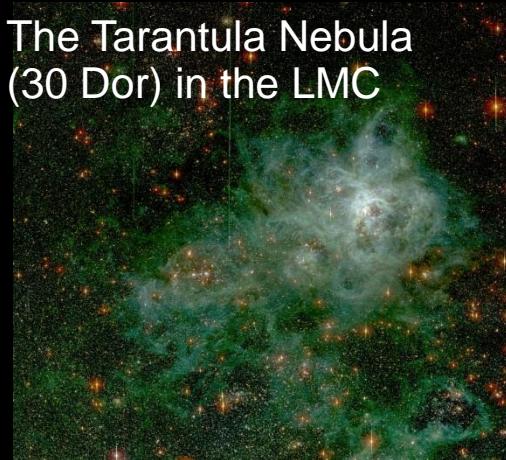


Radiative processes, stellar atmospheres and winds

Master of Science in Astrophysics – P5.0.2

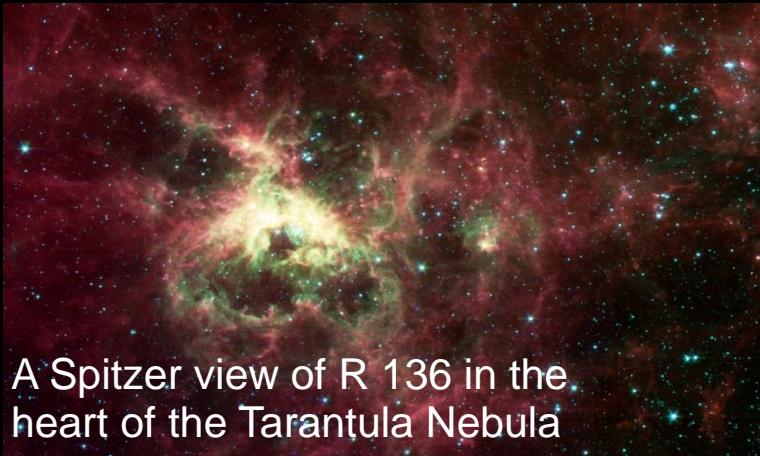
Master of Science in Physics with main focus on Astrophysics – P4.0.5, P5.2.5, P6.0.5



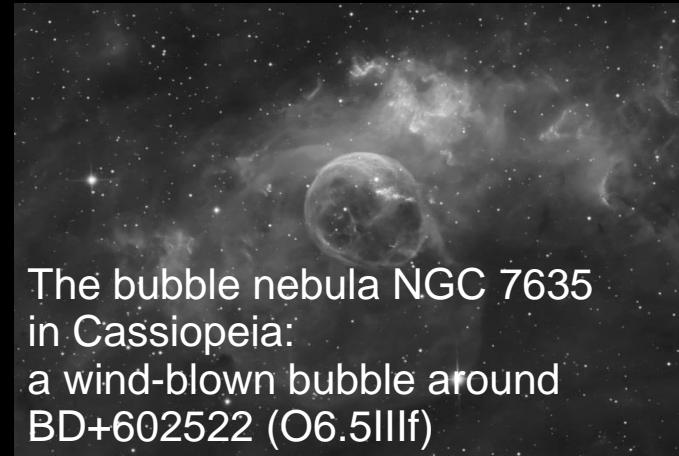
The Tarantula Nebula
(30 Dor) in the LMC



The wind-blown bubble
N44F in the LMC



A Spitzer view of R 136 in the
heart of the Tarantula Nebula



The bubble nebula NGC 7635
in Cassiopeia:
a wind-blown bubble around
BD+602522 (O6.5IIIIf)

Content

Part I

1. Prelude: What are stars good for? A brief tour through present hot topics (not complete, personally biased)
2. Quantitative spectroscopy: the astrophysical tool to measure stellar and interstellar properties
3. The radiation field: specific and mean intensity, radiative flux and pressure, Planck function
4. Coupling with matter: opacity, emissivity and the equation of radiative transfer (incl. angular moments)
5. Radiative transfer: simple solutions, spectral lines and limb darkening
6. Stellar atmospheres: basic assumptions, hydrostatic, radiative and local thermodynamic equilibrium, temperature stratification and convection
7. Microscopic theory
 1. Line transitions: Einstein-coefficients, line-broadening and curve of growth, continuous processes and scattering
 2. Ionization and excitation in LTE: Saha- and Boltzmann-equation
 3. Non-LTE: motivation and introduction

Part II

Intermezzo: Stellar Atmospheres in practice
A tour de modeling and analysis of stellar atmospheres throughout the HRD

8. Stellar winds – an overview
9. Line driven winds of hot stars – the standard model
 1. Radiative line-driving and line-statistics
 2. Theoretical predictions for line-driven winds (incl. wind-momentum luminosity relation)
10. Quantitative spectroscopy: stellar/atmospheric parameters and how to determine them, for the exemplary case of hot stars

Literature

- Carroll, B.W., Ostlie, D.A., "An Introduction to Modern Astrophysics", 2nd edition, Pearson International Edition, San Francisco, 2007, Chap. 3,5,8,9
- **Mihalas, D.**, "Stellar atmospheres", 2nd edition, Freeman & Co., San Francisco, 1978 (3rd edition - together with I. Hubeny – to appear in the near future)
- Unsöld, A., "Physik der Sternatmosphären", 2nd edition, Springer Verlag, Heidelberg, 1968
- Shu, F.H., "The physics of astrophysics, Volume I: radiation", University science books, Mill Valley, 1991
- Rybicki, G.B., Lightman, A., "Radiative Processes in Astrophysics", New York, Wiley, 1979
- Osterbrock, D.E., "Astrophysics of Gaseous Nebulae and Active Galactic Nuclei", University science books, Mill Valley, 1989
- Mihalas, D., Weibel Mihalas, B., "Foundations of Radiation Hydrodynamics", Oxford University Press, New York, 1984
- Cercignani, C., "The Boltzmann Equation and Its Applications", Appl. Math. Sciences 67, Springer, 1987
- Kudritzki, R.-P., Hummer, D.G., "Quantitative spectroscopy of hot stars", Annual Review of Astronomy and Astrophysics, Vol. 28, p. 303, 1990
- Sobolev, V.V., "Moving envelopes of stars", Cambridge: Harvard University Press, 1960
- Kudritzki, R.-P., Puls, J., "Winds from hot stars", Annual Review of Astronomy and Astrophysics, Vol. 38, p. 613, 2000
- Puls, J., Vink, J.S., Najarro, F., "Mass loss from hot massive stars", Astronomy & Astrophysics Review 16, ISSUE 3, p. 209, Springer, 2008

Chap.1 – Prelude

- cosmology, galaxies, dark energy, dark matter, ...

What are stars good for?

- ... and who cares for radiative transfer and stellar atmospheres?
- remember
 - galaxies consist of stars (and gas, dust)
 - most of the (visible) light originates from stars
 - astronomical experiments are (mostly) observations of light:
have to understand how it is created and transported

The cosmic circuit of matter

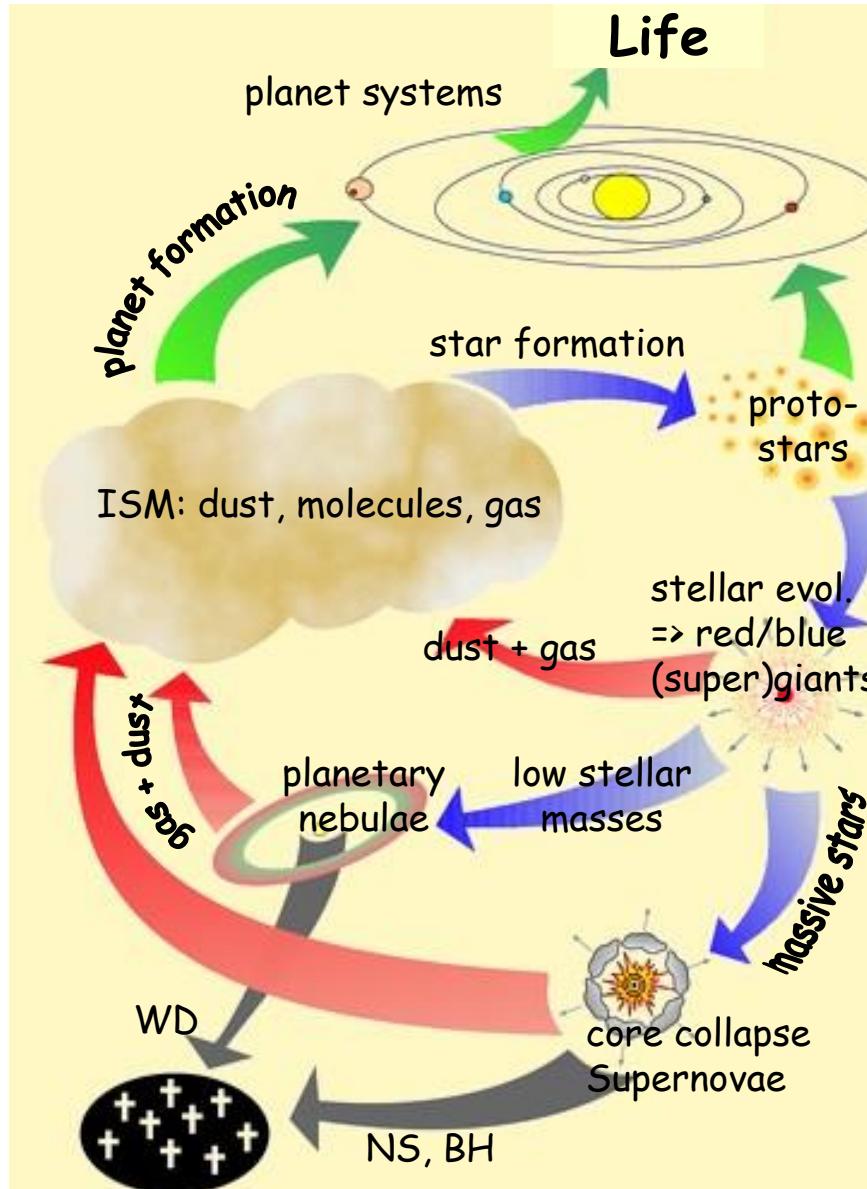
What are stars good for?

- Us!
- (whether this is *really* good, is another question...)

Joni Mitchell - Woodstock (1970!)

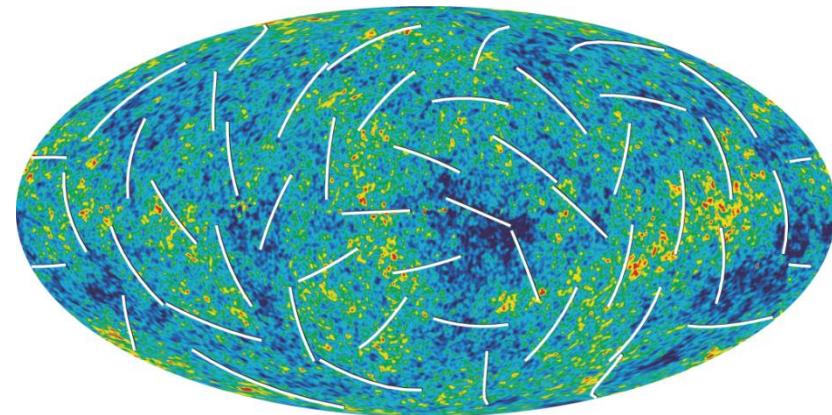
"... We are stardust

Billion year old carbon..."



adapted from <http://astro.physik.tu-berlin.de/~sonja/Materiekreislauf/index.html>

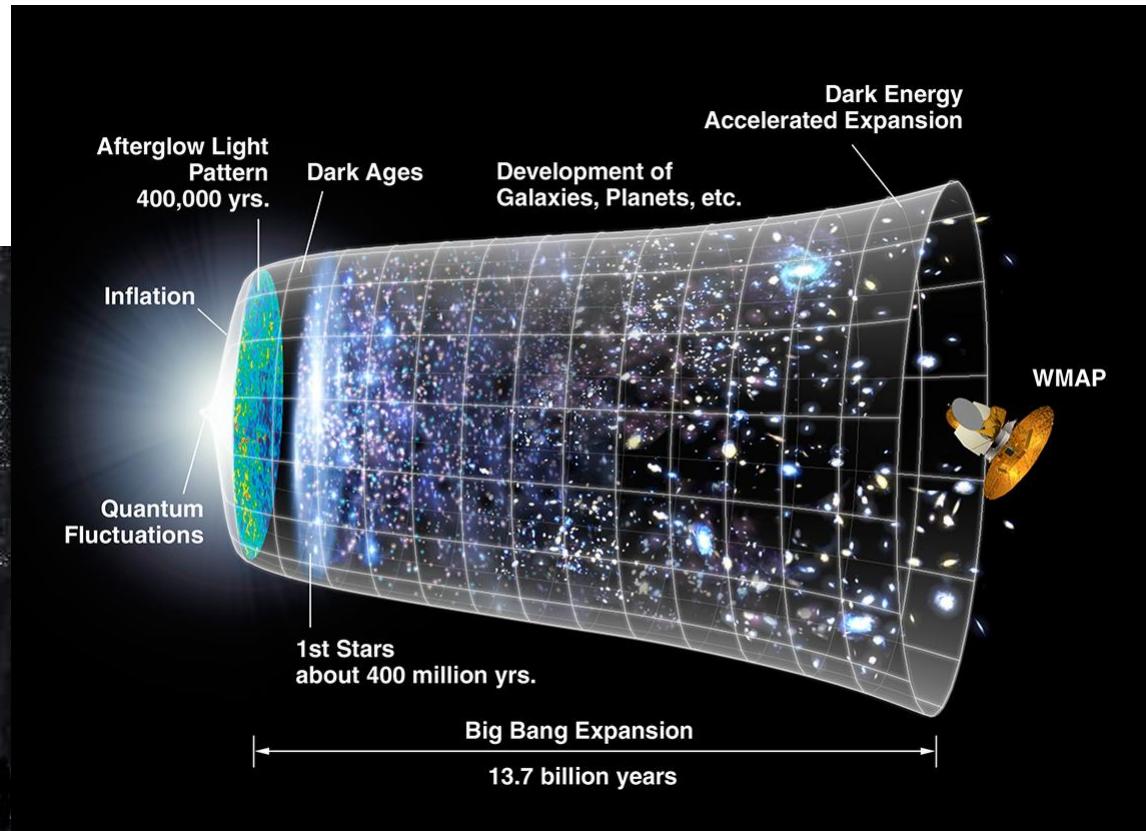
First stars and reionization



credit: NASA/WMAP Science Team



WMAP = Wilkinson Microwave Anisotropy Probe
color coding: ΔT range $\pm 200 \mu\text{K}$, $\Delta T/T \sim \text{few } 10^{-5}$
=> “anisotropy” of last scattering surface (before recomb.)
white bars: polarization vector
=> CMB photons scattered at electrons (reionized gas)

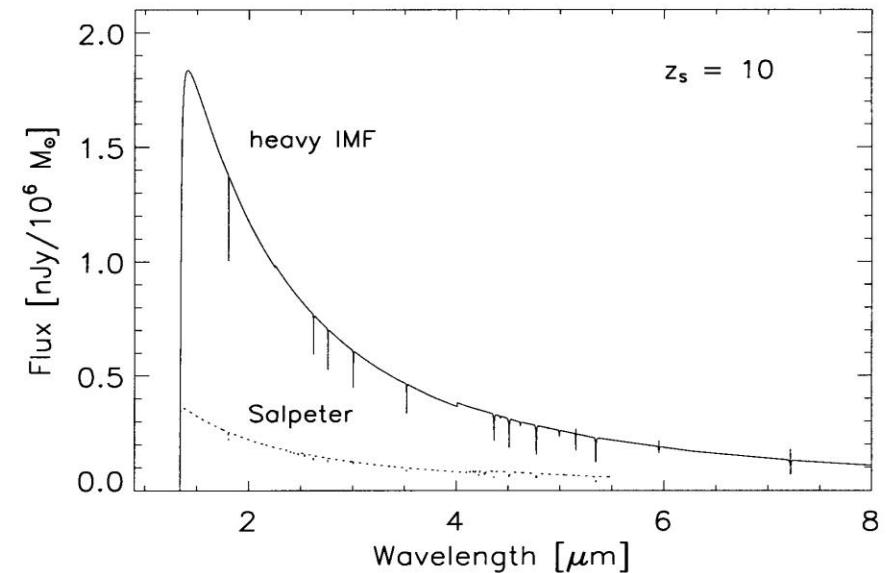


The first stars ...

