

# Classical Guitar Intonation and Compensation: The Well-Tempered Guitar

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## Abstract

Inspired by the pioneering work of luthier Greg Byers in 1996, we build an intuitive model of classical guitar intonation that includes the effects of the resonant length of the fretted string, linear mass density, tension, and bending stiffness. We begin by deriving an expression for the vibration frequencies of a stiff string using boundary conditions that are pinned at the saddle but clamped at the fret. Adopting logarithmic frequency differences based on “cents” that decouple these physical effects, we introduce Taylor series expansions that exhibit clearly the origins of frequency shifts of fretted notes from the corresponding Twelve-Tone Equal Temperament (12-TET) values. We demonstrate a simple *in situ* technique for measurement of the changes in frequency of open strings arising from small adjustments in length, and we propose a simple procedure that any interested guitarist can use to estimate the corresponding shifts in frequency due to tension and bending stiffness for their own guitars and favorite string sets. Based on these results, we employ an RMS frequency error method to select values of saddle and nut setbacks that map fretted frequencies — for a particular string set on a particular guitar — almost perfectly onto their 12-TET targets. This exercise allows us to discuss a general approach to tempering an “off-the-shelf” guitar to further reduce the tonal errors inherent in any fretted musical instrument.

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## 1 Introduction and Background

Any musician who has wrestled with the temperament of a fretted stringed instrument is well aware of the challenges presented by tuning and pitch. In addition to the mathematical physics of musical scales [1, 2, 3], the mechanical specifications of the instrument and the strings themselves [4, 5, 6] require accommodation during both manufacturing [7, 8, 9] and tuning to achieve harmonious results. We can gain an appreciation for this problem by analyzing the expression for the allowed vibration frequencies of an ideal string, given by [10, 11]

$$f_q = \frac{q}{2L_0} \sqrt{\frac{T_0}{\mu_0}}, \quad (1)$$

where  $q \in \mathbb{N} = \{1, 2, \dots\}$  identifies the “harmonic” of the fundamental frequency  $f_1$ ,  $L_0$  is the length of the free (unfretted) string from the saddle to the nut,  $T_0$  is the tension in the free string, and  $\mu_0 \equiv M/L_0$  is the linear mass density of a free string of mass  $M$ . The act of fretting the string changes its length, and therefore its frequency. For example, modern classical guitars are manufactured with frets placed along the fretboard using the Twelve-Tone Equal Temperament (12-TET) system, whereby the resonant length of a string pressed behind fret  $n$  ideally should be  $2^{-n/12} L_0$ , thereby producing a note with frequency  $2^{n/12} f_1$ . But this result can never be achieved perfectly in reality.

First, the string is elevated above the frets by the saddle and nut, so the fretted string is slightly elongated relative to the free string, and the resulting frequency is flattened in pitch. In principle, this effect could be accommodated by minute changes in the positions of the frets, but there are additional practical complications. For example, the string’s tension is increased by the change in length, causing the frequency to sharpen by an amount that significantly exceeds the reduction caused by the increase in the resonant string length. In addition,

the string is by no means ideal, and its intrinsic stiffness results in an additional increase in pitch that depends on its mechanical characteristics. These guitar intonation difficulties seem to preclude successful temperament, but remarkably the instrument can be *compensated* by moving the positions of the saddle and the nut by small distances during the manufacturing process [7, 8, 9]. This compensation process helps temper the guitar so that it is *playable*. It must also be *tunable*, so that the guitar strings can be brought into compliance with 12-TET quickly and accurately. This requirement places significant constraints on the physical properties of manufactured strings. Our goal in this work is to build an intuitive understanding of these effects to aid in the compensation and subsequent tuning of the classical guitar, with an accessible approach to making measurements of string properties and deducing the corresponding effects of playability [12, 13, 14].

Throughout this work, we will use *cents* to describe small differences in pitch [3, 9, 15, 16, 17, 18]. One cent is one one-hundredth of a 12-TET half-step, so that there are 1200 cents per octave. The logarithmic difference in pitch between two frequencies  $f_1$  and  $f_2$  is defined as

$$\Delta\nu \equiv 1200 \log_2 \left( \frac{f_2}{f_1} \right), \quad (2)$$

where  $\log_2(x)$  is the (binary) logarithm base 2 of  $x$ . Let's choose the average frequency  $f \equiv (f_1 + f_2)/2$  and the frequency difference  $\Delta f \equiv f_2 - f_1$ . Then

$$\Delta\nu = 1200 \log_2 \left( \frac{f + \Delta f/2}{f - \Delta f/2} \right) \approx \frac{1200}{\ln(2)} \frac{\Delta f}{f} \approx 1731 \frac{\Delta f}{f}, \quad (3)$$

where the approximation applies when  $\Delta f \ll f$ , and  $\ln(x)$  is the natural logarithm function of  $x$ . An experienced classical guitar player can distinguish beat notes with a difference frequency of  $\Delta f \lesssim 1$  Hz, which corresponds to 8 cents at  $A_3$  ( $f = 220$  Hz) or 5 cents at  $E_4$  ( $f = 329.63$  Hz). As shown in Fig. 1, if the average frequency of the interval is used to compute  $\Delta\nu$  — rather than the initial frequency  $f_1$  — then the accuracy of Eq. (3) holds for almost an entire octave. In this plot, we chose  $f_1 = A_3 = 220$  Hz, and allowed  $f_2$  to vary from  $A_3$  to  $A_4 + 30$  Hz = 470 Hz. At the octave, the error in  $\Delta\nu$  arising from Eq. (3) is only  $-46$  cents, or  $-4\%$ . The choice of a logarithmic frequency-difference scale *decouples* multiplicative factors that predict the frequency of a real fretted string, allowing us to build straightforward intuitive models of guitar intonation and compensation.

We present the basics of our model of classical guitar strings in Section 2, following the pioneering work of G. Byers [7, 8, 19]. We offer empirical reasons to doubt the need for a complicated model of string fretting that incorporates either the depth of fretting or the shape of the finger in Appendix A. Then, in Appendix B, we derive a new expression for the allowed vibration frequencies of a stiff string (although more complicated dynamical models are available [20]), derived under the assumption that the boundary conditions at the saddle and the nut are not symmetric. Based on this result, we then discuss in detail the four contributions to frequency shifts and errors of strings pressed behind a fret: the change in the resonant length of the vibrating string; a decrease in the linear mass density of the entire string; an increase in the tension of the entire string; and an increase in the mechanical stiffness of the resonating string. Our goal is to simplify the decoupled equations describing these effects through Taylor series expansions to allow an intuitive picture of the string's behavior to emerge. Nylon strings [21, 22, 23] behave very differently than the metal strings used on acoustic and electric guitars [24, 25, 26]. After restringing, they first stretch and deform inelastically and then require hours to “settle” and reach a linear elastic equilibrium, and it is unlikely that the uniform

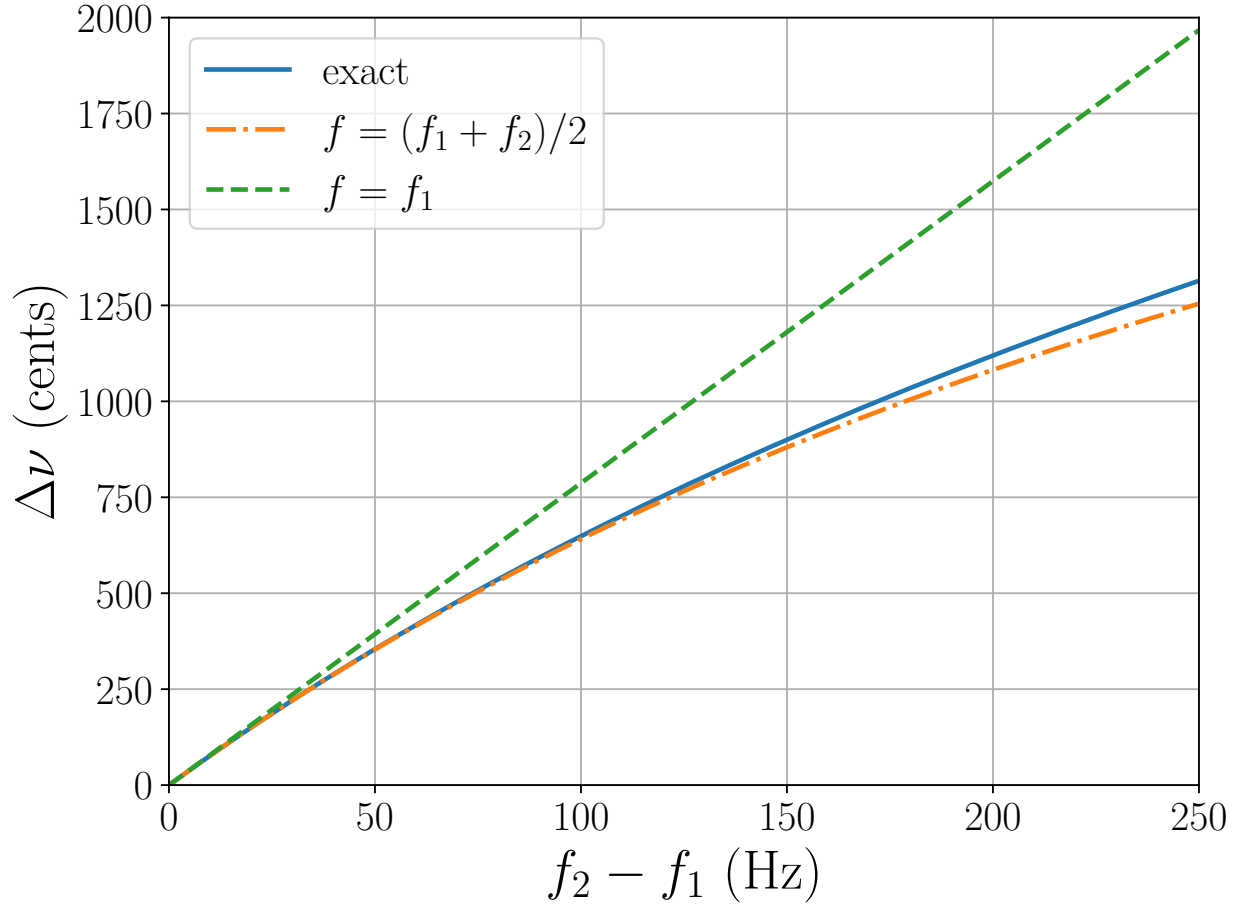


Figure 1: Plot of  $\Delta\nu$  for  $f_1 = A_3 = 220$  Hz and  $f_2$  varying from  $A_3$  to  $A_4 + 30$  Hz = 450 Hz. We compare two different definitions of  $f$  in Eq. (3): the average of  $f_1$  and  $f_2$ , and simply  $f = f_1$ . Using the average frequency leads to a significantly better approximation.

stiff rod approach used to develop equations for the resonant frequencies (including ours) apply to either the monofilament treble strings or the wound bass strings until that equilibrium is reached. Nevertheless, we are able to develop a phenomenological model of the mechanical characteristics of these strings that is consistent with measurements of frequency deviations on uncompensated classical guitars.

In our analysis, we neglect two contributions to the sound produced by classical guitars. First, we do not account for the impact of the plucked string polarization on the boundary conditions we used to describe the vibrations of a string. A standard free stroke on a nylon classical guitar string results in an elliptically polarized response, with the major (horizontal) axis in the plane of the strings parallel to the fret board. In principle, the vertical and horizontal oscillations could vibrate at different frequencies, but we see no evidence of peak splitting in spectrum analysis of the first half dozen harmonics of fretted normal tension strings on the several classical guitars we tested. Second, given this result, we neglected all details of guitar body manufacturing such as bridge admittance [27, 28] because they are unlikely to affect computations of setbacks in guitar compensation.

In Section 2.5, we collect all four of the effects mentioned above and develop a simple approximate expression for the total frequency shift of a fretted guitar string. We note that the two largest contributions to this deviation from 12-TET perfection are the increases in ten-

sion and bending stiffness due to fretting, and that small changes in the positions of the nut and the saddle can largely compensate for these problems. In Section 3, we demonstrate a simple, straightforward experiment to measure *in situ* the response of a string’s fundamental frequency to a change in length (and therefore tension), and we test and rely on a subsequent phenomenological approach to determining the open-string bending stiffness. Then, in Section 4, we use these values for a normal-tension nylon string set (as well as other string sets in Appendix D) to demonstrate a straightforward analytic approach to compensating for the tone errors in a guitar string. We check this method numerically by relying on a technique — described in Appendix C — to minimize the root-mean-squared (RMS) frequency deviation at each fret. With these results in hand, in Section 5 we discuss a collaboration of guitar manufacturer and musician to temper the guitar using harmonic tuning to optimize it for a particular piece. Finally, in Section 6, we summarize our results and suggest topics for future work.

This document — as well as the Python computer code needed to reproduce the figures — is available at GitHub [29].

## 2 Simple Model of Guitar Intonation

The starting point for prior efforts to understand guitar intonation and compensation [8, 9] is a formula for  $f_q$ , the transverse vibration frequency harmonic  $q$  of a stiff string, originally published by Morse in 1936 [30, 31, 32]:

$$f_q = \frac{q}{2L} \sqrt{\frac{T}{\mu}} \left[ 1 + 2B + 4 \left( 1 + \frac{\pi^2 q^2}{8} \right) B^2 \right]. \quad (4)$$

Here  $L$  is the length of the string,  $T$  and  $\mu$  are its tension and linear mass density, respectively, and  $B$  is a small “bending stiffness” coefficient to capture the relevant mechanical properties of the string. For a homogeneous string with a cylindrical cross-section,  $B$  is given by

$$B \equiv \sqrt{\frac{E \mathcal{A} s^2}{L^2 T}}, \quad (5)$$

where  $\mathcal{A}$  and  $s$  are the cross-sectional area and the radius of gyration of the string, respectively, and  $E$  is Young’s modulus (or the modulus of elasticity). But it’s unlikely that Eq. (4) accurately describes the resonant frequencies of a nylon string on a classical guitar. First, it is derived by assuming that the vibration of the string is polarized vertically (perpendicular to the plane of the guitar top). This is true for a piano string, but not for a classical guitar string, which is polarized elliptically with the major axis parallel to the guitar top. Second, the factor of two in front of the bending stiffness arises from the assumption that the string is “clamped” at both ends, so that a particular set of symmetric mathematical boundary conditions must be applied to the partial differential equation (PDE) describing transverse vibrations of the string. However, measurements of the frequency of a stiff piano string showed that neither symmetric clamped nor “pinned” boundary conditions were completely correct [31]. In addition, Eq. (4) predicts values of saddle setbacks that are about twice as large as those used by experienced luthiers based on trial and error [33].

As a compromise, we assume that the string is clamped at the nut but pinned at the saddle, and we neglect the impact of the polarization of the vibrating string. In Appendix B, we solve

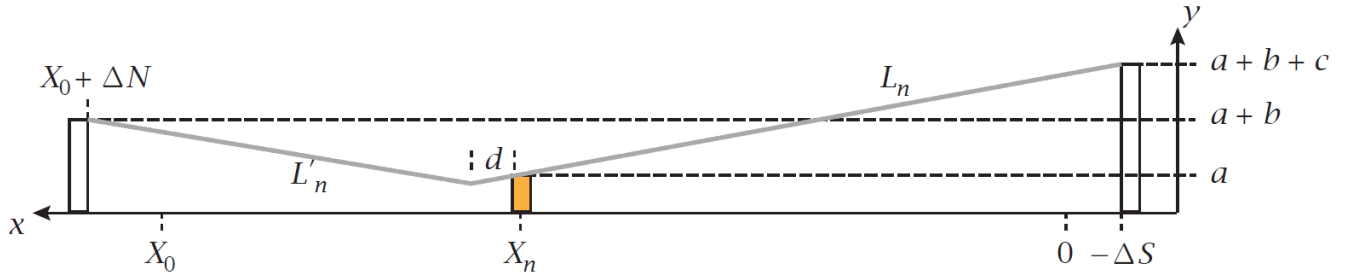


Figure 2: A simple (side-view) schematic of the classical guitar used in this model.

the PDE using these non-symmetric boundary conditions, and find

$$f_q = \frac{q}{2L} \sqrt{\frac{T}{\mu}} \left[ 1 + B + \left( 1 + \frac{1}{2} q^2 \pi^2 \right) B^2 \right]. \quad (6)$$

Note that this expression is valid only when  $B \ll 1$ . We'll see that a typical nylon guitar string has  $B \approx 2 - 3 \times 10^{-3}$ . In this case, the quadratic  $B$  term in Eq. (6) is only 2% as large as the linear term, and can generally be neglected. (We will include it in our numerical computations for completeness.) We should use Eq. (6) with some caution, because the chemistry, materials science, and physics of nylon strings (particularly the wound bass strings) are quite complicated [21, 22, 23]. With this in mind, we check the validity of this equation for the nylon strings we measure in Section 3.

Our model is based on the schematic of the guitar shown in Fig. 2. The scale length of the guitar is  $X_0$ , but we allow the inside edges of both the saddle and the nut to be set back an additional distance  $\Delta S$  and  $\Delta N$ , respectively. The location on the  $x$ -axis of the center of the  $n^{\text{th}}$  fret is  $X_n$ . In the  $y$  direction,  $y = 0$  is taken as the surface of the fingerboard; the height of each fret is  $a$ , the height of the nut (i.e., the distance between the fingerboard and the *bottom* of the string) is  $a + b$ , and the height of the saddle is  $a + b + c$ . (For the moment, we are neglecting the art of *relief* practiced by expert luthiers that increases the effective height of a string as the fret number grows. We discuss this effect below in Section 2.3.)  $L_n$  is the *resonant length* of the string from the saddle to the center of fret  $n$ , and  $L'_n$  is the length of the string from the fret to the nut. The total length of the string is defined as  $\mathcal{L}_n \equiv L_n + L'_n$ . As discussed in more detail in Appendix A, we have chosen to include a line-segment intersection at a distance  $d$  behind fret  $n$  to represent the slight increase in the distance  $L'_n$  caused by a finger. This differs from previous studies of guitar intonation and compensation [7, 8, 9], but our approach is consistent with our empirical observations for nylon strings.

