



Universiteit Utrecht

[Faculty of Science  
Information and Computing Sciences]

# Talen en Compilers

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## 5. Grammar and parser design



# This lecture

## Grammar and parser design

Grammar

Lexing / scanning and parsing

Semantics

Loose ends



# Example: Travel schemes

	Groningen	8:37
9:44	Zwolle	9:49
10:15	Utrecht	10:21
11:05	Den Haag	



# Example: Travel schemes

	Groningen	8:37
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11:05	Den Haag	

Some questions to ask for a given travel scheme:

- ▶ What is the net travel time?
- ▶ What is the total waiting time?
- ▶ What is the minimal change time?
- ▶ ...



# Designing a grammar and a parser

- ▶ Give some example inputs.
- ▶ Construct a grammar from example inputs.
- ▶ Test the grammar on the example inputs.
- ▶ Analyze the grammar.
- ▶ Possibly transform the grammar.
- ▶ Decide on the types.
- ▶ Construct a basic parser.
- ▶ Define semantic functions.
- ▶ Check the results.



## 5.1 Grammar



# Step 1: Give some example inputs

	Groningen	8:37
9:44	Zwolle	9:49
10:15	Utrecht	10:21
11:05	Den Haag	

Zwolle





## Step 2: Constructing a grammar

	Groningen	8:37
9:44	Zwolle	9:49
10:15	Utrecht	10:21
11:05	Den Haag	

TS  $\rightarrow$  TS Departure Arrival TS  
| Station  
Station  $\rightarrow$  Identifier<sup>+</sup>  
Departure  $\rightarrow$  Time  
Arrival  $\rightarrow$  Time  
Time  $\rightarrow$  Nat:Nat



## Step 3: Testing the grammar

	Groningen	8:37
9:44	Zwolle	9:49
10:15	Utrecht	10:21
11:05	Den Haag	

Zwolle

TS	→ TS Departure Arrival TS
	Station
Station	→ Identifier <sup>+</sup>
Departure	→ Time
Arrival	→ Time
Time	→ Nat: Nat



## Step 4: Analyzing the grammar

TS           → TS Departure Arrival TS  
              | Station  
Station     → Identifier<sup>+</sup>  
Departure → Time  
Arrival     → Time  
Time        → Nat : Nat



## Step 4: Analyzing the grammar

TS           → TS Departure Arrival TS  
              | Station  
Station     → Identifier<sup>+</sup>  
Departure → Time  
Arrival     → Time  
Time        → Nat : Nat

### Observations

- ▶ The grammar is not explicit about the use of whitespace.
- ▶ A single station is a valid travel scheme according to the grammar.
- ▶ The grammar for times is imprecise.
- ▶ The grammar is left-recursive.



# Another grammar for the language

TS  $\rightarrow$  Station Departure  
          (Arrival Station Departure)\*  
          Arrival Station  
          | Station



# Another grammar for the language

TS  $\rightarrow$  Station Departure  
          (Arrival Station Departure)\*  
          Arrival Station  
          | Station

- ▶ Has a different focus.
- ▶ Not left-recursive, but can be left-factored.



## Step 5: Transforming the grammar

TS  $\rightarrow$  TS Departure Arrival TS  
| Station



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The symbols Departure Arrival are an associative separator:

TS  $\rightarrow$  Station Departure Arrival TS  
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## Step 5: Transforming the grammar

$$\begin{array}{l} \text{TS} \rightarrow \text{TS Departure Arrival TS} \\ \quad | \text{ Station} \end{array}$$

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Simplification:

$$\text{TS} \rightarrow (\text{Station Departure Arrival})^* \text{ Station}$$


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Simplification:

$$\text{TS} \rightarrow (\text{Station Departure Arrival})^* \text{ Station}$$

Abstraction:

$$\begin{array}{l} \text{TS} \rightarrow \text{Leg}^* \text{ Station} \\ \text{Leg} \rightarrow \text{Station Departure Arrival} \end{array}$$


## Step 6: Deciding on the types

Which grammar do we use as a basis for the abstract syntax?

Which grammar do we use as a basis for the parser?



## Step 6: Deciding on the types

Which grammar do we use as a basis for the abstract syntax?

