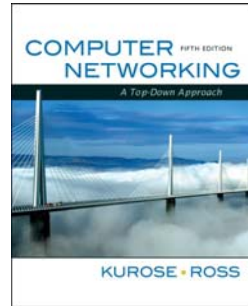


Chapter 2 Application Layer



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*Computer Networking:
A Top Down Approach,
5th edition.
Jim Kurose, Keith Ross
Addison-Wesley, April
2009.*

2: Application Layer

Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
 - SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP

2: Application Layer

Chapter 2: Application Layer

- Our goals:
- conceptual, implementation aspects of network application protocols
 - transport-layer service models
 - client-server paradigm
 - peer-to-peer paradigm
- learn about protocols by examining popular application-level protocols
 - HTTP
 - FTP
 - SMTP / POP3 / IMAP
 - DNS
- programming network applications
 - socket API

2: Application Layer

Some network apps

- e-mail
- web
- instant messaging
- remote login
- P2P file sharing
- multi-user network games
- streaming stored video clips
- voice over IP
- real-time video conferencing
- grid computing

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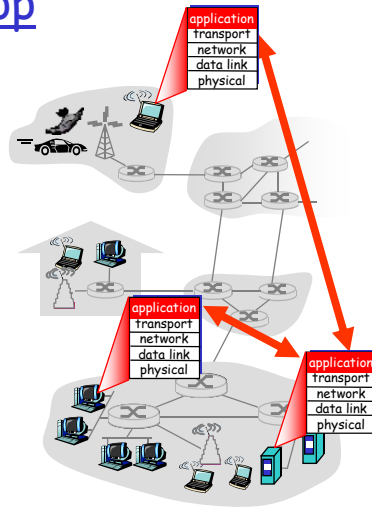
Creating a network app

write programs that

- ❖ run on (different) *end systems*
- ❖ communicate over network
- ❖ e.g., *web server software* communicates with *browser software*

No need to write software for network-core devices

- ❖ Network-core devices do not run user applications
- ❖ applications on end systems allows for *rapid app development*, propagation



2: Application Layer

Chapter 2: Application layer

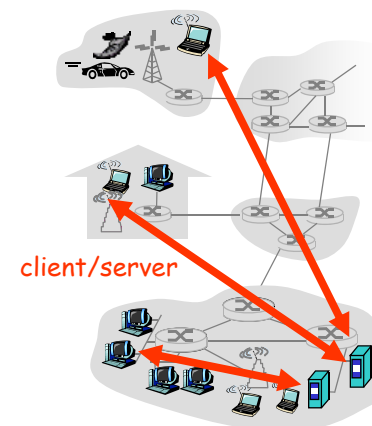
- 2.1 Principles of network applications
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Application architectures

- Client-server
- Peer-to-peer (P2P)
- Hybrid of client-server and P2P

Client-server architecture



server:

- ❖ *always-on host*
- ❖ permanent IP address
- ❖ *server farms* for scaling

clients:

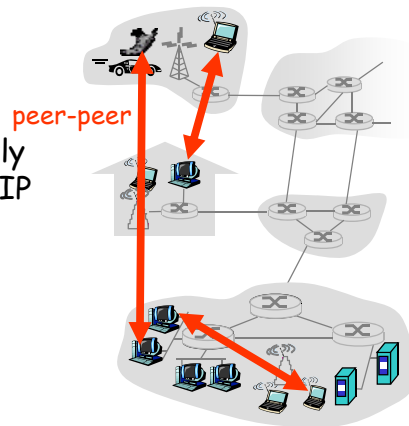
- ❖ communicate with server
- ❖ may be intermittently connected
- ❖ may have dynamic IP addresses
- ❖ do not communicate directly with each other

2: Application Layer

2: Application Layer

Pure P2P architecture

- *no* always-on server
- arbitrary end systems directly communicate
- peers are intermittently connected and change IP addresses
- Highly scalable but difficult to manage



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Hybrid of client-server and P2P

Skype

- ❖ voice-over-IP P2P application
- ❖ **centralized server**: finding address of remote party:
- ❖ client-client connection: direct (not through server)

Instant messaging

- ❖ **chatting** between two users is P2P
- ❖ **centralized service**: client presence detection/location
 - user registers its IP address with central server when it comes online
 - user contacts central server to find IP addresses of buddies

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Processes communicating

Process: program running within a host.

- within same host, two processes communicate using **inter-process communication** (defined by OS).
- **processes in different hosts** communicate by exchanging **messages**

Client process: process that initiates communication

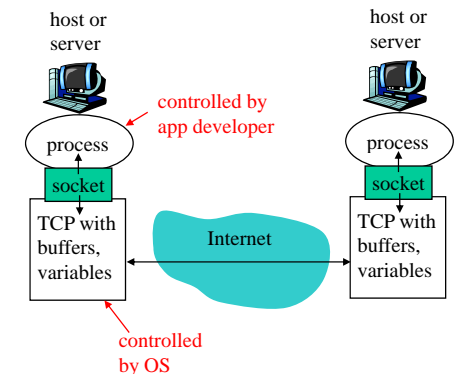
Server process: process that waits to be contacted

- Note: applications with P2P architectures have client processes & server processes

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Sockets

- **process sends/receives messages** to/from its **socket**
- **socket analogous to door**
 - **sending process** shoves message out door
 - **sending process** relies on **transport infrastructure** on other side of door which brings message to socket at receiving process



- **API**: (1) choice of transport protocol; (2) ability to fix a few parameters (lots more on this later)

Application Programming Interface

2: Application Layer

Addressing processes

- to receive messages, process must have *identifier*
- host device has unique 32-bit IP address
- Q: does IP address of host suffice for identifying the process?

2: Application Layer

Addressing processes

- to receive messages, process must have *identifier*
- host device has unique 32-bit IP address
- Q: does IP address of host on which process runs suffice for identifying the process?
 - A: No, many processes can be running on same host
- identifier* includes both IP address and port numbers associated with process on host.
- Example port numbers:
 - HTTP server: 80
 - Mail server: 25
- to send HTTP message to gaia.cs.umass.edu web server:
 - IP address: 128.119.245.12
 - Port number: 80
- more shortly...

2: Application Layer

App-layer protocol defines

- Types of messages exchanged,
 - e.g., request, response
- Message syntax:
 - what fields in messages & how fields are delineated
- Message semantics
 - meaning of information in fields
- Rules for when and how processes send & respond to messages

Public-domain protocols:

- defined in RFCs
- allows for interoperability
- e.g., HTTP, SMTP

Proprietary protocols:

- e.g., Skype

method	Sp	URL	Sp	Version	Cr	If	Request line Header Line
Header field name	:		value	Cr	If		
:							
Header field name	:		value	Cr	If		
Cr	If						
Entity Body							

What transport service does an app need?

Data loss

- some apps (e.g., audio) can tolerate some loss
- other apps (e.g., file transfer, telnet) require 100% reliable data transfer

Timing

- some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

Throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be "effective"
- other apps ("elastic apps") make use of whatever throughput they get

Security

- Encryption, data integrity, ...

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Transport service requirements of common apps

Application	Data loss	Throughput	Time Sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video: 10kbps-5Mbps	yes, 100's msec
stored audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	few kbps up	yes, 100's msec
instant messaging	no loss	elastic	yes and no

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Internet transport protocols services

TCP service:

- *connection-oriented*: setup required between client and server processes
- *reliable transport* between sending and receiving process
- *flow control*: sender won't overwhelm receiver
- *congestion control*: throttle sender when network overloaded
- *does not provide*: timing, minimum throughput guarantees, security

UDP service:

- *unreliable data transfer* between sending and receiving process
- *does not provide*: connection setup, reliability, flow control, congestion control, timing, throughput guarantee, or security

Q: why bother? Why is there a UDP?

2: Application Layer

Internet apps: application, transport protocols

Application	Application layer protocol	Underlying transport protocol
e-mail	SMTP [RFC 2821]	TCP
remote terminal access	Telnet [RFC 854]	TCP
Web	HTTP [RFC 2616]	TCP
file transfer	FTP [RFC 959]	TCP
streaming multimedia	HTTP (eg Youtube), RTP [RFC 1889]	TCP or UDP
Internet telephony	SIP, RTP, proprietary (e.g., Skype)	typically UDP

RTP (Real-Time Application Protocol) ถูกกำหนดโดย RFC 3550

SIP (Session Initiation Protocol) RFC 3261

RTP (Real-time Transport Protocol) RFC 1889

2: Application Layer

Chapter 2: Application layer

- 2.1 Principles of network applications
 - app architectures
 - app requirements
- **2.2 Web and HTTP**
- 2.4 Electronic Mail
 - SMTP, POP3, IMAP
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Web and HTTP



First some jargon

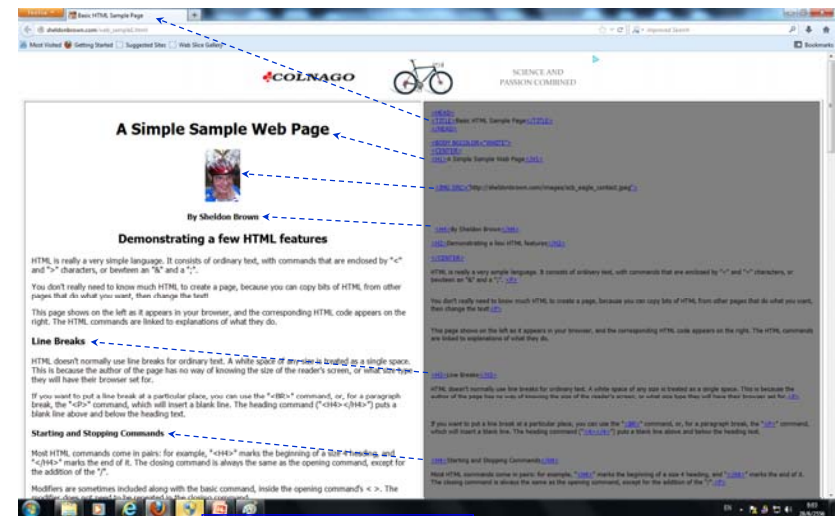
- Web page consists of **objects**
- Object can be **HTML file**, **JPEG image**, **Java applet**, **audio file**,...
- Web page consists of **base HTML-file** which includes several referenced objects
- Each object is addressable by a **URL (Uniform Resource Locator)**
- Example URL:

www.someschool.edu / someDept/pic.gif

host name

path name

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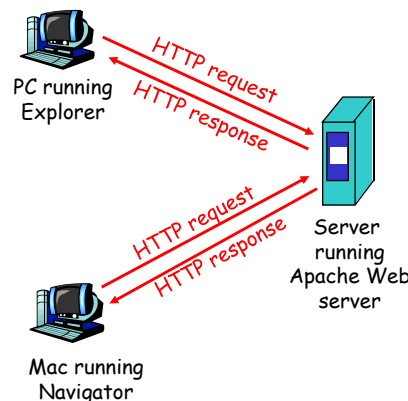
```
<html>
<head>
<title>Document Title</title>
</head>
<body>
.....
</body>
</html>
```

2: Application Layer

HTTP overview

HTTP: Hypertext Transfer Protocol

- Web's application layer protocol
- Client/Server Model
 - Client:** browser that requests, receives, "displays" Web objects
 - Server:** Web server sends objects in response to requests



Web browser : Internet Explorer, FireFox, Google Chrome
Web Server : Apache, Internet Information Service (IIS)

2: Application Layer

HTTP overview (continued)

Uses TCP:

- client initiates **TCP connection** (creates socket) to server, **port 80**
- server accepts **TCP connection** from client
- HTTP messages** (application-layer protocol messages) **exchanged between browser** (HTTP client) and **Web server** (HTTP server)
- TCP connection closed**

HTTP is "stateless"

- server maintains no information about past client requests

aside
Protocols that maintain "state" are complex!

- past history (state) must be maintained
- if server/client crashes, their views of "state" may be inconsistent, must be reconciled

2: Application Layer

HTTP connections

Nonpersistent HTTP

- At most one object is sent over a TCP connection.

Persistent HTTP

- Multiple objects can be sent over single TCP connection between client and server.

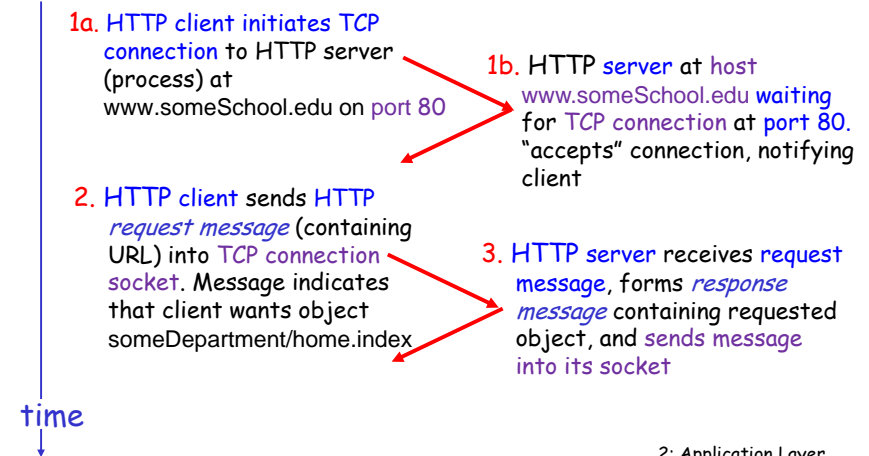
2: Application Layer

Nonpersistent HTTP

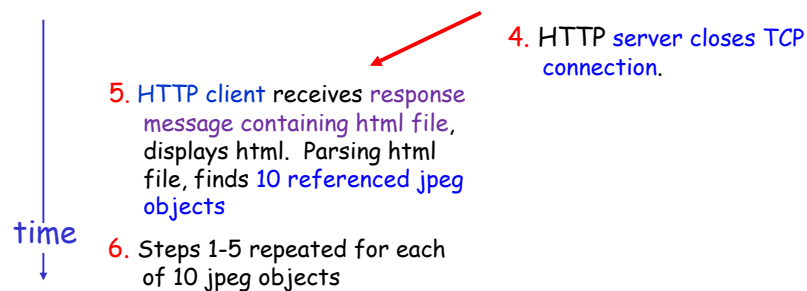
Suppose user enters URL

`www.someSchool.edu/someDepartment/home.index`

(contains text, references to 10 jpeg images)



Nonpersistent HTTP (cont.)