We start with the form of the fundamental frequency of a fretted string given by Eq. (6) with  $q = 1$ , and apply it to the frequency of a string pressed just behind the  $n^{\text{th}}$  fret:

$$f_n = \frac{1}{2L_n} \sqrt{\frac{T_n}{\mu_n}} \left[ 1 + B_n + \left( 1 + \frac{\pi^2}{2} \right) B_n^2 \right], \quad (7)$$

where  $T_n$  and  $\mu_n$  are the modified tension and the linear mass density of the fretted string, and

$$B_n \equiv \sqrt{\frac{E \mathcal{A} s^2}{4 T_n L_n^2}}. \quad (8)$$

We note that  $T_n$  and  $\mu_n$  depend on  $\mathcal{L}_n$ , the *total* length of the fretted string from the saddle to the nut. Ideally, in the 12-TET system [3],

$$f_n = \gamma_n f_0, \quad (12\text{-TET ideal}) \quad (9)$$

where  $f_0$  is the frequency of the open (unfretted) string, and

$$\gamma_n \equiv 2^{n/12}. \quad (10)$$

Therefore, the error interval — the difference between the fundamental frequency of the fretted string and the corresponding perfect 12-TET frequency — expressed in cents is given by

$$\begin{aligned} \Delta v_n &= 1200 \log_2 \left( \frac{f_n}{\gamma_n f_0} \right) \\ &= 1200 \log_2 \left( \frac{L_0}{\gamma_n L_n} \right) + 600 \log_2 \left( \frac{\mu_0}{\mu_n} \right) + 600 \log_2 \left( \frac{T_n}{T_0} \right) \\ &\quad + 1200 \log_2 \left[ \frac{1 + B_n + (1 + \pi^2/2) B_n^2}{1 + B_0 + (1 + \pi^2/2) B_0^2} \right]. \end{aligned} \quad (11)$$

The final form of Eq. (11) makes it clear that — for nylon guitar strings — there are four contributions to intonation:

1. *Resonant Length*: The first term represents the error caused by the increase in the length of the fretted string  $L_n$  compared to the ideal length  $X_n$ , which would be obtained if  $b = c = d = 0$  and  $\Delta S = \Delta N = 0$ .
2. *Linear Mass Density*: The second term is the error caused by the reduction of the linear mass density of the fretted string. This effect will depend on the *total* length of the string  $\mathcal{L}_n = L_n + L'_n$ .
3. *Tension*: The third term is the error caused by the *increase* of the tension in the string arising from the stress and strain applied to the string by fretting. This effect will also depend on the total length of the string  $\mathcal{L}_n$ .
4. *Bending Stiffness*: The fourth and final term is the error caused by the change in the bending stiffness coefficient arising from the decrease in the vibrating length of the string from  $L_0$  to  $L_n$ .

Note that the properties of the logarithm function have *decoupled* these physical effects by converting multiplication into addition. We will discuss each of these sources of error in turn below.

In the discussion that follows, we'll test our approximations for a prototypical instrument (hereafter referred to as the "Classical Guitar") with the specifications listed in Table 1. Refer to Fig. 2 for a graphical representation of these parameters. In addition, as we develop models of the physical effects discussed above, we'll assume that the guitar string has the properties listed in Table 2. The string constant  $\kappa$  and the open-string bending stiffness  $B_0$  are introduced in Section 2.3 and Section 2.4 respectively. The linear frequency shift parameter  $R$  is discussed in Section 2.5, and a method for determining both  $\kappa$  and  $B_0$  in terms of  $R$  is discussed.

## 2.1 Resonant Length

The length  $L_0$  of the open (unfretted) guitar string can be calculated quickly by referring to Fig. 2. We find:

$$L_0 = \sqrt{(X_0 + \Delta S + \Delta N)^2 + c^2} \approx X_0 + \Delta S + \Delta N + \frac{c^2}{2X_0}, \quad (12)$$



Table 1: Default specifications for the prototypical Classical Guitar modeled in this section. The default values of  $d$ ,  $\Delta S$ , and  $\Delta N$  can be either zero or the nonzero value listed in the table and discussed in the text.

| Parameter  | Description                        | Default Value (mm) |
|------------|------------------------------------|--------------------|
| $X_0$      | Scale length                       | 650                |
| $b$        | Height of the nut above fret 1     | 1                  |
| $c$        | Height of the saddle above the nut | 4                  |
| $d$        | Fretting distance                  | 0 or 10            |
| $\Delta S$ | Saddle setback                     | 0 or 1.8           |
| $\Delta N$ | Nut setback                        | 0 or $-0.38$       |

Table 2: Default specifications for a prototypical guitar string. The string constant  $\kappa$  and the open-string bending stiffness  $B_0$  are introduced in Section 2.3 and Section 2.4 respectively, and the linear frequency shift parameter  $R$  is discussed in Section 2.5.

| Parameter | Description                      | Default Value |
|-----------|----------------------------------|---------------|
| $\rho$    | String radius in mm              | 0.43          |
| $R$       | Linear frequency shift parameter | 25            |
| $\kappa$  | String tension constant          | 51            |
| $B_0$     | Open-string bending stiffness    | 0.00236       |

where the approximation arising from the Taylor series is valid to second order in all small distances since  $\{\Delta S, \Delta N, b, c\} \ll X_0^2$ . Similarly, the resonant length  $L_n$  is given by

$$L_n = \sqrt{(X_n + \Delta S)^2 + (b + c)^2} \approx X_n + \Delta S + \frac{(b + c)^2}{2 X_n}. \quad (13)$$

Then — if the guitar has been manufactured such that  $X_n = X_0/y_n$  — the resonant length error determined by the first term in the last line of Eq. (11) is approximately

$$1200 \log_2 \left( \frac{L_0}{y_n L_n} \right) \approx \frac{1200}{\ln(2)} \left[ \frac{\Delta N - (y_n - 1) \Delta S}{X_0} - \frac{(\Delta N + \Delta S)^2 - y_n^2 \Delta S^2}{2 X_0^2} - \frac{y_n^2 (b + c)^2 - c^2}{2 X_0^2} \right]. \quad (14)$$

If the guitar is uncompensated, so that  $\Delta S = \Delta N = 0$ , the magnitude of this error on our Classical Guitar can be neglected in approximate treatments. However, we'll see that choosing  $\Delta S > 0$  and  $\Delta N < 0$  will allow us to substantially compensate for frequency shift contributions from other effects. In this case, we note that the three terms inside the bracket on the right-hand side of Eq. (14) are  $\{-3.5 \times 10^{-3}, 1.4 \times 10^{-5}, -1.0 \times 10^{-4}\}$ , respectively, for the parameter values given by Table 1, corresponding to frequency shifts of  $\{-6.05, 0.02, -0.17\}$  cents. Therefore, the second two terms are negligible compared to the first, and we can approximate the resonant length error — for the purposes of estimating setbacks in Section 4 — as

$$1200 \log_2 \left( \frac{L_0}{y_n L_n} \right) \approx \frac{1200}{\ln(2)} \left[ \frac{\Delta N - (y_n - 1) \Delta S}{X_0} \right]. \quad (15)$$



We'll include the term in Eq. (14) that is quadratic in  $b$  and  $c$  in our computation of setbacks detailed in Appendix C, and we'll use Eq. (12) and Eq. (13) when computing frequency errors.

## 2.2 Linear Mass Density

As discussed above, the linear mass density  $\mu_0$  of an open (unfretted) string is simply the total mass  $M$  of the string clamped between the saddle and the nut divided by the length  $L_0$ . Similarly, the mass density  $\mu_n$  of a string held onto fret  $N$  is  $M/\mathcal{L}_n$ . Therefore

$$\frac{\mu_0}{\mu_n} = \frac{\mathcal{L}_n}{L_0} \equiv 1 + Q_n, \quad (16)$$

where we have followed Byers and defined the normalized relative displacement [7, 8, 9]

$$Q_n \equiv \frac{\mathcal{L}_n - L_0}{L_0}, \quad (17)$$

where  $\mathcal{L}_n = L_n + L'_n$ . After judicious use of similar triangles and the Pythagorean Theorem we calculate  $L'_n$  for  $n \geq 1$  as

$$L'_n = \frac{L_n}{X_n + \Delta S} d + \sqrt{(X_0 - X_n + \Delta N - d)^2 + \left(b + \frac{b+c}{X_n + \Delta S} d\right)^2}. \quad (18)$$

When  $d \ll X_0$ , we can expand  $Q_n$  to third order in all small distances and find

$$Q_n \approx \frac{[y_n b + (y_n - 1) c]^2}{2 (y_n - 1) X_0^2} \left(1 + \frac{y_n^2}{y_n - 1} \frac{d}{X_0}\right), \quad (19)$$

Although it is arguable whether this approximation is simpler than the exact expression given by Eq. (17), it is quite clear that  $Q_n$  does not depend significantly on the setbacks  $\Delta S$  or  $\Delta N$ . For a guitar with the specifications listed in Table 1,  $Q_n$  falls in the range  $25 - 45 \times 10^{-6}$  for  $d \leq 10$  mm, corresponding to a net stretch of the string less than 0.03 mm. For the same parameters, when  $d = 10$  mm, we find that  $\Delta v_1 \approx 0.04$  cents, and is smaller at all other frets. Therefore, in general the shift due to linear mass density can be neglected without significant loss of accuracy in the approximate setback solutions we derive in Section 4.

## 2.3 Tension

Counterintuitively, nylon classical guitar strings [21, 22, 23] have very different physical properties than those of steel strings [24, 25, 26], with completely different stress-strain curves. When fresh nylon strings are brought up to the required tension for the first time, they are stretched by a macroscopic distance  $\Delta L$  that varies from 7 cm (for the first  $E_4$  string) to 2 cm (for the sixth  $E_2$  string). After only a few minutes, the string must be re-tensioned because it has undergone nonlinear viscoelastic relaxation and has become flat by at least a half-step. (This stage of tensioning and strain is not well described by theories of nonlinear elasticity in soft materials [34].) This process continues for several hours until the strings begin to “settle” and remain properly tuned for longer periods; after about 10 hours, they will respond at the correct frequencies for more than an hour provided that the temperature in the room doesn't change significantly [21, 22]. A string removed from the guitar after this stage has been

reached will not relax back to its original “out-of-the-box” length — it has been permanently deformed. The frequency of most settled nylon strings can be “dropped” one whole step and then returned to the initial value, but attempts to increase tension further will reach a nonlinear stage where the frequency increases much less quickly and will often result in a broken string.

We will focus on the response of a settled string to a differential longitudinal strain, and neglect the transverse stress that causes insignificant changes in the radius of the string [22]. As we show in Section 3, in the settled regime we can infer an infinitesimal change  $\delta L$  of a nylon string with length  $L$  will result in a linear change in the tension by an amount [35]

$$\delta T = \mathcal{A} E_{\text{eff}} \frac{\delta L}{L}, \quad (20)$$

where  $E_{\text{eff}}$  is an effective linear modulus of elasticity representing the ratio of the differential stress  $\delta T/\mathcal{A}$  to the differential strain  $\delta L/L$ . Therefore, we write the change in tension of a string stretched by touching fret  $n$  as

$$\delta T_n = \mathcal{A} E_{\text{eff}} Q_n, \quad (21)$$

where  $Q_n$  is the normalized infinitesimal displacement — here acting as the differential strain — defined by Eq. (17). Note that we are using the length  $L_0$  of the unfretted string between the saddle and the nut as our reference length. In the case of steel strings, it may be appropriate to include the length of the string between the nut and the tuning cylinder if the string easily slides through the nut and therefore the tension on either side of the nut is the same [26]. But a nylon string on a classical guitar emerges from the outside of the nut at a sharp angle and is clamped so tightly within the nut that it often must be transversely pressed (outside the nut) or pulled (inside the nut) to release it during tuning. We have marked settled strings just inside and outside the nut and observed that  $\delta L$  is about twice as large between the nut and the tuners as it is between the first fret and the nut, indicating that the tension is much higher outside the nut than inside. For these reasons, we assume that the string does not move significantly within the nut during fretting, and that  $L_0$  is indeed the correct reference length.

Based on these considerations, we write the tension in a settled string clamped to fret  $n$  as

$$T_n = T_0 + \delta T_n = T_0 (1 + \kappa Q_n), \quad (22)$$

where we have defined the dimensionless linear “string constant”

$$\kappa \equiv \frac{\mathcal{A} E_{\text{eff}}}{T_0}. \quad (23)$$

The corresponding frequency shift due to the increase in tension caused by fretting is therefore given by the third term in the final line of Eq. (11) as

$$600 \log_2 \left( \frac{T_n}{T_0} \right) = 600 \log_2 (1 + \kappa Q_n). \quad (24)$$

If we assume that  $\kappa Q_n \ll 1$ , then we can approximate this expression as

$$600 \log_2 \left( \frac{T_n}{T_0} \right) \approx \frac{600}{\ln(2)} \kappa Q_n, \quad (25)$$

where now  $Q_n$  is given by Eq. (19). In this form, it is clear that this frequency shift is larger than that caused by the linear mass density by a factor of  $\kappa$ .

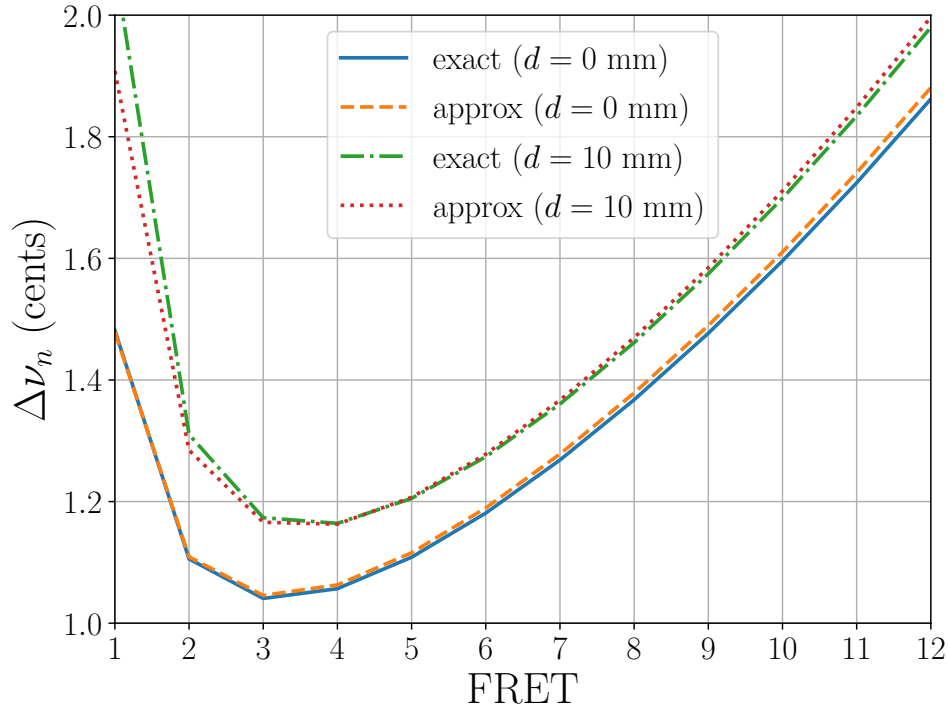


Figure 3: Comparison of the exact expression for the frequency shift caused by tension given by Eq. (24) with the approximate expression given by Eq. (25).

In Fig. 3, we plot a comparison between the exact and approximate expressions for the frequency error resulting from the tension increase given by Eq. (24) and Eq. (25) for two values of  $d$ . The normalized displacement  $Q_n$  is computed using Eq. (17) in the exact curves and Eq. (19) in the approximate curves. Here the guitar has the specifications listed in Table 1: the exact curves use  $\Delta S = 1.8$  mm and  $\Delta N = -0.38$  mm, and the approximate curves ignore the setbacks entirely. The slight difference between the exact and approximate shifts for  $d = 10$  mm at the first fret can be eliminated if we include a term quadratic in  $d$  in Eq. (19). As predicted above, we see that the dependence of  $Q_n$  — and therefore the tension shift — on the setback values is minimal.

Many luthiers provide “relief” to enlarge the effective height of the string (particularly for the wound bass strings) as the fret number grows to provide clearance for vibration amplitude at higher volume. In practice, this is accomplished by pivoting the fret board shown in Fig. 2 clockwise about  $x = X_0$ , increasing the height of the string above fret  $n$  by an amount

$$\begin{aligned}
 \Delta y_n &= m (X_0 - X_n) \\
 &= \frac{y_n - 1}{y_n} m X_0 \\
 &= \frac{y_n - 1}{y_n} 2 \Delta y_{12},
 \end{aligned} \tag{26}$$

where  $\Delta y_{12}$  is the relief at the twelfth fret and  $m = 2 \Delta y_{12} / X_0 \geq 0$  is the downward slope of the fret board. If we update Eq. (13) and Eq. (18) (with  $d = 0$ ), then we obtain

$$L_n = \sqrt{(X_n + \Delta S)^2 + (b + \Delta y_n + c)^2}, \text{ and} \tag{27a}$$

$$L'_n = \sqrt{(X_0 - X_n + \Delta N)^2 + (b + \Delta y_n)^2}. \tag{27b}$$

These equations indicate that we could modify the approximation for  $Q_n$  given by Eq. (19) by replacing  $b \rightarrow b + \Delta y_n$ , which results in the numerator

$$y_n b + (y_n - 1) c \rightarrow y_n b + (y_n - 1) (c + 2 \Delta y_{12}) , \quad (28)$$

indicating that the intuitive substitution  $c \rightarrow c + 2 \Delta y_{12}$  captures the effect of relief. (Note that this should *not* be done when computing the length  $L_0$  of the open string!)

## 2.4 Bending Stiffness

The bending stiffness of a string clamped at the  $n^{\text{th}}$  fret is given by Eq. (8), Eq. (13), and Eq. (22) as

$$B_n = \sqrt{\frac{E \mathcal{A} s^2}{4 T_n L_n^2}} = \sqrt{1 + \kappa Q_n} \frac{L_0}{L_n} \sqrt{\frac{E \mathcal{A} s^2}{4 T_0 L_0^2}} \approx y_n B_0 , \quad (29)$$

where the approximation applies when  $B_0 \ll 1$  and the largest contribution arises from the shortened length of the fretted string compared to that of the open string. This expression confirms our intuitive expectation that the stiffness of the string should increase as the length becomes shorter. Therefore, the fourth term in the final line of Eq. (11) can be approximated as

$$1200 \log_2 \left[ \frac{1 + B_n + (1 + \pi^2/2) B_n^2}{1 + B_0 + (1 + \pi^2/2) B_0^2} \right] \approx \frac{1200}{\ln(2)} \left[ (y_n - 1) B_0 + \frac{1}{2} (y_n^2 - 1) (1 + \pi^2) B_0^2 \right] . \quad (30)$$

In Fig. 4, we use Eq. (30) to compare the exact and approximate expressions for frequency shifts due to bending stiffness based on Table 1 and Table 2. Note that we show the approximate frequencies with and without the quadratic terms, and we see that the 2<sup>nd</sup>-order contribution is about 0.2 cents at the 12<sup>th</sup> fret. Once again, it is clear that  $B_n$  does not depend significantly on either  $\Delta S$  or  $\Delta N$ . In other words, the bending stiffness error does not depend on the tiny changes to the linear mass density or the tension that arise due to string fretting. Instead, it is an intrinsic mechanical property of the string: the stiffness increases as the length of the vibrating string becomes shorter. Comparing Fig. 3 and Fig. 4, we see that at the 12<sup>th</sup> fret the frequency error due to bending stiffness is about twice as large as that caused by the increase in tension.