- begin of reionization: $z \approx 11$ (from WMAP, polarization, assuming instantaneous reionization) to $z \approx 15 \dots 30$ (modeling)
- complete (for hydrogen) at $z \sim 6.0$
- quasars alone not capable to reionize universe at that high redshift ($z > 6$) since rapid decline in space density for $z > 3$ (Madau et al. 1999, ApJ 514, Fan et al. 2006, ARA&A 44)

Bromm et al. (2001, ApJ 552)

- (almost) metal free: Pop III
- very massive stars (VMS) with $1000 M_{\odot} > M > 100 M_{\odot}$
- hotter ($\approx 10^5$ K), more compact
- $L \propto M$, spectrum almost BB,
- large H/He ionizing fluxes: 10^{48} (10^{47}) H (He) ionizing photons per second *and solar mass*
- assume that primordial IMF favours formation of VMS



**IF heavy IMF,
then capable to reionize universe**
(at least in a first step, cf. Cen 2003, ApJ 591)

see also

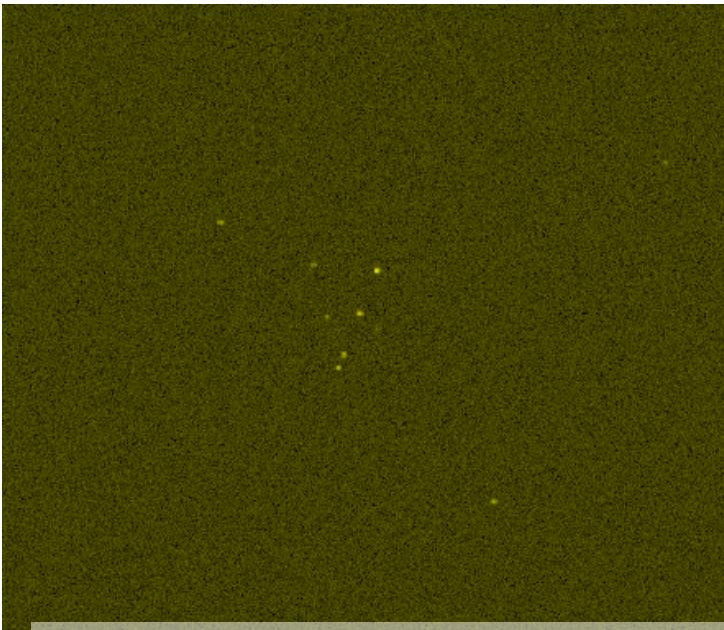
Abel et al. 2000, ApJ 540; Bromm et al. 2002, ApJ 564;
Furialetto & Loeb 2005, ApJ 634; Wise & Abel 2008, ApJ 684;
Johnson et al. 2008, Proc IAU Symp 250 (review); Maio et al.
2009, A&A 503; Maio et al. 2010, MNRAS 407; Weber et al.
2013, A&A 555

... and many more publications

... might be observable in the NIR

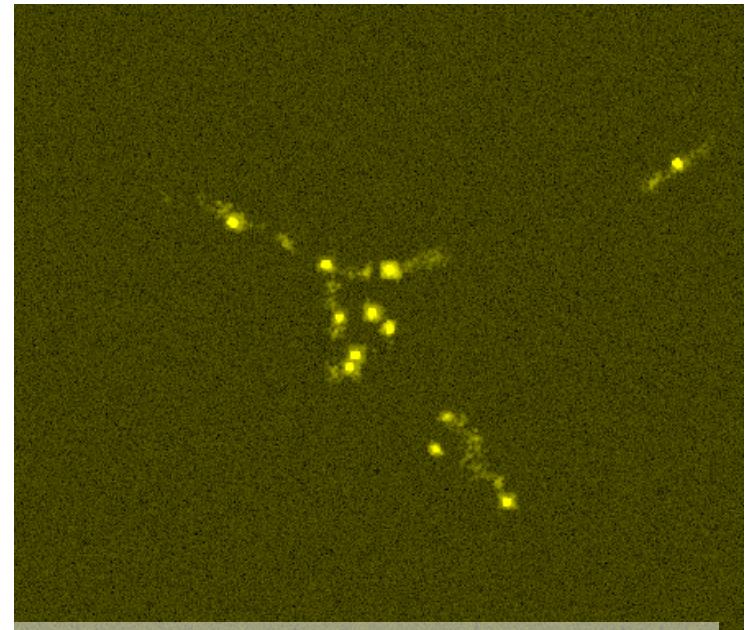
with a $\geq 30\text{m}$ telescope, e.g. via H α $\lambda 1640 \text{\AA}$ (strong ISM recomb. line)

Standard IMF



1 Mpc (comoving)

Heavy IMF, zero metallicity



GSMT Science Working Group Report, 2003, Kudritzki et al.

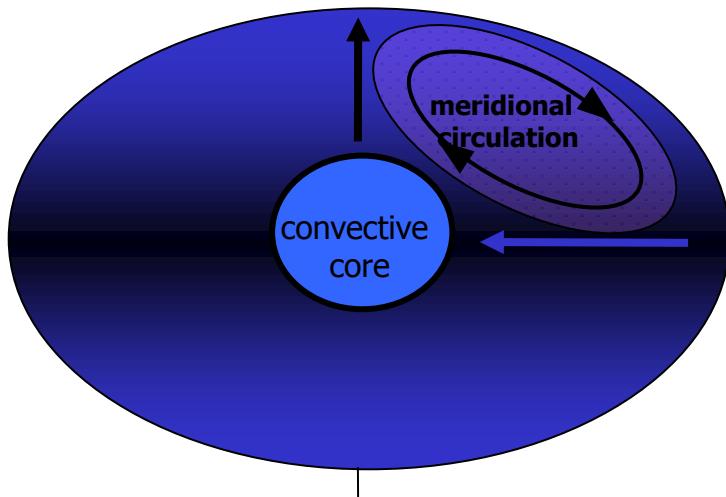
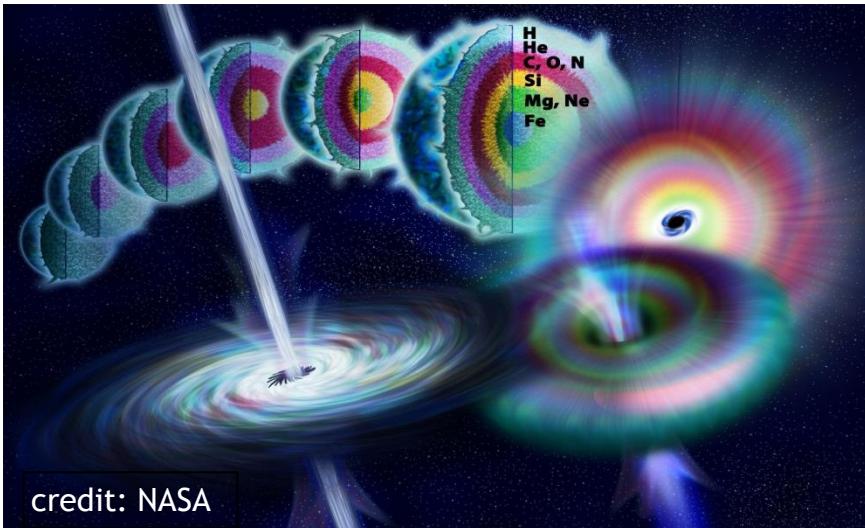
http://www.aura-nio.noao.edu/gsmt_swg/SWG_Report/SWG_Report_7.2.03.pdf

(Hydro-simulations by
Davé, Katz, & Weinberg)

As observed through 30-meter telescope
 $R=3000$, 10^5 seconds (favourable conditions, see
also Barton et al., 2004, ApJ 604, L1)

Long Gamma Ray Bursts

- long: >2s
- Collapsar: death of a massive star



Collapsar Scenario for Long GRB (Woosley 1993)

- massive core (enough to produce a BH)
- removal of hydrogen envelope
- rapidly rotating core (enough to produce an accretion disk)

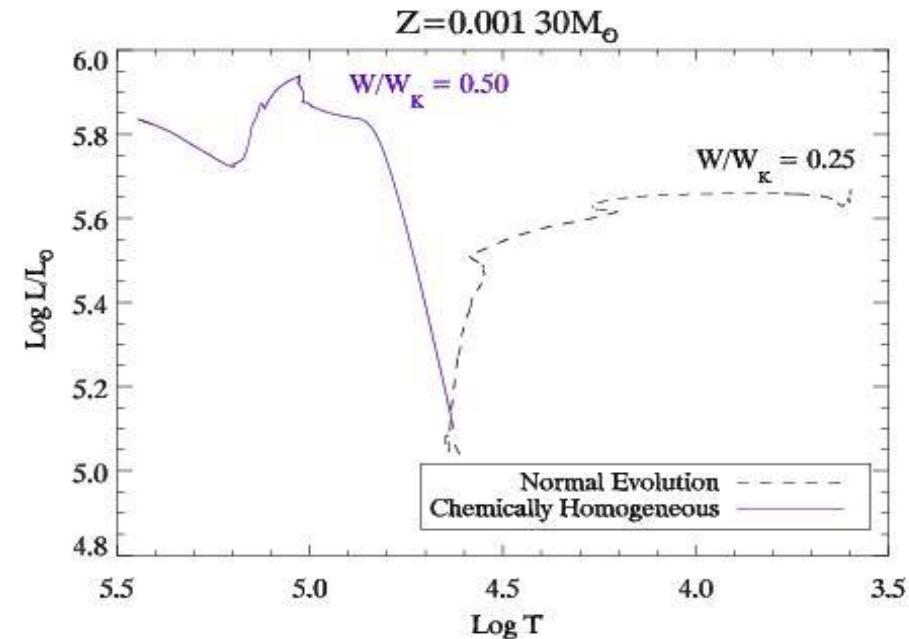
requires chemically homogeneous evolution of rapidly rotating massive star

- pole hotter than equator (von Zeipel)
- rotational mixing due to meridional circulation (Eddington-Sweet)

Chemically Homogeneous Evolution ...

- ...if rotational mixing during main sequence *faster than* built-up of chemical gradients due to nuclear fusion (Maeder 1987)
- blewards evolution directly towards Wolf-Rayet phase (no RSG phase). Due to meridional circulation, envelope and core are mixed -> no hydrogen envelope
- since no RSG phase, higher angular momentum in the core (Yoon & Langer 2005)

W/W_k : rotational frequency in units of critical one



massive stars as progenitors of high redshift GRBs:

- ✓ early work: Bromm & Loeb 2002, Ciardi & Loeb 2001, Kulkarni et al. 2000, Djorgovski et al. 2001, Lamb & Reichart 2000
- ✓ At low metallicity stars are expected to be rotating faster because of weaker stellar winds

Feedback

- massive stars determine energy (kinetic and radiation) and momentum budget of surrounding ISM
- massive stars have winds with different strengths, in dependence of evolution status
- massive stars enrich environment with metals, via winds and SNe, determine chemo-dynamical evolution of Galaxies (exclusively before onset of SNe Ia)

→ “FEEDBACK”



Bubble Nebula
(NGC 7635)
in Cassiopeia

wind-blown
bubble around
BD+602522
(O6.5IIIIf)

Asteroseismology: Revealing the internal structure

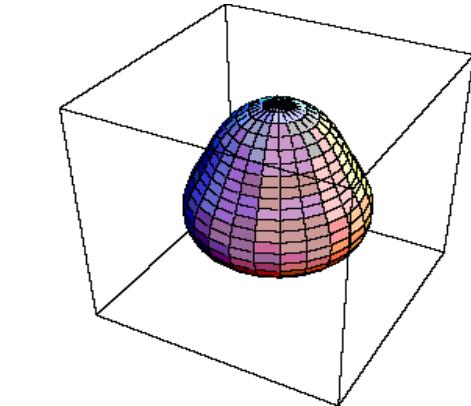
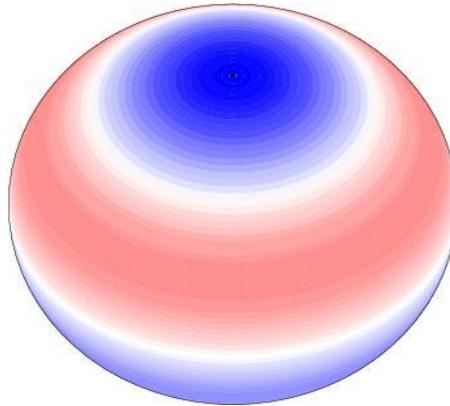
- non-radial pulsations: examples for different models

following slides adapted
from C. Aerts (Leuven)

Blue: Moving towards Observer

$$(l,m)=(3,0)$$

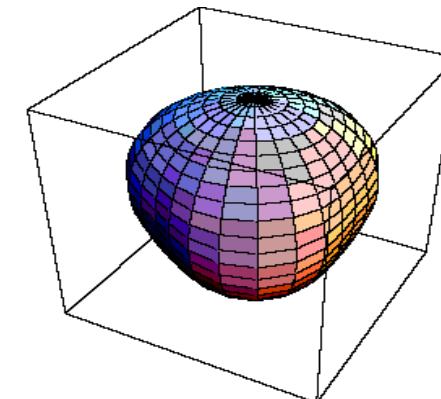
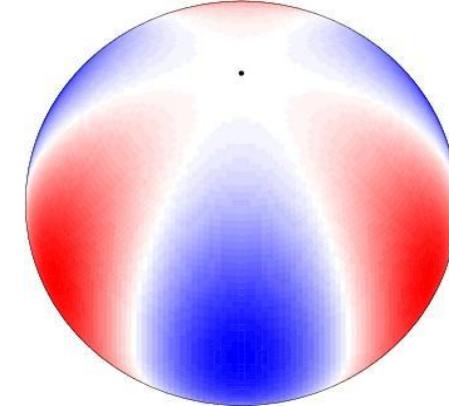
axisymmetric



Red: Moving away from Observer

$$(l,m)=(3,3)$$

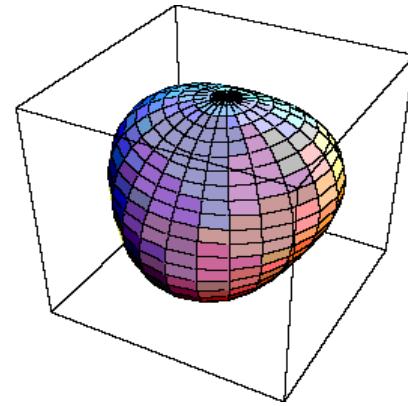
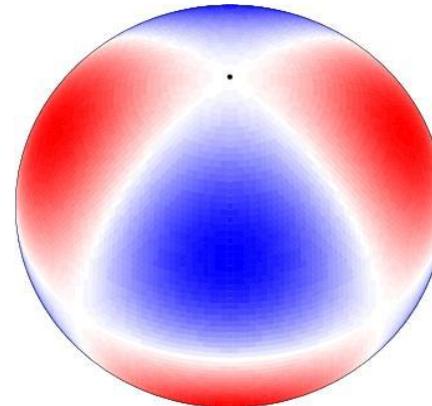
sectoral



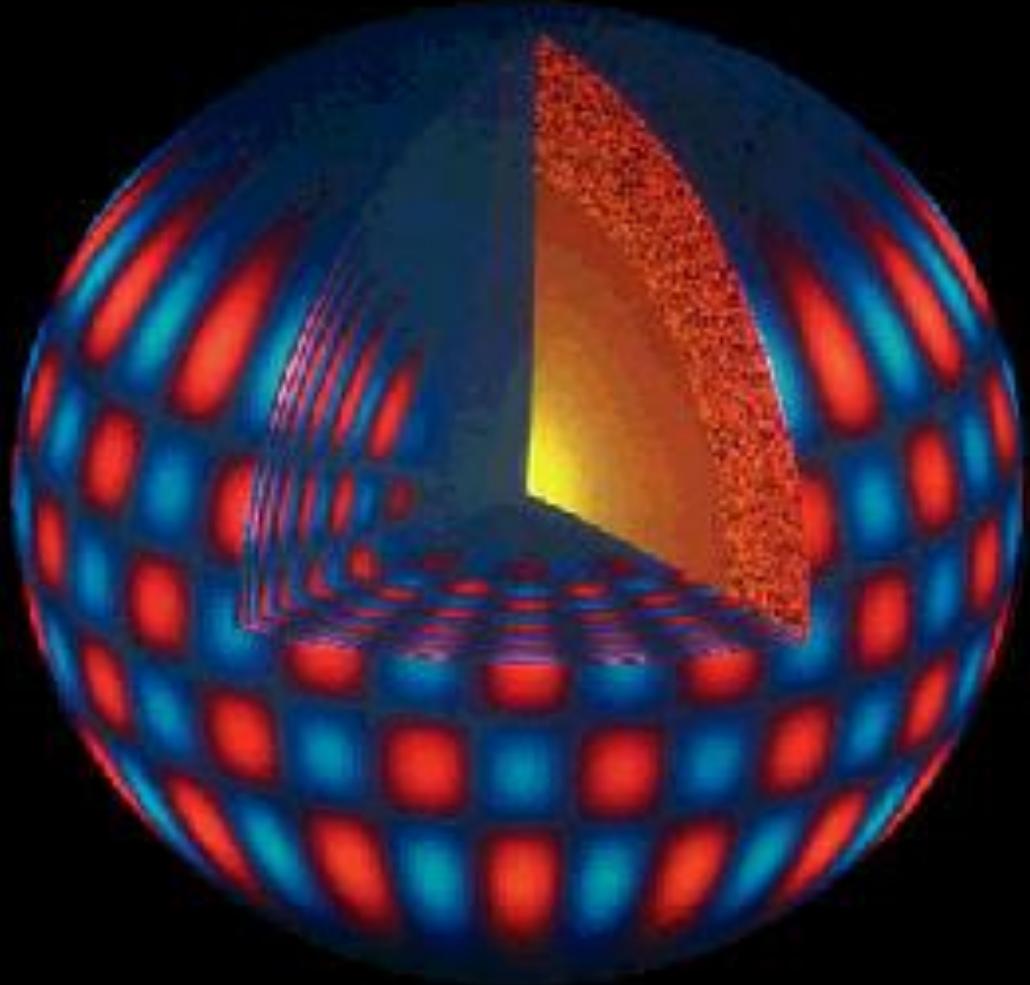
l : nonradial degree, m : azimuthal order

$$(l,m) = (3,2)$$

tesseral



Internal behaviour of the oscillations



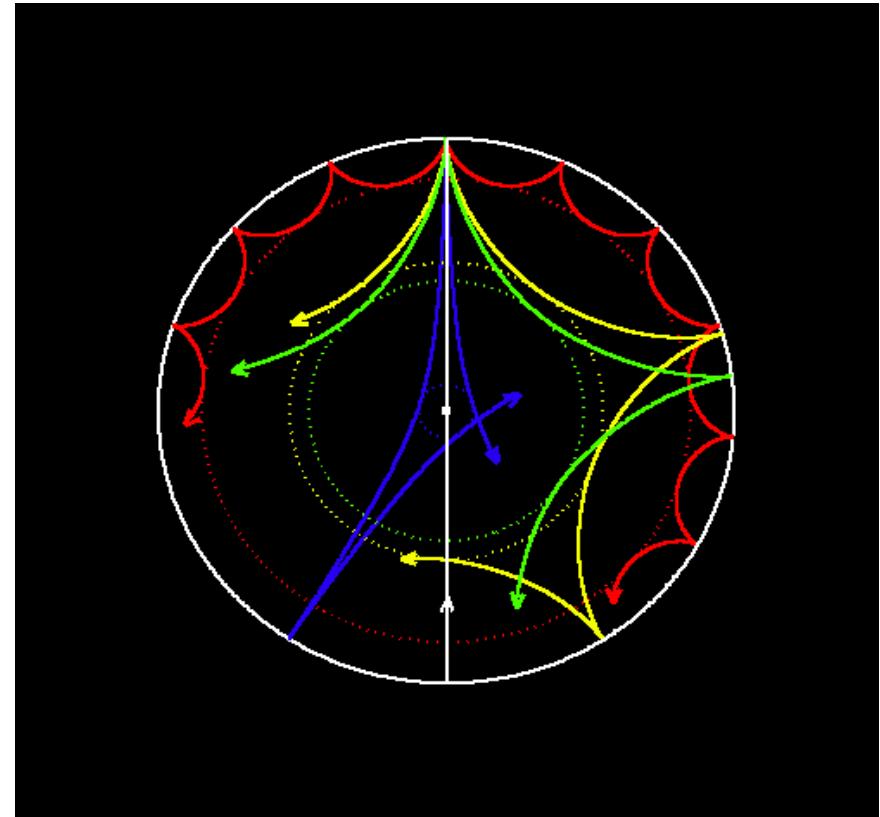
The oscillation pattern at the surface propagates in a continuous way towards the stellar centre.

