

## Advanced Functional Programming 2011-2012, period 2

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# 12. Functional Dependencies, Generalized Algebraic Datatypes (GADTs), The Lambda Cube

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#### This lecture



### 12.1 Multiple parameters and functional dependencies





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#### Multi-parameter type classes

This extension allows type classes to have multiple parameters:

class Collection c a where

union :: c a  $\rightarrow$  c a  $\rightarrow$  c a elem :: a  $\rightarrow$  c a  $\rightarrow$  Bool

empty :: c a

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#### Multi-parameter type classes

This extension allows type classes to have multiple parameters:

### class Collection c a where union :: c a $\rightarrow$ c a $\rightarrow$ c a elem :: a $\rightarrow$ c a $\rightarrow$ Bool empty :: c a

Why is

```
class Collection c where union :: c a \rightarrow c a \rightarrow c a elem :: a \rightarrow c a \rightarrow Bool
        empty::ca
```

not an option?





#### Multi-parameter type classes (contd.)

This form is still suboptimal:

```
class Collection c a where union :: c a \rightarrow c a \rightarrow c a elem :: a \rightarrow c a \rightarrow Bool empty :: c a
```

What about Data.IntSet.IntSet? It is not of the form c a, so it cannot be made an instance of Collection, even though it supports all the methods.

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#### Multi-parameter type classes (contd.)

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class Collection c a where union :: c a \rightarrow c a \rightarrow c a elem :: a \rightarrow c a \rightarrow Bool empty :: c a
```

What about Data.IntSet.IntSet? It is not of the form c a, so it cannot be made an instance of Collection, even though it supports all the methods.

Another idea:

```
class Collection ca a where union :: ca \rightarrow ca \rightarrow ca elem :: a \rightarrow ca \rightarrow Bool empty :: ca
```

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#### Multi-parameter type classes (contd.)

class Collection ca a where union  $:: ca \rightarrow ca \rightarrow ca$  elem  $:: a \rightarrow ca \rightarrow Bool$ empty::ca

#### Problem 1

empty :: (Collection ca a)  $\Rightarrow$  ca

has an ambiguous type.

#### Problem 2

 $\mathsf{test} :: (\mathsf{Collection} \ \mathsf{ca} \ \mathsf{Bool}, \mathsf{Collection} \ \mathsf{ca} \ \mathsf{String}) \Rightarrow \mathsf{ca} \to \mathsf{Bool} \\ \mathsf{test} \ \mathsf{coll} = \mathsf{elem} \ \mathsf{True} \ \mathsf{coll} \land \mathsf{elem} \ \mathsf{"foo"} \ \mathsf{coll}$ 

is type-correct, but intuitively should not be.

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#### **Functional dependencies**

class Collection ca a  $\mid$  ca  $\rightarrow$  a where  $\dots$ 

► This indicates that ca determines a. It restricts the admissible instances.

instance Collection IntSet Int

is possible, a subsequent

instance Collection IntSet Bool

is now disallowed.

▶ Solves both the problems just mentioned . . .



With functional dependencies, the type

empty :: (Collection ca a)  $\Rightarrow$  ca

is no longer ambiguous.

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With functional dependencies, the type

empty :: (Collection ca a)  $\Rightarrow$  ca

is no longer ambiguous.

instance Collection IntSet Int
empty :: IntSet

Now correct. The inferred class constraint Collection IntSet a can be improved to Collection IntSet Int and then be reduced.

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test :: (Collection ca Bool, Collection ca String)  $\Rightarrow$  ca  $\rightarrow$  Bool test coll = elem True coll  $\land$  elem "foo" coll

No longer ok, because the two constraints cannot be satisfied at the same time while respecting the functional dependency.

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Functional dependencies are extremely powerful and (in conjunction with other extensions) can encode many computations:

```
class Add x y z | x y \rightarrow z where
  \mathsf{add} :: \mathsf{x} \to \mathsf{y} \to \mathsf{z}
instance Add Zero x x
  where add Zero x = x
instance Add n \times r \Rightarrow Add (Succ n) \times (Succ r)
   where add (Succ n) x = Succ (add n x)
```