## 2.5 Total Frequency Shift

Let's guide our intuition and prepare for the development of approximate expressions for  $\Delta S$  and  $\Delta N$  by relying on Taylor series expansions for all the effects described above. First, we'll ignore all quadratic terms in the resonant length error and adopt Eq. (15). Next, we'll neglect the small reduction in linear mass density caused by fretting, and then rely on the approximation to the frequency shift caused by tension increases given by Eq. (24). Finally, we'll describe the effects of bending stiffness using Eq. (30), *neglecting* the term proportional to  $B_0^2$ . Incorporating all of these terms, we find that the total frequency shift is given approximately by

$$\Delta \nu_n \approx \frac{1200}{\ln(2)} \left[ (y_n - 1) \left( B_0 - \frac{\Delta S}{X_0} \right) + \frac{\Delta N}{X_0} + \frac{1}{2} \kappa Q_n \right] . \quad (31)$$

But how do we determine the bending stiffness  $B_0$  given by Eq. (5) and the spring constant  $\kappa$  given by Eq. (23) for a particular string?

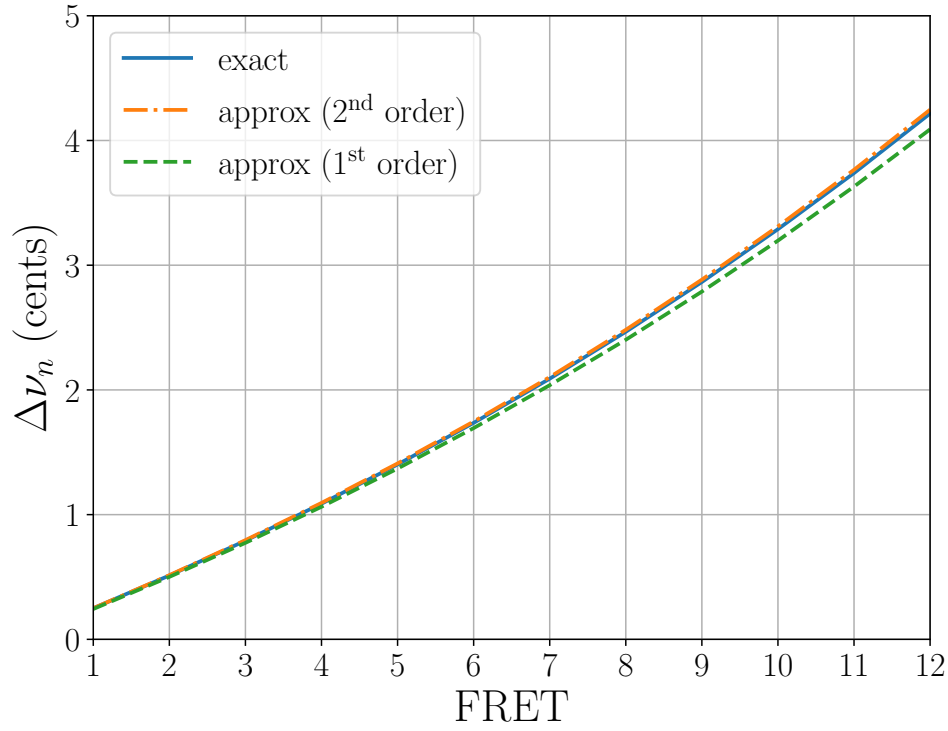


Figure 4: A comparison of exact and approximate expressions for the frequency shift due to bending stiffness, given by Eq. (30).

To measure  $\kappa$ , in Section 3 we will conduct an experiment that measures the change in the frequency of an open string as we make slight changes to its length [8, 9]. From Eq. (6), the change  $\delta f$  of the fundamental frequency of an open string due to a small change in length  $\delta L$  is

$$\begin{aligned}
 \frac{\delta f}{\delta L} &= \frac{f}{L} \left( -1 + \frac{L}{2T} \frac{\delta T}{\delta L} - \frac{L}{2\mu} \frac{\delta \mu}{\delta L} + \frac{L}{1+B} \frac{\delta B}{\delta L} \right) \\
 &= \frac{f}{L} \left( -1 + \frac{1}{2} \kappa + \frac{1}{2} - \frac{B}{1+B} \right) \\
 &\approx \frac{f}{L} \times \frac{1}{2} (\kappa - 1),
 \end{aligned} \tag{32}$$

where we have used the analyses above to determine that

$$\frac{\delta T}{\delta L} = \frac{T}{L} \kappa, \tag{33a}$$

$$\frac{\delta \mu}{\delta L} = -\frac{\mu}{L}, \text{ and} \tag{33b}$$

$$\frac{\delta B}{\delta L} = -\frac{B}{L}, \tag{33c}$$

and we have again assumed that  $B_0 \ll 1$ . Therefore, following Byers [8, 9], we define the parameter  $R$  to be

$$R \equiv \frac{L}{f} \frac{\delta f}{\delta L} = \frac{1}{2} (\kappa - 1), \tag{34}$$

which gives

$$\kappa = 2R + 1. \quad (35)$$

We can anticipate the typical value of  $R$  for a nylon classical guitar string through a simple observation. On a classical guitar with a scale length of 650 mm, we can usually tune an open string down a full step by winding the tuner/machine head five half turns down and then two half turns back up to re-tension the string. As we shall see in Section 3, this decreases the effective string length by 3 mm. Since a full step is (by definition) 200 cents, Eq. (3) tells us that

$$\frac{\Delta f}{f} \approx \frac{\ln(2)}{1200} \Delta \nu = \frac{200}{1731} = 0.116. \quad (36)$$

In this case, we estimate  $R$  to be

$$R \approx \frac{650}{3} 0.116 = 25, \quad (37)$$

giving  $\kappa \approx 51$ , which are the values listed in Table 2.

It's impractical to assume that the effective (differential) modulus of elasticity of a particular string can be derived from published values of bulk nylon (particularly in the case of a wound string). Instead, let's assume that we know the value of  $\kappa$ , and then estimate the bending stiffness coefficient by comparing Eq. (5) and Eq. (23), and writing  $B_0$  as

$$B_0 = \sqrt{\kappa} \frac{s}{L_0}, \quad (38)$$

As discussed in Appendix B, for a uniform cylindrical string/wire with radius  $\rho$ ,  $s = \rho/2$ . This choice is valid for monofilament nylon strings [27]; if we provisionally accept it for wound nylon strings as well, then we have

$$B_0 = \sqrt{\kappa} \frac{\rho}{2L_0} \approx \sqrt{\kappa} \frac{\rho}{2X_0}. \quad (39)$$

We'll test this phenomenological ansatz in Section 3. We note that in the case of wound steel strings (often wrapped in metals like nickel or phosphor bronze), the bending stiffness depends on the radius of the nonuniform core alone [31, 26]. By contrast, the bass strings on a classical guitar are twisted and/or braided multifilament nylon strands wrapped in silver-plated copper.

In Fig. 5, we compare the total frequency shifts predicted by Eq. (11) and Eq. (31) for the Classical Guitar specified by Table 1 with  $\Delta S = \Delta N = 0$  mm, and a string with the parameters listed in Table 2 at two different values of  $d$ . Note that the string is sharp at every fret, but even a large nonzero value of  $d$  is only important at the first fret. The bending stiffness is negligible at the first fret, but accounts for 65% of the shift at the 12<sup>th</sup> fret. The close agreement between the exact and approximate expressions for the frequency shifts gives us confidence that the equations we derive for the setbacks in Section 4 will be useful.

### 3 Experimental Estimate of the String Constant

It is relatively easy to measure *in situ* the value of  $R$  (and therefore infer  $\kappa$  and  $B_0$ ) for any guitar string with the aid of a device that can measure frequency [36], a simple ruler with fine markings (e.g., a string depth gauge), a magnifying glass or camera with a macro mode, and white correction fluid. For example, in Fig. 6 we show photographs of the nylon normal-tension first string on an Alhambra 8P classical guitar. By depositing a small sample of correction fluid



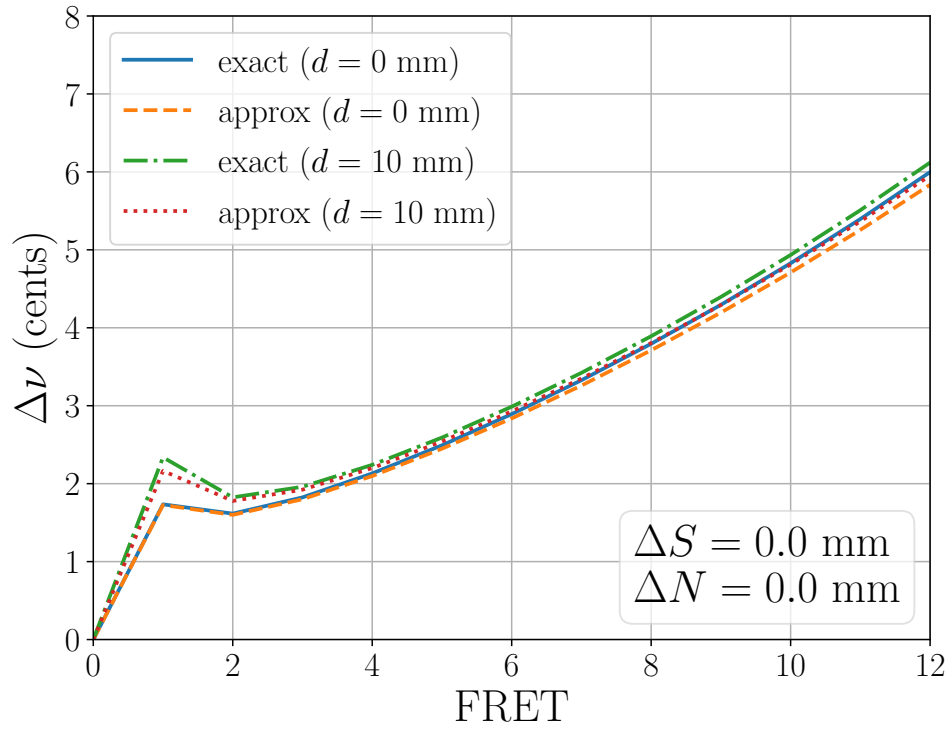
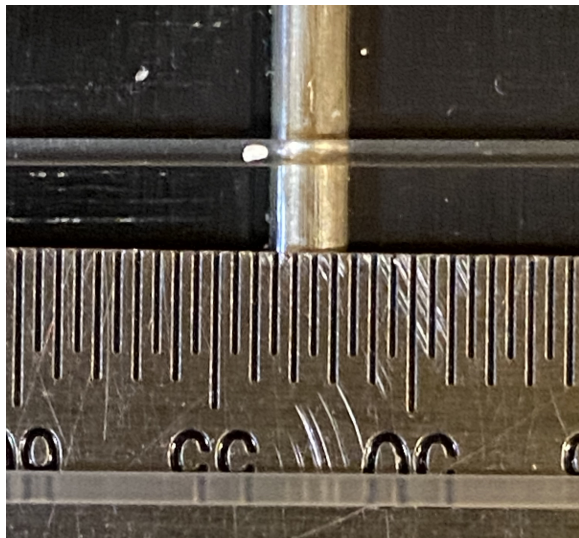
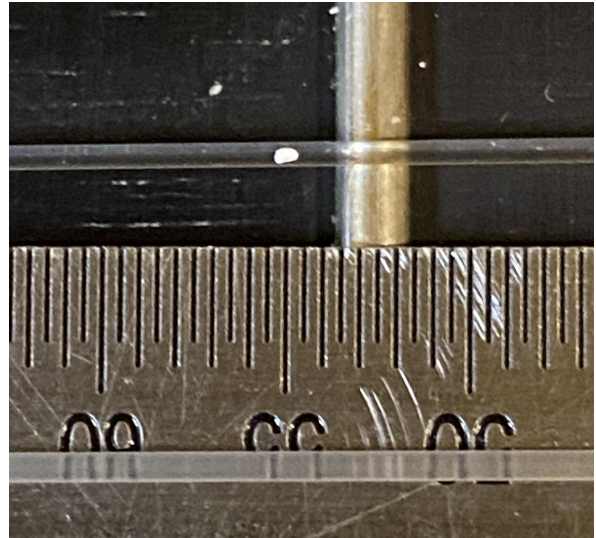


Figure 5: The total frequency shifts predicted by Eq. (11) and Eq. (31) for the Classical Guitar specified by Table 1 with  $\Delta S = \Delta N = 0$  mm, and a string with the parameters listed in Table 2.



(a)  $\Delta x_1$



(b)  $\Delta x_2$

Figure 6: Two examples of displacement measurements of a small deposit of white correction fluid relative to a D'Addario string-depth gauge marked in half-millimeter increments.



Table 3: String specifications for the D’Addario Pro-Arte Nylon Classical Guitar Strings – Normal Tension (EJ45). The corresponding scale length is 650 mm.

| String | Note           | $\rho$ (mm) | $\mu$ (mg/mm) | $T_0$ (N) |
|--------|----------------|-------------|---------------|-----------|
| J4501  | E <sub>4</sub> | 0.356       | 0.374         | 68.6      |
| J4502  | B <sub>3</sub> | 0.409       | 0.505         | 52.0      |
| J4503  | G <sub>3</sub> | 0.512       | 0.836         | 54.2      |
| J4504  | D <sub>3</sub> | 0.368       | 1.920         | 70.0      |
| J4505  | A <sub>2</sub> | 0.445       | 3.289         | 67.3      |
| J4506  | E <sub>2</sub> | 0.546       | 5.470         | 62.8      |

on the string, we can measure small displacements against a gauge marked in half-millimeter increments. Then we can pluck the open string and measure its vibration frequency. All of our measurements were made with strings that had settled into equilibrium after at least ten hours of use, and we completed each set of measurements of a string in less than 10 minutes so that it did not have time to relax further [21, 22]. We found that significantly stretching a string that had settled into equilibrium resulted in a nonlinear frequency shift  $\Delta f$  as a function of  $\Delta L$  (and occasionally broke the string). Therefore, prior to our measurements we tuned each string down one whole step by turning the tuning machine down five half-turns, stretching the string vertically to pull it through the nut, and then re-tensioning the string with two half-turns. The string stretches uniformly along its length, so at any position  $x$  the relative displacement  $\Delta x/x$  should be invariant. For convenience, we therefore chose to work near the first fret as a visual marker, which is located 614 mm from the saddle on a guitar with a 650 mm scale length. We made seven measurements of displacement over a 3 mm range (100 times the stretch that results from normal fretting), as well as the corresponding frequencies.

For example, we began with a normal-tension nylon classical string set [37] with the specifications listed in Table 3 using metric units.<sup>1</sup> In Fig. 7, we plot our measurements of  $\Delta f$  as a function of the displacement  $\Delta x$  relative to the frequency of the string when  $\Delta x = 0$ . The error bars (which arise primarily because of imperfect measurements of  $\Delta x$ ) represent the standard deviation of 10 independent measurements. We then performed a least-squares fit to a straight line [38] (also shown in Fig. 7), determined the derivative  $\Delta f/\Delta L$ , and then computed  $R$  using Eq. (34) with  $L = 614$  mm and  $f$  defined as the average frequency over the range. The results are shown in Table 4. Here  $\sigma$  is the covariant (diagonal) uncertainty in  $R$  (so that, for example, the first string in the table has  $R = 23.6 \pm 0.5$ ), and  $\kappa = 2R + 1$ . We compute the open-string bending stiffness  $B_0$  using Eq. (39), and we also estimate an effective (differential) modulus of elasticity  $E_{\text{eff}}$  from Eq. (23), expressed in units of gigapascals (1 GPa =  $10^9$  N/m<sup>2</sup>). Similar measurements and results for other string sets are provided in Appendix D. Note — as predicted in Section 2.5 and shown in Fig. 8 for all strings sets *except* the light tension set — the expectation that the guitar will be *tunable* results in  $R$  values of manufactured strings that are in the range 20 – 30. (It is unclear why the light strings appear to have much higher  $R$  values. Their volume densities are within a few percent of those of the normal tension strings, so perhaps there’s a significant difference in the corresponding manufacturing process.) The still more important requirement that the guitar be *playable* leads us to the discussion of

<sup>1</sup>Note that the correct unit of force in the metric system is Newtons (N), rather than kilograms, which is a unit of mass. In the British Imperial measurement system, the common units of mass are known as the “slug” and the “blob.”

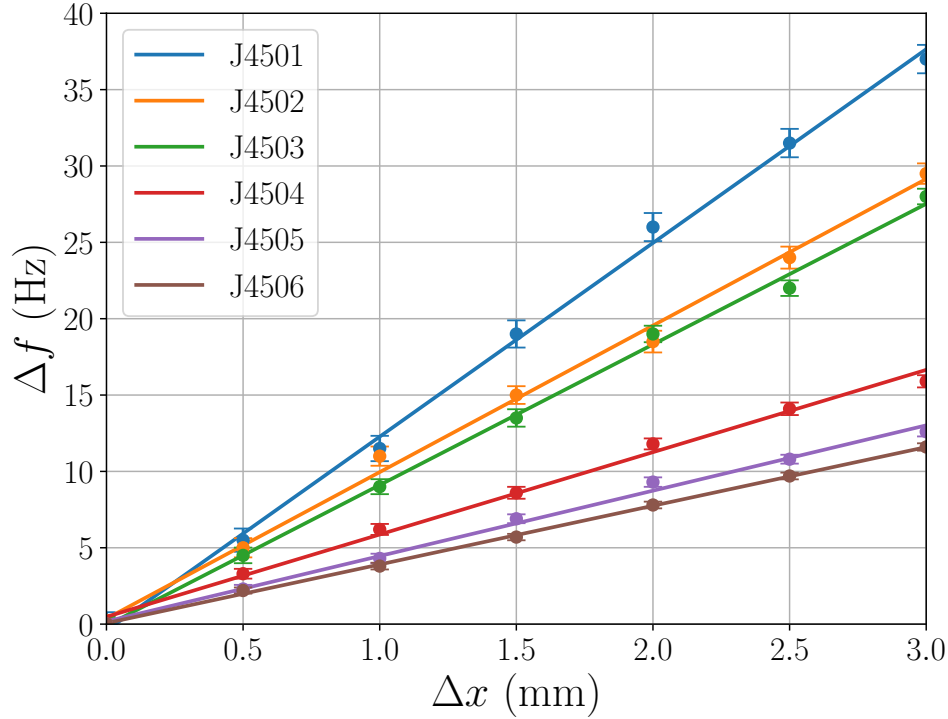


Figure 7: Results of experiments to measure  $R$  for each string in the D’Addario Pro-Arte Nylon Classical Guitar Strings – Normal Tension (EJ45) set. The points represent the measurement data, while the lines are the results of linear least-squares fits to that data.

compensation in the next section.

