### 1. LIBRARIES & DEPENDENCIES

```
In [294... pip install lightgbm
             Requirement already satisfied: lightgbm in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (4.1.0)
             Requirement already satisfied: numpy in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from lightgbm) (1.2
             Requirement already satisfied: scipy in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from lightgbm) (1.1
             0.1)
             Note: you may need to restart the kernel to use updated packages.
In [295... pip install catboost
             Requirement already satisfied: catboost in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (1.2.2)
             Requirement already satisfied: graphviz in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from catboost)
             Requirement already satisfied: matplotlib in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from catboost)
             (3.7.0)
             Requirement already satisfied: numpy>=1.16.0 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from catboo
             st) (1.24.2)
             Requirement already satisfied: pandas>=0.24 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from catboos
             t) (1.5.3)
             Requirement already satisfied: scipy in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from catboost) (1.1
             0.1)
             Requirement already satisfied: plotly in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from catboost) (5.
             18.0)
             Requirement already satisfied: six in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from catboost) (1.16.
             0)
             Requirement already satisfied: python-dateutil>=2.8.1 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (fr
             om pandas>=0.24->catboost) (2.8.2)
             Requirement already satisfied: pytz>=2020.1 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from pandas>
             =0.24->catboost) (2022.7.1)
             Requirement already satisfied: contourpy>=1.0.1 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from mat
             plotlib->catboost) (1.0.7)
             Requirement already satisfied: cycler>=0.10 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from matplot
             lib->catboost) (0.11.0)
             Requirement already satisfied: fonttools>=4.22.0 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from ma
             tplotlib->catboost) (4.38.0)
             Requirement already satisfied: kiwisolver>=1.0.1 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from ma
             tplotlib->catboost) (1.4.4)
             Requirement already \ satisfied: packaging >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/sklearn-env/lib/python 3.11/site-packages \ (from \ matpower already \ satisfied: packaging) >= 20.0 \ in \ ./. conda/envs/skl
             lotlib->catboost) (23.0)
             Requirement already satisfied: pillow>=6.2.0 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from matplo
             tlib->catboost) (9.4.0)
             Requirement already satisfied: pyparsing>=2.3.1 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from mat
             plotlib->catboost) (3.0.9)
             Requirement already satisfied: tenacity>=6.2.0 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from plot
             ly->catboost) (8.2.3)
             Note: you may need to restart the kernel to use updated packages.
In [296... !pip install pandas
             WARNING: pip is being invoked by an old script wrapper. This will fail in a future version of pip.
             Please see https://github.com/pypa/pip/issues/5599 for advice on fixing the underlying issue.
             To avoid this problem you can invoke Python with '-m pip' instead of running pip directly.
             DEPRECATION: Python 2.7 reached the end of its life on January 1st, 2020. Please upgrade your Python as Python 2.7
             is no longer maintained. pip 21.0 will drop support for Python 2.7 in January 2021. More details about Python 2 sup
             port in pip can be found at https://pip.pypa.io/en/latest/development/release-process/#python-2-support pip 21.0 wi
              ll remove support for this functionality.
             Defaulting to user installation because normal site-packages is not writeable
             Requirement already satisfied: pandas in ./.local/lib/python2.7/site-packages (0.24.2)
             Requirement already satisfied: numpy>=1.12.0 in ./.local/lib/python2.7/site-packages (from pandas) (1.16.6)
             Requirement already satisfied: python-dateutil>=2.5.0 in ./.local/lib/python2.7/site-packages (from pandas) (2.8.2)
             Requirement already satisfied: pytz>=2011k in ./.local/lib/python2.7/site-packages (from pandas) (2023.3)
             Requirement already satisfied: six>=1.5 in ./.local/lib/python2.7/site-packages (from python-dateutil>=2.5.0->panda
             s) (1.16.0)
```

In [297... pip install imbalanced—learn

```
Requirement already satisfied: imbalanced-learn in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (0.11.0)
Requirement already satisfied: numpy>=1.17.3 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from imbalanced-learn) (1.24.2)
Requirement already satisfied: scipy>=1.5.0 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from imbalanced-learn) (1.10.1)
Requirement already satisfied: scikit-learn>=1.0.2 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from imbalanced-learn) (1.2.1)
Requirement already satisfied: joblib>=1.1.1 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from imbalanced-learn) (1.2.0)
Requirement already satisfied: threadpoolctl>=2.0.0 in ./.conda/envs/sklearn-env/lib/python3.11/site-packages (from imbalanced-learn) (3.1.0)
Note: you may need to restart the kernel to use updated packages.
```

```
In [298... # basic libraries
         import pandas as pd
         import numpy as np
          # toolkits
          from sklearn.impute import SimpleImputer
          from sklearn.pipeline import Pipeline # for pipeline
          from sklearn.preprocessing import MinMaxScaler, OneHotEncoder, StandardScaler
          from sklearn.compose import ColumnTransformer
          from sklearn.model_selection import KFold, cross_val_score, train_test_split
         from imblearn.over_sampling import SMOTE
          from imblearn.pipeline import Pipeline as ImbPipeline
         from sklearn.model_selection import StratifiedKFold
         from sklearn.preprocessing import LabelEncoder
          from imblearn.over_sampling import BorderlineSMOTE, ADASYN, RandomOverSampler
          from sklearn.preprocessing import FunctionTransformer
         # models
          from sklearn.linear_model import LogisticRegression
          \textbf{from} \  \, \textbf{sklearn.neighbors} \  \, \textbf{import} \  \, \textbf{KNeighborsRegressor}, \  \, \textbf{KNeighborsClassifier}
          from sklearn.tree import DecisionTreeClassifier
         from xgboost import XGBClassifier
          from sklearn.neural_network import MLPClassifier
          from sklearn.ensemble import RandomForestClassifier, GradientBoostingClassifier, AdaBoostClassifier
          from xgboost import XGBClassifier
          from sklearn.svm import SVC
          from sklearn.naive_bayes import GaussianNB
         from lightgbm import LGBMClassifier
         from catboost import CatBoostClassifier
         # evaluation
         from sklearn.metrics import roc_auc_score
         from sklearn.metrics import accuracy_score
          # visualization
          import matplotlib.pyplot as plt
          import seaborn as sns
          # misc
         import warnings
          warnings.filterwarnings('ignore')
          # set_config("display_diagram")
          import os # may use it
          RANDOM\_SEED = 42
```

### 2. LOAD DATA

Get all 4 files

```
df = pd.read_csv(list_file[1])
elif filename == "term":
    df = pd.read_csv(list_file[2])
elif filename == "transfer":
    df = pd.read_csv(list_file[3])

return df
```

```
In [303... # setup major dataset variable
    df_term = get_data("term")
    df_info = get_data("info")
    df_transfer = get_data('transfer')
```

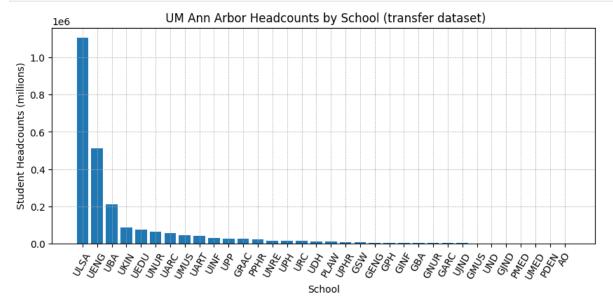
#### Observations:

- 1. df\_info basic info from demographic, high school academic to current placement
- 2. df\_term term related, GPA, registration status
- 3. df\_class class related
- 4. df\_transfer transfer-needed info

#### 3 EXPLORATORY DATA ANALYSIS

#### 3.1 DATA ANAYLYSIS

```
In [304... # check the school
    data_school = df_transfer['ACAD_CRER_CD'].value_counts()
    plt.figure(figsize=(10, 4))
    plt.bar(data_school.index, data_school.values)
    plt.xlabel('School')
    plt.ylabel('Student Headcounts (millions)')
    plt.title(' UM Ann Arbor Headcounts by School (transfer dataset)')
    plt.xticks(rotation=60)
    plt.yticks()
    plt.grid(True, which='both', axis='both', linestyle='---', linewidth=0.5)
    # Show chart
    plt.show()
```



We will pick the top 6 schools: ULSA, UENG, UBA, KIN, UEDU and UNUR for transfer learning.

The size varies heavily - UENG is only less than 50% of the ULSA. Same us UBA vs UENG, then UKIN vs UBA. The final 2 UEDU and UNUR would be closer size with UKIN.