```
\begin{array}{l} \mathsf{Main} \rangle \ : \mathsf{t} \ \mathsf{add} \ (\mathsf{Succ} \ \mathsf{Zero}) \ (\mathsf{Succ} \ \mathsf{Zero}) \\ \mathsf{add} \ (\mathsf{Succ} \ \mathsf{Zero}) \ (\mathsf{Succ} \ \mathsf{Zero}) :: \mathsf{Succ} \ (\mathsf{Succ} \ \mathsf{Zero}) \end{array}
```



#### 12.2 Type families



#### **Associated types**

An alternative to functional dependencies. Type synonyms and datatypes are allowed in classes:

```
class Collection c where type Elem c union :: c \rightarrow c \rightarrow c elem :: Elem c \rightarrow c \rightarrow Bool empty :: c instance Collection IntSet where type Elem IntSet = Int ...
```

Associated type synonyms trigger equality constraints, a different form of qualified types:



elem False :: (Bool $\sim$ Elem c, Collection c)  $\Rightarrow$  c  $\rightarrow$  Bool [Faculty of Science Universiteit Utrecht Information and Computing Sciences]

#### Type families

Like associated types, but the class declaration remains implicit:

```
type family Elem c :: *
type instance Elem IntSet = Int
```

Associated datatypes and datatype families are also supported.

#### Type families (contd.)

Using type families, type-level functions look a bit more like ordinary functions:

```
type family Add n x :: *
type instance Add Zero x = x
type instance Add (Succ n) x = Succ (Add n x)
```

#### Fundeps vs. type families

Functional dependencies are controversial, because

- they lead to logic programming on the type level (as opposed to functional programming),
- their interaction with other type system features (such as GADTs) is somewhat broken,
- because their use has some strange restrictions.

The latter features are problems with the implementation rather than the concepts.

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#### Fundeps vs. type families (contd.)

Type families have been proposed as a replacement for functional dependencies.

- Type families allow a more functional style of programming.
- However, they expose a new language concept to the user (equality constraints).
- Just those equality constraints make the connection to GADTs somewhat easier.
- They are much more recent, therefore most libraries (monad transformers, HList, ...) still use functional dependencies.

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#### Case study: Heterogeneous lists

The HList library makes use of functional dependencies in order to support **heterogenous lists**.

```
class HMap f I I' | f I \rightarrow I' where hMap :: f \rightarrow I \rightarrow I'
instance HMap f HNil HNil where
   hMap f HNil = HNil
instance (Apply f x y, HMap f xs ys) \Rightarrow
           HMap f (HCons x xs) (HCons y ys) where
   hmap f (HCons \times xs) = HCons (apply f \times) (hmap f \times s)
class Apply f a r | f a \rightarrow r where apply :: f \rightarrow a \rightarrow r
instance Apply (x \rightarrow y) \times y
```



#### Heterogeneous lists (contd.)

The HList library can be used to encode

- typed heterogenous lists or stacks
- extensible records
- objects



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#### More class system extensions . . .

- Local or named instances.
- ► Implicit parameters.
- Explicit implicit parameters.
- Quantified instances.
- Recursive dictionaries.
- Alternative translation methods.
- Cyclic class hierarchy.
- Backtracking.
- **.** . . .

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#### **12.3 GADTs**



#### A datatype

```
\begin{tabular}{ll} \textbf{data} \ \mathsf{Tree} \ \mathsf{a} = \mathsf{Leaf} \\ \mid \ \mathsf{Node} \ (\mathsf{Tree} \ \mathsf{a}) \ \mathsf{a} \ (\mathsf{Tree} \ \mathsf{a}) \end{tabular}
```

Introduces:



### A datatype

#### Introduces:

- ▶ a new datatype Tree of kind  $* \rightarrow *$ .
- constructor functions

```
Leaf :: Tree a \rightarrow A \rightarrow Tree a \rightarrow Tree a
```

▶ the possibility to use the constructors Leaf and Node in patterns.

#### **Alternative syntax**

#### Observation

The types of the constructor functions contain sufficient information to describe the datatype.

