## The Swish Concurrency Engine Version 2.4.0

Bob Burger, editor

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## Chapter 1

## Introduction to Swish

### 1.1 Overview

The Swish Concurrency Engine is a framework used to write fault-tolerant programs with message-passing concurrency. It uses the Chez Scheme [6] programming language and embeds concepts from the Erlang [8] programming language. Swish also provides a web server following the HTTP protocol [14].

Swish uses message-passing concurrency and fault isolation to provide fault-tolerant software [1, 18]. The software is divided into lightweight processes that communicate via asynchronous message passing but are otherwise isolated from each other. Because processes share no mutable state, one process cannot corrupt the state of another process—a problem that plagues software using shared-state concurrency.

Exceptions are raised when the software detects an error and cannot continue normal processing. If an exception is not caught by the process that raised it, the process is terminated. An error logger records process crashes and other software errors.

There are two mechanisms for detecting process termination, *links* and *monitors*. Processes can be linked together so that when one exits abnormally, the others are killed. A process can monitor other processes and receive process-down messages that include the termination reason.

A single event dispatcher receives events from the various processes and sends them to all attached event handlers. Event handlers filter events based on their needs.

Swish is written in Chez Scheme for two main reasons. First, it provides efficient first-class continuations [4, 20] needed to implement lightweight processes with much less memory and CPU overhead than operating system threads. Second, Chez Scheme provides powerful syntactic abstraction capabilities [7] needed to make the code closely reflect the various aspects of the design. For example, the message-passing system uses syntactic abstraction to specify pattern matching succinctly.

I/O operations are performed asynchronously using C code (see Chapter 3), and they complete via Scheme callback functions. Asynchronous I/O is used so that Swish can run in a single thread without blocking for I/O. The results from asynchronous operations are invoked synchronously by the Scheme code, allowing it to control re-entrancy.

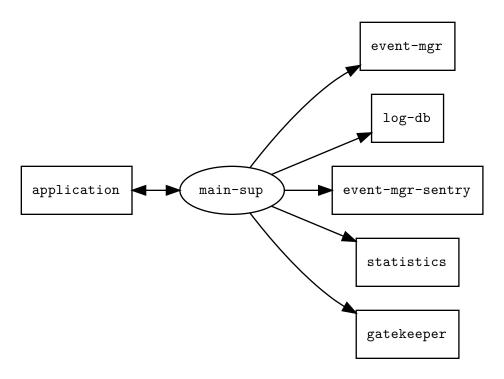


Figure 1.1: Supervision Tree

## 1.2 Supervision Tree

Calling app:start spawns a set of processes organized in a supervision tree. By default, Swish uses the supervision tree illustrated in Figure 1.1. The application (see Chapter 10) is a single genserver that manages the lifetime of the program. It links the top-level supervisor and shuts down the program when requested or when the linked process dies. The top-level supervisor, main-sup, is configured one-for-all and no restarts so that a failure of any of its children crashes the program. The event-mgr worker is the event manager gen-server (see Chapter 7). The log-db worker is a database gen-server (see Chapter 12) that logs all events to the log database. The event-mgr-sentry worker is used during shutdown to make sure the event manager stops sending events to log-db before log-db shuts down. The statistics worker is a system statistics gen-server (see Chapter 13) that periodically posts a <statistics> event. The gatekeeper worker is the gen-server described in Chapter 8.

A web server can be added to the supervision tree by calling http:add-file-server or http:add-server (see Chapter 14) before calling app:start.

## Chapter 2

# Developing Software with Swish

#### 2.1 Introduction

Swish can be used to build, test, and deploy programs ranging from small scripts to large standalone applications. This chapter describes some of the tools and mechanisms that Swish provides for these purposes.

## 2.2 Deployment Types

For interactive development, Swish provides a REPL that reads, evaluates, and prints the values of programs entered at the prompt. At the REPL, the load procedure can be used to evaluate the contents of a file containing source or object code. This is convenient when developing larger programs.

Swish provides several options for deploying programs. This section describes these options and their trade-offs.

#### 2.2.1 Scripts

A simple deployment option is to place source code in a file that begins with a #! line specifying the absolute path to an executable that can evaluate the script. This could be the absolute path to the Swish executable. More often /usr/bin/env is used to locate the Swish executable via the program search path. For example, we might have:

```
$ cat hello
#!/usr/bin/env swish
(printf "Hello, World!\n")
$ chmod +x hello
$ ./hello
Hello, World!
```

In the preceding example, running ./hello invokes the Swish executable with ./hello as its sole

command-line argument. At boot time, Swish calls swish-start to process its command-line arguments. Since the first argument is not an option (-h, --help, etc.), swish-start runs the file named by that argument with the remaining arguments, if any, as its command-line arguments. For example, the following Echo script processes the arguments that are passed to the script.

```
$ cat Echo
#!/usr/bin/env swish
(printf "~{~:(~a~)~}\n" (command-line-arguments))
$ chmod +x Echo
$ ./Echo some camel case identifiers are hard to read
SomeCamelCaseIdentifiersAreHardToRead
```

To provide arguments to the Swish executable before the script filename, add the -S option to env and add the desired arguments after swish. Here the -q option suppresses the startup message and sets the prompt to the empty string, and the -- option tells Swish to start a REPL after loading the script.

```
$ cat howdy
#!/usr/bin/env -S swish -q --
(printf "Howdy, Folks!\n")
(printf "prompt: ~s\n" (waiter-prompt-string))
(printf "command-line: ~s\n" (command-line-arguments))
$ chmod +x howdy
$ ./howdy
Howdy, Folks!
prompt: ""
command-line: ("-q" "--" "./howdy")
(waiter-prompt-string "yes?")
yes? (+ 2 3)
5
yes? (exit)
```

#### Limitations

There are several constraints to consider when deploying Swish scripts.

- Naturally, Swish must be installed. To use /usr/bin/env as in the preceding examples, the PATH environment variable must contain the directory where the Swish executable is installed. This is preferable to hard-coding the absolute path to the Swish executable in your scripts.
- Chez Scheme must also be installed. In particular, the version of Chez Scheme that was used to build Swish must be installed. Swish must be able to locate the Chez Scheme boot files petite.boot and scheme.boot.

If Chez Scheme is installed in a non-standard location, it may be necessary to set the SCHEME-HEAPDIRS environment variable to help Swish locate the boot files. To see where Swish looks for boot files, run swish --verbose.

• The #! scripts shown in this section do not run under Windows. In MinGW/MSYS, these scripts may work unless Posix path conversion is disabled by setting the MSYS\_NO\_PATHCONV environment variable to 1. In Cygwin, these scripts may work if the directory containing the script is mounted as its Windows twin, e.g., C:/Users and /Users. For either of these options to work, Swish must be able to locate the appropriate Chez Scheme DLL via the standard search order. Scripts will fail if the current drive is not the drive containing the script.

### 2.2.2 Linked Programs

A linked program is simply a Scheme object file that begins with a "#!/usr/bin/env swish" line. Swish runs these programs the same way it runs scripts, except that swish-start skips the compilation step when it runs the file.

We can use swish-build to build a linked program foo from a source file foo.ss, as follows:

\$ swish-build -o foo foo.ss

#### Limitations

All of the limitations in Section 2.2.1 apply. Since linked programs use /usr/bin/env, the Swish executable must be in a directory in the PATH on the target machine.

In addition, the Swish executable (and supporting code) that is used to run a linked program must be identical to the one that was used to build it.

#### 2.2.3 Stand-alone Programs

A stand-alone program consists of an executable and a boot file that must be installed in the same directory. The name of the boot file is the same as the executable's name with any extension replaced by .boot. The executable is simply a copy of the Swish executable. The boot file includes the necessary Chez Scheme boot files along with the compiled application code.

We can use swish-build to build a linked program foo from a source file foo.ss by specifying a base boot file via the -b option:

\$ swish-build -o foo foo.ss -b petite

#### Limitations

On Windows, the Swish DLLs osi.dll, uv.dll, and sqlite3.dll must be installed in the same directory as the program executable. These DLLs can be found in the directory containing the Swish executable. In addition, the program executable must be able to locate Microsoft's C Runtime Library vcruntime140.dll and the appropriate Chez Scheme DLL via the standard search order.

#### 2.2.4 Services

On Linux and Windows, a Swish application can be started as a service that listens for system shutdown, suspend, and resume messages. To start a Swish application as a service, pass /SERVICE as the first command-line argument. On Windows, two additional command-line arguments are required: the service name and the path to the log file where stdout and stderr are redirected. See swish\_service for details.

## 2.3 Running Tests

Use the mat and isolate-mat forms described in Section 2.4.4 to define automated tests. Use the swish-test script to run tests and report the results. This script treats each file with a .ms extension as a suite of tests. See swish-test --help all for details.

## 2.4 Programming Interface

#### 2.4.1 Configuration

(app:config [obj]) procedure

returns: a hashtable

When called with no arguments, the app:config procedure returns the configuration data cached in a private process parameter. If the cache is empty, app:config first populates the cache by reading data from the file identified by app:config-filename. If that file does not exist, the procedure returns an empty hashtable. Otherwise, app:config expects the file to contain a single JSON object.

The optional *obj* must be **#f** or a hashtable in the form returned by **json:make-object**. Calling app:config with **#f** clears the cache. Calling app:config with a hashtable installs the hashtable as the cached value.

(app:config-filename) procedure

returns: the name of the application's configuration file

The app:config-filename procedure returns the name of the application configuration file that app:config will read. The filename returned depends on the value of app:path.

If app:path is set to a value of the form ".../bin/app-name[.ext]", that ends with a "bin" directory, then the result is a config file in the corresponding "lib" directory ".../lib/app-name/config". If app:path is set to a value of the form ".../app-name[.ext]", then the result is a file with a .config extension in the same directory: ".../app-name.config".

If app:path is not set, the result is a .config file in the base directory identified by value of the base-dir parameter. This can be useful when loading program code at the REPL during interactive development.

(app:name [name]) parameter

value: a string or #f

The app:name parameter returns the short descriptive name of the application, if known. This value is used by the app-exception-handler to identify the program that is reporting an error. The value is also useful as an argument to display-usage and display-help.

When called with a string, app:name treats the value as a file-system path and stores only the last element of the path, dropping any file extension.

For stand-alone programs, swish-start sets this parameter to the path of the running executable. For scripts or linked programs, swish-start sets this parameter to the path of the script or program file.

(app:path [path]) parameter

value: an absolute path or #f

The app:path parameter returns the absolute path to the script or program, if known. This value is used by app:config-filename to determine the location of the application's configuration file. For scripts and linked programs, where the actual executable is Swish, this value is different from that returned by osi\_get\_executable\_path.

When called with a string, app:path calls get-real-path to obtain an absolute path and stores the result.

(base-dir [path]) parameter

value: a file-system path

When called without arguments, base-dir returns the file-system path of the application's base directory. Otherwise, the base directory is set to *path*, which must specify the file-system path of a directory that exists and is writable by the application. Setting base-dir sets the values of data-dir, log-file, and tmp-dir so that they refer to locations within the base directory.

When the application starts, base-dir is set to the current directory.

(data-dir [path]) parameter

value: a file-system path

The data-dir parameter holds the file-system path of a directory in which the application can write persistent data. Applications should not assume that this directory exists, but should instead use make-directory-path when creating files under data-dir. Its initial value is the path to a "data" subdirectory of the directory specified by base-dir.

(log-file [filename]) parameter

value: a file name

The log-file parameter holds the name of the file containing the SQLite log database managed by the log-db gen-server, which creates the file and the requisite directory structure when started. Its initial value is the path to a "Log.db3" file in the directory specified by data-dir.

(tmp-dir [path]) parameter

value: a file-system path

The tmp-dir parameter holds the file-system path of a directory in which the application can write ephemeral data. Applications should not assume that this directory exists, but should instead use

make-directory-path when creating files under tmp-dir. Its initial value is the path to a "tmp" subdirectory of the directory specified by base-dir.

#### (include-line filenme [not-found])

syntax

returns: see below

The include-line macro expects a string constant *filename* identifying a file either via absolute path or as a path relative to a directory in source-directories. If such a file can be found at expand time, include-line expands to a string containing the first line of that file or the end-of-file object if the file is empty. If the file cannot be found, include-line expands to (*not-found filename*), where *not-found* defaults to an expression that raises a syntax error indicating that the file could not be found.

(software-info) procedure

value: a JSON object

The software-info procedure returns a JSON object containing the values stored by software-product-name, software-revision, and software-version. Swish populates these parameters for the swish and chezscheme keys with values that are determined at compile time.

### (software-product-name [key] [value])

parameter

value: a string or #f

The software-product-name procedure stores or retrieves the product name under the path (key product-name) in the (software-info) object. If value is omitted, this procedure returns the value, if any, associated with key, or else #f. If key and value are omitted, this procedure returns the value associated with the key (string->symbol (or (app:name) "swish")). If specified, key must be a symbol and value must be a string. If key and value are specified and a value has already been set for key, this procedure has no effect.

#### (software-revision [key] [value])

parameter

value: a string or #f

The software-revision procedure stores or retrieves the software revision under the path (key revision) in the (software-info) object. If value is omitted, this procedure returns the value, if any, associated with key, or else #f. If key and value are omitted, this procedure returns the value associated with the key (string->symbol (or (app:name) "swish")). If specified, key must be a symbol and value must be a string. If key and value are specified and a value has already been set for key, this procedure has no effect.

### (software-version [key] [value])

parameter

value: a string or #f

The software-version procedure stores or retrieves the software version under the path (key version) in the (software-info) object. If value is omitted, this procedure returns the value, if any, associated with key, or else #f. If key and value are omitted, this procedure returns the value associated with the key (string->symbol (or (app:name) "swish")). If specified, key must be a symbol and value must be a string. If key and value are specified and a value has already been set for key, this procedure has no effect.

#### 2.4.2 Program Life Cycle

#### (app-exception-handler obj)

procedure

returns: unspecified

When a Swish application starts, it sets the default value of the base-exception-handler parameter to app-exception-handler. This procedure expects a single argument, typically a condition or an object passed to throw or raise, which it saves in the parameter debug-condition.

If app:name is set, then app-exception-handler writes a message to the console error port, prefixed with the application name, before calling reset. If *obj* is a condition, then the message is formed by stripping the "Warning:" or "Exception:" prefix from the output of display-condition. Otherwise, the message is generated by calling exit-reason->english on *obj*.

If app:name is not set, then app-exception-handler calls the default exception handler.

#### (app-sup-spec [start-specs])

parameter

value: a list of child specifications (see page 106)

The app-sup-spec parameter supplies the *start-specs* that define the tree of child processes that are started by app:start and supervised by the main-sup gen-server. The initial value of app-sup-spec is constructed by calling make-swish-sup-spec with swish-event-logger as the sole logger.

#### (app:shutdown [exit-code])

syntax

This is an alias for application: shutdown.

(app:start)

procedure

returns: ok

The app:start procedure calls application:start with a *starter* thunk that calls supervisor:start&link with the value of the app-sup-spec parameter.

#### (make-swish-sup-spec loggers)

procedure

returns: a list of child specifications (see page 106)

The make-swish-sup-spec procedure builds a list of child specifications representing the default supervision tree described in Section 1.2. The *loggers* argument is a list of <event-logger> tuples that will be passed to log-db:setup when the log-db gen-server is started.

#### (swish-start arg ...)

procedure

returns: see below

When a stand-alone program starts, it initializes several parameters: app:name, app:path, command-line, and command-line-arguments. When a Swish script, linked program, or REPL is started, it performs this initialization by applying swish-start to the original command-line arguments.

The swish-start procedure expects zero or more strings as arguments and scans them left to right. It adds each string that begins with a single dash (-) or double dash (--) to a set of options to be

handled later. If it encounters the string "--", it sets the REPL option and saves the remaining arguments as files to be loaded. If it encounters a string that does not begin with a dash, it stops scanning the arguments and marks that string and those that follow as ordinary arguments.

If swish-start finds --help among the options, it displays a summary of Swish's command-line options and returns. If it finds --version among the options, it displays version information and returns. If the REPL option is set or it finds no ordinary arguments, then it suppresses the startup message and waiter prompt if --quiet is among the options, then loads any files that were specified, and then calls new-cafe. Otherwise, it treats the first ordinary argument as a script or linked program. In this case, it installs the ordinary arguments as the value of command-line, sets app:name and app:path to the first of them, sets command-line-arguments to the remaining ones, and clears app:config before loading the script or linked program. If an error occurs while loading the script or linked program, Swish exits with an exit code of 1. Otherwise, Swish exits normally.

When it starts a REPL, script, or linked program, swish-start attempts to import any libraries found in library-list whose library path begins with the symbol swish.

repl-level parameter

value: nonnegative fixnum

The repl-level parameter returns the nesting depth of the swish-start REPL. The value of this parameter is initially zero; it is incremented when a process enters the swish-start REPL. It is not affected by new-cafe.

#### 2.4.3 Foreign Interface

Scheme libraries that rely on shared objects must arrange to call load-shared-object before calling foreign-procedure or calling make-ftype-pointer on a function ftype. We must consider shared-object naming conventions and search paths on different platforms when calling load-shared-object. We can provide its filename argument through some combination of conditional compilation, hard-coded relative or absolute paths, and general computation.

The provide-shared-object and require-shared-object procedures in this section offer a simple way to: factor platform dependencies out of client code, load shared objects by absolute path to avoid search, specify shared object file names as paths relative to the application configuration file, and hook the operation that loads shared object code.

These procedures coordinate via the application configuration object stored in app:config, which is populated on demand by reading the file specified by app:config-filename. A Scheme library can call require-shared-object before its foreign-procedure definitions and rely on demand-loading of the configuration file to supply the absolute path to the shared object code when the library is invoked. This simplifies a process that can otherwise be complicated by the fact that Chez Scheme invokes a library lazily as soon as one of its exports may be referenced.

To see how these procedures are decoupled, consider the following Swish REPL transcript on a 64-bit Linux machine:

```
> (provide-shared-object 'libc "/lib64/libc.so.6")
> (foreign-entry? "fork")
#f
```

If we start a new Swish REPL, we can call require-shared-object without first calling provide-shared-object because we explicitly wrote the value of app:config to the location specified by app:config-filename before exiting the original REPL.

```
> (foreign-entry? "fork")
#f
> (require-shared-object "libc")
> (foreign-entry? "fork")
#t
> (display (utf8->string (read-file (app:config-filename))))
  "swish": {
    "shared-object": {
      "libc": {
        "a6le": {
          "file": "/lib64/libc.so.6"
        }
      }
   }
 }
}
```

#### (provide-shared-object so-name filename)

procedure

returns: unspecified

The provide-shared-object procedure expects a symbol *so-name* as the generic name of a shared object and a string *filename* that is the name of the actual file containing the shared object code. This procedure records *filename* in app:config under a path that includes so-name and the host machine-type.

```
(require-shared-object so-name [handler]) procedure returns: unspecified
```

The require-shared-object procedure loads a shared object via an absolute path to prevent load-shared-object from searching for the shared object file in a system-specific set of directories.

The so-name argument is a symbol that specifies the generic name of a shared object. The optional handler is a procedure that expects three arguments: filename is the absolute path to the shared object file, key is so-name, and dict is the hashtable retrieved from app:config via a path that includes so-name and the host machine-type. This hashtable contains a key file whose value is

the file name originally supplied by provide-shared-object. The handler might examine other keys within the hashtable before loading the shared object. The default handler simply calls load-shared-object on *filename*.

If the file name retrieved from app:config is a relative path, then require-shared-object determines the absolute path by treating the file name as a path relative to the parent directory containing the application configuration file name returned by app:config-filename.

```
(define-foreign name (arg-name arg-type) ...)
expands to:
(begin
  (define name* (foreign-procedure (symbol->string 'name) (arg-type ...) ptr))
  (define (name arg-name ...)
     (match (name* arg-name ...)
        [(,who . ,err)
            (guard (symbol? who))
            (io-error 'name who err)]
        [,x x])))
```

The define-foreign macro defines two procedures: name\* is a raw foreign procedure that expects the specified argument types and returns a ptr value, while name is a wrapper that calls name\* and raises an io-error if it returns an error pair.

#### 2.4.4 Testing

The (swish mat) library provides methods to define, iterate through, and run test cases, and to log the results. The swish-test script provides a convenient way to run tests and report the results. See swish-test --help all for details. To access the (swish mat) library directly, run swish-test --repl instead of swish, then import the library as usual.

Test cases are called *mats* and consist of a name, a set of tags, and a test procedure of no arguments. The set of mats is stored in reverse order in a single, global list. The list of tags allows the user to group tests or mark them. For example, tags can be used to note that a test was created for a particular change request.

```
(mat name (tag ...) e_1 e_2 ...) syntax expands to: (add-mat 'name '(tag ...) (lambda () e_1 e_2 ...))
```

The mat macro creates a mat with the given name, tags, and test procedure  $e_1 e_2 \ldots$  using the add-mat procedure.

```
(isolate-mat name (tag ...) e_1 e_2 ...) (isolate-mat name (settings [key val] ...) e_1 e_2 ...) expands to: (mat name (tag ...) ($isolate-mat (lambda () e_1 e_2 ...)))
```

Tests involving process operations, such as spawn, send, and receive, should use isolate-mat in place of mat to isolate the host system from the test code. The isolate-mat macro is provided by the (swish testing) library, which can be accessed via swish-test.

The settings form can be used within isolate-mat to override the following defaults:

key	default	description
tags	()	a list of $tag \dots$
timeout	60000	deadline for test to complete, in milliseconds;
		computed as (scale-timeout 'isolate-mat)
process-cleanup-deadline	500	maximum time to wait for spawned processes to
		terminate, in milliseconds
process-kill-delay	100	delay in milliseconds before killing each spawned
		process that did not terminate by the process-
		cleanup-deadline

(default-timeout	timeout	[value])	procedure
------------------	---------	----------	-----------

returns: see below

Given a symbol timeout and a value, which must be a nonnegative fixnum or the symbol infinity, default-timeout associates timeout with value and returns an unspecified value. If the optional value is omitted, default-timeout returns the value currently associated with timeout, or raises an exception if none. If timeout is a fixnum, default-timeout is the identity function. The following table lists predefined timeouts and their associated values.

timeout	value	use
infinity	infinity	
isolate-mat	60000	default timeout for isolate-mat
os-process	10000	used internally by some Swish tests that call spawn-os-process

(scale-timeout	timeout)	procedure
----------------	----------	-----------

returns: see below

The scale-timeout procedure resolves timeout by calling (default-timeout timeout). If the result is the symbol infinity, it is returned unmodified. If the result is a fixnum, scale-timeout returns the product of that number and the scale factor obtained by converting the value of the TIMEOUT\_SCALE\_FACTOR environment variable to a number via string->number. The scale factor defaults to 1 and must be nonnegative. The product is rounded to an exact number.

# (mat:add-annotation! expr) syntax returns: unspecified

The mat:add-annotation! macro extends the list of annotations recorded in the meta-data for the mat result of the current mat. Each annotation records the erlang:now timestamp, the source for the call site, if available, and the value of *expr*, which must evaluate to a valid JSON datum. This macro must be called only within the dynamic extent of a running mat. See load-results for more information.

(add-mat name tags test) procedure returns: unspecified

The add-mat procedure adds a mat to the front of the global list. *name* is a symbol, *tags* is a list, and *test* is a procedure of no arguments.

If *name* is already used, an exception is raised.

(run-mat name reporter)

procedure

returns: see below

The run-mat procedure runs the mat of the given *name* by executing its test procedure with an altered exception handler. If the test procedure completes without raising an exception, the mat result is pass. If the test procedure raises exception e, the mat result is (fail . e).

After the mat completes, the run-mat procedure tail calls (reporter name tags result statistics).

If no mat with the given *name* exists, an exception is raised.

(run-mats [name] ...)

syntax

returns: unspecified

The run-mats macro runs each mat specified by symbols *name*.... When no names are supplied, all mats are executed. After each mat is executed, its result, name, and exception if it failed are displayed. When the mats are finished, a summary of the number run, passed, and failed is displayed.

#### (run-mats-to-file filename)

procedure

returns: unspecified

The run-mats-to-file procedure executes all mats and writes the results into the file specified by the string *filename*. If the file exists, its contents are overwritten. The file format is a sequence of JSON objects readable with load-results and summarize.

#### (for-each-mat procedure)

procedure

returns: unspecified

The for-each-mat procedure calls (procedure name tags) for each mat, in no particular order.

#### (load-results filename)

procedure

returns: a JSON object

The load-results procedure reads the contents of the file specified by string *filename* and returns a JSON object with the following keys:

meta-data a JSON object

report-file filename

results a list of JSON objects

The meta-data object contains at least the following keys:

completed #t if test suite completed, #f otherwise
hostname (osi\_get\_hostname) of the host system
machine-type (machine-type) of the host system
test-file name of the file containing the tests

test-run uuid generated by swish-test for the set of tests run

uname the result from get-uname as a JSON object containing os-machine,

os-release, os-system, and os-version

If the test suite completed, the meta-data object also contains the following keys:

date (format-rfc2822 (current-date)) at the start of the test suite

software-info (software-info) for the tested code timestamp (erlang:now) at the start of the test suite

Each result is a JSON object with the following keys:

message error message from failing test, or empty string

meta-data a JSON object that contains:

annotations an ordered list of JSON objects recording data from

mat:add-annotation!,

start-time (erlang:now) at the start of the test, and

end-time (erlang:now) at the end of the test

sstats a JSON object representing the sstats-difference for the test

stacks if test failed with exception e, then

(map stack->json (exit-reason->stacks e))

tags a list of strings corresponding to the symbolic tags in the mat form

test a string corresponding to the symbolic mat name

test-file the name of the test file

type the type of result: "pass", "fail", "skip"

(summarize files) procedure

**returns:** five values: the number of passing mats, the number of failing mats, the number of skipped mats, the number of completed suites, and the length of *files*.

The summarize procedure reads the contents of each file in *files*, a list of string filenames, and returns the number of passing mats, the number of failing mats, the number of skipped mats, the number of completed test suites, and the number of files specified. An error is raised if any entry is malformed.

## Chapter 3

# Operating System Interface

#### 3.1 Introduction

This chapter describes the operating system interface. Swish is written in Chez Scheme and runs on Linux, macOS, and Windows. It provides asynchronous I/O via libuv [21] and database support via SQLite [22].

## 3.2 Theory of Operation

The operating system interface is written in C99 [5] as a shared library that links to the Chez Scheme, libuv, and SQLite libraries. Please refer to Chapter 4 of the *Chez Scheme Version 9 User's Guide* [6] for information on the foreign function interface. C++ is not used because C++ destructors may interact badly with setjmp/longjmp, used by Chez Scheme and Swish.

The single-threaded version of Chez Scheme is used because of its simplicity. All Scheme code runs in the main thread, and all C code must call Scheme functions from the main thread only. In order to keep this thread responsive, operations that block for more than a couple milliseconds are performed asynchronously.

Operations that take longer should be run in a worker thread. Results are communicated back to the main thread using a libuv async handle. Beware of running long operations in the libuv thread pool because there are only a few worker threads (four by default).

For each asynchronous function in the operating system interface, a Scheme callback procedure is passed as the last argument. This callback procedure is later returned to Scheme in a list that includes the results of the asynchronous function call. This approach is simpler and more efficient than calling the callback procedure directly from the C side.

Any time C code stores a pointer to a non-immediate Scheme object, the object must be locked. The operating system interface locks Scheme objects when it stores them in data structures managed in the C heap and unlocks them when the data structures are deallocated.

The operating system interface uses port objects for files, console input, pipes to other processes, and TCP/IP connections. A port object is created by the various open functions, which return a

port handle that is used for read, write, and close operations. Once a port is closed, its port object is freed.

Whenever Scheme receives a handle to an object allocated in the C heap, the handle is wrapped in a Scheme record and registered with a guardian. Each type of handle has an associated finalizer (see add-finalizer) that uses its guardian to free the objects from the C heap after each garbage collection (see the finalizer process in §4.3).

For interface functions that can fail, an error pair (who . errno) is returned, where who is a symbol representing the name of the particular function that failed and errno is either an error number or, in the case of certain SQLite functions, a pair whose car is the error number and cdr is the English error string.

Section 3.3 describes the programming interface from the C side. The Scheme library (osi) provides foreign procedures for each C function using the same name. For functions that may return an error pair, two Scheme procedures are defined: one that converts the error pair into an exception, and one with an asterisk suffix that returns the error pair. For example, if the osi\_read\_port\* procedure returns error pair (who . errno), the osi\_read\_port procedure raises exception #(osi-error osi\_read\_port who errno).

## 3.3 Programming Interface

Unless otherwise noted, all C strings are encoded in UTF-8.

#### 3.3.1 C Interface

A single libuv I/O loop is used, osi\_loop, which is unique to the operating system interface in order to avoid collisions with other libuv integrations.

#### void osi init(void); function

The osi\_init function disables libuv stdio inheritance, initializes osi\_loop, initializes the timer used by osi\_get\_callbacks, and sets the list of callbacks to (). On Windows, it calls timeBe-ginPeriod to set the timer resolution to 1 ms. This function must be called exactly once from the main thread before any other osi\_\* functions are called.

```
void osi_add_callback_list(ptr callback, ptr args);
function
```

The osi\_add\_callback\_list function adds the callback list (callback . args) to the list of callbacks. This function must be called only on the main thread and only within the context of the event-loop's call to osi\_get\_callbacks, e.g., within a libuv callback such as uv\_async\_cb.

```
void osi_add_callback1(ptr callback, ptr arg);
function
```

The osi\_add\_callback1 function adds the callback list (callback arg) to the list of callbacks. This function must be called only on the main thread and only within the context of the event-loop's call to osi\_get\_callbacks, e.g., within a libuv callback such as uv\_async\_cb.

```
void osi_add_callback2(ptr callback, ptr arg1, ptr arg2); function
```

The osi\_add\_callback2 function adds the callback list (callback arg1 arg2) to the list of callbacks. This function must be called only on the main thread and only within the context of the event-loop's call to osi\_get\_callbacks, e.g., within a libuv callback such as uv\_async\_cb.

```
void osi_add_callback3(ptr callback, ptr arg1, ptr arg2, ptr arg3); function
```

The osi\_add\_callback3 function adds the callback list (callback arg1 arg2 arg3) to the list of callbacks. This function must be called only on the main thread and only within the context of the event-loop's call to osi\_get\_callbacks, e.g., within a libuv callback such as uv\_async\_cb.

```
ptr osi_make_error_pair(const char* who, int error);
function
```

The osi\_make\_error\_pair function returns the error pair (who . error). This function must be called on the main thread only.

```
int osi_send_request(handle_request_func handler, void* payload); function
```

The osi\_send\_request function blocks the calling thread until the main thread returns from calling handler(payload) within osi\_get\_callbacks. The handler function should execute quickly to avoid blocking the event loop. Typical handlers call osi\_add\_callback\_list or one of its variants. osi\_send\_request returns zero if successful. Otherwise, it returns a libuv error code. Calling it from the main thread returns UV\_EPERM.

```
char* osi_string_to_utf8(ptr s, size_t* utf8_len);
function
```

The osi\_string\_to\_utf8 function returns the address of a freshly allocated nul-terminated string representing the Scheme string s. The length in bytes of this string excluding the terminating nul is written to  $*utf8\_len$ . It returns NULL if malloc fails. It is the caller's responsibility to call free when this memory is no longer needed.

```
int swish_run(int argc, const char* argv[], void (*custom_init)(void)); function
```

The swish\_run function:

- 1. resolves the boot file based on argv [0] by replacing the extension, if any, with .boot,
- 2. initializes Scheme by calling Sscheme\_init, Sregister\_boot\_file, and Sbuild\_heap,
- 3. initializes the operating system interface by calling osi\_init,
- 4. establishes a context for osi exit,
- 5. starts the application by invoking the value of the scheme-start parameter, and

6. returns the status provided by osi\_exit.