#### 3.1 DATA MANIPULATION

```
In [305... # get School Data - School data with tareget valuable
def get_uschool_data(school, condition):
```

```
input = school: under school name like "ULSA", "UEGN", string
input = target: "both", "with", "cancel", string
output = dataframe ready to use before datapreprocessing
# convert 'FIRST_TERM_ATTND_BEGIN_YR_MO' to datetime and extract the year
df info['FIRST TERM ATTND BEGIN YR MO'] = pd to datetime(df info['FIRST TERM ATTND BEGIN YR MO'], errors='coerd
df_info['first_term_year'] = df_info['FIRST_TERM_ATTND_BEGIN_YR_MO'].dt.year
# ensure 'STDNT_BIRTH_YR' is in the correct format
df_info['STDNT_BIRTH_YR'] = pd.to_numeric(df_info['STDNT_BIRTH_YR'], errors='coerce')
# calculate 'age' using vectorized operations
df_info['age'] = df_info['first_term_year'] - df_info['STDNT_BIRTH_YR']
# round age to integer where it's not NaN, and handle unrealistic values
df_{info}['age'] = df_{info}['age'].apply(lambda x: round(x) if 12 <= x <= 120 else np.nan)
# calculate the mean age, ignoring NaN values, and round to the nearest integer
mean_age = df_info['age'].mean().round()
# impute NaN values in 'age' with the mean age
df_info['age'].fillna(mean_age, inplace=True)
# convert 'age' to an integer
df_info['age'] = df_info['age'].astype(int)
# define school from transfer dataset
df_school = df_transfer[df_transfer['ACAD_CRER_CD'] == school]
# get unique school ID list
lst_school = df_school['STDNT_ID'].unique().tolist()
# define under df from term dataset
df_U = df_term[(df_term['CRER_LVL_CD'] == 'U')]
# df for under in that school
df_uschool = df_U[df_U['STDNT_ID'].isin(lst_school)]
lst_uschool = df_uschool['STDNT_ID'].unique().tolist()
if condition == 'both':
    target = ['WITH', 'CNCL']
elif condition == 'cancel':
    target = ['CNCL']
elif condition == 'withdraw':
    target = ['WITH']
def check_first_year(group):
    # Extract the first three entries of 'REG_STAT_CD' for the group
    first_year = group['REG_STAT_CD'].head(4)
    return any(first_year.isin(target))
# group by 'STDNT_ID' and apply the check_first_year function
results = df_term.groupby('STDNT_ID').apply(check_first_year)
lst_dropout = results[results].index.tolist()
df_labelled = df_info[df_info['STDNT_ID'].isin(lst_dropout)]
df_nonlabelled = df_info[~df_info['STDNT_ID'].isin(lst_dropout)]
df_labelled['label'] = 1
df_nonlabelled['label'] = 0
df_final = pd.concat([df_labelled, df_nonlabelled])
df = df_final[df_final['UM_DGR_1_ACAD_CRER_CD'] == school]
df = df[['PRNT_DEP_NBR_CD',
            'EST_GROSS_FAM_INC_CD',
            'MAX ACT COMP PCTL'
            'MAX_SATI_TOTAL_CALC_SCR',
            'HS_GPA',
            'age'
            'STDNT_SEX_CD',
            'STDNT_ETHNC_GRP_CD',
            'STDNT_CTZN_CNTRY_1_DES',
            'STDNT_CTZN_STAT_SHORT_DES',
            'SNGL_PRNT_IND',
```

#### 4 PIPELINE: PREPROCESSING & MODELLING

#### 4.1 PART I: FIND OUT THE BEST MODEL AND MEASUREMENT

```
In [308... | df = df_UEDU_w # we could try any of the 6 df above. The smaller dataset, faster to get result
         X = df.drop('label', axis=1) # Replace 'label' with the actual name of label column
         y = df['label'] # The label column
         # identify numerical and categorical columns
         num_cols = ['PRNT_DEP_NBR_CD',
                          'EST_GROSS_FAM_INC_CD',
                          'MAX_ACT_COMP_PCTL'
                          'MAX_SATI_TOTAL_CALC_SCR',
                          'HS_GPA',
                          'age'
         cat_cols = ['STDNT_SEX_CD',
                          'STDNT_ETHNC_GRP_CD',
                          'STDNT_CTZN_CNTRY_1_DES',
                          'STDNT_CTZN_STAT_SHORT_DES',
                          'SNGL_PRNT_IND'
                          'STDNT_INTL_IND'
                          'PRNT_MAX_ED_LVL_DES',
                          'EST_GROSS_FAM_INC_DES'
         # numerical transformer with SimpleImputer and StandardScaler
         numeric_transformer = Pipeline(steps=[
              ('imputer', SimpleImputer(strategy='mean')), # mean strategy for imputation
              ('scaler', StandardScaler())
         ])
         # column transformer
         preprocessor = ColumnTransformer(
             transformers=[
                  ('num', numeric_transformer, num_cols),
         # split dataset into training and testing sets
         X_{\text{train}}, X_{\text{test}}, y_{\text{train}}, y_{\text{test}} = train_test_split(X, Y, test_size=0.2, random_state = RANDOM_SEED)
         # list of (name, model) tuples
         # we could feel free to pick the combinations of the modesl to run,
         # some faster and better, some slower and performing not well
         models = [
              ('LogisticRegression', LogisticRegression()),
              ('XGBoost', XGBClassifier(use_label_encoder=False, eval_metric='logloss')),
              ('DecisionTree', DecisionTreeClassifier(random_state = RANDOM_SEED)),
              ('RandomForest', RandomForestClassifier(random_state = RANDOM_SEED)),
              ('GradientBoosting', GradientBoostingClassifier(random_state = RANDOM_SEED)), # excellent
              ('SVM', SVC(probability=True, random_state = RANDOM_SEED)), # not that good result
```

```
('KNN', KNeighborsClassifier()),
    ('NaiveBayes', GaussianNB()), # excellent
    ('LightGBM', LGBMClassifier(silent=True, learning_rate=0.1, random_state = RANDOM_SEED, force_col_wise = True,
    ('CatBoost', CatBoostClassifier(random_state = RANDOM_SEED, verbose=False)), # excellent
    ('AdaBoost', AdaBoostClassifier(random_state = RANDOM_SEED)), # excellent
    # ('NeuralNetwork', MLPClassifier(hidden_layer_sizes=(100,), max_iter=1000, activation='relu', solver='adam', r
] # NN taking too long but not superior performance
# dictionary to hold model scores
model_scores = {}
# iterate over the models list
for name, model in models:
    model_pipeline = ImbPipeline(steps=[
        ('preprocessor', preprocessor),
        ('classifier', model)
    ])
    # cross-validation (make sure to use stratified folds for imbalanced datasets)
    stratified\_kfold = StratifiedKFold(n\_splits=5, \ shuffle=True, \ random\_state = RANDOM\_SEED)
    scores = cross_val_score(model_pipeline, X_train, y_train, cv=stratified_kfold, scoring='roc_auc')
    # training the pipeline
    model_pipeline.fit(X_train, y_train)
    # model_pipeline.fit(X_resampled, y_resampled)
    # predictions
   y_pred = model_pipeline.predict(X_test)
    y_pred_probs = model_pipeline.predict_proba(X_test)[:, 1]
   report = classification_report(y_test, y_pred, output_dict=True)
    # calculate AUROC
    auroc = roc_auc_score(y_test, y_pred_probs)
       # Store results in model_scores
    model_scores[name] = {
        'Test Accuracy': report['accuracy'],
        'Test Precision': report['weighted avg']['precision'],
        'Test Recall': report['weighted avg']['recall'],
        'Test F1 Score': report['weighted avg']['f1-score'],
        'Test AUROC': auroc
    }
# display results
for model_name, scores in model_scores.items():
    print(f"Model: {model_name}")
    for score_name, score_value in scores.items():
       print(f"{score_name}: {score_value:.4f}")
    print("_"*30)
```

Model: LogisticRegression Test Accuracy: 0.9942 Test Precision: 0.9884 Test Recall: 0.9942 Test F1 Score: 0.9913 Test AUROC: 0.7502

Model: XGBoost

Test Accuracy: 0.9922 Test Precision: 0.9884 Test Recall: 0.9922 Test F1 Score: 0.9903 Test AUROC: 0.8291

Model: DecisionTree Test Accuracy: 0.9806 Test Precision: 0.9883 Test Recall: 0.9806 Test F1 Score: 0.9845 Test AUROC: 0.7177