Study of the surface patterns hence allows to characterize the oscillation throughout the star.

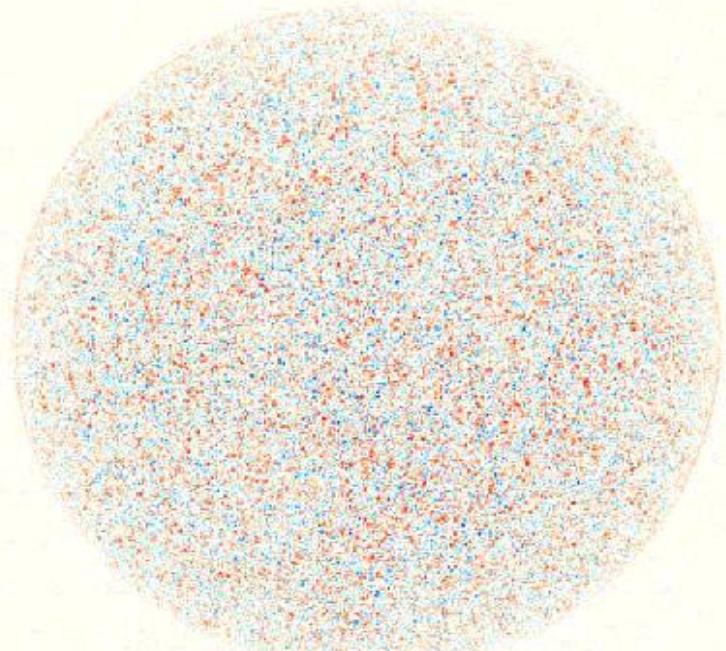
Inversion of the frequencies

The oscillations are standing sound waves that are reflected within a cavity

Different oscillations penetrate to different depths and hence probe different layers



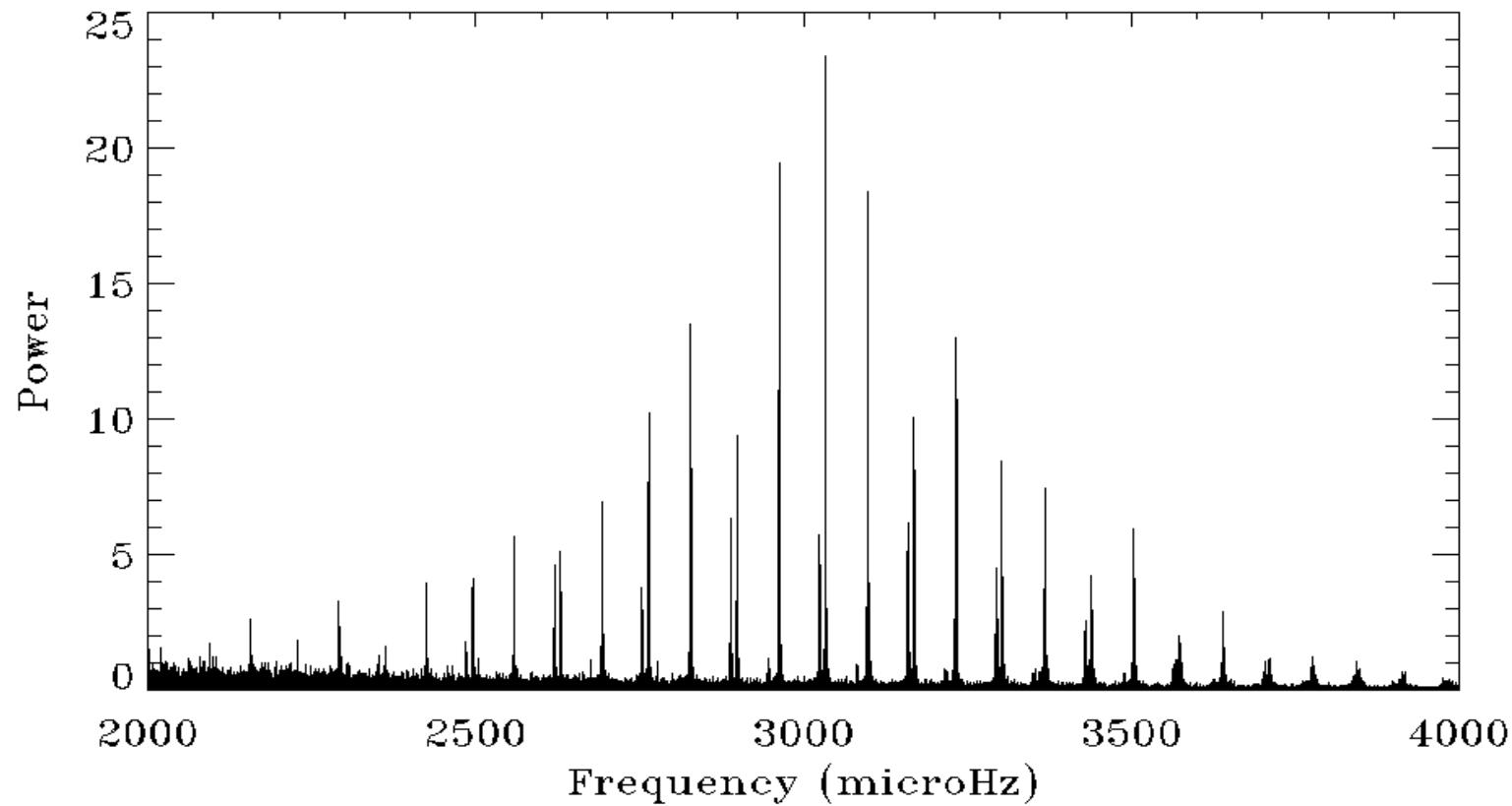
Doppler map of the Sun



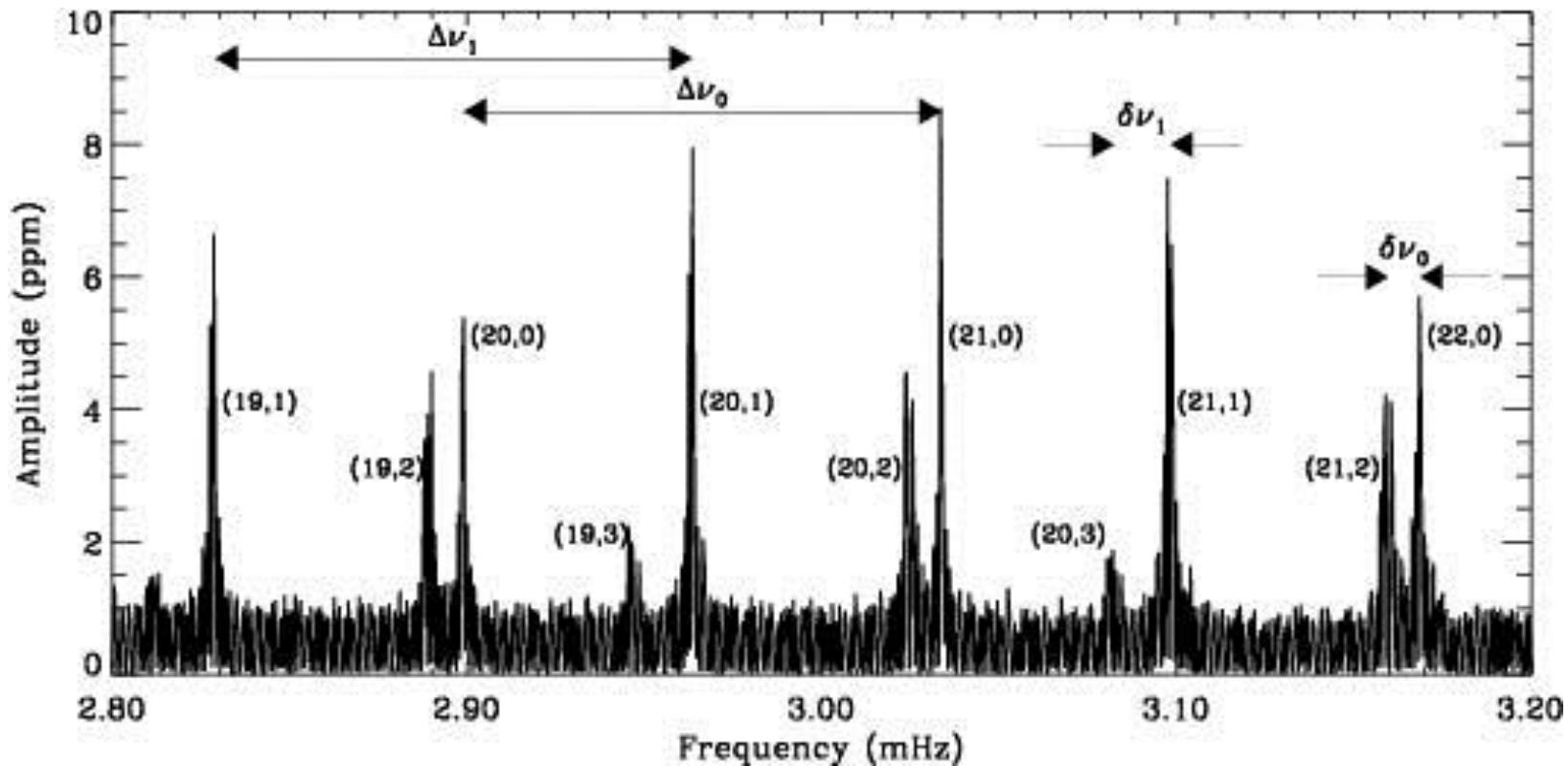
The Sun oscillates in thousands of non-radial modes with periods of ~5 minutes

The Dopplermap shows velocities of the order of some cm/s

Solar frequency spectrum from ESA/NASA satellite SoHO: systematics !



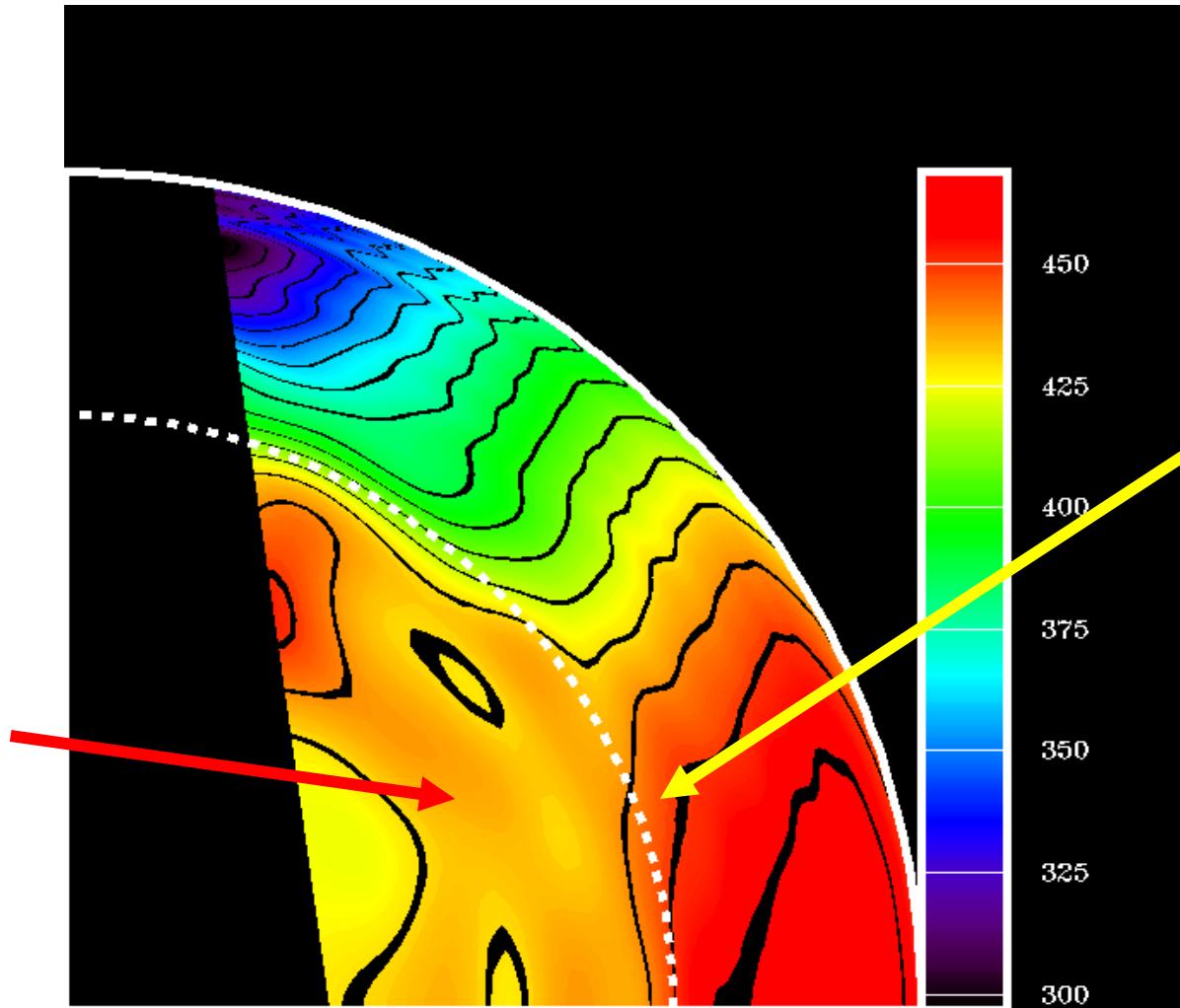
Frequency separations in the Sun



Result: internal sound speed and internal rotation could be determined very accurately by means of helioseismic data from SoHO

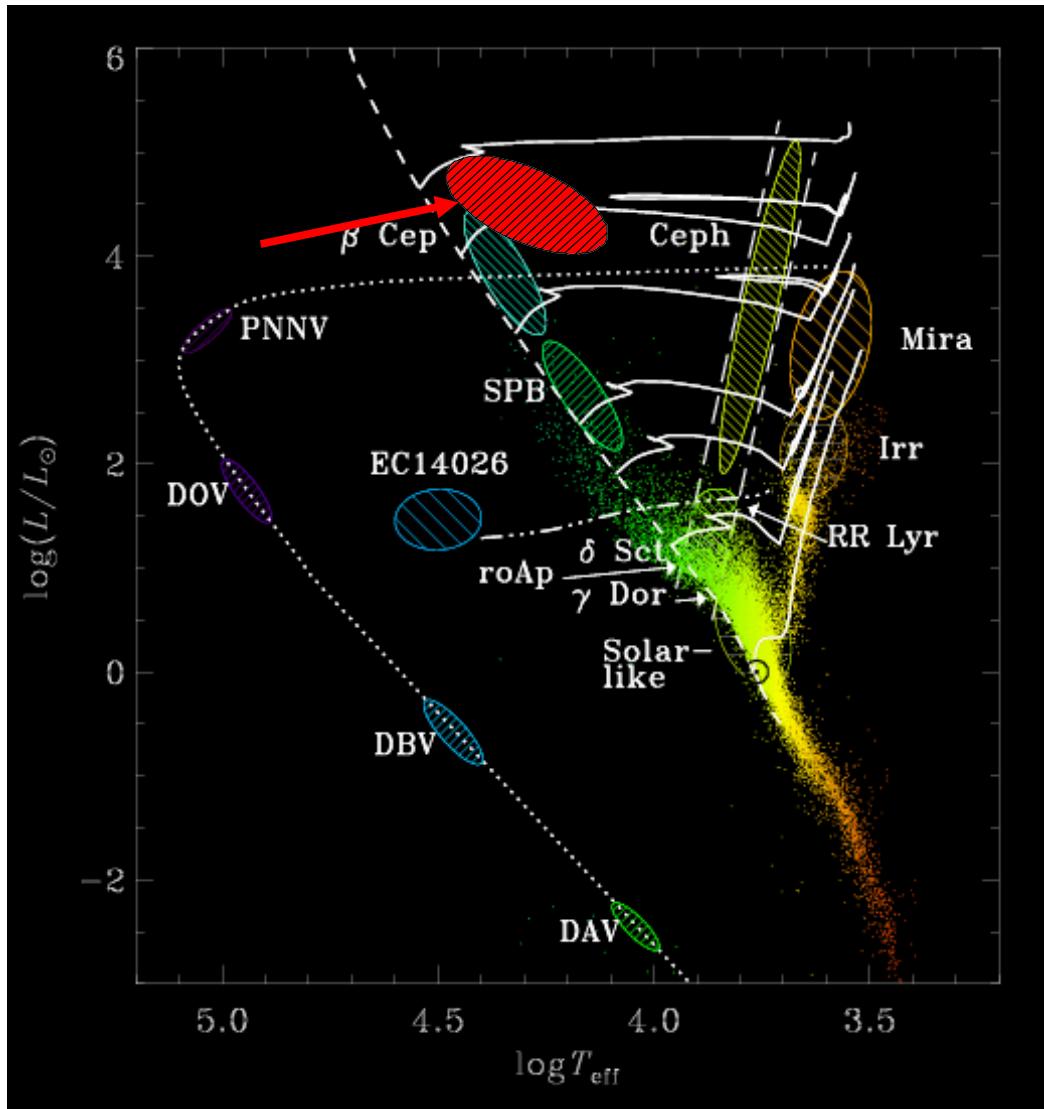
Internal rotation of the Sun

Solar interior has rigid rotation



Beginning
of outer
convection
zone

... towards massive star seismology



- β Cep:
low order p- and g-modes
 - SPB
slowly pulsating B-stars
high order g-modes
 - Hipparcos:
29 periodically variable
B-supergiants
(Waelkens et al. 1998)
 - no instability region predicted at that time
 - nowdays: additional region for high order g-mode instability
- asteroseismology of evolved massive stars becomes possible