Recall that we recalculated the expected frequency shift of a classical guitar string with asymmetric boundary conditions in Appendix B, and found an expression for  $f_q$  given by Eq. (60) that changes the correction due to bending stiffness from  $1 + 2B$  in Eq. (4) to  $1 + B$ . We check this result by assuming that Eq. (39) is valid for unwound (treble) monofilament strings, writing the linear bending stiffness term as  $1 + \alpha B$ , and then testing whether measurements of frequency errors yield  $\alpha = 1$ . Measuring the errors obtained with all three normal-tension unwound strings at the 12<sup>th</sup> fret of five factory-built classical guitars, we obtain  $\alpha = 1.1 \pm 0.2$ . Assuming that this value of  $\alpha$  is valid as well for the three wound (bass) strings, we then rewrite

Table 4: Derived physical properties of the D’Addario Pro-Arte Nylon Classical Guitar Strings – Normal Tension (EJ45). The corresponding scale length is 650 mm.

| String | $R$  | $\sigma$ | $\kappa$ | $B_0$   | $E_{\text{eff}}$ (GPa) |
|--------|------|----------|----------|---------|------------------------|
| J4501  | 23.6 | 0.5      | 48.2     | 0.00190 | 8.33                   |
| J4502  | 23.8 | 0.7      | 48.7     | 0.00220 | 4.82                   |
| J4503  | 28.8 | 0.6      | 58.6     | 0.00301 | 3.87                   |
| J4504  | 22.5 | 0.8      | 46.0     | 0.00192 | 7.56                   |
| J4505  | 23.9 | 0.7      | 48.7     | 0.00239 | 5.28                   |
| J4506  | 28.6 | 0.4      | 58.2     | 0.00321 | 3.90                   |

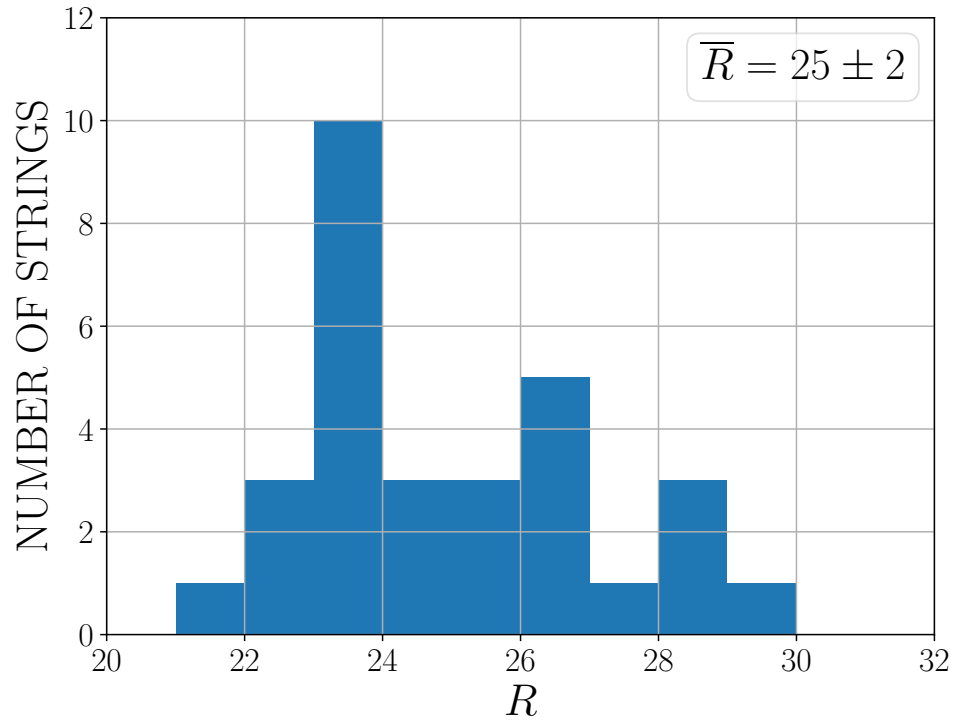


Figure 8: A histogram of the parameter  $R$  for all strings *except* those in the nylon light tension set presented in Appendix D.1, which seem to have anomalously high values.

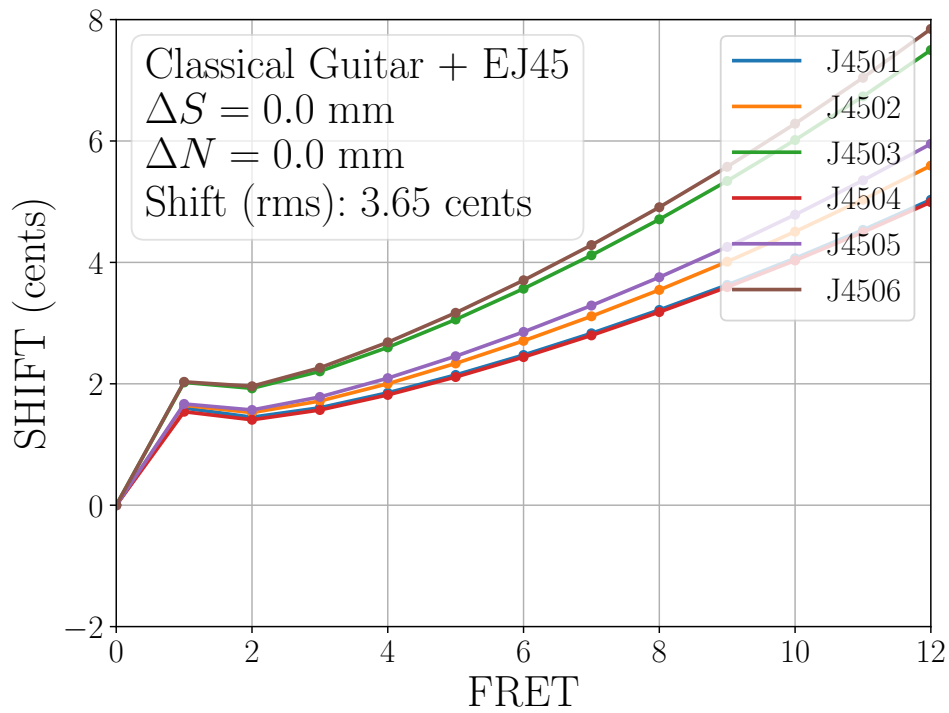


Figure 9: Frequency errors for an uncompensated Classical Guitar with normal tension nylon strings (D'Addario EJ45).

Eq. (39) as

$$B_0 = \sqrt{\kappa} \frac{\beta \rho}{X_0}, \quad (40)$$

where  $\rho$  is the string radius including both the core and the windings, and perform the same measurements of the frequency errors at the 12<sup>th</sup> frets. We find  $\beta = 0.47 \pm 0.15$ , which is consistent with the value  $\beta = 1/2$  chosen in Eq. (39). Although the standard deviations of these measurements are not small (20% for  $\alpha$  and 30% for  $\beta$ ), we'll continue to use Eq. (60) and Eq. (39) in our studies of compensation in the next section.

Therefore, adopting the physical properties of the normal string set listed in Table 4 and applying them to a computation of the frequency deviations for our standard classical guitar, we obtain the predictions shown in Fig. 9 using Eq. (11). Anticipating our treatment of exact compensation in Section 4 and Appendix C, we determine the root-mean-squared (RMS) average of the frequency deviations for each string. This mean (over the first 12 frets) can be computed by squaring the frequency deviations shown in Fig. 9, averaging those values, and then taking the square root of the result.

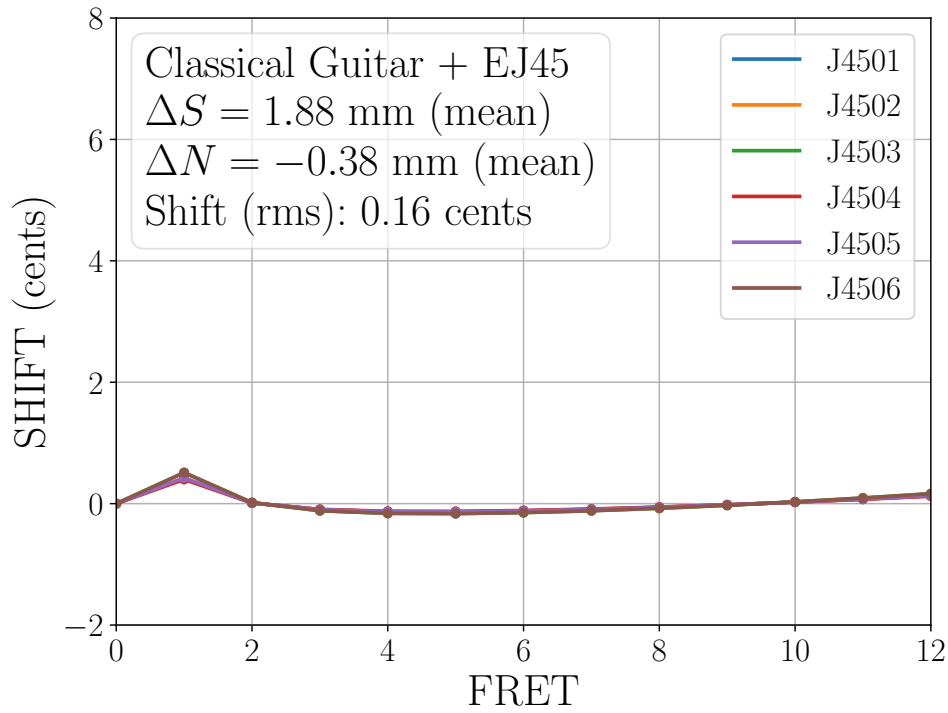
## 4 Classical Guitar Compensation

In Section 2, we noted that the bending stiffness and the increase in string tension due to fretting sharpen the pitch, but that we can flatten it with a positive saddle setback and negative nut setback. In Appendix C, we develop an RMS least-squares fit method that numerically solves Eq. (11) for the values of  $\Delta S$  and  $\Delta N$  that minimize the root-mean-square of the frequency errors of a string over a particular set of frets. In the case of our Classical Guitar with normal-tension nylon strings — shown in Fig. 9 for the case of zero setbacks — we use this method with  $d = 0$  to obtain the nonzero setbacks listed in Table 5. The corresponding frequency deviations are shown in Fig. 10a (assuming that all other aspects of the guitar remain unchanged). Of course, manufacturing a guitar with unique saddle and nut setbacks for each string (of a particular tension) can be challenging, so we also plot in Fig. 10b the shifts obtained by setting each of the values of  $\Delta S$  and  $\Delta N$  to the mean of the corresponding column in Table 5. In both cases, the RMS error is significantly smaller than that of the uncompensated guitar shown in Fig. 9.

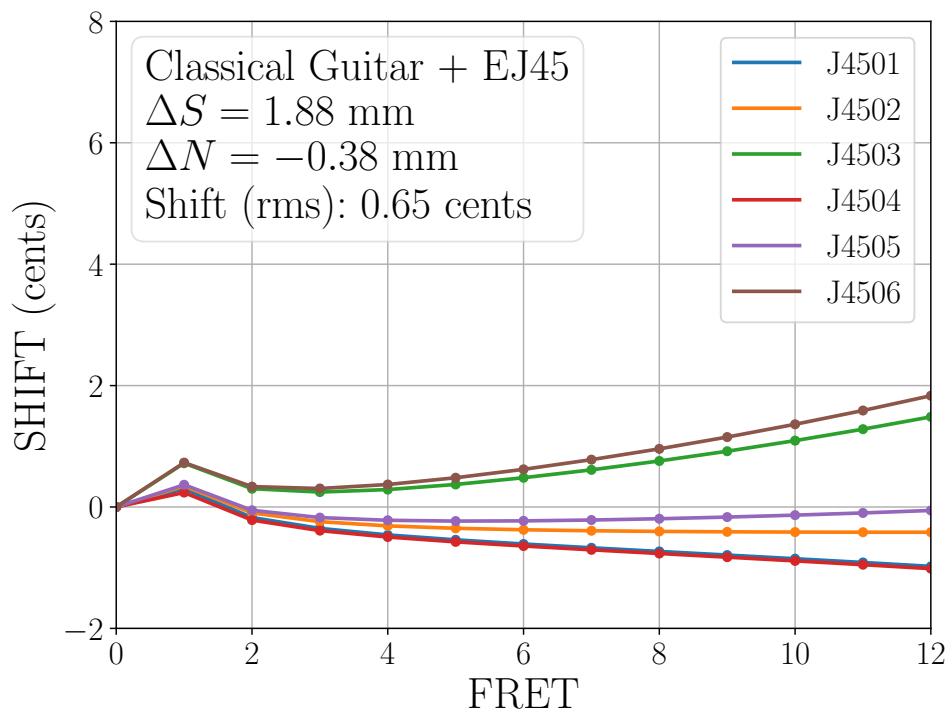
Table 5: Predicted setbacks for the D'Addario Pro-Arte Nylon Classical Guitar Strings – Normal Tension (EJ45) on the Classical Guitar.

| String | $\Delta S$ (mm) | $\Delta N$ (mm) | $\overline{\Delta v}_{\text{rms}}$ (cents) |
|--------|-----------------|-----------------|--|
| J4501  | 1.48            | -0.36           | 0.143                                      |
| J4502  | 1.69            | -0.36           | 0.145                                      |
| J4503  | 2.33            | -0.43           | 0.177                                      |
| J4504  | 1.49            | -0.34           | 0.137                                      |
| J4505  | 1.83            | -0.36           | 0.146                                      |
| J4506  | 2.46            | -0.43           | 0.177                                      |

This purely numerical approach using Eq. (11) is accurate but not illuminating. Let's build an intuitive understanding of how guitar compensation works, and then calculate approximate formulas for  $\Delta S$  and  $\Delta N$  that will help us appreciate the impact of particular choices of (for



(a) Full compensation



(b) Mean compensation

Figure 10: Frequency shifts (in cents) for the Classical Guitar with normal tension nylon strings (D'Addario EJ45). In (a) we use the individual values for each string that are listed in Table 5. In (b), we set  $\Delta S$  and  $\Delta N$  to the mean of the corresponding column in that table.

example)  $b$ ,  $c$ , and  $d$ . As in Fig. 5, let's choose the guitar and string properties listed in Table 1 and Table 2, and then vary  $\Delta S$  and  $\Delta N$  and use Eq. (11) to determine the pitch of the string at each fret. Figure 11 shows that increasing the saddle setback tends to rotate the pitch curve clockwise, and increasing the magnitude of the negative nut setback displaces the pitch curve almost uniformly downward. It appears that we can compensate the guitar by finding a value of  $\Delta S$  that results in values of  $\Delta v_n$  that are equal for, say, frets with  $n \geq 3$ , and then calculating a value of  $\Delta N$  that sets  $\Delta v_{12} = 0$ .

We'll use Eq. (31) and the approximation for  $Q_n$  given by Eq. (19). Let's set  $d = 0$ , and then treat  $y_n$  as a continuous variable. If we set  $d \Delta v_n / d y_n = 0$ , then we obtain

$$B_0 - \frac{\Delta S}{X_0} + \frac{\kappa}{4 X_0^2} \left[ (b + c)^2 - \frac{b^2}{(y_n - 1)^2} \right] = 0. \quad (41)$$

The average of  $(y_n - 1)^{-2}$  over the 3<sup>rd</sup> through 12<sup>th</sup> frets is about 7. Adopting this value, we substitute the resulting solution for  $\Delta S$  back into Eq. (31) with  $n = 12$  and  $d \neq 0$ , and solve for  $\Delta N$ . We finally obtain

$$\Delta S = B_0 X_0 + \frac{\kappa}{4 X_0} [(b + c)^2 - 7 b^2] - \frac{2 \kappa}{X_0^2} (2 b + c)^2 d, \text{ and} \quad (42a)$$

$$\Delta N = -\frac{\kappa}{2 X_0} (5 b + c) b - \frac{2 \kappa}{X_0^2} (2 b + c)^2 d. \quad (42b)$$

Note that we have subtracted the same correction for  $d \neq 0$  in  $\Delta N$  from  $\Delta S$ , because in our numerical studies using Eq. (11) we found that  $\Delta S - \Delta N$  had a constant value of approximately  $B_0 X_0 + (\kappa/4 X_0) (2b + c)^2$  across all of our string sets for  $0 \leq d \leq 10$  mm. These approximations are remarkably accurate given their origin: even when  $d = 10$  mm, they predict values of the setbacks that increase the residual RMS frequency errors obtained using our numerical approach by only 2 – 3%. We note that the largest contribution to the saddle setback is the product of the bending stiffness and the scale length, and that the nut setback can be reduced significantly by choosing a relatively small value of  $b$ . For example, luthiers often build the nut so that the center of every string is clamped at the same value above the fret board (e.g., 62.5 mils, or about 1.6 mm), so that  $b = 1.6 \text{ mm} - \rho - a$ . When  $a$  is 1 mm, the thicker strings have quite small values of  $b$ .