Model: RandomForest Test Accuracy: 0.9942 Test Precision: 0.9884 Test Recall: 0.9942 Test F1 Score: 0.9913 Test AUROC: 0.6527

Model: GradientBoosting Test Accuracy: 0.9942 Test Precision: 0.9884 Test Recall: 0.9942 Test F1 Score: 0.9913 Test AUROC: 0.8441

Model: SVM

Test Accuracy: 0.9942 Test Precision: 0.9884 Test Recall: 0.9942 Test F1 Score: 0.9913 Test AUROC: 0.3408

Model: KNN

Test Accuracy: 0.9942 Test Precision: 0.9884 Test Recall: 0.9942 Test F1 Score: 0.9913 Test AUROC: 0.4552

Model: NaiveBayes Test Accuracy: 0.9690 Test Precision: 0.9883 Test Recall: 0.9690 Test F1 Score: 0.9785 Test AUROC: 0.7138

Model: LightGBM Test Accuracy: 0.9942 Test Precision: 0.9884 Test Recall: 0.9942 Test F1 Score: 0.9913 Test AUROC: 0.8158

Model: CatBoost Test Accuracy: 0.9942 Test Precision: 0.9884 Test Recall: 0.9942 Test F1 Score: 0.9913 Test AUROC: 0.8470

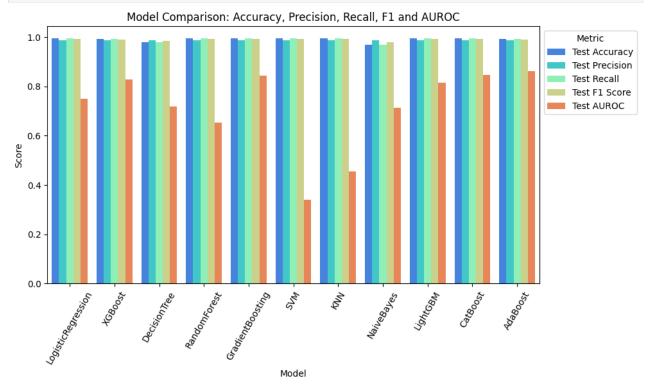
Model: AdaBoost Test Accuracy: 0.9922 Test Precision: 0.9884 Test Recall: 0.9922 Test F1 Score: 0.9903 Test AUROC: 0.8626

```
for model_name, scores in model_scores.items():
    for score_name, score_value in scores.items():
        data.append({'Model': model_name, 'Metric': score_name, 'Value': score_value})

df_scores = pd.DataFrame(data)

# Plot
plt.figure(figsize=(10, 6))
sns.barplot(x='Model', y='Value', hue='Metric', data=df_scores, palette= 'rainbow')
plt.title('Model Comparison: Accuracy, Precision, Recall, F1 and AUROC')
plt.ylabel('Score')
plt.xlabel('Model')
plt.legend(title='Metric', loc='upper left', bbox_to_anchor=(1, 1))
plt.xticks(rotation=60)
plt.tight_layout()

# Show plot
plt.show()
```



#### **Findings**

- 1). AUROC is the best indicator to measure the performance due to imblanace nature, while Accuracy, Precision, Recall and F1 looks high due to super imblance nature of our dataset for our target variable "Withdraw" or "Cancel" only 1~2% of the whole dataset.
- 2). Tree-based XGBoost, Gradient Boosting, LightGBM, Catboost and AdaBoost are the best models across the board
- 3). Naive Bayes performs well when larger dataset like ULSA and UENG.
- 4). Our dataset is non-linear by nature
- 5). Here we use UEDU as an example due to the more significant difference in AUROC and the smallest datset among the top 6 larger ones (faster)