On Linux, the swish\_service function:

- 1. resolves the boot file based on argv [0] by replacing the extension, if any, with .boot,
- 2. initializes Scheme by calling Sscheme\_init, Sregister\_boot\_file, and Sbuild\_heap,
- 3. initializes the operating system interface by calling osi\_init,
- 4. adds a handler to listen for messages from systemd-logind on the D-Bus system bus,
- 5. establishes a context for osi\_exit,
- 6. starts the application by invoking the value of the scheme-start parameter,
- 7. tears down the handler on exit, and
- 8. returns the status provided to osi\_exit.

If the handler receives a PrepareForShutdown message with the argument true, it calls \$shutdown. If it receives a PrepareForSleep message, it calls \$suspend if the argument is true and \$resume if the argument is false. See Figure 3.1 for information on these callbacks.

On Windows, the swish\_service function:

- 1. redirects stdout and stderr to logfile and stdin from NUL,
- 2. connects to the Service Control Manager, establishing a new thread of execution to:
  - (a) resolve the boot file based on argv [0] by replacing the extension, if any, with .boot,
  - (b) initialize Scheme by calling Sscheme\_init, Sregister\_boot\_file, and Sbuild\_heap,
  - (c) initialize the operating system interface by calling osi\_init,
  - (d) register a control handler with the Service Control Manager,
  - (e) establish a context for osi exit, and
  - (f) start the application by invoking the value of the scheme-start parameter
- 3. sets the service status to the status provided by osi\_exit.

Once running as a service, the Service Control Manager may send control messages to the process via the control handler. For a stop message, the control handler calls the **\$shutdown** top-level procedure. For a suspend power event or resume power event, the control handler calls **\$suspend** or **\$resume** respectively. See Figure 3.1 for information on these callbacks.

symbol	default top-level value	with statistics gen-server (Chapter 13)
\$shutdown	application:shutdown	application:shutdown
\$suspend	void	statistics:suspend
\$resume	void	statistics:resume

Figure 3.1: Service callbacks.

#### 3.3.2 System Functions and Procedures

The osi\_get\_argv function returns a Scheme vector of strings constructed from the most recent arguments passed to osi\_set\_argv.

```
size_t osi_get_bytes_used(void); function
```

The osi\_get\_bytes\_used function returns the number of bytes used by the C run-time heap. On Linux, it calls the mallinfo function. On macOS, it calls the mstats function. On Windows, it calls the \_heapwalk function.

```
(osi_get_free_memory)
returns: an unsigned integer
```

The osi\_get\_free\_memory procedure returns the number of bytes of free memory as reported by uv\_get\_free\_memory.

```
(osi_get_total_memory) procedure returns: an unsigned integer
```

The osi\_get\_total\_memory procedure returns the number of bytes of total physical memory as reported by uv\_get\_total\_memory.

The osi\_get\_callbacks function returns a list of callback lists in reverse order of time received. When the list is empty, it blocks up to *timeout* milliseconds before returning. Each callback list has the form (*callback result* ...), where *callback* is the callback procedure passed to the asynchronous function that returned one or more *results*.

The osi\_get\_error\_text function returns the English string for the given error number.

#### ptr osi\_get\_hostname(void);

function

The osi\_get\_hostname function returns the host name from uv\_os\_gethostname.

#### ptr osi\_get\_uname(void);

function

The osi\_get\_uname function returns a <uname> tuple of the values retrieved by uv\_os\_uname.

<uname> tuple

system: operating system name as a string release: operating system release as a string version: operating system version as a string

machine: hardware architecture identifier as a string

#### uint64\_t osi\_get\_hrtime(void);

function

The osi\_get\_hrtime function returns the current high-resolution real time in nanoseconds from uv\_hrtime. It is not related to the time of day and is not subject to clock drift.

#### uint64\_t osi\_get\_time(void);

function

The osi\_get\_time function returns the current clock time in milliseconds in UTC since the UNIX epoch January 1, 1970. On Windows, it calls the GetSystemTimeAsFileTime function in kernel32.dll. On all other systems, it calls the clock\_gettime function with CLOCK\_REALTIME.

#### int osi\_is\_quantum\_over(void);

function

The osi\_is\_quantum\_over function returns 1 if the current time from uv\_hrtime is greater than or equal to the threshold set by the most recent call to osi\_set\_quantum and 0 otherwise.

#### ptr osi\_list\_uv\_handles(void);

function

The osi\_list\_uv\_handles function calls uv\_walk and returns a list of pairs (handle . type), where handle is the address of the uv\_handle\_t and type is the uv\_handle\_type.

#### ptr osi\_make\_uuid(void);

function

The osi\_make\_uuid function returns a new universally unique identifier (UUID) as a bytevector. On Windows, it calls the UuidCreate function in rpcrt4.dll. On all other systems, it calls the uuid\_generate function.

(string->uuid s)

procedure

returns: a UUID bytevector

The string->uuid procedure returns the bytevector *uuid* for string *s* such that (uuid->string *uuid*) is equivalent to *s*, ignoring case. If *s* is not a string with uppercase or lowercase hexadecimal digits and hyphens as shown in uuid->string, exception #(bad-arg string->uuid *s*) is raised.

(uuid->string uuid) procedure

returns: a string

The uuid->string procedure returns the uppercase hexadecimal string representation of uuid,  $HH_3HH_2HH_1HH_0-HH_5HH_4-HH_7HH_6-HH_8HH_9-HH_{10}HH_{11}HH_{12}HH_{13}HH_{14}HH_{15}$ , where  $HH_i$  is the 2-character uppercase hexadecimal representation of the octet at index i of bytevector uuid. If uuid is not a bytevector of length 16, exception #(bad-arg uuid->string uuid) is raised.

```
void osi_set_argv(int argc, const char *argv[]);
function
```

The osi\_set\_argv function stores the *argv* pointer to a C vector of *argc* strings for use in the osi\_get\_argv function. It does not copy the strings, so the caller must not deallocate the memory for the arguments.

```
void osi set quantum(uint64 t nanoseconds); function
```

The osi\_set\_quantum function sets the threshold for osi\_is\_quantum\_over to be the current time from uv\_hrtime plus the given number of nanoseconds.

#### 3.3.3 Port Functions

The port functions in this section provide generic read, write, and close operations for port objects. The specific implementation depends on the type of port object.

Port handles point to structures whose first element is a pointer to a virtual function table whose type is osi\_port\_vtable\_t. This table defines the specific close, read, and write procedures.

The osi\_read\_port function issues a read on the given port of size bytes into the bytevector buffer at the zero-based  $start\_index$ . For file ports, offset specifies the starting file position or -1 for the current position; for all other port types, offset must be -1. The function returns #t when the read operation is issued and an error pair otherwise. When the read operation finishes, it enqueues the callback list (callback result), where result is the nonnegative number of bytes read when successful and a negative error code otherwise.

The osi\_write\_port function issues a write on the given port of size bytes from the bytevector buffer at the zero-based start index. For file ports, offset specifies the starting file position or

-1 for the current position; for all other port types, offset must be -1. The function returns #t when the write operation is issued and an error pair otherwise. When the write operation finishes, it enqueues the callback list (callback result), where result is the nonnegative number of bytes written when successful and a negative error code otherwise.

```
ptr osi_close_port(uptr port, ptr callback);
function
```

The osi\_close\_port function issues a close on the given port. It returns #t when the close operation is issued and an error pair otherwise. When the close operation finishes, it deallocates the port object and enqueues the callback list (callback errno), where errno is 0 when successful and a negative error code otherwise.

#### 3.3.4 Process Functions

```
void osi_exit(int status);
function
```

The osi\_exit function returns *status* to the context established by swish\_run or swish\_service, if any. Otherwise it calls the \_exit function to terminate the current process with the given exit *status*. It does not return. The exit function is not used because on Unix systems it blocks if there is an outstanding read on stdin.

```
ptr osi_spawn(const char* path, ptr args, ptr callback);
function
```

The osi\_spawn function uses the uv\_spawn function to create a process with the list of string-valued args whose standard input, output and error are connected to pipes. It returns #(to-stdin from-stdout from-stderr pid) when the process has been successfully created and an error pair otherwise. to-stdin is a port handle for writing bytes to standard input, from-stdout is a port handle for reading bytes from standard output, from-stderr is a port handle for reading bytes from standard error, and pid is an integer identifying the process.

When the process exits, the callback list (callback pid exit-status term-signal) is enqueued, where pid is the integer process identifier, exit-status is the integer exit status, and term-signal is the integer termination signal or 0 if the process did not terminate because of a signal.

```
ptr osi_spawn_detached(const char* path, ptr args);
function
```

The osi\_spawn\_detached function uses the uv\_spawn function to create a process with the list of string-valued *args* and the UV\_PROCESS\_DETACHED flag set. It returns an integer identifying the process when the process has been successfully created and an error pair otherwise.

```
int osi_get_pid();
function
```

The osi\_get\_pid function uses the uv\_os\_getpid function to return the current operating-system process ID.

```
ptr osi_kill(int pid, int signum);
```

function

The osi\_kill function uses the uv\_kill function to send termination signal signum to the process identified by pid. It returns #t when successful and an error pair otherwise.

```
ptr osi_start_signal(int signum);
```

function

The osi\_start\_signal function uses the uv\_signal\_start function to trap the signal signum. It returns a signal handle when successful and an error pair otherwise. Signals are delivered via the internal @deliver-signal procedure, which invokes the handler registered via signal-handler.

```
ptr osi_stop_signal(uptr signal_handle);
```

function

The osi\_stop\_signal function stops trapping the signal and frees the signal handle returned by osi\_start\_signal.

#### 3.3.5 File System Functions

```
ptr osi_open_fd(int fd, int close);
```

function

The osi\_open\_fd function returns a port handle for the file descriptor fd when successful and an error pair otherwise. When the port is closed, the file descriptor fd is closed if and only if close is non-zero. It is an error to set close to a non-zero value on a standard I/O file descriptor  $(0 \le fd \le 2)$ .

```
ptr osi_open_file(const char* path, int flags, int mode, ptr callback);
```

function

The osi\_open\_file function issues an open using the uv\_fs\_open function and the given path, flags, and mode. It returns #t when the open operation is issued and an error pair otherwise. When the open operation finishes, it enqueues the callback list (callback result), where result is the nonnegative port handle when successful and a negative error code otherwise.

The following constants are defined for *flags*:

```
O_APPEND
              O_{CREAT}
                         O_DIRECT
                                        O_DIRECTORY
                                                         O_DSYNC
                                                                      O_EXCL
O_EXLOCK
              O_NOATIME
                         O_NOCTTY
                                        O_NOFOLLOW
                                                         O_NONBLOCK
                                                                      O_RANDOM
O_RDONLY
                                        O_SHORT_LIVED
                                                         O_SYMLINK
              O_RDWR
                         O_SEQUENTIAL
                                                                      O_SYNC
O_TEMPORARY
             O_TRUNC
                         O_WRONLY
```

The following constants are defined for *mode*:

```
S_IFMT S_IFIFO S_IFCHR S_IFDIR S_IFBLK S_IFREG S_IFLNK S_IFSOCK
```

```
ptr osi_get_executable_path(void);
```

function

The osi\_get\_executable\_path function uses the uv\_exepath function to return the full path string of the executable file of the current process when successful and an error pair otherwise.

```
ptr osi_get_file_size(uptr port, ptr callback);
```

function

The osi\_get\_file\_size function uses the uv\_fs\_fstat function to issue a status operation on the file associated with the given file port. It returns #t when the status operation is issued and an error pair otherwise. When the status operation finishes, it enqueues the callback list (callback result), where result is the nonnegative file size when successful and a negative error code otherwise.

```
ptr osi get real path(const char* path, ptr callback);
```

function

The osi\_get\_real\_path function uses the uv\_fs\_realpath function to issue a realpath operation on the given path. It returns #t when the realpath operation is issued and an error pair otherwise. When the realpath operation finishes, it enqueues the callback list (callback result), where result is the string path when successful and a negative error code otherwise.

```
ptr osi_get_home_directory(void);
```

function

The osi\_get\_home\_directory function uses the uv\_os\_homedir function to return the string path of the current user's home directory and an error pair otherwise.

```
ptr osi_get_temp_directory(void);
```

function

The osi\_get\_temp\_directory function uses the uv\_os\_tmpdir function to return the string path of the temporary directory and an error pair otherwise.

```
ptr osi_chmod(const char* path, int mode, ptr callback);
```

function

The osi\_chmod function issues a chmod operation using the uv\_fs\_chmod function and the given path and mode. It returns #t when the chmod operation is issued and an error pair otherwise. When the chmod operation finishes, it enqueues the callback list (callback errno), where errno is 0 when successful and a negative error code otherwise.

```
ptr osi make directory(const char* path, int mode, ptr callback);
```

function

The osi\_make\_directory function issues a mkdir operation using the uv\_fs\_mkdir function with the given path and mode. It returns #t when the mkdir operation is issued and an error pair otherwise. When the mkdir operation finishes, it enqueues the callback list (callback errno), where errno is 0 when successful and a negative error code otherwise.

```
ptr osi_list_directory(const char* path, ptr callback);
```

function

The osi\_list\_directory function issues a scandir operation using the uv\_fs\_scandir function with the given path. It returns #t when the scandir operation is issued and an error pair otherwise. When the scandir operation finishes, it enqueues the callback list (callback result), where result is ((name . type) ...) when successful and a negative error code otherwise.

name is the string name of the directory entry, and type is one of the following constants:

```
DIRENT_UNKNOWN DIRENT_FILE DIRENT_DIR DIRENT_LINK DIRENT_FIFO DIRENT_SOCKET DIRENT_CHAR DIRENT_BLOCK
```

```
ptr osi_remove_directory(const char* path, ptr callback);
function
```

The osi\_remove\_directory function issues a rmdir operation using the uv\_fs\_rmdir function with the given path. It returns #t when the rmdir operation is issued and an error pair otherwise. When the rmdir operation finishes, it enqueues the callback list (callback errno), where errno is 0 when successful and a negative error code otherwise.

```
ptr osi_rename(const char* path, const char* new_path, ptr callback); function
```

The osi\_rename function issues a rename operation using the uv\_fs\_rename function of path to new\_path. It returns #t when the rename operation is issued and an error pair otherwise. When the rename operation finishes, it enqueues the callback list (callback errno), where errno is 0 when successful and a negative error code otherwise.

```
ptr osi_get_stat(const char* path, int follow, ptr callback); function
```

The osi\_get\_stat function issues a status operation on the given path. When follow is non-zero, it uses the uv\_fs\_stat function to follow a symbolic link; otherwise, it uses the uv\_fs\_lstat function. It returns #t when the status operation is issued and an error pair otherwise. When the status operation finishes, it enqueues the callback list (callback result), where result is a <stat> tuple when successful and a negative error code otherwise.

<stat> tuple

```
dev: device ID of the device containing the file
    mode: mode of the file
    nlink: number of hard links to the file
      uid: user ID of the file
      gid: group ID of the file
     rdev: device ID if file is character or block special
      ino: file serial number
     size: For regular files, the file size in bytes. For symbolic links, the length in
           bytes of the path in the link.
   blksize: optimal block size for I/O
   blocks: number of blocks allocated for the file
    flags: user-defined flags for the file
     qen: file generation number
   atime: time of last access
   mtime: time of last data modification
   ctime: time of last status change
birthtime: time of file creation
```

The time entries contain (sec . nsec), where sec is the number of seconds in UTC since the UNIX epoch January 1, 1970, and nsec is the number of nanoseconds after sec.

function

```
ptr osi_unlink(const char* path, ptr callback);
```

The osi\_unlink function issues an unlink operation using the uv\_fs\_unlink function with the given path. It returns #t when the unlink operation is issued and an error pair otherwise. When the unlink operation finishes, it enqueues the callback list (callback errno), where errno is 0 when successful and a negative error code otherwise.

```
ptr osi_watch_path(const char* path, ptr callback);
function
```

The osi\_watch\_path function uses the uv\_fs\_event\_start function to track changes to path. When path is a directory, its subdirectories are not tracked. Every time a change is detected, a callback list (callback filename events) is enqueued, where events is 1 for rename, 2 for change, and 3 for rename and change. If the watcher encounters an error, the callback list (callback errno) is enqueued.

The osi\_watch\_path function returns a path watcher handle when successful and an error pair otherwise.

```
void osi_close_path_watcher(uptr watcher);
function
```

The osi\_close\_path\_watcher function stops and closes the path watcher from osi\_watch\_path.

#### 3.3.6 TCP/IP Functions

```
ptr osi_connect_tcp(const char* node, const char* service, ptr callback); function
```

The osi\_connect\_tcp function initiates a TCP/IP connection to host node on port service. It returns #t when the operation starts and an error pair otherwise. The node string may be a host name or numeric host address string, and the service string may be a service name or port number represented as a string. The uv\_getaddrinfo function is used to retrieve a list of addresses. For the first address for which a connection succeeds using the uv\_tcp\_connect function, the completion list (callback port) is enqueued, where port is a handle to a port that reads from and writes to this connection. When the operation fails, the callback list (callback error-pair) is enqueued.

```
ptr osi_listen_tcp(const char* address, uint16_t port, ptr callback); function
```

The osi\_listen\_tcp function starts a TCP/IP listener on the given *port* of the IPv4 or IPv6 interface specified by *address* using the uv\_listen function. It returns a TCP/IP listener handle when successful and an error pair otherwise.

Specify an IPv4 interface address using dot-decimal notation, e.g. 127.0.0.1. Use 0.0.0.0 to specify all IPv4 interfaces.

Specify an IPv6 interface address using colon-hexadecimal notation, e.g. ::1. Use :: to specify all IPv6 interfaces.

Specify port 0 to have the operating system choose an available port number, which can be queried using osi\_get\_tcp\_listener\_port.

When a connection is accepted, the callback list (callback port) is enqueued, where port is a handle to a port that reads from and writes to this connection. When a connection fails, the callback list (callback error-pair) is enqueued.

```
void osi_close_tcp_listener(uptr listener);
```

function

The osi\_close\_tcp\_listener function closes the given TCP/IP *listener* opened by osi\_listen\_-tcp.

```
ptr osi_get_tcp_listener_port(uptr listener);
```

function

The osi\_get\_tcp\_listener\_port function returns the port number of the given TCP/IP listener opened by osi\_listen\_tcp when successful and an error pair otherwise.

```
ptr osi_get_ip_address(uptr port);
```

function

The osi\_get\_ip\_address function uses the uv\_tcp\_getpeername function to return a string representation of the address of the peer of a TCP/IP *port* opened by osi\_connect\_tcp or osi\_listen\_tcp when successful and an error pair otherwise.

An IPv4 address is shown in dot-decimal notation followed by a colon and the port number, e.g. 127.0.0.1:80.

An IPv6 address is shown in bracketed colon-hexadecimal notation followed by a colon and the port number, e.g. [::1]:80.

#### 3.3.7 SQLite Functions

For each open SQLite database, a single worker thread performs the operations so that the main thread is not blocked. SQLite is compiled in multi-thread mode. The documentation states: "In this mode, SQLite can be safely used by multiple threads provided that no single database connection is used simultaneously in two or more threads." Concern: Two threads simultaneously access a SQLite database connection. Mitigation: The operating system interface maintains a busy bit for each database handle. Functions attempting to access a busy database return the error pair (function-name . UV\_EBUSY).

SQLite has five data types, which are mapped as follows to Scheme data types:

SQLite	Scheme
NULL	#f
INTEGER	exact integer
REAL	flonum
TEXT	string
BLOB	bytevector

SQLite extended result codes are enabled. Because the error codes overlap system error codes, the operating system interface maps them to system error codes by negating the sum of the result code and 6,000,000. The osi\_get\_error\_text function supports these mapped error codes.

SQLite returns additional error information in English strings, so error pairs from SQLite are often of the form (*who* . (*errno* . *text*)), where *errno* is the mapped SQLite extended result code and *text* is the English error string.

```
ptr osi_open_database(const char* filename, int flags, ptr callback); function
```

The osi\_open\_database function starts a worker thread that uses the sqlite3\_open\_v2 function to open the database specified by the *filename* string and *flags*. The *flags* specify, for example, whether the database should be opened in read-only mode or whether it should be created when the file does not exist. The function returns #t when the thread is created and an error pair otherwise.

When the open operation finishes, it enqueues the callback list (callback result), where result is the database handle when successful and an error pair otherwise.

```
ptr osi_close_database(uptr database, ptr callback);
function
```

The osi\_close\_database function starts a close operation in the given database worker thread. It returns #t when the operation is started and an error pair otherwise.

After the worker thread finalizes all prepared statements, it uses the sqlite3\_close function to close the *database*. When finished, it enqueues the callback list (*callback result*), where *result* is #t when successful and an error pair otherwise.

```
ptr osi_prepare_statement(uptr database, ptr sql, ptr callback); function
```

The osi\_prepare\_statement function starts a prepare operation on the given database worker thread. It returns #t when the operation is started and an error pair otherwise.

The worker thread uses the sqlite3\_prepare\_v2 function to prepare the given sql statement. It enqueues the callback list (callback result), where result is the statement handle when successful and an error pair otherwise.

```
ptr osi_finalize_statement(uptr statement); function
```

The osi\_finalize\_statement function uses the sqlite3\_finalize function to finalize the *state-ment*. It returns #t when successful and an error pair otherwise. The return code from sqlite3\_-finalize is not checked because the statement is finalized regardless of the return value.

```
ptr osi_bind_statement(uptr statement, int index, ptr datum); function
```

The osi\_bind\_statement function maps the Scheme datum to SQLite and binds it to the prepared statement at the zero-based SQL parameter index. It returns #t when successful and an error pair

otherwise. The error pair (osi\_bind\_statement . UV\_EINVAL) is returned when datum cannot be mapped to SQLite.

```
ptr osi_bind_statement_bindings(uptr statement, uptr mbindings);
function
```

The osi\_bind\_statement\_bindings binds the marshaled bindings mbindings to the prepared statement. It returns #t when successful and an error pair otherwise.

```
ptr osi_clear_statement_bindings(uptr statement); function
```

The osi\_clear\_statement\_bindings function uses the sqlite3\_clear\_bindings function to clear the bindings for the *statement*. It returns #t when successful and an error pair otherwise.

```
ptr osi_get_last_insert_rowid(uptr database); function
```

The osi\_get\_last\_insert\_rowid function uses the sqlite3\_last\_insert\_rowid function to return the last insert rowid of the *database* when successful and an error pair otherwise.

```
ptr osi_get_statement_columns(uptr statement);
function
```

The osi\_get\_statement\_columns function uses the sqlite3\_column\_count and sqlite3\_col-umn\_name functions to return a vector of column name strings for the *statement* when successful and an error pair otherwise.

```
ptr osi_get_statement_expanded_sql(uptr statement);
function
```

The osi\_get\_statement\_expanded\_sql function uses the sqlite3\_expanded\_sql function to return the expanded SQL string associated with the *statement* when successful and an error pair otherwise.

```
ptr osi_reset_statement(uptr statement);
function
```

The osi\_reset\_statement function uses the sqlite3\_reset function to reset the *statement*. It returns #t when successful and an error pair otherwise.

```
ptr osi_step_statement(uptr statement, ptr callback);
function
```

The osi\_step\_statement function issues a step operation on the database worker thread associated with *statement*. It returns #t when the operation is started and an error pair otherwise.

The worker thread uses the sqlite3\_step function to execute the *statement*. If it returns SQLITE\_-DONE, the callback list (*callback* #f) is enqueued. If it returns SQLITE\_ROW, the callback list (*callback* #(*value* ...)) is enqueued with the vector of column values mapped from SQLite to Scheme. Otherwise, the callback list (*callback error-pair*) is enqueued.

```
ptr osi_interrupt_database(uptr database);
```

function

The osi\_interrupt\_database function calls the sqlite3\_interrupt function to interrupt the current operation of the *database*. It returns #t when the database is busy and #f otherwise.

```
ptr osi_get_sqlite_status(int operation, int resetp);
```

function

The osi\_get\_sqlite\_status function uses the sqlite3\_status64 function with the given operation and reset flag to return #(current highwater) when successful and an error pair otherwise.

```
ptr osi_marshal_bindings(ptr bindings);
```

function

The osi\_marshal\_bindings function allocates an internal structure and copies each Scheme datum in *bindings*. The *bindings* argument may be a list or a vector. The result is a handle to the internal structure or NULL if *bindings* is empty. An error pair is returned when the internal structure cannot be allocated or the *bindings* cannot be mapped to SQLite data types.

```
ptr osi_get_bindings(uptr mbindings);
```

function

The osi\_get\_bindings function allocates a vector containing a copy of each Scheme datum marshaled into *mbindings* by osi\_marshal\_bindings. It is an error to call osi\_get\_bindings on *mbindings* after calling osi\_unmarshal\_bindings on *mbindings*.

```
ptr osi_unmarshal_bindings(uptr mbindings);
```

function

The osi\_unmarshal\_bindings function deallocates the internal structure allocated by osi\_marshal\_bindings.

```
ptr osi_bulk_execute(ptr statements, ptr mbindings, ptr callback);
```

function

The osi\_bulk\_execute function executes all the queries defined by statements and mbindings on the database worker thread associated with the statements. The vector statements must contain statement handles created by osi\_prepare\_statement. All statements must be prepared on the same database. The vector mbindings must contain binding handles created by osi\_marshal\_bindings. It returns #t when the operation is started and an error pair otherwise.

When the bulk execute operation finishes, it enqueues the callback list (callback result), where result is #t when successful and an error pair otherwise.

## 3.3.8 Message-Digest Functions

```
ptr osi_open_SHA1();
```

function

The osi\_open\_SHA1 function returns an error pair or a context for computing the SHA1 message digest.

ptr osi\_hash\_data(uptr ctxt, ptr bv, size\_t start\_index, uint32\_t size); function

The osi\_hash\_data function computes the SHA1 message digest incrementally on the *size* bytes at the zero-based  $start\_index$  of bytevector bv updating the context ctxt. It returns #t when successful and an error pair otherwise.

```
ptr osi_get_SHA1(uptr ctxt);
function
```

The osi\_get\_SHA1 function takes a *ctxt* that was created by osi\_open\_SHA1 and updated by calling osi\_hash\_data on a set of buffers and returns as a bytevector the SHA1 message digest of the buffers. If unsuccessful, it returns an error pair.

```
ptr osi_close_SHA1(uptr ctxt); function
```

The osi\_close\_SHA1 function frees a ctxt that was allocated by osi\_open\_SHA1.

## Chapter 4

# **Erlang Embedding**

## 4.1 Introduction

This chapter describes the design of the message-passing concurrency model. It provides a Scheme embedding of a significant subset of the Erlang programming language [1, 2]. Tuple and pattern matching macros provide succinct ways of composing and decomposing data structures.

The basic unit of sequential computation is the *process*. Each process has independent state and communicates with other processes by message passing. Because processes share no mutable state, one process cannot corrupt the state of another process—a problem that plagues software using shared-state concurrency. Concern: System procedures that mutate data can cause state corruption. Mitigation: The code is inspected for use of these procedures.

An uncaught exception in one process does not affect any other process. A process can be monitored for termination, and it can be linked to another process so that, when either process exits, the other one receives an exit signal. Processes are implemented with one-shot continuations [4], and the concurrent system is simulated by the single-threaded program using software timer interrupts. The operating system interface (see Chapter 3) provides asynchronous input/output (I/O) so that processes waiting for I/O do not stop other processes from executing.

For exceptions, we use Erlang's approach of encoding the information in a machine-readable datum rather than a formatted string. Doing so makes it possible to write code that matches particular exceptions without having to parse strings, and the exception is human language independent.

The rest of this chapter is organized as follows. Section 4.2 introduces the main data structures, Section 4.3 describes how the concurrency model works, and Section 4.4 gives the programming interface.

## 4.2 Data Structures

q Queues are used in several key places: the inbox of messages for each process, the list of processes ready to run, and the list of sleeping processes. A queue is a doubly-linked list with a sentinel value,

<sup>&</sup>lt;sup>1</sup>Tuples, denoted by  $\{e_1, \ldots, e_n\}$  in Erlang, are implemented as vectors: # $(e_1, \ldots, e_n)$ . Similarly records, defined as syntactic sugar over tuples in Erlang, are implemented as syntactic sugar over vectors.

the queue's identity. Both the sentinel value and the elements of the queue are instances of q, a Scheme record type with mutable prev and next fields. This representation enables constant-time insertion and deletion operations.

msg When a *message* is sent to a process, its contents are wrapped in an instance of msg, a Scheme record type that extends q with an immutable contents field. This msg is inserted into the process's inbox and removed when the process receives it.

pcb A process is an instance of pcb, a Scheme record type that extends q with an immutable id field, the process's unique positive exact integer, an immutable create-time field, the process's create time from erlang:now, an immutable parameters field, the process's weak eq-hashtable mapping process parameters to values, and the following mutable fields:

- name: registered name or #f
- cont: one-shot continuation if live and not currently running or #f otherwise
- sic: system interrupt count
- winders: list of winders if live and not currently running or () otherwise
- exception-state: exception state if live and not currently running, exit reason if dead, or #f if currently running
- inbox: queue of msg if live or #f if dead
- precedence: wake time if sleeping or 0 if ready to run
- flags: fixnum with bit 0 set when sleeping, bit 1 set when the process traps exits, bit 2 set when the process is blocked for I/O, and bit 3 set when the process is pending a keyboard interrupt
- links: list of linked processes
- monitors: list of monitors
- src: source location #(at *char-offset filename*) when available if waiting in a receive macro, a string if blocked for I/O, or #f

mon A *monitor* is an instance of mon, a Scheme record type with two immutable fields, origin and target, each of which is a process.

osi-port An *osi-port* is an instance of osi-port, a Scheme record type with an immutable name field, an immutable create-time field, and a mutable handle field that wraps an operating system interface port. The handle field is set to #f when the osi-port is closed.

path-watcher A path watcher is an instance of path-watcher, a Scheme record type with an immutable path field, an immutable create-time field, and a mutable handle field. The handle field is set to #f when the path watcher is closed.

listener A TCP listener is an instance of listener, a Scheme record type with immutable address, port-number, and create-time fields and a mutable handle field. The handle field is set to #f when the listener is closed.

## 4.3 Theory of Operation

The system uses a *scheduler* to execute one process at a time. Each process holds its own system interrupt count (updated by enable-interrupts and disable-interrupts), list of winders (maintained by dynamic-wind and the system primitive \$current-winders), and exception state (maintained by current-exception-state). The scheduler captures the one-shot continuation for a process with an empty list of winders so that, when it invokes the continuation of another process, it does not run any winders. Concern: Using a system procedure that relies on the global winders list may lead to incorrect behavior. Mitigation: System procedures that rely on the global winders list are called from only one process at a time using the *gatekeeper* described in Chapter 8. The gatekeeper hooks the \$cp0, \$np-compile, pretty-print, and sc-expand system primitives.

Spawning a new process is not as simple as capturing a one-shot continuation and creating a pcb record, because the continuation's stack link [20] would be the continuation of the caller, and its list of winders would be the caller's. Thus, the scheduler remembers the current list of winders and then sets it to the empty list before capturing a one-shot continuation. This return continuation is stored in a mutable variable so that it is not closed over by the new process. Next, a full continuation is captured to create the initial exception state that will terminate the new process when an uncaught exception is raised. So that this full continuation does not refer to the caller's continuation, the current stack link is set to the null continuation before capturing it. After capturing the full continuation, a one-shot continuation for the new process is captured and returned to the caller via the return continuation.

Each process runs until it waits in a receive macro or wait-for-io procedure, is preempted by the timer-interrupt-handler, or exits. The operating system interface (see Chapter 3) provides asynchronous I/O operations so that the scheduler can execute other processes while the system is performing I/O. The timer interrupt handler runs every 1000 procedure calls.<sup>2</sup> The scheduler uses osi\_set\_tick and osi\_is\_tick\_over to determine when the time quantum for a process has elapsed.

When process p exits with reason r, a message matching '(DOWN m p r) is sent to each of its monitor m's origin processes. A message matching '(EXIT p r) is sent to each linked process that traps exits. If r is not normal, each linked process that does not trap exits is killed with reason r.

A process can be registered with a global name, a symbol. This name can be used instead of the process record itself to send it messages. A global *registrar* maintains an eq-hashtable mapping names to processes. The reverse mapping is maintained in the pcb record through the name field.

There are two system processes: the event-loop and the finalizer.

