Metaanalysis: Survival in Stage III

Neoadjuvant CHT+Surgery is superior to surgery alone



**HR 0.84 (0.75-0.95);  $P = .005$**

# Neoadjuvant vs Adjuvant Chemotherapy Meta-Analysis



- 32 randomized trials
  - 22 postop CT
  - 10 preop CT
- >10,000 participants

## Postop vs preop CT:

- OS: HR 0.99 (0.81-1.21)
  - P value = .91
- DFS: HR 0.96 (0.77-1.20)
  - P value = .70

CT, chemotherapy; DFS, disease-free survival

Lim E, et al. *J Thorac Oncol*. 2009;4(11):1380-1388.

# Radiotherapy vs Concurrent Radiochemotherapy Stage III

	Median OS, months		2-Year- Survival, %		<i>P</i> Value
	RT	RCT	RT	RCT	
Dillmann 1996	9.6	13.7	13	26	.01
Sause 2000	12.2	13.7	21	33	.05
Jeremic 1996	14	22	9*	22*	.02
Schaake-K 1992	12	12	9	26	.04

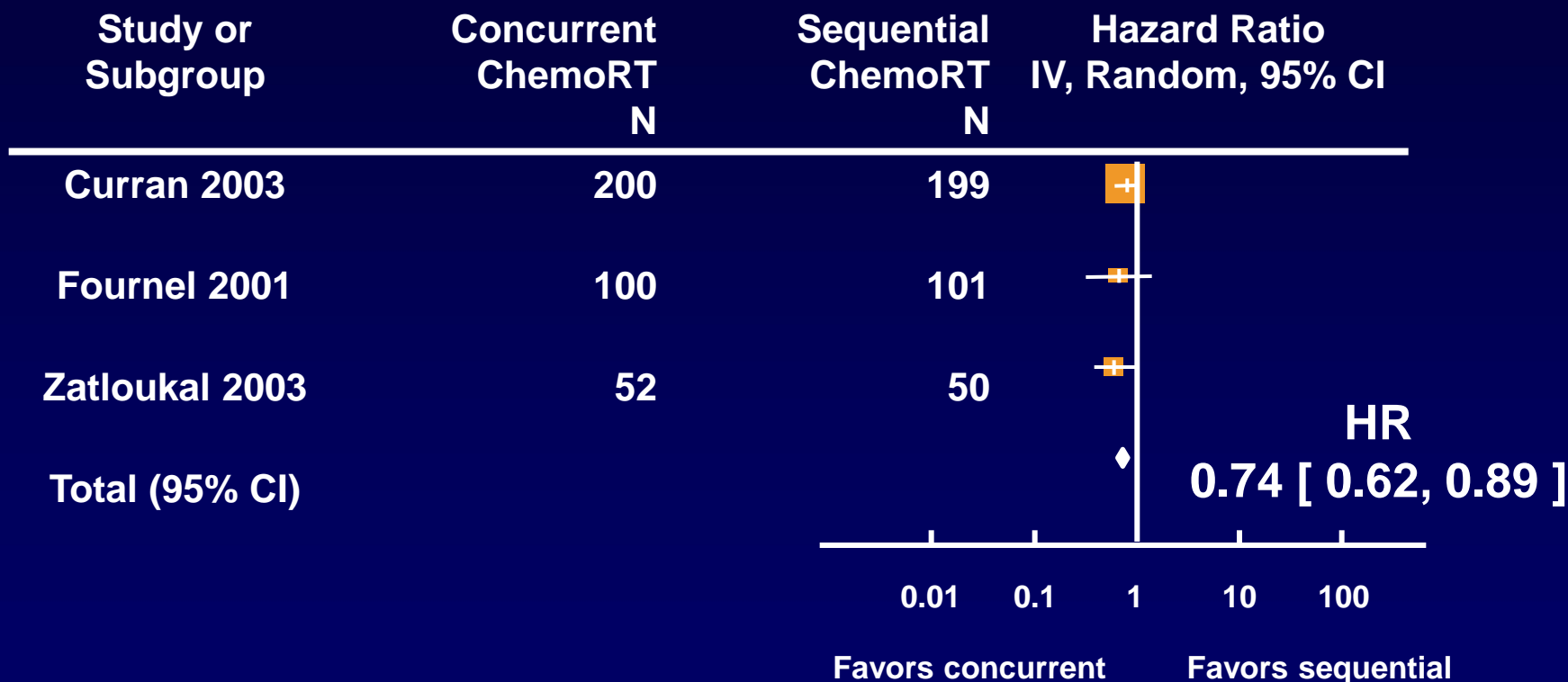
\* 4-Year OS

**Meta-analysis 2010: HR = 0.71 [ 0.64, 0.80 ]**

RT, radiotherapy; RCT, radiochemotherapy

O'Rourke N, et al. *Cochrane Database Syst Rev.* 2010;16(6):CD002140.