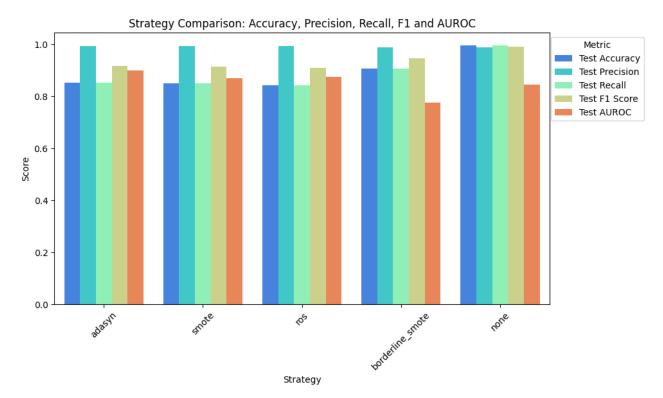
#### 4.2 PART II: FIND OUT THE BEST OVERSAMPLE STRATEGY WITHIN SCHOOL

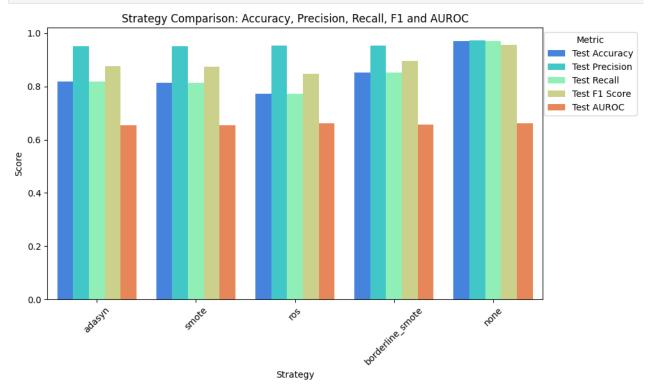
```
In [310... def get_strategy_model_score(df_target):
    df = df_target
    X = df.drop('label', axis=1) # Replace 'label' with the actual name of label column
    y = df['label'] # The label column
```

```
# identify numerical and categorical columns
num_cols = ['PRNT_DEP_NBR_CD',
                 'EST_GROSS_FAM_INC_CD',
                 'MAX_ACT_COMP_PCTL'
                 'MAX_SATI_TOTAL_CALC_SCR',
                 'HS_GPA',
                 'age'
cat_cols = ['STDNT_SEX_CD',
                 'STDNT_ETHNC_GRP_CD',
                 'STDNT_CTZN_CNTRY_1_DES',
                 'STDNT_CTZN_STAT_SHORT_DES',
                 'SNGL_PRNT_IND'
                 'STDNT INTL IND'
                 'PRNT_MAX_ED_LVL_DES',
                 'EST_GROSS_FAM_INC_DES'
# numerical transformer with SimpleImputer and StandardScaler
numeric_transformer = Pipeline(steps=[
    ('imputer', SimpleImputer(strategy='mean')), # mean strategy for imputation ('scaler', StandardScaler())
1)
# column transformer
preprocessor = ColumnTransformer(
    transformers=[
        ('num', numeric_transformer, num_cols)
# split dataset into training and testing sets
X_train, X_test, y_train, y_test = train_test_split(X, y, test_size=0.2, random_state = RANDOM_SEED)
models = [
    # ('LogisticRegression', LogisticRegression()),
    # ('XGBoost', XGBClassifier(use_label_encoder=False, eval_metric='logloss')),
    # ('DecisionTree', DecisionTreeClassifier(random_state = RANDOM_SEED)),
    # ('RandomForest', RandomForestClassifier(random_state = RANDOM_SEED))
    ('GradientBoosting', GradientBoostingClassifier(random_state = RANDOM_SEED)), # excellent
    # ('SVM', SVC(probability=True, random_state = RANDOM_SEED)), # not that good result
    # ('KNN', KNeighborsClassifier()),
    # ('NaiveBayes', GaussianNB()), # excellent
    # ('LightGBM', LGBMClassifier(silent=True, learning_rate=0.1, random_state = RANDOM_SEED, force_col_wise =
    # ('CatBoost', CatBoostClassifier(random_state = RANDOM_SEED, verbose=False)), # excellent
# ('AdaBoost', AdaBoostClassifier(random_state = RANDOM_SEED)), # excellent
    # ('NeuralNetwork', MLPClassifier(hidden_layer_sizes=(100,), max_iter=1000, activation='relu', solver='adam
] # NN taking too long but not superior performance
    ('adasyn', ADASYN(random_state=RANDOM_SEED)).
    ('smote', SMOTE(sampling_strategy='all', random_state=RANDOM_SEED)),
    ('ros', RandomOverSampler(random_state=RANDOM_SEED)),
    ('borderline_smote', BorderlineSMOTE(random_state=RANDOM_SEED, kind='borderline-1')),
    ('none', FunctionTransformer()) # No oversampling
1
# dictionary to hold model scores
model_scores = {}
# iterate over the models list
for model_name, model in models:
    for strategy_name, strategy in strategies:
        model_pipeline = ImbPipeline(steps=[
            ('preprocessor', preprocessor), ('strategy_name', strategy), # Use the strategy here
             ('classifier', model)
        1)
        # cross-validation (make sure to use stratified folds for imbalanced datasets)
        stratified_kfold = StratifiedKFold(n_splits=5, shuffle=True, random_state = RANDOM_SEED)
        scores = cross_val_score(model_pipeline, X_train, y_train, cv=stratified_kfold, scoring='roc_auc')
        # training the pipeline
        model_pipeline.fit(X_train, y_train)
        # model_pipeline.fit(X_resampled, y_resampled)
        # tredictions
        y_pred = model_pipeline.predict(X_test)
```

```
y_pred_probs = model_pipeline.predict_proba(X_test)[:, 1]
                      report = classification_report(y_test, y_pred, output_dict=True)
                      # calculate AUROC
                      auroc = roc_auc_score(y_test, y_pred_probs)
                      # store results with both model name and strategy name as a key
                      model_scores[(model_name, strategy_name)] = {
                          'Test Accuracy': report['accuracy'],
                          'Test Precision': report['weighted avg']['precision'],
                          'Test Recall': report['weighted avg']['recall'],
                          'Test F1 Score': report['weighted avg']['f1-score'],
                          'Test AUROC': auroc
              return model_scores
In [311... # display results
         def print_model_scores(all_model_scores):
             model_scores = all_model_scores
              for (model_name, strategy_name), scores in model_scores.items():
                 print(f"Model: {model_name}, Strategy: {strategy_name}")
for score_name, score_value in scores.items():
                      print(f"{score_name}: {score_value:.4f}")
                  print("_"*30)
              return
In [312... score_UEDU = print_model_scores(all_model_scores = get_strategy_model_score(df_target = df_UEDU_w))
         score_UEDU
         Model: GradientBoosting, Strategy: adasyn
         Test Accuracy: 0.8527
         Test Precision: 0.9921
         Test Recall: 0.8527
         Test F1 Score: 0.9151
         Test AUROC: 0.8996
         Model: GradientBoosting, Strategy: smote
         Test Accuracy: 0.8508
         Test Precision: 0.9921
         Test Recall: 0.8508
         Test F1 Score: 0.9140
         Test AUROC: 0.8694
         Model: GradientBoosting, Strategy: ros
         Test Accuracy: 0.8430
         Test Precision: 0.9920
         Test Recall: 0.8430
         Test F1 Score: 0.9094
         Test AUROC: 0.8739
         Model: GradientBoosting, Strategy: borderline_smote
         Test Accuracy: 0.9070
         Test Precision: 0.9879
         Test Recall: 0.9070
         Test F1 Score: 0.9457
         Test AUROC: 0.7755
         Model: GradientBoosting, Strategy: none
         Test Accuracy: 0.9942
         Test Precision: 0.9884
         Test Recall: 0.9942
         Test F1 Score: 0.9913
         Test AUROC: 0.8441
In [313... | score_ULSA = print_model_scores(all_model_scores = get_strategy_model_score(df_target = df_ULSA_w))
         score ULSA
```

```
Model: GradientBoosting, Strategy: adasyn
         Test Accuracy: 0.8194
         Test Precision: 0.9522
         Test Recall: 0.8194
         Test F1 Score: 0.8767
         Test AUROC: 0.6544
         Model: GradientBoosting, Strategy: smote
         Test Accuracy: 0.8146
         Test Precision: 0.9525
         Test Recall: 0.8146
         Test F1 Score: 0.8738
         Test AUROC: 0.6555
         Model: GradientBoosting, Strategy: ros
         Test Accuracy: 0.7724
         Test Precision: 0.9533
         Test Recall: 0.7724
         Test F1 Score: 0.8475
         Test AUROC: 0.6625
         Model: GradientBoosting, Strategy: borderline_smote
         Test Accuracy: 0.8530
         Test Precision: 0.9530
         Test Recall: 0.8530
         Test F1 Score: 0.8968
         Test AUROC: 0.6571
         Model: GradientBoosting, Strategy: none
         Test Accuracy: 0.9712
         Test Precision: 0.9720
         Test Recall: 0.9712
         Test F1 Score: 0.9570
         Test AUROC: 0.6617
In [314... def chart_model_comparison(all_model_scores):
             model_scores = all_model_scores
             data = []
             for (model_name, strategy_name), scores in model_scores.items():
                 for score_name, score_value in scores.items():
                     data.append({'Strategy': strategy_name, 'Metric': score_name, 'Value': score_value})
             df_scores = pd.DataFrame(data)
             plt.figure(figsize=(10, 6))
             sns.barplot(x='Strategy', y='Value', hue='Metric', data=df_scores, palette='rainbow')
             plt.title('Strategy Comparison: Accuracy, Precision, Recall, F1 and AUROC')
             plt.ylabel('Score')
             plt.xlabel('Strategy')
             plt.legend(title='Metric', loc='upper right', bbox_to_anchor=(1.2, 1))
             plt.xticks(rotation=45)
             plt.tight_layout()
             # Show plot
             return plt.show()
In [315... chart_UEDU = chart_model_comparison(all_model_scores = get_strategy_model_score(df_target = df_UEDU_w))
         chart_UEDU
```





#### **Findings**

- 1). When the dataset is in smaller size, the oversampling strategies mostly improve the AUROC. e.g. The smaller size dataset School of Education (UEDU) works with AUROC improvement from Adasyn(Adaptive Synthetic Sampling) (0.90), SMOTE(Synthetic Minority Over-sampling Technique) (0.87) and Random Over Sampler (0.87) better than nothing (0.84).
- 2). When the dataset is in larger size, the oversampling strategies does not significantly improve the AUROC

3). Among the oversampling strategies, Adasyn works the best. SMOTE and Random Over Sampler also good. ADASYN adapts to the density distribution of the minority class, SMOTE creates synthetic samples rather than just duplicating existing ones.Random Over Sampler randomly duplicates examples from the minority class. Our Borderline SMOTE does not perform well to beat no oversampling. Likely the dataset borderline is not easy to tell.

