

# COMP20007 Design of Algorithms

## Dynamic Programming Part 2: Knapsack Problem

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# Knapsack

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

capacity = 5

#	weight	value
(1)	2	12
(2)	1	10
(3)	3	20
(4)	2	15
(1,2)	3	22
(1,3)	5	32
(1,4)	4	27
(2,3)	4	30
(2,4)	3	25
(3,4)	5	35
(1,2,3)	6	42
(1,2,4)	5	37
(1,3,4)	7	47
(2,3,4)	6	45
(1,2,3,4)	8	57

KNAPSACK = F

$$F((1,2), 5) = (1,2)$$

$$F((1,2,3), 5) = (1,3)$$

⌋

item	weight	value
1	2	\$12
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capacity = 5 = W

iterate over items  $i = 0 \dots n$   
iterate over capacity  $j = 0 \dots W$

now KNAPSACK  $K = F(i, j)$

max value  $F(n, W)$

base case:  $F(0, j) = 0$ ,  $F(i, 0) = 0$   
 $\downarrow$  0 item, 1 capacity  $\downarrow$  1 item, 0 capacity

find  $F(i, j) = ? F(i-1, j)$

if  $w_i > j$ :  $F(i, j) = F(i-1, j)$

else  $w_i \leq j$ :

if  $i \notin \text{solution}$ :  $F(i, j) = F(i-1, j)$

else  $i \in \text{solution}$ :  $F(i, j) = V_i + F(i-1, j - w_i)$   
 $\downarrow$  value of  $i$   $\downarrow$  capacity -  $w_i$

# The Knapsack Problem

Given  $n$  items with

- weights:  $w_1, w_2, \dots, w_n$
- values:  $v_1, v_2, \dots, v_n$
- knapsack of capacity  $W$

find the most valuable selection of items that will fit in the knapsack.

# The Knapsack Problem

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We assume that all entities involved are positive integers.

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CAPACITY = 5

		capacity j →						
item i ↓		0	1	2	3	4	5	
	0	0	0	0	0	0	0	
1	1	0	0	12	12	12	12	
2	2	0	10	12	22	22	22	
3	3	0	10	12	22	30	32	fail for capacity 1 & 2 since $w_3 = 3$ (can't fit)
4	4	0	10	15	25	30	37	

$\downarrow$   
 $(10+15)$   
 $vs. 22$

$\downarrow$   
 $(32 vs 22+15)$   
 $F(4,5)$   
 end of algorithm  
 $F(n, m)$

to look at what item is included.

(subset of item)

backtrack

①  $F(4,5) > F(3,5)$  (item 4 is include)

{4,

②  $F(4-1, 5-w_4) \rightarrow F(3,3)$

compare  $F(3,3)$  &  $F(2,3)$

$F(2,3) = F(3,3)$

(item 3 is not included)

③ look at item 2

$F(2,3) > F(1,3)$

item 2 is included

{4, 2

④ look at item 1

$F(2-1, 3-w_2) \rightarrow F(1,2)$

$F(1,2) > F(0,2)$  item 1 is include

{4, 2, 1}

## Example 2: The Knapsack Problem

Express the solution recursively:

$$K(i, j) = 0 \text{ if } i = 0 \text{ or } j = 0$$

Otherwise:

$$K(i, j) = \begin{cases} \max(K(i-1, j), K(i-1, j - w_i) + v_i) & \text{if } j \geq w_i \\ K(i-1, j) & \text{if } j < w_i \end{cases}$$

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For a bottom-up solution we need to write the code that systematically fills a two-dimensional table.

The table will have  $n+1$  rows and  $W+1$  columns.

## Example 2: The Knapsack Problem

```
function KNAPSACK( $v[1..n]$ ,  $w[1..n]$ ,  $W$ )  
  Initialize the first row and col to be 0  
  for  $i \leftarrow 0$  to  $n$  do  $K[i, 0] \leftarrow 0$   
  for  $j \leftarrow 1$  to  $W$  do  $K[0, j] \leftarrow 0$   
  for  $i \leftarrow 1$  to  $n$  do  
    for  $j \leftarrow 1$  to  $W$  do  
      if  $j < w_i$  then  
         $K[i, j] \leftarrow K[i - 1, j]$   
      else  
         $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$   
  return  $K[n, W]$   
  ↓  
  return the last element
```



## Solving the Knapsack Problem with Memoing

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## Solving the Knapsack Problem with Memoing

- To some extent the bottom-up (table-filling) solution is overkill: It finds the solution to **every conceivable sub-instance**.
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- To keep the memo table small, make it a hash table.

# Solving the Knapsack Problem with Memoing



**function** KNAP( $i, j$ )

▷ Uses a global hashtable

**if**  $i = 0$  or  $j = 0$  **then**

**return** 0

**if** key  $(i, j)$  is in hashtable **then**

**return** the corresponding value (that is,  $K(i, j)$ )

*call value directly instead of counting again*

**if**  $j < w_i$  **then**

$k \leftarrow \text{KNAP}(i - 1, j)$

**else**

$k \leftarrow \max(\text{KNAP}(i - 1, j), \text{KNAP}(i - 1, j - w_i) + v_i)$

**insert**  $k$  into hashtable, with key  $(i, j)$

**return**  $k$

## Knapsack - Complexity

- Time (and space) complexity of Knapsack is  $\Theta(nW)$

$n$   $W$   
↓ ↓  
Item capacity

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- This is called **pseudopolynomial time**: the algorithm is polynomial in the **value** of the input, not its length.
  - Counting Sort is another example.

$$\Theta(n + W)$$

↓  
largest element  
in array

# Knapsack - Complexity

\* insertion sort  $\Theta(n^2)$

32 bit integer  $\Theta(32b)^2 = \Theta(1024b^2) = \Theta(b^2)$

but all int has constant bit length

- Time (and space) complexity of Knapsack is  $\Theta(nW)$
- This is called **pseudopolynomial time**: the algorithm is polynomial in the **value** of the input, not its length.
  - Counting Sort is another example.
- Pseudopolynomial is not in general polynomial because it is exponential in the number of bits.

since it is related  
to the value of  
the input,  
so the representation  
matters

$W = 11110$

length 5

value 30

if  $111110$

length 6

value  $\Theta(2^6)$  value 62

exponential algorithm



# Summary

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**Next lecture:** the last one! (before the revision)

↓  
global data structure that can be  
use for  
each  
recursive  
function

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$P = NP?$