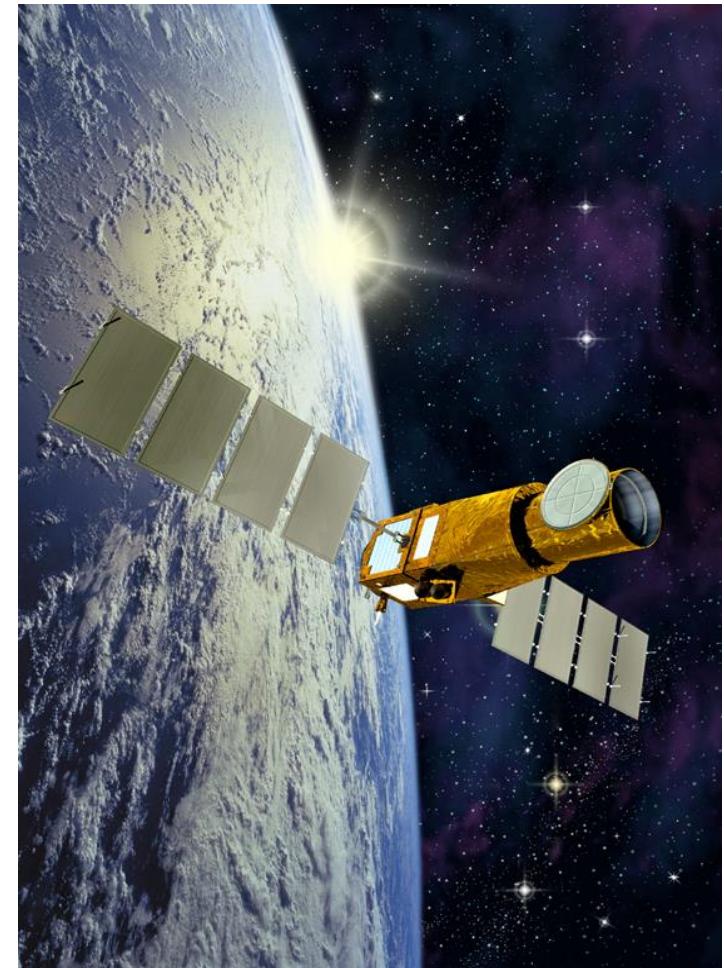
Space Asteroseismology

MOST: Canadian mission (15 cm)
launched in June 2003

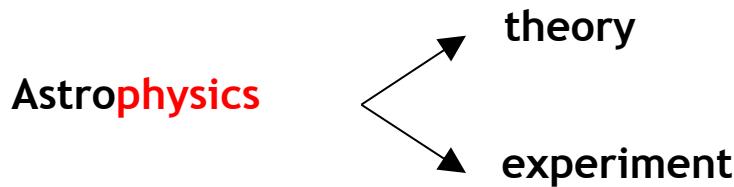
COROT: COnvection ROtation and
planetary Transits

French-European mission
(27cm), launched December 2006

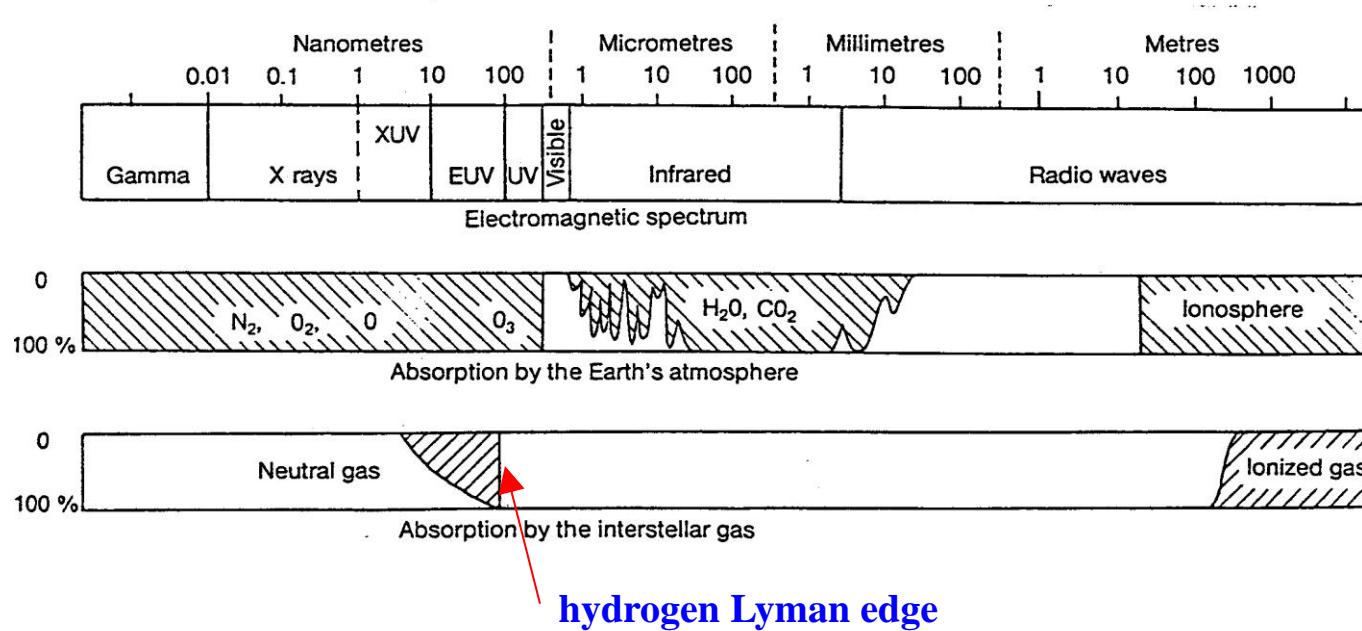
Kepler : NASA mission (1.2m),
launched March 2009



Chap. 2 – Quantitative spectroscopy



Experiment in astrophysics = Collecting photons from cosmic objects



$$1 \text{ \AA} = 10^{-8} \text{ cm} = 10^{-4} \mu\text{m} \text{ (micron)}; \quad 1 \text{ nm} = 10 \text{ \AA}$$

Collecting: earthbound and via satellites!

Note: Most of these photons originate from the atmospheres of **stellar**(-like) objects.

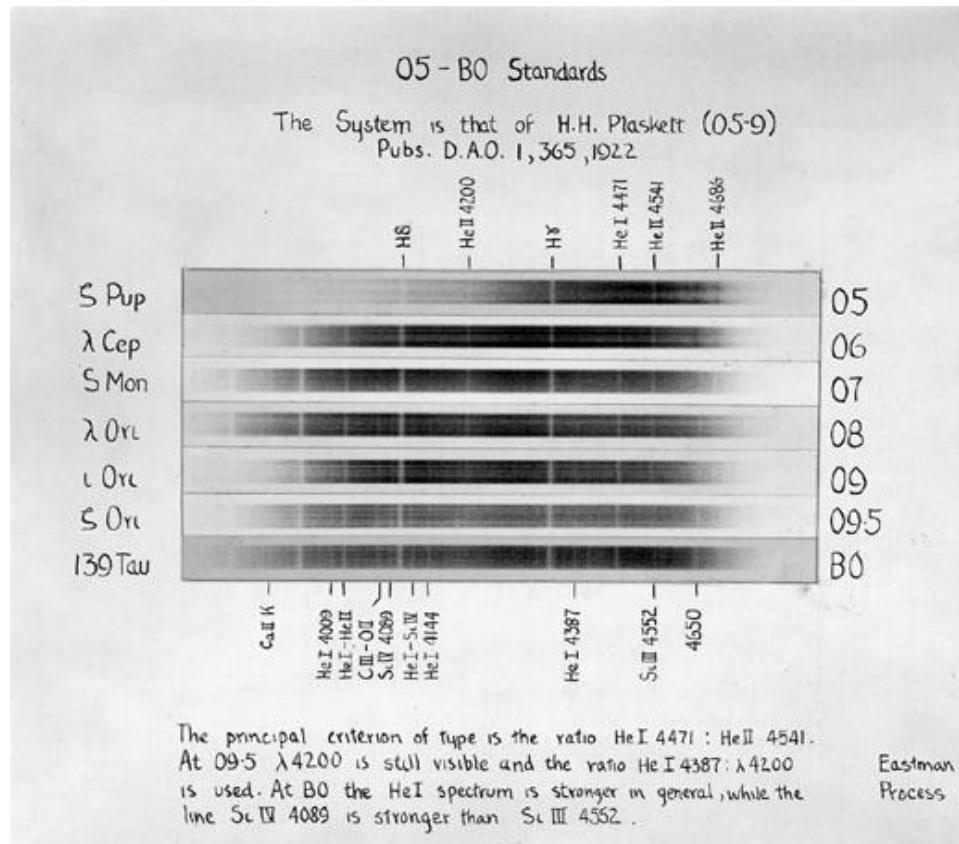
Even galaxies consist of stars!

Astrophys. monographs, Univ. Chicago Press (1943)

AN ATLAS OF STELLAR SPECTRA

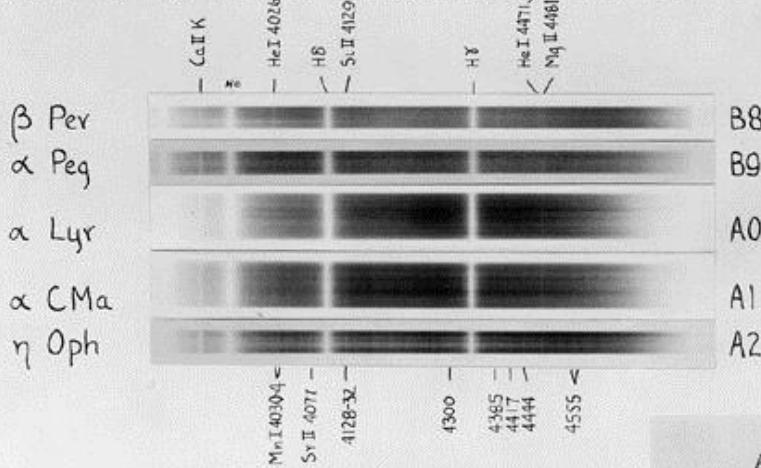
WITH AN OUTLINE OF SPECTRAL CLASSIFICATION

Morgan, Keenan, Kellman



Main Sequence B8-A2

He I 4026, which is equal in intensity to K in the B8 dwarf β Per., becomes fainter at B9 and disappears at A0. In the B9 star α Peg He I 4026 = Sc II 4129. He I 4471 behaves similarly to He I 4026.

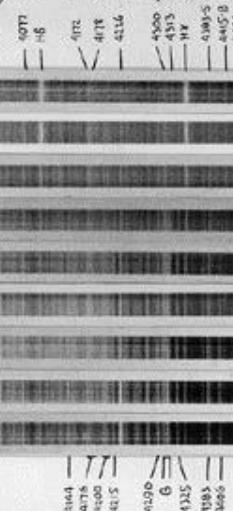


The singly ionized metallic lines are progressively stronger in α Lyr than in η Oph. The spectral type is determined by the intensity ratios: B8-B9: He I 4026: Ca II K, He I 4026: Sc II 4129, He I 4471: Mg II 4481: 4385, Sc II 4129: Mn I 4030-4.

Empirical system => Physical system

Supergiants F0-K5

Accurate spectral types of supergiants cannot be determined by direct comparison with normal giants and dwarfs. It is advisable to compare supergiants with a standard sequence of stars of similar luminosity. Useful criteria are: Intensity of H lines (F0-G5), change in appearance



of G-band (F0-K5), growth of λ 4226 relative to Hx (F5-K5), growth of the blend at λ 4406 (G5-K5), and the relative intensity of the two blends near λ 4200 and λ 4176 (K1-K5). The last-named blend degenerates into a line at K5.
(Cramer Hi-Speed Spectra)

Digitized spectra

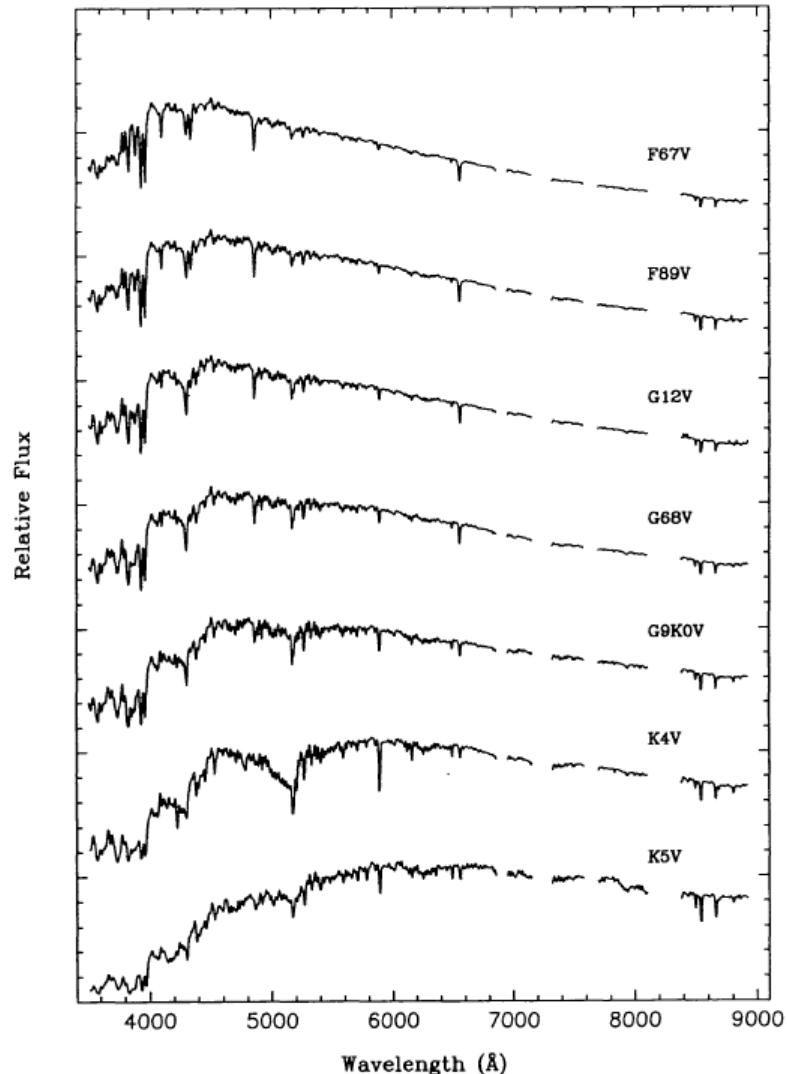
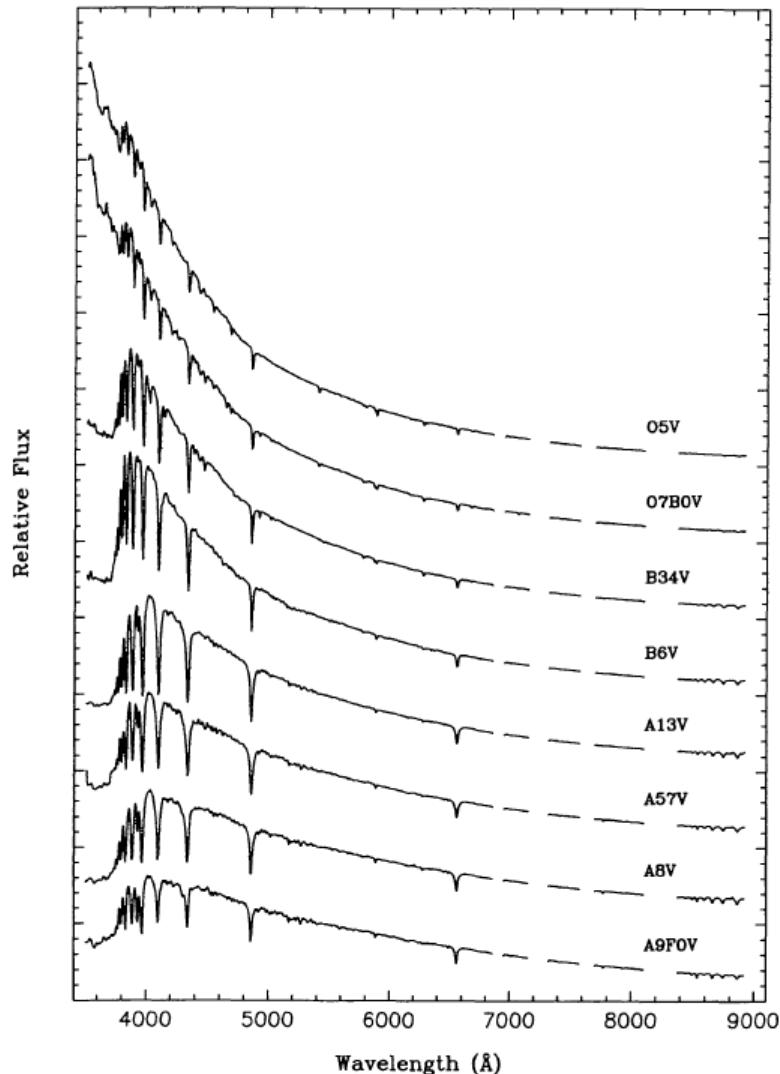