The event-loop process calls <code>osi\_get\_callbacks</code> to retrieve callback lists from the operating system interface. It executes each callback with interrupts disabled. Event-loop callbacks are designed to execute quickly without failing or causing new completion packets to be enqueued. Typical callbacks

<sup>&</sup>lt;sup>2</sup>1000 was chosen because Chez Scheme performs its internal interrupt checks every 1000 ticks.

register objects that wrap operating system interface handles with a guardian and send messages to a process. If the event-loop process exits with reason r, the system logs the event #(event-loop-process-terminated r) with console-event-handler and calls osi\_exit with exit code 80.

The scheduler maintains the *run queue*, a queue of ready-to-run processes, and the *sleep queue*, a queue of sleeping processes. Both are ordered by increasing precedence and preserve the order of insertion for processes with the same precedence. For the run queue, each process has precedence 0 in order to implement round-robin scheduling. For the sleep queue, each process uses its wake time as the precedence.

When the run queue is empty, the event-loop process calls osi\_get\_callbacks with a non-zero timeout based on the first entry in the sleep queue to avoid busy waiting. When the event-loop process finishes processing all completion packets, it places itself at the end of the run queue.

Concern: Some process may starve another process. Mitigation: The run queue is managed with round-robin scheduling to prevent starvation. The event-loop process does not starve other processes because it drains the completion queue without causing new completion packets to be enqueued.

The finalizer process runs the finalizers registered via add-finalizer. These finalizers typically close operating system interface handles to objects that are no longer accessible. Concern: Ill-behaved finalizers may cause memory and handle leaks. Mitigation: Finalizers are designed to execute quickly without failing. Typical finalizers guard against errors when closing handles. If the finalizer process exits with reason r, the system logs the event #(finalizer-process-terminated r) with console-event-handler and calls osi\_exit with exit code 80.

Once the finalizer process runs all the finalizers, it waits until another garbage collection has occurred before running again. The system hooks the collect procedure so that it sends a wake-up message to the finalizer process every time a garbage collection occurs. When the finalizer receives the wake-up message, it pumps all other wake-up messages from its inbox, since there may have been more than one garbage collection since it last ran.

Asynchronous I/O operations for COM ports, named pipes, external operating system processes, files, console input, and TCP connections are implemented with custom binary ports so that they have the same interface as the system I/O procedures. The system I/O procedures are not used because they perform synchronous I/O. The custom port buffer size is set to 1024<sup>3</sup> with custom-port-buffer-size. The custom binary port read and write procedures call osi\_read\_port and osi\_write\_port with callbacks that send a message to the calling process, which waits until it receives the message.

Concern: Using a port from more than one process at the same time may cause errors including buffer corruption. Mitigation: The code is inspected for concurrent use of ports. Port visibility is typically limited to a single process.

For two-way communication ports, we use two custom ports: one exclusively for input, and one exclusively for output. We do not use custom input/output ports for two reasons. First, textual input/output ports created with transcoded-port are not safe to use from two concurrent processes because one transcoding buffer is used for both reading and writing. Second, the input side of a port is commonly used only by a reader process, and the output side of a port is commonly used

 $<sup>^{3}1024</sup>$  was chosen because Chez Scheme uses 1024 for the buffer size of buffered transcoded ports.

only by a writer process. Keeping the input and output sides separate prevents concurrent use. The underlying handle is closed when the output port is closed.

Concern: Failing to close a handle from the operating system interface that is no longer used causes resource leaks. Mitigation: An osi-port guardian created by make-foreign-handle-guardian with type name osi-ports is used to identify and close inaccessible osi-ports. A path-watcher guardian created by make-foreign-handle-guardian with type name path-watchers is used to identify and close inaccessible path watchers. A listener guardian created by make-foreign-handle-guardian with type name tcp-listeners is used to identify and close inaccessible TCP listeners. In all cases, interrupts are disabled around code that wraps handles and registers objects with guardians in order to prevent the current process from being killed during this critical time.

## 4.4 Programming Interface

#### 4.4.1 Process Creation

(spawn thunk) procedure

returns: a process

The spawn procedure creates and returns a new process that executes thunk, a procedure of no arguments. The new process starts with name = #f, sic = 0 (interrupts enabled), winders = (), an exception-state that terminates the process on an unhandled exception, an empty inbox, precedence = 0, flags = 0 (the process is not sleeping and does not trap exits), links = (), monitors = (), and src = #f.

(spawn&link thunk) procedure

returns: a process

Like spawn, the spawn&link procedure creates and returns a new process that executes thunk. In addition, it links the new process to the calling process.

## 4.4.2 Process Registration

(get-registered) procedure

returns: a list of registered process names

The get-registered procedure returns a list of currently registered process names from the registrar.

(register name process) procedure

returns: #t

The register procedure adds  $name \rightarrow process$  to the registrar and sets process.name = name. When a registered process exits, its registration is removed. If name is not a symbol, exception #(bad-arg register name) is raised. If process is not a process, exception #(bad-arg register process) is raised. If process is dead, exception #(process-dead process) is raised. If process is already registered to name n, exception #(process-already-registered n) is raised. If name is already registered to process p, exception #(name-already-registered p) is raised.

(unregister name) procedure

returns: #t

The unregister procedure removes  $name \rightarrow process$  from the registrar and sets process.name = #f. If name is not registered, exception  $\#(bad-arg\ unregister\ name)$  is raised.

(whereis name) procedure

returns: a process | #f

The whereis procedure returns the process associated with *name* or **#f** if *name* is not registered. If *name* is not a symbol, exception **#(bad-arg whereis** *name*) is raised.

## 4.4.3 Process Termination, Links, and Monitors

(catch e1 e2 ...) expands to: (\$trap (lambda () e1 e2 ...) ->EXIT)

The catch macro evaluates expressions  $e1\ e2\ldots$  in a dynamic context that traps exceptions. If no exception is raised, the return value is the value of the last expression. If exception reason is raised, #(EXIT reason) is returned.

(try e1 e2 ...) syntax expands to: (\$trap (lambda () e1 e2 ...) ->fault-condition)

The try macro evaluates expressions  $e1\ e2\ldots$  in a dynamic context that traps exceptions. If no exception is raised, the return value is the value of the last expression. If exception reason is raised, the return value is a fault condition matching the extended match pattern '(catch reason [e]).

 $(\mathsf{catch}\ r\ [e])$  match-extension

matches: exceptions trapped by try or catch

The extended match pattern '(catch r [e]) matches exceptions trapped by try. For compatibility with older code, this pattern also matches exceptions trapped by catch. The r pattern is matched against the exit reason in the trapped exception. The optional e pattern is typically a <code>,variable</code> pattern that binds variable for use as an argument to throw or raise. If the trapped exception is a fault condition generated by throw, make-fault, or make-fault/no-cc, then e is matched against the fault condition, which may contain additional debugging context. Otherwise, e is matched against the exit reason.

(throw r [inner]) procedure

returns: does not return

The throw procedure raises a fault condition containing reason r, an optional inner exception *inner*, and the current continuation, which may provide useful debugging context. The exception raised may be trapped by try and matched using the extended match pattern '(catch r [e]).

(make-fault r [inner]) procedure

returns: a fault condition

The make-fault procedure returns a fault condition containing reason r, an optional inner exception *inner*, and the current continuation, which may provide useful debugging context. The return value matches the extended match pattern '(catch r [e]).

```
(make-fault/no-cc \ r \ [inner])
```

procedure

returns: a fault condition

The make-fault/no-cc procedure returns a fault condition containing reason r, and an optional inner exception *inner*, but omits the current continuation. The return value matches the extended match pattern '(catch r [e]).

(demonitor monitor)

procedure

returns: #t

The demonitor procedure removes a *monitor* created by the calling process (self) from self.monitors and monitor.target.monitors if present. If monitor is not a monitor with origin = self, exception #(bad-arg demonitor monitor) is raised.

#### (demonitor&flush monitor)

procedure

returns: #t

The demonitor&flush procedure provides a convenient way to demonitor and flush any remaining DOWN message from the calling process's inbox. It performs the following operations:

(demonitor monitor)

(receive (until 0 #t)

[`(DOWN ,@monitor ,\_ ,\_) #t])

#### (kill process reason)

procedure

returns: #t

The kill procedure is used to terminate a process.

- 1. If process is not a process, exception #(bad-arg kill process) is raised.
- 2. If *process* has already exited, nothing happens.
- 3. If reason is kill, process is terminated with reason killed, even if it traps exits.
- 4. If *process* traps exits, a message matching '(EXIT *self reason*) is sent to *process*, where *self* is the calling process.
- 5. If process does not trap exits and reason is normal, nothing happens.
- 6. Otherwise, process is terminated with reason.

#### (link process)

procedure

returns: #t

The link procedure creates a bi-directional link between the calling process (self) and process. No more than one link can exist between two processes, but it is not an error to call link more than once on the same two processes.

- 1. If process is not a process, exception #(bad-arg link process) is raised.
- 2. If *process* is *self*, nothing happens.
- 3. If *process* has not exited, then if the two processes are already linked, nothing happens; otherwise, *self* is added to *process*.links, and *process* is added to *self*.links.
- 4. Otherwise, process has exited with reason r = process.exception-state.
  - (a) If self traps exits, a message matching '(EXIT process r) is sent to self.
  - (b) If self does not trap exits and reason is normal, nothing happens.
  - (c) Otherwise, self is terminated with reason r.

(monitor process) procedure

returns: a monitor

The monitor procedure creates and returns a new monitor m with origin = the calling process (self) and target = process. Unlike link, monitor can create more than one connection between the same processes. It adds m to self.monitors and process.monitors. When process exits or has already exited with reason r, a message matching '(DOWN m process r) is sent to self. If process is not a process, exception #(bad-arg monitor process) is raised.

(monitor? x) procedure

returns: a boolean

The monitor? procedure determines whether or not the datum x is a monitor.

(unlink process) procedure

returns: #t

The unlink procedure removes the bi-directional link if present between the calling process (self) and process by removing self from process.links and process from self.links. If process is not a process, exception #(bad-arg unlink process) is raised.

'(EXIT p r [e]) match-extension

matches: exit messages generated by kill or link

The extended match pattern '(EXIT p r [e]) matches exit messages generated by kill or link. The pattern p is matched against the *process* in the message. If the *reason* in the message is an exception trapped by try or catch, or a fault condition generated by make-fault or make-fault/no-cc, then the patterns r and e are matched against *reason* as if by the extended match pattern '(catch r [e]). Otherwise, both r and e are matched against *reason* directly.

'(DOWN m p r [e]) match-extension

matches: down messages generated by monitor

The extended match pattern '(DOWN m p r [e]) matches down messages generated by monitor. The pattern m is matched against the monitor in the message. The pattern p is matched against the monitored process in the message. If the reason in the message is an exception trapped by try or catch, or a fault condition generated by make-fault or make-fault/no-cc, then the patterns r and e are matched against reason as if by the extended match pattern '(catch r [e]). Otherwise, both r and e are matched against reason directly.

pattern	matches
symbol	itself
number	itself
boolean	itself
character	itself
string	itself
by tevector	itself
()	itself
$(p_1 \ . \ p_2)$	a pair whose car matches $p_1$ and cdr matches $p_2$
$\#(p_1 \ldots p_n)$	a vector of $n$ elements whose elements match $p_1 \ldots p_n$
#!eof	a datum satisfying eof-object?
,_	any datum
, $variable$	any datum and binds a fresh variable to it
, @ $variable$	any datum equal? to the bound variable
, ( $variable \leftarrow pattern$ )	any datum that matches pattern and binds a fresh variable to it
'( $type \ \{$ , $field \   \ , @field \   \ [fie$	ld pattern]})
	an instance of the tuple or native record type, each field of which
	is bound to fresh variable field or matches the corresponding
	pattern; , @field is treated as [field , @field]; type must be known
	at expand time
'(ext spec)	as specified by define-match-extension for ext

Figure 4.1: Pattern Grammar

## 4.4.4 Messages and Pattern Matching

The pattern matching syntax of Figure 4.1 provides a concise and expressive way to match data structures and bind variables to parts. The receive, match, match-define, and match-let\* macros use this pattern language. The implementation makes a structurally recursive pass over the pattern to check for duplicate pattern variables as it emits code that matches the input against the pattern left to right.

```
(match exp (<pattern> [(guard g)] b1 b2 ...) ...)
```

**returns:** the value of the last expression b1 b2 ... for the matched pattern

The match macro evaluates exp once and tests its value v against each pattern and optional guard. Each guard expression g is evaluated in the scope of its associated pattern variables. When g returns #f, v fails to match that clause. For the first pattern and guard that matches v, the expressions b1 b2 ... are evaluated in the scope of its pattern variables. If v fails to match all patterns, exception  $\#(bad-match\ v\ src)$  is raised, where src is the source location of the match clause if available.

See Figure 4.1 for the pattern grammar.

```
(match-define <pattern> exp) syntax expands to: see below
```

The match-define macro evaluates exp and matches the resulting input against the pattern.

Pattern-variable bindings are established via define and inhabit the same scope in which the match-define form appears. The match-define macro does not support guard expressions. If the pattern fails to match, exception #(bad-match v src) is raised, where v is the datum that failed to match the pattern at source location src if available.

See Figure 4.1 for the pattern grammar.

```
(match-let* ([<pattern> [(guard g)] exp] ...) b1 \ b2 \ ...)
```

**returns:** the value of the last expression b1 b2 ...

The match-let\* macro evaluates each exp in the order specified and matches its value against its pattern and guard. The pattern variables of each clause extend the scope of its guard expression g and all subsequent pattern clauses and body expressions  $b1\ b2\ldots$ . The match-let\* macro returns the value of the last body expression. If any pattern fails to match or any g returns #f, exception #(bad-match v src) is raised, where v is the datum that failed to match the pattern or guard at source location src if available.

See Figure 4.1 for the pattern grammar.

returns: the value of the last evaluated expression

The receive macro examines each message m in the calling process's inbox by testing it against each pattern and optional guard. Each guard expression g is evaluated in the scope of its associated pattern variables. When g returns #f, m fails to match that clause. For the first pattern and guard that matches m, m is removed from inbox, and the expressions b1 b2 ... are evaluated in the scope of its pattern variables. If m fails to match all patterns, the examination continues with the next message in inbox. When all messages have been examined, the calling process waits with its  $\operatorname{src}$  field set to the source location of the  $\operatorname{receive}$  macro if available. The process awakens when a new message or the time specified by the optional  $\operatorname{after}$  or  $\operatorname{until}$  clause arrives. If a new message arrives before the timeout, the examination process continues as before. Otherwise, the timeout expressions t1 t2 ... are evaluated.

The optional after clause specifies a *timeout* in milliseconds from the time at which control enters the receive macro. Similarly, the optional until clause specifies a clock *time* in milliseconds as measured by erlang:now. In addition, *timeout* and *time* can be infinity to indicate no timeout. If t = timeout or time is not a non-negative exact integer or infinity, exception #(timeout-value t src) is returned, where src is the source location of the receive macro if available.

See Figure 4.1 for the pattern grammar.

```
(define-match-extension ext handle-object [handle-field]) syntax expands to: see below
```

The define-match-extension macro attaches a property to the identifier ext, via define-property, so that the expander calls handle-object to translate '(ext spec ...) patterns when generating code

for match, match-define, match-let\*, or receive. The *handle-object* procedure takes two arguments: v, an identifier that will be bound in the generated code to the value to be matched, and pattern, a syntax object for an expression of the form '(ext spec ...). The *handle-object* procedure can return #f to report an invalid pattern. Otherwise, *handle-object* should translate the given pattern to a list of one or more instructions in the following simple language:

```
(bind v e) binds v to the value of e via let or define (guard g) rejects the match if g evaluates to #f (sub-match e pattern) matches the value of e against pattern (handle-fields input field-spec ...) invokes handle-field to translate each field-spec
```

The generated code evaluates the instructions in the order they are returned. For example, a guard expression may refer to a binding established by a bind earlier in the list of instructions. The submatch and handle-fields instructions are processed at expand time and may appear only as the final instruction in the list returned by handle-object.

The (handle-fields input field-spec ...) instruction parses each field-spec from left to right and calls handle-field with five arguments: the input from the instruction, the field identified, the var that should be bound to the value of field, a list of options appearing in the field-spec, and the original pattern context. The following table shows how each field-spec is parsed into arguments for handle-field:

$field ext{-}spec$	field	var	options	notes
, field	field	field	()	field must be an identifier
, @ $field$	field	unique	()	field must be an identifier
[field pattern option]	field	unique	(option $\dots$ )	unique is matched against pattern

The *handle-field* procedure can return **#f** to report an invalid *field*. Otherwise, *handle-field* should return a list of **bind** or **guard** instructions that bind *var* and perform any checks needed to confirm a match. The resulting instructions are evaluated in the order they are returned.

Where temporaries are introduced in the generated output, the *handle-object* and *handle-field* procedures should use with-temporaries to avoid unintended variable capture.

(send destination message)	procedure
returns: unspecified	

The send procedure sends message to a process or registered name, destination. If destination is not a process or registered name, exception #(bad-arg send destination) is raised. If destination has exited, nothing else happens. Otherwise, message is added to the end of destination.inbox. If destination is sleeping, it is awakened. If destination is not blocked for I/O and not on the run queue, it is placed on the run queue with precedence 0.

#### 4.4.5 Process Properties

(pps [op])
returns: unspecified

The pps procedure prints information about all processes to textual output port op, which defaults to the current output port. If op is not an output port, exception #(bad-arg pps op) is raised.

(process? x) procedure

returns: a boolean

The process? procedure determines whether or not the datum x is a process.

(process-id [process])

procedure

returns: the process id

The process-id procedure returns *process*.id, where *process* defaults to self. If *process* is not a process, exception #(bad-arg process-id *process*) is raised.

(global-process-id process)

procedure

returns: a string; see below

The global-process-id procedure returns a string of the form "session-id: process-id". The process-id is the process-id of process. If the log-db gen-server has been started, then session-id is an integer session identifier that is unique in that database instance. Otherwise, session-id is omitted. If process is not a process, exception #(bad-arg global-process-id process) is raised.

(process-name [process])

procedure

returns: the process name or #f

The process-name procedure returns *process*.name, where *process* defaults to self. If *process* is not a process, exception #(bad-arg process-name *process*) is raised.

(process-parent)

procedure

returns: the parent process or #f

The process-parent procedure returns the process which spawned the current process or #f if the parent process has been garbage collected.

process-trap-exit

parameter

value: boolean

The process-trap-exit parameter specifies whether or not the calling process traps exit signals as messages. Processes start with this parameter set to #f.

self
returns: the current process

syntax

The self macro uses identifier-syntax to expand into code that retrieves the global self variable's top-level value. The global variable cannot be used directly because library bindings are immutable.

4.4.6 Miscellaneous

(add-finalizer finalizer)

procedure

returns: unspecified

The add-finalizer procedure adds finalizer to the global list of finalizers. finalizer is a procedure of no arguments that runs in the finalizer process after garbage collections. If finalizer is not a procedure, exception #(bad-arg add-finalizer finalizer) is raised.

(make-foreign-handle-guardian type-name get-handle set-handle!

get-create-time close-handle print)

procedure

**returns:** a procedure that expects r and handle

The make-foreign-handle-guardian procedure assists in managing handles returned by foreign procedures. A common pattern is to wrap each foreign handle in a record, register the record with a guardian, and add a finalizer that closes the handles of records the guardian identifies as inaccessible. The make-foreign-handle-guardian procedure supports this pattern by creating a guardian and installing a suitable finalizer via add-finalizer. It also adds *type-name* to the set of foreign types recognized by count-foreign-handles, foreign-handle-count, foreign-handle-print, and print-foreign-handles to help monitor foreign handles that are still open.

The type-name must be a symbol; the remaining arguments must be procedures. It is an error if type-name has already been registered. The get-handle and get-create-time procedures should expect a single argument r; get-create-time must return an integer. The set-handle! procedure should expect r and handle and store handle in r, where it can be retrieved by get-handle.

The close-handle procedure runs in the finalizer process. It should take r and disable interrupts before checking whether (get-handle r) is already #f, since the guardian may return inaccessible objects that are already closed. If not, it should close the foreign handle and call the foreign-handle guardian with r and #f to clear the association of r with handle.

The *print* procedure should expect a textual output port op, r, and handle and print diagnostic information about the handle to op followed by a newline. See the output of print-foreign-handles for examples.

The make-foreign-handle-guardian procedure returns a procedure that expects two arguments: r and a handle that is either an integer or #f. Other code should not call set-handle! but should instead call the resulting procedure with interrupts disabled. If handle is #f, this procedure calls (set-handle! r #f) and removes r from the weak eq-hashtable consulted by foreign-handle-count, etc. If handle is not #f, then the procedure checks whether (get-handle r) is #f. If so, it calls (set-handle! r handle). Otherwise, it registers r with the guardian. In either case, it adds r to the weak eq-hashtable consulted by foreign-handle-count, etc. To ensure that r is registered with the guardian just once, r should already contain handle the first time the procedure is called with r and a handle that is not #f.

(count-foreign-handles obj report-count)

procedure

returns: obj

The count-foreign-handles procedure takes an arbitrary *obj* and a procedure *report-count* that takes *obj*, a symbol *type* identifying a source of foreign handles, and *count*, the number of open handles of that type. The count-foreign-handles procedure calls *report-count* once for each foreign-handle type registered with make-foreign-handle-guardian, in an unspecified order.

(foreign-handle-count type-name)

procedure

returns: a procedure

The foreign-handle-count procedure takes a symbol *type-name* that must already have been registered with make-foreign-handle-guardian and returns a procedure of no arguments that returns the number of open foreign handles of that type.

```
(foreign-handle-print type-name)
```

procedure

returns: a procedure

The foreign-handle-print procedure takes a symbol type-name that must already have been registered with make-foreign-handle-guardian and returns a procedure that prints information about open handles of that type. The procedure returned uses the print and get-create-time procedures registered with make-foreign-handle-guardian. The procedure takes an optional textual output port op, which defaults to the current output port, and calls print for each open handle of the designated type in increasing order of the creation time from get-create-time and then by increasing handle.

#### (print-foreign-handles [op])

procedure

returns: unspecified

The print-foreign-handles procedure prints information about open foreign handles to textual output port op, which defaults to the current output port. The print-foreign-handles procedure calls the procedure returned by (foreign-handle-print type-name) for each foreign-handle type registered with make-foreign-handle-guardian.

The arg-check macro raises a bad-arg exception if any arg fails any pred specified for that arg. Within coverage reports, profile counts on the arg-check keyword indicate the number of bad-arg cases encountered.

```
(bad-arg who arg) procedure
```

returns: never

The bad-arg procedure raises exception #(bad-arg who arg).

```
(complete-io process)
```

procedure

returns: unspecified

The complete-io procedure is used in callback functions to unblock a *process* from a call to wait-for-io. If *process* is not a process, exception #(bad-arg complete-io *process*) is raised.

#### (console-event-handler event)

procedure

returns: unspecified

The console-event-handler procedure prints an *event* to the console error port. It is used when the event manager is not available. It disables interrupts so that it can be called from multiple processes safely. The output is designed to be machine readable. The output looks like this:

Date: Fri Aug 06 11:54:59 2010

Timestamp: 1281110099144

Event: event

The date is the local time from the date-and-time procedure, the timestamp is the clock time from erlang:now, and *event* is printed as with write.

(dbg) procedure

(dbg id)

returns: see below

The dbg procedure is used to debug processes that exit with a continuation condition.

(dbg) prints to the current output port the process id and exception message for each process that exited with a continuation condition.

(dbg id) enters the interactive debugger using the exception state of process id. If process id does not exist or did not exit with a continuation condition, the following message is printed: "Nothing to debug."

#### (ps-fold-left id<? base f)

procedure

returns: see below

The ps-fold-left procedure folds over the process table ordered by id < ? on process-id and calls f with the accumulator value (initially base) and the process for each entry in the table.

#### (with-process-details p f)

procedure

returns: see below

The with-process-details procedure takes a process p and returns the value obtained by calling the procedure f with four values: the process id, the process name, the value of erlang:now when the process was created, and a representation of the process state that may be passed to print-process-state.

#### (print-process-state state op)

procedure

returns: unspecified

The print-process-state procedure takes a *state* from with-process-details and prints a description of the process state to the textual output port *op* in the format used by pps.

(dump-stack [op])

procedure

(dump-stack k op max-depth)

returns: unspecified

The dump-stack procedure calls walk-stack to print information about the stack to textual output port *op*, which defaults to the current output port.

k is a continuation, and max-depth is either the symbol default or a positive fixnum. See walk-stack for details on the max-depth argument.

 $(dump-stack \ op) \ calls \ (call/cc \ (lambda \ (k) \ (dump-stack \ k \ op \ 'default))).$ 

```
(limit-stack e\theta e1 ...) syntax expands to: ($limit-stack (lambda () e\theta e1 ...) source)
```

The limit-stack macro adds a stack frame that may be recognized by limit-stack? By default, walk-stack avoids descending below such frames. The limit-stack macro evaluates expressions  $e0\ e1\ \dots$  from left to right and returns the values of the last expression.

```
(limit-stack? x) procedure
```

returns: see below

The limit-stack? procedure returns true if x is a continuation whose top frame is a limit-stack frame. Otherwise it returns #f.

```
(walk-stack k base handle-frame combine [who max-depth truncated]) procedure returns: see below
```

The walk-stack procedure walks the stack of continuation k by calling the *handle-frame* and *combine* procedures for each stack frame until it reaches the base of the stack or a limit-stack frame, or depth reaches the optional max-depth, or the next argument to combine is not called.

The handle-frame procedure is called with four arguments:

```
description a string describing the stack frame, e.g., "#<continuation in g>"
source a source object identifying the return point or #f
proc-source a source object identifying the procedure containing the return point or #f
vars a list associating live free variables by name (or index) with their values
```

If max-depth is omitted or is the symbol default, then walk-stack uses the value of walk-stack-max-depth as max-depth and stops if recognizes a limit-stack frame. If max-depth is specified explicitly, then walk-stack does not stop at limit-stack frames. If walk-stack reaches a depth of max-depth, it calls the optional truncated procedure with base and depth. Otherwise, walk-stack calls the combine procedure with four arguments:

```
frame the value returned by handle-frame for the current frame
base the accumulator
depth the zero-based depth of the current frame
next a procedure that takes base and continues with the next frame
```

If walk-stack receives an invalid argument val, it calls (bad-arg who val) with the symbol walk-stack as the default value for the optional who argument. The default truncated procedure simply returns the value of base passed in.

parameter

returns: a nonnegative fixnum

walk-stack-max-depth

The walk-stack-max-depth parameter specifies the default maximum depth to which walk-stack descends when the optional *max-depth* argument is omitted or is the symbol default.

#### (exit-reason->stacks x)

procedure

returns: a list of continuations

The exit-reason->stacks procedure takes a Swish condition x, as created by throw or trapped by try, and returns a list of continuations recorded in x. The continuations are listed innermost to outermost.

(erlang:now) procedure

returns: the current clock time in milliseconds

The erlang:now procedure calls osi\_get\_time to return the number of milliseconds in UTC since the UNIX epoch January 1, 1970.

## (make-process-parameter initial [filter])

procedure

returns: a process-parameter procedure

The make-process-parameter procedure creates a parameter procedure p that provides perprocess, mutable storage via the parameters weak eq-hashtable of each process. Calling p with no arguments returns the current value of the parameter for the calling process, and calling p with one argument sets the value of the parameter for the calling process. The *filter*, if present, is a procedure of one argument that is applied to the *initial* and all subsequent values. If *filter* is not a procedure, exception #(bad-arg make-process-parameter *filter*) is raised.

The following system parameters are not process safe and have been redefined to use make-process-parameter: command-line, command-line-arguments, custom-port-buffer-size, exit-handler, keyboard-interrupt-handler. pretty-initial-indent, pretty-line-length, pretty-maximum-lines, pretty-one-line-limit, pretty-standard-indent, print-brackets, print-char-name, print-gensym, print-graph, print-length, print-level, print-precision, print-radix, print-record, print-unicode, print-vector-length, reset-handler, and waiter-prompt-and-read, waiter-prompt-string.

#### inherited-parameters

parameter

value: a list of process-parameter procedures

The inherited-parameters parameter contains a list of procedures representing process parameters whose values are propagated into spawned processes. Before creating a process, spawn and spawn&link record the current values of the inherited-parameters and install these values in the new process just before it calls the thunk. For efficiency, spawn and spawn&link do not re-apply a parameter's filter when installing these values in the new process. These values are already filtered, since the filter, if any, is called when a parameter is set.

#### (make-inherited-parameter initial [filter])

procedure

returns: a process-parameter procedure

The make-inherited-parameter procedure calls make-process-parameter to create a per-process parameter procedure p and adds p to the inherited-parameters list before returning p.

(keyboard-interrupt process)

procedure

returns: unspecified

The keyboard-interrupt procedure causes *process* to call ((keyboard-interrupt-handler)) as soon as possible.

```
(on-exit finally b1 b2 \dots)
```

syntax

expands to:

(dynamic-wind
 void
 (lambda () b1 b2 ...)
 (lambda () finally))

The on-exit macro executes the body expressions b1 b2 ... in a dynamic context that executes the finally expression whenever control leaves the body.

(profile-me)

procedure

returns: unspecified

The profile-me procedure does nothing but provide a place-holder for the system profiler to count the call site. When profiling is turned off, (profile-me) expands to (void), and the system optimizer eliminates it.

(profile-me-as form)

syntax

returns: unspecified

The profile-me-as macro does nothing but provide a place-holder for the system profiler to count the call site. If source information is present on *form*, the profile count for this call site is attributed to that *form*. When profiling is turned off or when source information is not present on *form*, profile-me-as expands to (void), and the system optimizer eliminates it.

(wait-for-io name)

procedure

returns: unspecified

The wait-for-io procedure blocks the current process for I/O. The *name* string indicates the target of the I/O operation. To unblock the process, call complete-io from a callback function.

windows?

syntax

expands to: a boolean

The windows? macro expands to #t if the host is running Microsoft Windows and #f if not.

## **4.4.7** Tuples

For users of the concurrency model, a *tuple* is a container of named, immutable fields implemented as a vector whose first element is the tuple name and remaining elements are the fields. Each tuple definition is a macro that provides all tuple operations using field names only, not field indices. The macro makes it easy to copy a tuple without having to specify the fields that don't change. We

decided not to use the Scheme record facility because it does not provide name-based constructors, copy operators, or convenient serialization.

```
(define-tuple name field ...)
```

syntax

expands to: a macro definition of name described below

The define-tuple macro defines a macro for creating, copying, identifying, and accessing tuple type *name*. name and field ... must be identifiers. No two field names can be the same. The following field names are reserved: make, copy, copy\*, and is?.

```
(name make [field value] ...)
```

syntax

**returns:** a new instance of tuple type name with  $field = value \dots$ 

The make form creates a new instance of the tuple type name. field bindings may appear in any order. All fields from the tuple definition must be specified.

(name field instance)

syntax

returns: instance.field

The field accessor form retrieves the value of the specified *field* of *instance*. If r = instance is not a tuple of type name, exception #(bad-tuple  $name \ r \ src$ ) is raised, where src is the source location of the field accessor form if available.

(name field) syntax

returns: a procedure that, given instance, returns instance.field

The (name field) form expands to (lambda (instance) (name field instance)).

```
(name open instance [prefix] (field ...))
```

syntax

**expands to:** definitions for *field* ... or *prefixfield* ... described below

The open form defines identifier syntax for each specified field so that a reference to field expands to (name field r) where r is the value of instance. If r is not a tuple of type name, exception #(bad-tuple name r src) is raised, where src is the source location of the open form if avalable. The open form is equivalent to the following, except that it checks the tuple type only once:

```
(begin
```

```
(define instance instance)
(define-syntax field (identifier-syntax (name field instance)))
...)
```

The open form introduces definitions only for fields listed explicitly in (field ...). If the optional prefix identifier is supplied, open produces a definition for prefixfield rather than field for each field specified.

```
(name copy instance [field value] ...)
```

syntax

**returns:** a new instance of tuple type name with  $field = value \dots$  and remaining fields copied from instance

The copy form creates a copy of *instance* except that each specified *field* is set to the associated value. If r = instance is not a tuple of type name, exception #(bad-tuple  $name \ r \ src$ ) is raised,

where *src* is the source location of the **copy** form if avalable. *field* bindings may appear in any order.

```
(name copy* instance [field value] ...)
```

syntax

**returns:** a new instance of tuple type name with  $field = value \dots$  and remaining fields copied from instance

The copy\* form is like copy except that, within the value expressions, each specified field is bound to an identifier macro that returns the value of instance. field. If r = instance is not a tuple of type name, exception #(bad-tuple name r src) is raised, where src is the source location of the copy\* form if avalable. The copy\* form is equivalent to the following, except that it checks the tuple type only once:

```
(let ([instance instance])
  (name open instance (field ...))
  (name copy instance [field value] ...))
```

(name is? x)

syntax

returns: a boolean

The is? form determines whether or not the datum x is an instance of tuple type name.