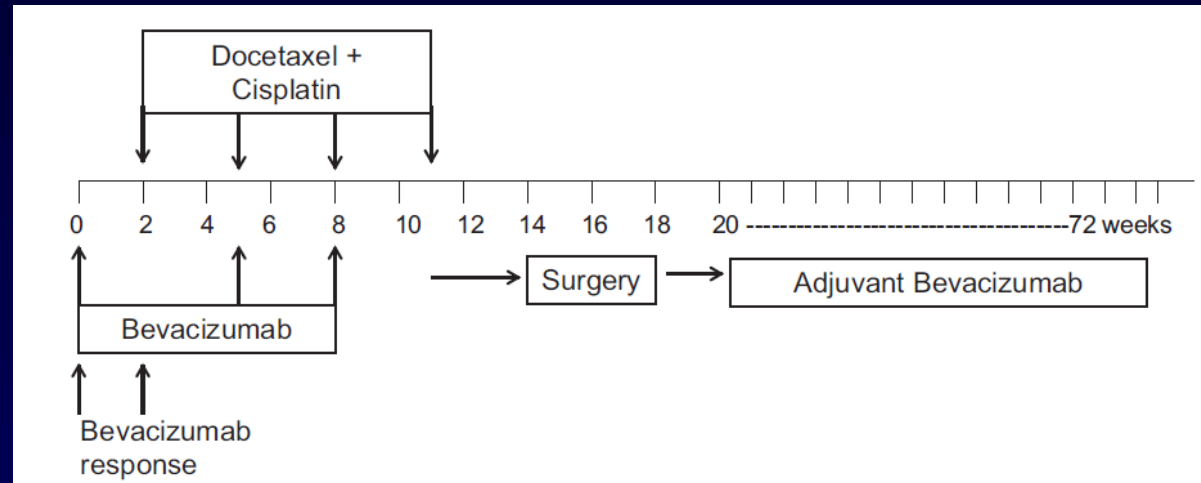
# Concurrent vs Sequential Chemoradiotherapy Overall Survival