Non-Persistent HTTP: Response time

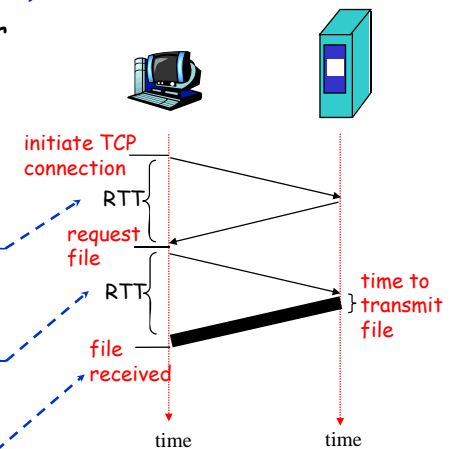
(RTT : Round Trip Time)

Definition of RTT: time for a small packet to travel from client to server and back.

Response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time

total = 2RTT + transmit time



Persistent HTTP

Nonpersistent HTTP issues:

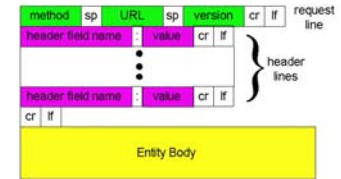
- requires 2 RTTs per object
- OS overhead for each TCP connection
- browsers often open parallel TCP connections to fetch referenced objects

Persistent HTTP

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server sent over open connection
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

2: Application Layer

HTTP Request Message



- two types of HTTP messages: *request, response*
- HTTP request message:**
 - ❖ ASCII (human-readable format)

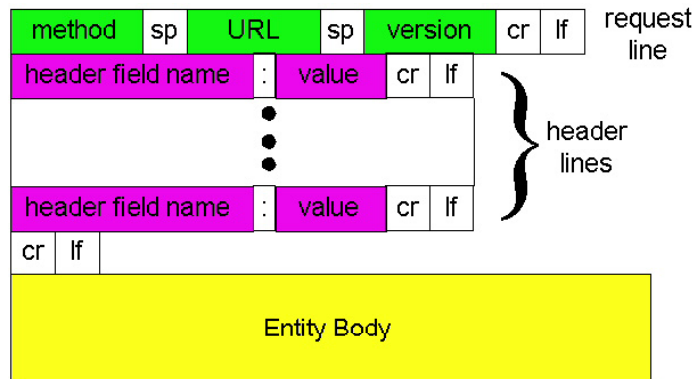
request line (GET, POST, HEAD commands) → GET /somedir/page.html HTTP/1.1

header lines → Host: www.someschool.edu
User-agent: Mozilla/4.0
Connection: close
Accept-language: fr

Carriage return, line feed indicates end of message → (extra carriage return, line feed)

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HTTP Request Message: general format



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Uploading form input

Post method:

- Web page often includes **form input**
- Input is uploaded to server in **entity body**

URL method:

- Uses **GET** method
- Input is uploaded in **URL field of request line:**

www.somesite.com/animalsearch?monkeys&banana

GET www.somesite.com/animalsearch?monkeys&banana HTTP/1.1

2: Application Layer

Method types

HTTP/1.0 (RFC 1945)

- ❑ GET
- ❑ POST
- ❑ HEAD
 - ❖ asks server to leave requested object out of response

HTTP/1.1 (RFC (2616))

- ❑ GET, POST, HEAD
- ❑ PUT
 - ❖ uploads file in entity body to path specified in URL field
- ❑ DELETE
 - ❖ deletes file specified in the URL field

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HTTP response message

status line
(protocol
status code
status phrase) → HTTP/1.1 200 OK
Connection close
Date: Thu, 06 Aug 1998 12:00:15 GMT
Server: Apache/1.3.0 (Unix)
Last-Modified: Mon, 22 Jun 1998
Content-Length: 6821
Content-Type: text/html

header lines

data, e.g.,
requested
HTML file → data data data data data ...

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HTTP response status codes

In first line in server→client response message.

A few sample codes:

200 OK

- ❖ request succeeded, requested object later in this message

301 Moved Permanently

- ❖ requested object moved, new location specified later in this message (Location:)

400 Bad Request

- ❖ request message not understood by server

404 Not Found

- ❖ requested document not found on this server

505 HTTP Version Not Supported

2: Application Layer

Trying out HTTP (client side) for yourself

1. **Telnet** to your favorite Web server:

```
telnet cis.poly.edu 80
```

Opens TCP connection to port 80 (default HTTP server port) at cis.poly.edu. Anything typed in sent to port 80 at cis.poly.edu

2. Type in a **GET HTTP request**:

```
GET /~ross/ HTTP/1.1  
Host: cis.poly.edu
```

By typing this in (hit carriage return twice), you send this minimal (but complete) GET request to HTTP server

3. Look at **response message** sent by HTTP server!

2: Application Layer

```

Telnet unix.kmitl.ac.th
$ telnet cis.poly.edu 80
Trying 128.238.32.126...
Connected to cis.poly.edu.
Escape character is '^J'.
GET /ross/ HTTP/1.1
Host: cis.poly.edu

HTTP/1.0 200 OK
Date: Thu, 07 Jul 2005 17:50:13 GMT
Server: Apache/1.2.5
Last-Modified: Thu, 20 Jan 2005 19:19:17 GMT
ETag: "15834-2748-41f00435"
Content-Length: 10056
Accept-Ranges: bytes
Content-Type: text/html
X-Cache: MISS from proxy.net.kmitl.ac.th
Connection: close

<html>
<head>
<title>Keith Ross's Homepage</title>
</head>
<body>

<br>&nbsp;
<h2>
Keith W. Ross</h2>
<h3> Leonard J. Shustek Professor of Computer Science</h3>
<p>
Department of Computer and Information Science
<br>Polytechnic University
<br>Six MetroTech Center
<br>Brooklyn, NY 11201
<br>phone: 718-260-3859
<br>fax: 718-260-3609
<br>e-mail: <a href="mailto:ross@poly.edu">ross@poly.edu</a>
<h3>
Biosketch</h3>
Professor Ross is the <a href="http://www.poly.edu/news/Keith_Ross_news.cfm">Leo
nard
J. Shustek<br>Chair Professor in Computer Science</a> at Polytechnic
University. Before joining
Polytechnic University, he was a professor in the Multimedia
Communications Department at Eurecom Institute (1998-2003) and was a professor i

```

response message sent by HTTP server

User-server state: cookies

Many major Web sites use cookies

Example:

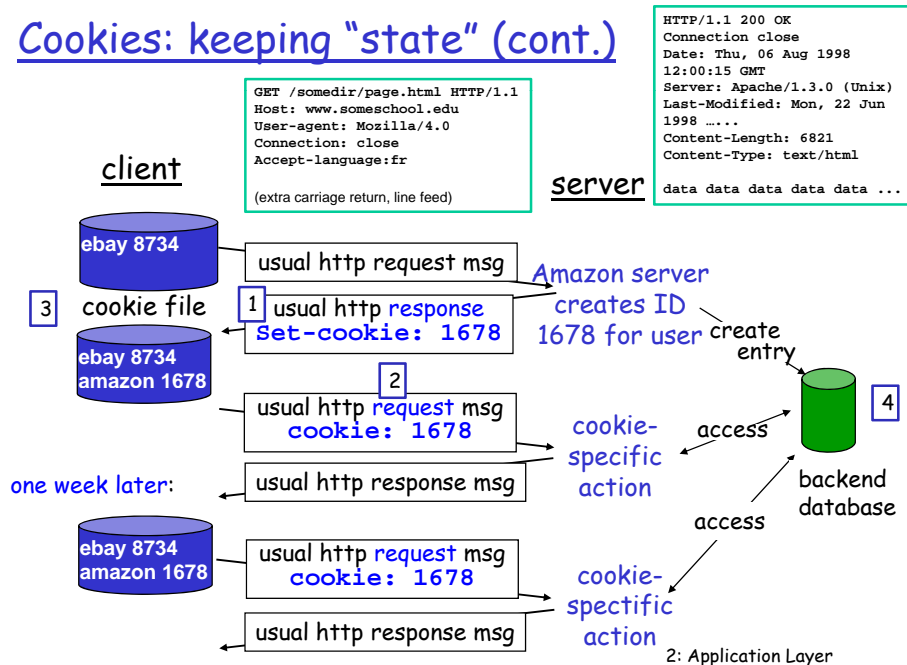
- ❑ Susan always access Internet always from PC
- ❑ visits specific e-commerce site for first time
- ❑ when initial HTTP requests arrives at site, site creates:
 - ❖ unique ID
 - ❖ entry in backend database for ID

Four components:

- 1) cookie header line of HTTP *response* message
- 2) cookie header line in HTTP *request* message
- 3) cookie file kept on *user's host*, managed by user's browser
- 4) back-end database at *Web site*

2: Application Layer

Cookies: keeping "state" (cont.)



Cookies (continued)

What cookies can bring:

- authorization
- shopping carts
- recommendations
- user session state (Web e-mail)

aside
Cookies and privacy:

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites

How to keep "state":

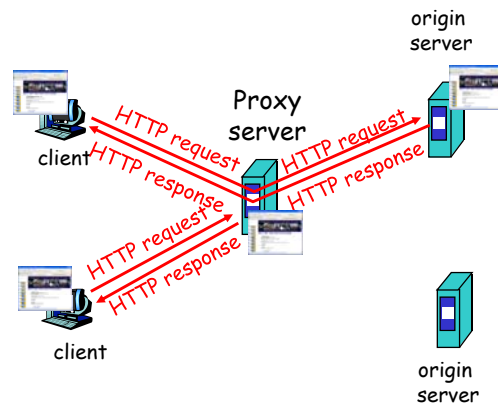
- protocol endpoints: maintain state at *sender/receiver* over multiple transactions
- cookies: http messages carry state

2: Application Layer

Web caches (proxy server)

Goal: satisfy client request without involving origin server

- user sets browser: Web accesses via cache
- browser sends all HTTP requests to cache
 - ❖ object in cache: cache returns object
 - ❖ else cache requests object from origin server, then returns object to client



2: Application Layer

More about Web caching

- cache acts as both client and server
- typically cache is installed by ISP (university, company, residential ISP)

Why Web caching?

- reduce response time for client request
- reduce traffic on an institution's access link.
- Internet dense with caches: enables "poor" content providers to effectively deliver content (but so does P2P file sharing)

2: Application Layer

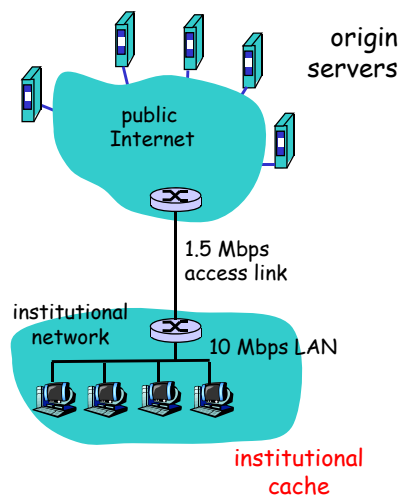
Caching example

Assumptions

- average object size = 100,000 bits
- average request rate from institution's browsers to origin servers = 15 requests/sec
- delay from institutional router to any origin server and back to router = 2 sec

Consequences

- utilization on LAN = 15%
- utilization on access link = 100%
- total delay = Internet delay + access delay + LAN delay = 2 sec + minutes + milliseconds



2: Application Layer

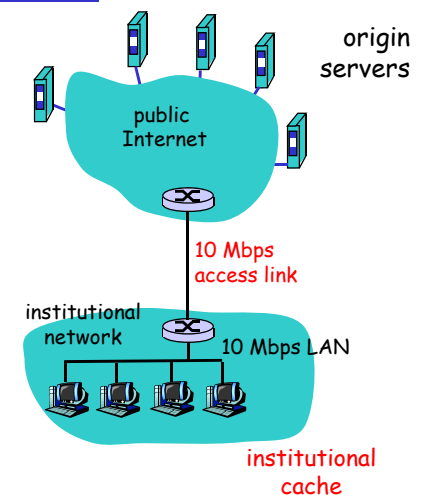
Caching example (cont)

possible solution

- increase bandwidth of access link to, say, 10 Mbps

consequence

- utilization on LAN = 15%
- utilization on access link = 15%
- Total delay = Internet delay + access delay + LAN delay = 2 sec + msec + msec
- often a costly upgrade



2: Application Layer

Caching example (cont)

possible solution: install cache

- suppose hit rate is 0.4

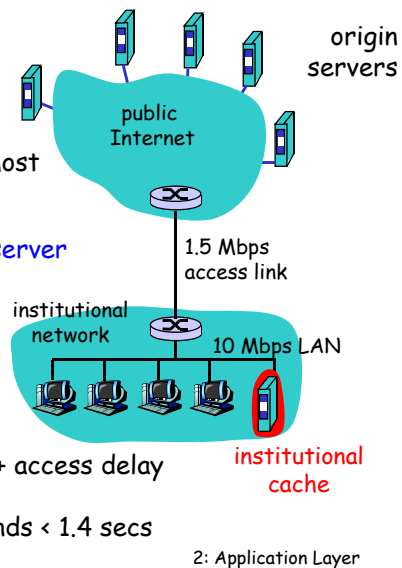
consequence

- 40% requests will be satisfied almost immediately

- 60% requests satisfied by origin server

- utilization of access link reduced to 60%, resulting in negligible delays (say 10 msec)

- total avg delay = Internet delay + access delay + LAN delay
= $0.6 \times (2.01) \text{ secs} + 0.4 \times \text{milliseconds} < 1.4 \text{ secs}$



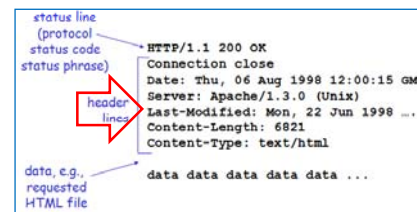
Conditional GET

- Goal: don't send object if cache has up-to-date cached version

- cache: specify date of cached copy in HTTP request
 - If-modified-since: <date>