```
data Tree :: * \to * where 
 Leaf :: Tree a 
 Node :: Tree a \to a \to Tree a \to Tree a
```

Are there any restrictions regarding the types of the constructors?

#### **Algebraic datatypes**

Constructors of an algebraic datatype T must:

- ► target type T,
- ▶ result in a simple type, i.e., T a<sub>1</sub>...a<sub>n</sub> where a<sub>1</sub>,...,a<sub>n</sub> are distinct type variables.

#### Question

Does it make sense to lift these restrictions?

#### **Excursion: Writing an interpreter**

```
\begin{array}{lll} \textbf{data} \; \mathsf{Expr} = & & \textbf{data} \; \mathsf{Expr} :: * \; \textbf{where} \\ & \mathsf{Int} & \mathsf{Int} & :: \mathsf{Int} \to \mathsf{Expr} \\ & | \; \mathsf{Bool} \; \; \mathsf{Bool} & :: \; \mathsf{Bool} \to \mathsf{Expr} \\ & | \; \mathsf{IsZero} \; \mathsf{Expr} & | \; \mathsf{IsZero} :: \; \mathsf{Expr} \to \mathsf{Expr} \\ & | \; \mathsf{Plus} \; \; \mathsf{Expr} \; \mathsf{Expr} & | \; \mathsf{Plus} \; :: \; \mathsf{Expr} \to \mathsf{Expr} \to \mathsf{Expr} \\ & | \; \mathsf{If} \; \; \; \mathsf{Expr} \; \mathsf{Expr} \to \mathsf{Expr} \to \mathsf{Expr} \to \mathsf{Expr} \\ \end{array}
```

Imagined concrete syntax:

if isZero 
$$(0+1)$$
 then False else True

Abstract syntax:

If (IsZero (Plus (Int 0) (Int 1))) (Bool False) (Bool True)



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#### **Evaluation**

```
data Val :: * where
VInt :: Int \rightarrow Val
VBool :: Bool \rightarrow Val
\begin{array}{c} \textbf{data} \; \mathsf{Val} = \\ \mathsf{VInt} \; \; \mathsf{Int} \end{array}
       VBool Bool
 eval :: Expr \rightarrow Val
eval (Int n) = VInt n
eval (Bool b) = VBool b
eval (IsZero e) = case eval e of
                                  VInt n \rightarrow VBool (n == 0)
                                             \rightarrow error "type error"
 eval (Plus e_1 e_2) = case (eval e_1, eval e_2) of
                                   (VInt n1, VInt n2) \rightarrow VInt (n1 + n2)
                                                               \rightarrow error "type error"
 eval (If e_1 e_2 e_3) = case eval e_1 of
                                  VBool b \rightarrow if b then eval e<sub>2</sub> else eval e<sub>3</sub>
                                                \rightarrow error "type error"
```

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#### **Evaluation** (contd.)

- Evaluation code is mixed with code for handling type errors.
- ► The evaluator uses tags (i.e., constructors) to dinstinguish values - these tags are maintained and checked at run time.

#### **Evaluation** (contd.)

- Evaluation code is mixed with code for handling type errors.
- ► The evaluator uses tags (i.e., constructors) to dinstinguish values these tags are maintained and checked at run time.
- ► Run-time type errors can, of course, be prevented by writing a type checker.
- ▶ But even if we know that we only have type-correct terms, the Haskell compiler does not enforce this.

#### An idea

What if we encode the type of the term in the Haskell type?

```
data Expr :: * where
   \mathsf{Int} \quad :: \mathsf{Int} \to \mathsf{Expr}
   Bool :: Bool \rightarrow Expr
  IsZero :: Expr \rightarrow Expr
  Plus :: Expr \rightarrow Expr \rightarrow Expr
   If :: Expr \rightarrow Expr \rightarrow Expr \rightarrow Expr
data Expr :: * \rightarrow * where
   Int :: Int \rightarrow Expr Int
    Bool :: Bool → Expr Bool
   IsZero :: Expr Int \rightarrow Expr Bool
   Plus :: Expr Int \rightarrow Expr Int \rightarrow Expr Int
        :: \mathsf{Expr} \; \mathsf{Bool} \to \mathsf{Expr} \; \mathsf{a} \to \mathsf{Expr} \; \mathsf{a} \to \mathsf{Expr} \; \mathsf{a}
```

#### **GADTs**

GADTs lift the restriction that constructors must target a simple type.

- Constructors can target a subset of the type.
- Interesting consequences for pattern matching:
  - when case-analyzing an Expr Int, it cannot be constructed by Bool or IsZero;
  - when case-analyzing an Expr Bool, it cannot be constructed by Int or Plus;
  - when case-analyzing an Expr a, once we encounter the constructor IsZero in a pattern, we know that we have in fact a Expr Bool;
  - **.**..





#### **Evaluation revisited**