As mentioned above, it is nontrivial to manufacture a guitar with different setbacks for each string [8], and it is unlikely that the exact values listed in Table 5 are applicable to other string sets. We have measured the values of  $R$  for five other string sets, and in Appendix D we have reproduced the exact compensation procedure for them that we performed above for normal tension strings (with  $d = 0$ ). Although each set exhibits variation between strings (and with respect to other sets) in individual setbacks for each string, they are similar enough that we suspect that there is the potential for great simplification in guitar design. For example, following the analysis of Appendix C, it is possible to determine a single setback pair  $\{\Delta S, \Delta N\}$  that minimizes the RMS frequency errors of an ensemble of strings over a collection of frets simply by computing the mean of the setbacks over all strings, and then using these mean values when manufacturing the guitar. If we consider five of the six string sets we have measured here — neglecting the light tension strings because of their pathologically high values of  $R$  — we can plot the exact setback predictions shown in Fig. 12, and then use these results to predict the mean values. In Fig. 12a, we see that the saddle setbacks are reasonably well described in terms of the string radius  $\rho$  by the expression

$$\Delta S = (4.4 \pm 0.2) \rho. \quad (43)$$

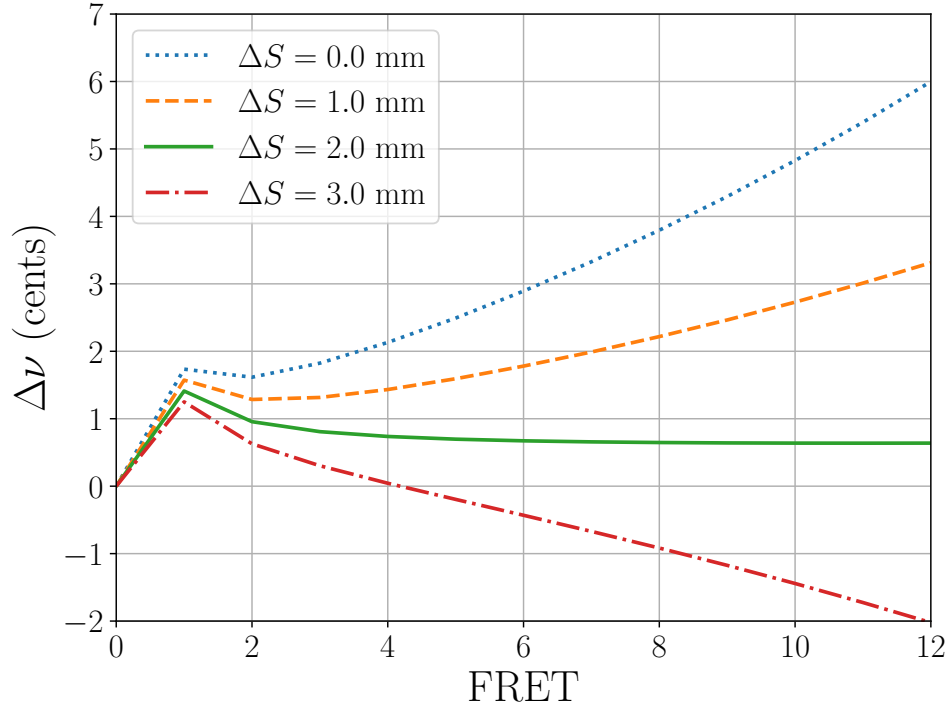
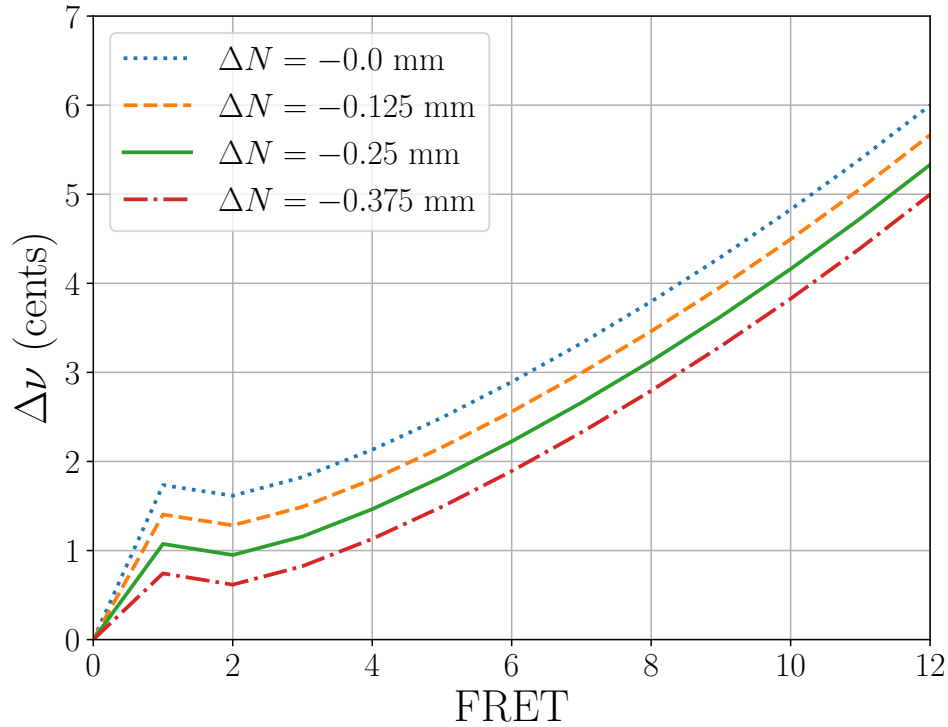
(a) Frequency shifts ( $\Delta N = 0$ )(b) Frequency shifts ( $\Delta S = 0$ )

Figure 11: In (a), we plot the frequency shifts for our classical guitar for several saddle setbacks with  $\Delta N = 0$ . Here we use the string parameters listed in Table 2. In (b), we set  $\Delta S = 0$  and plot the frequency shifts for several nut “setbacks.”



This relationship remains true for  $0 \leq d \leq 10$  mm. Therefore, we can either compute the mean of the saddle setbacks directly, or using the average value of the string radii ( $\bar{\rho} = 0.43 \pm 0.08$  mm). Either way, we obtain  $\overline{\Delta S} = 1.8 \pm 0.4$  mm. Similarly, in Fig. 12b, we show a histogram of the values of the nut setbacks, and compute the mean value  $\Delta N = -0.38 \pm 0.03$  mm, which we recall is proportional to the scale length  $X_0$ . Note that these results are remarkably similar to the values used in Fig. 10b; if we plot the frequency deviations of those five string sets with these particular mean setback values, then we find that the maximum error always occurs at the twelfth fret, and it is always less than 1 cent. In the next section, we discuss a method to temper the guitar to reduce these errors further.

## 5 Tempering the Classical Guitar

Temperament: A compromise between the acoustic purity of theoretically exact intervals, and the harmonic discrepancies arising from their practical employment.

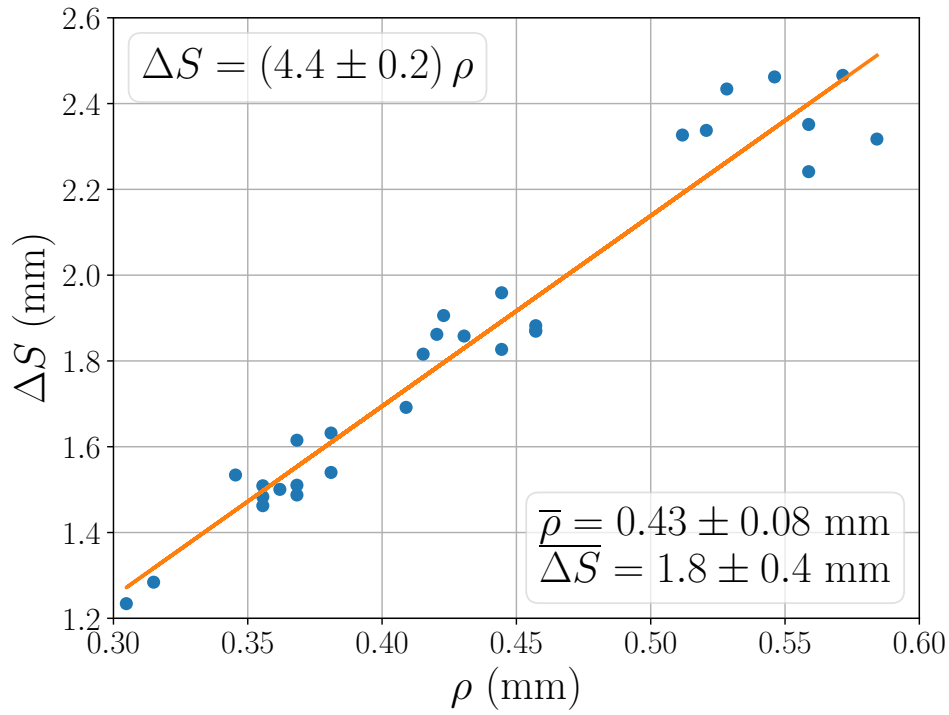
— Dr. Theo. Baker [39]

In Fig. 10b, a uniformly compensated classical guitar with normal tension strings tuned to 12-TET shows (of the treble strings) the third string has the greatest error in tuning across the fretboard. Tuning this guitar to 12-TET exacts a perfect-fifth in the third string while playing a C major chord in first position. This results in the third string being too sharp for the other common chords of E major (G#), A major, and D major (A), particularly when the guitar is played at a higher fret position. One way to reduce this error is by lowering the pitch of the third string below 12-TET with an electronic tuner. Another more comprehensive system is to tune all the strings harmonically to the fifth string, which lowers the third string by 7 cents as well as tempering the remaining strings.

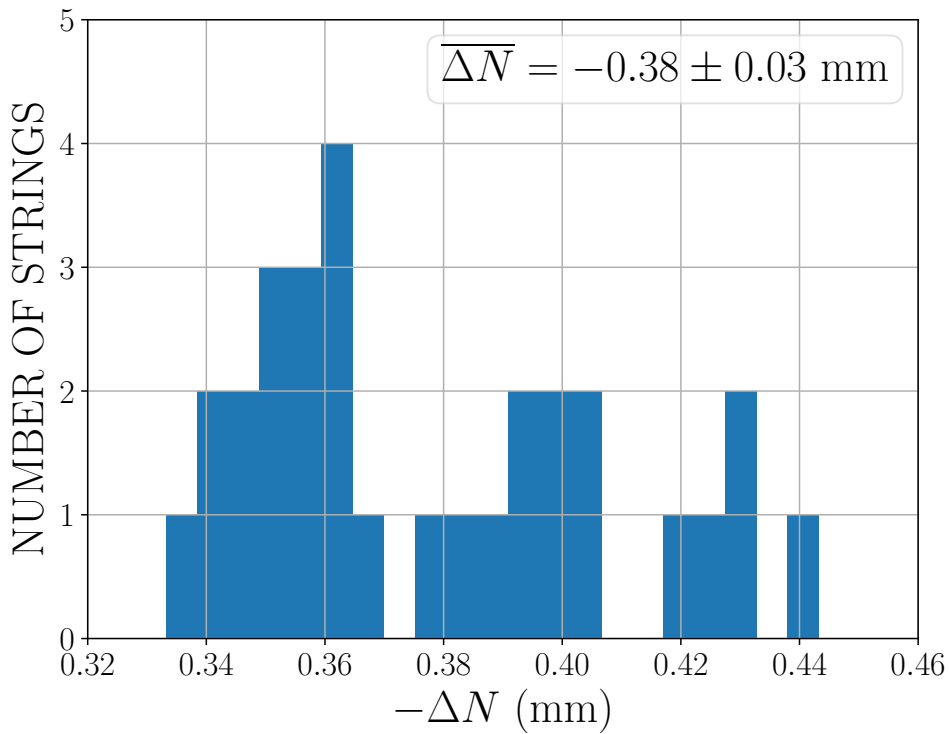
In this particular case, the “Harmonic Tuning Method” can be followed using these steps:

1. Begin by tuning the fifth string to  $A_2 = 110$  Hz, resulting in a fifth-fret harmonic of  $A_4 = 440$  Hz. (This can also be tuned by ear using an  $A_4$  tuning fork).
2. Tune that harmonic to the seventh fret harmonic of the fourth string, which is also  $A_4 = 440$  Hz.
3. Tune the seventh fret harmonic on the fifth string (330 Hz, or 0.37 Hz sharper than 12-TET  $E_4$ ) to the fifth-fret harmonic of the sixth string.
4. The seventh fret harmonic on the fifth string can tune the remaining fretted strings: the ninth fret on the third string, the fifth fret on the second string, and the open first string.

We have summarized these steps in Table 6, and in Fig. 13 we show the same guitar tuned in this fashion. Although the RMS shift over all strings is similar to that obtained by 12-TET tuning, the reduction in errors by strings 2 and 3 on the second and higher frets is significant. Note that other tuning choices can be made depending on the piece being played. For example, the third string could also be tuned at the second fret to  $A_3 = 220$  Hz using the fifth-string harmonic at the 12<sup>th</sup> fret, and/or the first string could be tuned at the fifth fret to  $A_4$  using the fifth-fret harmonic of the fifth string. The flexibility of the harmonic tuning method — and its reliance on only an  $A_4$  tuning fork — is a great asset for the classical guitarist. Of course, how the guitar string is plucked has an impact on the resulting tone, but we defer a discussion of this effect to the literature [40, 41].



(a) Five-set saddle setback data with fit



(b) Five-set nut setback data with mean

Figure 12: Construction of mean saddle and nut setbacks over five selected string sets. In (a) we plot the saddle setback for each string as a function of the string radius, with the result of the best linear fit. In (b), we present a histogram of the nut setbacks and compute their mean.

Table 6: Harmonic tuning methodology based on  $A_4$  and  $E_4$ . The asterisk indicates a harmonic with a null at the designated fret.

| Reference String/Fret | Target String/Fret |
|-----------------------|--------------------|
| $A^*/5$ ( $A_4$ )     | $D^*/7$            |
| $A^*/7$ ( $E_4$ )     | $E^*/5$            |
| $A^*/7$ ( $E_4$ )     | $G/9$              |
| $A^*/7$ ( $E_4$ )     | $B/5$              |
| $A^*/7$ ( $E_4$ )     | $E/0$              |

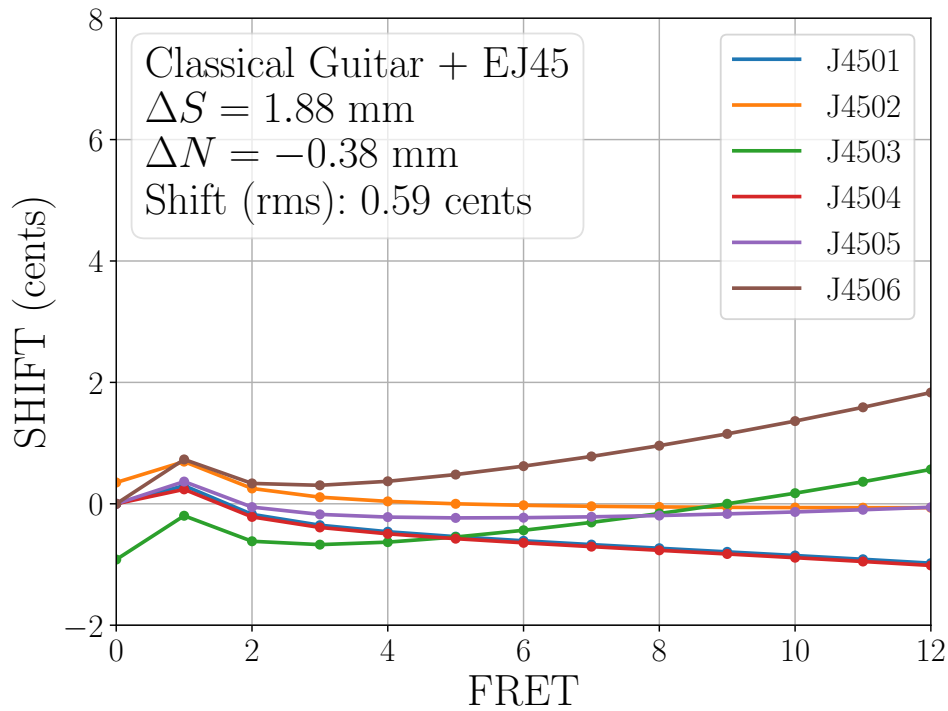


Figure 13: Frequency shift (in cents) for the mean compensated classical guitar with normal tension nylon strings (D'Addario EJ45) shown with 12-TET tuning in Fig. 10b. Here the same guitar has been harmonically tuned using the approach outlined in Table 6.

## 6 Conclusion: The Recipes

In this work, we have constructed a model of classical guitar intonation that includes the effects of the resonant length of the fretted string, linear mass density, tension, and bending stiffness. We have described a simple experimental approach to estimating the increase in string tension arising from an increase in its length, and then the corresponding mechanical stiffness. This allows us to determine the saddle and nut positions needed to compensate the guitar for a particular string, and we propose a simple approach to find averages of these positions to accommodate a variety of strings. This “mean” method benefits further from temperament techniques — such as harmonic tuning — that can enhance the intonation of the classical guitar for particular musical pieces.

Our calculations have relied on Eq. (6), which was derived by compromising for empirical reasons on symmetric boundary conditions and assuming that the string was pinned to the saddle rather than clamped. We then separated the contributions to the frequency deviations from ideal values caused by fretting by expressing these differences using the definition of logarithmic “cents” given by Eq. (2), resulting in the analytically exact expression for nonideal frequency shifts given by Eq. (11). We have used this equation to plot frequency errors at each of the first twelve frets for a prototypical Classical Guitar with a variety of compensation strategies based on an RMS fit method described in Appendix C. Because the height of each string above the frets is small compared to the scale length, there are Taylor series approximations of the terms in Eq. (11) that we used to derive Eq. (31) to guide our understanding of the underlying principles of guitar compensation. This intuition led us to approximate estimates of the ideal values of the saddle and nut setbacks given quite accurately by Eq. (42). These setback estimates can be averaged across the string set to design compensated nuts and saddles that should be relatively easy to fabricate. Nevertheless, we understand that high-end (concert) guitars that are likely to rely on one or two string sets (and the appropriate value of  $d$  for one guitar player) will benefit from the full, more accurate treatment of individual string setbacks.

From these results, we have been able to create two “recipes” — based on the RMS fit method and the Taylor-series-based approximation — that predict saddle and nut setbacks which enable the guitar to compensate for the frequency effects of fretting. Applying either of these algorithms to a particular guitar design always begins with the same five steps:

1. Determine the scale length of the guitar by doubling the distance between the inside edge of the nut and the center of the 12<sup>th</sup> fret.
2. Using Fig. 2 as a guide, carefully measure the values of  $b$  and  $c$ . It is possible that the luthier has selected a saddle with vertical curvature, resulting in different values of  $c$  for each string.
3. Estimate the relief  $\Delta y_{12}$  at the 12<sup>th</sup> fret for each string. Measure the action (height)  $y_{12}$  of the string above fret 12; then  $\Delta y_{12} = y_{12} - b - c/2$  and we rescale the height of the saddle above the nut to  $c + 2\Delta y_{12}$ .
4. Select a string set with values of  $\kappa$  and  $B_0$  listed in one of the derived physical properties tables in this paper, or follow the procedure developed in Section 3 to determine these quantities for a different string set.
5. Referring to Fig. 2 as a guide, choose a preferred value of the fretting distance  $d$  to account for the size of the finger.