(name is?)

**expands to:** a predicate that returns true if and only if its argument is an instance of tuple type name

The (name is?) form expands to (lambda (x) (name is? x)).

## 4.4.8 I/O

(binary->utf8 bp)

procedure

**returns:** a transcoded textual port wrapping bp

The binary->utf8 procedure takes a binary port bp and returns a textual port wrapping bp using transcoded-port and (make-utf8-transcoder). The original port bp is marked closed so that it cannot be used except through the associated textual port.

#### (close-osi-port port)

procedure

returns: unspecified

The close-osi-port procedure closes osi-port port using osi\_close\_port. If port has already been closed, close-osi-port does nothing.

#### (close-path-watcher watcher)

procedure

returns: unspecified

The close-path-watcher procedure uses osi\_close\_path\_watcher to close the given path watcher. If watcher is not a path watcher, exception #(bad-arg close-path-watcher watcher) is raised. If watcher has already been closed, close-path-watcher does nothing.

#### (close-tcp-listener *listener*)

procedure

returns: unspecified

The close-tcp-listener procedure closes a TCP *listener* using osi\_close\_tcp\_listener. If *listener* is not a TCP listener, exception #(bad-arg close-tcp-listener *listener*) is raised. If *listener* has already been closed, close-tcp-listener does nothing.

#### (connect-tcp hostname port-spec)

procedure

returns: two values: a binary input port and a binary output port

The connect-tcp procedure calls osi\_connect\_tcp and blocks while the TCP connection to host-name on port-spec is established or fails to be established. The port-spec may be a port number or a string service name such as "http". The procedure returns a custom binary input port that reads from the new connection and a custom binary output port that writes to the new connection. These ports support port-position but not set-port-position!, and the underlying osi-ports are registered with the osi-port guardian.

If osi\_connect\_tcp fails with error pair (who . errno), exception #(io-error "[hostname]:port-spec" who errno) is raised. If hostname is not a string, exception #(bad-arg connect-tcp hostname) is raised. If port-spec is not a fixnum between 0 and 65535 inclusive or a string, exception #(bad-arg connect-tcp port-spec) is raised.

(directory? path)

procedure

returns: a boolean

The directory? procedure calls (get-stat path) to determine whether or not path is a directory.

#### (force-close-output-port op)

procedure

returns: unspecified

The force-close-output-port procedure is used to close an output port, even if it has unflushed output that would otherwise cause it to fail to close. If op is not already closed, force-close-output-port tries to close it with (close-output-port op). If it fails, the output buffer is cleared with (clear-output-port op), and (close-output-port op) is called again.

#### (get-datum/annotations-all ip sfd bfp)

procedure

returns: a list of annotated objects

The get-datum/annotations-all procedure takes a textual input port ip, a source-file descriptor sfd, and an exact nonnegative integer bfp representing the character position of the next character to be read from ip. The procedure returns a list of the annotated objects, in order, obtained by repeatedly calling get-datum/annotations with the advancing bfp, until ip reaches the end of file.

#### (get-file-size port)

procedure

returns: the number of bytes in the file associated with osi-port port

The get-file-size procedure calls osi\_get\_file\_size to return the number of bytes in the file associated with osi-port port.

If osi\_get\_file\_size fails with error pair (who . errno), exception #(io-error filename who errno) is raised.

(get-real-path path)

procedure

returns: the canonicalized absolute pathname of path

The get-real-path procedure calls osi\_get\_real\_path and returns the canonicalized absolute pathname of path.

(get-source-offset *ip*)

procedure

returns: an exact nonnegative integer

The get-source-offset procedure takes a binary input port ip that supports port-position, skips over the #! interpreter-directive line, if any, and returns the resulting port-position.

(get-stat path [follow?])

procedure

returns: a <stat> tuple

The get-stat procedure calls osi\_get\_stat and returns the <stat> tuple for path, following a symbolic link unless follow? is #f. If osi\_get\_stat fails with error pair (who . errno), exception #(io-error path who errno) is raised.

(get-uname)

procedure

returns: a <uname> tuple

The get-uname procedure returns a <uname> tuple of information about the operating system.

(hook-console-input)

procedure

returns: unspecified

The hook-console-input procedure replaces the system console input port, which uses synchronous I/O, with a custom textual input port that uses asynchronous I/O. It builds a custom binary input port with osi\_get\_stdin, wraps it with binary->utf8, and sets the result as the console-input-port, current-input-port, and the system internal \$console-input-port. It does nothing after it has been called once.

(io-error name who errno)

procedure

returns: never

The io-error procedure raises exception #(io-error name who errno). The string name identifies the port. The symbol who specifies the procedure that raised an error, and the number error specifies the error code. The read-osi-port procedure raises this exception with who=osi\_read\_port, and the write-osi-port procedure raises it with who=osi\_write\_port.

(list-directory path)

procedure

returns: ((name . type) ...)

The list-directory procedure calls osi\_list\_directory and returns ((name . type) ...), the list of directory entries of path. It does not include "." and ".." name is the string name of the directory entry, and type is one of the following constants:

DIRENT\_UNKNOWN DIRENT\_FILE DIRENT\_DIR

DIRENT\_LINK DIRENT\_FIFO

DIRENT SOCKET DIRENT CHAR DIRENT BLOCK If osi\_list\_directory fails with error pair (who . errno), exception #(io-error path who errno) is raised.

#### (listen-tcp address port-number process)

procedure

returns: a TCP listener

The listen-tcp procedure calls osi\_listen\_tcp to create a TCP listener on the given address and port-number and returns a TCP listener that is registered with the listener guardian.

For each accepted connection, the message #(accept-tcp listener ip op) is sent to process, where ip is the custom binary input port and op is the custom binary output port. Both ports support port-position but not set-port-position!.

For each failed connection, the message #(accept-tcp-failed listener who errno) is sent to process, where who and errno specify the error.

The address is a dotted quad IPv4 address or an IPv6 address. Use "::" to listen on all IPv4 and IPv6 interfaces. Use "0.0.0.0" to listen on all IPv4 interfaces. Otherwise, it listens on the given address only. If address is not a string, exception #(bad-arg listen-tcp address) is raised.

If port-number is zero, the operating system will choose an available port number, which can be queried with listener-port-number. If port-number is not a fixnum between 0 and 65535 inclusive, exception #(bad-arg listen-tcp port-number) is raised.

If osi\_listen\_tcp fails with error pair (who . errno), exception #(listen-tcp-failed address port-number who errno) is raised.

#### (listener-address listener)

procedure

returns: the address field of *listener* 

The listener-address procedure returns the address of the given TCP listener.

#### (listener-create-time listener)

procedure

returns: a clock time in milliseconds

The listener-create-time procedure returns the clock time from erlang:now when the given TCP listener was created.

#### (listener-port-number *listener*)

procedure

returns: the port-number field of listener

The listener-port-number procedure returns the port-number of the given TCP listener.

#### (listener? x)

procedure

returns: a boolean

The listener? procedure determines whether or not the datum x is a TCP listener.

#### (make-directory path [mode])

procedure

returns: unspecified

The make-directory procedure calls osi\_make\_directory to make directory path with mode, which defaults to #o777.

If osi\_make\_directory fails with error pair (who . errno), exception #(io-error path who errno) is raised.

## (make-directory-path path [mode])

procedure

returns: path

The make-directory-path procedure creates directories as needed for the file path using mode, which defaults to #o777. It returns path.

#### (make-osi-input-port p)

procedure

returns: a binary input port

The make-osi-input-port procedure returns a custom binary input port that reads from osi-port p and supports port-position but not set-port-position!. Closing the input port closes the underlying osi-port p.

## (make-osi-output-port p)

procedure

returns: a binary output port

The make-osi-output-port procedure returns a custom binary output port that writes to osi-port p and supports port-position but not set-port-position!. Closing the output port closes the underlying osi-port p.

#### (make-utf8-transcoder)

procedure

returns: a UTF-8 transcoder

The make-utf8-transcoder procedure creates a UTF-8 transcoder with end-of-line style none and error-handling mode replace.

## (open-fd-port name fd close?)

procedure

returns: an osi-port

The open-fd-port procedure creates an osi-port with the given name by calling osi\_open\_fd with fd and close?. The osi-port is registered with the osi-port guardian. When the osi-port is closed, the underlying file descriptor fd is closed if and only if close? is not #f. When  $0 \le fd \le 2$ , close? must be #f for the standard I/O file descriptor.

## (open-file name flags mode type)

procedure

returns: a custom file port

The open-file procedure creates a custom file port by calling (open-file-port name flags mode). The custom port supports both getting and setting the file position, except when type=append. The particular type of custom port returned is determined by type:

- binary-input: a binary input port
- binary-output: a binary output port

- binary-append: a binary output port. Each write appends to the file by specifying position

   1.
- input: a textual input port wrapping a binary input port with binary->utf8
- output: a textual output port wrapping a binary output port with binary->utf8
- append: a textual output port wrapping a binary output port with binary->utf8. Each write appends to the file by specifying position -1.

If type is any other value, exception #(bad-arg open-file type) is raised.

## (open-file-port name flags mode)

procedure

returns: an osi-port

The open-file-port procedure creates an osi-port by calling osi\_open\_file with name, flags, and mode. The osi-port is registered with the osi-port guardian.

The following constants are defined for *flags*:

O_APPEND	O_CREAT	O_DIRECT	O_DIRECTORY	O_DSYNC	O_EXCL
O_EXLOCK	O_NOATIME	O_NOCTTY	O_NOFOLLOW	O_NONBLOCK	O_RANDOM
O_RDONLY	O_RDWR	O_SEQUENTIAL	O_SHORT_LIVED	O_SYMLINK	O_SYNC
O TEMPORARY	O TRUNC	O WRONLY			

The following constants are defined for *mode*:

```
S_IFMT S_IFIFO S_IFCHR S_IFDIR S_IFBLK S_IFREG S_IFLNK S_IFSOCK
```

If osi\_open\_file fails with error pair (who . errno), exception #(io-error name who errno) is raised.

#### (open-binary-file-to-append name)

procedure

returns: a binary file port

The open-binary-file-to-append procedure calls (open-file name (+ O\_WRONLY O\_CREAT O\_APPEND) #o666 'binary-append').

## (open-binary-file-to-read name)

procedure

returns: a binary file port

The open-binary-file-to-read procedure calls (open-file name O\_RDONLY O 'binary-input).

#### (open-binary-file-to-replace name)

procedure

returns: a binary file port

The open-binary-file-to-replace procedure calls

(open-file name (+ O\_WRONLY O\_CREAT O\_TRUNC) #o666 'binary-output).

#### (open-binary-file-to-write name)

procedure

returns: a binary file port

The open-binary-file-to-write procedure calls (open-file name (+ O\_WRONLY O\_CREAT O\_EXCL) #o666 'binary-output).

(open-file-to-append name)

procedure

returns: a textual file port

The open-file-to-append procedure calls

(open-file name (+ O\_WRONLY O\_CREAT O\_APPEND) #o666 'append).

(open-file-to-read name)

procedure

returns: a textual file port

The open-file-to-read procedure calls (open-file name O\_RDONLY O 'input).

(open-file-to-replace name)

procedure

returns: a textual file port

The open-file-to-replace procedure calls

(open-file name (+ O\_WRONLY O\_CREAT O\_TRUNC) #o666 'output).

(open-file-to-write name)

procedure

returns: a textual file port

The open-file-to-write procedure calls

(open-file name (+ O\_WRONLY O\_CREAT O\_EXCL) #o666 'output).

(open-utf8-bytevector bv)

procedure

**returns:** a transcoded textual input port wrapping bv

The open-utf8-bytevector procedure calls (binary->utf8 (open-bytevector-input-port bv)).

(osi-port-closed? p)

procedure

returns: a boolean

The osi-port-closed? procedure determines whether or not the osi-port p is closed.

(osi-port-count)

procedure

returns: the number of open osi-ports

The osi-port-count procedure returns the number of open osi-ports.

(osi-port-create-time p)

procedure

returns: a clock time in milliseconds

The osi-port-create-time procedure returns the clock time from erlang:now when the osi-port p was created.

(osi-port-name p)

procedure

returns: a string

The osi-port-name procedure returns the name of osi-port p.

(osi-port? x) procedure

returns: a boolean

The osi-port? procedure determines whether or not the datum x is an osi-port.

(path-combine  $path_1$   $path_2$  ...)

procedure

returns: the string combining the paths

The path-combine procedure appends one or more paths, inserting the directory-separator character between each pair of paths as needed.

(path-watcher-count)

procedure

returns: the number of open path watchers

The path-watcher-count procedure returns the number of open path watchers.

(path-watcher-create-time watcher)

procedure

returns: a clock time in milliseconds

The path-watcher-create-time procedure returns the clock time from erlang:now when the given path watcher was created.

(path-watcher-path watcher)

procedure

returns: the path field of watcher

The path-watcher-path procedure returns the path of the given path watcher.

(path-watcher? x)

procedure

returns: a boolean

The path-watcher? procedure determines whether or not the datum x is a path watcher.

(print-osi-ports [op])

procedure

returns: unspecified

The print-osi-ports procedure prints information about all open osi-ports to textual output port op, which defaults to the current output port.

(print-path-watchers [op])

procedure

returns: unspecified

The print-path-watchers procedure prints information about all open path watchers to textual output port *op*, which defaults to the current output port.

(print-signal-handlers [op])

procedure

returns: unspecified

The print-signal-handlers procedure prints information about all active signal handlers to textual output port *op*, which defaults to the current output port.

(print-tcp-listeners [op])

procedure

returns: unspecified

The print-tcp-listeners procedure prints information about all open TCP listeners to textual output port *op*, which defaults to the current output port.

(read-bytevector name contents)

procedure

returns: a list of annotations

The read-bytevector procedure takes a filename *name* and *contents* bytevector and returns a list of annotations read using get-datum/annotations from the *contents* bytevector transcoded with (make-utf8-transcoder).

(read-file name)

procedure

**returns:** a bytevector with the contents of name

The read-file procedure calls (open-file-port name O\_RDONLY 0) to open the file name and returns the contents as a bytevector.

(read-osi-port port by start n fp)

procedure

returns: the number of bytes read

The read-osi-port procedure calls osi\_read\_port with the handle from the given osi-port port, bytevector buffer bv, starting 0-based buffer index start, maximum number of bytes to read n, and starting 0-based file position fp. To specify the current position, use fp=-1. The calling process blocks for the I/O to complete. If the read fails with error pair ( $who \cdot errno$ ), exception #(io-error  $name \ who \ errno$ ) is raised, where name is the name of port. Otherwise, the number of bytes read is returned. Error code UV\_EOF (end of file) is not considered an error, and 0 is returned.

(regular-file? path)

procedure

returns: a boolean

The regular-file? procedure calls (get-stat path) to determine whether or not path is a regular file.

(remove-directory path)

procedure

returns: unspecified

The remove-directory procedure calls osi\_remove\_directory to remove directory path.

If osi\_remove\_directory fails with error pair (who . errno), exception #(io-error path who errno) is raised.

(remove-file path)

procedure

returns: unspecified

The remove-file procedure calls osi\_unlink to remove file path.

If osi\_unlink fails with error pair (who . errno), exception #(io-error path who errno) is raised.

#### (rename-path path new-path)

procedure

returns: unspecified

The rename-path procedure calls osi\_rename to rename path to new-path.

If osi\_rename fails with error pair (who . errno), exception #(io-error path who errno) is raised.

#### (set-file-mode path mode)

procedure

returns: unspecified

The set-file-mode procedure calls osi\_chmod to set the file mode of path to mode.

If osi\_chmod fails with error pair (who . errno), exception #(io-error path who errno) is raised.

## (signal-handler signum [callback])

procedure

returns: see below

The signal-handler procedure manages an internal table of global handlers for low-level signals. The signum argument must be a positive fixnum. If no callback is supplied, signal-handler returns the callback, if any, registered to handle that signal, or else #f. If the optional callback argument is supplied, it must be #f or a procedure of one argument that is called with the signal number when that signal is delivered. Since the callback procedure is called on the event loop, it must obey the restrictions on event-loop callbacks (see page 41). Do not call app:shutdown from callback, because it is not process-safe when no application is running. Instead, (spawn app:shutdown). At startup, Swish installs handlers that call app:shutdown safely when certain signals are delivered. The set of signals trapped at startup depends on the platform.

This procedure is like Chez Scheme's register-signal-handler, except that signal-handler is integrated into the Swish event loop. In particular, the callback supplied to signal-handler can wake a sleeping process via send. When a signal signum is delivered to the Swish operating-system process, e.g., via osi\_kill, osi\_get\_callbacks returns a list of callbacks that includes (@deliver-signal signum). If callback has been established to handle signum, then @deliver-signal calls callback with signum.

The (swish io) library exports constants for the available signal numbers, which vary among platforms. For platform-specific notes on signal handling, see [21]. Some signals cannot be handled even though a handler may be established. Handling some signals may result in undefined behavior.

#### (signal-handler-count)

procedure

returns: the number of open signal handlers

The signal-handler-count procedure returns the number of open signal handlers.

#### (spawn-os-process path args process)

procedure

returns: four values: a binary output port to-stdin, a binary input port from-stdout, a binary

input port from-stderr, and an integer process identifier os-pid

The spawn-os-process procedure calls osi\_spawn to spawn an operating system process with the string path and list of string-valued args. It returns a custom binary output port to-stdin that writes to the standard input of the process, custom binary input ports from-stdout and from-stderr that read from the standard output and standard error of the process, respectively, and a process identifier os-pid. These ports support port-position but not set-port-position!, and the underlying osi-ports are registered with the osi-port guardian.

When the spawned process terminates, #(process-terminated os-pid exit-status term-signal) is sent to process.

If osi\_spawn returns error pair (who . errno), exception #(io-error path who errno) is raised.

#### (spawn-os-process-detached path args)

procedure

returns: an integer operating-system process identifier

The spawn-os-process-detached procedure calls osi\_spawn\_detached to spawn an operating system process with the string *path* and list of string-valued *args*. It returns an integer operating-system process identifier.

If osi\_spawn\_detached returns error pair (who . errno), exception #(io-error path who errno) is raised.

#### (stat-directory? x)

procedure

returns: a boolean

The stat-directory? procedure determines whether or not the datum x is a <stat> tuple for a directory.

#### (stat-regular-file? x)

procedure

returns: a boolean

The stat-regular-file? procedure determines whether or not the datum x is a <stat> tuple for a regular file.

#### (tcp-listener-count)

procedure

returns: the number of open TCP listeners

The tcp-listener-count procedure returns the number of open TCP listeners.

#### (watch-path path process)

procedure

returns: a path watcher

The watch-path procedure calls osi\_watch\_path to track changes to path and returns a path watcher that is registered with the path-watcher guardian.

Every time a change is detected, #(path-changed path filename events) is sent to process, where events is 1 for rename, 2 for change, and 3 for rename and change. If the watcher encounters an error, #(path-watcher-failed path errno) is sent to process.

If osi\_watch\_path returns error pair (who . errno), exception #(io-error path who errno) is raised.

(with-sfd-source-offset name handler)

procedure

returns: see below

The with-sfd-source-offset procedure takes a filename name and returns the result of calling the procedure handler with three arguments: ip, a textual port transcoded with (make-utf8-transcoder), sfd, a source-file descriptor that refers to name, and source-offset, the value returned by get-source-offset. Before returning, with-sfd-source-offset closes the textual port.

(write-osi-port port by start n fp)

procedure

returns: the number of bytes written

The write-osi-port procedure calls osi\_write\_port with the handle from the given osi-port port, bytevector buffer bv, starting 0-based buffer index start, maximum number of bytes to write n, and starting 0-based file position fp. To specify the current position, use fp=-1. The calling process blocks for the I/O to complete. If the write fails with error pair ( $who \cdot errno$ ), exception #(io-error  $name \ who \ errno$ ) is raised, where name is the name of port. Otherwise, the number of bytes written is returned.

## **4.4.9** Queues

A queue is represented as a pair of lists, (in . out). The out list contains the first elements of the queue, and the in list contains the last elements of the queue in reverse. This representation allows for O(1) amortized insertion and removal times. The implementation is based on the Erlang queue module [11].

(queue:add x q)

procedure

**returns:** a queue that adds x to the rear of q

(queue:add-front x q)

procedure

**returns:** a queue that adds x to the front of q

(queue:drop q)

procedure

**returns:** a queue without the first element of q

queue: empty

syntax

returns: the empty queue

(queue:empty? q)

procedure

returns: #t if q is queue:empty, #f otherwise

(queue:get q)

procedure

**returns:** the first element of q

#### 4.4.10 Hash Tables

The implementation of functional hash tables is based on the Erlang dict module [9, 16].

(ht:delete ht key) procedure

**returns:** a hash table formed by dropping any association of key from ht

(ht:fold ht f init) procedure

returns: see below

The ht:fold procedure accumulates a value by applying f to each key/value association in ht and the accumulator, which is initially init. It can be defined recursively as follows, where n is the size of ht, and the result of ht:fold is  $F_n$ :

$$F_0 = init$$
  
 $F_i = (f \ key_i \ val_i \ F_{i-1}) \ \text{for} \ 1 \le i \le n$ 

(ht:is? x) procedure

**returns:** #t if x is a hash table, #f otherwise

(ht:keys ht) procedure

**returns:** a list of the keys of ht

(ht:make hash-key equal-key? valid-key?) procedure

returns: an empty hash table

The ht:make procedure returns an empty hash table.

The *hash-key* procedure takes a key and returns an exact integer. It must return the same integer for equivalent keys.

The equal-key? procedure takes two keys and returns a true value if they are equivalent and #f otherwise.

The valid-key? procedure takes a datum and returns a true value if it a valid key and #f otherwise.

(ht:ref ht key default) procedure

**returns:** the value associated with key in ht, default if none

(ht:set ht key val) procedure

**returns:** a hash table formed by associating key with val in ht

(ht:size ht) procedure

returns: the number of entries in ht

## 4.4.11 Error Strings

#### current-exit-reason->english parameter

value: a procedure of one argument that returns an English string

The current-exit-reason->english parameter specifies the conversion procedure used by exit-reason->english. It defaults to swish-exit-reason->english.

#### (exit-reason->english x)

procedure

returns: a string in U.S. English

The exit-reason->english procedure converts an exit reason into an English string using the procedure stored in parameter current-exit-reason->english.

#### (swish-exit-reason->english x)

procedure

returns: a string in U.S. English

The swish-exit-reason->english procedure converts an exit reason from Swish into an English string.

#### 4.4.12 String Utilities

The string utilities below are found in the (swish string-utils) library.

(ct:join  $sep \ s \ldots$ )

syntax

expands to: a string or a call to string-append

The ct:join macro uses ct:string-append to join adjacent string literals into a literal string or a call to string-append where adjacent string literals are combined. The sep, which must be a literal string or character, is inserted between adjacent elements of s cdots....

#### (ct:string-append $s \dots$ )

syntax

expands to: a string or a call to string-append

The ct:string-append macro appends adjacent string literals at compile time and expands into the resulting literal string or a call to string-append where adjacent string literals are combined.

(ends-with? s p)

procedure

returns: a boolean

The ends-with? procedure determines whether or not the string s ends with string p using case-sensitive comparisons.

(ends-with-ci? s p)

procedure

returns: a boolean

The ends-with-ci? procedure determines whether or not the string s ends with string p using case-insensitive comparisons.

(format-rfc2822 d)

procedure

returns: a string like "Thu, 28 Jul 2016 17:20:11 -0400"

The format-rfc2822 procedure returns a string representation of the date object d in the form specified in Section 3.3 of RFC 2822 [23].

(join *ls separator* [*last-separator*])

procedure

returns: a string

The join procedure returns the string formed by displaying each of the elements of list ls separated by displaying separator. When last-separator is specified, it is used as the last separator.

(oxford-comma [prefix] elt-fmt conj [suffix]) procedure

returns: a string

The oxford-comma procedure constructs a format string for use with errorf, format, printf, etc., to join the elements of a list with commas and/or conj, as appropriate. The elt-fmt argument is the format string for individual items of the list. The conj argument is a string used to separate the final two elements of the list. The prefix and suffix arguments must be supplied together or omitted. If omitted, prefix defaults to "~{" and suffix defaults to "~}".

(split str separator) procedure

returns: a list of strings

The split procedure divides the str string by the separator character into a list of strings, none of which contain separator.

(split-n str separator n) procedure

**returns:** a list of no more than *n* strings

The split-n procedure divides the str string by the separator character into a list of at most n strings. The last string may contain separator.

(starts-with? s p) procedure

returns: a boolean

The starts-with? procedure determines whether or not the string s starts with string p using case-sensitive comparisons.

(starts-with-ci? s p) procedure

returns: a boolean

The starts-with-ci? procedure determines whether or not the string s starts with string p using case-insensitive comparisons.

(trim-whitespace s) procedure

returns: a string

The trim-whitespace procedure returns a string in which any leading or trailing whitespace in s has been removed. Internal whitespace is not affected.

(wrap-text op width initial-indent subsequent-indent text) procedure

returns: unspecified

The wrap-text procedure writes the given text to the textual output port op after collapsing spaces that separate words. The first line is indented by initial-indent spaces. Subsequent lines are indented by subsequent-indent spaces. If possible, wrap-text breaks lines that would exceed width. Newlines and tabs are preserved, but tabs are treated as if they were the width of a single character.

The *text* argument may be a string or a list of strings. If *text* is a list, it is treated as if it were the string obtained via (join *text* #\space).

#### (symbol-append . ls)

procedure

returns: a symbol

The symbol-append procedure returns the symbol formed by appending the symbols passed as arguments.

#### 4.4.13 Message Digests

#### (make-digest-provider name open hash! get-hash close)

procedure

returns: a digest provider record

The make-digest-provider procedure takes a symbol *name* and a set of procedures and returns a new digest provider that can be used as the value of current-digest-provider or as an argument to open-digest.

The *open* procedure takes a string *alg* and an *hmac-key* that is either **#f** or a bytevector to use for HMAC keyed hashing. If the digest provider does not support the specified message-digest algorithm *alg* or the *hmac-key*, it should return an error pair or one of the symbols algorithm or hmac-key to indicate which argument is invalid. Otherwise it should return a message-digest context that can be passed to *hash!*, *get-hash*, and *close*.

The hash! procedure has the same interface as osi\_hash\_data and performs the analogous function for the message-digest context initialized by open. It computes the message digest incrementally on the set of bytes specified and updates the message-digest context.

The *get-hash* procedure has the same interface as osi\_get\_SHA1 and performs the analogous function for the message-digest context initialized by *open* and updated by *hash!*.

The close procedure frees a message-digest context initialized by open.

#### current-digest-provider

parameter

returns: a digest provider record

The current-digest-provider parameter specifies the digest provider used by bytevector->hex-string and by open-digest when the digest provider is not explicit.

#### default-digest-provider

binding

returns: the default digest provider record

The default value of current-digest-provider is bound to default-digest-provider. The default digest provider supports only the SHA1 message-digest algorithm; it does not support HMAC keyed hashing.

#### (digest-provider-name dp)

procedure

returns: the name of the digest provider

The digest-provider-name procedure returns the symbol that was supplied to make-digest-provider when dp was created.

(open-digest alg [hmac-key [dp]])

procedure

returns: a message digest

The open-digest procedure takes a string or symbol alg naming a message-digest function supported by the digest provider dp, which defaults to the value of current-digest-provider. If alg is a symbol, it is converted to an upper-case string before proceeding. The optional hmac-key may be #f to disable HMAC keyed hashing; otherwise it must be a bytevector or a string. If hmac-key is a string, it is converted to a bytevector using string->utf8.

The open-digest procedure disables interrupts while it calls the *open* procedure that was registered with make-digest-provider, passing it the algorithm name as a string and the hmac-key as either #f or a bytevector. If successful, it wraps the message-digest context returned by open in a message-digest record md, registers md with a foreign-handle guardian using the type name digests, and returns md.

(hash! md bv [start-index [size]])

procedure

returns: unspecified

The hash! procedure disables interrupts while it calls the hash! procedure of the message-digest provider used in the open-digest call that returned md. The hash! procedure computes the message digest incrementally on the set of size bytes in the bytevector bv starting at the zero-based start-index. If omitted, start-index defaults to zero and size defaults to the size of bv. The hash! procedure updates the message-digest context within md.

(get-hash md) procedure

returns: a bytevector

The get-hash procedure disables interrupts while it calls the *get-hash* procedure of the message-digest provider used in the open-digest call that returned md. It returns a bytevector containing the message digest accumulated in md by zero or more calls to hash!

(close-digest md) procedure

returns: unspecified

The close-digest procedure disables interrupts, unregisters md with the foreign-handle guardian, calls the close procedure of the message-digest provider used in the open-digest call that returned md, then enables interrupts.

(hash->hex-string bv)

procedure

returns: a string of lower-case hexadecimal digits

The hash->hex-string procedure takes a bytevector bv and returns the unsigned bytes in bv as a string of lower-case hexadecimal digits.

(hex-string->hash s)

procedure

returns: a bytevector

The hex-string->hash procedure takes a string s containing an even number of hexadecimal digits and returns a bytevector half that size containing the unsigned bytes specified by adjacent pairs of hexadecimal digits.

```
(bytevector->hex-string bv alg [block-size])
```

procedure

returns: a string of lower-case hexadecimal digits

The bytevector->hex-string procedure takes a bytevector bv and a string or symbol alg naming a message-digest function supported by the current-digest-provider and returns the message digest of bv using alg as a string of lower-case hexadecimal digits. To keep the event loop responsive, bytevector->hex-string computes the message digest of bv incrementally in chunks of block-size, which defaults to 16384. When the size of bv is not more than block-size, this is functionally equivalent to the following:

```
(let ([md (open-digest alg)])
  (on-exit (close-digest md)
      (hash! md bv)
      (hash->hex-string (get-hash md))))
```

(print-digests [op])

procedure

 ${\bf returns:} \ {\bf unspecified}$ 

The print-digests procedure prints information about all open message-digest contexts to textual output port op, which defaults to the current output port. This is the procedure returned by (foreign-handle-print 'digests).

(digest-count) procedure

returns: the number of open message-digest contexts

The digest-count procedure returns the number of open message-digest contexts. This is the procedure returned by (foreign-handle-count 'digests).

#### 4.4.14 Data-Encoding Utilities

(base64-decode-bytevector  $\mathit{bv}$ )

procedure

(base64url-decode-bytevector bv)

returns: a bytevector

The base64-decode-bytevector and base64url-decode-bytevector procedures return a new bytevector containing the data decoded from bytevector bv. The data in bv must be in the form described in Section 4 or 5, respectively, of IETF RFC 4648 [24]. In keeping with Sections 3.1 and 3.3 of [24], line feeds and non-alphabetic characters are not permitted in bv and should be removed before calling these procedures.

(base64-encode-bytevector bv)

procedure

(base64url-encode-bytevector bv)

returns: a bytevector

The base64-encode-bytevector and base64url-encode-bytevector procedures return a new bytevector containing the binary data from bytevector bv encoded as printable US-ASCII characters as described in Sections 4 and 5, respectively, of IETF RFC 4648 [24]. Both procedures encode data using an alphabet including A-Z, a-z, and 0-9. For base64-encode-bytevector, the alphabet also includes + and /. For base64url-encode-bytevector it includes - and \_. In keeping with Section 3.1 of [24], these procedures do not introduce line breaks in the output.

