

The set $\{v_1, v_2, \dots, v_n\}$ is a spanning set for V provided (if and only if) *every vector in V* can be written as a linear combination of v_1, v_2, \dots, v_n .

A minimal spanning set is one with no unnecessary or redundant elements: *all* the vectors in the set are *needed* to span V .

In order to decide if a set of vectors $\{v_1, v_2, \dots, v_n\}$ constitutes a minimal spanning set, we need to consider if/how the vectors of the set 'depend' on each other. So we introduce the notions of linear dependence and linear independence...

DEF'N: The vectors v_1, \dots, v_n are said to be linearly independent if

$$c_1 v_1 + c_2 v_2 + \dots + c_n v_n = \underline{0} \quad (3)$$

implies that all the scalars $\{c_i\}$ must be zero;

OR... in other words... if the vector equation (3) has only the zero (trivial) solution for the coefficients c_1, c_2, \dots, c_n .

DEF'N: The vectors v_1, \dots, v_n are said to be linearly dependent if there exist scalars $\{c_i\}$ *not all zero* such that:

$$c_1 v_1 + c_2 v_2 + \dots + c_n v_n = \underline{0}$$

OR... in other words... if the vector equation (3) has (one or more) nonzero solutions.

EXAMPLE:

$$\mathbf{u} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}; \quad \mathbf{v} = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}; \quad \mathbf{w} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

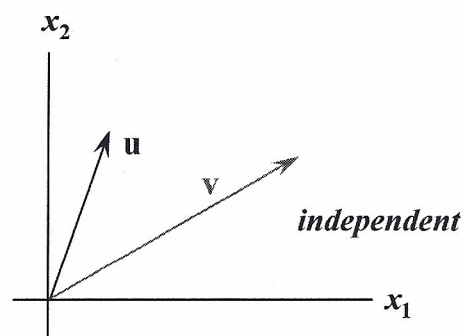
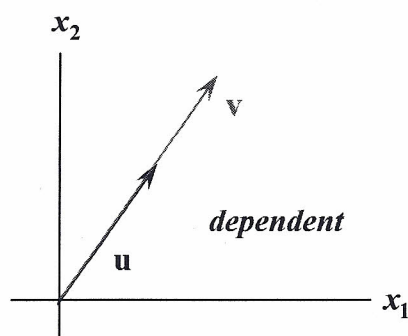
GEOMETRICALLY SPEAKING:

In \mathbb{R}^2 , if two vectors u and v are linearly dependent, then:

$$c_1 u + c_2 v = 0 \quad (\text{with } c_1, c_2 \text{ not both zero})$$

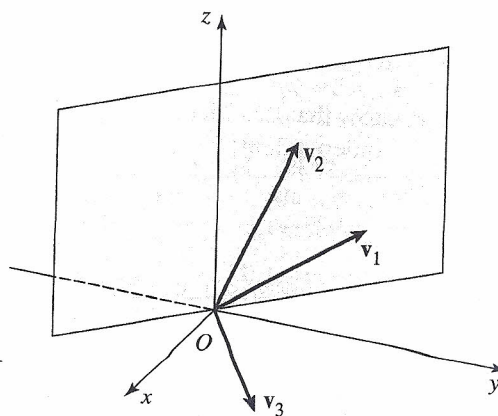
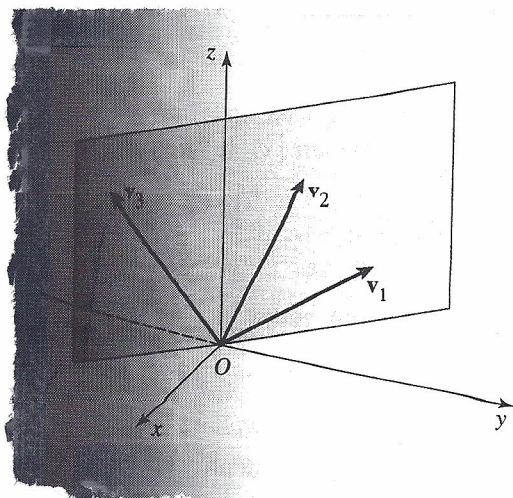
$$\dots \text{so: } u = -(c_2/c_1)v \quad (\text{if } c_1 \neq 0) \quad \dots \text{or: } v = -(c_1/c_2)u \quad (\text{if } c_2 \neq 0)$$

...i.e., one must be a simple scalar multiple of the other \Rightarrow the vectors are *collinear*:



...And the same in \mathbb{R}^3 : any two vectors u and v are linearly independent if they are not scalar multiples of one another. In that case, u and v do not lie on the same line through the origin $(0,0,0)$, and so together they define a *plane*; and any vector in that plane can be written as a linear combination of u and v .