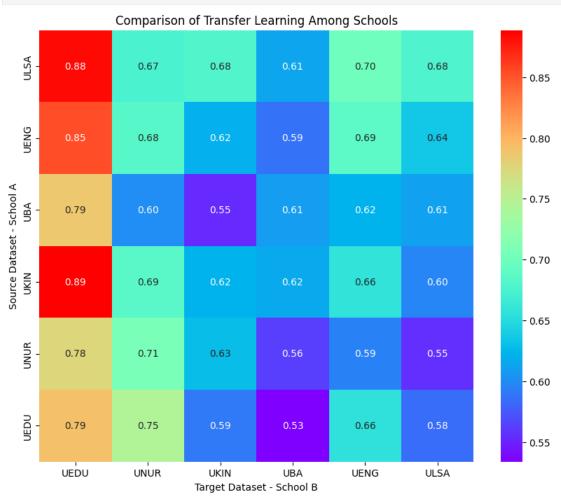
### 4.3 PART III - TRANSFER LEARNING BETWEEN SCHOOLS W/O OVERSAMPLE STRATEGY

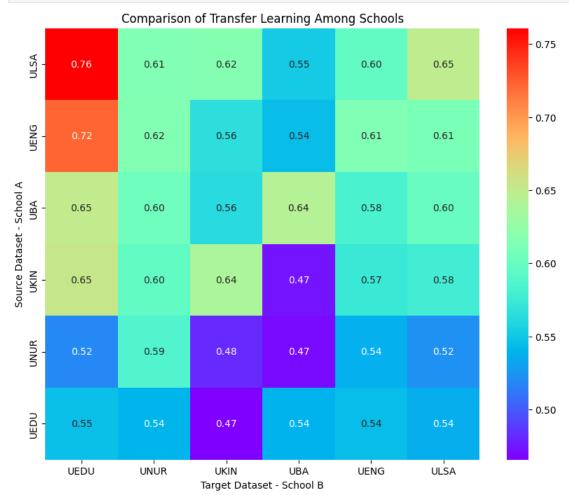
```
In [317... def data_for_model(df, test_size):
             X = df.drop('label', axis=1) # Replace 'label' with the actual name of label column
             y = df['label'] # The label column
             # identify numerical and categorical columns
             num_cols = ['PRNT_DEP_NBR_CD'
                          'EST_GROSS_FAM_INC_CD',
                         'MAX_ACT_COMP_PCTL'
                          'MAX_SATI_TOTAL_CALC_SCR',
                         'HS_GPA',
                         'age'
             cat_cols = ['STDNT_SEX_CD',
                         'STDNT_ETHNC_GRP_CD',
                         'STDNT_CTZN_CNTRY_1_DES'
                         'STDNT_CTZN_STAT_SHORT_DES',
                         'SNGL_PRNT_IND',
                         'STDNT_INTL_IND',
                          'PRNT_MAX_ED_LVL_DES'
                         'EST_GROSS_FAM_INC_DES'
             # numerical transformer with SimpleImputer and StandardScaler
             numeric_transformer = Pipeline(steps=[
                 ('imputer', SimpleImputer(strategy='mean')), # mean strategy for imputation
                 ('scaler', StandardScaler())
             ])
             # column transformer
             preprocessor = ColumnTransformer(
                 transformers=[
                      ('num', numeric_transformer, num_cols),
             # apply preprocessing to features
             X_preprocessed = preprocessor.fit_transform(X)
             # split the preprocessed data into training and testing sets
             X_train, X_test, y_train, y_test = train_test_split(X_preprocessed, y, test_size= test_size, random_state=RANDOI
             return (X train, X test, y train, y test)
In [318... def data_for_model(df, test_size):
             X = df.drop('label', axis=1) # Replace 'label' with the actual name of label column
             y = df['label'] # The label column
             # identify numerical and categorical columns
             num_cols = ['PRNT_DEP_NBR_CD'
                         'EST_GROSS_FAM_INC_CD'
                         'MAX_ACT_COMP_PCTL'
                          'MAX_SATI_TOTAL_CALC_SCR',
                         'HS_GPA',
                         'age'
             cat_cols = ['STDNT_SEX_CD',
                          'STDNT ETHNC GRP CD',
                         'STDNT_CTZN_CNTRY_1_DES'
                          'STDNT_CTZN_STAT_SHORT_DES',
                         'SNGL_PRNT_IND',
                         'STDNT_INTL_IND'
                          'PRNT_MAX_ED_LVL_DES'
                          'EST_GROSS_FAM_INC_DES'
             # numerical transformer with SimpleImputer and StandardScaler
```

```
('imputer', SimpleImputer(strategy='mean')), # mean strategy for imputation
                  ('scaler', StandardScaler())
             1)
             # column transformer
             preprocessor = ColumnTransformer(
                 transformers=[
                      ('num', numeric_transformer, num_cols),
                 1)
             # apply preprocessing to features
             X_preprocessed = preprocessor.fit_transform(X)
             # split the preprocessed data into training and testing sets
             X_train, X_test, y_train, y_test = train_test_split(X_preprocessed, y, test_size= test_size, random_state=RANDOI
             return (X_train, X_test, y_train, y_test)
In [319... def transfer_model(df_A, df_B, size_A, size_B):
             # load and preprocess Dataset A
             X_train_A, X_test_A, y_train_A, y_test_A = data_for_model(df = df_A, test_size = size_A)
             # train model on Dataset A
             model = GradientBoostingClassifier(random_state=RANDOM_SEED)
             model.fit(X_train_A, y_train_A)
             # load and preprocess Dataset B
             X_train_B, X_test_B, y_train_B, y_test_B = data_for_model(df = df_B, test_size = size_B)
             y_pred_B = model.predict(X_test_B)
             y_pred_probs_B = model.predict_proba(X_test_B)[:, 1]
             stratified_kfold = StratifiedKFold(n_splits=5, shuffle=True, random_state = RANDOM_SEED)
             scores = cross_val_score(model, X_train_B, y_train_B, cv=stratified_kfold, scoring='roc_auc')
             # calculate AUROC
             auroc_B = roc_auc_score(y_test_B, y_pred_probs_B)
             return auroc_B
In [320... def table_transfer(list_school_df, size):
             num_schools = len(list_school_df)
             # initialize an empty DataFrame with proper dimensions and labels
             df_results = pd.DataFrame(index=[f'School_{i+1}' for i in range(num_schools)],
                                       columns=[f'School_{j+1}' for j in range(num_schools)])
             for i, df_A in enumerate(list_school_df):
                 for j, df_B in enumerate(list_school_df):
                     # call the transfer_model function with df_A and df_B
                     result = transfer_model(df_A, df_B, size, size)
                     # store the result in the DataFrame
                     df_results.iloc[i, j] = result
             return df_results
In [321... list_school_df_w = [df_UEDU_w, df_UNUR_w, df_UKIN_w, df_UBA_w, df_UENG_w, df_ULSA_w]
         df_result_w_30 = table_transfer(list_school_df_w, 0.3)
         df_result_w_30
                   School_1 School_2 School_3 School_4 School_5 School_6
          School_1 0.792328 0.745355 0.589556 0.533835 0.655616 0.584723
          School_2 0.775553 0.706672 0.628318 0.562803 0.593763 0.554865
          School 3 0.888557 0.685154 0.621841 0.616561 0.658479 0.596718
          School_4 0.786476 0.600473 0.552357 0.608837 0.621271 0.612151
          School_5 0.852926 0.683004 0.620828 0.587599 0.686984 0.635783
          School_6 0.883225 0.674152 0.68049 0.613919 0.699243 0.677151
In [322... list_school_df_c = [df_UEDU_c, df_UNUR_c, df_UKIN_c, df_UBA_c, df_UENG_c, df_ULSA_c]
         df_result_c_30 = table_transfer(list_school_df_c, 0.3)
         df_result_c_30
```

numeric\_transformer = Pipeline(steps=[

```
Out[322]:
                    School_1 School_2 School_3 School_4 School_5 School_6
           School_1 0.545223 0.540386 0.465665 0.538545 0.544223 0.537009
          School_2 0.519045 0.585949
                                     0.481703 0.469685
                                                        0.53792 0.522597
          School_3
                    0.65373 0.597061
                                     0.639121 0.472782 0.573884 0.577626
          School_4 0.650654 0.603304 0.563424 0.644072 0.583235 0.599683
                            0.615367 0.564978 0.544646 0.614239 0.610825
          School 5 0.722186
          School_6 0.760798 0.613629 0.616673 0.551483 0.596383 0.649786
In [323... def chart_transfer_learning(df, list_schools):
              schools = list_schools
             # convert df_result to a numpy array and then reshape it to a 6x6 matrix
             matrix = df.to_numpy().reshape(len(schools), len(schools))
             # create a new DataFrame with the reshaped matrix
             df_matrix = pd.DataFrame(matrix, index=schools, columns=schools)
             for col in df_matrix.columns:
                 df_matrix[col] = pd.to_numeric(df_matrix[col], errors='coerce')
             # create a heatmap
             plt.figure(figsize=(10, 8))
             ax = sns.heatmap(df_matrix, annot=True, cmap='rainbow', fmt=".2f")
             plt.title('Comparison of Transfer Learning Among Schools')
             plt.xlabel('Target Dataset - School B')
             plt.ylabel('Source Dataset - School A')
             # Invert the y-axis
             ax.invert_yaxis()
              return plt.show()
In [324... list_schools = ['UEDU', 'UNUR', 'UKIN', 'UBA', 'UENG', 'ULSA']
         df = df_result_w_30
         chart_w_30 = chart_transfer_learning(df, list_schools)
         chart_w_30
```





In [350... df\_result\_w\_30\_new = df\_result\_w\_30.subtract(df\_result\_w\_30.values.diagonal(), axis=0)
 df\_result\_w\_30\_new

Out[350]:		School_1	School_2	School_3	School_4	School_5	School_6
	School_1	0.0	-0.046972	-0.202771	-0.258493	-0.136712	-0.207604
	School_2	0.068881	0.0	-0.078354	-0.143868	-0.112909	-0.151807
	School_3	0.266715	0.063313	0.0	-0.005281	0.036638	-0.025123
	School_4	0.177639	-0.008364	-0.056479	0.0	0.012434	0.003315
	School_5	0.165942	-0.003981	-0.066156	-0.099386	0.0	-0.051201
	School_6	0.206074	-0.002999	0.003339	-0.063233	0.022092	0.0

```
In [351... df_result_c_30_new = df_result_c_30.subtract(df_result_c_30.values.diagonal(), axis=0)
    df_result_c_30_new
```