- server: response contains no object if cached copy is up-to-date:
 - HTTP/1.0 304 Not Modified

- HTTP/1.0 200 OK Modified



cache

server

HTTP request msg
If-modified-since: <date>

object not modified

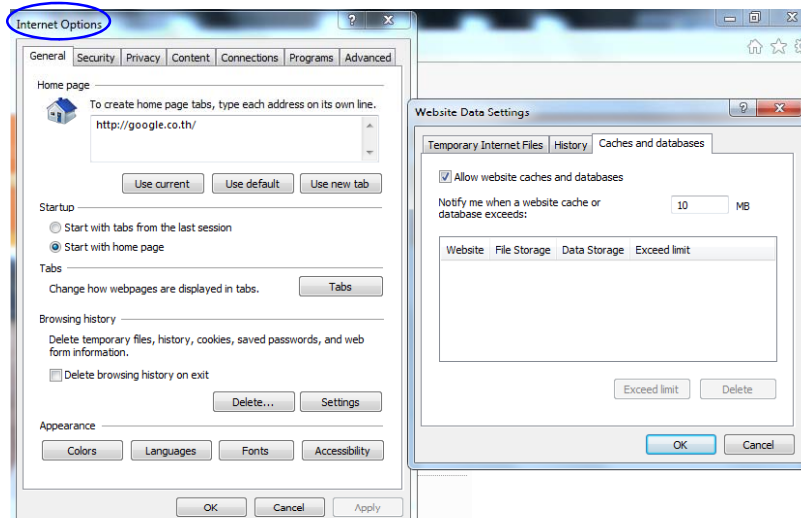
HTTP response
HTTP/1.0
304 Not Modified

HTTP request msg
If-modified-since: <date>

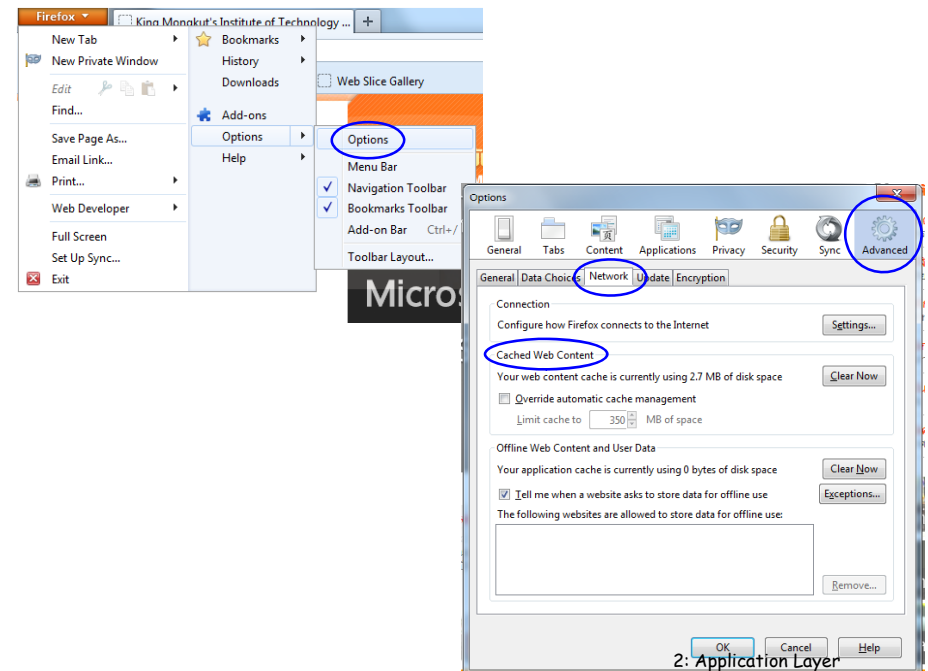
object modified

HTTP response
HTTP/1.0 200 OK
<data>

2: Application Layer



2: Application Layer

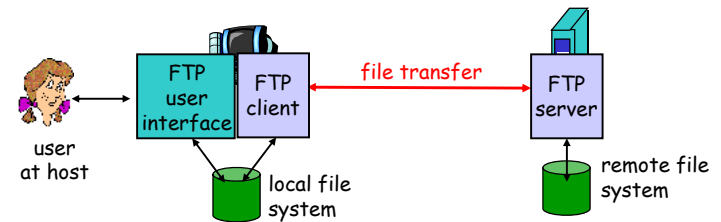


Chapter 2: Application layer

- ❑ 2.1 Principles of network applications
- ❑ 2.2 Web and HTTP
- ❑ 2.3 FTP
- ❑ 2.4 Electronic Mail
 - ❑ SMTP, POP3, IMAP
- ❑ 2.5 DNS
- ❑ 2.6 P2P applications
- ❑ 2.7 Socket programming with TCP
- ❑ 2.8 Socket programming with UDP
- ❑ 2.9 Building a Web server

2: Application Layer

FTP: the File Transfer Protocol

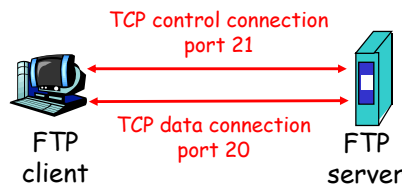


- ❑ transfer file to/from remote host
- ❑ client/server model
 - ❖ *client*: side that initiates transfer (either to/from remote)
 - ❖ *server*: remote host
- ❑ ftp: RFC 959
- ❑ ftp *server*: port 21

2: Application Layer

FTP: separate control, data connections

- ❑ FTP *client* contacts FTP *server* at **port 21**, TCP is transport protocol
- ❑ *client authorized* over *control connection*
- ❑ *client browses remote directory* by *sending commands* over *control connection*.
- ❑ when server receives *file transfer command*, *server opens 2nd TCP connection* (for file) to client
- ❑ after transferring *one file*, *server closes data connection*.
- ❑ *server opens another TCP data connection* to transfer another file.
- ❑ control connection: "out of band"
- ❑ FTP *server maintains "state"*: current directory, earlier authentication



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FTP commands, responses

Sample commands:

- sent as ASCII text over **control channel**
- **USER** *username*
- **PASS** *password*
- **LIST** return list of file in current directory
- **RETR** *filename* retrieves (*gets*) file
- **STOR** *filename* stores (*puts*) file onto remote host

Sample return codes

- **status code** and **phrase** (as in HTTP)
- **331** Username OK, password required
- **125** data connection already open; transfer starting
- **425** Can't open data connection
- **452** Error writing file

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```

C:\Windows\system32\cmd.exe

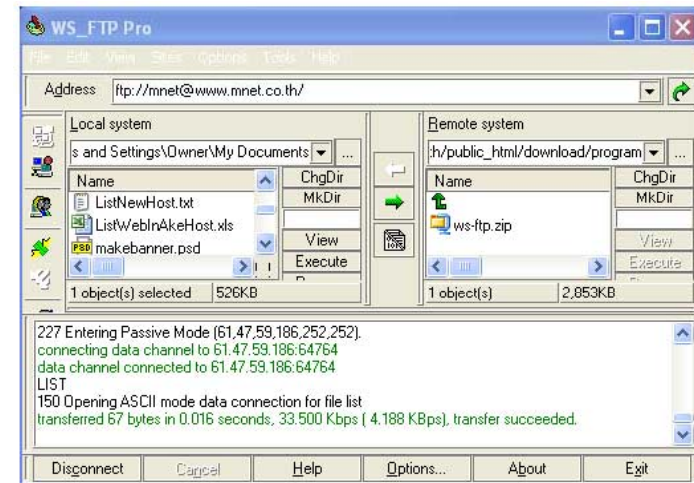
C:\Users\Skyline>ftp
ftp> o unix.kmitl.ac.th
Connected to neutrino.crsc.kmitl.ac.th.
220-Welcome to KMITL Unix and FTP Services..
220
User (neutrino.crsc.kmitl.ac.th:(none)): ktsakha
331 Please specify the password.
Password:
230 Login successful.
ftp> ?
Commands may be abbreviated.  Commands are:

!      delete      literal      prompt      send
?      debug      ls          put         status
ascii  dir           disconnect  pwd         trace
bell   get           mdelete    quit        type
binary glob         mdir       quote       user
bye    hash        mkdir      recu        verbose
cd     help        mls        remotehelp
close  lcd         mput       rename
ftp> bye
221 Goodbye.

C:\Users\Skyline>

```

2: Application Layer



ดาวน์โหลดโปรแกรม WS_FTP Pro

2: Application Layer

```

C:\WINDOWS\system32\command.com

C:\DOCUMENT1\AGNUN>ftp ftp.internic.net
Connected to ftp.internic.net.
220 Internic FTP Server
User (ftp.internic.net:(none)): anonymous
331 Anonymous login ok, send your complete email address as your password
Password:
230 Anonymous access granted, restrictions apply
ftp> pwd
257 "/" is the current directory
ftp> ls -al
200 PORT command successful
150 Opening ASCII mode data connection for file list
..
domain
226 Transfer complete
ftp> cd domain
ftp> ls -al
200 PORT command successful
150 Opening ASCII mode data connection for file list
db.cache.md5
in-addr.arpa.gz
..
arpa.zone.gz.sig
ip6.arpa.gz.md5
root.zone.gz.sig
in-addr.arpa.gz.md5
..
named.root.md5
db.cache
db.cache.sig
edu.zone.gz.sig
named.cache.md5
ip6.arpa.md5
named.cache
edu.zone.gz.md5
arpa.zone.gz
arpa.zone.gz.md5
named.cache.sig
root.zone.gz
named.root.sig
edu.zone.gz
ip6.arpa.gz
INTERNIC_ROOT_ZONE.signatures.asc
root.zone.gz.md5
named.root
INTERNIC_ROOT_ZONE.signatures
226 Transfer complete
ftp> 441 bytes received in 0.00Seconds 441000.00Kbytes/sec.
ftp> bye
221 Goodbye.

C:\DOCUMENT1\AGNUN>_

```

2: Application Layer

Example Anonymous FTP Servers

```

C:\WINDOWS\system32\command.com

C:\DOCUMENT1\AGNUN>ftp ftp.nectec.or.th
Connected to ftp.nectec.or.th.
220 Welcome to nectec FTP service.
User (ftp.nectec.or.th:(none)): anonymous
331 Please specify the password.
Password:
230 Login successful.
ftp> pwd
257 "/"
ftp> ls -al
200 PORT command successful. Consider using PASV.
150 Here comes the directory listing.
drwxr-xr-x 15 0 0 4096 Oct 30 2008 .
drwxr-xr-x 15 0 0 4096 Oct 30 2008 ..
drwxr-xr-x 6 0 0 4096 Oct 28 2007 .a
drwxr-xr-x 13 0 0 4096 Aug 07 2008 .b
drwxr-xr-x 2 0 0 4096 Dec 09 2007 .proc
drwxr-xr-x 3 0 0 4096 Aug 07 2004 .rjmc
lrwxrwxrwx 1 0 0 4096 Mar 13 2007 bin -> .a/1/NECTEC
drwxr-xr-x 2 0 0 4096 Mar 21 2007 hdd-distributions
drwxr-xr-x 2 0 0 4096 Mar 13 2007 etc
lrwxrwxrwx 1 0 0 4096 Oct 30 2007 index.html -> .a/index.html
lrwxrwxrwx 1 0 0 4096 Mar 13 2007 info -> .a/1/info
drwxr-xr-x 2 0 0 4096 Mar 13 2007 lib
drwxr-xr-x 2 0 0 4096 Oct 28 2007 linux-distributions
drwxr-xr-x 3 0 0 4096 Oct 28 2007 linux-documents
drwxr-xr-x 2 0 0 4096 Oct 28 2007 linux-software
drwxr-xr-x 2 0 0 4096 Aug 22 2008 pub
drwxr-xr-x 2 0 0 4096 Oct 30 2007 sites
226 Directory send OK.
ftp> 1210 bytes received in 3.44Seconds 0.35Kbytes/sec.
ftp> quit
221 Goodbye.

C:\DOCUMENT1\AGNUN>_

```

ftp.nectec.or.th

ftp.kmitl.ac.th

```

C:\WINDOWS\system32\command.com

C:\DOCUMENT1\AGNUN>ftp ftp.kmitl.ac.th
Connected to green20.crsc.kmitl.ac.th.
220 Welcome to our FTP service.
User (green20.crsc.kmitl.ac.th:(none)): Anonymous
331 Please specify the password.
Password:
230 Login successful.
ftp> pwd
257 "/"
ftp> ls -al
200 PORT command successful. Consider using PASV.
150 Here comes the directory listing.
drwxr-xr-x 2 0 0 4096 Aug 29 2007 .
drwxr-xr-x 2 0 0 4096 Aug 29 2007 ..
226 Directory send OK.
ftp> 119 bytes received in 0.00Seconds 119000.00Kbytes/sec.
ftp> bye
221 Goodbye.