# Adjuvant Therapy With *EGFR* or VEGF Targeting Agents

# BEACON Trial: Bevacizumab Perioperative

Phase II single institution,  
50 patients, IB-IIIa  
nonsquamous



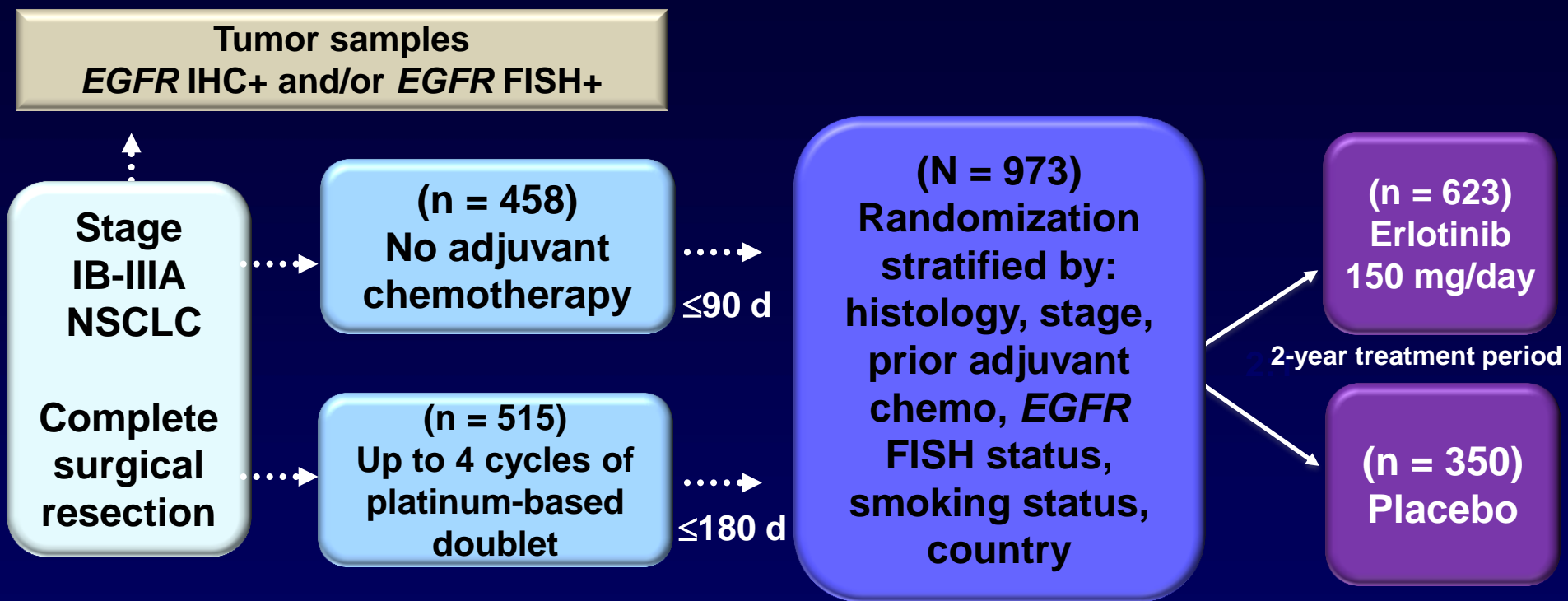
**Primary endpoint: Improvement of downstaging (published) 33% → 50%**

- 44 patients underwent surgery, 3 patients unresectable disease
- ORR 45%
- Downstaging 38%
- 3-year OS 70% vs 56% in the group without downstaging,  $P = .24$
- Toxicity: Perioperative complications 12%, potentially attributable to preoperative bevacizumab 9%

