
MODULE *LessWriteyPaxos*

EXTENDS *Integers*

CONSTANTS *Rounds*, *Acceptors*, *ProposableValues*,
PromiseQuorums, *AcceptQuorums*, *Epochs*

VARIABLES *acceptor_states*, *learnt*, *sent_messages*

None \triangleq "NONE" CHOOSE $v : v \notin \text{ProposableValues}$

ASSUME $\wedge \text{Rounds} \subseteq \text{Nat}$
 $\wedge \text{AcceptQuorums} \subseteq \text{SUBSET } \text{Acceptors}$
 $\wedge \text{PromiseQuorums} \subseteq \text{SUBSET } \text{Acceptors}$
 $\wedge \forall \text{promise_quorum} \in \text{PromiseQuorums}, \text{accept_quorum} \in \text{AcceptQuorums} :$
 $\quad (\text{promise_quorum} \cap \text{accept_quorum}) \neq \{\}$
 $\wedge \text{None} \notin \text{ProposableValues}$

AllowedMessages \triangleq

- $[\text{type} : \{\text{"promise request"}\},$
 $\quad \text{round} : \text{Rounds}]$
- \cup $[\text{type} : \{\text{"Epoch promise"}\},$
 $\quad \text{acceptor} : \text{Acceptors},$
 $\quad \text{round} : \text{Rounds},$
 $\quad \text{Epoch} : \text{Epochs},$
 $\quad \text{max_accepted_Epoch} : \text{Epochs} \cup \{-1\},$
 $\quad \text{max_accepted_round} : \text{Rounds} \cup \{-1\},$
 $\quad \text{max_accepted_value} : \text{ProposableValues} \cup \{\text{None}\}]$
- \cup $[\text{type} : \{\text{"accept request"}\},$
 $\quad \text{Epoch} : \text{Epochs},$
 $\quad \text{round} : \text{Rounds},$
 $\quad \text{value} : \text{ProposableValues}]$
- \cup $[\text{type} : \{\text{"accepted"}\},$
 $\quad \text{acceptor} : \text{Acceptors},$
 $\quad \text{Epoch} : \text{Epochs},$
 $\quad \text{round} : \text{Rounds},$
 $\quad \text{value} : \text{ProposableValues}]$
- \cup $[\text{type} : \{\text{"new Epoch"}\},$
 $\quad \text{Epoch} : \text{Epochs}]$

EpochPaxosTypeInvariant \triangleq

$\wedge \text{acceptor_states} \in [\text{Acceptors} \rightarrow [\text{current_Epoch} : \text{Epochs},$
 $\quad \text{max_round_promised} : \text{Rounds} \cup \{-1\} \cup \{1\},$
 $\quad \text{max_accepted_Epoch} : \text{Epochs} \cup \{-1\},$

$$\begin{aligned}
& \text{max_accepted_round} : \text{Rounds} \cup \{-1\}, \\
& \text{max_accepted_value} : \text{ProposableValues} \cup \{\text{None}\}] \text{ } \text{acceptor states} \\
& \wedge \text{sent_messages} \subseteq \text{AllowedMessages} \\
& \wedge \text{learnt} \subseteq \text{ProposableValues}
\end{aligned}$$

$$\begin{aligned}
\text{InitalState} & \triangleq \\
& \wedge \text{sent_messages} = \{\} \text{ } \text{no messages sent} \\
& \wedge \text{acceptor_states} = [\text{acceptor} \in \text{Acceptors} \mapsto [\text{current_Epoch} \mapsto 1, \\
& \quad \text{max_round_promised} \mapsto -1, \\
& \quad \text{max_accepted_Epoch} \mapsto -1, \\
& \quad \text{max_accepted_round} \mapsto -1, \\
& \quad \text{max_accepted_value} \mapsto \text{None} \\
& \quad \text{no ballots promised}]] \\
& \wedge \text{learnt} = \{\} \text{ } \text{no values learnt}
\end{aligned}$$

$$\text{Send}(\text{message}) \triangleq \text{sent_messages}' = \text{sent_messages} \cup \{\text{message}\}$$