C:\DOCUMENT1\AGNUN>_

```


Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
 - SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP

2: Application Layer

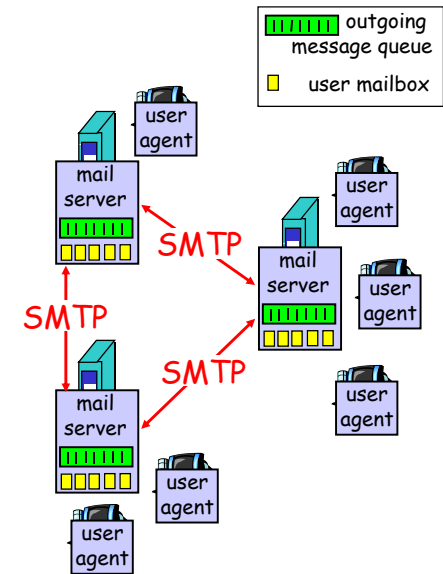
Electronic Mail

Three major components:

- User Agents
- Mail Servers
- Simple Mail Transfer Protocol: SMTP (RFC 2821)

User Agent

- a.k.a. "Mail Reader"
- Composing, Editing, Reading Mail Messages
- e.g., Eudora, Outlook, elm, Mozilla Thunderbird
- outgoing, incoming messages stored on server

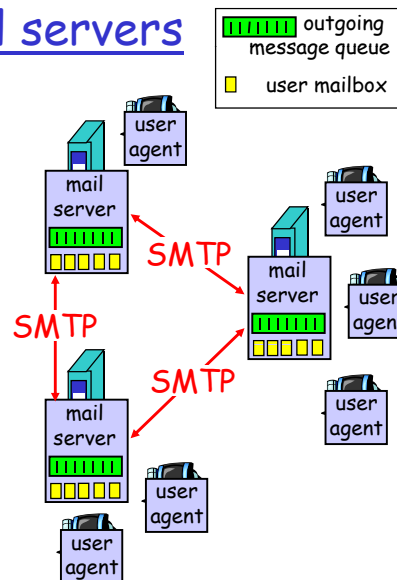


2: Application Layer

Electronic Mail: mail servers

Mail Servers

- mailbox contains incoming messages for user
- message queue of outgoing (to be sent) mail messages
- SMTP protocol between mail servers to send email messages
 - "Client": sending mail server
 - "Server": receiving mail server



2: Application Layer

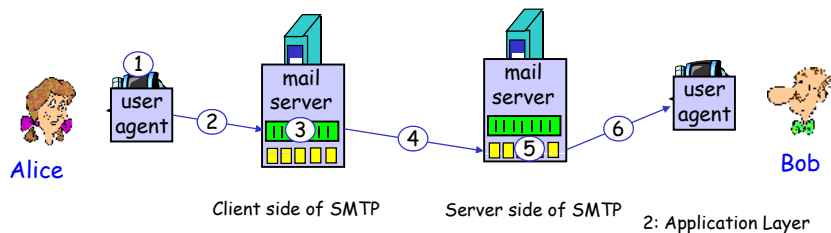
Electronic Mail: SMTP [RFC 2821]

- uses TCP to reliably transfer email message from client to server, port 25
- direct transfer: sending server to receiving server
- three phases of transfer
 - handshaking (greeting)
 - transfer of messages
 - closure
- command/response interaction
 - commands: ASCII text
 - response: status code and phrase
- messages must be in 7-bit ASCII

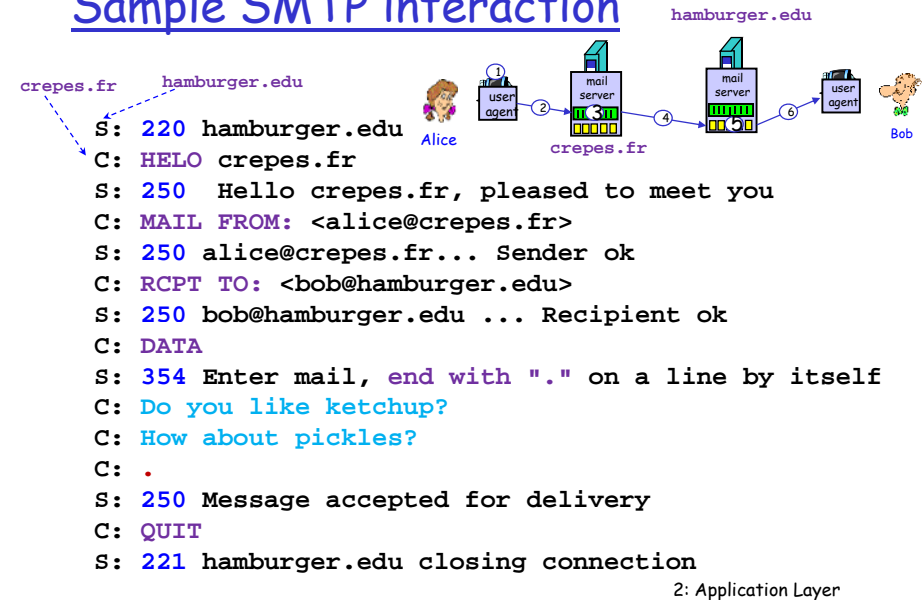
2: Application Layer

Scenario: Alice sends message to Bob

- 1) Alice uses user agent (UA) to compose message and "to" bob@school.edu
- 2) Alice's UA sends message to her mail server; message placed in message queue
- 3) Client side of SMTP opens TCP connection with Bob's mail server
- 4) SMTP client sends Alice's message over the TCP connection
- 5) Bob's mail server places the message in Bob's mailbox
- 6) Bob invokes his user agent to read message



Sample SMTP interaction



Try SMTP interaction for yourself:

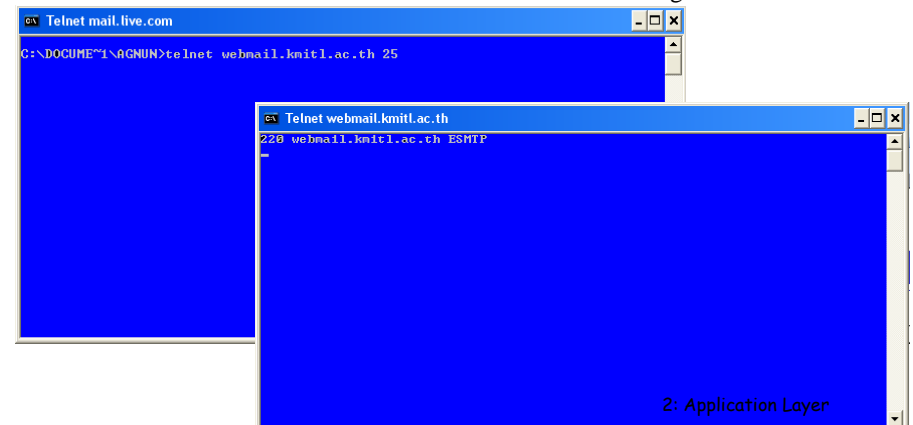
- o telnet servername 25
- o see 220 reply from server
- o enter HELO, MAIL FROM, RCPT TO, DATA, QUIT commands

above lets you send email without using email client (reader)

2: Application Layer

An Example SMTP Session

- o How to connect to an SMTP server?
 - o telnet servername 25
 - o A TCP connection gets established over port number 25
 - o The telnet client and the mail server can start a dialogue



An Example SMTP Session (cont.)

```

C:\ Telnet
220 webmail.kmitl.ac.th ESMTP
HELO webmail.kmitl.ac.th
250 webmail.kmitl.ac.th
MAIL FROM:ktsakcha@kmitl.ac.th
250 2.1.0 Ok
RCPT TO: ktsakcha@kmitl.ac.th
502 5.5.2 Error: command not recognized
QUIT TO:ktsakcha@kmitl.ac.th
250 2.1.5 Ok
DATA
354 End data with <CR><LF>.<CR><LF>
Hello sakchai.
Nice to meet you.
This is an example mail sending by using telnet
.
250 2.0.0 Ok: queued as 14CEBC01
QUIT
221 2.0.0 Bye

Connection to host lost.
C:\DOCUMENT~1\AGNUN>
  
```

2: Application Layer

An Example SMTP Session (cont.)

```

C:\ Telnet
220 webmail.kmitl.ac.th ESMTP
HELO webmail.kmitl.ac.th
250 webmail.kmitl.ac.th
MAIL FROM:ktsakcha@kmitl.ac.th
250 2.1.0 Ok
RCPT TO:ktsakcha@kmitl.ac.th Recipient 1
250 2.1.5 Ok
RCPT TO:kwsomsak@kmitl.ac.th Recipient 2
250 2.1.5 Ok
RCPT TO:kwaranya@kmitl.ac.th Recipient 3
250 2.1.5 Ok
DATA
354 End data with <CR><LF>.<CR><LF>
Hello,
This is an example mail sending by using telnet program
.
250 2.0.0 Ok: queued as 55EFBC01
QUIT
221 2.0.0 Bye

Connection to host lost.
C:\DOCUMENT~1\AGNUN>
  
```

Sending email to several recipients

2: Application Layer

SMTP: final words

- SMTP uses persistent connections
- SMTP requires message (header & body) to be in 7-bit ASCII
- SMTP server uses **CRLF.CRLF** to determine end of message

Comparison with HTTP:

- HTTP: pull
- SMTP: push
- both have ASCII command/response interaction, status codes
- HTTP: each object encapsulated in its own response message
- SMTP: multiple objects sent in multipart message

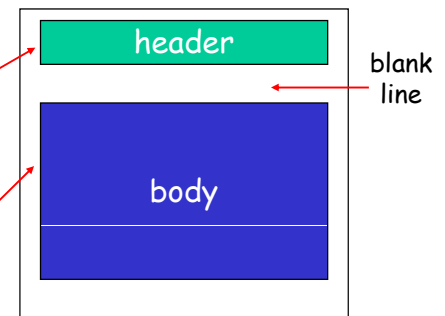
2: Application Layer

Mail Message Format

SMTP (RFC 2821) : protocol for exchanging email messages

RFC 822: standard for text message format:

- header lines, e.g.,
 - To:
 - From:
 - Subject:
 different from SMTP commands!
- body
 - the "message", ASCII characters only



SMTP Command : HELO, MAIL FROM, RCPT TO, DATA, QUIT

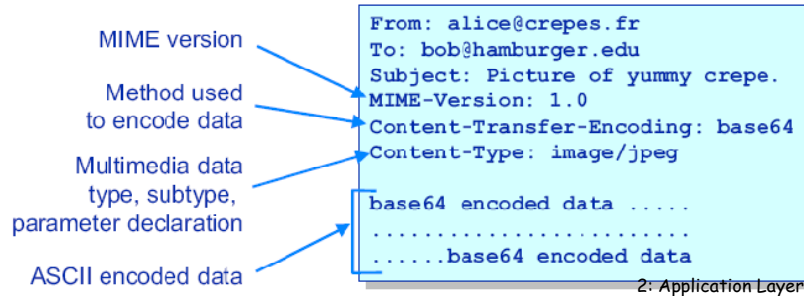
2: Application Layer

Mail Message Format

MIME – Multipurpose Internet Mail Extensions (RFC 2045, 2056)

MIME = ไม่นี, นี

- o Multimedia mail extensions
- o SMTP requires all data to be *7-bit ASCII characters*
 - o All non-ASCII data must be encoded as ASCII strings
- o Additional lines in the message header declare MIME content type

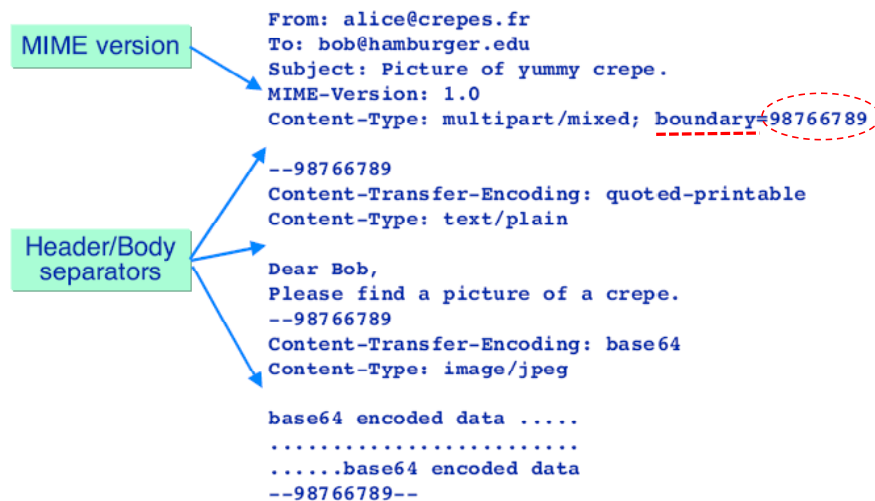


MIME types and subtypes

Type	Subtype	Description
Text	Plain html	Unformatted Text Html format
Image	Gif Jpeg bmp	Still picture in GIF format Still picture in JPEG format Still picture in BMP format
Audio	Basic X-wav	Audible sound (au) Wave file
Video	Mpeg Quicktime	Movie in MPEG format Mov.
Multipart	Mixed	Independent parts in the specified order

2: Application Layer

MIME Types : Multipart Types



Received Message

- o Receiving Server ที่ได้รับ message ด้วย RFC 822 และ MIME header line จะทำการเพิ่ม
- o Received : header line ในบรรทัดบนสุดของ message

Received: from crepes.fr by hamburger.edu; 12 Oct 98 15:27:39 GMT
From: alice@crepes.fr
To: bob@hamburger.edu
Subject: Picture of yummy crepe.
MIME-Version: 1.0
Content-Transfer-Encoding: base64
Content-Type: image/jpeg

2: Application Layer

Received Message

- บางครั้ง บรรทัด **Received:** มีหลายบรรทัด เช่น

Received: from hamburger.edu by sushi.jp; 3 Jul 01 15:30:01 GMT
Received: from crepes.fr by hamburger.edu; 3 Jul 01 15:17:39 GMT

- เกิดจาก **user** มีการ **forward mail** ไปยัง **SMTP Server** อื่นๆ

2: Application Layer

Base64 Encoding Table

Base64 Encoding Table

Value	Binary	Char	Value	Binary	Char	Value	Binary	Char	Value	Binary	Char
0	000000	A	16	010000	Q	32	100000	g	48	110000	w
1	000001	B	17	010001	R	33	100001	h	49	110001	x
2	000010	C	18	010010	S	34	100010	i	50	110010	y
3	000011	D	19	010011	T	35	100011	j	51	110011	z
4	000100	E	20	010100	U	36	100100	k	52	110100	0
5	000101	F	21	010101	V	37	100101	l	53	110101	1
6	000110	G	22	010110	W	38	100110	m	54	110110	2
7	000111	H	23	010111	X	39	100111	n	55	110111	3
8	001000	I	24	011000	Y	40	101000	o	56	111000	4
9	001001	J	25	011001	Z	41	101001	p	57	111001	5
0	001010	K	26	011010	a	42	101010	q	58	111010	6
11	001011	L	27	011011	b	43	101011	r	59	111011	7
12	001100	M	28	011100	c	44	101100	s	60	111100	8
13	001101	N	29	011101	d	45	101101	t	61	111101	9
14	001110	O	30	011110	e	46	101110	u	62	111110	+
15	001111	P	31	011111	f	47	101111	v	63	111111	/

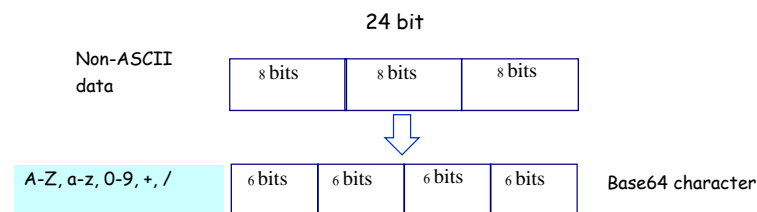
2: Application Layer

Base64 Encoding

- ทำการเข้ารหัสครั้งละ 3 ตัวอักษร โดยทำการแปลงแต่ละตัวอักษรเป็นเลขฐานสอง ขนาด 8 บิต โดยเทียบจากตาราง ASCII (ให้เติม 0 ตรงตำแหน่งบิตซ้ายสุด ให้เป็น 8 บิต)
- นำเลขฐานสองของแต่ละตัวอักษรมาเขียนเรียงกัน (24 บิต)
- ทำการแบ่งออกเป็นชุดๆ ละ 6 บิต (ได้ทั้งสิ้น 4 ชุด)
- ทำการแปลงแต่ละชุดให้เป็นตัวอักษร โดยเทียบจากตาราง Base64
- กลับไปทำขั้นตอนที่ 1 ใหม่ จนกระทั่ง เหลือข้อมูลกลุ่มสุดท้าย ซึ่งมีได้ 3 กรณีดังนี้
 - กรณีที่ 1 ข้อมูลมี 3 ตัวอักษรพอดี (ทำขั้นตอนที่ 1 ถึง 4)
 - กรณีที่ 2 ข้อมูลมี จำนวน 2 ตัวอักษร
 - กรณีที่ 3 ข้อมูลมี จำนวน 1 ตัวอักษร
- ทำการแปลงตัวอักษรที่เหลือ (2 ตัวหรือ 1 ตัว) ให้เป็นเลขฐานสอง
- เติม 0 ให้ครบ 24 บิต
- ทำการแบ่งออกเป็นชุดๆ ละ 6 บิต (ได้ทั้งสิ้น 4 ชุด)
- ทำการแปลงแต่ละชุดให้เป็นตัวอักษร โดยเทียบจากตาราง Base64 ถ้าชุดใดเป็น 0 ทั้งหมดให้แทนด้วย "="

2: Application Layer

Base64 Encoding



ข้อมูลกลุ่มสุดท้าย ซึ่งมีได้ 3 กรณีดังนี้

- กรณีที่ 1 ข้อมูลมี 3 ไบต์พอดี (ทำขั้นตอนที่ 1 ถึง 3)
- กรณีที่ 2 ข้อมูลมี จำนวน 2 ไบต์ (ขาด 1 ไบต์ ครบ 3 ไบต์)
- กรณีที่ 3 ข้อมูลมี จำนวน 1 ไบต์ (ขาด 2 ไบต์ ครบ 3 ไบต์)

- กรณีที่ 2 และ 3 เติม 0 ให้ครบ 24 บิต
- ทำการแบ่งออกเป็นชุดๆ ละ 6 บิต (ได้ทั้งสิ้น 4 ชุด)
- ทำการแปลงแต่ละชุดให้เป็นตัวอักษร โดยเทียบจากตาราง Base64 ถ้าชุดใดเป็น 0 ทั้งหมดให้แทนด้วย "=" (กรณีที่ 2 จะมี "=" 2 ตัว กรณีที่ 3 จะมี "=" 3 ตัว)

2: Application Layer

กรณีที่ 1 ครบ 3 ไบต์ หรือ 24 บิต

- แปลง **ABC** ให้อยู่ในรูปของ Base64
 - $A=41_{16}$, $B=42_{16}$, $C=43_{16}$
 - 0100 0001 0100 0010 0100 0011
 - 0100 0001 0100 0010 0100 0011
 - 0100 0001 0100 0010 0100 0011
 - Q U J D
- ABC → QUJD

2: Application Layer

กรณี 2 เหลือ 2 ไบต์

- แปลง **ABCDE** ให้อยู่ในรูปของ Base64
 - $A=41_{16}$, $B=42_{16}$, $C=43_{16}$, $D=44_{16}$, $E=45_{16}$
 - 0100 0001 0100 0010 0100 0011 01000100 01000101
 - 0100 0001 0100 0010 0100 0011 01000100 01000101 00000000
 - Q U J D R E U =
- ABCDE → QUJDREU=

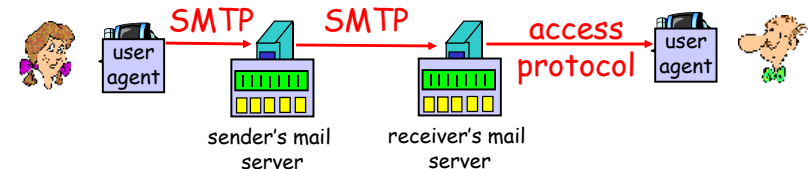
2: Application Layer

กรณี 3 เหลือ 1 ไบต์

- แปลง **ABCD** ให้อยู่ในรูปของ Base64
 - $A=41_{16}$, $B=42_{16}$, $C=43_{16}$, $D=44_{16}$
 - 0100 0001 0100 0010 0100 0011 01000100
 - 0100 0001 0100 0010 0100 0011 01000100 00000000 00000000
 - Q U J D R A = =
- ABCD → QUJDRA==

2: Application Layer

Mail access protocols



- SMTP: delivery/storage to receiver's server
- Mail access protocol: retrieval from server
 - ❖ POP: Post Office Protocol [RFC 1939] Port No. = 110
 - authorization (agent ↔ server) and download
 - ❖ IMAP: Internet Mail Access Protocol [RFC 1730] Port No. = 143
 - more features (more complex)
 - manipulation of stored messages on server
 - ❖ HTTP: gmail, Hotmail, Yahoo! Mail, etc.

2: Application Layer

POP3 protocol

authorization phase

- client commands:
 - `user`: declare username
 - `pass`: password
- server responses
 - `+OK`
 - `-ERR`

transaction phase, client:

- `list`: list message numbers
- `retr`: retrieve message by number
- `dele`: delete
- `quit`