\Rightarrow If $w = (w_1, w_2, w_3)$ lies *in* that plane, then the set $\{u, v, w\}$ is linearly *dependent*; If w lies *outside* that plane, then the set $\{u, v, w\}$ is linearly *independent*.



EXAMPLE:

$$\mathbf{u} = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}; \quad \mathbf{v} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

EXAMPLE:

$$\mathbf{u} = \begin{bmatrix} 1 \\ 2 \\ 4 \end{bmatrix}; \quad \mathbf{v} = \begin{bmatrix} 2 \\ 1 \\ 3 \end{bmatrix}; \quad \mathbf{w} = \begin{bmatrix} 4 \\ -1 \\ 1 \end{bmatrix}$$

there will be *nontrivial* solutions (c_1, c_2, c_3) . Thus $\mathbf{u}, \mathbf{v}, \mathbf{w}$ are linearly *dependent*.

These results can be summarized/generalized as:

THEOREM: If $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ are vectors in \mathbb{R}^n , the set $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ is linearly dependent provided (iff) the $n \times n$ matrix $V = [\mathbf{v}_1 \ \mathbf{v}_2 \ \dots \ \mathbf{v}_n]$ is singular (noninvertible).

If V is *nonsingular*, the set of its column vectors $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ is linearly *independent*.

To determine whether n vectors form a linearly independent set, construct an $n \times n$ matrix V whose column vectors are the vectors in question (in any order you wish), and evaluate $\text{rank } V$. If $\text{rank } V < n$, the vectors are a dependent set; if $\text{rank } V = n$, the vectors are an independent set.

EXAMPLE.

DETERMINE THE FOLLOWING SETS ARE LINEARLY DEPENDENT OR INDEPENDENT.

1. $S = \{ \mathbf{i}, \mathbf{j}, \mathbf{k} \}$, $\mathbf{i} = (1, 0, 0)$, $\mathbf{j} = (0, 1, 0)$, $\mathbf{k} = (0, 0, 1)$
2. $S = \{ \mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3 \}$, $\mathbf{v}_1 = (2, -1, 0, 3)^T$, $\mathbf{v}_2 = (1, 2, 5, -1)^T$, $\mathbf{v}_3 = (7, -1, 5, 8)^T$
3. $S = \{ \mathbf{P}_1, \mathbf{P}_2, \mathbf{P}_3 \}$, $P_1 = 1 - x$, $P_2 = 5 + 3x - 2x^2$, $P_3 = 1 + 3x - x^2$ in polynomial of degree 2.
4. $S = \{ E_1, E_2, E_3, E_4 \}$, $E_1 = \begin{pmatrix} 2 & 1 \\ 4 & 2 \end{pmatrix}$, $E_2 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, $E_3 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$, $E_4 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$
5. $\{ \mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3 \}$, $\mathbf{v}_1 = (1, -2, 3)$, $\mathbf{v}_2 = (5, 6, -1)$, $\mathbf{v}_3 = (3, 2, 1)$

Example.

1. Let $\{ \mathbf{v}_1, \mathbf{v}_2 \}$ be linearly independent. Prove that $\{ \mathbf{v}_1 + \mathbf{v}_2, \mathbf{v}_1 - \mathbf{v}_2 \}$ is also linearly independent.
2. Show that $\{ x + 1, x - 1, -x + 5 \}$ is linearly dependent in P_1 .
3. Determine whether the set $\left\{ \begin{pmatrix} 1 & 2 \\ 3 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 2 & 1 \\ 4 & 2 \end{pmatrix} \right\}$ is linearly dependent.