The most accurate algorithm then adds one more step:

6. Use Eq. (67) to determine the saddle and nut setbacks for the selected string set.

Alternatively, a promising approximate approach can be followed:

6. Use Eq. (42) to compute the saddle and nut setbacks for the selected string set.

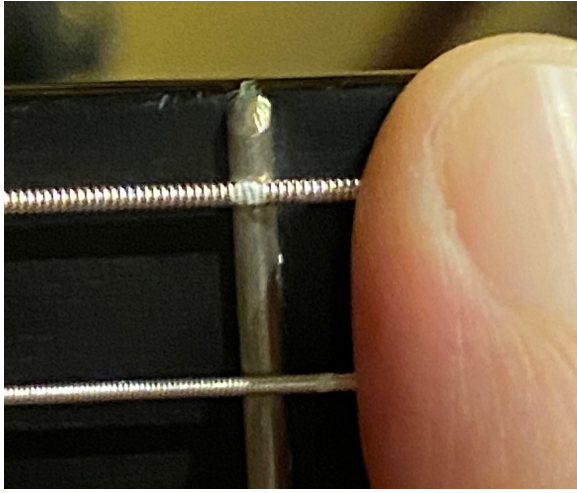
The BFGS nonlinear minimization method discussed in Appendix C can be used to refine either of these estimates further. But perhaps the simplest reasonably accurate compensation approach is to adopt the results of Fig. 12: compute the saddle setback using  $\Delta S = 4.4 \bar{\rho}$ , and select the nut setback to be  $\Delta N = -0.38 \times (650 \text{ mm}/X_0) \text{ mm}$ , or about 15 mils for a guitar with a scale length of 650 mm.

In the future, it could be worthwhile to study further the boundary conditions that result in the coefficient of the linear and quadratic stiffness terms in Eq. (6), taking into consideration the polarization of the string's vibration. Although we saw no frequency difference between the horizontal and vertical eigenmodes, it's possible that asymmetric decay rates may change the elliptical polarization of the string's vibration and therefore the effective boundary conditions (particularly at the saddle.) We measured the frequency deviations of monofilament strings at the twelfth fret of several guitars and were able to rule out a factor of 2 for the linear stiffness term in Eq. (6), but a more precise value would result in more accurate predictions of the saddle setback. (We speculate that this coefficient may also depend on the construction of the saddle.) Similarly, we measured the correct value for the radius of gyration of wound nylon strings to be  $\rho/2$  with a 30% standard deviation, which leaves some room for improvement. A numerical simulation using multiphysics software may be able to refine this value further. Finally, we are at a loss to understand the high  $R$  values of the light-tension string set that we measured; since they are not significantly different in volume mass density than the other sets we studied, we suspect that there is a different manufacturing process (such as chemical composition) at play.

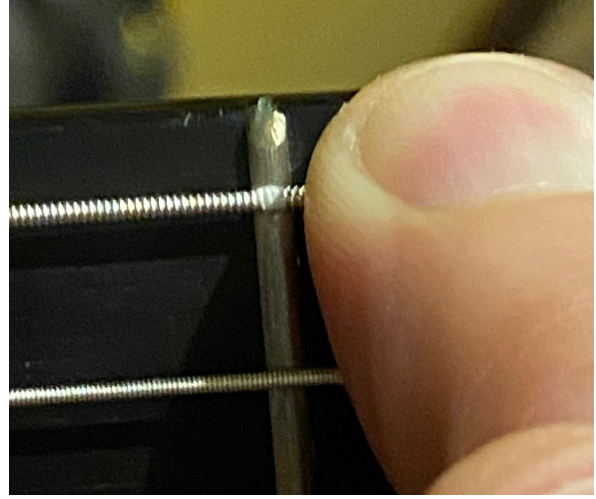
We have placed the text of this manuscript (as well as the computational tools needed to reproduce our numerical results and all the graphs presented here) online [29] to invite comment and contributions from and collaboration with interested luthiers and musicians.

## A Fretting Classical Guitar Strings

Previous studies of guitar intonation and compensation [8, 9] included a contribution to the incremental change in the length of the fretted string caused by both the depth and the shape of the string under the finger. As the string is initially pressed to the fret, the total length  $\mathcal{L}_n$  increases and causes the tension in the string to increase. When the string is pressed further, does the additional deformation of the string increase its tension (throughout the resonant length  $L_n$ )? There are at least two purely empirical reasons to doubt this hypothesis. First, as shown in Fig. 14, we can mark a string (with a small deposit of white correction fluid) above a particular fret and then observe the mark with a magnifying glass. As the string is pressed flat on the finger board with two fingers, the mark does not move perceptibly — it has become *clamped* on the fret. Second, we can use either our ears or a simple tool to measure frequencies [36] to listen for a shift as we apply different fingers and vary the fretted depth of a string. The apparent modulation is far less than would be obtained by classical vibrato ( $\pm 15$  cents) — which causes the mark on the string to move visibly — so we assume that once



(a) Before fretting



(b) After fretting

Figure 14: Location of a small marker of white correction fluid before and after fretting.

the string is minimally fretted the length(s) can be regarded as fixed. (If this were not the case, then fretting by different people or with different fingers, at a single string or with a barre, would cause additional, varying frequency shifts that would be audible and difficult to compensate.)

In Section 2, we have included this concept in a simple way to determine the effect it will have on the frequency shift due to increased string tension. First, as shown in Fig. 15, as the string is pressed onto the fret, its shape is described quite well by two line segments intersecting behind the fret. Here it is clear that the finger is shaped by the string more than the string is shaped by the finger. We have taken this observation into consideration in Fig. 2 by introducing such an intersection point at a distance  $d$  behind fret  $n$  to represent the slight increase in the distance  $L'_n$  caused by a finger. The consequences of this choice are discussed in Section 2.2, and the impact it has on (for example) the frequency error due to tension is shown in Fig. 3.

## B Vibration Frequencies of a Stiff String

Here we outline the calculation of the normal mode frequencies of a vibrating stiff string with non-symmetric boundary conditions. We begin with the transverse wave equation (including an Euler-Bernoulli term representing the restoring force due to the stiffness of the string) given by [31]

$$\mu \frac{\partial^2}{\partial t^2} y(x) = T \frac{\partial^2}{\partial x^2} y(x) - E \mathcal{A} s^2 \frac{\partial^4}{\partial x^4} y(x), \quad (44)$$

where  $\mu$  and  $T$  are respectively the linear mass density and the tension of the string,  $E$  is its Young's modulus (or the modulus of elasticity),  $\mathcal{A}$  is the cross-sectional area, and  $s$  is the radius of gyration of the string. (For a uniform cylindrical string/wire with radius  $\rho$ ,  $\mathcal{A} = \pi \rho^2$  and  $s = \rho/2$ .) If we scale  $x$  by the length  $L$  of the string, and  $t$  by  $1/\omega_0 \equiv (L/\pi)\sqrt{\mu/T}$ , then we obtain the dimensionless wave equation

$$\pi^2 \frac{\partial^2}{\partial t^2} y(x) = \frac{\partial^2}{\partial x^2} y(x) - B^2 \frac{\partial^4}{\partial x^4} y(x), \quad (45)$$



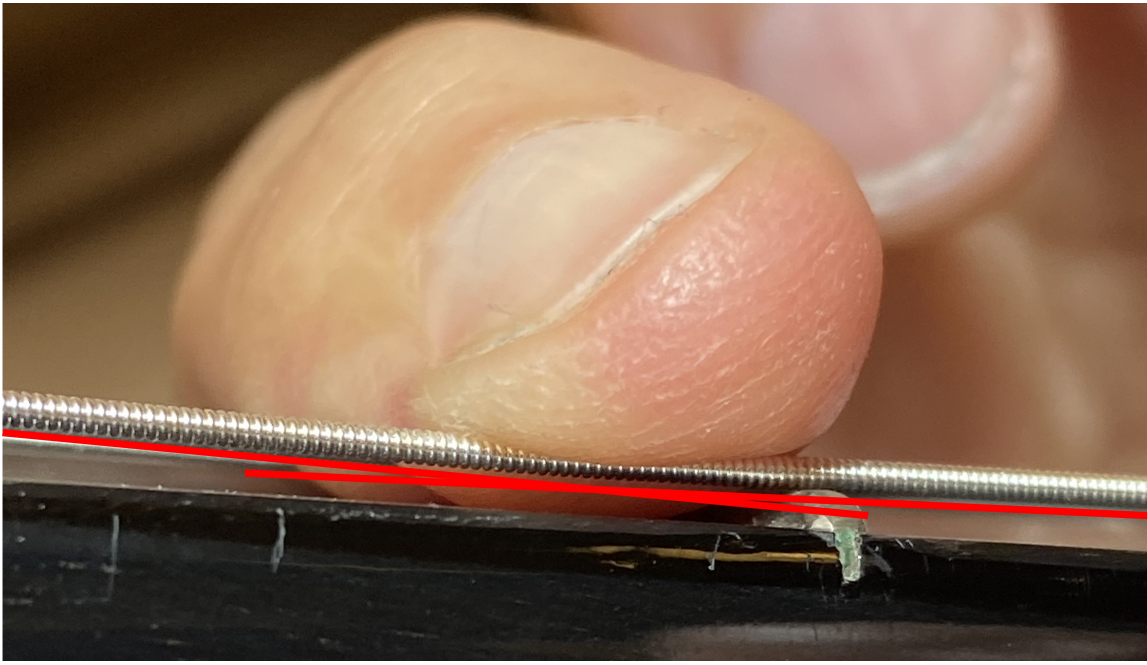


Figure 15: Photo of a wound nylon  $E_2$  string clamped at the first fret of a classical guitar. The shape of the fretted string can be well approximated by two line segments intersecting about 5–6 mm behind the fret.

where  $B$  is the “bending stiffness parameter” given by

$$B \equiv \sqrt{\frac{E \mathcal{A} s^2}{L^2 T}}. \quad (46)$$

We assume that  $y(x)$  is a sum of terms of the form

$$y(x) = C e^{kx - i\omega t}, \quad (47)$$

requiring that  $k$  and  $\omega$  satisfy the expression

$$B^2 k^4 - k^2 - (\pi \omega)^2 = 0, \quad (48)$$

or

$$k^2 = \frac{1 \pm \sqrt{1 + (2\pi B \omega)^2}}{2B^2}. \quad (49)$$

Therefore, given  $\omega$ , we have four possible choices for  $k$ :  $\pm k_1$ , or  $\pm i k_2$ , where

$$k_1^2 = \frac{\sqrt{1 + (2\pi B \omega)^2} + 1}{2B^2}, \text{ and} \quad (50a)$$

$$k_2^2 = \frac{\sqrt{1 + (2\pi B \omega)^2} - 1}{2B^2}. \quad (50b)$$

The corresponding general solution to Eq. (45) has the form

$$y(x) = e^{-i\omega t} \left( C_1^+ e^{k_1 x} + C_1^- e^{-k_1 x} + C_2^+ e^{ik_2 x} + C_2^- e^{-ik_2 x} \right). \quad (51)$$

As discussed in Section 2, we have decided that the boundary conditions for the case of a classical guitar string are not likely to be symmetric. At  $x = 0$  (the saddle), we assume that



the string is pinned (but not clamped), so that  $y = 0$  and  $\partial^2 y / \partial x^2 = 0$ . However, at  $x = 1$  (the fret) we assume that the string is clamped, so that  $y = 0$  and  $\partial y / \partial x = 0$ . Applying these constraints to Eq. (51), we obtain

$$0 = C_1^+ + C_1^- + C_2^+ + C_2^-, \quad (52a)$$

$$0 = k_1^2 (C_1^+ + C_1^-) - k_2^2 (C_2^+ + C_2^-), \quad (52b)$$

$$0 = C_1^+ e^{k_1} + C_1^- e^{-k_1} + C_2^+ e^{ik_2} + C_2^- e^{-ik_2}, \text{ and} \quad (52c)$$

$$0 = k_1 (C_1^+ e^{k_1} - C_1^- e^{-k_1}) + ik_2 (C_2^+ e^{ik_2} - C_2^- e^{-ik_2}). \quad (52d)$$

Since  $k_1^2 + k_2^2 \neq 0$ , the first two of these equations tell us that  $C_1^- = -C_1^+ \equiv -C_1$ , and  $C_2^- = -C_2^+ \equiv -C_2$ . Therefore, the second two equations become

$$C_1 \sinh(k_1) = -i C_2 \sin(k_2), \text{ and} \quad (53a)$$

$$k_1 C_1 \cosh(k_1) = -i k_2 C_2 \cos(k_2). \quad (53b)$$

Dividing the first of these equations by the second, we find

$$\tan(k_2) = \frac{k_2}{k_1} \tanh k_1. \quad (54)$$

From Eq. (50), we see that  $k_1^2 - k_2^2 = 1/B^2$ , so that

$$k_1 = \frac{1}{B} \sqrt{1 + (B k_2)^2}. \quad (55)$$

In the case of a classical guitar, we expect that  $B \ll 1$ , so  $k_1 \approx 1/B \gg 1$ , and therefore  $\tanh k_1 \rightarrow 1$ . Substituting Eq. (55) into Eq. (54), we obtain

$$\tan(k_2) = \frac{B k_2}{\sqrt{1 + (B k_2)^2}}. \quad (56)$$

We expect that  $B k_2 \ll 1$ , so we assume that  $k_2 = q\pi(1 + \epsilon)$ , where  $q \in \mathbb{N}$  is an integer greater than or equal to 1, and  $\epsilon \ll 1$ . Therefore, to second order in  $\epsilon$ , we have  $\tan(k_2) \approx q\pi\epsilon$ , and

$$\epsilon = \frac{B(1 + \epsilon)}{\sqrt{1 + [q\pi B(1 + \epsilon)]^2}}. \quad (57)$$

The denominator of the right-hand side of this equation has a Taylor expansion given by  $1 - \frac{1}{2} [q\pi B(1 + \epsilon)]^2$ , indicating that it will not contribute to  $\epsilon$  to second order in  $B$ . Therefore, to this order,

$$\epsilon \approx \frac{B}{1 - B} \approx B + B^2. \quad (58)$$

We substitute  $k = \pm ik_2$  into Eq. (48) with  $k_2 = q\pi/(1 - B)$  to obtain

$$\begin{aligned} \omega &= \frac{k_2}{\pi} \sqrt{1 + (B k_2)^2} \\ &= \frac{q}{1 - B} \sqrt{1 + q^2 \pi^2 \left( \frac{B}{1 - B} \right)^2} \\ &\approx q \left[ 1 + B + \left( 1 + \frac{1}{2} q^2 \pi^2 \right) B^2 \right]. \end{aligned} \quad (59)$$

Restoring the time scaling by  $1/\omega_0$ , and defining the frequency (in cycles/second)  $f = \omega/2\pi$ , we finally have

$$f_q = \frac{q}{2L} \sqrt{\frac{T}{\mu}} \left[ 1 + B + \left( 1 + \frac{1}{2} q^2 \pi^2 \right) B^2 \right]. \quad (60)$$

We use this result to build our model in Section 2.

## C Compensation by Minimizing RMS Error

The root-mean-square (RMS) frequency error (in cents) averaged over the frets  $n \in \{1, n_{\max}\}$  (for  $n_{\max} > 1$ ) of a particular string is given by

$$\overline{\Delta v}_{\text{rms}} \equiv \sqrt{\frac{\sum_{n=1}^{n_{\max}} \Delta v_n^2}{n_{\max}}}, \quad (61)$$

where  $\Delta v_n$  is given by Eq. (11). Here we will vary both  $\Delta S$  and  $\Delta N$  to minimize  $\overline{\Delta v}_{\text{rms}}$ . In this case, it is sufficient to minimize the quantity

$$\chi^2 = \sum_{n=1}^{n_{\max}} \left[ \frac{\ln(2)}{1200} \Delta v_n \right]^2 \quad (62)$$

such that the gradient of  $\chi^2$  with respect to  $\Delta S$  and  $\Delta N$  vanishes. Let's rewrite Eq. (11) as

$$\frac{\ln(2)}{1200} \Delta v_n = W_n + Z_n, \quad (63)$$

where

$$W_n = \ln \left( \frac{L_0}{y_n L_n} \right), \text{ and} \quad (64a)$$

$$Z_n = \ln \left[ \sqrt{\frac{\mu_0}{\mu_n} \frac{T_n}{T_0} \frac{1 + B_n + (1 + \pi^2/2) B_n^2}{1 + B_0 + (1 + \pi^2/2) B_0^2}} \right]. \quad (64b)$$

In Section 2, we determined that — for the purposes of estimating the values of the setbacks —  $W_n$  could be represented reasonably accurately by

$$W_n \approx \frac{\Delta N - (y_n - 1) \Delta S}{X_0}, \quad (65)$$

but for completeness we'll add the term in Eq. (14) that is quadratic in  $b$  and  $c$  to  $Z_n$ . Furthermore, we discovered that  $Z_n$  does not depend to second order on either  $\Delta S$  or  $\Delta N$ . Therefore, the components of the gradient of  $\chi^2$  are

$$\frac{\partial}{\partial \Delta S} \chi^2 = 2 \sum_n (W_n + Z_n) \frac{\partial W_n}{\partial \Delta S} = -\frac{2}{X_0} \sum_n (y_n - 1) (W_n + Z_n), \text{ and} \quad (66a)$$

$$\frac{\partial}{\partial \Delta N} \chi^2 = 2 \sum_n (W_n + Z_n) \frac{\partial W_n}{\partial \Delta N} = \frac{2}{X_0} \sum_n (W_n + Z_n). \quad (66b)$$

Setting each of these expressions to zero and solving them for  $\Delta S$  and  $\Delta N$ , we obtain

$$\Delta S = \frac{g_0 \bar{Z}_1 - g_1 \bar{Z}_0}{g_0 g_2 - g_1^2} X_0, \text{ and} \quad (67a)$$

$$\Delta N = -\frac{g_2 \bar{Z}_0 - g_1 \bar{Z}_1}{g_0 g_2 - g_1^2} X_0, \quad (67b)$$

where

$$g_k \equiv \sum_{n=1}^{n_{\max}} (y_n - 1)^k, \text{ and} \quad (68)$$

$$\bar{Z}_k \equiv \sum_{n=1}^{n_{\max}} (y_n - 1)^k Z_n. \quad (69)$$

The corresponding Hessian matrix for this problem is the symmetric matrix

$$H = \begin{bmatrix} \frac{\partial^2 \chi^2}{\partial \Delta S^2} & \frac{\partial^2 \chi^2}{\partial \Delta N \partial \Delta S} \\ \frac{\partial^2 \chi^2}{\partial \Delta S \partial \Delta N} & \frac{\partial^2 \chi^2}{\partial \Delta N^2} \end{bmatrix} = \frac{2}{X_0^2} \begin{bmatrix} g_2 & -g_1 \\ -g_1 & g_0 \end{bmatrix}. \quad (70)$$

We can apply the second partial derivative test to the Hessian to determine whether we've found an extremum of  $\chi^2$ . If the determinant of the Hessian is positive, and (in the case of a  $2 \times 2$  matrix) one of the diagonal elements is positive, then we have found a minimum. The determinant is greater than zero for  $n_{\max} \geq 2$ , and the second condition is satisfied by  $g_0 = n_{\max} > 0$  when  $n_{\max} \geq 1$ . Therefore, we can be confident that the solution for  $\Delta S$  and  $\Delta N$  given by Eq. (67) minimizes  $\chi^2$  accurately to first order in  $\Delta S$  and  $\Delta N$  provided that we are averaging over at least the first two frets. Note that the diagonal elements of the Hessian also allow us to estimate the increase in the residual RMS frequency error caused by small changes  $\delta s$  and  $\delta n$  in the saddle and nut setbacks respectively; we obtain

$$\overline{\delta v}_{\text{rms}} = \frac{1}{n_{\max} \overline{\Delta v}_{\text{rms}}} \left[ \frac{1200}{\ln(2)} \right]^2 \left[ g_2 \left( \frac{\delta s}{X_0} \right)^2 + g_0 \left( \frac{\delta n}{X_0} \right)^2 \right]. \quad (71)$$

We can further refine the predicted values of these setbacks to accommodate the small second-order terms in  $\Delta S$  and  $\Delta N$  neglected in the resonant length error approximation used in Eq. (65). Relying on Eq. (11) as the exact expression for the frequency error  $\Delta v_n$ , we can use Eq. (67) to provide initial values for a nonlinear minimization of  $\sum_n \Delta v_n^2$  over the first 12 frets. We adopt the quasi-Newton algorithm of Broyden, Fletcher, Goldfarb, and Shanno [42], a second-order algorithm for numerical optimization. Typically, this additional step changes the setback values by only a fraction of a percent. We'll refer to this approach as the "RMS Minimize" method, and we use it throughout this work to compute the setbacks for each string under study. Note that the approximate equations given by Eq. (42) also can be used to compute initial values for this final nonlinear minimization.