```

S: +OK POP3 server ready
C: user bob
S: +OK
C: pass hungry
S: +OK user successfully logged on

C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: <message 1 contents>
S: .
C: dele 1
C: retr 2
S: <message 1 contents>
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
  
```

2: Application Layer

POP3 (more) and IMAP

More about POP3

- Previous example uses "download and delete" mode.
- Bob **cannot** re-read e-mail if he changes client
- "Download-and-keep": copies of messages on different clients
- POP3 is **stateless** across sessions

IMAP

- Keep all messages in one place: the **server**
- Allows user to organize messages in folders
- IMAP keeps user state across sessions:
 - names of folders and mappings between message IDs and folder name

2: Application Layer

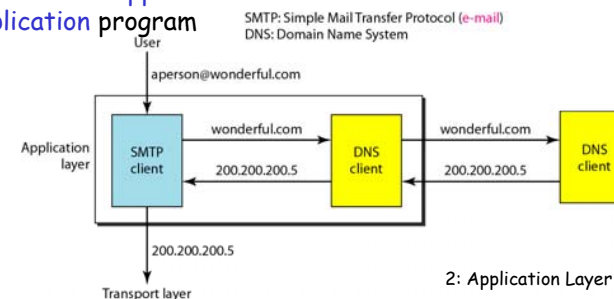
Chapter 2: Application layer

- 2.1 Principles of network applications
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- 2.6 P2P applications
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP

2: Application Layer

DNS: Domain Name System

- Client/server applications can be divided into **two** categories
 - Applications that can be **directly used by user**, such as e-mail
 - Applications that **support other application program**
- Domain Name System (DNS) is a supporting program that is used by other programs



2: Application Layer

DNS: Domain Name System

Social security Number (SSN)

People: many identifiers:

- ❖ SSN, name, passport #

Internet hosts, routers:

- ❖ IP address (32 bit) - used for addressing datagrams
- ❖ "name", e.g., www.yahoo.com - used by humans

Q: map between IP addresses and name ?

Domain Name System:

- o Distributed database implemented in hierarchy of many Name Servers
- o Application-layer protocol host, routers, name servers to communicate to resolve names (address/name translation)
 - ❖ note: core Internet function, implemented as application-layer protocol
 - ❖ complexity at network's "edge"

2: Application Layer

DNS

```
C:\Windows\system32\cmd.exe
C:\>nslookup www.yahoo.com
Server: diamond.ce.kmitl.ac.th
Address: 161.246.4.3

Non-authoritative answer:
Name: ds-sg-fp3.wg1.b.yahoo.com
Addresses: 2406:2000:ac:8:cc:91d1:2496:2000:ac:8:cc:91d1:106:10:139:246
Aliases: www.yahoo.com
         fd-fp3.wg1.b.yahoo.com
         ds-fp3.wg1.b.yahoo.com
         ds-sg-fp3-lfb.wg1.b.yahoo.com

C:\>
```

```
C:\Windows\system32\cmd.exe
C:\>nslookup www.ce.kmitl.ac.th
Server: diamond.ce.kmitl.ac.th
Address: 161.246.4.3

Name: jwels12.ce.kmitl.ac.th
Address: 161.246.4.119
Aliases: www.ce.kmitl.ac.th

C:\>
C:\Windows\system32\cmd.exe
C:\>nslookup webmail.kmitl.ac.th
Server: diamond.ce.kmitl.ac.th
Address: 161.246.4.3

Non-authoritative answer:
Name: blue18.ccs.kmitl.ac.th
Address: 161.246.34.53
Aliases: webmail.kmitl.ac.th

C:\>
```

DNS services

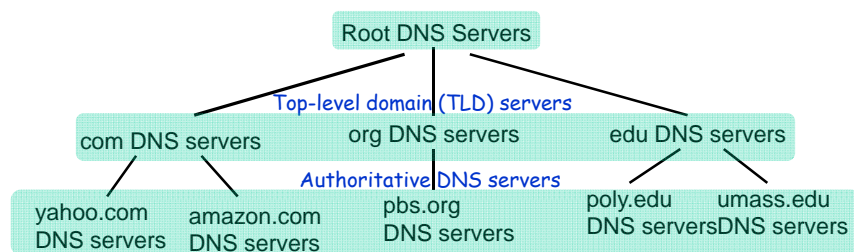
- o hostname to IP address translation
- o host aliasing
 - o Canonical, alias names
- o mail server aliasing
- o load distribution
 - o replicated Web servers: set of IP addresses for one canonical name

Why not centralize DNS?

- o single point of failure
- o traffic volume
- o distant centralized database
- o maintenance

doesn't scale! 2: Application Layer

Distributed, Hierarchical Database



Client wants IP for www.amazon.com; 1st approx:

- o client queries a root server to find com DNS server
- o client queries com DNS server to get amazon.com DNS server
- o client queries amazon.com DNS server to get IP address for www.amazon.com

2: Application Layer

DNS: Root name servers

- o contacted by Local Name Server that can not resolve name
- o root name server:
 - ❖ contacts authoritative name server if name mapping is known
 - ❖ gets mapping
 - ❖ returns mapping to local name server



2: Application Layer

TLD and Authoritative Servers

- **Top-level domain (TLD) servers:**
 - ❖ responsible for **com, org, net, edu**, etc, and all top-level country domains **uk, fr, ca, jp**.
 - ❖ Network Solutions maintains servers for **com** TLD
 - ❖ Educause for **edu** TLD
- **Authoritative DNS servers:**
 - ❖ organization's DNS servers, providing authoritative hostname to IP mappings for organization's servers (e.g., Web, mail).
 - ❖ can be maintained by organization or service provider

2: Application Layer

Local Name Server

- does not strictly belong to hierarchy
- each ISP (residential ISP, company, university) has one.
 - also called "**default name server**"
- when host makes DNS query, query is sent to its local DNS server
 - acts as **proxy**, forwards query into hierarchy

```

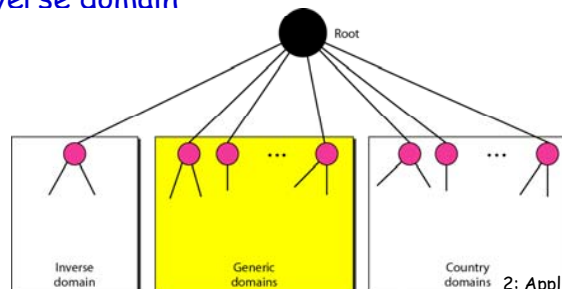
C:\Windows\system32\cmd.exe
C:\>nslookup www.yahoo.com
Server: diamond.ce.kmitl.ac.th
Address: 161.246.4.3

Non-authoritative answer:
Name: ds-sg-fp3.wg1.b.yahoo.com
Addresses: 2406:2000:ac:b::c:9102
          2406:2000:ac:b::c:9101
          106.10.139.246
Aliases: www.yahoo.com
          fd-fp3.wg1.b.yahoo.com
          ds-fp3.wg1.b.yahoo.com
          ds-sg-fp3-lfb.wg1.b.yahoo.com
C:\>
    