#### 4.4.15 Macro Utilities

```
(pretty-syntax-violation msg form [subform [who]]) procedure returns: never
```

The pretty-syntax-violation procedure raises a syntax violation. It differs from the native syntax-violation in that it formats form and subform using pretty-format abbreviations, and it does not attempt to infer a who condition when who is not provided, as this can produce confusing results in error messages involving match patterns. To provide more readable exception messages, it constructs the formatted message condition by calling pretty-print before raising the exception, and it prevents display-condition from formatting the &syntax condition within the compound condition it constructs.

```
(with-temporaries (id ...) e0 e1 ...)
expands to:
(with-syntax ([(id ...) (generate-temporaries '(id ...))])
  e0 e1 ...)
```

The with-temporaries macro binds each macro-language pattern variable id to a fresh generated identifier within the body (begin e0 e1 ...).

## Chapter 5

# Regular Expressions

#### 5.1 Introduction

The regular expressions library (swish pregexp) is a derivative of pregexp: Portable Regular Expressions for Scheme and Common Lisp [25]. It provides regular expressions modeled on Perl's [15, 27] and includes such powerful directives as numeric and non-greedy quantifiers, capturing and non-capturing clustering, POSIX character classes, selective case- and space-insensitivity, back-references, alternation, backtrack pruning, positive and negative look-ahead and look-behind, in addition to the more basic directives familiar to all regexp users.

A regexp is a string that describes a pattern. A regexp matcher tries to match this pattern against (a portion of) another string, which we will call the text string. The text string is treated as raw text and not as a pattern.

Most of the characters in a regexp pattern are meant to match occurrences of themselves in the text string. Thus, the pattern "abc" matches a string that contains the characters a, b, c in succession.

In the regexp pattern, some characters act as *metacharacters*, and some character sequences act as *metasequences*. That is, they specify something other than their literal selves. For example, in the pattern "a.c", the characters a and c do stand for themselves but the *metacharacter* '.' can match *any* character (other than newline). Therefore, the pattern "a.c" matches an a, followed by *any* character, followed by a c.

If we needed to match the character '.' itself, we *escape* it, i.e., precede it with a backslash ( $\$ ). The character sequence  $\$ . is thus a *metasequence*, since it doesn't match itself but rather just '.' So, to match a followed by a literal '.' followed by c, we use the regexp pattern "a $\$ ." Another example of a metasequence is  $\$ t, which is a readable way to represent the tab character.

We will call the string representation of a regexp the *U-regexp*, where *U* can be taken to mean *Unix-style* or *universal*, because this notation for regexps is universally familiar. Our implementation uses an intermediate tree-like representation called the *S-regexp*, where *S* can stand for *Scheme*, *symbolic*, or *s-expression*. S-regexps are more verbose and less readable than U-regexps, but they are much easier for Scheme's recursive procedures to navigate.

<sup>&</sup>lt;sup>1</sup>The double backslash is an artifact of Scheme strings, not the regexp pattern itself. When we want a literal backslash inside a Scheme string, we must escape it so that it shows up in the string at all. Scheme strings use backslash as the escape character, so we end up with two backslashes.

### 5.2 Programming Interface

(pregexp regexp) procedure

returns: an S-regexp

The pregexp procedure takes a U-regexp string regexp and returns an S-regexp.

(re regexp) syntax

expands to: (pregexp regexp)

If *regexp* is a literal string, the **re** macro expands to the result of evaluating (**pregexp**) at expand time. Otherwise it expands into a run-time call to **pregexp**.

(pregexp-match-positions  $pat \ str \ [start \ [end]]$ )

returns: ( $(s \ . \ e) \ ...$ ) or #f

The pregexp-match-positions procedure takes a regexp pattern pat and a text string str and returns a match if the regexp matches (some part of) the text string between the inclusive start index (defaults to 0) and the exclusive end index (defaults to the length of str).

The regexp may be either a U- or an S-regexp. pregexp-match-positions will internally compile a U-regexp to an S-regexp before proceeding with the matching. If you find yourself calling pregexp-match-positions repeatedly with the same U-regexp, it may be advisable to explicitly convert the latter into an S-regexp once beforehand, using pregexp, to save needless recompilation.

pregexp-match-positions returns a list of *index pairs* if the regexp matches the string and #f if it does not match. Index pair  $(s \cdot e)$  gives the inclusive starting index s and exclusive ending index e of the matching substring with respect to str. The first index pair indicates the entire match, and subsequent pairs indicate submatches. Some of the submatches may be #f.

(pregexp-match pat str [start [end]]) procedure returns: list of matching substrings or #f

The pregexp-match procedure is called like pregexp-match-positions, but instead of returning index pairs, it returns the matching substrings. The first substring is the entire match, and subsequent substrings are submatches, some of which may be #f.

(pregexp-split pat str) procedure returns: list of substrings from str

The pregexp-split procedure takes two arguments, a regexp pattern pat and a text string str, and returns a list of substrings of the text string, where the pattern identifies the delimiter separating the substrings. The returned substrings do not include the delimiter.

If the pattern can match an empty string, then the list of all the single-character substrings is returned.

To identify one or more spaces as the delimiter, take care to use the regexp " +", not " \*".

(pregexp-replace pat str ins) procedure

returns: a string

The pregexp-replace procedure replaces the matched portion of the text string by another string. The first argument is the pattern pat, the second the text string str, and the third is the string to be inserted ins, which may contain back-references (see §5.3.4).

If the pattern doesn't occur in the text string, the returned string is identical (eq?) to str.

(pregexp-replace\* pat str ins)

procedure

returns: a string

The pregexp-replace\* procedure replaces all matches of regexp pat in the text string str by the insert string ins, which may contain back-references (see §5.3.4).

As with pregexp-replace, if the pattern doesn't occur in the text string, the returned string is identical (eq?) to str.

(pregexp-quote str)

procedure

returns: a U-regexp

The pregexp-quote procedure takes an arbitrary string *str* and returns a U-regexp string that precisely represents it. In particular, characters in the input string that could serve as regexp metacharacters are escaped with a backslash, so that they safely match only themselves.

pregexp-quote is useful when building a composite regexp from a mix of regexp strings and verbatim strings.

### 5.3 The Regexp Pattern Language

#### 5.3.1 Basic Assertions

The assertions ^ and \$ identify the beginning and the end of the text string respectively. They ensure that their adjoining regexps match at the beginning or end of the text string. Examples:

```
(pregexp-match-positions "^contact" "first contact") \Rightarrow #f
```

The regexp fails to match because contact does not occur at the beginning of the text string.

(pregexp-match-positions "laugh\$" "laugh laugh laugh laugh")  $\Rightarrow$  ((18 . 23)).

The regexp matches the *last* laugh.

The metasequence \b asserts that a word boundary exists.

```
(pregexp-match-positions "yack\b" "yackety yack") \Rightarrow ((8 . 12))
```

The yack in yackety doesn't end at a word boundary so it isn't matched. The second yack does and is.

The metasequence \B has the opposite effect to \b. It asserts that a word boundary does not exist.

```
(pregexp-match-positions "an\\B" "an analysis") \Rightarrow ((3 . 5))
```

The an that doesn't end in a word boundary is matched.

#### 5.3.2 Characters and Character Classes

Typically a character in the regexp matches the same character in the text string. Sometimes it is necessary or convenient to use a regexp metasequence to refer to a single character. Thus, metasequences  $\n$ ,  $\r$ ,  $\t$ , and  $\n$  match the newline, return, tab, and period characters respectively.

The metacharacter period (.) matches any character other than newline.

```
(pregexp-match "p.t" "pet") ⇒ ("pet")
```

It also matches pat, pit, pot, put, and p8t but not peat or pfffft.

A character class matches any one character from a set of characters. A typical format for this is the bracketed character class [...], which matches any one character from the non-empty sequence of characters enclosed within the brackets.<sup>2</sup> Thus "p[aeiou]t" matches pat, pet, pit, pot, put and nothing else.

Inside the brackets, a hyphen (-) between two characters specifies the ASCII range between the characters. For example, "ta[b-dgn-p]" matches tab, tac, tad, and tag, and tan, tao, tap.

An initial caret (^) after the left bracket inverts the set specified by the rest of the contents, i.e., it specifies the set of characters *other than* those identified in the brackets. For example, "do[^g]" matches all three-character sequences starting with do except dog.

Note that the metacharacter ^ inside brackets means something quite different from what it means outside. Most other metacharacters (., \*, +, ?, etc.) cease to be metacharacters when inside brackets, although you may still escape them for peace of mind. - is a metacharacter only when it's inside brackets, and neither the first nor the last character.

Bracketed character classes cannot contain other bracketed character classes (although they contain certain other types of character classes—see below). Thus a left bracket ([) inside a bracketed character class doesn't have to be a metacharacter; it can stand for itself. For example, "[a[b]" matches a, [, and b.

Furthermore, since empty bracketed character classes are disallowed, a right bracket (]) immediately occurring after the opening left bracket also doesn't need to be a metacharacter. For example, "[]ab]" matches ], a, and b.

#### Some Frequently Used Character Classes

Some standard character classes can be conveniently represented as metasequences instead of as explicit bracketed expressions. \d matches a digit using char-numeric?; \s matches a whitespace character using char-whitespace?; and \w matches a character that could be part of a word.<sup>3</sup>

The upper-case versions of these metasequences stand for the inversions of the corresponding character classes. Thus  $\D$  matches a non-digit,  $\S$  a non-whitespace character, and  $\W$  a non-word character.

Remember to include a double backslash when putting these metasequences in a Scheme string:

<sup>&</sup>lt;sup>2</sup>Requiring a bracketed character class to be non-empty is not a limitation, since an empty character class can be more easily represented by an empty string.

<sup>&</sup>lt;sup>3</sup>Following regexp custom, we identify word characters as alphabetic, numeric, or underscore (\_).

```
(pregexp-match "\\d\\d" "0 dear, 1 have 2 read catch 22 before 9") \Rightarrow ("22")
```

These character classes can be used inside a bracketed expression. For example, "[a-z\\d]" matches a lower-case letter or a digit.

#### **POSIX Character Classes**

A *POSIX character class* is a special metasequence of the form [:...:] that can be used only inside a bracketed expression. The POSIX classes supported are:

```
[:alnum:]
              letters and digits
[:alpha:]
              letters
              the letters c, h, a and d
[:algor:]
[:ascii:]
              7-bit ASCII characters
[:blank:]
              widthful whitespace, i.e., space and tab
[:cntrl:]
              control characters, viz, those with code < 32
[:digit:]
              digits, same as \d
              characters that use ink
[:graph:]
[:lower:]
              lower-case letters
              ink-users plus widthful whitespace
[:print:]
              whitespace, same as \s
[:space:]
[:upper:]
              upper-case letters
              letters, digits, and underscore, same as \w
[:word:]
[:xdigit:]
              hex digits
```

For example, the regexp "[[:alpha:]\_]" matches a letter or underscore.

The POSIX class notation is valid *only* inside a bracketed expression. For instance, [:alpha:], when not inside a bracketed expression, will *not* be read as the letter class. Rather it is (from previous principles) the character class containing the characters :, a, 1, p, and h.

```
(pregexp-match "[:alpha:]" "-a-") \Rightarrow ("a") (pregexp-match "[:alpha:]" "-_-") \Rightarrow #f
```

By placing a caret (^) immediately after [:, you get the inversion of that POSIX character class. Thus, [:^alpha:] is the class containing all characters except the letters.

#### 5.3.3 Quantifiers

The quantifiers \*, +, and ? match respectively: zero or more, one or more, and zero or one instances of the preceding subpattern.

```
(pregexp-match-positions "c[ad]*r" "cadaddadddr") \Rightarrow ((0 . 11))
```

```
(pregexp-match-positions "c[ad]*r" "cr") \Rightarrow ((0 . 2))

(pregexp-match-positions "c[ad]+r" "cadaddadddr") \Rightarrow ((0 . 11))

(pregexp-match-positions "c[ad]+r" "cr") \Rightarrow #f

(pregexp-match-positions "c[ad]?r" "cadaddadddr") \Rightarrow #f

(pregexp-match-positions "c[ad]?r" "cr") \Rightarrow ((0 . 2))

(pregexp-match-positions "c[ad]?r" "car") \Rightarrow ((0 . 3))
```

#### Numeric Quantifiers

You can use braces to specify much finer-tuned quantification than is possible with \*, +, and ?.

The quantifier  $\{m\}$  matches exactly m instances of the preceding subpattern. m must be a non-negative integer.

The quantifier  $\{m,n\}$  matches at least m and at most n instances. m and n are nonnegative integers with  $m \leq n$ . You may omit either or both numbers, in which case m defaults to 0 and n to infinity.

It is evident that + and ? are abbreviations for  $\{1,\}$  and  $\{0,1\}$  respectively. \* abbreviates  $\{,\}$ , which is the same as  $\{0,\}$ .

```
(pregexp-match "[aeiou]{3}" "vacuous") \Rightarrow ("uou") (pregexp-match "[aeiou]{3}" "evolve") \Rightarrow #f (pregexp-match "[aeiou]{2,3}" "evolve") \Rightarrow #f (pregexp-match "[aeiou]{2,3}" "zeugma") \Rightarrow ("eu")
```

#### Non-greedy Quantifiers

The quantifiers described above are *greedy*, i.e., they match the maximal number of instances that would still lead to an overall match for the full pattern.

```
(pregexp-match "<.*>" "<tag1> <tag2> <tag3>") \Rightarrow ("<tag1> <tag2> <tag3>")
```

To make these quantifiers *non-greedy*, append a ? to them. Non-greedy quantifiers match the minimal number of instances needed to ensure an overall match.

```
(pregexp-match "<.*?>" "<tag1> <tag2> <tag3>") \Rightarrow ("<tag1>")
```

The non-greedy quantifiers are respectively: \*?, +?, ??,  $\{m\}$ ?, and  $\{m,n\}$ ?. Note the two uses of the metacharacter ?.

#### 5.3.4 Clusters

Clustering, i.e., enclosure within parentheses (...), identifies the enclosed subpattern as a single entity. It causes the matcher to *capture* the *submatch*, or the portion of the string matching the subpattern, in addition to the overall match.

```
(pregexp-match "([a-z]+) ([0-9]+), ([0-9]+)" "jan 1, 1970") \Rightarrow ("jan 1, 1970" "jan" "1" "1970")
```

Clustering also causes a following quantifier to treat the entire enclosed subpattern as an entity.

```
(pregexp-match "(poo )*" "poo poo platter") \Rightarrow ("poo poo " "poo ")
```

The number of submatches returned is always equal to the number of subpatterns specified in the regexp, even if a particular subpattern happens to match more than one substring or no substring at all.

```
(pregexp-match "([a-z ]+;)*" "lather; rinse; repeat;")
⇒ ("lather; rinse; repeat;" " repeat;")
```

Here the \*-quantified subpattern matches three times, but it is the last submatch that is returned.

It is also possible for a quantified subpattern to fail to match, even if the overall pattern matches. In such cases, the failing submatch is represented by #f.

```
(define date-re
   ;; match 'month year' or 'month day, year'.
   ;; subpattern matches day, if present
   (pregexp "([a-z]+) +([0-9]+,)? *([0-9]+)"))

(pregexp-match date-re "jan 1, 1970") ⇒ ("jan 1, 1970" "jan" "1," "1970")
(pregexp-match date-re "jan 1970") ⇒ ("jan 1970" "jan" #f "1970")
```

#### **Back-references**

Submatches can be used in the insert string argument of the procedures pregexp-replace and pregexp-replace\*. The insert string can use  $\n$  as a back-reference to refer back to the  $n^{\text{th}}$  submatch, i.e., the substring that matched the  $n^{\text{th}}$  subpattern.  $\n$  refers to the entire match, and it can also be specified as  $\a$ .

```
(pregexp-replace "_(.+?)_" "the _nina_, the _pinta_, and the _santa maria_" "*\\1*") \Rightarrow "the *nina*, the _pinta_, and the _santa maria_" (pregexp-replace* "_(.+?)_" "the _nina_, the _pinta_, and the _santa maria_" "*\\1*") \Rightarrow "the *nina*, the *pinta*, and the *santa maria*" (pregexp-replace "(\\S+) (\\S+) (\\S+)" "eat to live" "\\3 \\2 \\1") \Rightarrow "live to eat"
```

Use  $\$  in the insert string to specify a literal backslash. Also,  $\$  stands for an empty string, and is useful for separating a back-reference  $\$ n from an immediately following number.

Back-references can also be used within the regexp pattern to refer back to an already matched subpattern in the pattern.  $\ \$ n stands for an exact repeat of the  $n^{\rm th}$  submatch.

<sup>&</sup>lt;sup>4</sup>\0, which is useful in an insert string, makes no sense within the regexp pattern, because the entire regexp has not matched yet that you could refer back to it.

```
(pregexp-match "([a-z]+) and \1" "billions and billions") \Rightarrow ("billions and billions" "billions")
```

Note that the back-reference is not simply a repeat of the previous subpattern. Rather it is a repeat of the particular substring already matched by the subpattern.

In the above example, the back-reference can only match billions. It will not match millions, even though the subpattern it harks back to—([a-z]+)—would have had no problem doing so:

```
(pregexp-match "([a-z]+) and \\1" "billions and millions") \Rightarrow #f
```

The following corrects doubled words:

```
(pregexp-replace* "(\\S+) \\1" "now is the the time for all good men to to come to the aid of the party" "\\1")
```

```
\Rightarrow "now is the time for all good men to come to the aid of the party"
```

The following marks all immediately repeating patterns in a number string:

```
(pregexp-replace* "(\\d+)\\1" "123340983242432420980980234" "\\1,\\1") \Rightarrow "123,34098324,243242098,0980234"
```

#### Non-capturing Clusters

It is often required to specify a cluster (typically for quantification) but without triggering the capture of submatch information. Such clusters are called *non-capturing*. In such cases, use (?: instead of ( as the cluster opener. In the following example, the non-capturing cluster eliminates the directory portion of a given pathname, and the capturing cluster identifies the basename.

```
(pregexp-match "^(?:[a-z]*/)*([a-z]+)$" "/usr/local/bin/scheme") \Rightarrow ("/usr/local/bin/scheme" "scheme")
```

#### Cloisters

The location between the ? and the : of a non-capturing cluster is called a *cloister*.<sup>5</sup> You can put *modifiers* there that will cause the enclustered subpattern to be treated specially. The modifier i causes the subpattern to match *case-insensitively*:

```
(pregexp-match "(?i:hearth)" "HeartH") ⇒ ("HeartH")
```

The modifier x causes the subpattern to match space-insensitively, i.e., spaces and comments within the subpattern are ignored. Comments are introduced as usual with a semicolon (;) and extend till the end of the line. If you need to include a literal space or semicolon in a space-insensitized subpattern, escape it with a backslash.

```
(pregexp-match "(?x: a lot)" "alot") \Rightarrow ("alot") (pregexp-match "(?x: a \\ lot)" "a lot") \Rightarrow ("a lot") (pregexp-match "(?x:
```

<sup>&</sup>lt;sup>5</sup>A useful, if terminally cute, coinage from the abbots of Perl [27].

You can put more than one modifier in the cloister.

A minus sign before a modifier inverts its meaning. Thus, you can use -i and -x in a *subcluster* to overturn the insensitivities caused by an enclosing cluster.

```
(pregexp-match "(?i:the (?-i:TeX)book)" "The TeXbook") \Rightarrow ("The TeXbook")
```

This regexp will allow any casing for the and book but insists that TeX not be differently cased.

#### 5.3.5 Alternation

You can specify a list of *alternate* subpatterns by separating them by |. The | separates subpatterns in the nearest enclosing cluster (or in the entire pattern string if there are no enclosing parentheses).

```
(pregexp-match "f(ee|i|o|um)" "a small, final fee") \Rightarrow ("fi" "i")
```

```
(pregexp-replace* "([yi])s(e[sdr]?|ing|ation)"
  "it is energising to analyse an organisation pulsing with noisy organisms"
  "\\1z\\2")
```

 $\Rightarrow$  "it is energizing to analyze an organization pulsing with noisy organisms"

Note again that if you wish to use clustering merely to specify a list of alternate subpatterns but do not want the submatch, use (?: instead of (.

```
(pregexp-match "f(?:ee|i|o|um)" "fun for all") \Rightarrow ("fo")
```

An important thing to note about alternation is that the leftmost matching alternate is picked regardless of its length. Thus, if one of the alternates is a prefix of a later alternate, the latter may not have a chance to match.

```
(pregexp-match "call|call/cc" "call/cc") \Rightarrow ("call")
```

To allow the longer alternate to have a shot at matching, place it before the shorter one:

```
(pregexp-match "call/cc|call" "call/cc") \Rightarrow ("call/cc")
```

In any case, an overall match for the entire regexp is always preferred to an overall non-match. In the following, the longer alternate still wins, because its preferred shorter prefix fails to yield an overall match.

```
(pregexp-match "(?:call|call/cc) constrained" "call/cc constrained")
⇒ ("call/cc constrained")
```

#### 5.3.6 Backtracking

We've already seen that greedy quantifiers match the maximal number of times, but the overriding priority is that the overall match succeed. Consider

```
(pregexp-match "a*a" "aaaa")
```

The regexp consists of two subregexps, a\* followed by a. The subregexp a\* cannot be allowed to match all four a's in the text string "aaaa", even though \* is a greedy quantifier. It may match only the first three, leaving the last one for the second subregexp. This ensures that the full regexp matches successfully.

The regexp matcher accomplishes this via a process called *backtracking*. The matcher tentatively allows the greedy quantifier to match all four a's, but then when it becomes clear that the overall match is in jeopardy, it *backtracks* to a less greedy match of *three* a's. If even this fails, as in the call

```
(pregexp-match "a*aa" "aaaa")
```

the matcher backtracks even further. Overall failure is conceded only when all possible backtracking has been tried with no success.

Backtracking is not restricted to greedy quantifiers. Nongreedy quantifiers match as few instances as possible, and progressively backtrack to more and more instances in order to attain an overall match. There is backtracking in alternation, too, as the more rightward alternates are tried when locally successful leftward ones fail to yield an overall match.

#### Disabling Backtracking

Sometimes it is efficient to disable backtracking. For example, we may wish to *commit* to a choice, or we know that trying alternatives is fruitless. A non-backtracking regexp is enclosed in (?>...).

```
(pregexp-match "(?>a+)." "aaaa") \Rightarrow #f
```

In this call, the subregexp ?>a+ greedily matches all four a's, and is denied the opportunity to backtrack. So the overall match is denied. The effect of the regexp is therefore to match one or more a's followed by something that is definitely non-a.

#### 5.3.7 Looking Ahead and Behind

You can have assertions in your pattern that look *ahead* or *behind* to ensure that a subpattern does or does not occur. These look-around assertions are specified by putting the subpattern checked for in a cluster whose leading characters are ?= for positive look-ahead, ?! for negative look-ahead,

?<= for positive look-behind, and ?<! for negative look-behind. Note that the subpattern in the assertion does not generate a match in the final result. It merely allows or disallows the rest of the match.

#### Look-ahead

Positive look-ahead (?=) peeks ahead to ensure that its subpattern *could* match.

```
(pregexp-match-positions "grey(?=hound)" "i left my grey socks at the greyhound") \Rightarrow ((28 . 32))
```

The regexp "grey(?=hound)" matches grey, but *only* if it is followed by hound. Thus, the first grey in the text string is not matched.

Negative look-ahead (?!) peeks ahead to ensure that its subpattern could not possibly match.

```
(pregexp-match-positions "grey(?!hound)" "the gray greyhound ate the grey socks") \Rightarrow ((27 . 31))
```

The regexp "grey(?!hound)" matches grey, but only if it is *not* followed by hound. Thus the grey just before socks is matched.

#### Look-behind

Positive look-behind (?<=) checks that its subpattern *could* match immediately to the left of the current position in the text string.

```
(pregexp-match-positions "(?<=grey)hound" "the hound is not a greyhound") \Rightarrow ((23 . 28))
```

The regexp (?<=grey)hound matches hound, but only if it is preceded by grey.

Negative look-behind (?<!) checks that its subpattern could not possibly match immediately to the left.

```
(pregexp-match-positions "(?<!grey)hound" "the greyhound is not a hound") \Rightarrow ((23 . 28))
```

The regexp (?<!grey)hound matches hound, but only if it is not preceded by grey.

Look-aheads and look-behinds can be convenient when they are not confusing.

## Chapter 6

# Generic Server

The generic server provides an "empty" server, that is, a framework from which instances of servers can be built.

—Joe Armstrong [1]

#### 6.1 Introduction

In a concurrent system, many processes need to access a shared resource or sequentially manipulate the state of the system. This is generally modeled using a client/server design pattern. To help developers build robust servers, a generic server (gen-server) implementation inspired by Erlang's Open Telecom Platform is provided.

The principles of the generic server can be found in Joe Armstrong's thesis [1] or *Programming Erlang—Software for a Concurrent World* [2]. Documentation for Erlang's gen\_server is available online [10]. Source code for the Erlang Open Telecom Platform can be found online [8]. The source code for gen\_server is part of stdlib and can be found in /lib/stdlib/src/gen\_server.erl.

## 6.2 Theory of Operation

A gen-server provides a consistent mechanism for programmers to create a process which manages state, timeout conditions, and failure conditions using functional programming techniques. A programmer uses gen-server:start&link and implements the callback API to instantiate particular behavior.

A generic server starts a new process, registers it as a named process, and invokes the init callback procedure while blocking the calling process.

Clients can then send messages to a server using the synchronous gen-server:call, the asynchronous gen-server:cast, or the raw send procedure. The gen-server framework will automatically process messages and dispatch them to handle-call, handle-cast, and handle-info respectively.

The gen-server framework code automatically interprets a stop return value from the callback API or an EXIT message from the process which created it as a termination request and calls

terminate. If the termination reason satisfies the informative-exit-reason? predicate, generic servers use event-mgr:notify to report the termination.

Erlang's gen\_server supports timeouts during gen\_server:start and gen\_server:start&link. In order to simplify the startup code, we have not implemented this feature. Timeouts while running the init callback may cause resources to be stranded until the garbage collector can clean them up. Timeouts during initialization should be considered carefully.

### 6.3 Programming Interface

```
(gen-server:start&link name arg ...)
returns: #(ok pid) | #(error reason) | ignore
```

name: a symbol for a registered server or #f for an anonymous server arg: any Scheme datum

gen-server:start&link spawns the server process, links to the calling process, registers the server process as *name*, and calls (init *arg* ...) within that process. To ensure a synchronized startup procedure, gen-server:start&link does not return until init has returned.

This macro uses the current scope to capture the callback functions init, handle-call, handle-cast, handle-info, and terminate.

Attempting to register a name that already exists results in #(error #(name-already-registered pid)), where pid is the existing process.

The return value of gen-server:start&link is propagated from the init callback.

An init which returns #(ok state [timeout]) will yield #(ok pid) where pid is the newly created process.

An init which returns #(stop reason) or exits with reason will terminate the process and yield #(error reason).

An init which returns ignore will terminate the process and yield ignore. This value is useful to inform a supervisor that the init procedure has determined that this server is not necessary for the system to operate.

An init which returns *other* values will terminate the process and yield #(error #(bad-return-value *other*)).

```
(gen-server:start name arg ...) syntax returns: #(ok pid) | #(error error) | ignore
```

gen-server:start behaves the same as start&link except that it does not link to the calling process.

```
(gen-server:enter-loop state [timeout]) syntax returns: does not return
```

gen-server:enter-loop transforms the calling process into a generic server. The *state* and *timeout* are equivalent to those returned by init.

On entry, the macro calls (process-name) to determine the registered name of the process, if any, and (process-parent) to determine the spawning process, if available, for logging and processing termination. As a result, the name recorded in <gen-server-terminating> will not reflect subsequent changes in process registration.

This macro uses the current scope to capture the callback functions handle-call, handle-cast, handle-info, and terminate.

While gen-server:enter-loop does not return normally, it does raise an exception upon termination. This allows any exception handlers or winders on the stack to run.

#### (gen-server:call server request [timeout]) procedure

returns: reply

server: process or registered name

request: any Scheme datum

timeout: non-negative exact integer in milliseconds or infinity, defaults to 5000

gen-server: call sends a synchronous request to server and waits for a reply. The server processes the request using handle-call.

Failure to receive a reply causes the calling process to exit with reason #(timeout #(gen-server call (server request))) if no timeout is specified, or #(timeout #(gen-server call (server request timeout))) if a timeout is specified. If the caller catches the failure and continues running, the caller must be prepared for a possible late reply from the server.

When the reply is a fault condition, the fault is thrown in the calling process.

gen-server: call exits if the server terminates while the client is waiting for a reply. When that happens, the client exits for the same reason as the server.

#### (gen-server:cast server request)

procedure

returns: ok

server: process or registered name

request: any Scheme datum

gen-server: cast sends an asynchronous request to a server and returns ok immediately. When using gen-server: cast a client does not expect failures in the server to cause failures in the client; therefore, this procedure ignores all failures. The server will process the request using handle-cast.

#### (gen-server:reply client reply)

procedure

returns: ok

client: a from argument provided to the handle-call callback

reply: any Scheme datum

A server can use gen-server:reply to send a *reply* to a *client* that called gen-server:call and is blocked awaiting a reply.

In some situations, a server cannot reply immediately to a client. In such cases, handle-call may store the *from* argument and return no-reply. Later, the server can call <code>gen-server:reply</code> using that *from* value as *client*. The *reply* is the return value of the <code>gen-server:call</code> in this case.

```
(gen-server:debug server server-options client-options) procedure
```

returns: ok

```
server: process or registered name
server-options: ([message] [state] [reply]) | #f
client-options: ([message] [reply]) | #f
```

gen-server:debug sets the debugging mode of server. The server-options argument specifies the logging of calls in the server. When server-options is #f, server logging is turned off. Otherwise, server logging is turned on, and server-options is a list of symbols specifying the level of detail. In logging mode, the server sends a <gen-server-debug> event for each call to handle-call, handle-cast, and handle-info. The message field is populated when message is in server-options, the state field is populated when state is in server-options, and the reply field is populated when reply is in server-options.

Similarly, the *client-options* argument specifies the logging of client calls to *server* with <code>genserver:call</code>. When *client-options* is <code>#f</code>, client logging is turned off. Otherwise, client logging is turned on, and *client-options* is a list of symbols specifying the level of detail. In logging mode, <code>gen-server:call</code> sends a <code>server-debug</code> event. The <code>message</code> field is populated when <code>message</code> is in <code>client-options</code>, and the <code>reply</code> field is populated when <code>reply</code> is in <code>client-options</code>.

```
(define-state-tuple name field ...) syntax
```

This form defines a tuple type using (define-tuple name field ...) and defines a new syntactic form \$state. \$state provides a succinct syntax for the state variable.

 $state\ transforms\ (state\ op\ arg\ ...)\ to\ (name\ op\ state\ arg\ ...)\ where state is a variable in the same scope as <math>state$ .

Given this definition:

```
(define-state-tuple <my-state> x y z)
```

The following code is equivalent:

```
(<my-state> copy state [x 2]) ($state copy [x 2])
(<my-state> x state) ($state x)
(<my-state> y state) ($state y)
(<my-state> z state) ($state z)
```

There is no equivalent for constructing a state tuple because constructing a tuple does not require the state variable. The (<my-state> make ...) syntax must be used.

#### 6.4 Published Events

All generic servers send the event manager the following event:

#### <gen-server-terminating>

event

timestamp: the time the event occured
 name: the name of the server
 pid: the server process
last-message: the last message received by the server
 state: the last state passed into terminate
 reason: the reason for termination
 details: #f or a fault-condition containing the reason for termination

This event is fired after a successful call to terminate if the reason for termination satisfies the informative-exit-reason? predicate. If the terminate procedure exits with a new reason, the event contains the new reason.

<gen-server-debug>

```
timestamp: the time the operation started
  duration: the duration of the operation in milliseconds
  type: 1 for handle-call, 2 for handle-cast, 3 for handle-info, 4 for ter-
  minate, 5 for a successful gen-server:call, and 6 for a failed gen-
  server:call
  client: the client process or #f
  server: the server process
  message: the message sent to the server or #f
  state: the state of the server when it received the message or #f
  reply: the server's reply or #f
```

#### 6.5 Callback Interface

A programmer implements the callback interface to define a particular server's behavior. All callback functions are called from within the server process.