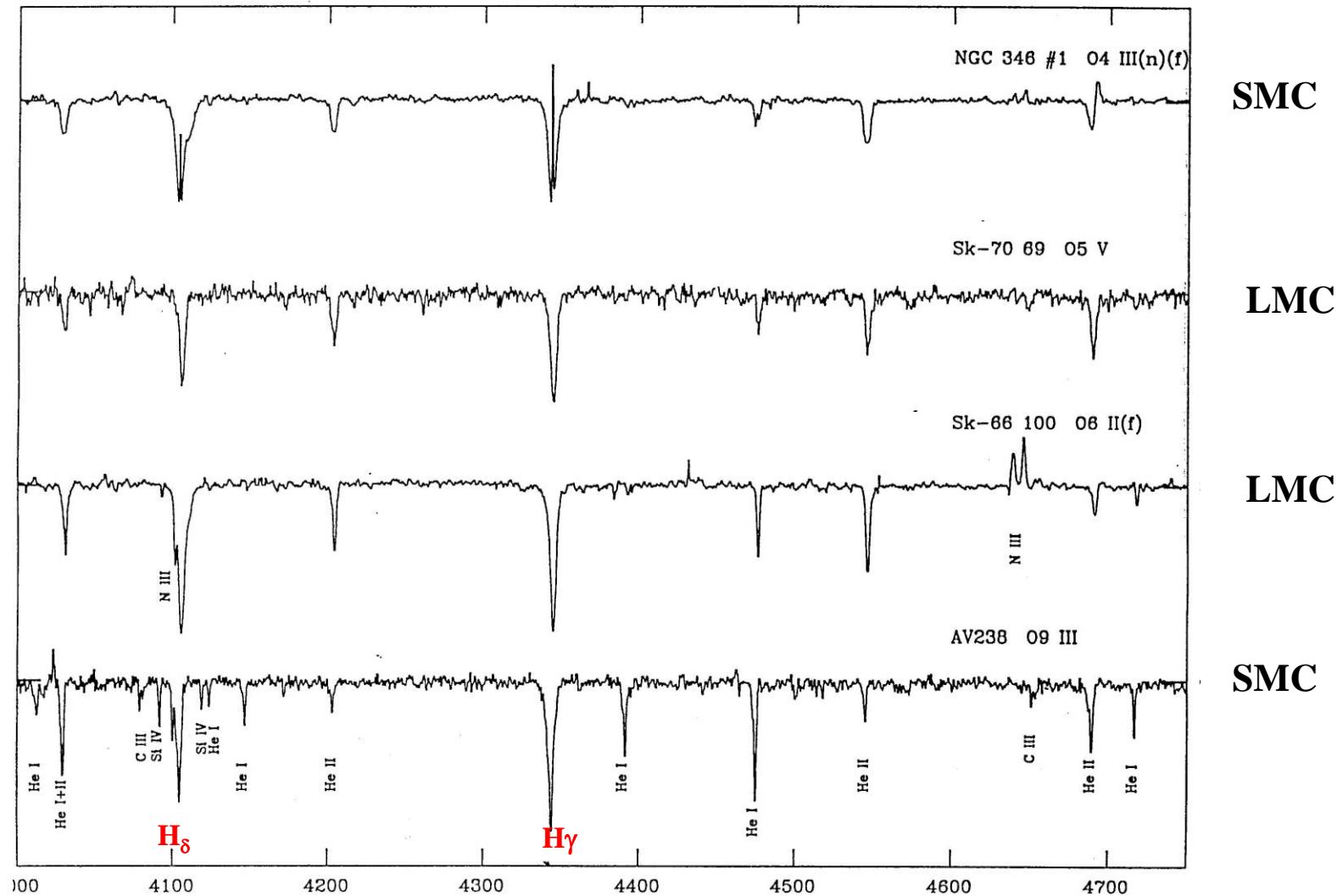
FIG. 1.—Dwarf-type library stars. Near-IR gaps are excised telluric absorption bands. All spectra have been normalized to 100 at 5450 Å. Major tick marks on “Relative Flux” axis are separated by 100 relative units. The M dwarf library stars are displayed with the M giants in Fig. 3.
from Silva & Cornell, 1992

Spectral lines formed in (quasi-)hydrostatic atmospheres

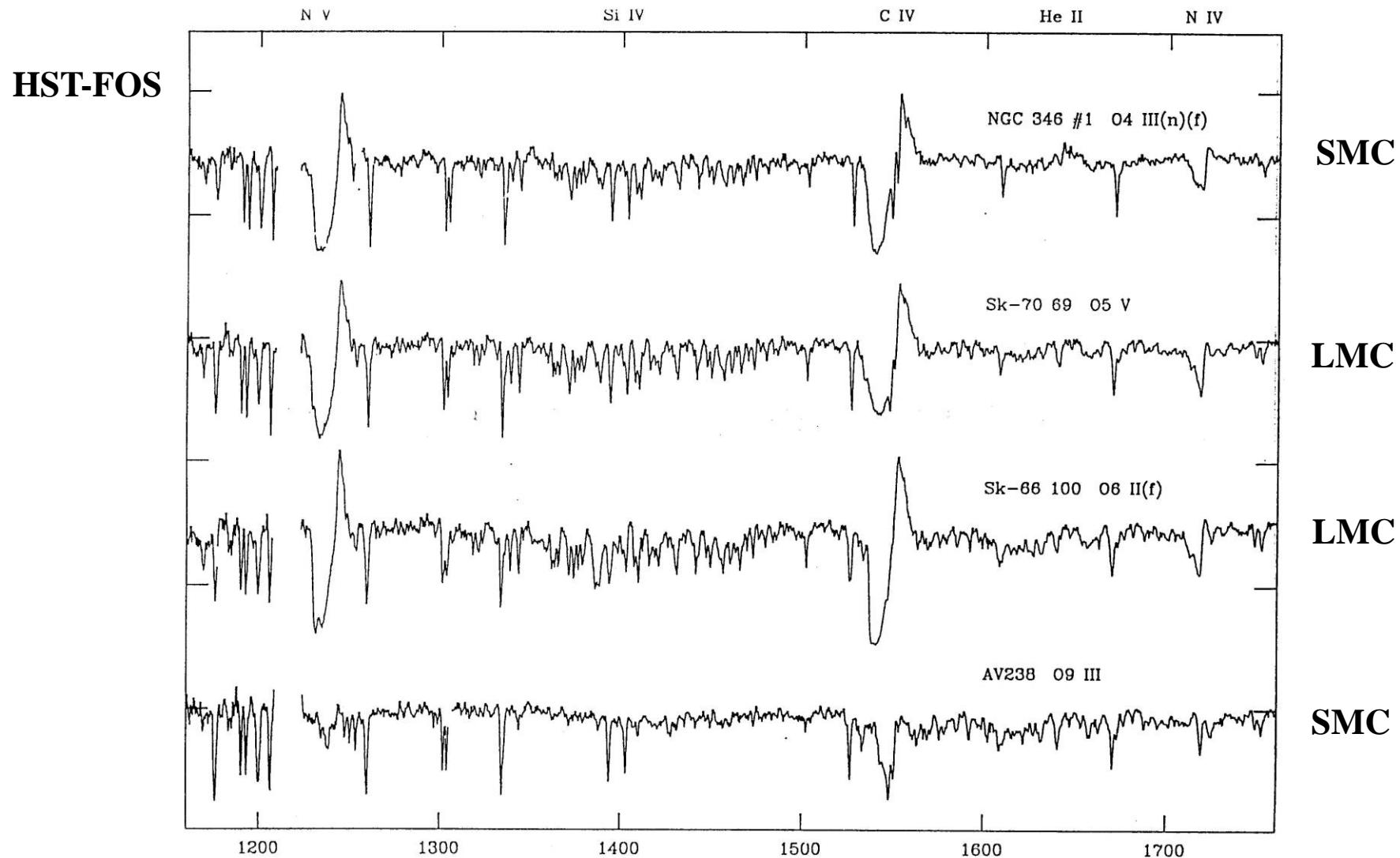
ESO 3.6m
CASPEC

$\Delta\lambda \approx 0.5\text{\AA}$
S/N 30...70

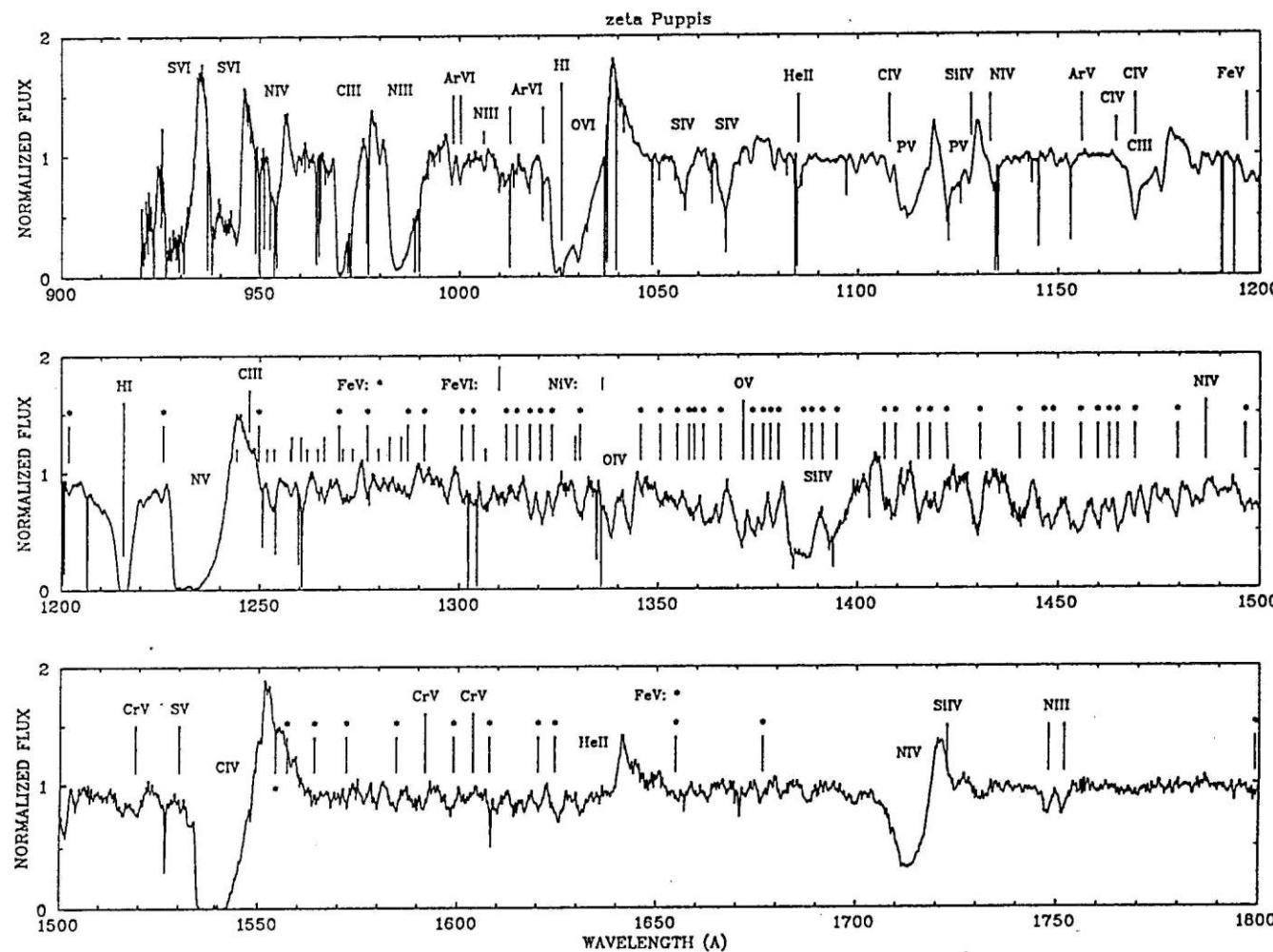
(Walborn
et al., 1995)



P-Cygni lines formed in hydrodynamic atmospheres



UV spectrum of the O4I(f) supergiant ζ Pup



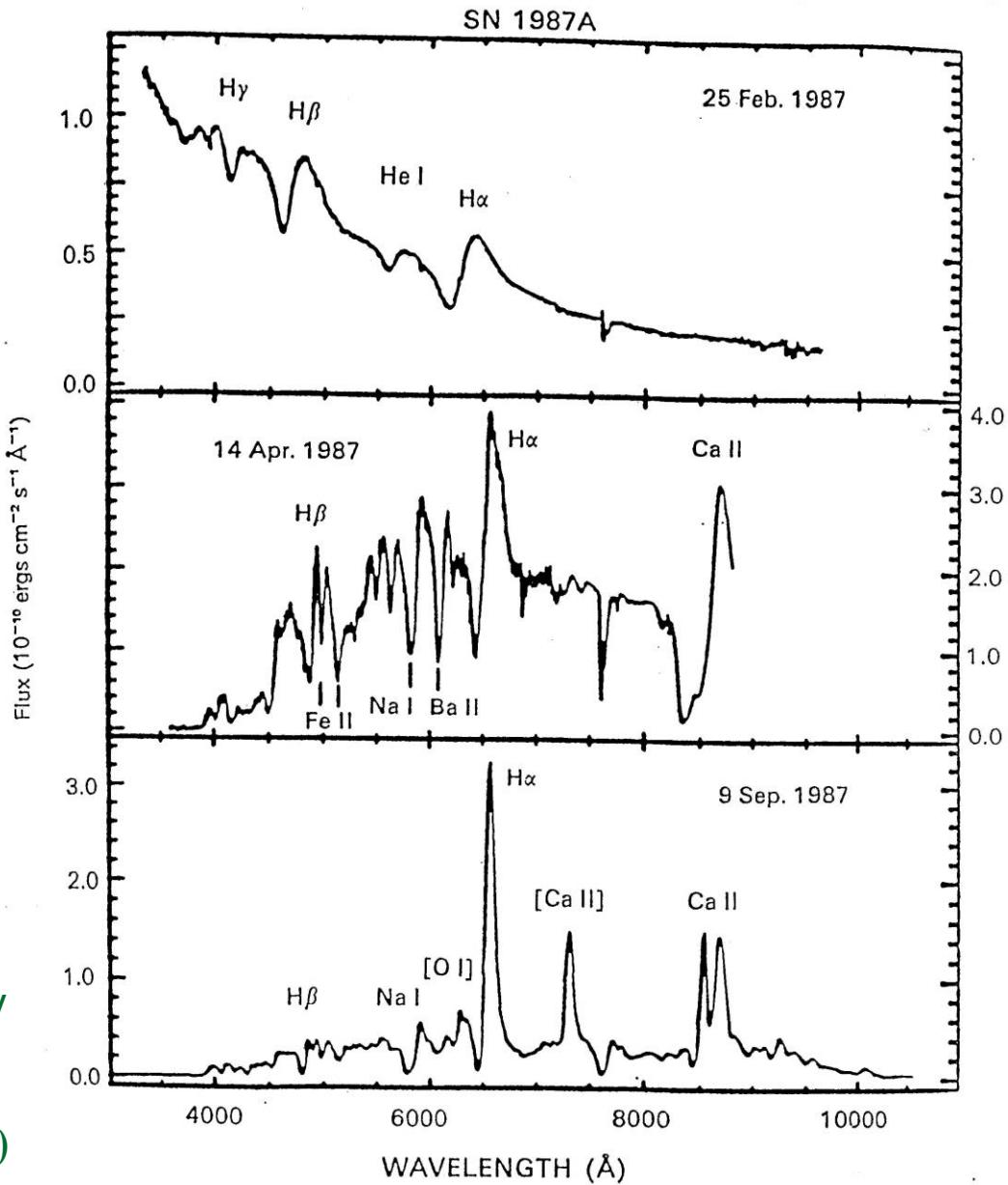
montage of **Copernicus** ($\lambda < 1500 \text{ \AA}$, high res. mode, $\Delta\lambda \approx 0.05 \text{ \AA}$, Morton & Underhill 1977) and **IUE** ($\Delta\lambda \approx 0.1 \text{ \AA}$) observations

Supernova Type II in different phases

photospheric phase

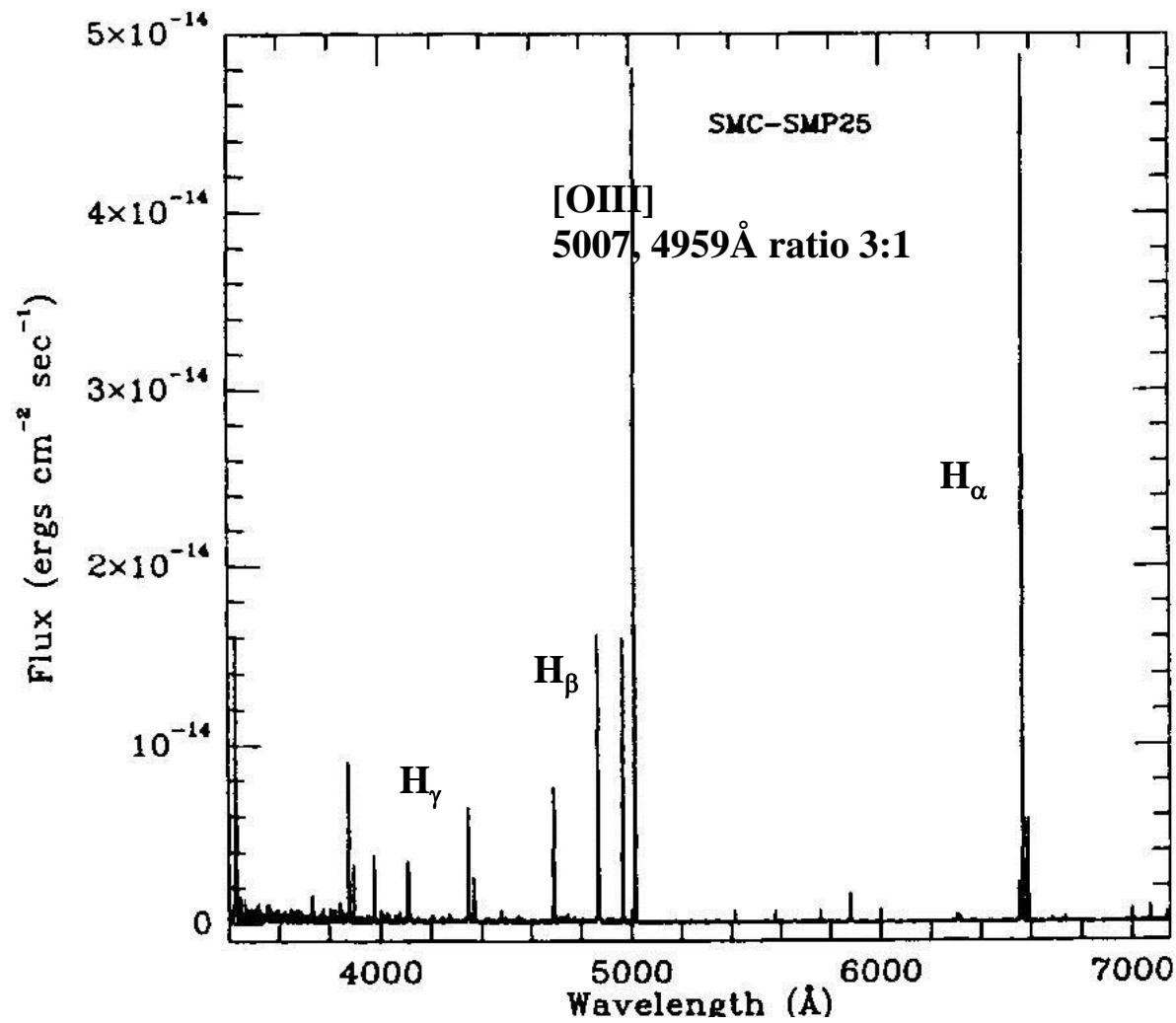
transition to nebular phase

figure prepared by
Mark M. Phillips,
reproduced from
McCray & Li (1988)