```

2: Application Layer

DNS in Internet

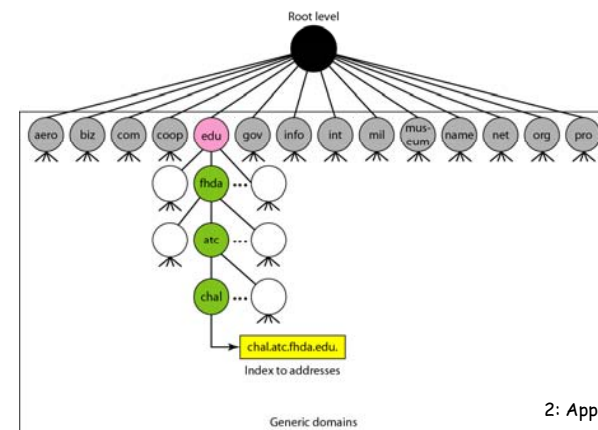
- DNS is a protocol that can be used in different platforms
- In Internet, domain name space (tree) is divided into three different sections:
 - Generic domain
 - Country domains
 - Inverse domain



2: Application Layer

Generic Domains

- Generic domains define registered hosts according to their generic behavior
- Each node in tree defines a domain, which is an index to domain name space database



2: Application Layer

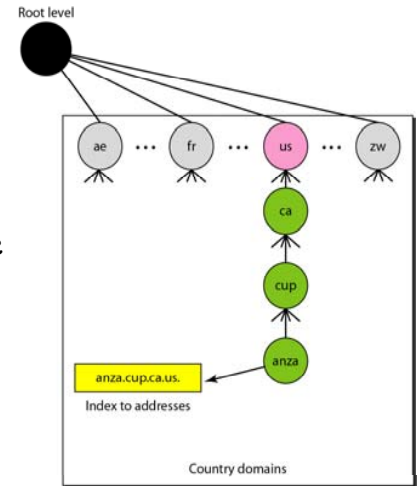
Generic domain labels

Label	Description
aero	Airlines and aerospace companies
biz	Businesses or firms (similar to "com")
com	Commercial organizations
coop	Cooperative business organizations
edu	Educational institutions
gov	Government institutions
info	Information service providers
int	International organizations
mil	Military groups
museum	Museums and other nonprofit organizations
name	Personal names (individuals)
net	Network support centers
org	Nonprofit organizations
pro	Professional individual organizations

2: Application Layer

Country Domains

- Country domains section uses **two-character country abbreviations**
- Seconds labels can be organization, or they can be more specific, national designations
- Ex. **Anza.cup.ca.us** can be translated to **De Anza College in Cupertino, California, in the United State**



.ae - United Arab Emirates
 .fr - France
 .us - United States
 .zw - Zimbabwe
 .ch - Switzerland
 2: Application Layer

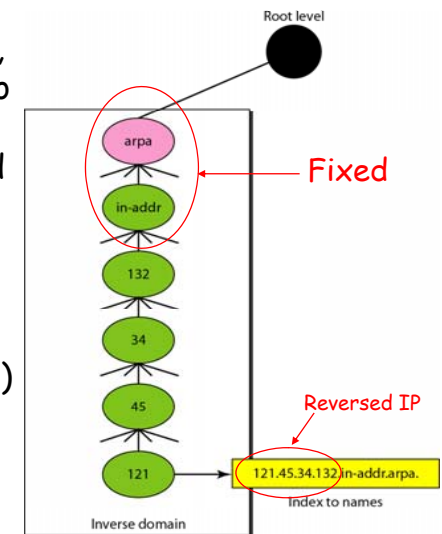
Inverse Domain

- Inverse domain is used to **map IP Address to Domain Name**
- For example, when server has received a request from client to do a task
- Although server has file that contains a list of **authorized clients**, only IP address of client (extracted from received IP packet) is listed
- Server asks its resolver to **send a query to DNS server to map address to name** to determine if client is on authorized list
- This **type of query** is called an **inverse or pointer (PTR) query**

2: Application Layer

Inverse Domain (cont.)

- To handle a pointer query, inverse domain is added to domain name space with the **first-level node** called **arpa** (for historical reasons)
- The **second level** is also one single node named **in-addr** (for inverse address)
- The rest of the domain defines **IP addresses**



Reversed IP in-addr arpa

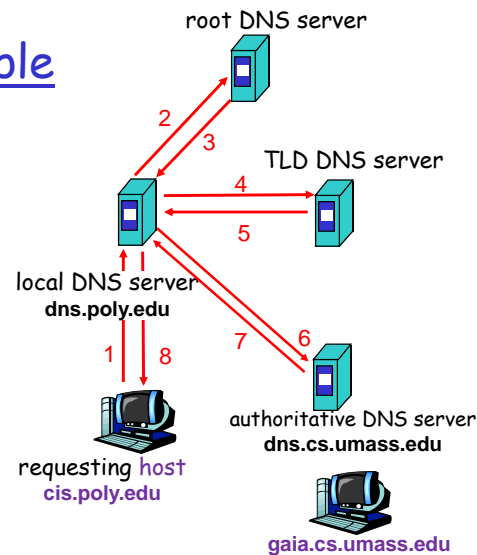
IP address = 132.34.45.121
 2: Application Layer

DNS name resolution example

- Host at `cis.poly.edu` wants IP address for `gaia.cs.umass.edu`

Iterated Query:

- contacted server replies with name of server to contact
- "I don't know this name, but ask this server"

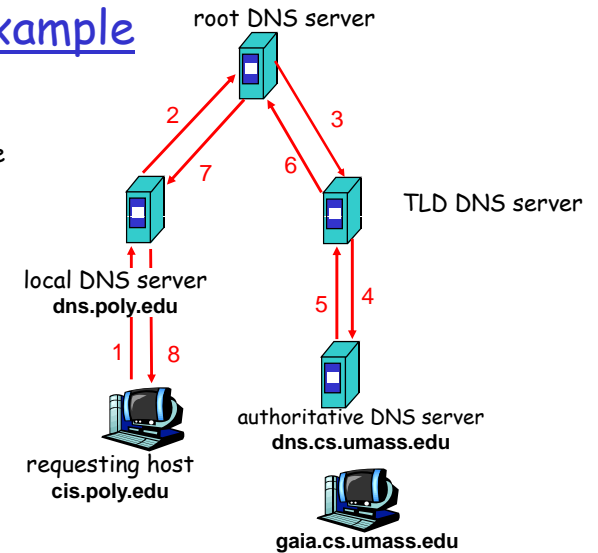


2: Application Layer

DNS name resolution example

Recursive Query:

- puts burden of name resolution on contacted name server



2: Application Layer

DNS records

TTL : time to live of RR;

It determines when a resource should be removed from cache

DNS: distributed db storing Resource Records (RR)

RR format: (name, value, type, TTL)

- Type=A
 - name is hostname
 - value is IP address
 - (`relay1.bar.foo.com`, `145.37.93.126`, A)
- Type=NS
 - name is domain (e.g. `foo.com`)
 - value is hostname of authoritative name server for this domain
 - (`foo.com`, `dns.bar.foo.com`, NS)
- Type=CNAME
 - name is alias name for some "canonical" (the real) name
 - `www.ibm.com` is really `servereast.backup2.ibm.com`
 - value is canonical name
 - (`foo.com`, `relay1.bar.foo.com`, CNAME)
- Type=MX
 - value is canonical name of mail server associated with name
 - (`foo.com`, `mail.bar.foo.com`, MX)

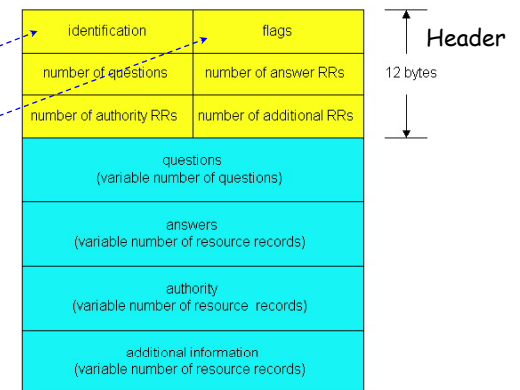
2: Application Layer

DNS protocol, messages

DNS protocol : query and reply messages, both with same message format

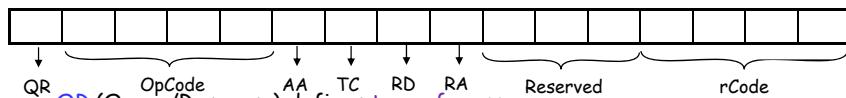
message header

- identification: 16 bits # for query, reply to query uses same #
- flags: (16 bits)
 - query or reply
 - recursion desired
 - recursion available
 - reply is authoritative



2: Application Layer

Flags Field



- o **QR** (Query/Response) defines **type of message**
 - o If it is **0**, the message is a **query**
 - o If it is **1**, the message is a **response**
- o **Opcode** : defines **type of query or response**
 - o 0 if standard query, 1 if inverse query, 2 if a server status request
- o **AA** (authoritative answer)
 - o When it is set (value of 1) it means that **name server is an authoritative server**
 - o It is used only in **response message**
- o **TC** (truncated)
 - o When it is set (value of 1), it means that **response was more than 512 bytes** and truncated to 512
 - o It is used when DNS uses services of **UDP**
- o **RD** (recursion desired)
 - o When it is **set (value of 1)**, it means client desires **recursive answer**
 - o It is **set in query message** and **repeated in response message**
- o **RA** (recursion available), this bit is valid in **response** and denotes **whether recursive query support is available**
- o **Reserved**
 - o 3-bit subfield is set to **000**
- o **rCode** shows status of error in **response**

2: Application Layer

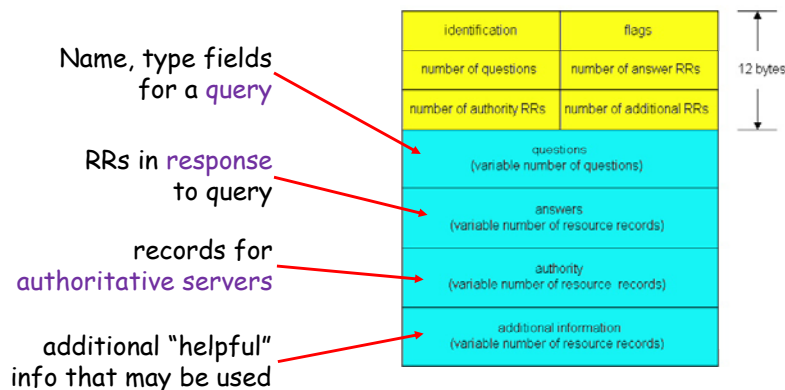
Flags Field

Value	Meaning
0	No error
1	Format error
2	Problem at name server
3	Domain reference problem
4	Query type not supported
5	Administratively prohibited
6-15	Reserved

Value of rCode

2: Application Layer

DNS protocol, messages



Ex.

- Answer field in reply to **MX** query contains a RR providing **canonical name of mail server**
- Additional section contains a **Type A** record providing **IP address for canonical hostname of mail server**

2: Application Layer

Inserting records into DNS

- o example: new startup "**Network Utopia**"
- o **register name networkutopia.com** at **DNS registrar** (e.g., Network Solutions)
 - o provide **names, IP addresses** of authoritative name server (primary and secondary)
 - o **registrar inserts two RRs** into **com TLD server**:
 - o **hostname of authoritative name server**
 - o (networkutopia.com, **dns1.networkutopia.com**, NS)
 - o (dns1.networkutopia.com, **212.212.212.1**, A)
- o **create authoritative server**
 - o Type **A** record for **www.networkutopia.com**;
 - o Type **MX** record for **networkutopia.com**
- o **How do people get IP address of your Web site?**

2: Application Layer

Encapsulation

- DNS can use either **UDP** or **TCP**.
- In both cases the well-known port used by the server is **port 53**.
- **UDP** is used when the size of response message is **less than 512 bytes** because most UDP packages have a 512-byte packet size limit.
- If the size of **response message** is **more than 512 bytes**, a **TCP** connection is used.

2: Application Layer

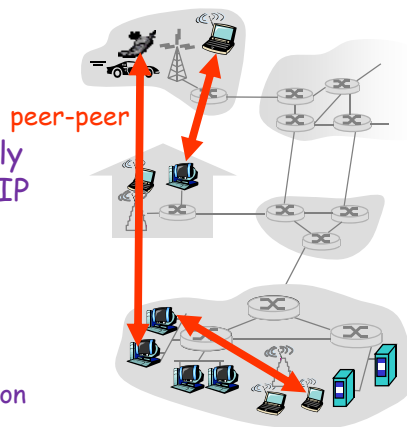
Chapter 2: Application layer

- 2.1 Principles of network applications
 - app architectures
 - app requirements
- 2.2 Web and HTTP
- 2.4 Electronic Mail
 - SMTP, POP3, IMAP
- 2.5 DNS
- 2.6 P2P applications
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP

2: Application Layer

Pure P2P architecture

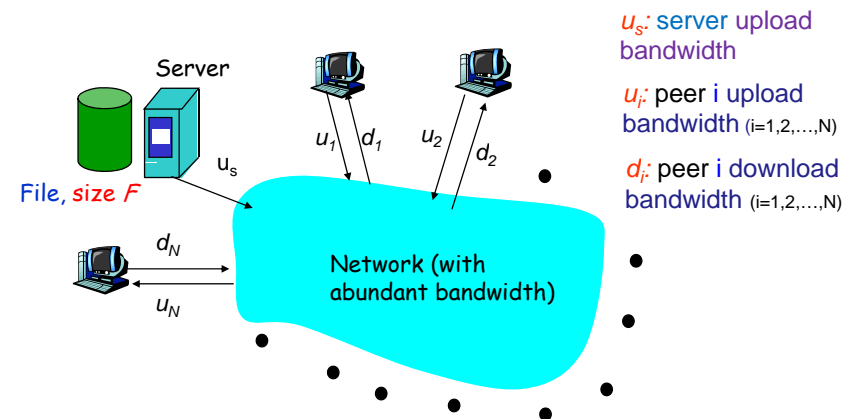
- *no* always-on server
- arbitrary end systems directly communicate **peer-peer**
- **peers** are **intermittently connected** and **change IP addresses**
- Three topics:
 - File distribution
 - Searching for information (database distribution)
 - Case Study: Skype



2: Application Layer

File Distribution: **Server-Client** vs **P2P**

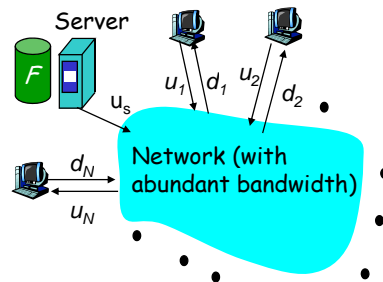
Question: How much time to distribute file from one server to N peers?



2: Application Layer

File distribution time: server-client

- server sequentially sends N copies:
 - NF/u_s time
- client i takes F/d_i time to download



Time to distribute F to N clients using client/server approach

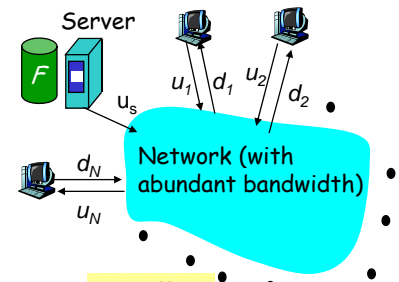
$$D_{CS} = \max \left\{ \frac{NF}{u_s}, \frac{F}{d_{\min}} \right\}$$

increases linearly in N (for large N)

2: Application Layer

File distribution time: Peer to Peer (P2P)

- server must send one copy:
 - F/u_s time
- client i takes F/d_i time to download
- NF bits must be downloaded (aggregate)
 - fastest possible upload rate: $u_s + \sum_{i=1}^N u_i$



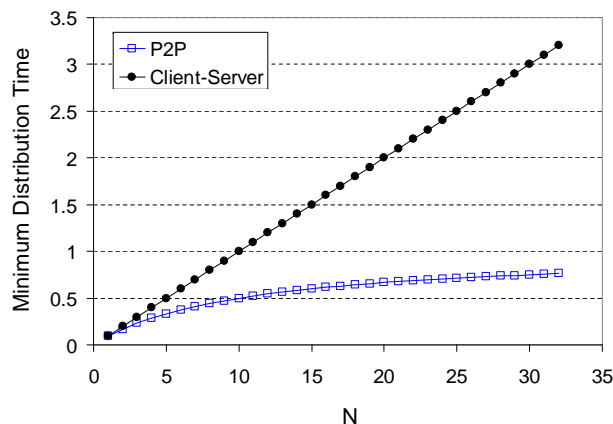
$$D_{P2P} = \max \left\{ \frac{F}{u_s}, \frac{F}{d_{\min}}, \frac{NF}{u_s + \sum_{i=1}^N u_i} \right\}$$

Total upload capacity of system as a whole = Upload rate of server plus upload rate of each of individual peer

2: Application Layer

Server-client vs. P2P: example

Client upload rate = u , $F/u = 1$ hour, $u_s = 10u$, $d_{\min} \geq u_s$

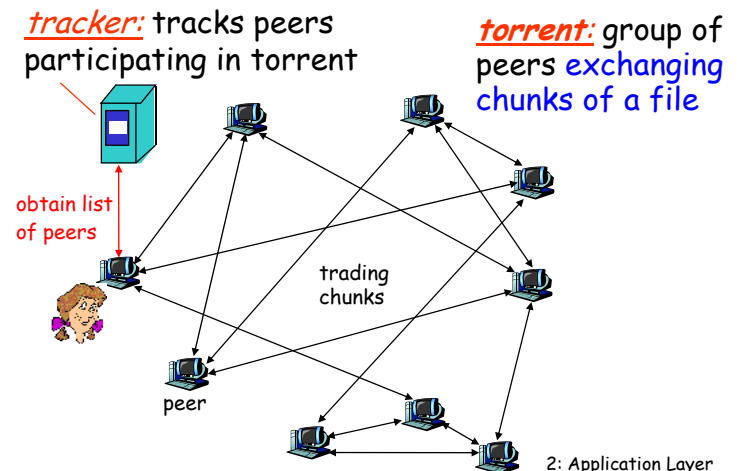


2: Application Layer

File distribution: BitTorrent

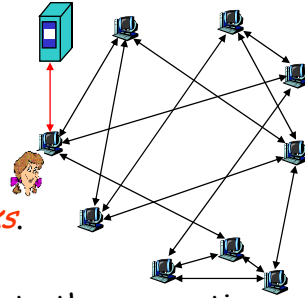
- P2P file distribution

Collection of all peers participating in distribution of particular file



2: Application Layer

BitTorrent (1)



- file divided into 256KBytes chunks.
- peer joining torrent:
 - has no chunks, but will accumulate them over time
 - registers with tracker to get list of peers, connects to subset of peers ("neighbors")
- while downloading, peer uploads chunks to other peers.
- peers may come and go