```
\begin{array}{lll} \text{eval} :: \mathsf{Expr} \ \mathsf{a} \to \mathsf{a} \\ & \text{eval} \ (\mathsf{Int} \ \mathsf{n}) & = \mathsf{n} \\ & \text{eval} \ (\mathsf{Bool} \ \mathsf{b}) & = \mathsf{b} \\ & \text{eval} \ (\mathsf{IsZero} \ \mathsf{e}) & = (\mathsf{eval} \ \mathsf{e}) = = 0 \\ & \text{eval} \ (\mathsf{Plus} \ \mathsf{e}_1 \ \mathsf{e}_2) = \mathsf{eval} \ \mathsf{e}_1 + \mathsf{eval} \ \mathsf{e}_2 \\ & \text{eval} \ (\mathsf{If} \ \mathsf{e}_1 \ \mathsf{e}_2 \ \mathsf{e}_3) = \mathbf{if} \ \mathsf{eval} \ \mathsf{e}_1 \ \mathbf{then} \ \mathsf{eval} \ \mathsf{e}_2 \ \mathbf{else} \ \mathsf{eval} \ \mathsf{e}_3 \end{array}
```

- ▶ No possibility for run-time failure (modulo  $\bot$ ).
- No tags required.
- ► Pattern matching on a GADT requires a type signature. Why?

#### Type signatures are required ...

```
\begin{array}{c} \textbf{data} \ X :: * \rightarrow * \textbf{where} \\ C :: \ Int \rightarrow X \ Int \\ D :: \ X \ a \\ f \ (C \ n) = [n] \\ f \ D = [\,] \end{array}
```

#### Question

What is the type of f?

## Type signatures are required ...

$$\begin{tabular}{lll} \textbf{data} & X :: * \rightarrow * \textbf{where} \\ & C :: Int \rightarrow X Int \\ & D :: X a \\ & f & (C n) = [n] \\ & f & D & = [\,] \\ \end{tabular}$$

#### Question

What is the type of f?

#### Answer

$$\begin{array}{c} f :: X \ a \rightarrow [Int] \\ f :: X \ a \rightarrow [a] \end{array}$$

None of the two is an instance of the other.

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#### **GADTs** subsume existentials

Let us extend the expression types with pair construction and projection:

```
data Expr :: * → * where

Int :: Int → Expr Int

Bool :: Bool → Expr Bool

IsZero :: Expr Int → Expr Bool

Plus :: Expr Int → Expr Int → Expr Int

If :: Expr Bool → Expr a → Expr a → Expr a

Pair :: Expr a → Expr b → Expr (a, b)

Fst :: Expr (a, b) → Expr a

Snd :: Expr (a, b) → Expr b
```

For Fst and Snd, the type of the non-projected component is hidden.



# **Evaluation again**

```
\begin{array}{l} \text{eval} :: \mathsf{Expr} \ \mathsf{a} \to \mathsf{a} \\ \text{eval} \dots \\ \\ \text{eval} \ (\mathsf{Pair} \ \mathsf{x} \ \mathsf{y}) = (\mathsf{eval} \ \mathsf{x}, \mathsf{eval} \ \mathsf{y}) \\ \text{eval} \ (\mathsf{Fst} \ \mathsf{p}) &= \mathsf{fst} \ (\mathsf{eval} \ \mathsf{p}) \\ \text{eval} \ (\mathsf{Snd} \ \mathsf{p}) &= \mathsf{snd} \ (\mathsf{eval} \ \mathsf{p}) \end{array}
```

## 12.4 Example: Vectors





#### Natural numbers and vectors

Natural numbers can be encoded as types – no constructors are required.

data Zero data Succ a

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#### **Natural numbers and vectors**

Natural numbers can be encoded as types – no constructors are required.