The callback functions for gen-server processes are supposed to be *well-behaved functions*, i.e., functions that work correctly. The generation of an exception in a well-behaved function is interpreted as a failure [1].

When a callback function exits with a reason, terminate is called and the server exits.

When a callback function returns an unexpected *value*, terminate is called with the reason #(bad-return-value *value*), and the server exits.

A callback may specify a *timeout* as a relative time in milliseconds up to one day, an absolute time in milliseconds (e.g., from erlang:now), or infinity. The default *timeout* is infinity. If the time period expires before another message is received, then a timeout message will be processed by handle-info.

Messages sent using send, including those matching '(EXIT pid reason) and '(DOWN monitor pid reason), are processed by handle-info.

The generic server framework will automatically interpret an EXIT message from the process which spawned it as a reason for termination. terminate will be called directly. handle-info will not be called. The server must use (process-trap-exit #t) to receive EXIT messages.

(init arg ...) procedure

returns: #(ok state [timeout]) | #(stop reason) | ignore

arg ...: the arg ... provided to gen-server:start&link or gen-server:start

state: any Scheme datum

timeout: relative time in milliseconds up to one day, absolute time in milliseconds

(e.g., from erlang:now), or infinity (default)

reason: any Scheme datum

(init arg ...) is called from a new server process started by a call to gen-server:start&link or gen-server:start. Calls to those procedures block until init returns.

A successful init returns #(ok state [timeout]). The state is then maintained functionally by the generic server framework.

init may specify that server initialization failed by returning #(stop reason). The server will then fail to start using this reason. terminate will not be called as the server has not properly started.

init may return ignore. The server will then exit with reason normal, and gen-server:start&link will return ignore. This is used to inform a supervisor that the server is not necessary for the system to operate. terminate will not be called.

(handle-call request from state)

procedure

returns: #(reply reply state [timeout]) | #(no-reply state [timeout]) | #(stop reason [reply] state)

request: the request provided to gen-server:call

from: #(client-process tag)

state: server state

reply: any Scheme datum

timeout: relative time in milliseconds up to one day, absolute time in milliseconds

(e.g., from erlang:now), or infinity (default)

reason: any Scheme datum

handle-call is responsible for processing a client request generated by gen-server:call.

handle-call may return #(reply reply state [timeout]) to indicate that reply is to be returned from gen-server:call to the caller. The server state will become state.

handle-call may return #(no-reply state [timeout]) to continue operation and to indicate that the caller of gen-server:call will continue to wait for a reply. The server state will become state. The server will need to use gen-server:reply and from to reply to the client.

handle-call may return #(stop reason [reply] state) to set a new state, then terminate the server with the given reason. If the optional reply is specified, it will be the return value of genserver:call; otherwise, gen-server:call will exit with reason.

reply is any Scheme datum.

state is any Scheme datum.

reason is any Scheme datum.

(handle-cast request state)

procedure

returns: #(no-reply state [timeout]) | #(stop reason state)

request: the request provided to gen-server:cast

state: server state

timeout: relative time in milliseconds up to one day, absolute time in milliseconds

(e.g., from erlang:now), or infinity (default)

reason: any Scheme datum

handle-cast is responsible for processing a client request generated by gen-server:cast.

handle-cast may return #(no-reply state [timeout]) to continue operation. The server state will become state.

handle-cast may return #(stop reason state) to terminate the server with the given reason. The server state will become state.

#### (handle-info msg state)

procedure

returns: #(no-reply state [timeout]) | #(stop reason state)

msq: timeout or a Scheme datum sent via send

state: server state

timeout: relative time in milliseconds up to one day, absolute time in milliseconds

(e.g., from erlang:now), or infinity (default)

reason: any Scheme datum

handle-info is responsible for processing timeouts and miscellaneous messages sent to the server via send.

handle-info may return #(no-reply state [timeout]) to continue operation. The server state will become state.

handle-info may return #(stop reason state) to terminate the server with the given reason. The server state will become state.

#### (terminate reason state)

procedure

returns: ignored

reason: shutdown reason state: server state

terminate is called when the server is about to terminate. It is responsible for cleaning up any resources that the server allocated. When it returns, the server exits for the given reason.

reason can be any reason specified by a stop return value #(stop ...). When a supervision tree is terminating, reason will be shutdown.

The return value of terminate is ignored. If the termination reason satisfies the informative-exit-reason? predicate, the generic server framework uses event-mgr:notify to report the termination. The server then terminates for that reason.

If terminate exits with reason, then that reason is logged, and the server terminates with reason.

## Chapter 7

# Event Manager

#### 7.1 Introduction

The event manager (event-mgr) is a gen-server that provides a single dispatcher for events within the system. It buffers events and dispatches them to the log handler and a collection of other event handlers. If the log handler fails, the event manager logs events directly to the console error port.

## 7.2 Theory of Operation

The event manager is a singleton process through which all events in the system are routed. Any component may notify the event manager that something has occurred by using event-mgr:notify. This model is illustrated in Figure 7.1.

The event manager is a registered process named event-mgr.

The event manager is created as part of the application's supervision hierarchy. It buffers incoming events during startup until event-mgr:flush-buffer is called. The buffered events are then sent to the current event handlers and the log handler. This provides the ability to log the startup details of processes, including the event manager itself.

The event handlers should not perform blocking operations, because they block the entire event manager.

If the log handler or its associated process fails, the event manager logs events to the console error port. If another event handler fails with some reason, the associated process is killed with the same reason. When the process associated with a handler terminates, the event manager removes it from the list.

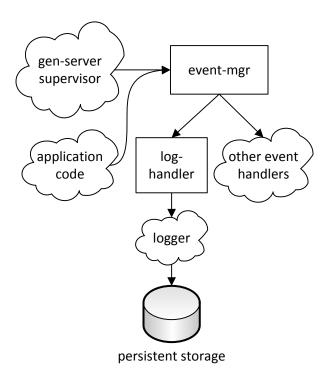


Figure 7.1: Event flow

#### state

<event-mgr-state>

event-buffer: list of events to be processed (most recent first), or #f when buffering is

disabled

log-handler: <handler> tuple or #f
handlers: list of <handler> tuples

<handler> tuple

*proc*: procedure of one argument, the event owner: process that owns the handler

init The init procedure initializes the state of the gen-server. Event buffering is enabled.

The gen-server traps exits so that it can detect failure of event handler owner processes, as well as the EXIT message from the parent process.

terminate The terminate procedure flushes any pending events to the console error port using do-notify.

handle-call The handle-call procedure processes the following messages:

• #(add-handler proc owner): Link to the owner process, add a handler to the state and return ok.

An invalid argument results in the following error reasons:

- #(invalid-procedure proc)
- #(invalid-owner owner)
- flush-buffer: Process the events in the buffer using do-notify, turn off buffering, and return ok.
- #(set-log-handler proc owner endure?): Link to the owner process, set the log handler of the state, and return ok.

An invalid argument results in the following error reasons:

- log-handler-already-set
- #(invalid-procedure proc)
- #(invalid-owner owner)

handle-cast The handle-cast procedure does not process any messages.

handle-info The handle-info procedure handles messages matching the following patterns:

- #(notify event): Process event using do-notify.

  event is any Scheme datum.
- '(EXIT pid ): Removes the log or other event handler associated with pid.

Internally, the (do-notify event state) procedure handles the processing of each event with respect to the current state. It evaluates the state in the following way:

- If the state is not buffering:
  - 1. Call each handler's *proc* with *event*. If it exits for some reason, kill the handler's *owner* with the same reason.
  - 2. If there is a log handler, call its *proc* with *event*. If it exits for some reason, unlink its *owner*, kill it with the same reason, log *event* to the console error port using console-event-handler, and remove the log handler from the state.
- Otherwise, buffer the event.

## 7.3 Programming Interface

```
(event-mgr:start&link) procedure
returns: #(ok pid) | #(error reason)
```

The event-mgr:start&link procedure creates a new event-mgr gen-server using gen-server:start&link.

The event manager is registered as event-mgr.

(event-mgr:add-handler proc [owner])
returns: ok | #(error reason)

The event-mgr:add-handler procedure calls (gen-server:call event-mgr #(add-handler procedure)).

proc is a procedure of one argument, the event. Failure in proc results in the event manager killing the owner process with the same failure reason. The handler is removed when the event manager receives an EXIT message from owner.

owner is a process. The default is the calling process.

(event-mgr:flush-buffer)

procedure

returns: ok

The event-mgr:flush-buffer procedure calls (gen-server:call event-mgr flush-buffer).

(event-mgr:notify event)

procedure

returns: ok

The event-mgr:notify procedure sends message #(notify event) to registered process event-mgr if it exists. If event-mgr does not exist, it prints event using console-event-handler.

event is any Scheme datum.

(event-mgr:set-log-handler proc owner [endure?])

procedure

returns: ok | #(error reason)

The event-mgr:set-log-handler procedure calls (gen-server:call event-mgr #(set-log-handler proc owner endure?)).

proc and endure? are procedures of one argument, the event. If proc fails and endure? returns false, the event is logged to the console and the event manager kills the owner process with the same failure reason. This is the default behavior since the default endure? procedure always returns false. However, if proc fails and endure? returns true, the event is logged to the console followed by the fault in proc, and the fault is tolerated. The log handler is removed when proc fails and endure? returns true or when the event manager receives an EXIT message from owner.

owner is a process.

#### (informative-exit-reason? x)

procedure

returns: boolean

The informative-exit-reason? procedure returns #t if x is a fault condition containing a continuation or containing a reason other than normal or shutdown. Otherwise it returns #t if x is not normal or shutdown.

(normalize-exit-reason r[e])

procedure

returns: reason and details

The normalize-exit-reason procedure takes r and e from matching '(catch , r [, e]), '(EXIT p , r [, e]), or '(DOWN m p , r [, e]) and returns two values, reason and details, suitable for use in

<child-end>, <gen-server-terminating>, and <supervisor-error> events. If r is a condition,
then reason is the symbol exception and details is either e if it satisfies informative-exitreason? or r. Otherwise reason is r and details is e if it satisfies informative-exit-reason? or
a fault condition for r if r satisfies the informative-exit-reason? predicate. If the optional e is
omitted, then r is matched against the extended match pattern '(catch ,r ,e). If this pattern
matches, then normalize-exit-reason is called with the values obtained for r and e. Otherwise, r is used for both r and e.

## Chapter 8

# Gatekeeper

#### 8.1 Introduction

The gatekeeper is a single gen-server named gatekeeper that manages shared resources using mutexes. Before a process uses a shared resource, it asks the gatekeeper to enter the corresponding mutex. When the process no longer needs the resource or terminates, it tells the gatekeeper to leave the mutex. A process may enter the same mutex multiple times, and it needs to leave the mutex the same number of times. The gatekeeper breaks deadlocks by raising an exception in one of the processes waiting for a mutex involved in a cyclic dependency chain.

The gatekeeper hooks system primitives \$cp0, \$np-compile, pretty-print, and sc-expand because they are not safe to be called from two processes at the same time (see the discussion of the global winders list in Section 4.3). The \$cp0 procedure uses resource \$cp0, the \$np-compile procedure uses resource \$np-compile, and so forth.

## 8.2 Theory of Operation

state The gatekeeper state is a list of <mutex> tuples, each of which has the following fields:

- resource: resource compared for equality using eq?
- process: process that owns resource
- monitor: monitor of process
- count: number of times process has entered this mutex
- waiters: ordered list of from arguments from handle-call for processes that are waiting to enter this mutex

init The gatekeeper init procedure hooks the system primitives listed in the introduction so that they use with-gatekeeper-mutex with a timeout of one minute, and it sets the current-expand parameter to the hooked sc-expand procedure. The process traps exits so that terminate can unhook the system primitives when the process is shut down. It returns an empty list of <mutex> tuples.

terminate The gatekeeper terminate procedure unhooks the system primitives listed in the introduction and sets the current-expand parameter to the unhooked sc-expand procedure.

handle-call The gatekeeper handle-call procedure handles the following messages:

- #(enter resource): Find  $mutex \in state$  where mutex.resource = resource.
  - If no such *mutex* exists, no-reply with (enter-mutex resource from '() state).
  - If mutex.process = from.process, increment mutex.count, and reply ok with the updated state.
  - If (deadlock? from.process mutex state), reply #(deadlock resource) with state.
  - Otherwise, add from to the end of mutex.waiters, and no-reply with the updated state.
- #(leave resource): Find  $mutex \in state$  where mutex.resource = resource and mutex.process = from.process.
  - If no such *mutex* exists, reply #(unowned-resource *resource*) with *state*.
  - If mutex.count > 1, decrement mutex.count, and reply ok with the updated state.
  - Otherwise, reply ok with (leave-mutex mutex state).

handle-cast The gatekeeper handle-cast procedure raises an exception on all messages.

handle-info The gatekeeper handle-info procedure handles messages matching the following pattern:

• '(DOWN monitor \_ \_): Find mutex ∈ state where mutex.monitor = monitor. No-reply with (leave-mutex mutex state).

#### (enter-mutex resource from waiters state)

procedure

returns: updated state

The enter-mutex procedure calls (gen-server:reply from 'ok) to reply to the caller waiting to enter the mutex. It adds a  $\langle mutex \rangle$  tuple with resource = resource, process = from.process, monitor = (monitor process), count = 1, and waiters = waiters to state.

#### (leave-mutex mutex state)

procedure

returns: updated state

The leave-mutex procedure calls (demonitor&flush mutex.monitor). If mutex.waiters = (), it returns (remq  $mutex\ state$ ). Otherwise, it returns (enter-mutex mutex.resource (car mutex.waiters) (cdr mutex.waiters) (remq  $mutex\ state$ )).

#### (deadlock? process mutex state)

procedure

returns: a boolean

The deadlock? procedure returns #t if process would deadlock waiting for mutex. Let owner = mutex.process. If owner = process, return #t. Otherwise, find the mutex  $waiting \in state$  where #(owner \_)  $\in waiting.waiters$ . If no such waiting exists, return #f. Otherwise, return (deadlock? process waiting state).

### 8.3 Programming Interface

(gatekeeper:start&link) procedure

returns: #(ok pid) | #(error reason)

The gatekeeper:start&link procedure calls (gen-server:start&link 'gatekeeper).

(gatekeeper:enter resource timeout) procedure

returns: ok

The gatekeeper:enter procedure calls (gen-server:call 'gatekeeper #(enter resource) timeout) to enter the mutex for resource. If it returns  $e \neq ok$ , it raises exception e.

(gatekeeper:leave resource) procedure returns: ok

The gatekeeper:leave procedure calls (gen-server:call 'gatekeeper #(leave resource)) to leave the mutex for resource. If it returns  $e \neq ok$ , it raises exception e.

(with-gatekeeper-mutex resource timeout  $body_1 \ body_2 \dots$ ) syntax expands to: (\$with-gatekeeper-mutex 'resource timeout (lambda ()  $body_1 \ body_2 \dots$ ))

The with-gatekeeper-mutex form executes the body expressions in a dynamic context where the calling process owns *resource*, which must be an identifier. The *timeout* expression specifies how long the caller is willing to wait to enter the mutex for *resource* as defined by gen-server:call. The internal \$with-gatekeeper-mutex procedure is defined as follows:

(define (\$with-gatekeeper-mutex resource timeout body)
 (dynamic-wind
 (lambda () (gatekeeper:enter resource timeout))
 body
 (lambda () (gatekeeper:leave resource))))

## Chapter 9

# Supervisor

#### 9.1 Introduction

In a fault tolerant system, faults must first be observed and then acted upon. A supervisor monitors child processes for failure and can be composed into a hierarchy to monitor for faults within other supervisors.

The principles of supervisors and supervision hierarchies can be found in Joe Armstrong's thesis [1] or *Programming Erlang—Software for a Concurrent World* [2]. Documentation for Erlang's supervisor is available online [12]. Source code for the Erlang Open Telecom Platform can be found online [8]. The source code for supervisor is part of stdlib and can be found in /lib/stdlib/src/supervisor.erl.

Patterns for Fault Tolerant Software [17] is a good reference for understanding the mindset of creating fault tolerant systems.

## 9.2 Theory of Operation

A *supervisor* is a gen-server which is responsible for starting, stopping, and monitoring its child processes. A supervisor observes its children, and when a failure occurs, restarts child processes.

A watcher is a supervisor which is configured to only observe the children. A watcher interface is provided for convenience.

A supervisor can be configured to restart individual children when those children fail, or to restart all children when any child fails. This is called the restart *strategy*. A strategy of one-for-one indicates that when a child process terminates, it should be restarted; only that child process is affected. A strategy of one-for-all indicates that when a child process terminates and should be restarted, all other child process are terminated and then restarted.

A supervisor maintains a list of times of when a restart occurs. When a child fails and is to be restarted, a timestamp is added to the *restarts* list. A maximum restart frequency is represented as an *intensity* and a *period* of time. If more than *intensity* restarts occur in a *period* of time, the supervisor terminates all child processes and then itself. This prevents the possibility of an infinite

cycle of child process termination and restarts.

A supervisor is started with a list of child specifications. These specifications are used to start child processes from within the supervisor process during initialization.

Child specifications can be added to a supervisor at run time. These dynamic children will not be automatically restarted if the supervisor itself terminates and is restarted.

state (define-state-tuple <supervisor-state> strategy intensity period children restarts)

- strategy defines how the supervisor processes a child termination: one-for-one or one-for-all.
- intensity is the maximum restart intensity for all children within the period.
- period is the maximum restart period in milliseconds.
- children is a list of <child> tuples with the most recently started child first.
- restarts is an ordered list of times when restarts have occurred.

<child>

(define-tuple <child> pid name thunk restart-type shutdown type)
pid stores the child process or #f. The remaining fields are copied from the child specification described below.

init The init procedure validates the startup arguments and starts the initial child processes. Invalid startup arguments cause the supervisor to fail to start. If any child fails to start, all started children are terminated and the supervisor fails to start.

This process traps exits so that it can detect child exits, as well as the EXIT message from the parent process.

An invalid argument results in a specific error reason that includes the invalid input.

- #(invalid-strategy strategy)
- #(invalid-intensity intensity)
- #(invalid-period period)

An invalid child specification during initialization will result in #(error #(start-specs reason)) where reason is one of the reasons listed in the programming interface below.

terminate The terminate procedure shuts each child process down in order (most recently added first).

handle-call The handle-call procedure processes the following messages:

• #(start-child child-spec): Validates the child-spec, starts the child, adds it to the state, and replies with #(ok pid) where pid is the new child process.

If a child specification of the same name already exists, #(error already-present) is returned. If the child process was already started #(error #(already-started pid)) is returned.

A successfully started child is linked to the supervisor, an event is fired to the event manager to log the start, and #(ok pid) is returned. If the pid already occurs in the children list, then start-child returns #(error #(duplicate-process pid)).

If the child process start function returns ignore, the child specification is added to the supervisor, and the function returns #(ok #f).

If the child process start function returns #(error reason), then start-child returns #(error reason).

If the child process start function exits with reason, #(error reason) is returned.

If the child process start function returns *other* values #(error #(bad-return-value *other*)) is returned.

• #(restart-child name): Finds a child by name, verifies that it is not currently running, then starts that child.

If the child process is already running, #(error running) is returned. If the child specification does not exist, #(error not-found) is returned.

A successfully started child is linked to the supervisor, an event is fired to the event manager to log the start, and  $\#(ok\ pid)$  is returned. If the pid already occurs in the children list, then restart-child returns  $\#(error\ \#(duplicate-process\ pid))$ .

If the child process start function returns ignore, the child specification is added to the supervisor and the function returns #(ok #f).

If the child process start function returns #(error reason), then restart-child returns #(error reason).

If the child process start function exits with reason, #(error reason) is returned.

If the child process start function returns *other* values #(error #(bad-return-value *other*)) is returned.

• #(delete-child name): Finds a child by name, verifies that it is not currently running, then removes the child specification from the state and returns ok.

If the child process is running, #(error running) is returned. If the child specification does not exist, #(error not-found) is returned.

• #(terminate-child name): Finds a child by name and terminates it if it is running. The child pid is updated to #f and returns ok.

If the child specification does not exist, #(error not-found) is returned.

• get-children: Returns the state's children field.

handle-cast The handle-cast procedure does not process any messages.

handle-info The handle-info procedure processes messages matching the following patterns:

• '(EXIT pid reason): Find pid in the children list and apply the restart strategy. An unknown pid is ignored.

When the child specification restart-type is permanent or transient the current timestamp is prepended to the restarts list. The list is then pruned based on the period. If the resulting list length <= intensity, the supervisor continues. Otherwise, the supervisor terminates with reason shutdown.

Internally, the (shutdown pid x) function kills child processes and returns the exit reason. This function is used by terminate, terminate-child, and during a failed init. The following steps are necessary to defend against a "naughty" child which unlinks from the supervisor.

- Monitor pid to protect against a child process which may have called unlink.
- Unlink pid to stop receiving EXIT messages from pid.
- An EXIT message may already exist for *pid*. If it does, then wait for the DOWN message, and return the exit reason.
- If x = brutal-kill, kill pid with reason kill and wait for the DOWN message to determine the exit reason.
- Otherwise, x is a timeout. kill pid with reason shutdown and wait for the DOWN message to determine the exit reason. If a timeout occurs, kill pid with reason kill, and wait for the DOWN message to determine the exit reason.

### 9.3 Design Decisions

Our initial implementation did not automatically link to child processes, but this led to unexpected behavior when child processes neglected to link to the supervisor. Therefore, this implementation links to all child processes.

## 9.4 Programming Interface

supervisor:start&link and supervisor:start-child use a child specification. A child specification is defined as:

 $child\text{-}spec \rightarrow \#(name\ thunk\ restart\text{-}type\ shutdown\ type)$ 

name is a symbol unique to the children within the supervisor.

thunk is a procedure that should spawn a process and link to the supervisor process, then return #(ok pid) or #(error reason) or ignore. Typically, the thunk will call gen-server:start&link which provides the appropriate behavior and return value.

restart-type is a symbol with the following meaning:

- A permanent child process is aways restarted.
- A temporary child process is never restarted.

- A transient child process is only restarted if it terminates with an exit reason other than normal or shutdown.
- A watch-only child process is never restarted, and its child specification is removed from the supervisor when it terminates.

shutdown defines how a child process should be terminated.

- brutal-kill indicates that the child process will be terminated using (kill pid kill).
- A fixnum > 0 represents a timeout. The supervisor will use (kill pid shutdown) and wait for an exit signal. If no exit signal is received within the timeout, the child process will be terminated using (kill pid kill). infinity can be used if and only if the type of the process is supervisor.

The *type* is useful for validating the *shutdown* parameter, but is otherwise unused. It may be useful in conjunction with **supervisor:get-children** to generate a tree of the running supervision hierarchy.

```
type 
ightarrow 	ext{supervisor} \ | 	ext{worker}
```

Invalid child specifications will result in specific error reasons which include the invalid input.

- #(invalid-name name)
- #(invalid-thunk thunk)
- #(invalid-restart-type restart-type)
- #(invalid-type *type*)
- #(invalid-shutdown shutdown)
- #(invalid-child-spec spec)

```
(supervisor:start&link name strategy intensity period start-specs) procedure returns: #(ok pid) | #(error reason)
```

The supervisor:start&link procedure creates a new supervisor gen-server using gen-server:start&link.

```
name is the registered name of the process. For an anonymous server, #f may be specified. strategy \rightarrow \text{one-for-one}
one-for-all
```

```
intensity \rightarrow \text{ a fixnum} >= 0

period \rightarrow \text{ a fixnum} > 0
```

 $start\text{-}specs \rightarrow (child\text{-}spec \dots)$ 

```
(supervisor:validate-start-specs specs) procedure
```

returns: #f | reason

The supervisor:validate-start-specs procedure takes a list of child specifications and checks them in order. If it encounters an invalid child specification, supervisor:validate-start-specs returns a reason suitable as input to exit-reason->english. Otherwise it returns #f.

```
(supervisor:start-child supervisor child-spec) procedure returns: #(ok pid) | #(error reason)
```

This procedure dynamically adds the given *child-spec* to the *supervisor* which starts a child process.

The supervisor:start-child procedure calls (gen-server:call supervisor #(start-child child-spec) infinity).

```
(supervisor:restart-child supervisor name)
returns: #(ok pid) | #(error reason)
```

This procedure restarts a child process identified by *name*. The child specification must exist, and the child process must not be running.

The supervisor:restart-child procedure calls (gen-server:call supervisor #(restart-child name) infinity).

```
(supervisor:delete-child supervisor name)
returns: ok | #(error reason)
```

This procedure deletes the child specification identified by *name*. The child process must not be running.

The supervisor:delete-child procedure calls (gen-server:call supervisor #(delete-child name) infinity).

```
(supervisor:terminate-child supervisor name) procedure returns: ok | #(error reason)
```

This procedure terminates the child process identified by name. The child specification must exist, but the child process does not need be running. Terminating a child does not cause a restart.

The supervisor:terminate-child procedure calls (gen-server:call supervisor #(terminate-child name) infinity).

```
(supervisor:get-children supervisor)
returns: a list of <child> tuples
```

This procedure returns the *supervisor* internal representation of child specifications.

The supervisor: get-children procedure calls (gen-server: call supervisor get-children infinity).

#### 9.5 Published Events

A supervisor can notify the event manager of the same events as a gen-server, as well as the following events.

<supervisor-error>
event

timestamp: the time the event occured supervisor: the supervisor's process id

error-context: the context in which the event occured

reason: the reason for the error

details: #f or a fault-condition containing the reason for the error

child-pid: the child's process id child-name: the child's name

This event is fired when the supervisor fails to start its children, fails to restart its children, or when it has exceeded the maximum restart frequency.

<child-start>

timestamp: the time the event occured supervisor: the supervisor's process id pid: the child's process id name: the child's name restart-type: the child's restart-type shutdown: the child's shutdown type: the child's type

This event is fired after the child start procedure has returned a valid value.

<child-end>

timestamp: the time the event occured pid: the child's process id

killed: 1 indicates the supervisor terminated the child, 0 otherwise

reason: the reason the child has terminated

details: #f or a fault-condition the reason the child has terminated

This event is fired after the supervisor terminates a child process, and after the supervisor detects a failure in a child.

### 9.6 Watcher Interface

(watcher:start&link name)
returns: #(ok pid) | #(error reason)

The watcher:start&link procedure creates a supervisor with a strategy of one-for-one, an intensity of 0, a period of 1, and no children.

name is the registered name of the process. For an anonymous server, #f may be specified.

(watcher:start-child watcher name shutdown thunk)
returns: #(ok pid) | #(error reason)

The watcher:start-child procedure calls (supervisor:start-child watcher #(name thunk watch-only shutdown worker)).

(watcher:shutdown-children watcher) procedure

returns: unspecified

The watcher: shutdown-children procedure terminates and deletes each watch-only child in watcher.

# Chapter 10

# Application

### 10.1 Introduction

The application is a single gen-server named application that manages the lifetime of the program. It links to a process, typically the root supervisor, and shuts down the program when requested by application:shutdown or when the linked process dies.

## 10.2 Theory of Operation

state The application state is the process returned by the *starter* of application:start. It is typically the root supervisor. We refer to this variable as *process*. It may also be #f after handle-info receives the exit message for the process.

init The application init procedure takes a *starter* procedure. It calls (*starter*) and checks the return value r. If  $r = \#(ok\ process)$ , it links to process, traps exits so that it receives exit messages from process and application: shutdown, and returns  $\#(ok\ process)$ . If  $r = \#(error\ reason)$ , it returns  $\#(stop\ reason)$ .

terminate The application terminate procedure shuts down process. When process is not #f, it kills process with reason shutdown and waits indefinitely for it to terminate. Then it calls (exit-process exit-code), where exit-code is initially 2 but set to the value passed to application: shutdown. In this way, the exit code can be used to determine if the application shut down normally.

handle-call The application handle-call procedure raises an exception on all messages.

handle-cast The application handle-cast procedure raises an exception on all messages.

handle-info The application handle-info procedure handles messages matching the pattern:

• '(EXIT p reason): If p = process, return #(stop reason #f). Otherwise, return #(stop reason process).

### (exit-process exit-code)

procedure

returns: never

The exit-process procedure flushes the console output and error ports, ignoring any exceptions, and then calls (osi\_exit exit-code).

## 10.3 Programming Interface

### (application:start starter)

procedure

returns: ok

The application:start procedure calls (gen-server:start 'application starter). If it returns #(ok \_), application:start returns ok. If it returns #(error reason), application:start calls (console-event-handler #(application-start-failed reason)) and (exit 1).

### (application: shutdown [exit-code])

procedure

returns: unspecified

The application: shutdown procedure kills the application process with reason shutdown. The exit-code defaults to 0, indicating normal shutdown. The procedure does not wait for the application process to terminate so that it can be called from a process managed by the supervision hierarchy without causing a deadlock on shutdown. If the application process does not exist, application: shutdown calls (exit-process exit-code).

# Chapter 11

# **Database Interface**

### 11.1 Introduction

The database (db) interface is a gen-server which provides a basic transaction framework to retrieve and store data in a SQLite database. It provides functions to use transactions (directly and lazily).

The low-level SQLite interface can be found in the operating system interface design (see Chapter 3).

Other SQLite resources are available online [26] or in The Definitive Guide to SQLite [22].

## 11.2 Theory of Operation

The db gen-server serializes internal requests to the database. For storage and retrieval of data, each transaction is processed in turn by a separate monitored *worker* process. The gen-server does not block waiting for this process to finish so that it can maintain linear performance by keeping its inbox short. The return value of the transaction is returned to the caller or an error is generated without tearing down the gen-server.

To facilitate logging, the db gen-server can execute SQL statements asynchronously. It enqueues SQL statements submitted via db:log and executes them by opening a transaction lazily when an explicit transaction is enqueued, when a worker process exits normally, or when the db message queue is empty and commit-delay has elapsed since enqueuing a db:log request in an empty queue. To maintain responsiveness, each lazy transaction commits at most commit-limit db:log requests. See db:options on page 117 for details. By default, each database is created with write-ahead logging enabled to prevent write operations from blocking on queries made from another connection.

SQLite has three types of transactions: deferred, immediate, and exclusive. This interface uses only immediate transactions to simplify the handling of the SQLITE\_BUSY error. Using immediate transactions means that SQLITE\_BUSY will only occur during BEGIN IMMEDIATE, BEGIN TRANSACTION, COMMIT, and ROLLBACK<sup>1</sup> statements. For each of these statements, when a SQLITE\_BUSY occurs, the code waits for a brief time, then retries the statement. The wait times in milliseconds follow

<sup>&</sup>lt;sup>1</sup>Our testing showed that ROLLBACK returns SQLITE\_BUSY only when a COMMIT for the same transaction returned SQLITE\_BUSY. This framework never causes that situation to occur, but it guards against it anyway.

the pattern (2 3 6 11 16 21 26 26 26 51 51 . #0=(101 . #0#)), and up to 500 retries are attempted before exiting with #(db-retry-failed *sql count*). When the retry count is positive, it is logged to the event manager along with the total duration with a <transaction-retry> event.

# <transaction-retry>

event

```
timestamp: timestamp from erlang:now
  database: database filename
  duration: duration in milliseconds
  count: retry count
  sql: query
```

The db gen-server uses the operating system interface to interact with SQLite. To prevent memory leaks, each raw database and statement handle is wrapped in a Scheme record and registered with a guardian via make-foreign-handle-guardian.

state (define-state-tuple <db-state> filename db cache queue worker)

- filename is the database specified when the server was started.
- db is the database record.
- cache is a hash table mapping SQL strings to SQLite prepared statements.
- queue is a queue of log and transaction requests.
- worker is the pid of the active worker or #f.

### dictionary parameters

• current-database stores a Scheme record:

```
(define-record-type database
  (fields
    (immutable filename)
    (immutable create-time)
    (mutable handle)))
```

The handle is set to #f when the database is closed.