- once peer has entire file, it may (selfishly) leave or (altruistically) remain

เห็นแก่ผู้อื่น/เสียสละ

2: Application Layer

BitTorrent (2)

- Chunk ไทคอร์ request ก่อน
- Peer เพื่อนบ้านไทคอร์ส่ง request chunk

Pulling Chunks

- at any given time, different peers have different subsets of file chunks
- periodically, peer (Alice) asks each neighbor for list of chunks that they have
- Alice sends requests for her missing chunks
 - rarest first

Chunks that have fewest repeated copies among neighbors and then request those rarest chunks first

Sending Chunks: tit-for-tat

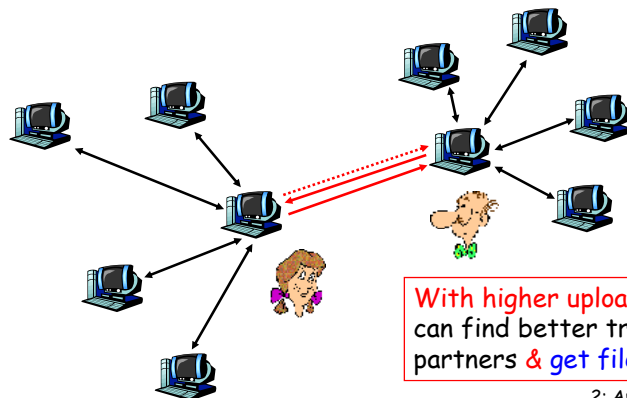
- Alice sends chunks to four neighbors currently sending her chunks at highest rate
 - re-evaluate top 4 every 10 secs
- every 30 secs: randomly select another peer, starts sending chunks
 - newly chosen peer may join top 4
 - "optimistically unchoke"

2: Application Layer

BitTorrent: Tit-for-tat (การตอบแทน)

- Alice "optimistically unchokes" Bob
- Alice becomes one of Bob's top-four providers; Bob reciprocates
- Bob becomes one of Alice's top-four providers

การแลกเปลี่ยนกัน



2: Application Layer

Distributed Hash Table (DHT)

(Indexing and Searching technique)

- DHT = distributed P2P database
- Database has (key, value) pairs:
 - key: social security (ss) number; value: human name
 - Ex. Key-value pair is (156-45-7081, Johnny Wu)
 - key: content type; value: IP address
 - Ex. Content type : Name of movies, albums, and software
 - Ex. Key-value pair is (Led Zeppelin IV, 203.17.123.38))
 - Ex. Key-value pair is (รูปภาพรูปจุฬาเทพ, 200.15.100.22)
- Peers query Database with key
 - DB returns values that match the key
- Peers can also insert (key, value) pairs into our database

2: Application Layer

DHT Identifiers

An approach to designing P2P database

- Assign integer identifier to each peer in range $[0, 2^n - 1]$.
 - ❖ Each identifier can be represented by n bits
- Require each key to be an integer in **same range** using **hash function**.
- To get integer keys, hash original key.
 - ❖ eg, **key** = $h(\text{"Led Zeppelin IV"})$
 - ❖ This is why they call it a **distributed "hash" table**

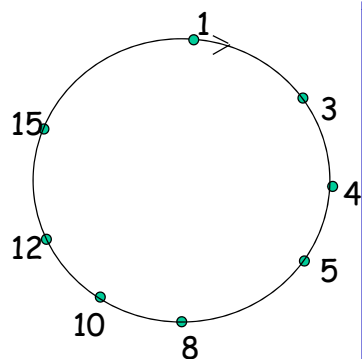
2: Application Layer

How to assign keys to peers?

- Central issue:
 - ❖ Assigning (key, value) pairs to peers.
- Rule: assign key to the peer that has the **closest** ID.
- Convention in lecture: defining **closest peer** as the **immediate successor** of the key.
- Ex: $n=4$; peers: 1,3,4,5,8,10,12,14;
 - ❖ key = 13, then successor peer = 14
 - ❖ key = 15, then successor peer = 1

If key is larger than all peer identifiers, we use **modulo- 2^n** convention storing (key-value) pair in peer with smallest ID

Circular DHT (1)



Building a database can be with client-server architecture
 - all (key,value) pairs are stored in **one central server** such as Napster.

If **peer keep track of all peers** in system (peer IDs and IP address), **peer could locally determine closest peer**.

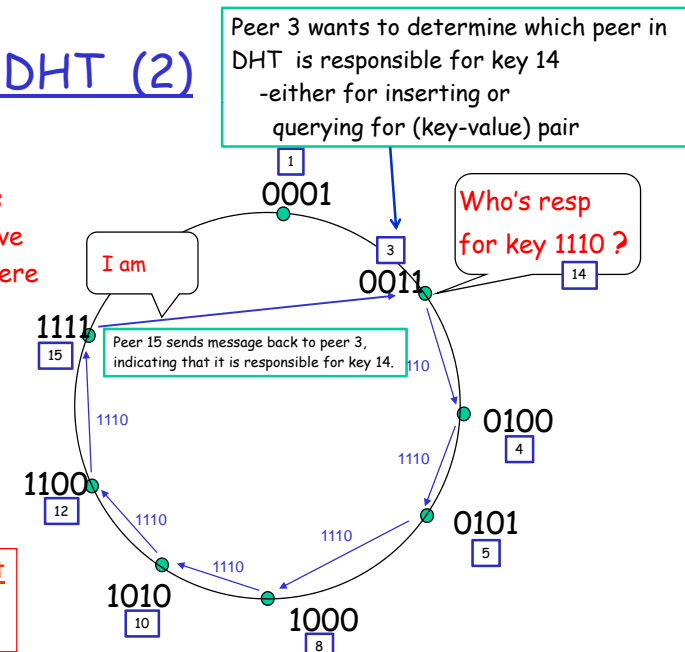
But such approach requires each peer to keep track of all other peers in DHT- which is **completely impractical for large-scale system** with millions of peers

- Each peer **only** aware of **immediate successor** and **predecessor**.
- "Overlay network"
 - peers form abstract logical network
 - Links are not physical links, but are simply **virtual liaisons** between pairs of peers

2: Application Layer

Circle DHT (2)

$O(N)$ messages on avg to resolve query, when there are N peers

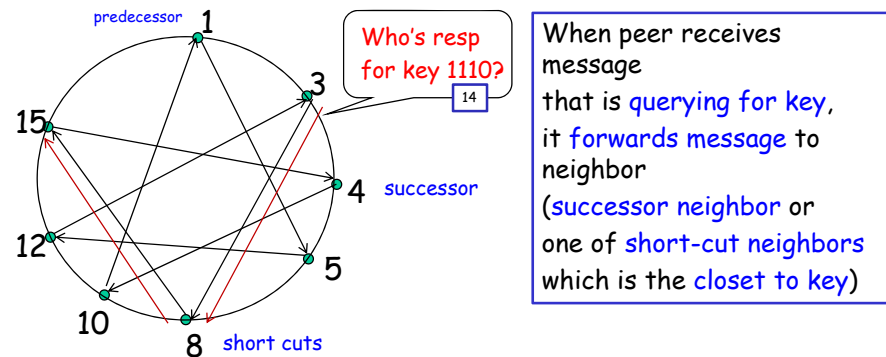


Define **closest** as **closest successor**

In circular DHT, $N/2$ msgs are sent on average for query

2: Application Layer

Circular DHT with Shortcuts

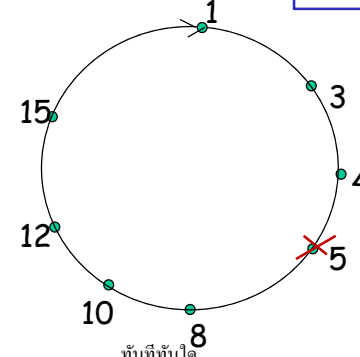


- Each peer keeps track of IP addresses of predecessor, successor, short cuts (used to expedite routing of query message).
- Reduced from 6 to 2 messages.
- Possible to design shortcuts so $O(\log N)$ neighbors, $O(\log N)$ messages in query

Short cuts can significantly reduce number of message used to process a query

Peer Churn

In P2P system, peer can come or go without warning. When designing DHT, we must be concerned about maintaining DHT overlay in the presence of such peer churn



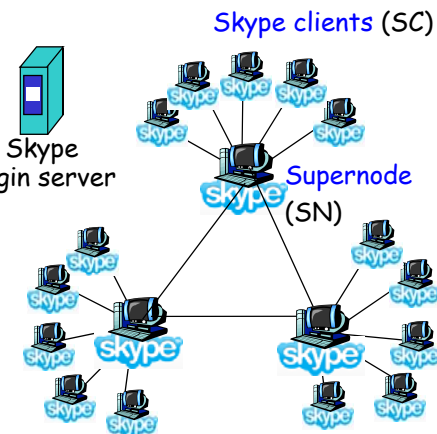
- To handle peer churn, require each peer to know the IP address of its two successors.
- Each peer periodically pings its two successors to see if they are still alive.

- Peer 5 abruptly leaves
- Peer 4 detects; makes 8 its immediate successor; asks 8 who its immediate successor is; makes 8's immediate successor its second successor (peer 10).
- What if peer 13 wants to join?

2: Application Layer

P2P Case study: Skype

- inherently P2P: pairs of users communicate.
- proprietary application-layer protocol (inferred via reverse engineering)
- hierarchical overlay with Supernodes (SNs) (super peer)
- Index maps usernames to IP addresses (and port number)
- Index is distributed over SNs
- Because Skype protocol is proprietary, it is currently not clear how index mappings are organized across supernodes

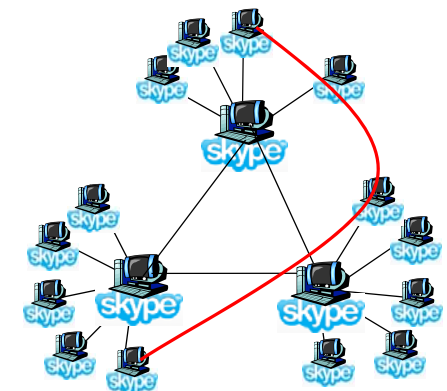


2: Application Layer

Peers as relays

Network Address Translator (NAT)

- Problem when both Alice and Bob are behind "NATs".
 - NAT prevents an outside peer from initiating a call to insider peer
- Solution:
 - Using Alice's and Bob's SNs, Relay is chosen
 - Each peer initiates session with relay.
 - Peers can now communicate through NATs via relay



2: Application Layer

Chapter 2: Application layer

- ❑ 2.1 Principles of network applications
- ❑ 2.2 Web and HTTP
- ❑ 2.3 FTP
- ❑ 2.4 Electronic Mail
 - ❑ SMTP, POP3, IMAP
- ❑ 2.5 DNS
- ❑ 2.6 P2P applications
- ❑ **2.7 Socket programming with TCP**
- ❑ 2.8 Socket programming with UDP

2: Application Layer

Socket Programming

Goal: learn how to build client/server application that communicate using sockets

Socket API (Application Programming Interface)

Berkeley Software Distribution

- ❑ introduced in BSD4.1 UNIX, 1981
- ❑ explicitly **created, used, released** by applications
- ❑ client/server paradigm
- ❑ two types of transport service via socket API:
 - ❖ **unreliable datagram** : User Datagram Protocol (UDP)
 - ❖ **reliable, byte stream-oriented** : Transmission Control Protocol (TCP)

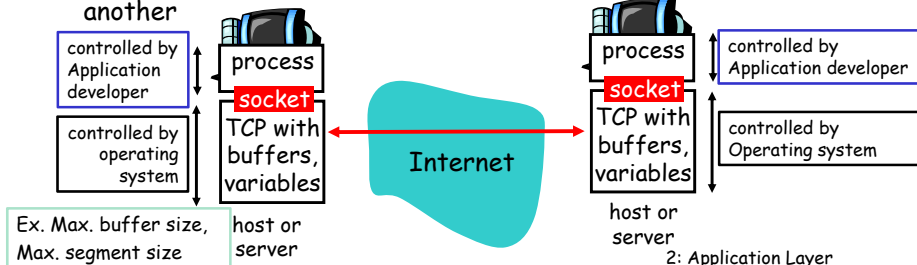
socket

a **host-local, application-created, OS-controlled** interface (a "door") into which **application process** can **both send and receive** messages to/from another application process

2: Application Layer

Socket-programming using TCP

- Network Apps. consist of **client program** and **server program**.
- When these two programs are executed, **client process** and **server process** are created.
- **Processes** running on **different machines** communicate with each other by **sending messages** into **socket**.
- Main task of developer's is to **write code** for both **client** and **server** program.
- **Socket:** a door between application process and end-end-transport protocol (UDP or TCP)
- **TCP service:** reliable transfer of **bytes-stream** from one process to another



Socket programming **with TCP**

In order for server to be able to react to client's initial contact, server has to be ready.

Client must contact server

- ❑ **server process** must **first** be running
- ❑ **server** must have **created socket** (door) that **welcomes** client's contact

Client contacts server by:

- ❑ creating client-local **TCP socket**
- ❑ specifying **IP address, port number** of server process
- ❑ When **client creates socket**: **client TCP** establishes **connection** to server **TCP**

- ❑ When contacted by client, **server TCP** **creates new socket** for server process to communicate with client
 - ❖ allows **server** to talk with **multiple clients**
 - ❖ **source port numbers** used to **distinguish** clients (more in Chap 3)

application viewpoint

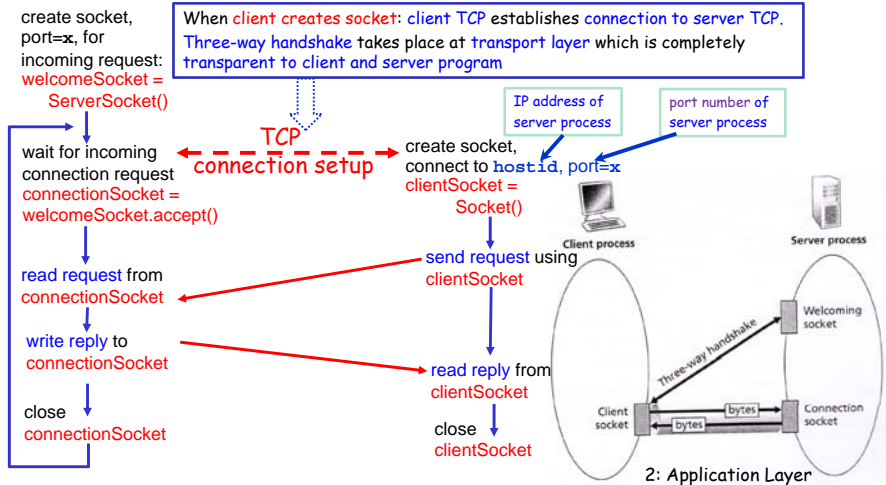
TCP provides reliable, in-order transfer of bytes ("pipe") between client and server

2: Application Layer

Client/server socket interaction: TCP

Server (running on `hostid`)

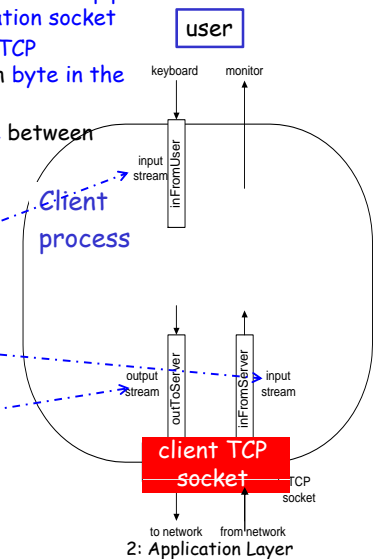
Client



Stream jargon

- From app's perspective, TCP connection is direct **virtual pipe** between **client's socket** and **server's communication socket**
- Client process can send bytes into socket, and TCP guarantees that server process will receive each byte in the order sent
- TCP thus provides **reliable byte-stream service** between client and server process

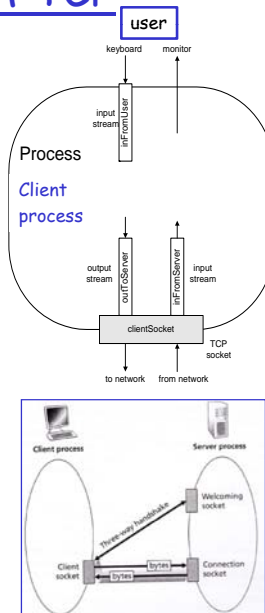
- A **stream** is a sequence of characters that flow into or out of a process.
- An **input stream** is attached to some input source for the process, e.g., keyboard or socket.
- An **output stream** is attached to an output source, e.g., monitor or socket.



Socket programming with TCP

Example client-server app:

- client reads line from standard input (`inFromUser stream`), sends to server via socket (`outToServer stream`)
- server reads line from socket
- server converts line to uppercase, sends back to client
- client reads, prints modified line from socket (`inFromServer stream`)



Example: Java client (TCP)