ORR, overall response rate

Chaft JE, et al, *J Thoracic Oncol.* 2013;8(8):1084-1090

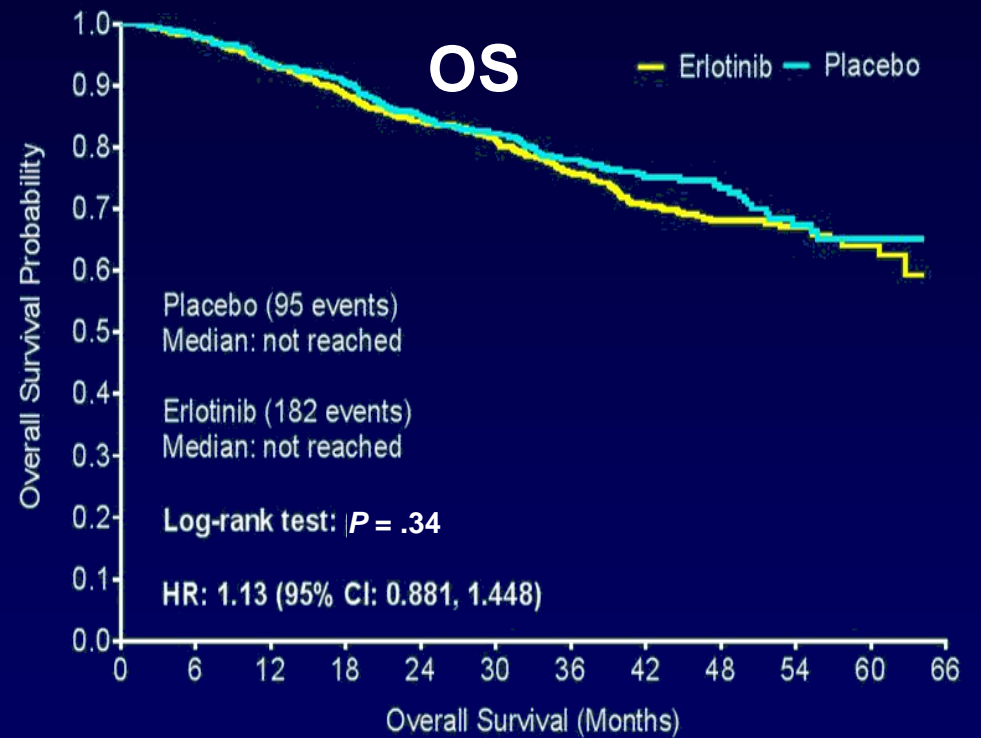
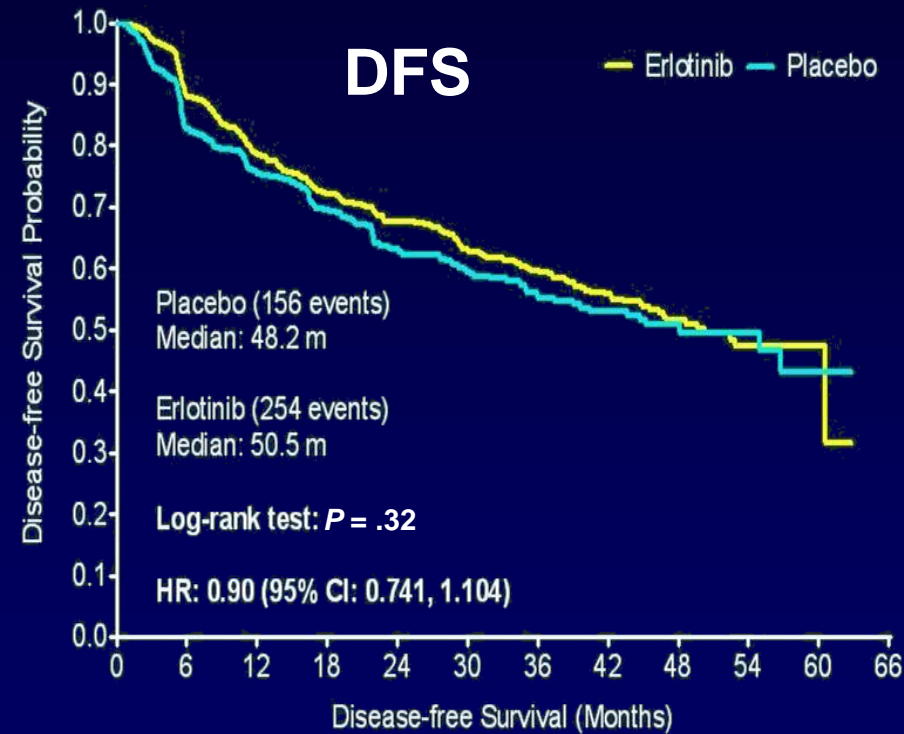
# RADIANT: Trial Design



- Radiology assessment: Every 3 months on treatment and yearly during long-term follow up
- Primary endpoint: DFS
- Secondary endpoints: OS; DFS and OS in patients with del19/L858R (*EGFR* M+)

# RADIANT: DFS and OS

## All Randomized Patients



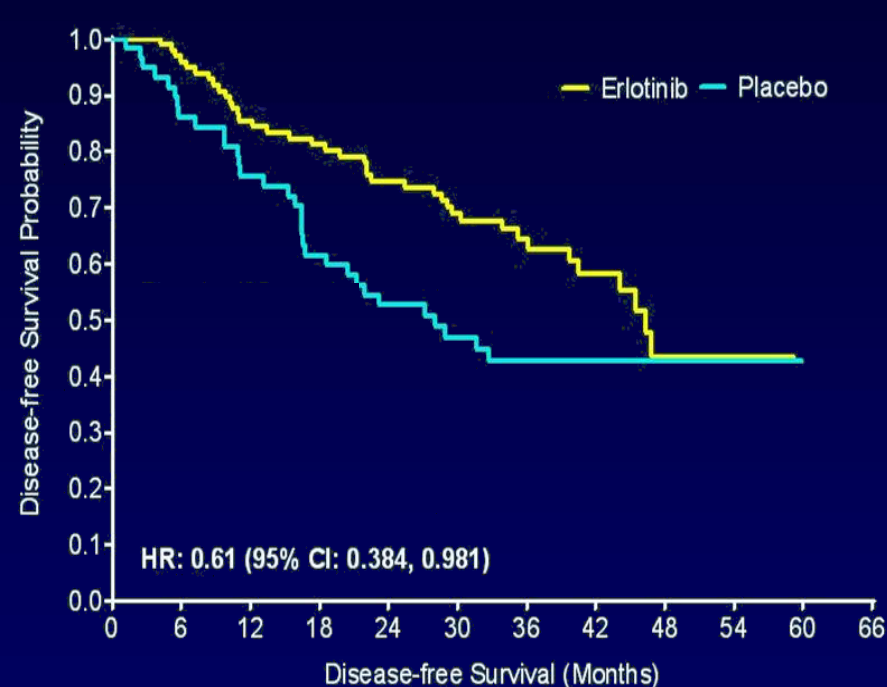
Disease-free Survival (Months)												Overall Survival (Months)											
Number at Risk												Number at Risk											
Placebo	350	280	255	231	198	174	124	83	43	22	1	0	Placebo	350	336	318	306	285	274	244	172	119	72
Erlotinib	623	514	451	411	368	320	223	154	82	40	8	0	Erlotinib	623	586	549	519	489	467	412	288	193	118