Which grammar do we use as a basis for the parser?

- ▶ No need to use the same grammar for both purposes.
- ▶ Main criteria for abstract syntax: readability, possibility to define semantic functions.
- ▶ Main criterion for parser: efficiency, i.e., left-factored and no left-recursion.
- ▶ If the underlying grammars for abstract syntax and grammar are different, more work is required in the semantic functions.



## Deciding on the type – contd.

In our case, the grammar

TS  $\rightarrow$  Leg\* Station

Leg  $\rightarrow$  Station Departure Arrival

is both readable and suitable for a parser.



# Deciding on the type – contd.

In our case, the grammar

TS  $\rightarrow$  Leg\* Station

Leg  $\rightarrow$  Station Departure Arrival

is both readable and suitable for a parser.

**data** TS = TS [Leg] Station

**data** Leg = Leg Station Departure Arrival

**type** Station = String

**type** Departure = Time

**type** Arrival = Time

**data** Time = Time Hours Minutes

**type** Hours = Int

**type** Minutes = Int



## 5.2 Lexing / scanning and parsing



# Dealing with whitespace

In the grammar, we left the handling of spaces implicit.

Intuitively, any two **tokens** (semantically connected sequences of characters) can be separated by spaces.





# Dealing with whitespace

In the grammar, we left the handling of spaces implicit.

Intuitively, any two **tokens** (semantically connected sequences of characters) can be separated by spaces.

There are (at least) three possibilities to deal with spaces:

- ▶ write a scanner by hand that produces tokens,
- ▶ construct a scanner using parser combinators that produces tokens,
- ▶ deal with spaces in the parser itself.

We have a look at the latter two options.



# A parser for whitespace

First attempt:

```
spaces :: Parser Char String
spaces = many (satisfy isSpace)
```



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```
spaces :: Parser Char String
spaces = many (satisfy isSpace)
```

## Question

What about

```
moreSpaces :: Parser Char String
moreSpaces = (++) <$> spaces <*> spaces
```

?



# Observations regarding whitespace

- ▶ Where to call spaces? It is best to handle whitespace systematically, in order to prevent uses of spaces all over the place.
- ▶ Multiple sequential uses of spaces lead to ambiguity.
- ▶ Most often, we want to discard **all** whitespace in a particular place, i.e., we are not interested in the partial results returned by many.



# Whitespace policy

We can systematically handle whitespace if we agree that

- ▶ whenever a parser consumes a complete token from the input, it is responsible for consuming subsequent whitespace,
- ▶ the parser for the start symbol starts by consuming initial whitespace,
- ▶ no other parser consumes any whitespace.



# Greedy parsers

In order to prevent partial results, we extend our **primitive** parser combinators with a greedy choice operator.

```
(<<|>) :: Parser s a → Parser s a → Parser s a
Parser p <<|> Parser q = Parser (λxs → let r = p xs
                                     in if null r
                                     then q xs
                                     else r)
```



# Greedy parsers

In order to prevent partial results, we extend our **primitive** parser combinators with a greedy choice operator.

$$\begin{aligned} (<<|>) &:: \text{Parser } s \ a \rightarrow \text{Parser } s \ a \rightarrow \text{Parser } s \ a \\ \text{Parser } p \ <<|> \text{ Parser } q &= \text{Parser } (\lambda xs \rightarrow \text{let } r = p \ xs \\ &\quad \text{in if null } r \\ &\quad \text{then } q \ xs \\ &\quad \text{else } r) \end{aligned}$$

We can then define greedy versions of many and some:

$$\begin{aligned} \text{greedy}, \text{greedy}_1 &:: \text{Parser } s \ a \rightarrow \text{Parser } s \ [a] \\ \text{greedy } p &= (:) \ <\$> p \ <*> \text{greedy } p \ <<|> \text{succed } [] \\ \text{greedy}_1 p &= (:) \ <\$> p \ <*> \text{greedy } p \end{aligned}$$


# Parsing spaces greedily

```
spaces :: Parser Char String
spaces = greedy (satisfy isSpace)
```





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Now

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is not even all that problematic.



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spaces :: Parser Char String
spaces = greedy (satisfy isSpace)
```

Now

```
moreSpaces :: Parser Char String
moreSpaces = (++) <$> spaces <*> spaces
```

is not even all that problematic.