Spectrum of Planetary Nebula

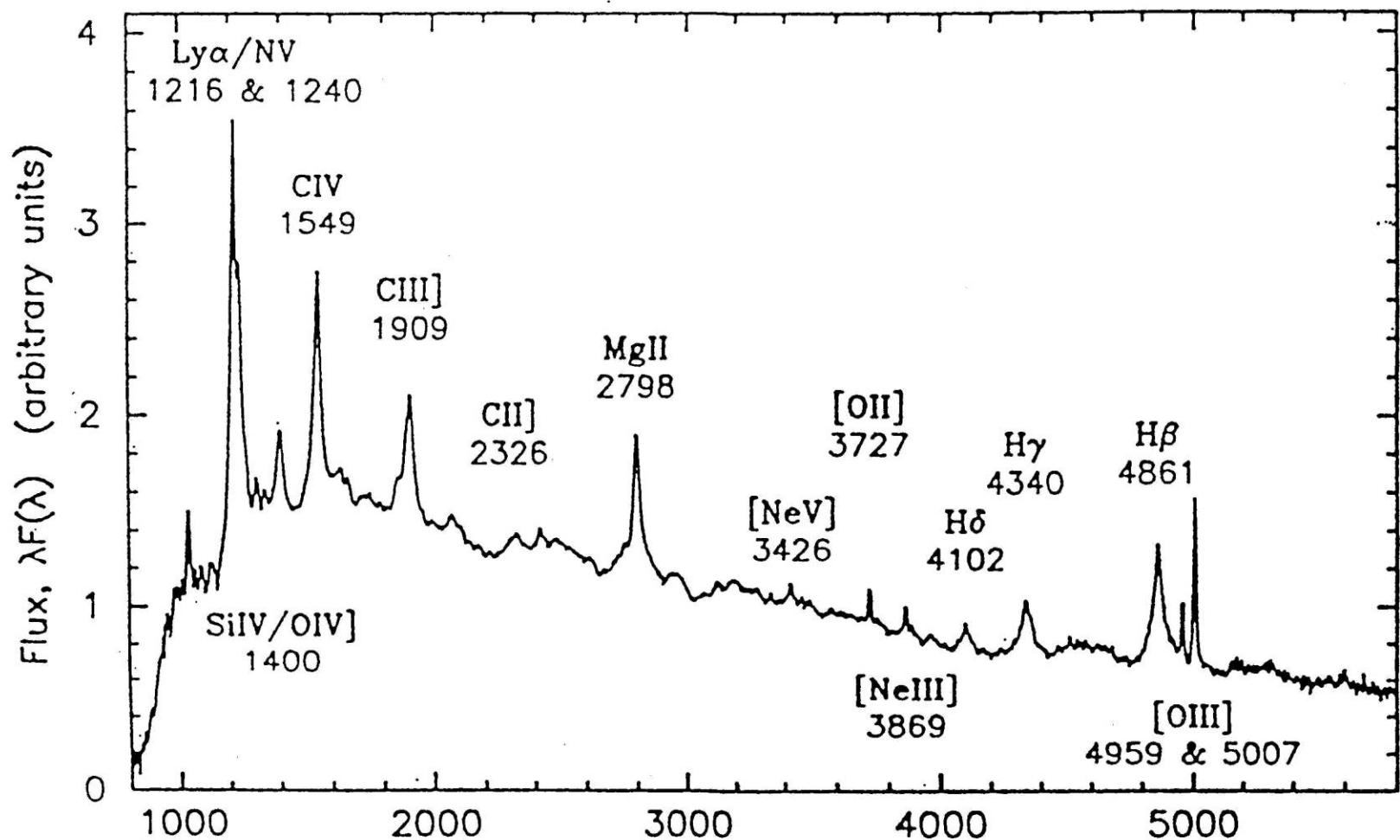
pure emission
line spectrum
with forbidden
lines of O III



From Meatheringham & Dopita, 1991, ApJS 75

FIG. 1a

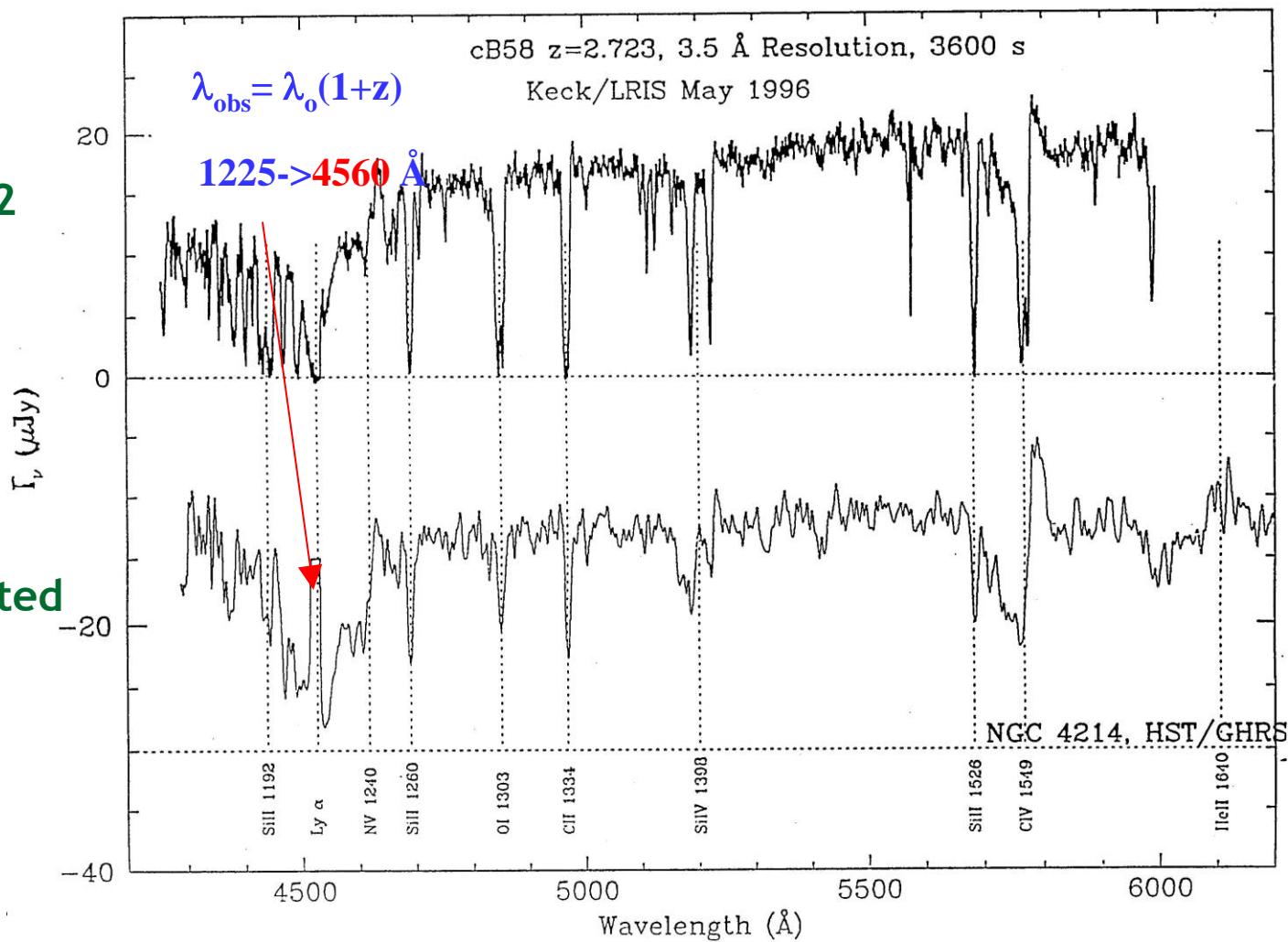
Quasar spectrum in rest frame of quasar



“UV”-spectra of starburst galaxies

galaxy at $z = 2.72$

local
starburst galaxy,
wavelengths shifted



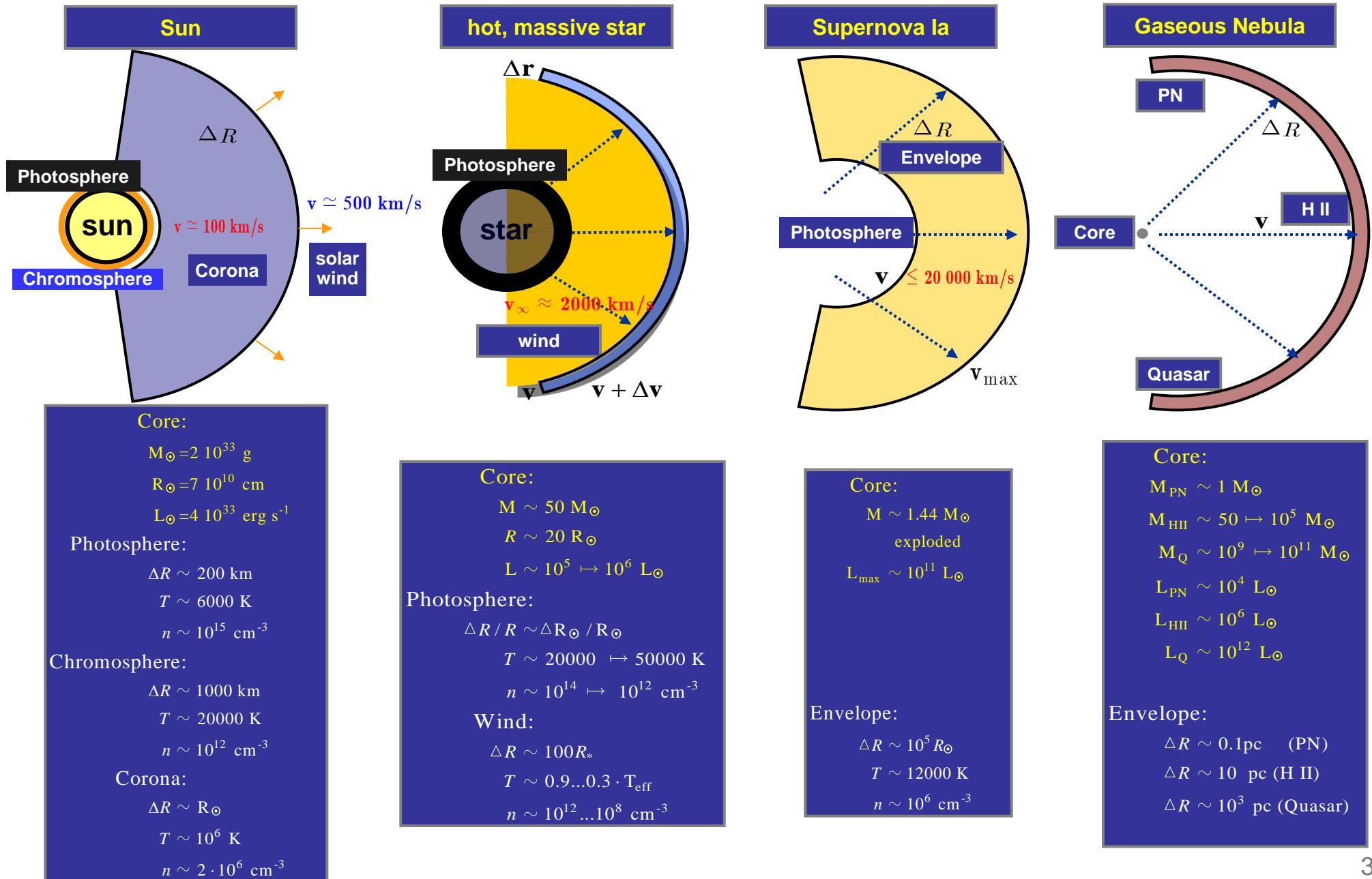
From Steidel et al. (1997)

Quantitative spectroscopy...

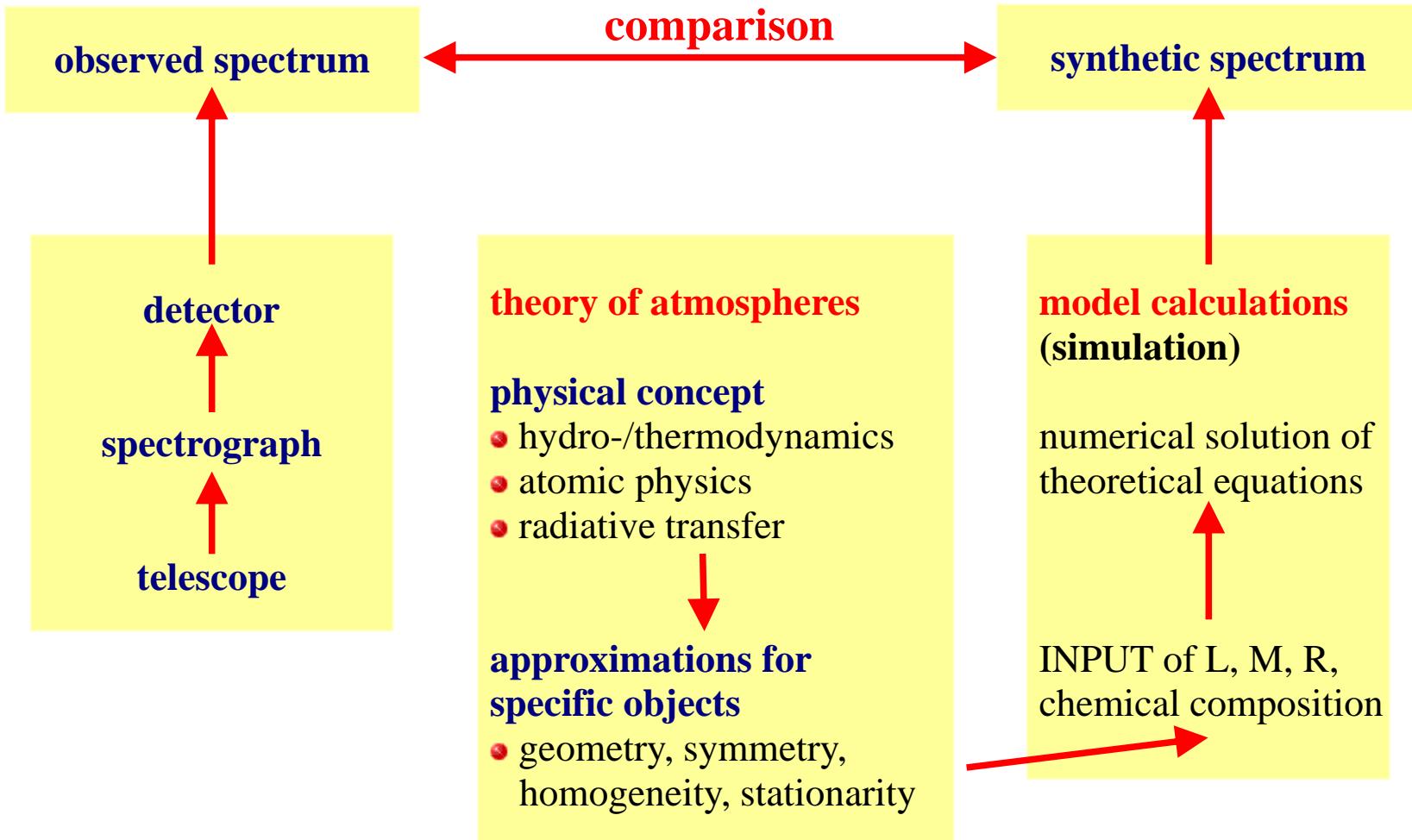
...gives insight into and understanding of our cosmos

- requires
 - plasma physics, plasma is "normal" state of atmospheres and interstellar matter (plasma diagnostics, line broadening, influence of magnetic fields,...)
 - atomic physics/quantum mechanics, interaction light/matter (micro quantities)
 - radiative transfer, interaction light/matter (macroscopic description)
 - thermodynamics, thermodynamic equilibria: TE, LTE (local), NLTE (non-local)
 - hydrodynamics, atmospheric structure, velocity fields, shockwaves,...
- provides
 - stellar properties, mass, radius, luminosity, energy production, chemical composition, properties of outflows
 - properties of (inter) stellar plasmas, temperature, density, excitation, chemical comp., magnetic fields
- INPUT for stellar, galactic and cosmologic evolution and for stellar and galactic structure

Stellar atmospheres - an overview



Concept of spectral analysis



The VLT-FLAMES survey of massive stars ('FLAMES I')

The VLT-FLAMES Tarantula survey ('FLAMES II')

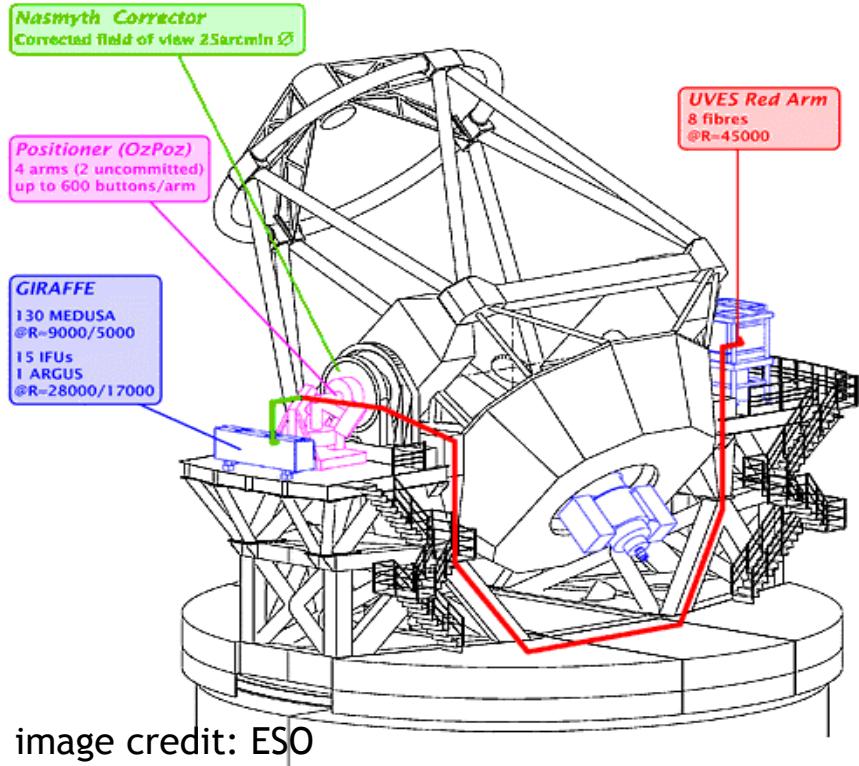


image credit: ESO

- **FLAMES I:** high resolution spectroscopy of massive stars in 3 Galactic, 2 LMC and 2 SMC clusters (young and old)
 - total of 86 O- and 615 B-stars
- **FLAMES II:** high resolution spectroscopy of more than 1000 massive stars in Tarantula Nebula (incl. 300 O-type stars)



image credit: ESO

Major objectives

- rotation and abundances (test rotational mixing)
- stellar mass-loss as a function of metallicity
- binarity/multiplicity (fraction, impact)
- detailed investigation of the closest 'proto-starburst'