# RADIANT: DFS and OS

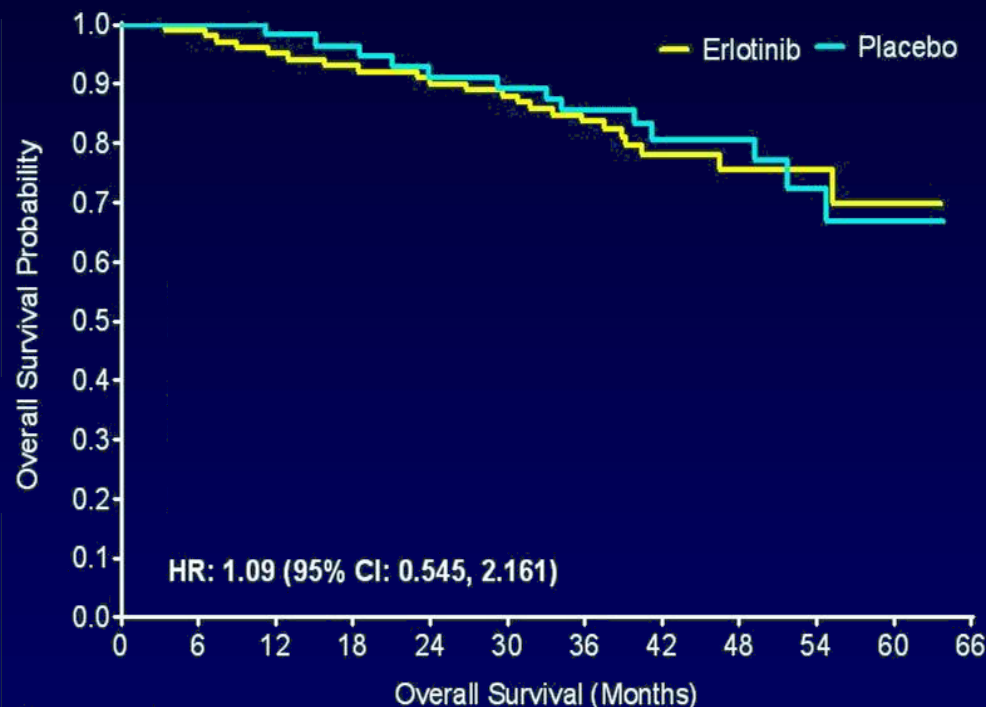
## EGFR M+ Subgroup

EGFR mutations in 161 patients (55% exon 19 del, 45% exon 21 L858R)



Number at Risk											
Placebo	59	49	43	35	30	23	15	12	10	5	0
Erlotinib	102	94	80	76	68	56	35	22	10	3	0

(not statistically significant due to hierarchical testing)



Number at Risk											
Placebo	59	57	56	53	51	50	41	30	24	14	5
Erlotinib	102	100	94	91	88	86	75	43	26	15	7

There were slight imbalances in baseline characteristics:

- Erlotinib group had less chemotherapy and lower stage
- Placebo group had smaller tumor size

Shepherd FA, et al. *Ann Oncol*. 2014;25(Suppl 4): Abstract 1174O.