Out[351]:		School_1	School_2	School_3	School_4	School_5	School_6
	School_1	0.0	-0.004836	-0.079558	-0.006678	-0.001	-0.008213
	School_2	-0.066904	0.0	-0.104246	-0.116264	-0.048028	-0.063352
	School_3	0.01461	-0.04206	0.0	-0.166338	-0.065236	-0.061495
	School_4	0.006582	-0.040768	-0.080648	0.0	-0.060837	-0.044389
	School_5	0.107947	0.001128	-0.049261	-0.069593	0.0	-0.003414
	School_6	0.111012	-0.036158	-0.033113	-0.098303	-0.053403	0.0

```
In [367...
def chart_net_transfer_learning(df, list_schools, colormap):
    # ensure the DataFrame has schools as both rows and columns
    if df.shape[0] != len(list_schools) or df.shape[1] != len(list_schools):
```

```
raise ValueError("DataFrame must have the same number of rows and columns as the list of schools")

# assign the list of schools as index and columns of the DataFrame

df.index = list_schools

df.columns = list_schools

# convert all columns to numeric values

for col in df.columns:
    df[col] = pd.to_numeric(df[col], errors='coerce')

# create a heatmap

plt.figure(figsize=(10, 8))
ax = sns.heatmap(df, annot=True, cmap=colormap, fmt=".2f")

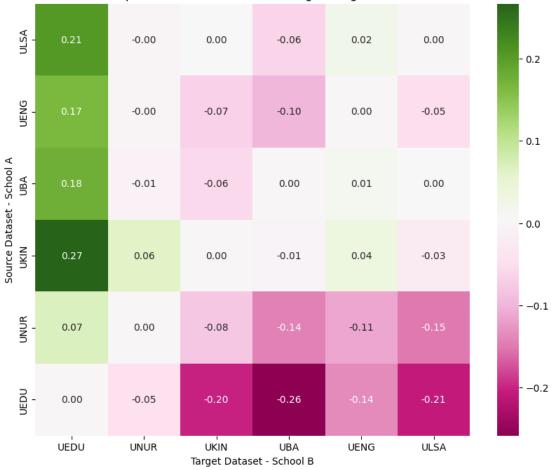
plt.title('Comparison of Net Transfer Learning Among Schools')

plt.xlabel('Target Dataset - School B')

plt.ylabel('Source Dataset - School A')
ax.invert_yaxis()
plt.show()
```

```
In [368...
list_schools = ['UEDU', 'UNUR', 'UKIN', 'UBA', 'UENG', 'ULSA']
df = df_result_w_30_new
colormap = 'PiYG'
chart_result_w_30_new = chart_net_transfer_learning(df , list_schools, colormap)
```





```
In [369...
df = df_result_c_30_new
colormap = 'PiYG'
chart_result_c_30_new = chart_net_transfer_learning(df , list_schools, colormap)
```



- 1). Pick one of the best models: GradientBoosting as our model to transfer the learning, it did shows some successful transfer learning UKIN to UEDU for target variable "Withdraw" 0.89 in AUROC. Also from ULSA to UEDU 0.88 and UENG to UEDUE 0.85. In the "Cancel" cases, the best transfer learning is from ULSA to UEDU 0.79 and from UENG to UEDU 0.72.
- 2). Dataset size UEDU < UNUR < UKIN < UBA < UENG < ULSA. We could see a trend that the larger the original dataset is in comparison to the target dataset, the performance is better than opposite direction. However, some specific case did still show against the trend (From UBSA to UKIN in "withdraw" case).
- 3). Overall, Smaller dataset shows the best performance in general to be transferred from learger dataset. The smallest datset UEDU successfully got AUROC boostup if transfer learning from the school with larger dataset.
- 4). School-specific factor like UBSA still shows resistance of success from transfer learning

## 4.4 PART IV - TRANSFER LEARNING BETWEEN SCHOOLS WITH DIFFERENT SPLIT SIZES

```
In [326...

def chart_compare_transfer_learning(df, list_schools, colormap):
    schools = list_schools
# convert df_result to a numpy array and then reshape it to a 6x6 matrix
matrix = df.to_numpy().reshape(len(schools), len(schools))

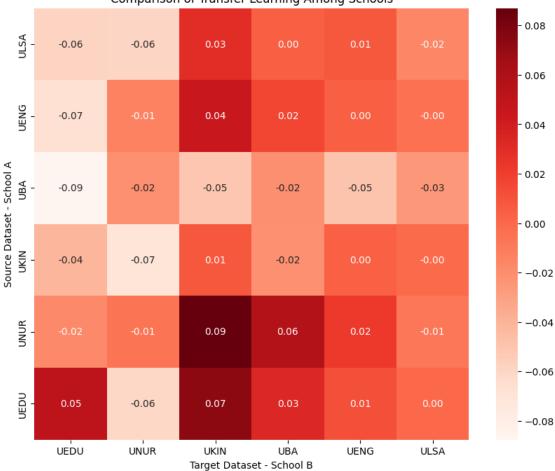
# create a new DataFrame with the reshaped matrix
df_matrix = pd.DataFrame(matrix, index=schools, columns=schools)

for col in df_matrix.columns:
    df_matrix[col] = pd.to_numeric(df_matrix[col], errors='coerce')

# create a heatmap
plt.figure(figsize=(10, 8))
```

```
ax = sns.heatmap(df_matrix, annot=True, cmap=colormap, fmt=".2f")
              plt.title('Comparison of Transfer Learning Among Schools')
              plt.xlabel('Target Dataset - School B')
plt.ylabel('Source Dataset - School A')
              # invert the y-axis
              ax.invert_yaxis()
              return plt.show()
In [327... list_school_df_w = [df_UEDU_w, df_UNUR_w, df_UKIN_w, df_UBA_w, df_UENG_w, df_ULSA_w]
          df_result_w_20 = table_transfer(list_school_df_w, 0.2)
          df_result_w_20
Out[327]:
                    School_1 School_2 School_3 School_4 School_5 School_6
           School_1 0.844055 0.684657
                                      0.663451  0.567224  0.667596  0.584776
           School_2 0.758609 0.700299
                                      0.715295
                                               0.619647
                                                         0.616617
                                                                 0.547174
                             School_3 0.847303
                                                                   0.59616
                                                0.58831 0.571826 0.586594
           School_4 0.69883 0.579026 0.502192
           School_5
                    0.78655  0.669695  0.665365  0.604123  0.69162  0.631851
           School_6 0.824237 0.615098 0.710645 0.618641 0.707644 0.661655
         df_compare_w_20vs30 = df_result_w_20 - df_result_w_30
          df_compare_w_20vs30
Out[328]:
                     School_1 School_2 School_3 School_4 School_5 School_6
           School_1
                     0.051727 -0.060698
                                        0.073895
                                                  0.033389
                                                             0.01198
                                                                     0.000053
           School_2 -0.016943 -0.006372 0.086978
                                                  0.056843
                                                            0.022854
                                                                      -0.00769
           School_3 -0.041253 -0.070029
                                        0.008327 -0.020862
                                                            0.003834 -0.000559
           School_4 -0.087646 -0.021446 -0.050165 -0.020527
                                                           -0.049444 -0.025557
           School_5 -0.066376 -0.013308 0.044536
                                                  0.016525
                                                            0.004636 -0.003932
           School_6 -0.058988 -0.059054 0.030155
                                                            0.008401 -0.015496
                                                  0.004723
In [329... df = df_compare_w_20vs30
          colormap = 'Reds'
          chart_w_20vs30 = chart_compare_transfer_learning(df, list_schools, colormap)
```

#### Comparison of Transfer Learning Among Schools



In [330...
list\_school\_df\_w = [df\_UEDU\_w, df\_UNUR\_w, df\_UKIN\_w, df\_UBA\_w, df\_UENG\_w, df\_ULSA\_w]
df\_result\_w\_15 = table\_transfer(list\_school\_df\_w, 0.15)
df\_result\_w\_15

Out[330]:

School_1	0.818182	0.684373	0.67948	0.517185	0.6775	0.583381
School_2	0.872078	0.698113	0.698252	0.526079	0.59843	0.511324
School_3	0.838312	0.60687	0.614991	0.561526	0.666334	0.593586
School_4	0.683766	0.640397	0.544449	0.550101	0.59769	0.605035
School_5	0.818182	0.677165	0.671088	0.533046	0.663198	0.645275
School_6	0.856494	0.600581	0.691696	0.556085	0.698575	0.670547