$$\text{MessageSent}(\text{message}) \triangleq \exists \text{sent_message} \in \text{sent_messages} : \text{sent_message} = \text{message}$$

$$\begin{aligned}
\text{SendPromiseRequests}(\text{requesting_round}) & \triangleq \\
& \wedge \neg \text{MessageSent}([\text{type} \mapsto \text{"promise request"}, \text{round} \mapsto \text{requesting_round}]) \\
& \wedge \text{Send}([\text{type} \mapsto \text{"promise request"}, \text{round} \mapsto \text{requesting_round}]) \\
& \wedge \text{UNCHANGED } \langle \text{learnt}, \text{acceptor_states} \rangle
\end{aligned}$$

$$\begin{aligned}
\text{SendEpochPromise}(\text{acceptor}, \text{requested_round}) & \triangleq \\
& \wedge \text{MessageSent}([\text{type} \mapsto \text{"promise request"}, \text{round} \mapsto \text{requested_round}]) \\
& \wedge \text{acceptor_states}[\text{acceptor}].\text{max_round_promised} \leq \text{requested_round} \\
& \wedge \text{acceptor_states}' = [\text{acceptor_states} \text{ EXCEPT } ![\text{acceptor}].\text{max_round_promised} = \text{requested_round}] \\
& \wedge \text{Send}([\text{type} \mapsto \text{"Epoch promise"}, \\
& \quad \text{acceptor} \mapsto \text{acceptor}, \\
& \quad \text{round} \mapsto \text{requested_round}, \\
& \quad \text{Epoch} \mapsto \text{acceptor_states}[\text{acceptor}].\text{current_Epoch}, \\
& \quad \text{max_accepted_Epoch} \mapsto \text{acceptor_states}[\text{acceptor}].\text{max_accepted_Epoch}, \\
& \quad \text{max_accepted_round} \mapsto \text{acceptor_states}[\text{acceptor}].\text{max_accepted_round}, \\
& \quad \text{max_accepted_value} \mapsto \text{acceptor_states}[\text{acceptor}].\text{max_accepted_value} \\
& \quad \text{)])} \\
& \wedge \text{UNCHANGED } \text{learnt}
\end{aligned}$$

$$\begin{aligned}
\text{IsEpochPromiseQuorum}(\text{Epoch}, \text{round}, \text{quorum}) & \triangleq \\
& \forall \text{acceptor} \in \text{quorum} : \\
& \quad \exists \text{message} \in \text{sent_messages} : \wedge \text{message.type} = \text{"Epoch promise"}
\end{aligned}$$

$$\begin{aligned}
& \wedge \text{message.acceptor} = \text{acceptor} \\
& \wedge \text{message.Epoch} = \text{Epoch} \\
& \wedge \text{message.round} = \text{round}
\end{aligned}$$

no higher ordered ballot accepted by any other acceptor in the promise quorum

PromiseQuorumMessages

all promises in the quorum contain no value accepteds

or the highest ordered *Epoch* ballot accepted has the value of the one being proposed

IsValueSafeInEpochPromiseQuorum(*quorum*, *Epoch*, *round*, *value*) \triangleq

$\forall \exists \text{message}_a \in \text{sent_messages} : \wedge \text{message}_a.\text{type} = \text{"Epoch promise"}$

$\wedge \text{message}_a.\text{Epoch} = \text{Epoch}$

$\wedge \text{message}_a.\text{round} = \text{round}$

$\wedge \text{message}_a.\text{max_accepted_value} = \text{value}$

$\wedge \text{message}_a.\text{acceptor} \in \text{quorum}$

$\wedge \forall \text{message}_b \in \text{sent_messages} \setminus \{\text{message}_a\} :$

$(\wedge \text{message}_b.\text{type} = \text{"Epoch promise"}$

$\wedge \text{message}_b.\text{max_accepted_value} \neq \text{message}_a.\text{max_accepted_value}$