# Ongoing Initiative: ALCHEMIST Trial

**Trials conducted at sites in the  
NCI Clinical Trials Networks: NCTN & NCORP**

**Nonsquamous NSCLC (n = 6000 to 8000 patients)  
Clinical/pathologic stage IB (≥4 cm), II, IIIA  
Postop cohort with negative surgical margins**



*EGFR*-mutation:  
Phase III trial of erlotinib vs placebo x 2 years (n = 410) after any adj tx

*ALK*-rearranged:  
Phase III trial of crizotinib vs placebo x 2 years (n = 360) after any adj tx

Without molecular alterations: Followed q6 months x 5 years after any adj tx

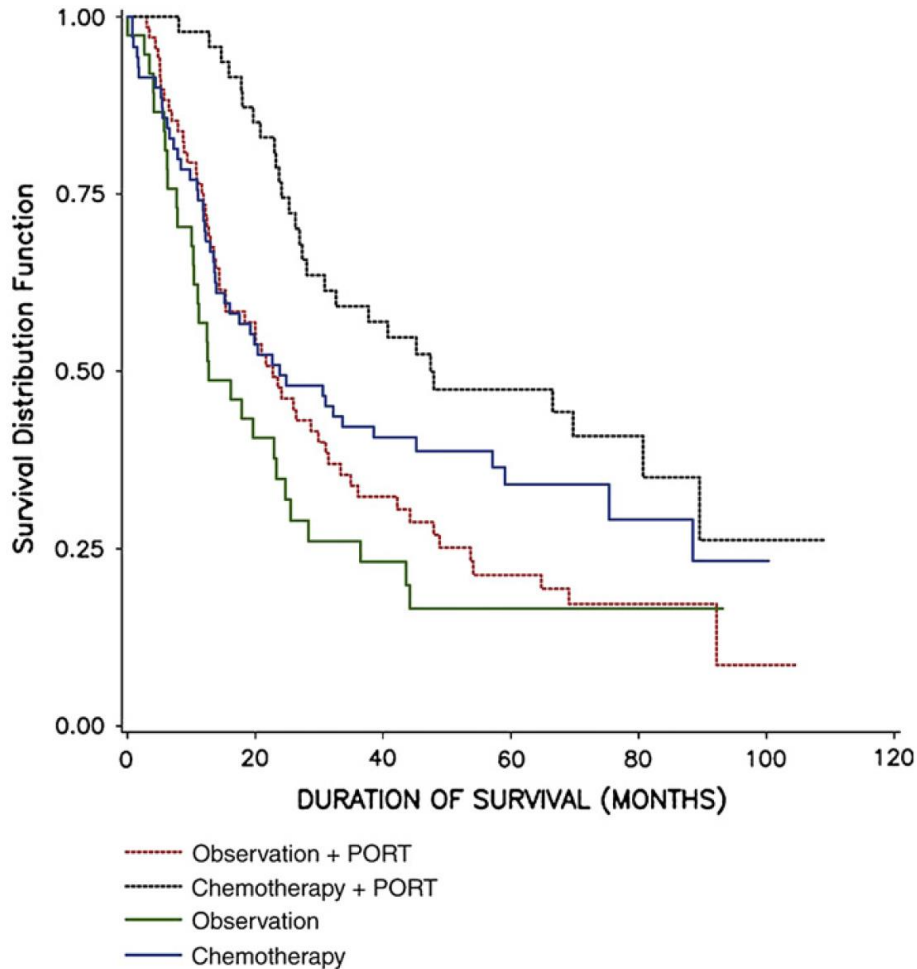
**FFPE tissue & blood specimen**

**Advanced genomics at the NCI**

**FFPE tissue from biopsy done at recurrence**

# **Adjuvant Radiotherapy**

# PORT in N2 Patients

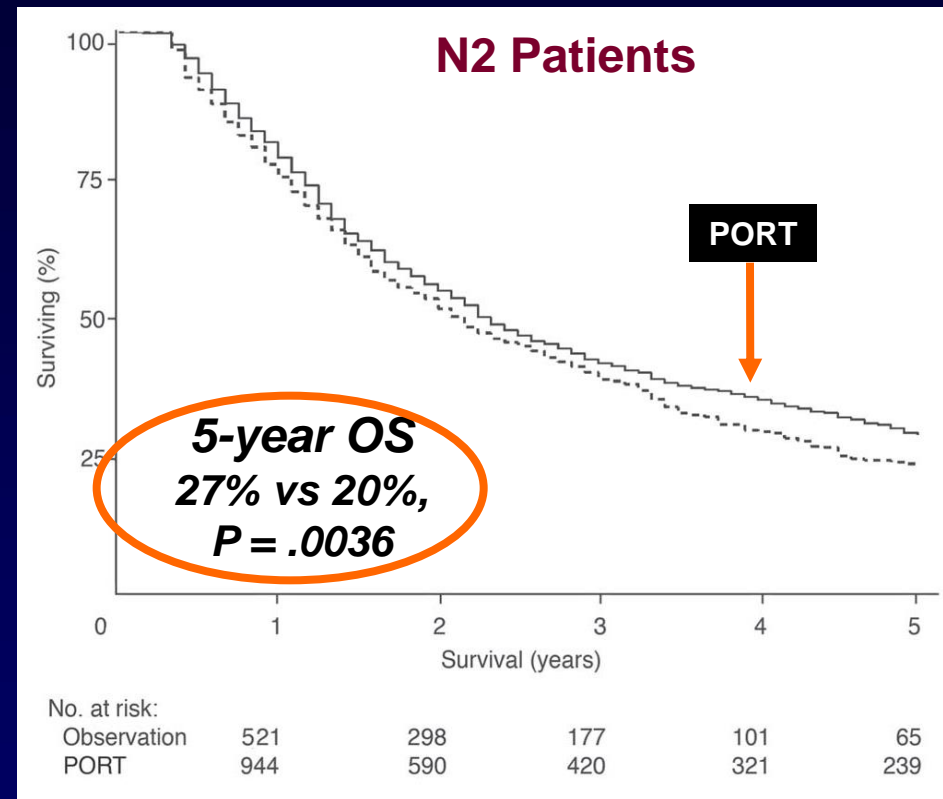
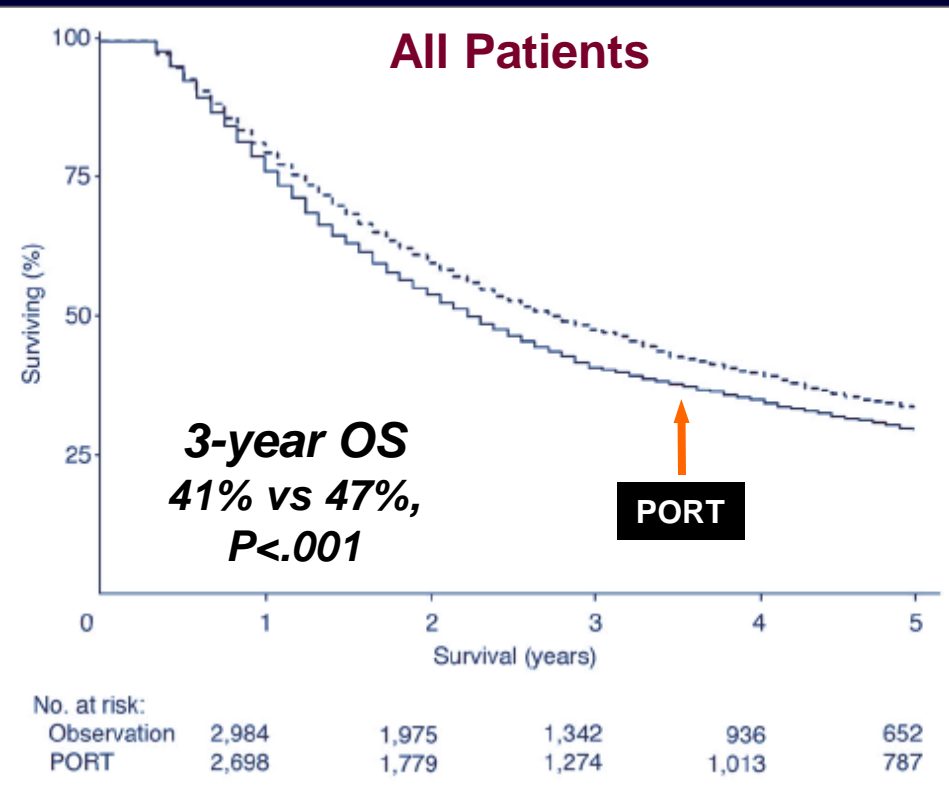