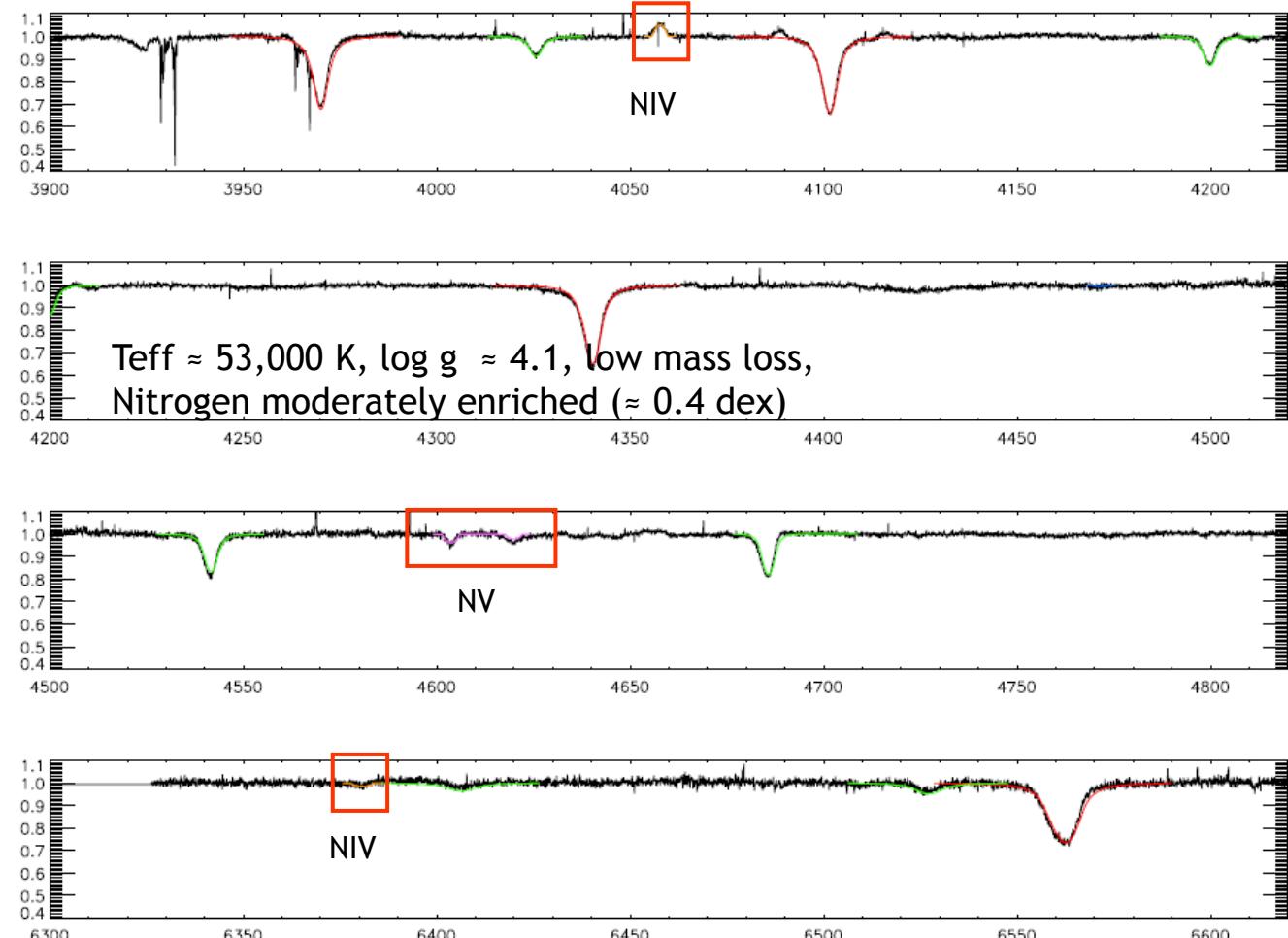
summary of FLAMES I results: Evans et al. (2008)

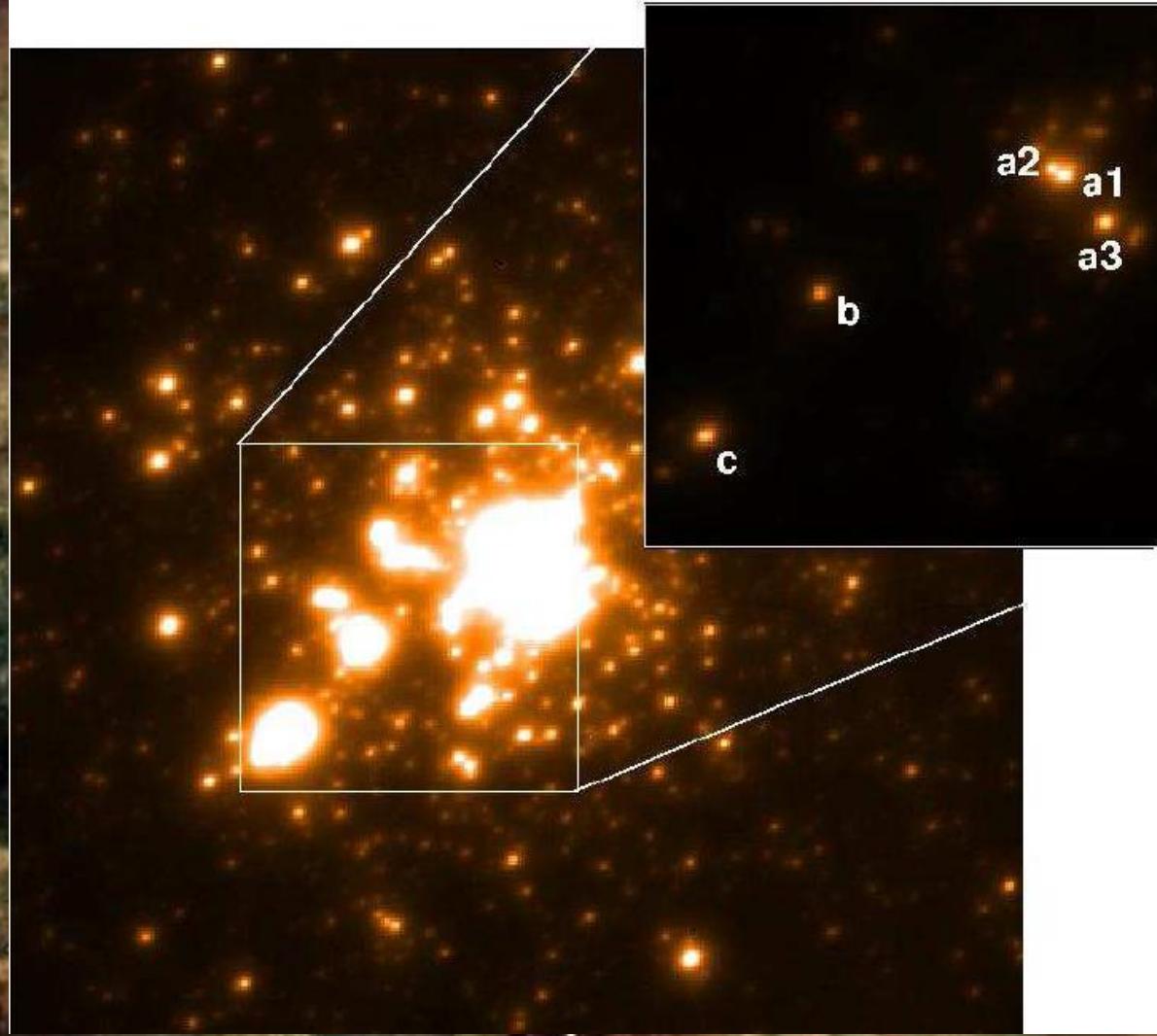
Optical spectrum of a very hot O-star

BI237 O2V (f*) (LMC) – $v\sin i = 140$ km/s

- Synthetic spectra from Rivero-Gonzalez et al. (2012)

red: HI
blue: HeI
green: HeII
orange: NIV
magenta: NV

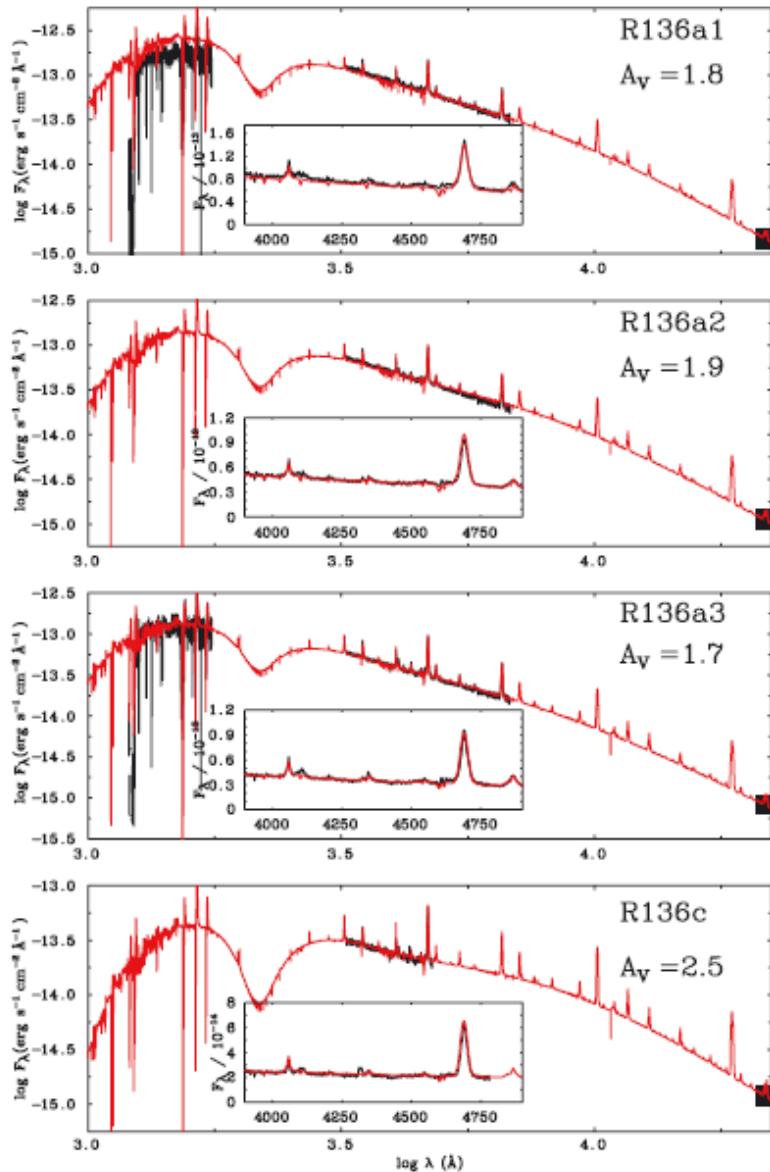




- Tarantula Nebula (30 Dor) in the LMC
- Largest starburst region in Local Group
- Target of VLT-FLAMES Tarantula survey ('FLAMES II', PI: Chris Evans)
- Cluster R136 contains some of the *most massive, hottest, and brightest* stars known
- Crowther et al. (2010): 4 stars with initial masses from 165-320 (!!!) M_{\odot}

Spectral energy distribution of the most massive stars in our “neighbourhood”

from Crowther et al. 2010



initial mass (Msun)	current mass (Msun)
320	265
240	195
165	135
220	175
typical uncertainty $\pm 40\text{ Msun}$	

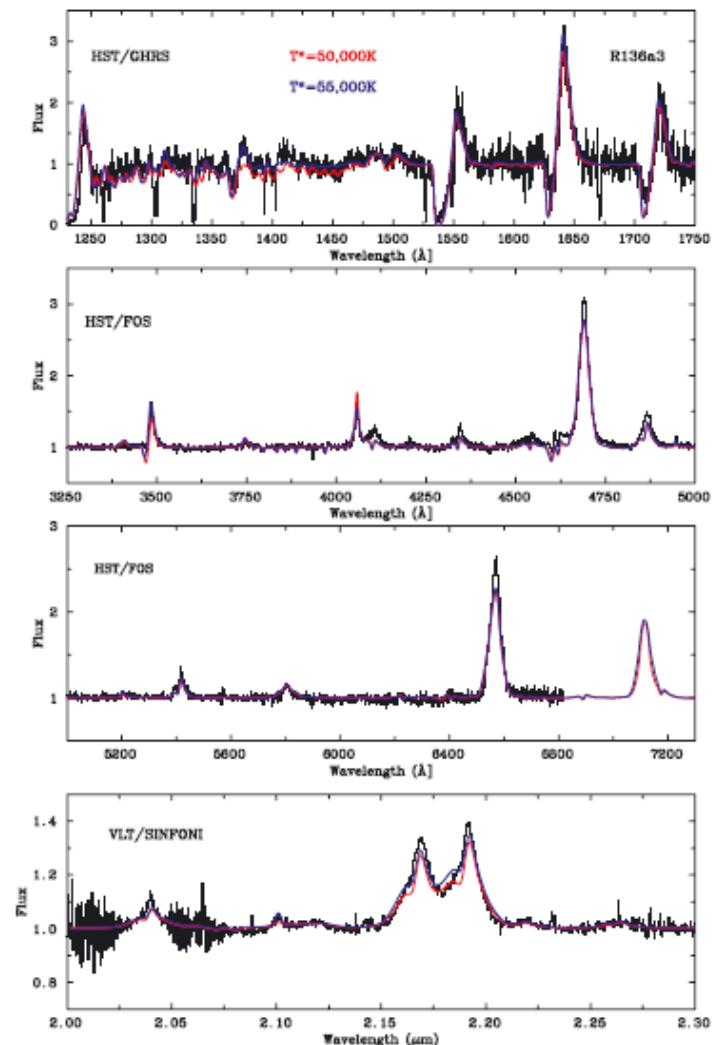


Figure 5. Rectified, ultraviolet (HST/GHRS), visual (HST/FOS) and near-IR (VLT/SINFONI) spectroscopy of the WN5h star R136a3 together with synthetic UV, optical and near-IR spectra, for $T_*=50,000\text{ K}$ (red) and $T_*=55,000\text{ K}$ (blue). Instrumental broadening is accounted for, plus an additional rotational broadening of 200 km s^{-1} .

Chap. 3 – The radiation field

Number of particles in $(\mathbf{r}, \mathbf{r} + d\mathbf{r})$ with momenta $(\mathbf{p}, \mathbf{p} + d\mathbf{p})$ at time t

$$\delta N(\mathbf{r}, \mathbf{p}, t) = f(\mathbf{r}, \mathbf{p}, t) d^3 \mathbf{r} d^3 \mathbf{p}$$

distribution function f

- i) $f(\mathbf{r}, \mathbf{p}, t)$ is Lorentz-invariant
- ii) $\delta N_0 = f(\mathbf{r}_0, \mathbf{p}_0, t_0) d^3 \mathbf{r}_0 d^3 \mathbf{p}_0$

evolution

$$\delta N = f(\mathbf{r}_0 + d\mathbf{r}, \mathbf{p}_0 + d\mathbf{p}, t_0 + dt) d^3 \mathbf{r} d^3 \mathbf{p}$$

$$(\dot{\mathbf{p}} = \mathbf{F}) = f(\mathbf{r}_0 + \mathbf{v}dt, \mathbf{p}_0 + \mathbf{F}dt, t_0 + dt) d^3 \mathbf{r} d^3 \mathbf{p}$$

Theoretical mechanics: If no collisions, conservation of phase space volume:

$$d^3 \mathbf{r}_0 d^3 \mathbf{p}_0 = d^3 \mathbf{r} d^3 \mathbf{p}$$

and

$\delta N_0 = \delta N$ (particles do not "vanish", again no collisions supposed)

$\Rightarrow f(\mathbf{r}, \mathbf{p}, t) = \text{const. if no collisions}$

$$\Rightarrow \frac{\partial f}{\partial t} + \sum \frac{\partial f}{\partial r_i} \frac{\partial r_i}{\partial t} + \sum \frac{\partial f}{\partial p_i} \frac{\partial p_i}{\partial t} =$$

$$= \underbrace{\frac{\partial f}{\partial t}}_{\mathbf{D}/\mathbf{D}t f, \text{ Lagrangian derivative}} + (\mathbf{v} \cdot \nabla) f + (\mathbf{F} \cdot \nabla_p) f = \begin{cases} 0 & \text{Vlasov} \\ \left(\frac{\delta f}{\delta t} \right)_{\text{coll}} & \text{Boltzmann if collisions} \end{cases}$$

D/Dt f, Lagrangian derivative

total derivative of f measured in fluid frame, at times t , $t+\Delta t$ and positions \mathbf{r} , $\mathbf{r} + \mathbf{v} \Delta t$