School\_1 School\_2 School\_3 School\_4 School\_5 School\_6

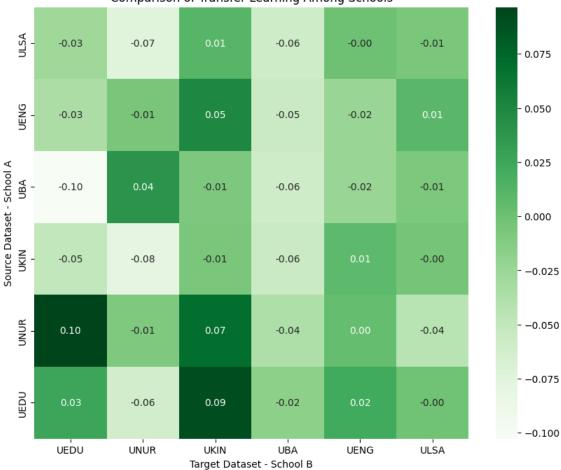
In [331... df\_compare\_w\_15vs30 = df\_result\_w\_15 - df\_result\_w\_30
df\_compare\_w\_15vs30

Out[331]:

	School_1	School_2	School_3	School_4	School_5	School_6
School_1	0.025854	-0.060982	0.089924	-0.01665	0.021884	-0.001343
School_2	0.096525	-0.008558	0.069934	-0.036724	0.004667	-0.043541
School_3	-0.050245	-0.078284	-0.00685	-0.055035	0.007855	-0.003132
School_4	-0.10271	0.039924	-0.007908	-0.058736	-0.02358	-0.007117
School_5	-0.034744	-0.005839	0.05026	-0.054553	-0.023786	0.009492
School 6	-0.026731	-0.073571	0.011206	-0.057833	-0.000668	-0.006604

```
In [332...
df = df_compare_w_15vs30
colormap = 'Greens'
chart_w_15vs30 = chart_compare_transfer_learning(df, list_schools, colormap)
```





In [333...
list\_school\_df\_c = [df\_UEDU\_c, df\_UNUR\_c, df\_UKIN\_c, df\_UBA\_c, df\_UENG\_c, df\_ULSA\_c]
df\_result\_c\_20 = table\_transfer(list\_school\_df\_c, 0.2)
df\_result\_c\_20

Out[333]:

	School_1	School_2	School_3	School_4	School_5	School_6
School_1	0.761934	0.613751	0.462754	0.533516	0.521817	0.511438
School_2	0.73499	0.5585	0.48873	0.495072	0.544637	0.538443
School_3	0.832185	0.560409	0.627026	0.498052	0.588889	0.572314
School_4	0.653174	0.599232	0.521722	0.608392	0.584597	0.58806
School_5	0.810901	0.588532	0.517491	0.543024	0.620631	0.610854
School_6	0.842643	0.595151	0.590183	0.554102	0.603087	0.647281

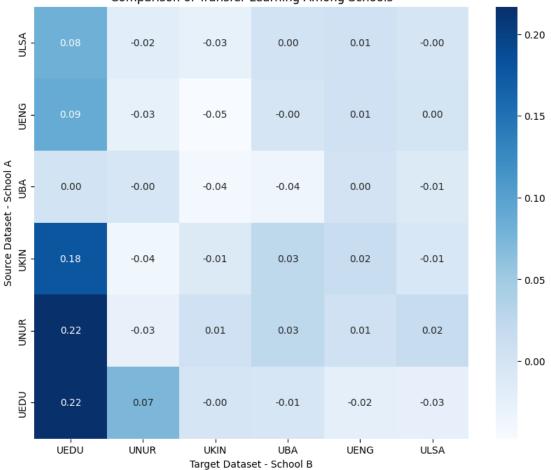
In [334... df\_compare\_c\_20vs30 = df\_result\_c\_20 - df\_result\_c\_30
df\_compare\_c\_20vs30

Out[334]:

	School_1	School_2	School_3	School_4	School_5	School_6
School_1	0.216712	0.073365	-0.002911	-0.005029	-0.022406	-0.025571
School_2	0.215946	-0.027449	0.007027	0.025387	0.006716	0.015847
School_3	0.178455	-0.036653	-0.012094	0.02527	0.015005	-0.005312
School_4	0.00252	-0.004071	-0.041702	-0.03568	0.001362	-0.011624
School_5	0.088715	-0.026835	-0.047487	-0.001622	0.006392	0.00003
School_6	0.081844	-0.018477	-0.02649	0.002619	0.006704	-0.002505

```
In [335... df = df_compare_c_20vs30
    colormap = 'Blues'
    chart_c_20vs30 = chart_compare_transfer_learning(df, list_schools, colormap)
```





In [336...
list\_school\_df\_c = [df\_UEDU\_c, df\_UNUR\_c, df\_UKIN\_c, df\_UBA\_c, df\_UENG\_c, df\_ULSA\_c]
df\_result\_c\_15 = table\_transfer(list\_school\_df\_c, 0.15)
df\_result\_c\_15

Out[336]:

	School_1	School_2	School_3	School_4	School_5	School_6
School_1	0.6	0.523588	0.487615	0.574878	0.527159	0.541089
School_2	0.645288	0.525624	0.434357	0.465882	0.550054	0.519991
School_3	0.939267	0.509066	0.585578	0.522936	0.576422	0.565962
School_4	0.58377	0.639954	0.535092	0.605287	0.577453	0.586146
School_5	0.831414	0.495544	0.579464	0.558575	0.612886	0.617512
School_6	0.727225	0.570841	0.607518	0.571921	0.589479	0.652037

In [337... df\_compare\_c\_15vs30 = df\_result\_c\_15 - df\_result\_c\_30
df\_compare\_c\_15vs30

Out[337]:

		School_1	School_2	School_3	School_4	School_5	School_6
S	chool_1	0.054777	-0.016798	0.02195	0.036333	-0.017064	0.00408
Sc	hool_2	0.126243	-0.060325	-0.047346	-0.003803	0.012134	-0.002606
Sc	chool_3	0.285537	-0.087995	-0.053543	0.050154	0.002537	-0.011664
Sc	hool_4	-0.066885	0.03665	-0.028332	-0.038785	-0.005783	-0.013537
Sc	hool_5	0.109228	-0.119823	0.014486	0.013929	-0.001352	0.006688
Sc	chool_6	-0.033573	-0.042787	-0.009155	0.020438	-0.006904	0.002251

```
In [338...
df = df_compare_c_15vs30
colormap = 'Purples'
chart_c_15vs30 = chart_compare_transfer_learning(df, list_schools, colormap)
```

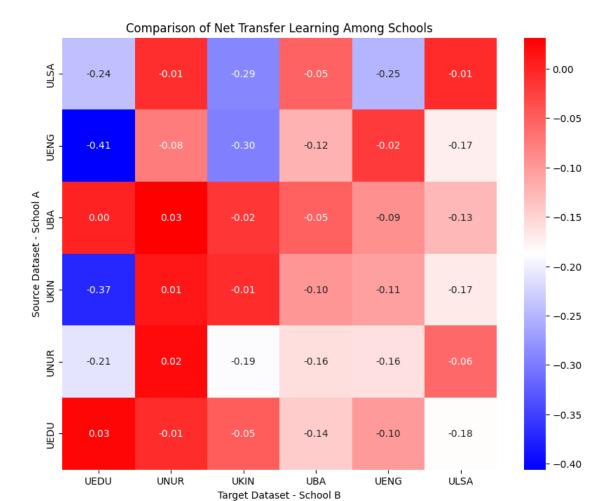


1). We comapre the split size from original setting 0.3 with the 0.2 or 0.15. We found no matter it's in the "Withdraw" or "Cancel" Target Varaible, 0.2 all performs the best in comparison to 0.3 or 0.15