N2	Radiotherapy		No Radiotherapy	
	No CT	CT	No CT	CT
N = 224				
Patients, n	68	48	38	70
5-years OS	21.3%	47.4%	16.6%	34.0%

**ANITA Trial, N = 840**  
**232 (27.6%) received PORT**  
**116 pN2, 85 pN1**

PORT, Post-Operative Radiotherapy

# PORT in 7465 Resected Stage II-III NSCLC Patients (SEER Database)



## Surgery + PORT

- Increased survival in N2 patients ( $P < .04$ )
- No adjuvant CT in most patients

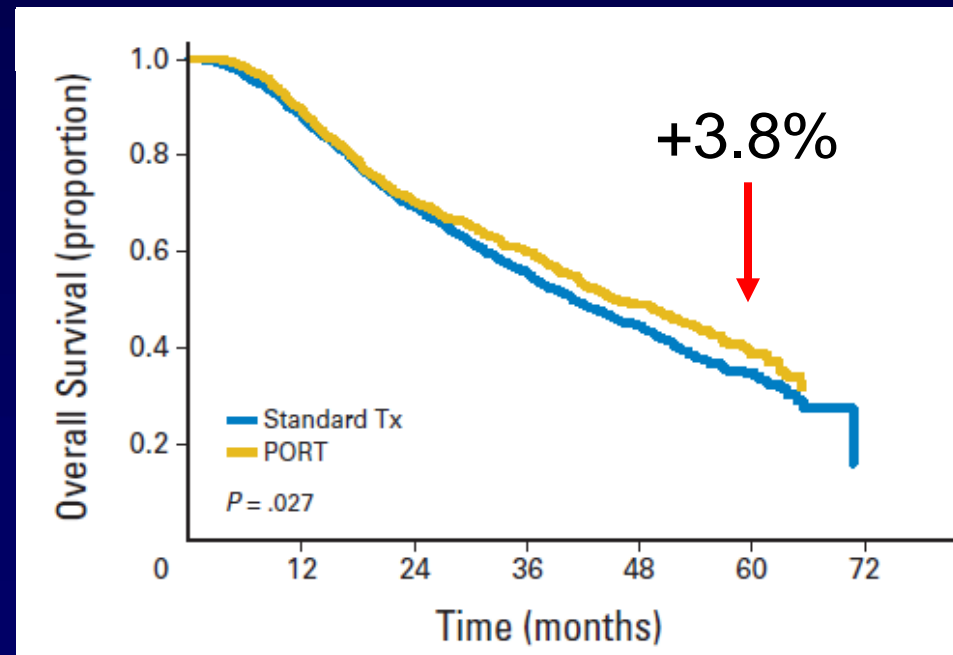
# PORT in pN2 Patients Treated With Adjuvant Chemotherapy

- 4483 patients, pN2 NSCLC, R0 resection, adjuvant CT
- 2006-2010, National Cancer Data Base
- PORT n = 1850; no PORT n = 2633

## Key results:

- Improved OS remained independently predicted by younger age, female sex, urban population, lower Charlson score, smaller tumor size, multi-agent chemotherapy, resection with at least a lobectomy, and PORT (HR = 0.886; 95% CI, 0.798 to 0.988)
- Median OS (45.2 months vs 40.9 months; 5-year OS, 38.4% vs 34.6%;  $P = .027$ )

## Adjusted OS



# Stage III (N2)

## What Do We Know?

- Neoadjuvant/adjuvant chemotherapy is beneficial
- Local treatment (radiation, surgery) is beneficial
- Concurrent chemoradiation is better than sequential therapy
- No benefit of EGFR TKI proven so far
- No benefit of neoadjuvant bevacizumab

## What Do We Not Know?

- Timepoint of surgery

# How I Would Treat This Patient

## Pulmonary Adenocarcinoma

**Stage** T2aN2 (single, 4R) M0 (IIIA-N2);  
55years, ECOG 0

**Surgery technically feasible?**

**Surgery upfront**

**Adjuvant chemotherapy for 4 cycles**  
Cisplatin 75 mg/m<sup>2</sup> d1+ vinorelbine 25 mg/m<sup>2</sup> d1, d8; Q3W

**Adjuvant radiotherapy**

**Chemo**

**Surgery**

**Follow-up: Every 3 months for 2 years; every 6 months for year 3-5; then yearly**