BASIS & DIMENSION:

Consider $S = \text{Span} \{u_1, u_2, u_3\}$, where

$$u_1 = \begin{bmatrix} 1 \\ -1 \\ 2 \end{bmatrix}; \quad u_2 = \begin{bmatrix} -2 \\ 3 \\ 1 \end{bmatrix}; \quad u_3 = \begin{bmatrix} -1 \\ 3 \\ 8 \end{bmatrix}$$

S is a subspace of \mathbb{R}^3 .

But S can be constructed from just u_1 and u_2 , since

$$u_3 = 3u_1 + 2u_2 \quad (\text{by inspection}) \quad (1)$$

$\Rightarrow u_3$ is already *in the span of* u_1 and u_2 .

$$\begin{aligned} c_1 u_1 + c_2 u_2 + c_3 u_3 &= c_1 u_1 + c_2 u_2 + c_3(3u_1 + 2u_2) \\ &= (c_1 + 3c_3) u_1 + (c_2 + 2c_3) u_2 \\ &= d_1 u_1 + d_2 u_2 \end{aligned}$$

$$\Rightarrow S = \text{Span} \{u_1, u_2, u_3\} = \text{Span} \{u_1, u_2\}$$

i.e., u_3 is somehow ‘redundant’ or ‘repetitive.’

Now rewrite the dependence of u_3 on u_1 and u_2 [eqn. (1)] as:

$$3u_1 + 2u_2 - u_3 = \mathbf{0} \quad (2)$$

Since the 3 coefficients are nonzero, we can solve for any vector in terms of the other 2.

$$\Rightarrow \text{Span} \{u_1, u_2, u_3\} = \text{Span} \{u_1, u_2\} = \text{Span} \{u_2, u_3\} = \text{Span} \{u_1, u_3\}$$

A spanning set for a vector space V is minimal if the vectors in the set are linearly independent; in this case, this ‘basic’ set of vectors provides a set of ‘building blocks’ for the entire vector space.

To determine whether n vectors form a linearly independent set, construct an $n \times n$ matrix X whose column vectors are the vectors in question (in any order you wish), and evaluate $\text{rank } X$.

If $\text{rank } X < n$, the vectors are a dependent set;

if $\text{rank } X = n$, the vectors are an independent set.

Theorem. Let x_1, \dots, x_n be n vectors in \mathbb{R}^n and let $X = (x_1, \dots, x_n)$.

The vectors x_1, \dots, x_n will be linearly dependent if and only if X is singular.

Example. Determine whether the following vectors are linearly independent.

1.

$$u_1 = \begin{bmatrix} 1 \\ -1 \\ 2 \end{bmatrix}; \quad u_2 = \begin{bmatrix} -2 \\ 3 \\ 1 \end{bmatrix}; \quad u_3 = \begin{bmatrix} -1 \\ 3 \\ 8 \end{bmatrix}$$

2. $u = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}; \quad v = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}; \quad w = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$

BASIS & DIMENSION:

DEF'N: The vectors x_1, \dots, x_n form a basis for the vector space V provided:

- 1) v_1, \dots, v_n are linearly independent; *and*
- 2) v_1, \dots, v_n span V

Ex: Show the following set is a basis for \mathbb{R}^3

1. 'Standard' basis $\{e_1, e_2, e_3\}$: $\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$

2. $\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix};$

3. $\begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix};$

4. $[(1, 2, 3), (0, 1, 2), (-2, 0, 1)] \dots \text{etc.}$

Ex. Show that the following set is a basis for \mathbb{R}^2

1. $S = \{ (1, 1), (1, -1) \}$

2. $S = \{ [2, 1]^T, [1, 4]^T \}$

Ex: Basis for $\mathbb{R}^{2 \times 2}$:

$$E_1 = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}; \quad E_2 = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}; \quad E_3 = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}; \quad E_4 = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

Ex. Basis for the function space P^4

(set of all polynomial functions of degree < 4)

An arbitrary vector in the space has the form:

$$p(x) = a_0 + a_1x + a_2x^2 + a_3x^3$$

So if we choose as a basis:

$$p_0(x) = 1$$

$$p_1(x) = x$$

$$p_2(x) = x^2$$

$$p_3(x) = x^3$$

Then the vector p can be written: $p = a_0p_0 + a_1p_1 + a_2p_2 + a_3p_3$

The vectors $1, x, x^2, x^3$ are considered the standard basis for P^4 .