In general, use of greedy can improve parser efficiency. But careful in cases where backtracing may be needed!



## Step 7: A direct parser for travel schemes

We have two kinds of tokens that occur in travel schemes:

- ▶ station names,
- ▶ times.



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We have two kinds of tokens that occur in travel schemes:

- ▶ station names,
- ▶ times.

Let us first write parsers for these.

Grammar:

| Station  $\rightarrow$  Identifier<sup>+</sup>

Parser:

| station :: Parser Char Station  
station = unwords <\$> greedy<sub>1</sub> (identifier <\*> spaces)

Note how we consume spaces **in the end**.



# Tokens in travel schemes

Time  $\rightarrow$  Nat:Nat

Haskell:

**data** Time = Time Hours Minutes

**type** Hours = Int

**type** Minutes = Int

Parser:

time :: Parser Char Time

time =

Time <\$> natural <\*> symbol ':' <\*> natural <\*> spaces

We choose not to allow spaces between the numbers and the colon.



# A direct parser for travel schemes

$TS \rightarrow Leg^* Station$

$Leg \rightarrow Station Departure Arrival$

Haskell:

**data** TS = TS [Leg] Station

**data** Leg = Leg Station Departure Arrival



# A direct parser for travel schemes

TS  $\rightarrow$  Leg\* Station

Leg  $\rightarrow$  Station Departure Arrival

Haskell:

**data** TS = TS [Leg] Station

**data** Leg = Leg Station Departure Arrival

Parser:

ts :: Parser Char TS

ts = TS <\$> many leg <\*> station

leg :: Parser Char Leg

leg = Leg <\$> station <\*> time <\*> time



# A direct parser for travel schemes

TS  $\rightarrow$  Leg\* Station

Leg  $\rightarrow$  Station Departure Arrival

Haskell:

**data** TS = TS [Leg] Station

**data** Leg = Leg Station Departure Arrival

Parser:

ts :: Parser Char TS

ts = TS <\$> many leg <\*> station

leg :: Parser Char Leg

leg = Leg <\$> station <\*> time <\*> time

No space handling required!





# Running the parser

As agreed, we parse initial spaces in the top-level parser:

```
start :: Parser Char TS  
start = spaces *> ts < * eof
```

We can now run `parse start` on an input string and get a result.



# Using an explicit scanner

If we want to define a separate scanner, we start by defining a datatype for Tokens:

```
data Token = TStation Station  
           | TTime    Time
```



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If we want to define a separate scanner, we start by defining a datatype for Tokens:

```
data Token = TStation Station
          | TTime    Time
```

We adapt the parsers for stations and times to produce tokens:

```
tstation :: Parser Char Token
tstation = TStation . unwords <$> greedy1 (identifier <*> spaces)

ttime :: Parser Char Token
ttime =
    TTime <$>
    (Time <$> natural <*> symbol ':' <*> natural <*> spaces)
```



## Using an explicit scanner – contd.

The scanner parses any number of tokens:

```
anyToken :: Parser Char Token
anyToken = tstation <|> ttime

scan :: Parser Char [Token]
scan = spaces *> greedy anyToken <*> eof
```

In the subsequent parsing phase, we then work with Token as the type of symbols.



# Parsing a particular type of tokens

```
station :: Parser Token Station
station = fromStation <$> satisfy isStation

isStation :: Token → Bool
isStation (TStation _) = True
isStation _             = False

fromStation :: Token → Station
fromStation (TStation x) = x
fromStation _            = error "fromStation"
```



# Parsing a particular type of tokens

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station :: Parser Token Station
station = fromStation <$> satisfy isStation

isStation :: Token → Bool
isStation (TStation _) = True
isStation _             = False

fromStation :: Token → Station
fromStation (TStation x) = x
fromStation _             = error "fromStation"
```

Similarly:

```
time :: Parser Token Time
time = fromTime <$> satisfy isTime
```



# A token parser for travel schemes

The rest of the parser is unchanged apart from the symbol type:

ts :: Parser Token TS

ts = TS <\$> many leg <\*> station

leg :: Parser Token Leg

leg = Leg <\$> station <\*> time <\*> time



# Use a scanner or not?

Whether to use a scanner or not is mostly a matter of taste.





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## Advantages

- ▶ Easier to keep whitespace handling localized.
- ▶ Easier to maintain decent efficiency.
- ▶ Easier to produce good error messages.



# Use a scanner or not?

Whether to use a scanner or not is mostly a matter of taste.

## Advantages

- ▶ Easier to keep whitespace handling localized.
- ▶ Easier to maintain decent efficiency.
- ▶ Easier to produce good error messages.

## Disadvantages

- ▶ Extra work required.
- ▶ All of the advantages can also be gained without a separate scanner.



## 5.3 Semantics



## Step 8: Adding semantic functions

On the abstract syntax, we can define semantic functions.

Semantic functions typically follow the datatypes closely:

<b>data</b> TS	= TS [Leg] Station
<b>data</b> Leg	= Leg Station Departure Arrival
<b>type</b> Station	= String
<b>type</b> Departure	= Time
<b>type</b> Arrival	= Time
<b>data</b> Time	= Time Hours Minutes
<b>type</b> Hours	= Int
<b>type</b> Minutes	= Int



# Net travel time

```
netTravelTimeTS :: TS → Minutes
netTravelTimeTS (TS ls _) = sum (map netTravelTimeLeg ls)
netTravelTimeLeg :: Leg → Minutes
netTravelTimeLeg (Leg _ dep arr) = arr 'timeDiff' dep
```



# Net travel time

```
netTravelTimeTS :: TS → Minutes
netTravelTimeTS (TS ls _) = sum (map netTravelTimeLeg ls)
netTravelTimeLeg :: Leg → Minutes
netTravelTimeLeg (Leg _ dep arr) = arr 'timeDiff' dep
```

The rest is dealing with times.



## Excursion: Time difference

We assume that no two times are more than a day apart:

`timeDiff :: Time → Time → Minutes`

`timeDiff (Time h1 m1) (Time h2 m2)`

`| h2 > h1 ∨ (h2 == h1 ∧ m2 > m1)`

`= (h2 - h1) * 60 + m2 - m1`

`| otherwise = (h2 + 24 - h1) * 60 + m2 - m1`



# Waiting time

```
waitingTimeTS :: TS → Minutes
waitingTimeTS (TS ls _) = waitingTimeLegs ls
waitingTimeLegs :: [Leg] → Minutes
waitingTimeLegs (Leg _ _ arr : ls@(Leg _ dep _ : _)) =
    dep 'timeDiff' arr + waitingTimeLegs ls
waitingTimeLegs _ = 0
```





# Waiting time