# 4.5 PART V: TRANSFER LEARNING BETWEEN SCHOOLS WITH OVERSAMPLE STRATEGY

```
In [339... def transfer_model_oversample(df_A, df_B, size_A, size_B, strategy_A):
              # load and preprocess Dataset A
             X_train_A, X_test_A, y_train_A, y_test_A = data_for_model(df = df_A, test_size = size_A)
             if strategy_A == True:
                 # apply SMOTE to Dataset A training set
                 smote = SMOTE(random_state=RANDOM_SEED)
                 # ada = ADASYN(random_state=RANDOM_SEED)
                 X_train_A_smote, y_train_A_smote = smote.fit_resample(X_train_A, y_train_A)
                 # X_train_A_ada, y_train_A_ada = ada.fit_resample(X_train_A, y_train_A)
                 # train model on Dataset A after applying SMOTE
                 model = GradientBoostingClassifier(random_state=RANDOM_SEED)
                 model.fit(X_train_A_smote, y_train_A_smote)
                 # model.fit(X_train_A_ada, y_train_A_ada)
             elif strategy_A == False:
                 # train model on Dataset A
                 model = GradientBoostingClassifier(random_state=RANDOM_SEED)
                 model.fit(X_train_A, y_train_A)
             # load and preprocess Dataset B
             X_train_B, X_test_B, y_train_B, y_test_B = data_for_model(df = df_B, test_size = size_B)
             y_pred_B = model.predict(X_test_B)
             y_pred_probs_B = model.predict_proba(X_test_B)[:, 1]
             stratified_kfold = StratifiedKFold(n_splits=5, shuffle=True, random_state = RANDOM_SEED)
```

```
scores = cross_val_score(model, X_train_B, y_train_B, cv=stratified_kfold, scoring='roc_auc')
             auroc_B = roc_auc_score(y_test_B, y_pred_probs_B)
             # calculate AUROC
             auroc_B = roc_auc_score(y_test_B, y_pred_probs_B)
              return auroc_B
In [340... def table_transfer_oversample(list_school_df, size):
             num_schools = len(list_school_df)
             # initialize an empty DataFrame with proper dimensions and labels
             df_results = pd.DataFrame(index=[f'School_{i+1}' for i in range(num_schools)],
                                        columns=[f'School_{j+1}' for j in range(num_schools)])
             for i, df_A in enumerate(list_school_df):
                  for j, df_B in enumerate(list_school_df):
                      # call the transfer_model function with df_A and df_B
                      result = transfer_model_oversample(df_A, df_B, size, size, strategy_A)
                     # store the result in the DataFrame
                     df_results.iloc[i, j] = result
             return df_results
In [341... strategy_A = True
         list school df w = [df UEDU w, df UNUR w, df UKIN w, df UBA w, df UENG w, df ULSA w]
         df_result_w_smote_t = table_transfer_oversample(list_school_df_w, 0.2)
         df_result_w_smote_t
                   School_1 School_2 School_3 School_4 School_5 School_6
           School_1 0.869071 0.677394 0.615447 0.423685 0.566256
                                                                 0.40034
          School_2 0.548083 0.722334 0.52547 0.460498 0.455893 0.488164
          School_3 0.475309 0.626795 0.622781
                                                0.4986 0.556894 0.426787
          School_4 0.701105 0.610392 0.485797 0.536073 0.480124 0.460621
          School_5 0.380442 0.594668
                                      0.45967
          School_6 0.582846 0.605686 0.420586 0.565281 0.456595 0.656418
In [342... strategy_A = False
         df_result_w_f = table_transfer_oversample(list_school_df_w, 0.2)
         df_result_w_f
Out [342]:
                   School 1 School 2 School 3 School 4 School 5 School 6
           School_1 0.844055 0.684657 0.663451 0.567224 0.667596 0.584776
          School_2 0.758609 0.700299 0.715295 0.619647 0.616617 0.547174
          School_3 0.847303 0.615125 0.630168 0.595699 0.662313
                                                                 0.59616
                    0.69883 0.579026
                                     0.502192
                                               0.58831 0.571826 0.586594
          School_4
          School 5
                  0.78655 0.669695 0.665365 0.604123 0.69162 0.631851
          School_6 0.824237 0.615098 0.710645 0.618641 0.707644 0.661655
In [343... df_w_smote = df_result_w_smote_t - df_result_w_f
         df w smote
                    School_1 School_2 School_3 School_4 School_5 School_6
           School_1 0.025016 -0.007263 -0.048004 -0.143539
                                                          -0.10134 -0.184436
          School_2 -0.210526  0.022035  -0.189825  -0.159149  -0.160724
                                                                   -0.05901
          School_3 -0.371995
                               0.01167 -0.007387 -0.097099 -0.105418 -0.169373
          School_4 0.002274 0.031366 -0.016396 -0.052238 -0.091702 -0.125973
          School_5 -0.406108 -0.075027 -0.296144 -0.121873 -0.019408
                                                                    -0.17218
          School_6 -0.241391 -0.009412 -0.290059 -0.05336 -0.251049 -0.005237
In [370... df = df_w_smote
         colormap = 'bwr'
         chart_w_smote = chart_net_transfer_learning(df, list_schools, colormap)
```



In [345...
strategy\_A = True
list\_school\_df\_c = [df\_UEDU\_c, df\_UNUR\_c, df\_UKIN\_c, df\_UBA\_c, df\_UENG\_c, df\_ULSA\_c]
df\_result\_c\_smote\_t = table\_transfer\_oversample(list\_school\_df\_c, 0.2)
df\_result\_c\_smote\_t

 School\_1
 School\_2
 School\_3
 School\_4
 School\_5
 School\_6

 School\_1
 0.649600
 0.496812
 0.465894
 0.471687
 0.501718
 0.472052

 School\_2
 0.71752
 0.614847
 0.401486
 0.521364
 0.499117
 0.534126

 School\_3
 0.461614
 0.470638
 0.559443
 0.467383
 0.469527
 0.432283

 School\_4
 0.516609
 0.495919
 0.512372
 0.555181
 0.446214
 0.479481

 School\_5
 0.365404
 0.440343
 0.495141
 0.486188
 0.605539
 0.437216

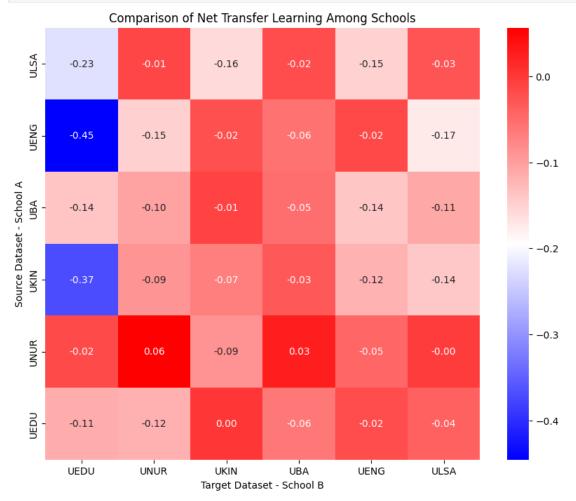
 School\_6
 0.617372
 0.582399
 0.432546
 0.534819
 0.452987
 0.620652

In [346... strategy\_A = False
 df\_result\_c\_f = table\_transfer\_oversample(list\_school\_df\_c, 0.2)
 df\_result\_c\_f

Out[346]: School\_1 School\_2 School\_3 School\_4 School\_5 School\_6 School\_1 0.761934 0.613751 0.462754 0.533516 0.521817 0.511438 0.5585 School\_2 0.73499 **School\_3** 0.832185 0.560409 0.627026 0.498052 0.588889 0.572314 School\_4 0.653174 0.599232 0.521722 0.608392 0.584597 0.58806 **School\_5** 0.810901 0.588532 0.517491 0.543024 0.620631 0.610854 **School\_6** 0.842643 0.595151 0.590183 0.554102 0.603087 0.647281

```
Out[347]:
                     School_1 School_2 School_3 School_4 School_5 School_6
            School_1 -0.112328 -0.116939
                                          0.00314
                                                   -0.061829 -0.020099 -0.039386
                      -0.01747 0.056347 -0.087244
                                                   0.026292
                                                              -0.04552 -0.004317
           School_2
                    -0.370571 -0.08977 -0.067584 -0.030669
           School_3
                                                            -0.119362 -0.140032
           School_4 -0.136565 -0.103314
                                         -0.00935
                                                   -0.053211 -0.138383 -0.108579
           School_5 -0.445497 -0.148189
                                         -0.02235 -0.056837 -0.015092 -0.173638
                                                                -0.1501 -0.026629
           School_6 -0.225271 -0.012752 -0.157637 -0.019282
```

```
In [371...
df = df_c_smote
colormap = 'bwr'
chart_c_smote = chart_net_transfer_learning(df, list_schools, colormap)
```



- 1). We comapre when we use the SMOTE to dataset A to see how it performs after tarnsfer learning, we found there's no very significant better after SMOTE applied.
- 2). It's specifically getting worse when the UEDU, the smallest school got applied. Likely the smallest to-be-transferred dataset is sensitive from the source if applied by SMOTE.