$\wedge \text{message}_b.\text{acceptor} \in \text{quorum}$

$\wedge \text{message}_b.\text{round} = \text{round}$

$\wedge \text{message}_b.\text{Epoch} = \text{Epoch})$

$\Rightarrow (\vee \wedge \text{message}_b.\text{max_accepted_round} < \text{message}_a.\text{max_accepted_round}$

$\wedge \text{message}_b.\text{max_accepted_Epoch} = \text{message}_a.\text{max_accepted_Epoch}$

$\vee \text{message}_b.\text{max_accepted_Epoch} < \text{message}_a.\text{max_accepted_Epoch})$

$\vee \forall \text{acceptor} \in \text{quorum} :$

$\exists \text{message} \in \text{sent_messages} : \wedge \text{message.type} = \text{"Epoch promise"}$

$\wedge \text{message.acceptor} = \text{acceptor}$

$\wedge \text{message.Epoch} = \text{Epoch}$

$\wedge \text{message.round} = \text{round}$

$\wedge \text{message.max_accepted_Epoch} = -1$

$\wedge \text{message.max_accepted_round} = -1$

$\wedge \text{message.max_accepted_value} = \text{None}$

AcceptRequestAlreadyMadeWithDifferentValue(*Epoch*, *round*, *value*) \triangleq

$\exists \text{other_value} \in \text{ProposableValues} : \text{MessageSent}([\text{type} \mapsto \text{"accept request"},$

$\text{Epoch} \mapsto \text{Epoch},$

$\text{round} \mapsto \text{round},$

$\text{value} \mapsto \text{other_value}])$

SendAcceptRequest(*Epoch*, *round*, *value*) \triangleq

$\wedge \neg \text{AcceptRequestAlreadyMadeWithDifferentValue}(\text{Epoch}, \text{round}, \text{value})$

$\wedge \exists \text{promise_quorum} \in \text{PromiseQuorums} : \wedge \text{IsEpochPromiseQuorum}(\text{Epoch}, \text{round}, \text{promise_quorum})$

$$\begin{aligned}
& \wedge Send([type \mapsto "accept request", \\
& \quad Epoch \mapsto Epoch, \\
& \quad round \mapsto round, \\
& \quad value \mapsto value]) \\
& \wedge UNCHANGED \langle acceptor_states, learnt \rangle \\
\\
SendAcceptedMessage(acceptor, Epoch, round) &\triangleq \\
& \wedge acceptor_states[acceptor].current_Epoch \leq Epoch \\
& \wedge acceptor_states[acceptor].max_round_promised \leq round \\
& \wedge \exists message \in sent_messages : \\
& \quad \wedge message.type = "accept request" \\
& \quad \wedge message.EPOCH = Epoch \\
& \quad \wedge message.round = round \\
& \quad \wedge acceptor_states' = [acceptor_states \text{ EXCEPT } ![acceptor].max_accepted_round = round, \\
& \hspace{6cm} ![acceptor].max_accepted_Epoch = Epoch, \\
& \hspace{6cm} ![acceptor].max_accepted_value = message.value, \\
& \hspace{6cm} ![acceptor].max_round_promised = round, \\
& \hspace{6cm} ![acceptor].current_Epoch = Epoch] \\
& \wedge Send([type \mapsto "accepted", \\
& \quad acceptor \mapsto acceptor, \\
& \quad Epoch \mapsto Epoch, \\
& \quad round \mapsto round, \\
& \quad value \mapsto message.value]) \\
& \wedge UNCHANGED \langle learnt \rangle \\
\\
Restart(acceptor, Epoch) &\triangleq \\
& \wedge Epoch > acceptor_states[acceptor].current_Epoch \\
& \wedge acceptor_states' = [acceptor_states \text{ EXCEPT } ![acceptor].current_Epoch = Epoch, \\
& \hspace{8cm} ![acceptor].max_round_promised = -1] \\
& \wedge MessageSent([type \mapsto "new Epoch", Epoch \mapsto Epoch]) \\
& \wedge UNCHANGED \langle learnt \rangle \\
\\
BeginNewEpoch(EPOCH) &\triangleq \\
& \wedge \forall acceptor_b \in Acceptors : acceptor_states[acceptor_b].current_Epoch < EPOCH \\
& \wedge \exists acceptor_b \in Acceptors : acceptor_states[acceptor_b].current_Epoch = (EPOCH - 1) \text{ Epoch 1 higher than previous} \\
& \wedge Send([type \mapsto "new Epoch", Epoch \mapsto EPOCH]) \\
& \wedge UNCHANGED \langle learnt, acceptor_states \rangle \\
\\
RecieveEpochNotification(acceptor, Epoch) &\triangleq \\
& \wedge acceptor_states[acceptor].current_Epoch < Epoch \\
& \wedge MessageSent([type \mapsto "new Epoch", Epoch \mapsto Epoch]) \\
& \wedge acceptor_states' = [acceptor_states \text{ EXCEPT } ![acceptor].current_Epoch = Epoch, \\
& \hspace{6cm} ![acceptor].max_round_promised = -1] \\
& \wedge UNCHANGED \langle sent_messages, learnt \rangle
\end{aligned}$$