The setback solution given by Eq. (67) is valid for a single string, and results like those shown in Table 5 and Fig. 10a assume that the guitar is built such that each string — from a particular set of strings — has a unique saddle and nut setback. Suppose that we'd prefer to engineer a guitar with single, uniform values of both  $\Delta S$  and  $\Delta N$  that provide reasonable compensation across an entire string set (or an ensemble of strings from a variety of manufacturers). In this

case, Eq. (61) becomes

$$\overline{\Delta v}_{\text{rms}} \equiv \sqrt{\frac{\sum_{m=1}^{m_{\max}} \sum_{n=1}^{n_{\max}} [\Delta v_n^{(m)}]^2}{m_{\max} n_{\max}}}, \quad (72)$$

where  $m$  labels the strings in the set, and Eq. (63) has been updated to become

$$\frac{\ln(2)}{1200} \Delta v_n^{(m)} = W_n^{(m)} + Z_n^{(m)}, \quad (73)$$

If we rigorously follow the same approach that we used to arrive at Eq. (67), in the multi-string case we obtain

$$\Delta S = \frac{1}{m_{\max}} \sum_{m=1}^{m_{\max}} \Delta S^{(m)}, \text{ and} \quad (74a)$$

$$\Delta N = \frac{1}{m_{\max}} \sum_{m=1}^{m_{\max}} \Delta N^{(m)}, \quad (74b)$$

where

$$\Delta S^{(m)} = \frac{g_0 \bar{Z}_1^{(m)} - g_1 \bar{Z}_0^{(m)}}{g_0 g_2 - g_1^2} X_0, \text{ and} \quad (75a)$$

$$\Delta N^{(m)} = -\frac{g_2 \bar{Z}_0^{(m)} - g_1 \bar{Z}_1^{(m)}}{g_0 g_2 - g_1^2} X_0, \quad (75b)$$

reflecting the unique values of  $\kappa^{(m)}$  and  $B_0^{(m)}$  for each string in each set. In other words, we can find the optimum values for both  $\Delta S$  and  $\Delta N$  simply by averaging the corresponding setbacks over a commercially interesting collection of string sets.

## D Other Classical Guitar String Sets

### D.1 Light Tension – Nylon

Table 7: String specifications for the D’Addario Pro-Arte Nylon Classical Guitar Strings – Light Tension (EJ43). The corresponding scale length is 650 mm.

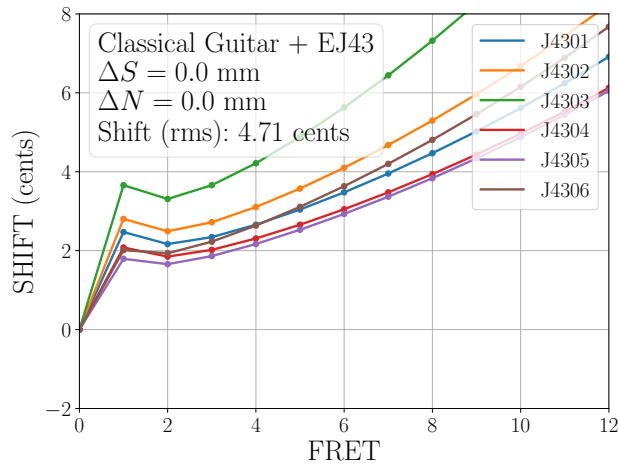
| String | Note           | $\rho$ (mm) | $\mu$ (mg/mm) | $T_0$ (N) |
|--------|----------------|-------------|---------------|-----------|
| J4301  | E <sub>4</sub> | 0.349       | 0.361         | 66.4      |
| J4302  | B <sub>3</sub> | 0.403       | 0.487         | 50.2      |
| J4303  | G <sub>3</sub> | 0.504       | 0.808         | 52.5      |
| J4304  | D <sub>3</sub> | 0.356       | 1.822         | 66.4      |
| J4305  | A <sub>2</sub> | 0.419       | 2.741         | 56.0      |
| J4306  | E <sub>2</sub> | 0.533       | 5.158         | 59.2      |

Table 8: Derived physical properties of the D’Addario Pro-Arte Nylon Classical Guitar Strings – Light Tension (EJ43). The corresponding scale length is 650 mm.

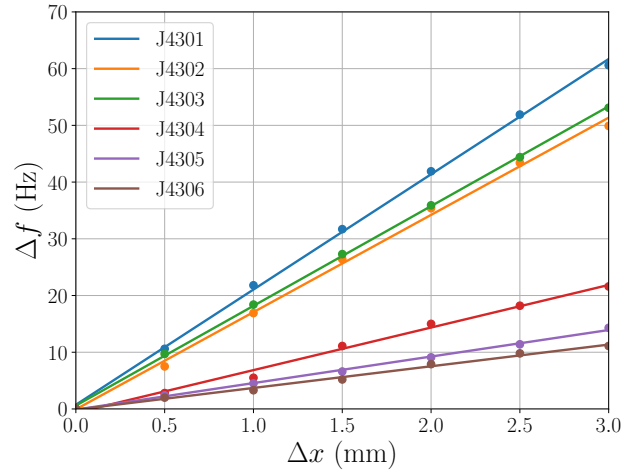
| String | $R$  | $\sigma$ | $\kappa$ | $B_0$   | $E_{\text{eff}}$ (GPa) |
|--------|------|----------|----------|---------|------------------------|
| J4301  | 37.8 | 0.5      | 76.6     | 0.00235 | 13.28                  |
| J4302  | 42.6 | 1.0      | 86.2     | 0.00287 | 8.50                   |
| J4303  | 55.0 | 0.4      | 111.1    | 0.00409 | 7.30                   |
| J4304  | 31.4 | 1.2      | 63.7     | 0.00218 | 10.65                  |
| J4305  | 26.1 | 0.5      | 53.2     | 0.00235 | 5.40                   |
| J4306  | 28.5 | 1.1      | 57.9     | 0.00312 | 3.83                   |

Table 9: Predicted setbacks for the D’Addario Pro-Arte Nylon Classical Guitar Strings – Light Tension (EJ43) on the Classical Guitar.

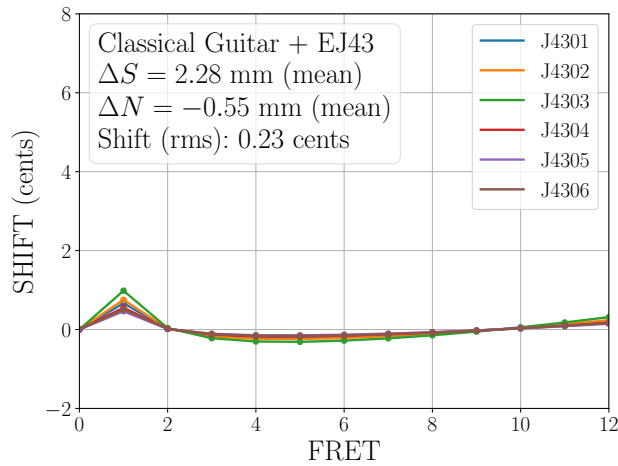
| String | $\Delta S$ (mm) | $\Delta N$ (mm) | $\overline{\Delta v}_{\text{rms}}$ (cents) |
|--------|-----------------|-----------------|--|
| J4301  | 1.95            | -0.57           | 0.229                                      |
| J4302  | 2.37            | -0.64           | 0.259                                      |
| J4303  | 3.39            | -0.82           | 0.339                                      |
| J4304  | 1.76            | -0.47           | 0.190                                      |
| J4305  | 1.83            | -0.39           | 0.159                                      |
| J4306  | 2.40            | -0.43           | 0.175                                      |



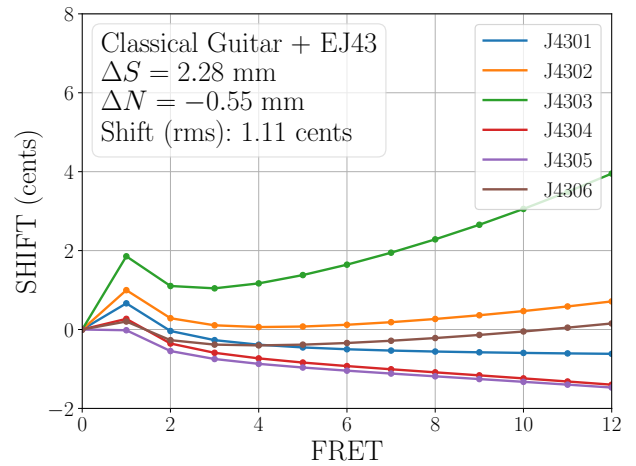
(a) Uncompensated



(b) Linear fits



(c) Full compensation



(d) Mean compensation

Figure 16: Frequency shift (in cents) for a classical guitar with D'Addario Pro-Arte Nylon Classical Guitar Strings - Light Tension (EJ43). Four different strategies of saddle and nut compensation are illustrated.

## D.2 Hard Tension – Nylon

Table 10: String specifications for the D’Addario Pro-Arte Nylon Classical Guitar Strings – Hard Tension (EJ46). The corresponding scale length is 650 mm.

| String | Note           | $\rho$ (mm) | $\mu$ (mg/mm) | $T_0$ (N) |
|--------|----------------|-------------|---------------|-----------|
| J4601  | E <sub>4</sub> | 0.362       | 0.386         | 70.9      |
| J4602  | B <sub>3</sub> | 0.415       | 0.522         | 53.8      |
| J4603  | G <sub>3</sub> | 0.521       | 0.856         | 55.6      |
| J4604  | D <sub>3</sub> | 0.381       | 2.007         | 73.1      |
| J4605  | A <sub>2</sub> | 0.457       | 3.486         | 71.3      |
| J4606  | E <sub>2</sub> | 0.559       | 5.666         | 65.0      |

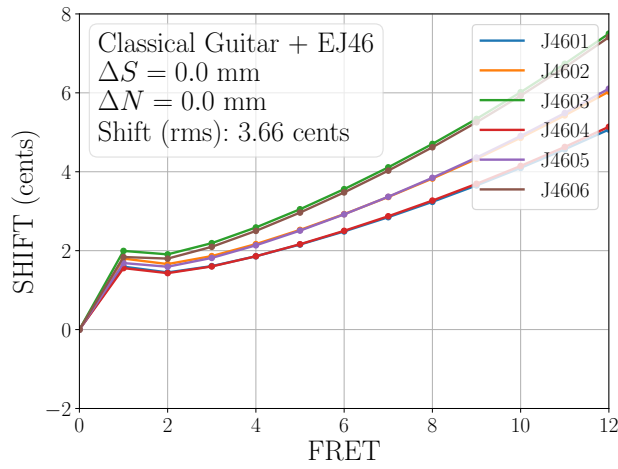
Table 11: Derived physical properties of the D’Addario Pro-Arte Nylon Classical Guitar Strings – Hard Tension (EJ46).

| String | $R$  | $\sigma$ | $\kappa$ | $B_0$   | $E_{\text{eff}}$ (GPa) |
|--------|------|----------|----------|---------|------------------------|
| J4601  | 23.5 | 0.5      | 47.9     | 0.00193 | 8.25                   |
| J4602  | 26.2 | 0.3      | 53.5     | 0.00234 | 5.31                   |
| J4603  | 28.3 | 1.0      | 57.5     | 0.00304 | 3.75                   |
| J4604  | 22.7 | 0.3      | 46.4     | 0.00200 | 7.43                   |
| J4605  | 24.0 | 0.2      | 49.0     | 0.00246 | 5.32                   |
| J4606  | 25.5 | 0.3      | 51.9     | 0.00310 | 3.44                   |

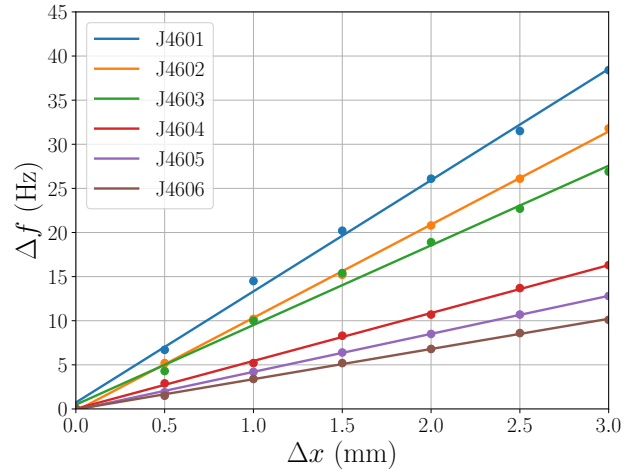
Table 12: Predicted setbacks for the D’Addario Pro-Arte Nylon Classical Guitar Strings – Hard Tension (EJ46) on the Classical Guitar.

| String | $\Delta S$ (mm) | $\Delta N$ (mm) | $\overline{\Delta v}_{\text{rms}}$ (cents) |
|--------|-----------------|-----------------|--|
| J4601  | 1.50            | -0.36           | 0.143                                      |
| J4602  | 1.82            | -0.40           | 0.160                                      |
| J4603  | 2.34            | -0.42           | 0.174                                      |
| J4604  | 1.54            | -0.35           | 0.138                                      |
| J4605  | 1.88            | -0.36           | 0.147                                      |
| J4606  | 2.35            | -0.38           | 0.158                                      |

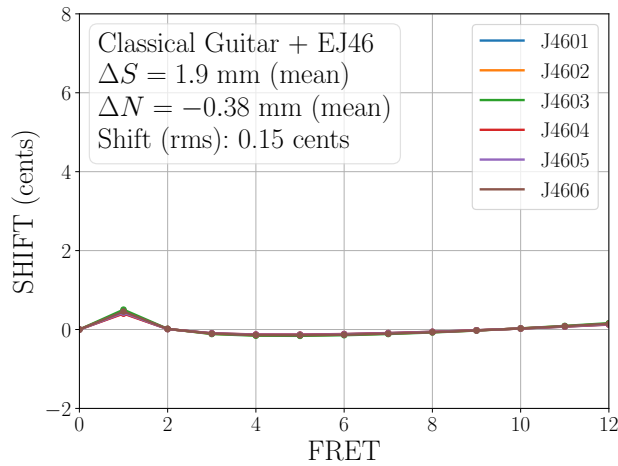




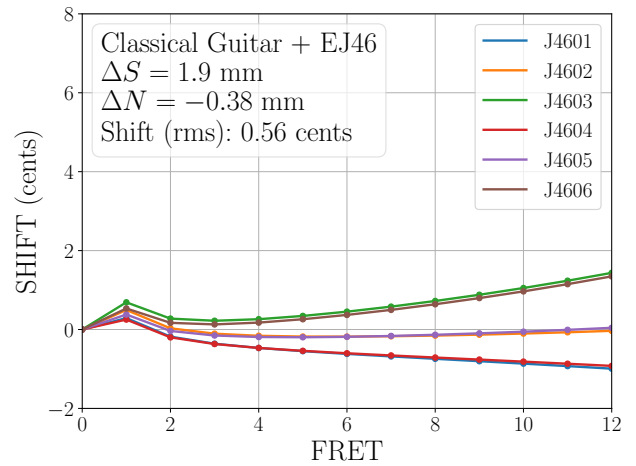
(a) Uncompensated



(b) Linear fits



(c) Full compensation



(d) Mean compensation

Figure 17: Frequency shift (in cents) for a classical guitar with D'Addario Pro-Arte Nylon Classical Guitar Strings - Hard Tension (EJ46). Four different strategies of saddle and nut compensation are illustrated.

### D.3 Extra Hard Tension – Nylon

Table 13: String specifications for the D’Addario Pro-Arte Nylon Classical Guitar Strings – Extra Hard Tension (EJ44). The corresponding scale length is 650 mm.

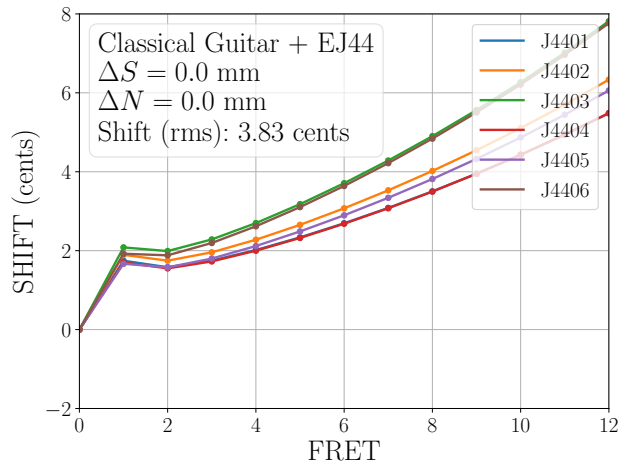
| String | Note           | $\rho$ (mm) | $\mu$ (mg/mm) | $T_0$ (N) |
|--------|----------------|-------------|---------------|-----------|
| J4401  | E <sub>4</sub> | 0.368       | 0.401         | 73.6      |
| J4402  | B <sub>3</sub> | 0.423       | 0.544         | 56.1      |
| J4403  | G <sub>3</sub> | 0.528       | 0.891         | 57.8      |
| J4404  | D <sub>3</sub> | 0.381       | 2.007         | 73.1      |
| J4405  | A <sub>2</sub> | 0.457       | 3.486         | 71.3      |
| J4406  | E <sub>2</sub> | 0.571       | 6.134         | 70.4      |

Table 14: Derived physical properties of the D’Addario Pro-Arte Nylon Classical Guitar Strings – Extra Hard Tension (EJ44). The corresponding scale length is 650 mm.