```
import java.io.*;
import java.net.*;
class TCPClient {
```

```
    public static void main(String argv[]) throws Exception {
```

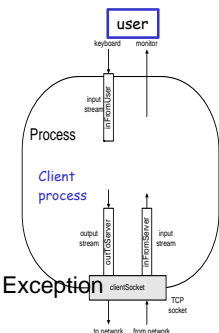
```
        String sentence;
        String modifiedSentence;
```

```
        // Create input stream
        BufferedReader inFromUser =
            new BufferedReader(new InputStreamReader(System.in));

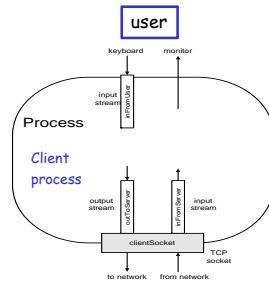
        // Create client socket, connect to server
        Socket clientSocket = new Socket("hostname", 6789);

        // Create output stream attached to socket
        DataOutputStream outToServer =
            new DataOutputStream(clientSocket.getOutputStream());
```

2: Application Layer



Example: Java **client** (TCP) cont.



```

Create input stream attached to socket → BufferedReader inFromServer =
new BufferedReader(new
InputStreamReader(clientSocket.getInputStream()));

Send line to server → sentence = inFromUser.readLine();
outToServer.writeBytes(sentence + '\n');

Read line from server → modifiedSentence = inFromServer.readLine();
System.out.println("FROM SERVER: " + modifiedSentence);

clientSocket.close();
}
    
```

2: Application Layer

Example: Java **server** (TCP)

```

import java.io.*;
import java.net.*;

class TCPServer {

    public static void main(String argv[]) throws Exception
    {
        String clientSentence;
        String capitalizedSentence;

        Create welcoming socket at port 6789 → ServerSocket welcomeSocket = new ServerSocket(6789);

        Wait, on welcoming socket for contact by client → while(true) {
            Socket connectionSocket = welcomeSocket.accept();

            Create input stream, attached to socket → BufferedReader inFromClient =
            new BufferedReader(new
            InputStreamReader(connectionSocket.getInputStream()));
        }
    }
}
    
```

2: Application Layer

Example: Java server (TCP), cont

```

Create output stream, attached to socket → DataOutputStream outToClient =
new DataOutputStream(connectionSocket.getOutputStream());

Read in line from socket → clientSentence = inFromClient.readLine();

capitalizedSentence = clientSentence.toUpperCase() + '\n';

Write out line to socket → outToClient.writeBytes(capitalizedSentence);
}
}

End of while loop, loop back and wait for another client connection
    
```

2: Application Layer

Chapter 2: Application layer

- ❑ 2.1 Principles of network applications
- ❑ 2.2 Web and HTTP
- ❑ 2.3 FTP
- ❑ 2.4 Electronic Mail
 - ❖ SMTP, POP3, IMAP
- ❑ 2.5 DNS
- ❑ 2.6 P2P applications
- ❑ 2.7 Socket programming with TCP
- ❑ 2.8 **Socket programming with UDP**

2: Application Layer

Socket programming with UDP

UDP: no "connection" between client and server

- no handshaking
- sender explicitly attaches IP address and port of destination to each packet
- server must extract IP address, port of sender from received packet

UDP: transmitted data may be received out of order, or lost

application viewpoint

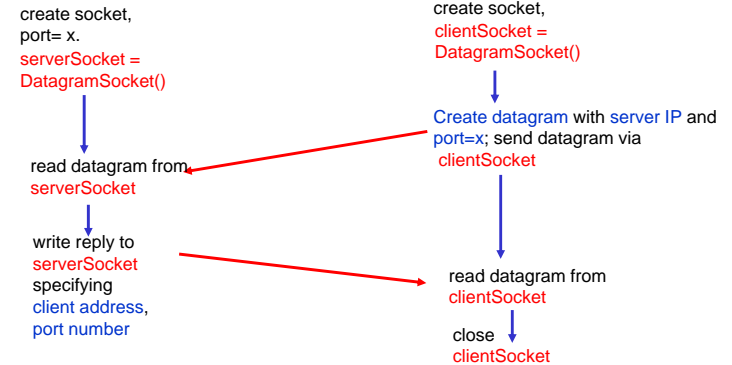
UDP provides unreliable transfer of groups of bytes ("datagrams") between client and server

2: Application Layer

Client/server socket interaction: UDP

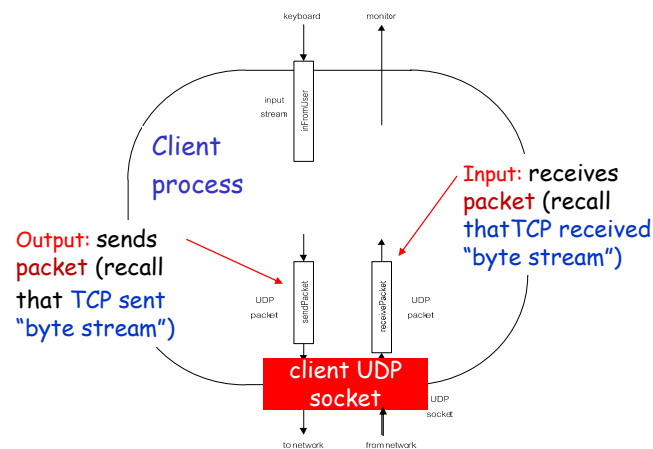
Server (running on `hostid`)

Client



2: Application Layer

Example: Java client (UDP)



2: Application Layer

Example: Java client (UDP)

```

import java.io.*;
import java.net.*;

class UDPClient {
    public static void main(String args[]) throws Exception {
        // Create input stream
        BufferedReader inFromUser =
            new BufferedReader(new InputStreamReader(System.in));

        // Create client socket
        DatagramSocket clientSocket = new DatagramSocket();

        // Translate hostname to IP address using DNS
        InetAddress IPAddress = InetAddress.getByName("hostname");

        byte[] sendData = new byte[1024];
        byte[] receiveData = new byte[1024];

        String sentence = inFromUser.readLine();
        sendData = sentence.getBytes();
  
```

2: Application Layer

Example: Java client (UDP), cont.

```

Create datagram with data-to-send, length, IP addr, port → DatagramPacket sendPacket =
new DatagramPacket(sendData, sendData.length, IPAddress, 9876);

Send datagram to server → clientSocket.send(sendPacket);

Read datagram from server → DatagramPacket receivePacket =
new DatagramPacket(receiveData, receiveData.length);
clientSocket.receive(receivePacket);

String modifiedSentence =
new String(receivePacket.getData());

System.out.println("FROM SERVER:" + modifiedSentence);
clientSocket.close();
}

```

2: Application Layer

Example: Java server (UDP)

```

import java.io.*;
import java.net.*;

class UDPServer {
    public static void main(String args[]) throws Exception
    {
        Create datagram socket at port 9876 → DatagramSocket serverSocket = new DatagramSocket(9876);

        byte[] receiveData = new byte[1024];
        byte[] sendData = new byte[1024];

        while(true)
        {
            Create space for received datagram → DatagramPacket receivePacket =
new DatagramPacket(receiveData, receiveData.length);

            Receive datagram → serverSocket.receive(receivePacket);
        }
    }
}

```

2: Application Layer

Example: Java server (UDP), cont

```

String sentence = new String(receivePacket.getData());

Get IP addr, port #, of sender → InetAddress IPAddress = receivePacket.getAddress();
int port = receivePacket.getPort();

String capitalizedSentence = sentence.toUpperCase();

sendData = capitalizedSentence.getBytes();

Create datagram to send to client → DatagramPacket sendPacket =
new DatagramPacket(sendData, sendData.length, IPAddress,
port);

Write out datagram to socket → serverSocket.send(sendPacket);
}
}
}

```

End of while loop,
loop back and wait for
another datagram

2: Application Layer