```
data Zero
data Succ a
```

Vectors are lists with a fixed number of elements:

Unlike HLists, vectors are homogeneous.



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## Type-safe head and tail

```
\begin{array}{l} \text{head} :: \mathsf{Vec} \ \mathsf{a} \ (\mathsf{Succ} \ \mathsf{n}) \to \mathsf{a} \\ \text{head} \ (\mathsf{Cons} \times \mathsf{xs}) = \mathsf{x} \\ \text{tail} :: \mathsf{Vec} \ \mathsf{a} \ (\mathsf{Succ} \ \mathsf{n}) \to \mathsf{Vec} \ \mathsf{a} \ \mathsf{n} \\ \text{tail} \ (\mathsf{Cons} \times \mathsf{xs}) = \mathsf{xs} \end{array}
```

- No case for Nil is required.
- Actually, a case for Nil results in a type error.

#### More functions on vectors

```
\begin{array}{ll} \mathsf{map} :: (\mathsf{a} \to \mathsf{b}) \to \mathsf{Vec} \; \mathsf{a} \; \mathsf{n} \to \mathsf{Vec} \; \mathsf{b} \; \mathsf{n} \\ \mathsf{map} \; \mathsf{f} \; \mathsf{Nil} &= \mathsf{Nil} \\ \mathsf{map} \; \mathsf{f} \; (\mathsf{Cons} \, \mathsf{x} \, \mathsf{xs}) &= \mathsf{Cons} \; (\mathsf{f} \, \mathsf{x}) \; (\mathsf{map} \; \mathsf{f} \; \mathsf{xs}) \\ \mathsf{zipWith} :: (\mathsf{a} \to \mathsf{b} \to \mathsf{c}) \to \mathsf{Vec} \; \mathsf{a} \; \mathsf{n} \to \mathsf{Vec} \; \mathsf{b} \; \mathsf{n} \to \mathsf{Vec} \; \mathsf{c} \; \mathsf{n} \\ \mathsf{zipWith} \; \mathsf{op} \; \mathsf{Nil} &= \mathsf{Nil} \\ \mathsf{zipWith} \; \mathsf{op} \; (\mathsf{Cons} \, \mathsf{x} \, \mathsf{xs}) \; (\mathsf{Cons} \, \mathsf{y} \; \mathsf{ys}) &= \mathsf{Cons} \; (\mathsf{op} \, \mathsf{x} \, \mathsf{y}) \\ &\qquad \qquad (\mathsf{zipWith} \; \mathsf{op} \; \mathsf{xs} \; \mathsf{ys}) \end{array}
```

We require that the two vectors have the same length!

### Yet more functions on vectors

```
\begin{array}{ll} snoc :: Vec \ a \ n \rightarrow a \rightarrow Vec \ a \ (Succ \ n) \\ snoc \ Nil & y = Cons \ y \ Nil \\ snoc \ (Cons \ x \ xs) \ y = Cons \ x \ (snoc \ xs \ y) \end{array}
  reverse :: Vec a n \rightarrow Vec a n
reverse Nil = Nil
reverse (Cons x xs) = snoc xs x
What about (++)?
```

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### 12.5 Problematic functions





### **Problematic functions**

Append (++):

$$(++) :: \mathsf{Vec} \ \mathsf{a} \ \mathsf{m} \to \mathsf{Vec} \ \mathsf{a} \ \mathsf{n} \to \mathsf{Vec} \ \mathsf{a} \ (\mathsf{Sum} \ \mathsf{m} \ \mathsf{n})$$

Do we need functions on the type level?

Converting from lists to vectors:

fromList  $:: [a] \rightarrow \mathsf{Vec} \ \mathsf{a} \ \mathsf{n}$ 

Where does n come from?

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# Writing vector append

There are multiple options to solve that problem:

- construct explicit evidence,
- use a type family.

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## **Explicit** evidence

We encode the addition as another GADT:

```
\begin{array}{lll} \textbf{data} \; \mathsf{Sum} \; :: \; * \to * \to * \to * \; \textbf{where} \\ \; \; \mathsf{SumZero} \; :: & \; \mathsf{Sum} \; \mathsf{Zero} & \mathsf{n} \; \mathsf{n} \\ \; \; \mathsf{SumSucc} \; :: \; \mathsf{Sum} \; \mathsf{m} \; \mathsf{n} \; \mathsf{s} \to \mathsf{Sum} \; (\mathsf{Succ} \; \mathsf{m}) \; \mathsf{n} \; (\mathsf{Succ} \; \mathsf{s}) \\ \; \mathsf{append} \; :: \; \mathsf{Sum} \; \mathsf{m} \; \mathsf{n} \; \mathsf{s} \to \mathsf{Vec} \; \mathsf{a} \; \mathsf{m} \to \mathsf{Vec} \; \mathsf{a} \; \mathsf{n} \to \mathsf{Vec} \; \mathsf{a} \; \mathsf{s} \\ \; \mathsf{append} \; \mathsf{SumZero} \qquad \mathsf{Nil} \qquad \mathsf{ys} = \mathsf{ys} \\ \; \mathsf{append} \; (\mathsf{SumSucc} \; \mathsf{p}) \; (\mathsf{Cons} \; \mathsf{x} \; \mathsf{xs}) \; \mathsf{ys} = \mathsf{Cons} \; \mathsf{x} \; (\mathsf{append} \; \mathsf{p} \; \mathsf{xs} \; \mathsf{ys}) \end{array}
```

Disadvantage: we must construct the evidence by hand!

## **Explicit** evidence

We encode the addition as another GADT:

```
\begin{array}{lll} \textbf{data} \; \mathsf{Sum} :: * \to * \to * \to * \; \textbf{where} \\ \mathsf{SumZero} :: & \mathsf{Sum} \; \mathsf{Zero} & \mathsf{n} \; \mathsf{n} \\ \mathsf{SumSucc} :: \mathsf{Sum} \; \mathsf{m} \; \mathsf{n} \; \mathsf{s} \to \mathsf{Sum} \; (\mathsf{Succ} \; \mathsf{m}) \; \mathsf{n} \; (\mathsf{Succ} \; \mathsf{s}) \\ \mathsf{append} :: \mathsf{Sum} \; \mathsf{m} \; \mathsf{n} \; \mathsf{s} \to \mathsf{Vec} \; \mathsf{a} \; \mathsf{m} \to \mathsf{Vec} \; \mathsf{a} \; \mathsf{n} \to \mathsf{Vec} \; \mathsf{a} \; \mathsf{s} \\ \mathsf{append} \; \mathsf{SumZero} & \mathsf{Nil} & \mathsf{ys} = \mathsf{ys} \\ \mathsf{append} \; (\mathsf{SumSucc} \; \mathsf{p}) \; (\mathsf{Cons} \; \mathsf{x} \; \mathsf{xs}) \; \mathsf{ys} = \mathsf{Cons} \; \mathsf{x} \; (\mathsf{append} \; \mathsf{p} \; \mathsf{xs} \; \mathsf{ys}) \end{array}
```

Disadvantage: we must construct the evidence by hand!

We could use a multi-parameter type class with functional dependencies, but even better is a . . .

# Type family

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## **Converting between lists and vectors**

#### Unproblematic:

```
toList :: Vec a n \rightarrow [a]
toList Nil = []
toList (Cons x xs) = x : toList xs
```

#### Does not work:

Why? The type says that the result must be polymorphic in n, and it is not!



### From lists to vectors

#### We can

- specify the length,
- hide the length using an existential type.

For the former, we have to reflect type-level natural numbers on the value level:

# From lists to vectors (contd.)

```
data Nat :: * \rightarrow * where

Zero :: Nat Zero

Succ :: Nat n \rightarrow Nat (Succ n)

fromList :: Nat \rightarrow [a] \rightarrow Vec a n

fromList Zero [] = Nil

fromList (Succ n) (x : xs) = Cons x (fromList n xs)

fromList _ = error "wrong length!"
```

We have to know the length in advance.

# From lists to vectors (contd.)

Using an existential type (in GADT notation):

We can combine the ideas and include a Nat in the packed type:

```
data VecAny :: * \rightarrow * where
VecAny :: Nat n \rightarrow Vec a n \rightarrow VecAny a
```