• statement-cache stores a Scheme record:

```
(define-record-type cache
  (fields
    (immutable ht)
    (immutable expire-timeout)
    (mutable waketime)
    (mutable lazy-objects)))
```

The expire-timeout is the duration in milliseconds that entries live in the cache. This is configurable using the cache-timeout option.

The waketime is the next time the cache will attempt to remove dead entries.

The hash table, ht, maps SQL strings to a Scheme record:

```
(define-record-type entry
  (fields
    (immutable stmt)
    (mutable timestamp)))
```

When a SQL string is not found in the cache, osi\_prepare\_statement is used with the current-database to make a SQLite statement. The raw statement handle is stored in a Scheme record:

```
(define-record-type statement
  (fields
    (immutable database)
    (immutable sql)
    (immutable create-time)
    (mutable handle)))
```

The statement is finalized using osi\_finalize\_statement when it is removed from the cache. osi\_close\_database will finalize any remaining statements associated with the database.

When a SQL string is found in the cache, the entry's timestamp is updated. Entries older than 5 minutes will be removed from the cache.

Accessing the cache may exit with reason reason #(db-error prepare error sql), where error is a SQLite error pair.

The lazy-objects list contains statement and marshaled bindings records created by lazy-execute. These records are finalized when a transaction completes.

init The init procedure takes a filename, mode symbol, and an initialization procedure and attempts to open that database and invoke the initialization procedure. The handle returned from osi\_open\_database is wrapped in a *database* record that is registered with a foreign-handle guardian using the type name databases. The foreign-handle guardian hooks the garbage collector so that dead databases are closed even if the db gen-server fails to close them for any reason.

The gen-server traps exits so that it can close the database in its terminate procedure.

terminate The terminate flushes the queue and closes the database.

handle-call The handle-call procedure processes the following messages:

- #(transaction f): Add this transaction along with the from argument to handle-call to the queue. Process the queue.
- filename: Return the database filename.
- stop: Flush the queue and stop with reason normal, returning stopped to the caller.

handle-cast The handle-cast procedure processes the following message:

• #(<log> sql mbindings): Add this tuple to the queue. Process the queue.

handle-info The handle-info procedure processes messages matching the following patterns:

- timeout: If the request queue is empty, remove old entries from the statement cache. Process the queue.
- '(DOWN \_ worker-pid reason e): The worker finished the previous request. If successful, process the queue. Otherwise, flush the queue and stop with the fault e.
- '(DOWN \_ \_ \_): Ignore the unexpected DOWN message. Process the queue.
- '(EXIT pid \_ e): If the pid is the worker, ignore the message. Do not update the state. A follow-up DOWN message will process the queue. Otherwise, flush the queue, and stop with the fault e.

## 11.3 Design Decisions

There is a one-to-one relationship between a SQLite database handle and the db gen-server. For clarity, the database handle and a SQLite statement cache are implemented in terms of Erlang process dictionary parameters.

An alternate approach for logging was already explored where a transaction was not lazily opened. Such an approach means that when a third party tool tries to access the database, it will hang until the transaction is complete.

A commit threshold of 10,000 was chosen because it was large enough to minimize the cost of a transaction but small enough to execute simple queries in less than one second.

Version 2.1.0 adds the concept of marshaled bindings. Bindings are copied into the C heap. The resulting handle is wrapped in a Scheme record and registered with a guardian via make-foreign-handle-guardian. The database worker process uses marshaled bindings to invoke sqlite:bulk-execute when processing log messages.

# 11.4 Programming Interface

```
(db:start&link name filename mode [db-init]) procedure (db:start&link name filename mode [db-options]) returns: #(ok pid) | #(error error)
```

The db:start&link procedure creates a new db gen-server using gen-server:start&link.

name is the registered name of the process. For an anonymous server, #f may be specified.

filename is the path to a SQLite database.

mode is one of the following symbols used to pass SQLite flags to osi\_open\_database:

• read-only uses the SQLite flag SQLITE\_OPEN\_READONLY.

- open uses the SQLite flag SQLITE\_OPEN\_READWRITE.
- create combines the SQLite flags (logor SQLITE\_OPEN\_READWRITE SQLITE\_OPEN\_CREATE).

The SQLite constants can be found in sqlite3.h or online [26].

db-init is a procedure that takes one argument, a database record instance. The return value is ignored. When the *mode* is **create** and *filename* is not a special SQLite filename, the default procedure sets <code>journal\_mode</code> to "wal"; otherwise, no additional initialization occurs.

*db-options* can be defined using (db:options [option value] ...). The following options may be used:

option	default	description
init	see right	a procedure, (lambda (filename mode db)), called when
		initializing the gen-server, where $db$ is a database record instance;
		the default init procedure is equivalent to the default db-init
		procedure described above
cache-timeout	5 minutes	a nonnegative fixnum; the number of milliseconds before unref-
		erenced statements expire from the statement cache
commit-delay	0	a nonnegative fixnum; the number of milliseconds to wait before
		opening a lazy transaction
commit-limit	10,000	a positive fixnum; the maximum number of db:log entries to
		include when opening a lazy transaction

The db:start&link procedure may return an error of #(db-error open error filename), where error is a SQLite error pair.

(db:stop who) procedure

returns: stopped

The db:stop procedure calls (gen-server:call who stop infinity).

```
(with-db [db filename flags] body1 body2 ...)
expands to:
(let ([db (sqlite:open filename flags)])
  (on-exit (sqlite:close db)
    body1 body2 ...))
```

The with-db macro opens the database in *filename*, executes the statements in the body, and closes the database before exiting. This is a suitable alternative to starting a gen-server when you need to query a database using a separate SQLite connection, and you do not need to cache prepared SQL statements.

```
(db:expire-cache who) procedure returns: unspecified
```

The db:expire-cache procedure enqueues a request to remove entries from the statement cache regardless of their expiration time. BEGIN IMMEDIATE and COMMIT remain in the cache because they are used frequently.

(db:filename who) procedure

returns: the database filename

The db:filename procedure calls (gen-server:call who filename).

(db:log who sql . bindings) procedure

returns: ok

The db:log procedure calls (gen-server:cast who #(<log> sql mbindings)), where sql is a SQL string, bindings is a list of values to be bound in the query, and mbindings is the result of (sqlite:marshal-bindings bindings). Because db:log does not wait for a reply from the server, any error in processing the request will crash the server.

(db:transaction who f)
returns: #(ok result) | #(error error)

The db:transaction procedure calls (gen-server:call who #(transaction f) infinity).

f is a thunk which returns a single value, result. execute, lazy-execute, and columns can be used inside the procedure f.

result is the successful return value of f. Typically, this is a list of rows as returned by a SELECT query.

*error* is the failure reason of f.

```
(transaction db body ...)
expands to:
(match (db:transaction db (lambda () body ...))
  [#(ok ,result) result]
  [#(error ,reason) (throw reason)])
```

The transaction macro runs the body in a transaction and returns the result when successful and exits when unsuccessful.

```
(execute sql . bindings) procedure
```

**returns:** a list of rows where each row is a vector of data in column order as specified in the sql statement

execute should only be used from within a thunk f provided to db:transaction.

sql is mapped to a SQLite statement using the statement-cache. The bindings are then applied using osi\_bind\_statement. The statement is then executed using osi\_step\_statement. The results are accumulated as a list, and the statement is reset using osi\_reset\_statement to prevent the statement from locking parts of the database.

This procedure may exit with reason #(db-error prepare error sql), where error is a SQLite error pair.

(lazy-execute sql . bindings) procedure

returns: a thunk

lazy-execute should only be used from within a thunk f provided to db:transaction.

A new SQLite statement is created from sql using osi\_prepare\_statement so that the statement won't interfere with any other queries. The statement is added to the lazy-objects list of the statement-cache and is finalized when the transaction completes. The bindings are marshaled via sqlite:marshal-bindings. The resulting bindings record instance is added to the lazy-objects list and applied using osi\_bind\_statement\_bindings. A thunk is returned which, when called, executes the statement using osi\_step\_statement. The thunk returns one row of data or #f.

This procedure may exit with reason #(db-error prepare error sql), where error is a SQLite error pair.

#### (execute-sql db sql . bindings)

procedure

**returns:** a list of rows where each row is a vector of data in column order as specified in the sql statement

execute-sql should only be used for statements that do not need to be inside a transaction, such as a one-time query.

sql is prepared into a SQLite statement for use with db, executed via sqlite:execute with the specified bindings, and finalized.

This procedure may exit with reason #(db-error prepare error sql), where error is a SQLite error pair.

(columns sql) procedure

returns: a vector of column names in order as specified in the sql statement

columns should only be used from within a thunk f provided to db:transaction.

sql is mapped to a SQLite statement using the statement-cache. The statement columns are then retrieved using osi\_get\_statement\_columns.

### (parse-sql x [symbol->sql])

procedure

returns: two values: a query string and a list of syntax objects for the arguments

The parse-sql procedure is used by macro transformers to take syntax object x and produce a query string and associated arguments according to the patterns below. When one of these patterns is matched, the symbol->sql procedure is applied to the remaining symbols of the input before they are spliced into the query string, as if by (format "~a" (symbol->sql sym)). By default, symbol->sql is the identity function.

- (insert table ([ $column \ e_1 \ e_2 \ \dots$ ]  $\dots$ ))
  - The insert form generates a SQL insert statement. The *table* and *column* patterns are SQL identifiers. Any *e* expression that is (unquote *exp*) is converted to ? in the query, and *exp* is added to the list of arguments. All other expressions are spliced into the query string.
- (update table ([ $column \ e_1 \ e_2 \dots$ ] ...)  $where \dots$ )

The update form generates a SQL update statement. The table and column patterns are SQL identifiers. Any e or where expression that is (unquote exp) is converted to? in the

query, and *exp* is added to the list of arguments. All other expressions are spliced into the query string.

• (delete table where ...)

The delete form generates a SQL delete statement. The *table* pattern is a SQL identifier. Any *where* expression that is (unquote *exp*) is converted to? in the query, and *exp* is added to the list of arguments. All other expressions are spliced into the query string.

(database? x) procedure

returns: a boolean

The database? procedure determines whether or not the datum x is a database record instance.

(database-create-time db)

returns: a clock time in milliseconds

The database-create-time procedure returns the clock time from erlang:now when database record instance db was created.

procedure

(database-filename db) procedure

returns: a string

The database-filename procedure returns the filename of database record instance db.

(database-count) procedure

returns: the number of open databases

The database-count procedure returns the number of open databases. This is the procedure returned by (foreign-handle-count 'databases).

(print-databases [op]) procedure

returns: unspecified

The print-databases procedure prints information about all open databases to textual output port *op*, which defaults to the current output port. This is the procedure returned by (foreign-handle-print 'databases).

(statement? x) procedure

returns: a boolean

The statement? procedure determines whether or not the datum x is a statement record instance.

(statement-create-time stmt) procedure

returns: a clock time in milliseconds

The statement-create-time procedure returns the clock time from erlang:now when statement record instance *stmt* was created.

(statement-database stmt)

procedure

returns: a string

The statement-database procedure returns the database record instance of the statement record instance stmt.

(statement-sql stmt)

procedure

returns: a string

The statement-sql procedure returns the SQL string of the statement record instance stmt.

(statement-count)

procedure

returns: the number of unfinalized statements

The statement-count procedure returns the number of unfinalized statements. This is the procedure returned by (foreign-handle-count 'statements).

(print-statements [op])

procedure

returns: unspecified

The print-statements procedure prints information about all unfinalized statements to textual output port op, which defaults to the current output port. This is the procedure returned by (foreign-handle-print 'statements).

(bindings? x)

procedure

returns: a boolean

The bindings? procedure determines whether or not the datum x is a marshaled bindings record instance.

(bindings-count)

procedure

returns: the number of live marshaled bindings records

The bindings-count procedure returns the number of live marshaled bindings records. This is the procedure returned by (foreign-handle-count 'bindings).

(print-bindings [op])

procedure

returns: unspecified

The print-bindings procedure prints information about all live marshaled bindings records to textual output port op, which defaults to the current output port. This is the procedure returned by (foreign-handle-print 'bindings).

(sqlite:bind stmt bindings)

procedure

returns: unspecified

The sqlite:bind procedure binds the variables in statement record instance *stmt* with the list of *bindings*. It resets the statement before binding the variables.

(sqlite:bulk-execute stmts mbindings)

procedure

returns: unspecified

The sqlite:bulk-execute procedure extracts the handles of the vectors *stmts* and *mbindings* and calls osi\_bulk\_execute. *stmts* is a vector of statement record instances, and *mbindings* is a vector of corresponding marshaled bindings obtained via sqlite:marshal-bindings.

(sqlite:clear-bindings stmt)

procedure

returns: unspecified

The sqlite:clear-bindings procedure clears the variable bindings in statement record instance stmt.

(sqlite:close db)

procedure

returns: unspecified

The sqlite:close procedure closes the database associated with database record instance db.

(sqlite:columns stmt)

procedure

returns: a vector of column names

The sqlite: columns procedure returns a vector of column names for the statement record instance stmt.

(sqlite:execute stmt bindings)

procedure

returns: a list of rows where each row is a vector of data in column order

The sqlite:execute procedure binds any variables in statement record instance *stmt* and then calls (sqlite:step *stmt*) repeatedly to build the resulting list of rows. If *bindings* is a marshaled bindings record instance, then sqlite:execute calls osi\_bind\_statement\_bindings to bind variables in the statement. Otherwise it calls sqlite:marshal-bindings before binding the variables and calls sqlite:unmarshal-bindings before returning. As a result, sqlite:execute accepts as *bindings* a list, a vector, or a marshaled bindings record instance. When the procedure exits, it resets the statement and clears the bindings.

(sqlite:expanded-sql stmt)

procedure

returns: a string

The sqlite:expanded-sql procedure returns the SQL string expanded with the binding values for the statement record instance stmt.

(sqlite:finalize stmt)

procedure

returns: unspecified

The sqlite:finalize procedure finalizes the statement record instance stmt.

(sqlite:get-bindings bindings)

procedure

returns: a vector or #f

The sqlite:get-bindings procedure returns a vector of the values marshaled in the bindings record instance via sqlite:marshal-bindings, or #f if the record has been unmarshaled by sqlite:unmarshal-bindings.

(sqlite:interrupt db)

procedure

returns: a boolean

The sqlite:interrupt procedure interrupts any pending operations on the database associated with database record instance db. It returns #t when the database is busy and #f otherwise.

(sqlite:last-insert-rowid db)

procedure

returns: unspecified

The sqlite:last-insert-rowid procedure returns the rowid of the most recent successful insert into a rowid table or virtual table on the database associated with database record instance db. It returns 0 if no such insert has occurred.

(sqlite:marshal-bindings bindings)

procedure

returns: a marshaled bindings record instance or #f

The sqlite:marshal-bindings procedure returns a marshaled bindings record instance for the provided list or vector of *bindings*. The sqlite:marshal-bindings procedure registers the marshaled bindings record with a foreign-handle guardian using the type name bindings.

(sqlite:open filename flags)

procedure

returns: a database record instance

The sqlite:open procedure opens the SQLite database in file filename with flags specified by sqlite3\_open\_v2 [26]. The constants SQLITE\_OPEN\_CREATE, SQLITE\_OPEN\_READONLY, and SQLITE\_OPEN\_READWRITE are exported from the (swish db) library. The sqlite:open procedure registers the database record with a foreign-handle guardian using the type name databases.

(sqlite:prepare  $db \ sql$ )

procedure

returns: a statement record instance

The sqlite:prepare procedure returns a statement record instance for the sql statement in the database record instance db. The sqlite:prepare procedure registers the statement record with a foreign-handle guardian using the type name statements.

(sqlite:sql stmt)

procedure

returns: a string

The sqlite:sql procedure returns the unexpanded SQL string for the statement record instance stmt.

(sqlite:step stmt)

procedure

returns: a vector of data in column order or #f

The sqlite:step procedure steps the statement record instance *stmt* and returns the next row vector in column order or #f if there are no more rows.

(sqlite:unmarshal-bindings mbindings)

procedure

returns: unspecified

The sqlite:unmarshal-bindings procedure deallocates the memory associated with the marshaled bindings record instance mbindings.

# Chapter 12

# Log Database

### 12.1 Introduction

The log database is a single gen-server named log-db that uses the database interface (see Chapter 11) to log system events (see Chapter 7).

## 12.2 Theory of Operation

#### 12.2.1 Initialization

The log-db gen-server handles startup and setup through two separate procedures. Startup uses the db:start&link procedure to connect to the the SQLite log database specified by the (log-file) parameter. It creates the file if it does not exist, but otherwise startup does not modify the database.

Setup makes sure the schema of the log database has been created and is up-to-date. A unique symbol identifying the schema version is stored in a table named version. This allows the software to upgrade between known schema versions and to exit with an error when it encounters an unsupported database version. These schema updates happen within a database transaction so that if there is an error, the changes are rolled back.

Setup calls event-mgr:set-log-handler after updating the schema. This registers the log-db to log system events. It also calls event-mgr:flush-buffer. This causes the event manager to stop buffering startup events and the log-db to log the events that were buffered.

Setup sends a <system-attributes> event so that log-db receives and logs it. Finally, setup calls db:expire-cache to release the schema definition queries.

Once the log-db gen-server has been setup, it continues to receive events from the system event manager. It converts events that it recognizes into insertions to the log database. Events that it does not recognize are ignored.

The tables are pruned using insert triggers to hold 90 days of information. To keep the insert operations fast, the timestamp columns are indexed, and the pruning deletes no more than 10 rows

per insert. See make-swish-event-logger.

### 12.2.2 Extensions

An application typically produces events beyond those that are part of Swish and may wish to log them in the same log database file where the Swish events are logged. The log-db design allows for this type of extension.

The log-db:setup procedure takes a list of <event-logger> tuples or log-db:event-logger objects. Each logger represents an extension to the log database schema and contains two procedures, setup and log. The log-db:setup procedure calls the setup procedure of logger to make sure that its portion of the schema has been created and is up-to-date. Then, when log-db receives an event, it calls the log procedure of each logger. If the event is recognized by that portion of the schema, the log procedure inserts or updates data in the log database. Otherwise, the procedure ignores that event.

Additionally, the version table does not store a single schema version. Instead, it stores schema versions associated with names. The setup procedure of an <event-logger> uses an unique name for its portion of the schema and the log-db:version procedure to retrieve and set its version.

The schema and logging for Swish events is implemented as an <event-logger> defined by swish-event-logger and using the schema version name swish. An application that wishes to use this logging must provide swish-event-logger in the list to log-db:setup. If the application wishes to log Swish events in a different structure, it can omit the swish-event-logger and provide its own logger with its own schema. However, doing so makes the application more brittle with respect to changes in the Swish implementation.

## 12.3 Programming Interface

<event-logger>

setup: procedure of no arguments that makes sure this portion of the schema is created and up-to-date

log: procedure of one argument, an event, that logs the event if it recognizes it and otherwise ignores it

(log-db:start&link [db-options]) procedure returns: #(ok pid) | #(error error)

The log-db:start&link procedure creates a new db gen-server named log-db using db:start&link. It uses the value of the (log-file) parameter as the path to the SQLite database and specifies create mode. The optional db-options must be an object created by db:options.

(log-db:setup loggers)
returns: ignore | #(error error)

The argument *loggers* is a list of <event-logger> tuples or objects constructed by log-db:event-logger. The log-db:setup makes sure the log-db is setup to run by doing the following in order.

- 1. Initialize or upgrade the database schema from within a db:transaction call. It does this by calling the setup procedure of each logger.
- 2. Register a procedure with event-mgr:set-log-handler to have the log-db gen-server log events it recognizes. When this procedure receives an event, it calls the log procedure of each logger.
- 3. Call event-mgr:flush-buffer to stop buffering system events and apply the log handler to the events already buffered.
- 4. Send a <system-attributes> event.

If everything succeeds, the procedure returns ignore. If either the db:transaction or event-mgr:set-log-handler indicate an error, the procedure returns that error.

A logger may be configured via (log-db:event-logger [option value] ...), which supports the following options:

option	default	description
setup	required	a procedure of no arguments that makes sure this
		portion of the schema is created and up-to-date
log	required	a procedure of one argument, an event, that logs
		the event if it recognizes it and otherwise ignores
		it
tolerate-fault?	(lambda (event) #f)	a procedure of one argument, an event, that re-
		turns true if event-mgr should tolerate the fault
		in log for that event or false if event-mgr should
		kill log-db

### (log-db:version name [version])

procedure

name: symbol identifying the schema

version: string specifying the version of the schema

When called with one argument, log-db:version retrieves the version associated with *name* from the database and returns it as a string. It returns #f if no version associated with *name* is stored in the database.

When called with two arguments, it stores *version* as the version associated with *name* in the database.

### (log-db:get-instance-id)

procedure

returns: a string

log-db:setup associates a globally unique identifier with the database file. The log-db:get-instance-id function caches and returns that identifier.

### (make-swish-event-logger [prune-max-days prune-limit])

property

The make-swish-event-logger procedure returns an <event-logger> tuple that defines the schema for Swish events. It uses the name swish to store its schema version. The optional prune-

max-days and prune-limit arguments are passed to create-prune-on-insert-trigger when initializing the Swish event log tables. The default prune-max-days is 90. The default prune-limit is 10.

```
swish-event-logger
```

property

The swish-event-logger is an <event-logger> tuple created by calling (make-swish-event-logger).

```
(create-table name
(field type . inline)
...)
```

expands to: (execute "create table if not exists ...")

The create-table syntax describes the schema of a single table and expands into a call to execute to create the table if no table with that name already exists. The name of the table, name, and of each field, field, are converted from Scheme to SQL identifiers by replacing hyphen characters with underscores and eliminating any non-alphanumeric and non-underscore characters. The SQL definition of each field is produced by joining the converted field name, the type and any additional inline arguments into a space separated string.

**expands to:** A definition of the *create* and *handle* procedures

The define-simple-events syntax is used to log tuple types by inserting a row into a table with the same name and the same fields. Each *name* is a tuple type. Each *clause* is a valid create-table clause for one of the fields in that tuple type.

It defines *create* as a procedure of 0 arguments that consists of a (create-table *name clause* ...) for each tuple in the define-simple-events. This means that the name of the tuple type and each field are converted to SQL names by the create-table syntax.

It defines *handle* as a procedure of 1 argument, an event. If the event is one of the tuple types in the define-simple-events, it calls db:log with an insert statement applying coerce to each value. If the event is unrecognized, it returns #f.

(coerce x) procedure

returns: a Scheme object

The argument x is a Scheme object mapped to a SQLite value.

type	transformation
string	string
by tevector	by tevector
number	number, if it fits in 64 bits
symbol	symbol->string
date	format-rfc2822
$JSON\ object$	json:object->string
process	global-process-id
condition	a string representing a JSON object with the following fields:
	message containing (exit-reason->english x) and
	<pre>stacks containing (map stack-&gt;json (exit-reason-&gt;stacks x))</pre>
$continuation\hbox{-} condition$	a string containing #(error reason stack) where the stack is obtained
	from dump-stack

coerce passes #f through unmodified which SQLite interprets as NULL. Other values are converted to string using write.

```
(create-prune-on-insert-trigger table column max-days limit) procedure returns: unspecified
```

The create-prune-on-insert-trigger procedure should be called only within a thunk f provided to db:transaction. It creates a temporary trigger that prunes, after an insert, up to limit rows of the specified table where the erlang:now timestamp in column is older than max-days. max-days must be a nonnegative fixnum, and limit must be a positive fixnum. To keep insert operations fast, column should be indexed.

```
(stack->json k [max-depth]) procedure returns: a JSON object
```

The stack->json procedure renders the stack of continuation k as a JSON object by calling walk-stack. The return value may contain the following keys:

type "stack"

depth the depth of the stack

truncated if present, the *max-depth* at which the stack dump was truncated frames if present, a list of JSON objects representing stack frames

A stack frame may contain the following keys:

type "stack-frame"

depth the depth of this frame

source if present, a source object for the return point

procedure-source if present, a source object for the procedure containing the return point

free if present, a list of JSON objects representing free variables

A source object x with source file descriptor sfd is represented by a JSON object containing the following keys:

bfp (source-object-bfp x)
efp (source-object-efp x)
path (source-file-descriptor-path sfd)
checksum (source-file-descriptor-checksum sfd)

A free variable with value val is represented by a JSON object containing the following keys:

```
name a string containing the variable name or its index value the result of (format "~s" val)
```

```
(json-stack->string [op] x) procedure returns: see below
```

The two argument form of json-stack->string prints the stack represented by JSON object x to the textual output port op. The single argument form of json-stack->string prints the stack represented by JSON object x to a string output port and returns the resulting string. In either case, the printed form resembles that generated by dump-stack except that source locations are given as file offsets rather than line and character numbers.

### 12.4 Published Events

```
<system-attributes>
```

The <system-attributes> event is sent exactly once, when log-db:setup is called.

# Chapter 13

# System Statistics

### 13.1 Introduction

The system uses a single gen-server named statistics to periodically query statistics about the system, such as memory usage.

## 13.2 Theory of Operation

When the statistics gen-server starts, it posts a <statistics> event with reason = startup. Every five minutes thereafter, it posts a <statistics> event with reason = update. If the computer sleeps or hibernates, the gen-server posts a <statistics> event with reason = suspend. When the computer awakens, the gen-server posts a <statistics> event with reason = resume. When the gen-server terminates, it posts a <statistics> event with reason = shutdown.

The <statistics> event is handled by the log-db gen-server (see Chapter 12), which adds the data to the statistics table in the log database.

# 13.3 Programming Interface

(statistics:start&link) procedure returns: #(ok pid) | #(error error)

The statistics:start&link procedure creates a new gen-server named statistics using gen-server:start&link. It then posts a <statistics> event with reason = startup.

(statistics:resume) procedure

The statistics:resume procedure casts a message to the statistics gen-server that causes it to publish a <statistics> event with reason = resume. This procedure is called from the operating system interface via the top-level-value \$resume.

(statistics:suspend) procedure

The statistics:suspend procedure casts a message to the statistics gen-server that causes it to publish a <statistics> event with reason = suspend. This procedure is called from the operating system interface via the top-level-value \$suspend.

### 13.4 Published Events

timestamp: timestamp from erlang:now
 date: date from current-date

 $\it reason$ : startup, update, suspend, resume, or shutdown

bytes-allocated: Scheme heap size from bytes-allocated

current-memory-bytes: Scheme heap size including overhead, from current-memory-bytes

maximum-memory-bytes: maximum Scheme heap size including overhead, since startup or since the

last <statistics> event, from maximum-memory-bytes

osi-bytes-used: C heap size from osi\_get\_bytes\_used

sqlite-memory: SQLite memory used from osi\_get\_sqlite\_status

sqlite-memory-highwater: SQLite memory highwater since last event from osi\_get\_sqlite\_status

foreign-handles: JSON object with types and counts reported by count-foreign-handles

 $cpu\colon {\bf CPU}$  time in seconds since last event

real: elapsed time in seconds since last event

bytes: Scheme heap bytes allocated since last event

qc-count: number of garbage collections since last event

qc-cpu: CPU time in seconds of garbage collections since last event

gc-real: elapsed time in seconds of garbage collections since last event

qc-bytes: Scheme heap bytes reclaimed since last event

os-free-memory: current free memory from osi\_get\_free\_memory

Each time a <statistics> event is emitted, the maximum memory bytes value is reset via reset-maximum-memory-bytes!.

The JSON object in the foreign-handles field contains at least the following entries:

bindings number of live marshaled SQLite bindings

databases number of open SQLite databases

osi-ports number of open osi-ports
path-watchers number of open path watchers

statements number of unfinalized SQLite statements

tcp-listeners number of open TCP/IP listeners

This event is sent every five minutes while the statistics gen-server is running.

# Chapter 14

# HTTP Interface

### 14.1 Introduction

The HTTP interface provides a basic implementation of the Hypertext Transfer Protocol [14] and the WebSocket Protocol [13]. The programming interface includes procedures for the HyperText Markup Language (HTML) version 5 [19] and JavaScript Object Notation (JSON) [3].

## 14.2 Theory of Operation

The HTTP interface provides http:add-file-server to listen for connections on a TCP port and serve files from a directory and http:add-server to serve content via a user-defined procedure. These procedures configure the app-sup-spec to include one or more web servers in the supervision hierarchy that is started by app:start.

Both http:add-file-server and http:add-server are implemented in terms of http:configure-server, which defines a supervisor that manages the *listener* gen-server, *dispatcher* processes, *cache* gen-servers, and *evaluator* processes. Internally, the listener starts a cache manager process to support evaluating and serving files. The dispatcher starts a connection process to manage protocol details. This structure is illustrated in Figure 14.1.

The HTTP supervisor provided via http:configure-server is configured one-for-one with up to 10 restarts within 10 seconds.

The *listener* gen-server awaits TCP connections using listen-tcp and accepts them using accept-tcp. For each TCP connection, the listener uses its supervisor to spawn and link a *dispatcher* process that reads each request, calls the *URL handler* configured for that listener, and repeats until the connection closes. The listener closes the associated output port when the dispatcher exits.

Each dispacher process spawns a connection gen-server. The connection is responsible for reading and parsing requests in a timely manner. A connection server reads from its input port until a CR LF occurs. Well-formed input is converted to a <request> tuple. The HTTP request header is then read and associated with the request. If the connection takes more than request-timeout milliseconds to parse a request, the dispatcher fires an exception, and the TCP connection is closed.

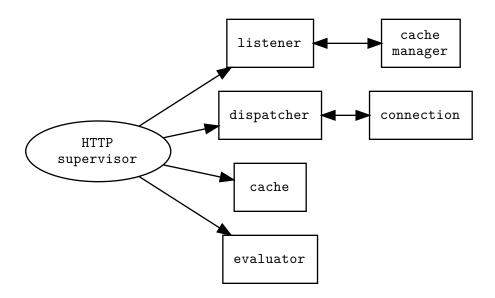


Figure 14.1: HTTP supervision tree

The dispatcher logs the specific request, validates that the requested path does not include "..", and invokes the URL handler provided by the listener.

For forms, the URL handler is responsible for checking the request method and reading form content with http:call-with-form. Any unread content as determined by Content-Length is ignored.

After a request is processed, the current process and connection can be reused. Unless the Connection header specifies close, the system reads another request from the input port after skipping any unread content from the current request.

### 14.2.1 URL handler and Media Type handler

Using http:add-server or http:configure-server configures a web server to serve content via a URL handler that may be compiled into the Swish application.

A URL handler is a procedure that should return #t when it processes a request, and #f otherwise.

To allow future extension without change to application code, the HTTP interface provides http:url-handler to define a handler which exposes the following implicit variables:

conn: a connection process
request: a <request> tuple
header: a JSON object
params: a JSON object

http:call-url-handler can be used to invoke a handler, and http:compose-url-handlers chains a sequence of handlers together.

A media type handler is a procedure that takes a file extension as input and returns a media type or #f. The default media type handler always returns #f.

### 14.2.2 Default file handling

Using http:add-file-server or http:configure-file-server configures a web server to serve files from a directory. These procedures generate a URL handler by calling http:make-default-file-url-handler. This handler asks the *cache manager* gen-server for a cache responsible for the given directory.

The *cache manager* gen-server maintains the mapping of the top-level web directory to a *cache* gen-server. If a cache does not exist for the given path, a new *cache* gen-server is created.

A cache gen-server stores URL handlers and provides a mapping from file extension to media type. It creates a directory watcher using watch-directory to invalidate the cache when anything in the directory tree changes. It terminates after 5 minutes of inactivity.

The optional media-type-handler is configured via http:make-default-media-type-handler to match the extension of *filename* case insensitively against an extension in the mime-types file of the configured directory. Each line of mime-types has the form ("extension" . "Content-Type").

### 14.2.3 Dynamic Pages

A dynamic page is any file that is transformed before its content is sent to the browser. For example, by setting the option file-transform to http:enable-dynamic-pages, a path that ends in ".ss" containing a sequence of definitions followed by a sequence of expressions is wrapped in a URL handler and evaluated by the eval system procedure. The page explicitly sends a response via http:respond or http:respond-file.