```
waitingTimeTS :: TS → Minutes
waitingTimeTS (TS ls _) = waitingTimeLegs ls

waitingTimeLegs :: [Leg] → Minutes
waitingTimeLegs (Leg _ _ arr : ls@(Leg _ dep _ : _)) =
    dep 'timeDiff' arr + waitingTimeLegs ls
waitingTimeLegs _ = 0
```

Minimal waiting time is a variation of this theme: first compute a list of waiting times per station, then compute the minimum thereof.



# Eliminating the abstract syntax tree

If we are only interested in one particular semantic function, there is no need to compute an abstract syntax tree first – we can plug the semantic function directly into the parser.



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For net travel time:

ts :: Parser Token Minutes

ts = sum <\$> many leg <\*> station

leg :: Parser Token Minutes

leg = flip timeDiff <\$ station <\*> time <\*> time



## Step 9: Checking and improving

Of course, we should test the parser on lots of examples, and make adaptations if necessary.



## 5.4 Loose ends



# Parsing times

Both syntax and parser for times are too liberal.

| Time  $\rightarrow$  Nat:Nat



# Parsing times

Both syntax and parser for times are too liberal.

| Time  $\rightarrow$  Nat:Nat

Two options:

- ▶ Adapt the grammar, and hence the parser – morally correct, but tedious.
- ▶ A more pragmatic solution: first parse liberally, then check and perhaps reject afterwards.



# Another sequencing combinator

We cannot reject a result using  $\langle \$ \rangle$ :

$\langle \$ \rangle :: (a \rightarrow b) \rightarrow \text{Parser } s \ a \rightarrow \text{Parser } s \ b$

Does not work:

$\text{hours} :: \text{Parser Char Hours}$

$\text{hours} = (\lambda x \rightarrow \text{if } x < 24 \text{ then } \dots \text{else } \dots) \langle \$ \rangle \text{ natural}$





# Another sequencing combinator

We cannot reject a result using ( $\langle \$ \rangle$ ):

| ( $\langle \$ \rangle$ ) ::  $(a \rightarrow b) \rightarrow \text{Parser } s \ a \rightarrow \text{Parser } s \ b$

Does not work:

| hours :: Parser Char Hours  
| hours =  $(\lambda x \rightarrow \text{if } x < 24 \text{ then } \dots \text{else } \dots) \langle \$ \rangle \text{ natural}$

What if we could build a new parser based on a previous result?



## Another sequencing combinator – contd.

We introduce ( $\gg=$ ) – pronounced “bind”. This is another **primitive** parser combinator:

$$\begin{aligned} (\gg=) &:: \text{Parser } s \ a \rightarrow (a \rightarrow \text{Parser } s \ b) \rightarrow \text{Parser } s \ b \\ \text{Parser } p \gg= f &= \\ &\text{Parser } (\lambda xs \rightarrow [(s, zs) \mid (r, ys) \leftarrow p \ xs, \\ &\hspace{15em} (s, zs) \leftarrow \text{runParser } (f \ r) \ ys]) \end{aligned}$$


## Another sequencing combinator – contd.

We introduce ( $\gg=$ ) – pronounced “bind”. This is another **primitive** parser combinator:

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Now:

$$\begin{aligned} \text{hours} &:: \text{Parser Char Hours} \\ \text{hours} &= \text{natural} \gg= \lambda x \rightarrow \\ &\quad \text{if } x < 24 \text{ then succeed } x \text{ else empty} \end{aligned}$$


# Using bind

## Question

What is the difference between the following two keyword parsers?

```
keyword1, keyword2 :: String → Parser Char String  
keyword1    = token  
keyword2 xs = greedy isLetter >>= λys →  
                if xs == ys then succeed ys else empty
```



# Using bind

## Question

What is the difference between the following two keyword parsers?

```
keyword1, keyword2 :: String → Parser Char String
keyword1    = token
keyword2 xs = greedy isLetter >>= λys →
               if xs == ys then succeed ys else empty
```

## Hint

Consider keyword "let" \*> spaces \*> identifier and the string "letx".



# Applicative functors

The operations parsers support a very common operations – many other types support the same interface(s):

**class** Functor f **where**

$\text{fmap} :: (a \rightarrow b) \rightarrow f\ a \rightarrow f\ b$

$(\langle \$ \rangle) = \text{fmap}$

**class** Functor f  $\Rightarrow$  Applicative f **where**

$\text{pure} :: a \rightarrow f\ a$

$(\langle * \rangle) :: f\ (a \rightarrow b) \rightarrow f\ a \rightarrow f\ b$

**class** Applicative f  $\Rightarrow$  Alternative f **where**

$\text{empty} :: f\ a$

$(\langle | \rangle) :: f\ a \rightarrow f\ a \rightarrow f\ a$



# Monads

```
class Monad m where  
  return :: a → m a  
  (≫) :: m a → (a → m b) → m b
```

In contrast to applicative functors, you have probably seen monads before.



# Monads

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class Monad m where  
  return :: a → m a  
  (≫) :: m a → (a → m b) → m b
```

In contrast to applicative functors, you have probably seen monads before.

More about applicative functors and monads in the master course on **Advanced Functional Programming**.





# A common pitfall

## Question

What happens here?

| many (option (symbol 'x') ' ')



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What happens here?

```
| many (option (symbol 'x') ' ')
```

In general, be very careful not to call `many` on anything that can succeed on the empty string.



# A common pitfall

## Question

What happens here?

| many (option (symbol 'x') ' ')

In general, be very careful not to call many on anything that can succeed on the empty string.

In particular, many (many p) will always go wrong.