$$\begin{aligned}
\text{Learn}(\text{Epoch}, \text{round}, \text{value}) &\triangleq \\
&\wedge \exists \text{accept_quorum} \in \text{AcceptQuorums} : \\
&\quad \forall \text{acceptor} \in \text{accept_quorum} : \\
&\quad \quad \text{MessageSent}([type \mapsto \text{"accepted"}, \\
&\quad \quad \quad \text{acceptor} \mapsto \text{acceptor}, \\
&\quad \quad \quad \text{Epoch} \mapsto \text{Epoch}, \\
&\quad \quad \quad \text{round} \mapsto \text{round}, \\
&\quad \quad \quad \text{value} \mapsto \text{value}]) \\
&\wedge \text{learnt}' = \text{learnt} \cup \{\text{value}\} \\
&\wedge \text{UNCHANGED } \langle \text{acceptor_states}, \text{sent_messages} \rangle \\
\text{NextState} &\triangleq \vee \exists \text{round} \in \text{Rounds} : \\
&\quad \vee \text{SendPromiseRequests}(\text{round}) \\
&\quad \vee \exists \text{acceptor} \in \text{Acceptors} : \\
&\quad \quad \vee \text{SendEpochPromise}(\text{acceptor}, \text{round}) \\
&\quad \quad \vee \exists \text{Epoch} \in \text{Epochs} : \text{SendAcceptedMessage}(\text{acceptor}, \text{Epoch}, \text{round}) \\
&\quad \vee \exists \text{Epoch} \in \text{Epochs}, \text{value} \in \text{ProposableValues} : \\
&\quad \quad \vee \text{SendAcceptRequest}(\text{Epoch}, \text{round}, \text{value}) \\
&\quad \quad \vee \text{Learn}(\text{Epoch}, \text{round}, \text{value}) \\
&\quad \vee \exists \text{acceptor} \in \text{Acceptors}, \text{Epoch} \in \text{Epochs} : \\
&\quad \quad \vee \text{Restart}(\text{acceptor}, \text{Epoch}) \\
&\quad \quad \quad \vee \text{BeginNewEpoch}(\text{Epoch}) \\
&\quad \vee \text{RecieveEpochNotification}(\text{acceptor}, \text{Epoch}) \\
\text{EpochPaxosSpecification} &\triangleq \text{InitalState} \vee \Box[\text{NextState}]_{\langle \text{acceptor_states}, \text{sent_messages}, \text{learnt} \rangle}
\end{aligned}$$

$$\begin{aligned}
\text{ConsensusOK} &\triangleq \wedge \text{EpochPaxosTypeInvariant} \\
&\wedge \vee \text{learnt} = \{\} \\
&\quad \vee \exists \text{value} \in \text{ProposableValues} : \text{learnt} = \{\text{value}\}
\end{aligned}$$

\ * Modification History
\ * Last modified *Fri May 15 08:24:41 BST 2020* by *Michael*
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