In general, a cache gen-server resolves a URL to a file path and checks its internal state. If a URL handler is unavailable, the gen-server calls the optional file-transform procedure passing the absolute file path.

The file-transform procedure returns #f or a procedure. When it returns #f, the URL is considered static and is sent directly over the connection using http:respond-file.

When it returns a procedure, the cache starts an *evaluator* process. The evaluator process calls the procedure with the cache's root directory and the absolute file path. The evaluator procedure returns #f or a URL handler. The cache stores the URL handler.

### 14.2.4 WebSocket Protocol

The WebSocket Protocol enables bidirectional communication and is well supported by web browsers and software platforms. The protocol utilizes message fragmentation and control frame messages. The (swish websocket) library represents a WebSocket connection as a gen-server referred to as a websocket.

The websocket gen-server manages message fragmentation, ping and pong control messages, and sends messages to a separate process. The following messages are sent:

• #(ws:init ws): Indicates that the HTTP protocol upgrade to websocket is complete for process ws and messages can be exchanged.

- #(ws:message ws message): Indicates that a message bytevector or string was received by process ws.
- #(ws:closed ws code reason): Indicates that the websocket and underlying output port is closed. The cause is indicated by the numeric status code. Status codes 1000 and 1001 are used to indicate normal exit reasons. The reason string may be useful for logging and debugging but is not necessarily presentable to a user.

As a server, a URL handler can call ws:upgrade in tail position to switch to the WebSocket Protocol.

A client establishes a connection to a server using ws:connect to connect and request usage of the WebSocket Protocol.

## 14.3 Security

The HTTP interface is written in Scheme, and therefore buffer overrun exploits cannot be used against the system.

User input should be carefully checked before calling eval or invoking a database query.

A URL which directs the system away from a cache's root path using ".." could allow access to system files. Therefore, the dispatcher explicitly rejects relative paths.

The HTTP interface limits incoming data to protect against large memory allocation that may crash the application.

The HTTP interface allows individual form URL handlers to specify incoming data and file upload limits to protect against large memory allocation and running out of disk space.

## 14.4 Programming Interface

<request> tuple

host: a string method: a symbol

path: a decoded string
original-path: same as path

header: a decoded JSON object params: a decoded JSON object

The *original-path* is used internally when redirecting the browser to a new location. If user code copies the <request> tuple, it should not modify *original-path*.

(http:configure-server name port url-handler [options]) procedure

returns: a list of child specifications (see page 106)

name: a symbol for the listener gen-server or #f for an anonymous server

port: a fixnum  $0 \le port \le 65535$ 

url-handler: a procedure
 options: see below

The http:configure-server procedure returns a list of supervisor child specifications that define an HTTP server.

The specification defines a supervisor configured as one-for-one with up to 10 restarts every 10 seconds. The supervisor starts a listener gen-server. This supervisor is used to create dispatcher, cache, and evaluator processes.

The listener is a gen-server registered as *name*. It accepts connections on the given TCP *port* and processes them with *url-handler*.

The options can be defined using (http:options (option value) ...). The following options may be used:

option	default	description
request-limit	8,192	a positive fixnum; the maximum length in bytes
		of the first line of an HTTP request
request-timeout	30,000	a positive fixnum; the maximum number of mil-
		liseconds to wait to receive an HTTP request after
		initial connection
response-timeout	60,000	a positive fixnum; the maximum number of mil-
		liseconds to allow the server to send a response
header-limit	1,048,576	a positive fixnum; the maximum length in bytes
		of the HTTP request headers
media-type-handler	(lambda (ext) #f)	a procedure; given a file extension returns the me-
		dia type of the file or #f
file-search-extensions	'(".html")	a list of strings; a cache process uses the list to
		disambiguate URL paths to file system paths.
file-transform	(lambda (path) #f)	a procedure; a cache process calls this procedure
		with a file path and expects an evaluator proce-
		dure or $#f$ (see $§14.2.3$ )

(http:add-server $arq \dots$ )	$\mathbf{s}$	yntax
(http:add-server $arg \dots$ )	y Sy	ma

The http:add-server macro expands to code that appends the result of (http:configure-server arg ...) to the app-sup-spec parameter.

```
(http:configure-file-server name port dir [options]) procedure returns: a list of child specifications (see page 106)
```

The http:configure-file-server procedure defines an HTTP server that provides content from the file-system rooted at *dir*.

The http:configure-file-server procedure calls http:configure-server with (http:make-default-file-url-handler dir) as the URL handler. If the media-type-handler option is not specified, it defaults to one constructed via (http:make-default-media-type-handler dir).

(http:add-file-server arg ...)

syntax

The http:add-file-server macro expands to code that appends the result of (http:configurefile-server arg ...) to the app-sup-spec parameter.

(http:make-default-file-url-handler dir)

procedure

returns: a URL handler

The http:make-default-file-url-handler defines a URL handler that retrieves the cache responsible for the directory dir. It then looks up a URL handler from the cache for the given request. If found, it calls the handler and flushes the output port. Otherwise, it returns #f.

(http:make-default-media-type-handler dir)

procedure

returns: a media type handler

The http:make-default-media-type-handler defines a media type handler that retrieves the cache responsible for the directory dir. It then requests the media type for the given file extension. If found, the type is returned. Otherwise, it returns #f.

(http:url-handler  $body_1 \ body_2 \dots$ )

syntax

The http:url-handler macro defines a URL handler procedure described in Section 14.2.1.

(http:call-url-handler handler)

syntax

The http:call-url-handler macro invokes a URL handler, implicitly capturing variables in scope as described in Section 14.2.1.

(http:compose-url-handlers handlers)

procedure

returns: a URL handler

The http:compose-url-handlers procedure defines a URL handler that takes a list of handlers and invokes each handler, in order, until one returns #t. Otherwise, it returns #f.

If a URL handler returns #f but sends output to the output-port, exception http-side-effectinghandler is raised.

(http:get-port-number *listener*)

procedure

returns: the listener gen-server TCP port number

The http:get-port-number procedure calls (gen-server:call listener 'get-port-number). If the listener's port was configured to be zero, the operating system will choose an available port number. http:get-port-number uses listener-port-number to retrieve the actual port number that the server is listening on.

(http:find-header name header)

procedure

returns: a string | #f

The http:find-header procedure returns the value associated with name in JSON object header if present and #f otherwise. If name is a symbol, the lookup uses eq?. If name is a string, it is first mapped to a lower-case symbol before performing the lookup. Otherwise, the exception #(bad-arg http:find-header name) is raised.

(http:get-header name header)

procedure

returns: a string

The http:get-header procedure returns the value associated with name in JSON object header if present and raises exception #(http-invalid-header name) otherwise. If name is a symbol, the lookup uses eq?. If name is a string, it is first mapped to a lower-case symbol before performing the lookup. Otherwise, the exception #(bad-arg http:get-header name) is raised.

(http:get-content-length header)

procedure

returns: an unsigned integer | #f

The http:get-content-length procedure returns the unsigned integer value associated with content-length in JSON object *header* if present and #f otherwise. It raises exception #(http-invalid-content-length value) if the value string does not represent an unsigned integer.

(http:find-param name params)

procedure

returns: a string | #f

The http:find-param procedure returns the value associated with name in JSON object params if present and #f otherwise. If name is a symbol, the lookup uses eq?. If name is a string, it is converted to a symbol before performing the lookup. Otherwise, the exception #(bad-arg http:find-param name) is raised.

(http:get-param name params)

procedure

returns: a string

The http:get-param procedure returns the value associated with name in JSON object params if present and raises exception #(http-invalid-param name) otherwise. If name is a symbol, the lookup uses eq?. If name is a string, it is converted to a symbol before performing the lookup. Otherwise, the exception #(bad-arg http:get-param name) is raised.

(http:read-header ip limit)

procedure

returns: a JSON object

The http:read-header procedure reads from the binary input port ip until it reads a blank line. It creates a JSON object where the key is a symbol formed from the down-cased characters before the first colon and the value is a string formed from the characters that remain after skipping white space. Duplicate message-header fields result in a single entry where the value is a comma-separated list.

Reading beyond limit raises exception http-input-limit-exceeded.

Failure to find a colon on any given line raises exception http-invalid-header.

(http:read-status ip limit)

procedure

returns: number | #f

The http:read-status procedure reads the HTTP response status line from the binary input port *ip* and returns the status code as a number if well formed and #f otherwise. Reading beyond *limit* raises exception http-input-limit-exceeded.

### (http:write-status op status)

procedure

returns: unspecified

The http:write-status procedure writes the HTTP response status line to the binary output port op.

Unless status is a fixnum and  $100 \le status \le 599$ , the exception #(bad-arg http:write-status status) is raised.

According to HTTP [14] the status line includes a human-readable reason phrase. The grammar shows that it can in fact be zero characters long; therefore, the reason phrase is not included in this implementation.

### (http:write-header op header-alist)

procedure

returns: unspecified

The http:write-header procedure writes the HTTP header-alist and trailing CR LF to the binary output port op.

header-alist is an association list whose keys and values are strings. If any of its keys are not strings, exception #(bad-arg http:write-header header-alist) is raised.

# $(\verb|http:respond| conn status header-alist [content [timeout]])|$

procedure

returns: #t

The http:respond procedure writes the HTTP status and header-alist to the connection conn using http:write-status and http:write-header, adding Content-Length to header-alist in situations described below. When Cache-Control is not present in header-alist, it is added with value no-cache. When content is a bytevector, it is written. Then the output port is flushed.

The default value of *content* is #f, which specifies that the Content-Length header is not added. When *status* is 100–199, 204, or 304, the Content-Length header is not added, and if *content* is a bytevector, it must be empty. Otherwise, the Content-Length header is added with the length of *content*.

The default value of *timeout* is (response-timeout).

# $(\verb|http:respond-file| conn| status| header-alist| filename| [timeout])$

procedure

returns: #t

The http:respond-file procedure writes the HTTP status and header-alist to the connection conn using http:write-status and http:write-header, adding Content-Length to header-alist. The Cache-Control header is added, if it is not already present, with value max-age=3600. The Content-Type header is added, if it is not already present, by calling the media-type-handler. The content of the file is streamed to the output port so that the file does not need to be loaded into memory. The output port is flushed.

The default value of *timeout* is (response-timeout).

(http:call-with-form conn header content-limit file-limit files f [timeout]) procedure returns: see below

The http:call-with-form procedure checks JSON object header for a content-type of multipart/form-data or application/x-www-form-urlencoded. It parses form name/value pairs into a JSON object. After parsing the form data, the function f is called with the JSON object. After f returns, all uploaded files are deleted, and the return value is passed to the caller.

Because http:call-with-form is used inside a URL handler after the <a href="http-request">http-request</a> event has been fired, the specific form data is not logged. Applications should consider the sensitivity of submitted data before logging.

When files are sent and the form variable name appears in the *files* list, the file is stored in (tmp-dir), and the JSON value is a JSON object with type="file" and filename=filename.

For example, a form sending the variables name, rank, and image might look like:

```
{
  "name": "Steve R",
  "rank": "Captain",
  "image":
  {
    "type": "file",
    "filename": filename
  }
}
```

Name/value pairs count against *content-limit* while file data counts against *file-limit*. An exception is raised when either limit is exceeded.

The default value of timeout is (request-timeout).

```
(http:call-with-ports conn f [timeout]) procedure returns: see below
```

The http:call-with-ports procedure ignores any current connection state and calls f in the connection process with the connection's input and output ports. Running f in the connection process allows the caller to timeout when input is unavailable. The return value of f is returned to the caller.

The default value of timeout is 5000 milliseconds.

```
(http:switch-protocol proc) procedure returns: see below
```

When used in a URL handler, http:switch-protocol returns a special value to control the dispatcher loop. The dispatcher detaches from the connection process and calls *proc* with the input and output ports.

```
(http:percent-encode s) procedure returns: an encoded string
```

The http:percent-encode procedure writes the characters A-Z, a-z, 0-9, hyphen, underscore, period, and ~. Other characters are converted to a % prefix and two digit hexadecimal representation.

(http:enable-dynamic-pages path)

procedure

returns: a procedure | #f

If the file extension of path is ".ss", the http:enable-dynamic-pages procedure returns the http:eval-dynamic-page procedure. Otherwise, it returns #f.

(http:eval-dynamic-page root-dir abs-path)

procedure

returns: a URL handler

The http:eval-dynamic-page procedure wraps a http:url-handler around the contents of a file, extends the syntax with the constructs described in Section 14.4.1, and calls the eval system procedure.

### 14.4.1 Dynamic Page Constructs

A dynamic page exposes the same implicit variables as a URL handler described in Section 14.2.1 as well as the following additional syntax.

 $(find-param \ key)$  syntax

Implementation: The find-param macro expands to (http:find-param key params).

(get-param key) syntax

Implementation: The get-param macro expands to (http:get-param key params).

(http:include "filename")

syntax

The http:include construct includes the definitions from *filename*, a path relative to the root path of the cache if *filename* begins with a forward slash, else relative to the directory of the current file.

Implementation: The http:include macro calls read-file and read-bytevector to retrieve a list of expressions that are spliced in at the same scope as the use of http:include. The splicing is done with let-syntax so that any nested http:include expressions are processed relative to the directory of *filename*.

### 14.4.2 WebSocket Protocol

(ws:upgrade conn request process [options])

procedure

returns: see below

The ws:upgrade procedure checks the header of the request tuple and upgrades the calling process to a gen-server that speaks the WebSocket protocol. The connection conn is used during the opening handshake to report errors. As it runs, the gen-server sends the messages defined in Section 14.2.4 to the given process. It raises an exception upon termination (see gen-server:enter-loop).

The *options* are defined with (ws:options (option value) ...). The following options may be used:

option	$\operatorname{default}$	description
fragmentation-size	1,048,576	a positive fixnum or #f to disable; the maximum
		length in bytes of a single message payload
maximum-message-size	16,777,216	a positive fixnum; the maximum length in bytes
		of the total payload for a single message that can
		be read from input
ping-frequency	30,000	a positive fixnum; the maximum number of mil-
		liseconds since last receiving a message before is-
		suing a ping request
pong-timeout	5,000	a positive fixnum; the maximum number of mil-
		liseconds to wait for a reply to a ping before failing

(ws:connect hostname port request process [options]) procedure returns: a websocket gen-server process

The ws:connect procedure initiates a TCP connection to *hostname* on the given *port* number and issues an upgrade for the *request* uniform resource identifier string. It then spawns and returns a gen-server process that sends the messages defined in Section 14.2.4 to the given *process*.

The options are the same as defined for ws:upgrade.

(ws:send server message)
returns: ok

The ws:send procedure uses gen-server:cast to transmit the bytevector or string message to the websocket process or registered name server.

(ws:close server) procedure returns: ok

The ws:close procedure uses gen-server:cast to close the websocket process or registered name server.

### 14.4.3 HyperText Markup Language

(html:encode s)
(html:encode op s)
returns: see below

The html:encode procedure converts special character entities in string s.

input output
" "
& &
< &lt;
> &gt;

The single argument form of html:encode returns an encoded string.

The two argument form of html:encode sends the encoded string to the textual output port op.

```
(html->string x) procedure (html->string op x)
```

returns: see below

The html->string procedure transforms an object into HTML. The transformation, H, is described below:

x	H(x)
()	nothing
#!void	nothing
string	E(string)
number	number
(begin pattern)	H(pattern)
(cdata $string$ )	[!CDATA[string]]
(html5 [(@ attr)] pattern)	<pre><!DOCTYPE html>    <html <math="">A(attr)&gt;<math>H(pattern)</math></html></pre> /html>
(raw $string$ )	string
(script $[(@ attr)] string)$	$\langle script \ A(attr) \dots \rangle string \langle /script \rangle$
(style $[(@ attr)] string)$	<style $A(attr)$ $>$ string $<$ /style>
(tag [( $@$ attr)] pattern)	$\langle tag \ A(attr) \ \rangle H(pattern) \langle /tag \rangle$
$(void\text{-}tag\ [(@\ attr\ \ldots)])$	$< void-tag \ A(attr) \ \dots >$

E denotes the html:encode function.

A *void-tag* is one of area, base, br, col, embed, hr, img, input, keygen, link, menuitem, meta, param, source, track, or wbr. A *tag* is any other symbol.

The attribute transformation, A, is described below, where key is a symbol:

attr	A(attr)
#!void	nothing
(key)	key
(key string)	key="E(string)"
$(key \ number)$	key="number"

The single argument form of html->string returns an encoded HTML string.

The two argument form of html->string sends the encoded HTML string to the textual output port op.

Input that does not match the specification causes a #(bad-arg html->string x) exception to be raised.

```
(html->bytevector x)
returns: a bytevector
```

The html->bytevector procedure calls html->string on x using a bytevector output port transcoded using (make-utf8-transcoder) and returns the resulting bytevector.

### 14.4.4 JavaScript Object Notation

This implementation translates JavaScript types into the following Scheme types:

JavaScript	Scheme
true	#t
false	#f
null	#\nul
string	string
number	number
array	list
object	hashtable mapping symbols to values

This implementation does not range check values to ensure that a JavaScript implementation can interpret the data.

### (json:extend-object ht [key value] ...) syntax

The json:extend-object construct adds the key / value pairs to the hashtable ht using hashtable-set!. Each key is a literal identifier or an unquoted expression, e that evaluates to a symbol. The resulting expression returns ht.

### (json:make-object [key value] ...) syntax

The json:make-object construct expands into a call to json:extend-object with a new hashtable.

# (json:object? x) procedure

returns: a boolean

The json:object? procedure determines whether or not the datum x is an object created by json:make-object.

(json:cells ht) procedure

returns: a vector

The json:cells procedure returns a vector containing the cells of the underlying hashtable.

(json:size ht) procedure

returns: an integer

The json:size procedure returns the number of cells in the underlying hashtable.

## (json:delete! ht path) procedure

returns: unspecified

The json:delete! procedure expects path to be a symbol or a non-empty list of symbols. If path is a symbol, then json:delete! is equivalent to hashtable-delete!. Otherwise, json:delete! follows path as it descends into the nested hashtable ht, treating each element as a key into the

hashtable reached by traversing the preceding elements. When <code>json:delete!</code> reaches the final key in path, it calls <code>hashtable-delete!</code> to remove the association for that key in the hashtable reached at that point. If any key along the way does not map to a hashtable, <code>json:delete!</code> has no effect.

(json:ref ht path default)

procedure

returns: the value found by traversing path in ht, default if none

The json:ref procedure expects path to be a symbol or a non-empty list of symbols. If path is a symbol, then json:ref is equivalent to hashtable-ref. Otherwise, json:ref follows path as it descends into the nested hashtable ht, treating each element as a key into the hashtable reached by traversing the preceding elements. When json:ref reaches the final key in path, it calls hashtable-ref to retrieve the value of that key in the hashtable reached at that point. If any key along the way does not map to a hashtable, or if the final hashtable does not contain the final key, json:ref returns default.

(json:set! ht path value)

procedure

returns: unspecified

The json:set! procedure expects path to be a symbol or a non-empty list of symbols. If path is a symbol, then json:set! is equivalent to hashtable-set!. Otherwise, json:set! follows path as it descends into the nested hashtable ht, treating each element as a key into the hashtable reached by traversing the preceding elements. When json:set! reaches the final key in path, it calls hashtable-set! to set that key in the hashtable reached at that point. If any key along the way does not map to a hashtable, json:set! installs an empty hashtable at that key before proceding. If path is malformed at some point, json:set! may still mutate hashtables along the valid portion of the path before reporting an error.

(json:update! ht path procedure default)

procedure

returns: unspecified

The json:update! procedure expects path to be a symbol or a non-empty list of symbols. If path is a symbol, then json:update! is equivalent to hashtable-update!. Otherwise, json:update! follows path as it descends into the nested hashtable ht, treating each element as a key into the hashtable reached by traversing the preceding elements. When json:update! reaches the final key in path, it calls hashtable-update! to update that key in the hashtable reached at that point. If any key along the way does not map to a hashtable, json:update! installs an empty hashtable at that key before proceding. If path is malformed at some point, json:update! may still mutate hashtables along the valid portion of the path before reporting an error.

#### (json:read ip [custom-inflate])

procedure

returns: a Scheme object or the eof object

The json:read procedure reads characters from the textual input port *ip* and returns an appropriate Scheme object. When json:read encounters a JSON object, it builds the corresponding hashtable and calls *custom-inflate* to perform application-specific conversion. By default, *custom-inflate* is the identity function.

The following exceptions may be raised:

• invalid-surrogate-pair

- unexpected-eof
- #(unexpected-input data input-position)

```
(json:write op x [indent] [custom-write]) procedure returns: unspecified
```

The json:write procedure writes the object x to the textual output port op in JSON format. JSON objects are sorted by key using string<? on the string values of the symbols to provide stable output. Scheme fixnums, bignums, and finite flonums may be used as numbers.

When *indent* is a non-negative fixnum, the output is more readable by a human. List items and key/value pairs are indented on individual lines by the specified number of spaces. When *indent* is 0, a newline is added to the end of the output. The default indent of #f produces compact output.

The optional custom-write procedure may intervene to handle lists and hashtables differently or to handle objects that have no direct JSON counterpart. If custom-write does not handle a given object, it should return false to let json:write proceed normally. The custom-write procedure is called with four arguments: the textual output port op, the Scheme object x, the current indent level, and a writer procedure wr that should be used to write the values of arbitrary Scheme objects. The wr procedure is equivalent to (lambda (op x indent) (json:write op x indent custom-write)).

If an object cannot be formatted, #(invalid-datum x) is raised.

```
(json:write-object op indent wr [key value] ...) syntax returns: #t
```

Given a textual output port op, an indent level, and a writer procedure wr, the json:write-object construct writes a JSON object with the given key / value pairs to op, sorted by key using string<? on the string values of the symbols. Each key must be a distinct symbol. The wr procedure takes op, an object x, and an indent level just like the wr procedure that is passed to json:write's custom-write procedure.

The following are equivalent, provided the keys are symbols.

```
(begin (json:write op (json:make-object [key\ value] ...) indent) #t) (json:write-object op\ indent json:write [key\ value] ...)
```

The latter trades code size and compile time for run-time efficiency. At compile time, json:write-object sorts the keys and preformats the strings that will separate values.

```
(json:object->bytevector x [indent] [custom-write]) procedure returns: a bytevector
```

The json:object->bytevector procedure calls json:write on x with the optional *indent* and *custom-write*, if any, using a bytevector output port transcoded using (make-utf8-transcoder) and returns the resulting bytevector.

```
(json:bytevector->object x [custom-inflate]) procedure returns: a Scheme object
```

The json:bytevector->object procedure creates a bytevector input port on x, calls json:read with the optional *custom-inflate*, if any, and returns the resulting Scheme object after making sure the rest of the bytevector is only whitespace.

```
(json:object->string x [indent] [custom-write]) procedure
```

returns: a JSON formatted string

The json:object->string procedure creates a string output port, calls json:write on x with the optional *indent* and *custom-write*, if any, and returns the resulting string.

```
(json:string->object x [custom-inflate]) procedure
```

returns: a Scheme object

The json:string->object procedure creates a string input port on x, calls json:read with the optional *custom-inflate*, if any, and returns the resulting Scheme object after making sure the rest of the string is only whitespace.

## (json:write-structural-char x indent op) procedure returns: the new indent level

The json:write-structural-char procedure writes the character x at an appropriate indent level to the textual output port op. The character should be one of the following JSON structural characters: [ ] { }:,

This procedure is intended for use within custom writers passed in to json:write and, for performance, it does not check its input arguments.

#### 14.5 Published Events

<a href="http-request">http-request</a> event

timestamp: timestamp from erlang:now

pid: handler process

host: the IP address of the client

method: <request> method
 path: <request> path
header: a JSON object

params: a JSON object

## Chapter 15

## Command Line Interface

#### 15.1 Introduction

The command-line interface (cli) provides parsing of command-line arguments as well as consistent usage of common options and display of help.

#### 15.2 Theory of Operation

Many programs parse command-line arguments and perform actions based on them. The cli library helps to make programs that process arguments and display help simple and consistent. Command-line arguments are parsed left to right in a single pass. Command-line interface specifications, or cli-specs, are used for parsing and error checking a command line, displaying one-line usage, and displaying a full help summary.

Arguments may be preceded by a single dash (-), a double dash (--), or no dash at all. A single dash precedes short, single character arguments. The API does not allow numbers as they could be mistaken as a negative numerical value supplied to another argument. A double dash precedes longer, more descriptive arguments, --repl for example. Positional arguments are not preceded by any dashes. As arguments with dashes are consumed, the remaining arguments are matched against the positional specifications in order.

Argument specifications include a type such as: bool, count, string, and list. A set of bool and count arguments can be specified together (-abc is equivalent to -a -b -c). Arguments of type list collect values in left to right order.

The API does not directly support sub-commands and alternate usage help text. These can be implemented using the primitives provided. The implementations of swish-build and swish-test provide examples of advanced command-line handling.

In the following REPL transcript, we define example-cli using cli-specs. We then set the command-line-arguments parameter as they would be for an application. Calling parse-command-line-arguments returns a procedure, opt, which we can use to access the parsed command-line values. Finally, we use display-help to display the automatically generated help.

```
> (define example-cli
    (cli-specs
    default-help
     [verbose -v count "indicates verbosity level"]
     [output -o (string "<output>") "print output to an <output> file"]
     [repl --repl bool "start a repl"]
     [files (list "<file>" ...) "a list of input files"]))
> (command-line-arguments '("-vvv" "-o" "file.out" "file.in"))
> (define opt (parse-command-line-arguments example-cli))
> (opt 'verbose)
> (opt 'output)
"file.out"
> (opt 'files)
("file.in")
> (display-help "sample" example-cli)
Usage: sample [-hv] [-o <output>] [--repl] <file> ...
 -h, --help
                display this help and exit
                  indicates verbosity level
 -o <output> print output to an <output> file
                 start a repl
 --repl
 <file> ...
                 a list of input files
```

Putting the parts together into sample.ss, we have a working example albeit incomplete.

```
#!/usr/bin/env swish
(define example-cli
  (cli-specs
  default-help
   [verbose -v count "indicates verbosity level"]
   [output -o (string "<output>") "print output to an <output> file"]
   [repl --repl bool "start a repl"]
   [files (list "<file>" ...) "a list of input files"]))
(let ([opt (parse-command-line-arguments example-cli)])
  (when (opt 'help)
    (display-help "sample" example-cli)
    (exit 0))
  (let ([verbosity (or (opt 'verbose) 0)])
    (when (> verbosity 0)
      (printf "showing verbosity level: ~a~n" verbosity)))
  (when (opt 'repl)
    (new-cafe)))
```

#### 15.3 Programming Interface

expands to:

```
a list of <arg-spec> tuples
```

The cli-specs macro simplifies the creation of the <arg-spec> tuples. The <arg-spec> name field uniquely identifies a specification, and is used to retrieve parsed argument values and check constraints.

```
name: a symbol to identify the argument
short: a symbol of the form -x, where x is a single character, see below
long: a symbol of the form --x, where x is a string
type: see Figure 15.1
help: a string or list of strings that describes the argument
conflicts: a list of <arg-spec> names
requires: a list of <arg-spec> names
```

To specify -i or -I for *short*, use |-i| and |-I| respectively to prevent Chez Scheme from reading them as the complex number 0 - 1i.

Type	Result
bool	#t
count	a positive integer
(string $x$ )	a string
(list $x$ )	a list of one item
(list $x$ )	a list of one or more items up to the next argument
(list $x$ )	a list of the rest of the arguments

For each type where x is specified, x is a string that is used in the help display.

Figure 15.1: Command-line argument types

The list types can support multiple x arguments, for instance (list "i1" "i2") would specify a list of two arguments.

```
visibility \rightarrow \text{show}
\mid \text{hide}
\mid \text{fit}
```

When printing the help usage line, a *visibility* of **show** means the argument must be displayed. hide forces the argument to be hidden. fit displays the argument if it fits on the line.

```
how 
ightarrow  short | long | opt | req
```

The how expands into input of the format-spec procedure according to Figure 15.2.

```
Keyword Expands into:
   short (opt (and short args))
   long (opt (and long args))
   opt (opt (and (or short long) args))
   req (req (and (or short long) args))
```

Figure 15.2: cli-specs how field

For options with *short* or *long* specified, **fit** and **opt** are the defaults. For other options, **show** and **req** are the defaults.

conflicts is a list of specification names that prevent this argument from processing correctly. When multiple command-line arguments are specified that are in conflict, an exception is raised.

requires is a list of other specification names that are necessary for this argument to be processed correctly. Unless all the required command-line arguments are specified, an exception is raised.

The conflicts, requires, and usage clauses may be specified in any order.

```
(display-help exe-name specs [args] [op]) procedure returns: unspecified
```

The display-help procedure is equivalent to calling display-usage with a prefix of "Usage:" followed by display-options.

```
(display-options specs [args] [op]) procedure returns: unspecified
```

For each specification in *specs*, the display-options procedure renders two columns of output to *op*, which defaults to the current output port. The first column renders the short and long form of the argument with its additional inputs. The second column renders the <arg-spec> help field and will automatically wrap if the help-wrap-width is exceeded.

If an *args* hash table is specified, the specified value appended to the second column. This is useful for displaying default or current values.

```
(display-usage prefix exe-name specs [width] [op]) procedure returns: unspecified
```

The display-usage procedure displays the first line of help output to op, which defaults to the current output port. It starts with prefix and exe-name then attempts to fit specs onto the line using format-spec. When the line will exceed width characters, some arguments may collapse to [options].

A width of #f defaults the line width to help-wrap-width.

(format-spec spec [how]) procedure

returns: a string

The format-spec procedure is responsible rendering *spec* as a string as specified by *how*. format-spec can display dashes in front of arguments, ellipses on list types, and brackets around optional arguments. A *how* of #f defaults to the <arg-spec> usage field.

how	Return value:	
short	"-x" if spec short is x, else #f	
long	"x" if spec long is x, else #f	
args	The <i>spec type</i> is evaluated as follows:	
	type	Return value:
	bool	#f
	count	#f
	(string $x$ )	"x"
	(list $x$ )	"x"
	(list $x$ )	"x"
	(list $x$ )	"x"
(or $how \dots$ )	Recur and use the first non-#f	
(and $how$ )	Recur and concatenate all non-#f values	
(opt $how$ )	Recur and surround the result with square brackets []	
	if non- <b>#f</b>	
(req how)	Recur and use the result	

help-wrap-width parameter

value: a positive fixnum

The help-wrap-width parameter specifies the default width for display-usage and display-options.

(parse-command-line-arguments specs [ls] [fail]) procedure returns: a procedure

The parse-command-line-arguments procedure processes the elements of ls from left to right in a single pass. As it scans each x in ls, the parser must find a suitable s within specs. If a suitable s cannot be found, the parser reports an error by calling fail. Based on the type of s, the parser may consume additional elements following x. The type of s determines what data the parser records for that argument. When s is satisfied, the parser continues scanning the remaining elements of ls.

The parser returns a procedure p that accepts zero or one argument. When called with no arguments, p returns a hash table that maps the name of each s found while processing ls to the data recorded for that argument. When called with the name of an element s in specs, p returns the data, if any, recorded for that name in the hash table or else #f. If a particular s was not found while processing ls, the internal hash table has no entry for the name of s and p returns #f when given that name. If called with a name that is not in specs, p raises an exception.

The following table summarizes the parser's behavior.

<arg-spec> type</arg-spec>	extra arguments consumed / recorded	return value of $(p name)$
bool	none	#t
count	none	an exact positive integer
(string $x$ )	one	a string
(list $x_0 \ldots x_n \ldots$ )	n or more, up to the next option	a list of strings
(list $x_0 \ldots x_n \cdot rest$ )	at least $n$ and all remaining	a list of strings

By default ls is the value of (command-line-arguments) and fail is a procedure that applies errorf to its arguments. Providing a fail procedure allows a developer to accumulate parsing errors without necessarily generating exceptions.

<arg-spec> tuple

name: a symbol to use as the key of the output hash table

type: see Figure 15.1
short: #f | a character
long: #f | a string

help: a string or list of strings describing argument

conflicts: a list of <arg-spec> names
requires: a list of <arg-spec> names

usage: a list containing one visibility symbol and a format-spec how expression

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