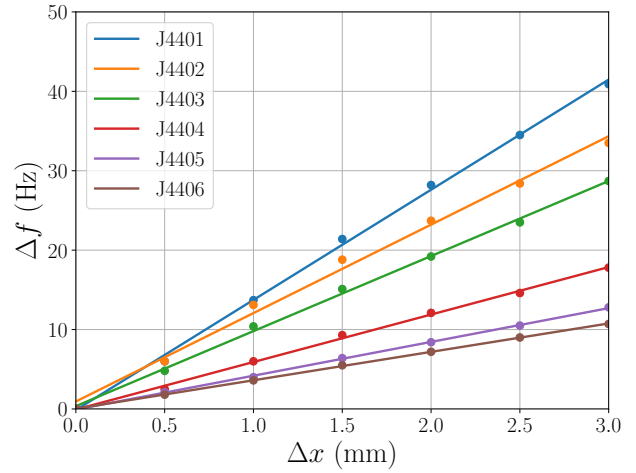
| String | $R$  | $\sigma$ | $\kappa$ | $B_0$   | $E_{\text{eff}}$ (GPa) |
|--------|------|----------|----------|---------|------------------------|
| J4401  | 25.8 | 0.4      | 52.6     | 0.00206 | 9.09                   |
| J4402  | 27.7 | 0.9      | 56.3     | 0.00244 | 5.62                   |
| J4403  | 29.6 | 0.6      | 60.2     | 0.00315 | 3.97                   |
| J4404  | 25.0 | 0.5      | 51.0     | 0.00209 | 8.17                   |
| J4405  | 23.7 | 0.2      | 48.5     | 0.00245 | 5.26                   |
| J4406  | 26.6 | 0.2      | 54.3     | 0.00324 | 3.72                   |

Table 15: Predicted setbacks for the D’Addario Pro-Arte Nylon Classical Guitar Strings – Extra Hard Tension (EJ44) on the Classical Guitar.

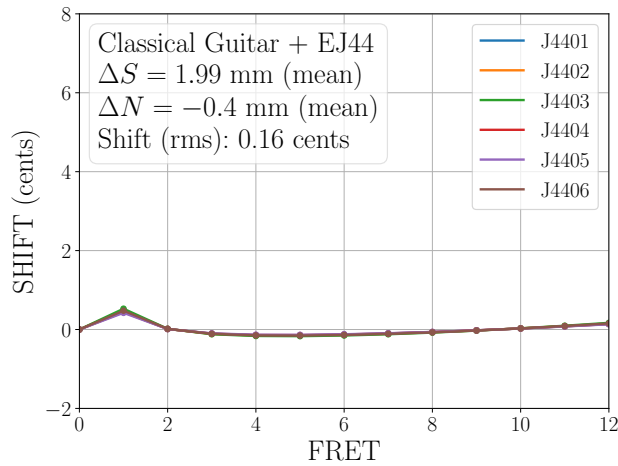
| String | $\Delta S$ (mm) | $\Delta N$ (mm) | $\overline{\Delta v}_{\text{rms}}$ (cents) |
|--------|-----------------|-----------------|--|
| J4401  | 1.62            | -0.39           | 0.157                                      |
| J4402  | 1.91            | -0.42           | 0.169                                      |
| J4403  | 2.43            | -0.44           | 0.182                                      |
| J4404  | 1.63            | -0.38           | 0.152                                      |
| J4405  | 1.87            | -0.36           | 0.145                                      |
| J4406  | 2.47            | -0.40           | 0.165                                      |



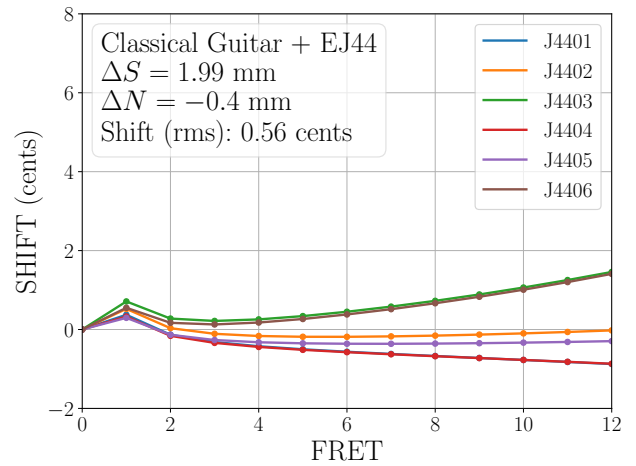
(a) Uncompensated



(b) Linear fits



(c) Full compensation



(d) Mean compensation

Figure 18: Frequency shift (in cents) for a classical guitar with D'Addario Pro-Arte Nylon Classical Guitar Strings - Extra Hard Tension (EJ44). Four different strategies of saddle and nut compensation are illustrated.

## D.4 Normal Tension – Carbon

Table 16: String specifications for the D’Addario Pro-Arte Carbon Classical Guitar Strings – Normal Tension (EJ45FF). The corresponding scale length is 650 mm.

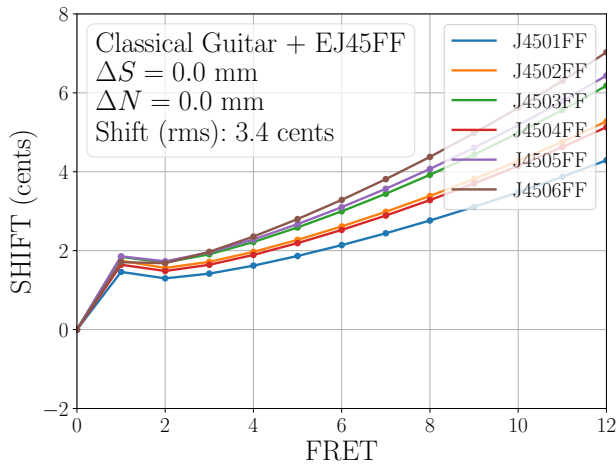
| String  | Note           | $\rho$ (mm) | $\mu$ (mg/mm) | $T_0$ (N) |
|---------|----------------|-------------|---------------|-----------|
| J4501FF | E <sub>4</sub> | 0.305       | 0.464         | 85.3      |
| J4502FF | B <sub>3</sub> | 0.345       | 0.607         | 62.6      |
| J4503FF | G <sub>3</sub> | 0.420       | 0.893         | 58.0      |
| J4504FF | D <sub>3</sub> | 0.356       | 1.643         | 59.9      |
| J4505FF | A <sub>2</sub> | 0.445       | 3.089         | 63.2      |
| J4506FF | E <sub>2</sub> | 0.559       | 5.715         | 65.6      |

Table 17: Derived physical properties of the D’Addario Pro-Arte Carbon Classical Guitar Strings – Normal Tension (EJ45FF). The corresponding scale length is 650 mm.

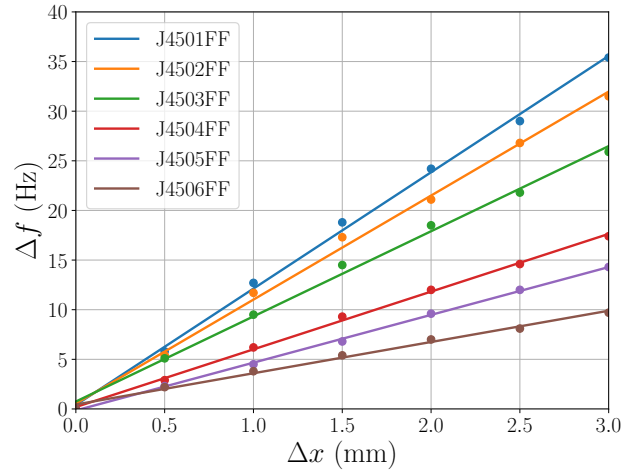
| String  | $R$  | $\sigma$ | $\kappa$ | $B_0$   | $E_{\text{eff}}$ (GPa) |
|---------|------|----------|----------|---------|------------------------|
| J4501FF | 21.8 | 0.4      | 44.6     | 0.00157 | 13.04                  |
| J4502FF | 26.0 | 0.6      | 53.1     | 0.00194 | 8.86                   |
| J4503FF | 26.9 | 0.8      | 54.7     | 0.00239 | 5.71                   |
| J4504FF | 24.3 | 0.4      | 49.6     | 0.00193 | 7.47                   |
| J4505FF | 26.9 | 0.4      | 54.7     | 0.00253 | 5.57                   |
| J4506FF | 23.5 | 0.9      | 47.9     | 0.00298 | 3.20                   |

Table 18: Predicted setbacks for the D’Addario Pro-Arte Carbon Classical Guitar Strings – Normal Tension (EJ45FF) on the Classical Guitar.

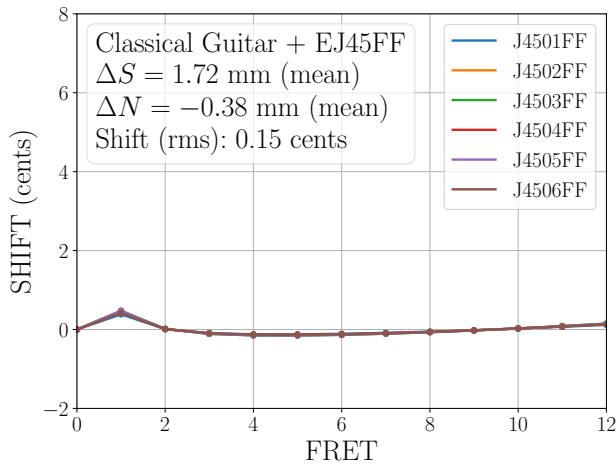
| String  | $\Delta S$ (mm) | $\Delta N$ (mm) | $\overline{\Delta v}_{\text{rms}}$ (cents) |
|---------|-----------------|-----------------|--|
| J4501FF | 1.23            | -0.33           | 0.132                                      |
| J4502FF | 1.53            | -0.40           | 0.158                                      |
| J4503FF | 1.86            | -0.41           | 0.164                                      |
| J4504FF | 1.51            | -0.37           | 0.148                                      |
| J4505FF | 1.96            | -0.41           | 0.164                                      |
| J4506FF | 2.24            | -0.35           | 0.145                                      |



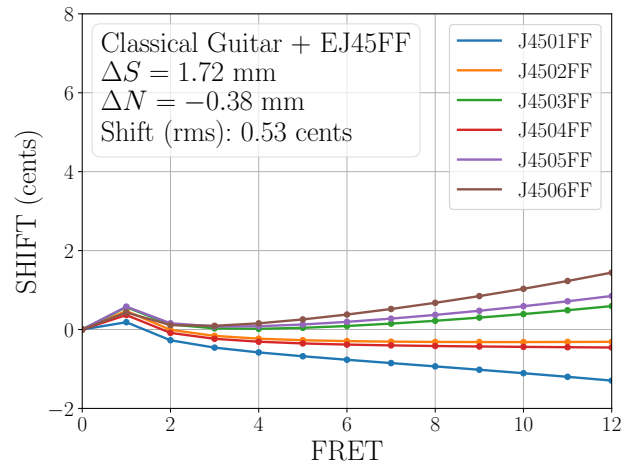
(a) Uncompensated



(b) Linear fits



(c) Full compensation



(d) Mean compensation

Figure 19: Frequency shift (in cents) for a classical guitar with D'Addario Pro-Arte Carbon Classical Guitar Strings – Normal Tension (EJ45FF). Four different strategies of saddle and nut compensation are illustrated.

## D.5 Hard Tension – Carbon

Table 19: String specifications for the D’Addario Pro-Arte Carbon Classical Guitar Strings – Hard Tension (EJ46FF). The corresponding scale length is 650 mm.

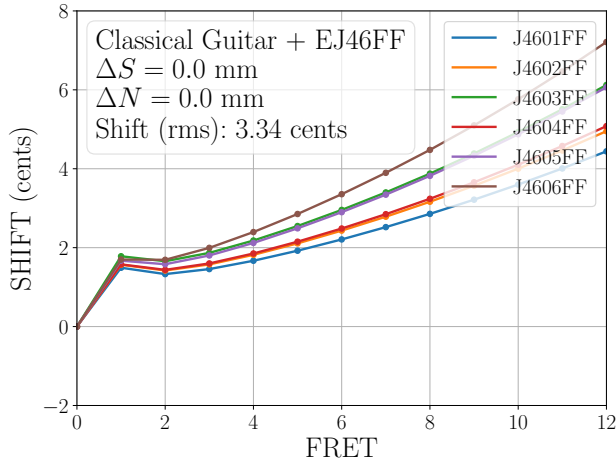
| String  | Note           | $\rho$ (mm) | $\mu$ (mg/mm) | $T_0$ (N) |
|---------|----------------|-------------|---------------|-----------|
| J4601FF | E <sub>4</sub> | 0.315       | 0.500         | 91.8      |
| J4602FF | B <sub>3</sub> | 0.356       | 0.643         | 66.3      |
| J4603FF | G <sub>3</sub> | 0.431       | 0.946         | 61.4      |
| J4604FF | D <sub>3</sub> | 0.368       | 1.839         | 67.0      |
| J4605FF | A <sub>2</sub> | 0.457       | 3.554         | 72.7      |
| J4606FF | E <sub>2</sub> | 0.584       | 6.125         | 70.3      |

Table 20: Derived physical properties of the D’Addario Pro-Arte Carbon Classical Guitar Strings – Hard Tension (EJ46FF). The corresponding scale length is 650 mm.

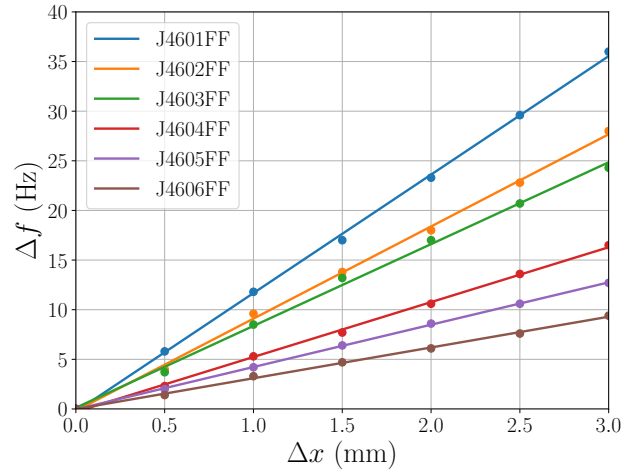
| String  | $R$  | $\sigma$ | $\kappa$ | $B_0$   | $E_{\text{eff}}$ (GPa) |
|---------|------|----------|----------|---------|------------------------|
| J4601FF | 22.2 | 0.3      | 45.4     | 0.00163 | 13.38                  |
| J4602FF | 23.1 | 0.4      | 47.1     | 0.00188 | 7.86                   |
| J4603FF | 25.8 | 0.6      | 52.6     | 0.00240 | 5.55                   |
| J4604FF | 23.1 | 0.4      | 47.2     | 0.00195 | 7.42                   |
| J4605FF | 23.8 | 0.2      | 48.6     | 0.00245 | 5.37                   |
| J4606FF | 23.1 | 0.4      | 47.2     | 0.00309 | 3.09                   |

Table 21: Predicted setbacks for the D’Addario Pro-Arte Carbon Classical Guitar Strings – Hard Tension (EJ46FF) on the Classical Guitar.

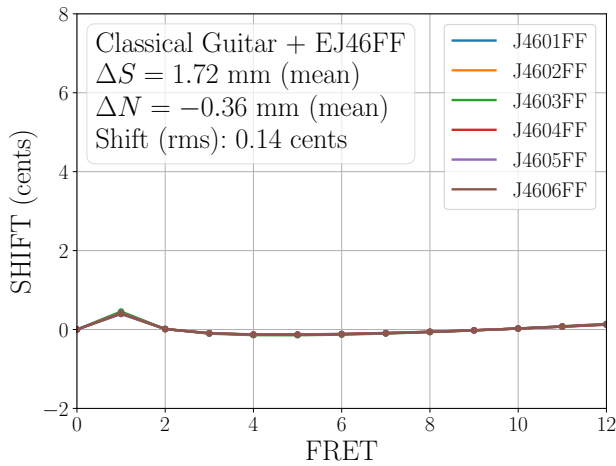
| String  | $\Delta S$ (mm) | $\Delta N$ (mm) | $\overline{\Delta v}_{\text{rms}}$ (cents) |
|---------|-----------------|-----------------|--|
| J4601FF | 1.28            | -0.34           | 0.135                                      |
| J4602FF | 1.46            | -0.35           | 0.140                                      |
| J4603FF | 1.86            | -0.39           | 0.158                                      |
| J4604FF | 1.51            | -0.35           | 0.140                                      |
| J4605FF | 1.87            | -0.36           | 0.146                                      |
| J4606FF | 2.32            | -0.35           | 0.143                                      |



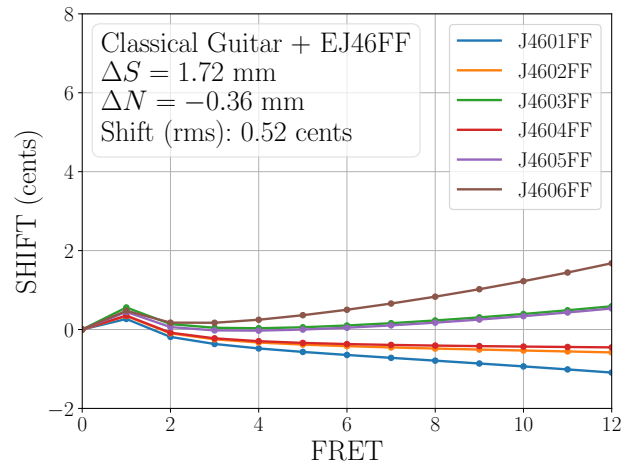
(a) Uncompensated



(b) Linear fits



(c) Full compensation



(d) Mean compensation

Figure 20: Frequency shift (in cents) for a classical guitar with D'Addario Pro-Arte Carbon Classical Guitar Strings - Hard Tension (EJ46FF). Four different strategies of saddle and nut compensation are illustrated.



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