- implications for photon gas

$$\mathbf{p} = \frac{h\nu}{c} \mathbf{n}$$

$$d^3 \mathbf{p} = p^2 dp d\Omega \quad \leftarrow \text{solid angle with respect to } \mathbf{n}$$

absolute value

$$= \left(\frac{h\nu}{c} \right)^2 \frac{h}{c} dv d\Omega = \frac{h^3}{c^3} \nu^2 dv d\Omega$$

$$\Rightarrow f(\mathbf{r}, \mathbf{p}, t) d^3 \mathbf{r} d^3 \mathbf{p} = \frac{h^3}{c^3} \nu^2 f(\mathbf{r}, \mathbf{n}, \nu, t) d^3 \mathbf{r} dv d\Omega =$$

$$= \Psi(\mathbf{r}, \mathbf{n}, \nu, t) d^3 \mathbf{r} dv d\Omega$$

$$d^3\mathbf{p} = J(\mathbf{p}, \mathbf{p}') d^3\mathbf{p}', \quad \mathbf{p}' = (p, \theta, \phi)$$

cartesian Jacobi-det. spherical

$$p_x = p \sin \theta \cos \phi$$

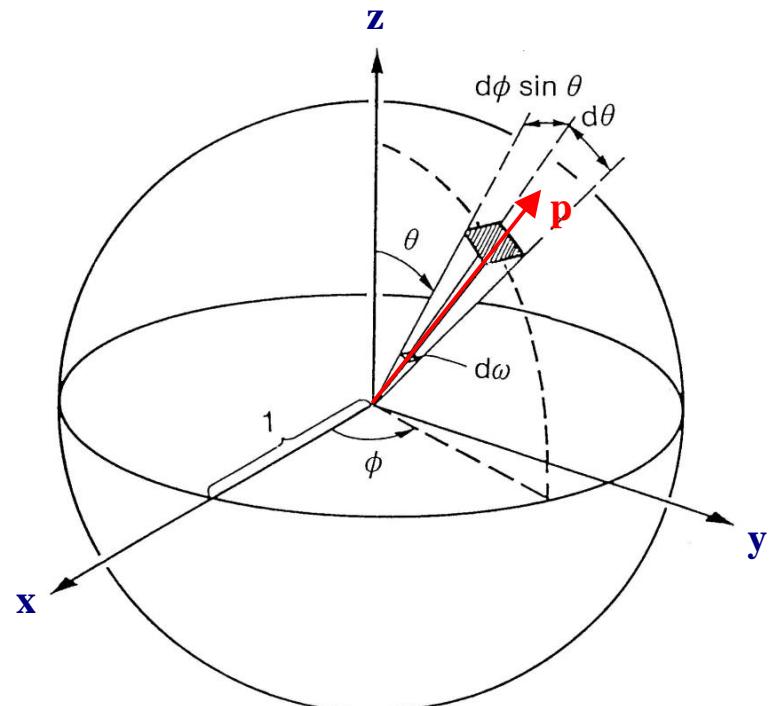
$$p_y = p \sin \theta \sin \phi$$

$$p_z = p \cos \theta$$

$$J = \det \begin{pmatrix} \frac{\partial p_x}{\partial p} & \frac{\partial p_x}{\partial \theta} & \frac{\partial p_x}{\partial \phi} \\ \frac{\partial p_y}{\partial p} & \frac{\partial p_y}{\partial \theta} & \frac{\partial p_y}{\partial \phi} \\ \frac{\partial p_z}{\partial p} & \frac{\partial p_z}{\partial \theta} & \frac{\partial p_z}{\partial \phi} \end{pmatrix} = \det \begin{pmatrix} \sin \theta \cos \phi & p \cos \theta \cos \phi & -p \sin \theta \sin \phi \\ \sin \theta \sin \phi & p \cos \theta \sin \phi & p \sin \theta \cos \phi \\ \cos \theta & -p \sin \theta & 0 \end{pmatrix}$$

= (exercise) $p^2 \sin \theta$

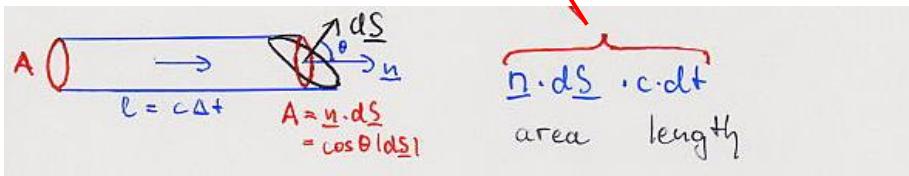
$$\Rightarrow d^3\mathbf{p} = dp_x dp_y dp_z = p^2 dp \underbrace{\sin \theta d\theta d\phi}_{d\Omega}$$



The specific intensity

Number of photons with $\nu, \nu+d\nu$ which propagate through surface element $d\mathbf{S}$ into direction \mathbf{n} and solid angle $d\Omega$, at time t and with velocity c :

$$\delta N = \frac{h^3 \nu^2}{c^3} f(\mathbf{r}, \mathbf{n}, \nu, t) d^3 \mathbf{r} d\nu d\Omega$$



$$= \frac{h^3 \nu^2}{c^3} f(\mathbf{r}, \mathbf{n}, \nu, t) \cos \theta c dt dS d\nu d\Omega$$

$\triangle(\mathbf{n}, d\mathbf{S})$

- corresponding energy transport

$$\delta E = h \nu \delta N = \underbrace{\frac{h^4 \nu^3}{c^2} f(\mathbf{r}, \mathbf{n}, \nu, t)}_{I(\mathbf{r}, \mathbf{n}, \nu, t)} \cos \theta dS d\nu dt d\Omega$$

specific intensity
[erg cm⁻² Hz⁻¹ s⁻¹ sr⁻¹]

summarized

$$I = ch\nu \quad \Psi = \frac{h^4 \nu^3}{c^2} f \quad \text{function of } \mathbf{r}, \mathbf{n}, \nu, t$$

specific intensity is radiation energy, which is transported into direction \mathbf{n} through surface $d\mathbf{S}$, per frequency, time and solid angle.

basic quantity in theory of radiative transfer

invariance of specific intensity

since $\frac{Df}{Dt} = 0$ without collisions (Vlasov equation) and

without GR (i.e., $\mathbf{F} \equiv \mathbf{0}$), we have

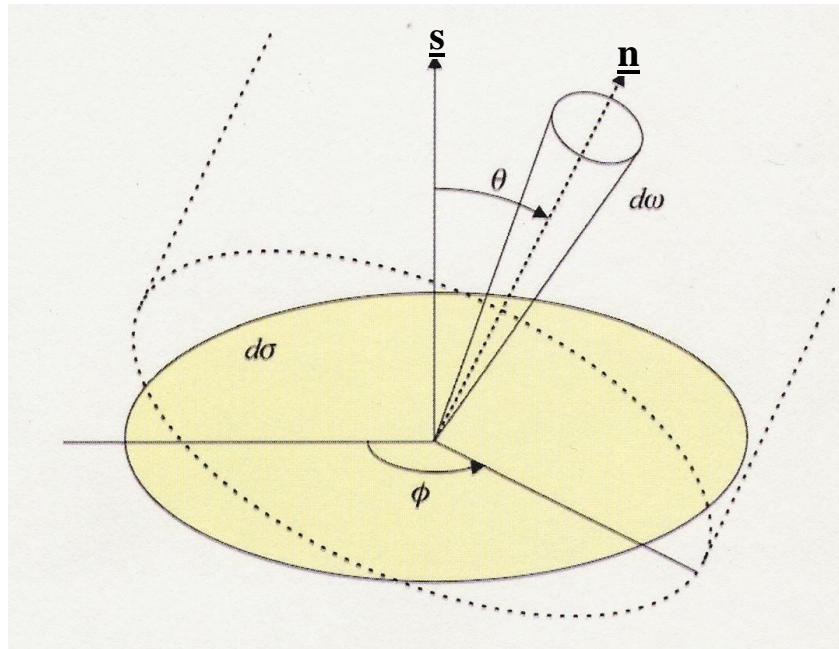
$$I \sim f$$

$\Rightarrow I = \text{const in fluid frame}$, as long as no interaction with matter!

If stationary process, i.e. $\partial/\partial t = 0$, then $\underline{n} \nabla I = d/ds I = 0$, where ds is path element, i.e.

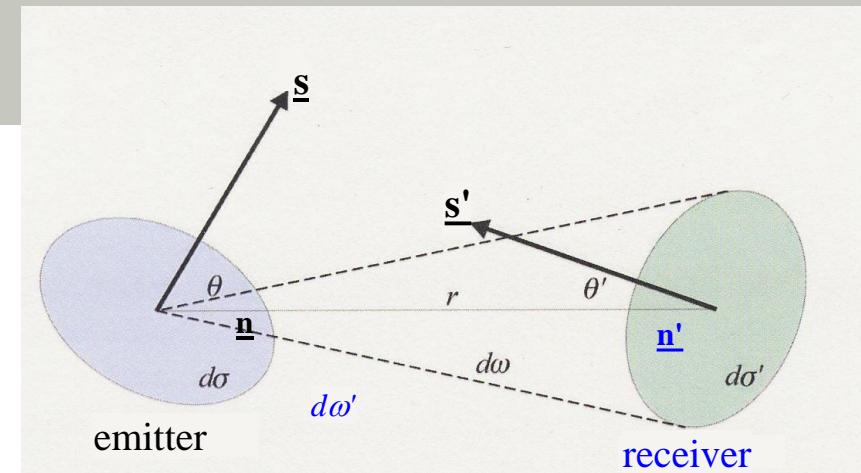
$I = \text{const also spatially!}$

(this is the major reason for working with specific intensities)



specific intensity is **radiation energy** with frequencies ($\nu, \nu + d\nu$), which is transported through *projected area element* $d\sigma \cos \theta$ into direction \underline{n} , per time interval dt and solid angle $d\omega$.

$$\delta E = I(\vec{r}, \vec{n}, \nu, t) \cos \theta d\sigma d\nu dt d\omega$$



Invariance of specific intensity

Consider pencil of light rays which passes through both area elements $\delta\sigma$ (emitter) and $\delta\sigma'$ (receiver).

If no energy sinks and sources in between, the amount of energy which passes through both areas is given by

$$\delta E = I_\nu \cos \theta d\sigma dt d\omega =$$

$$\delta E' = I'_\nu \cos \theta' d\sigma' dt d\omega', \text{ and, cf. figure,}$$

$$d\omega = \frac{\text{projected area}}{\text{distance}^2} = \frac{\cos \theta' d\sigma'}{r^2}$$

$$d\omega' = \frac{\cos \theta d\sigma}{r^2}$$

$$\Rightarrow I_\nu = I'_\nu, \text{ independent of distance}$$

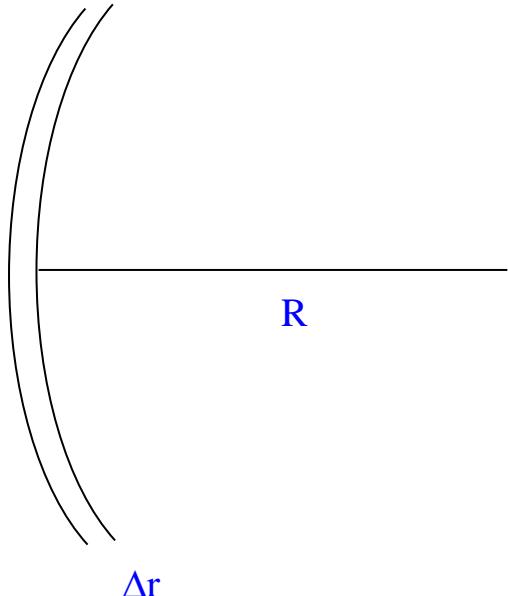
... but energy/unit area dilutes with r^{-2} !

Plane-parallel and spherical symmetries

stars = gaseous spheres => spherical symmetry

BUT rapidly rotating stars (e.g., Be-stars, $v_{\text{rot}} \approx 300 \dots 400 \text{ km/s}$)
rotationally flattened, only axis-symmetry can be used

AND atmospheres usually very thin, i.e. $\Delta r / R \ll 1$



example: the sun

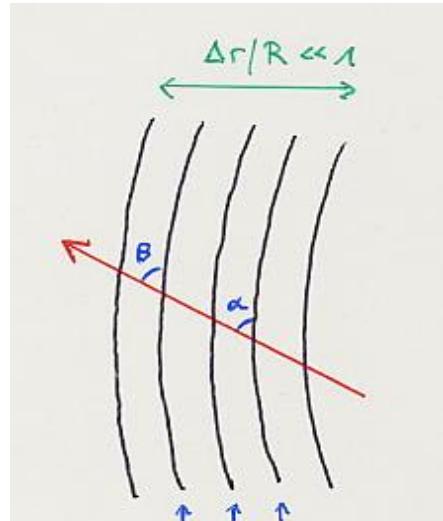
$$R_{\text{sun}} \approx 700,000 \text{ km}$$
$$\Delta r (\text{photo}) \approx 300 \text{ km}$$

$$\Rightarrow \Delta r / R \approx 4 \cdot 10^{-4}$$

BUT corona
 $\Delta r / R (\text{corona}) \approx 3$

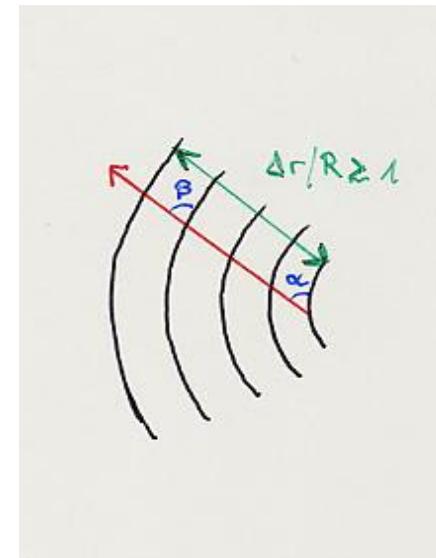
as long as $\Delta r / R \ll 1 \Rightarrow$ plane-parallel symmetry

light ray through atmosphere



lines of constant temperature
and density (isocontours)

curvature of atmosphere insignifi-
cant for photons' path : $\alpha = \beta$



significant curvature : $\alpha \neq \beta$,
spherical symmetry

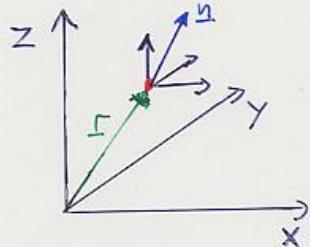
examples

solar photosphere / cromosphere
atmospheres of
main sequence stars
white dwarfs
giants (partly)

solar corona
atmospheres of
supergiants
expanding envelopes (stellar winds)
of OBA stars, M-giants and supergiants

Co-ordinate systems / symmetries

Cartesian



$$\underline{u} = x \underline{e}_x + y \underline{e}_y + z \underline{e}_z$$

$$\underline{e}_x, \underline{e}_y, \underline{e}_z$$

right-handed
orthonormal

$$I(x_1 y_1 z_1 \underline{n}, v_1, t)$$

important symmetries

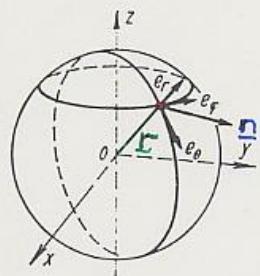
plane-parallel

physical quantities depend
only on z , e.g.

$$I(I, \underline{n}, v_1, t)$$

$$\rightarrow I(z, \underline{n}, v_1, t)$$

spherical



$$\underline{r} = \theta \underline{e}_\theta + \Phi \underline{e}_\phi + r \underline{e}_r$$

$$\underline{e}_\theta, \underline{e}_\phi, \underline{e}_r$$

$$I(\theta, \Phi, r, \underline{n}, v_1, t)$$

spherically symmetric

.... depend
only on r , e.g.

$$I(\underline{r}, \underline{n}, v_1, t)$$

$$\rightarrow I(r, \underline{n}, v_1, t)$$

intensity has direction \underline{n} into $d\Omega$

\underline{n} requires additional angles θ, ϕ
with respect to

$$\underline{e}_x, \underline{e}_y, \underline{e}_z$$

$$\underline{e}_\theta, \underline{e}_\phi, \underline{e}_r$$

with

$$\theta = \alpha(\underline{e}_z, \underline{n})$$

$$\theta = \alpha(\underline{e}_r, \underline{n})$$

p.p. symmetry

spherical sym.

$$I_v(x_1 y_1 z_1, \theta, \phi)$$

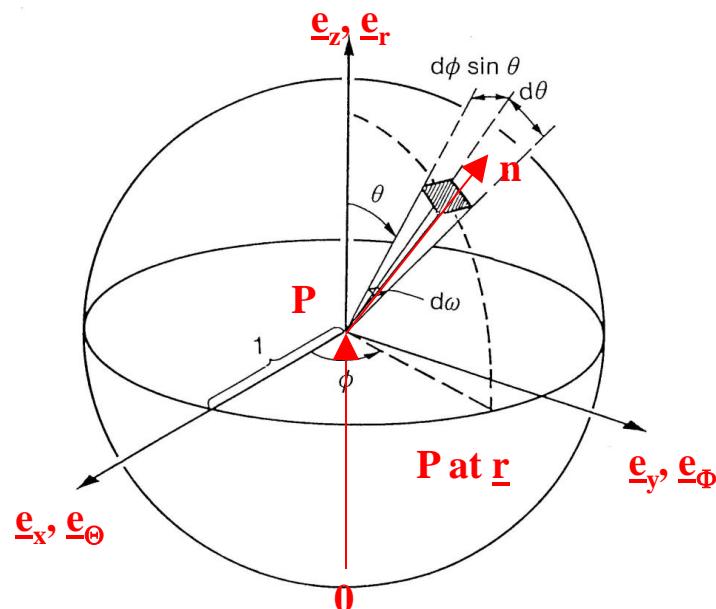
$$\rightarrow I_v(z, \theta, \phi)$$

$$\rightarrow I_v(z, \theta)$$

$$I_v(\theta, \Phi, r, \theta, \phi)$$

$$\rightarrow I_v(r, \theta, \phi)$$

$$\rightarrow I_v(r, \theta)$$



Moments of the specific intensity

1. Mean intensity

$$\bar{J}(\Sigma, v, t) = \frac{1}{4\pi} \oint I(\Sigma, \mu, v, t) d\Sigma$$

specific intensity, averaged over solid angle

def. of solid angle

solid angle = ratio of area of sphere to radius²

$$\text{total solid angle} = \frac{4\pi r^2}{r^2} = 4\pi$$

$$d\Sigma \text{ with } r=1 = dA$$

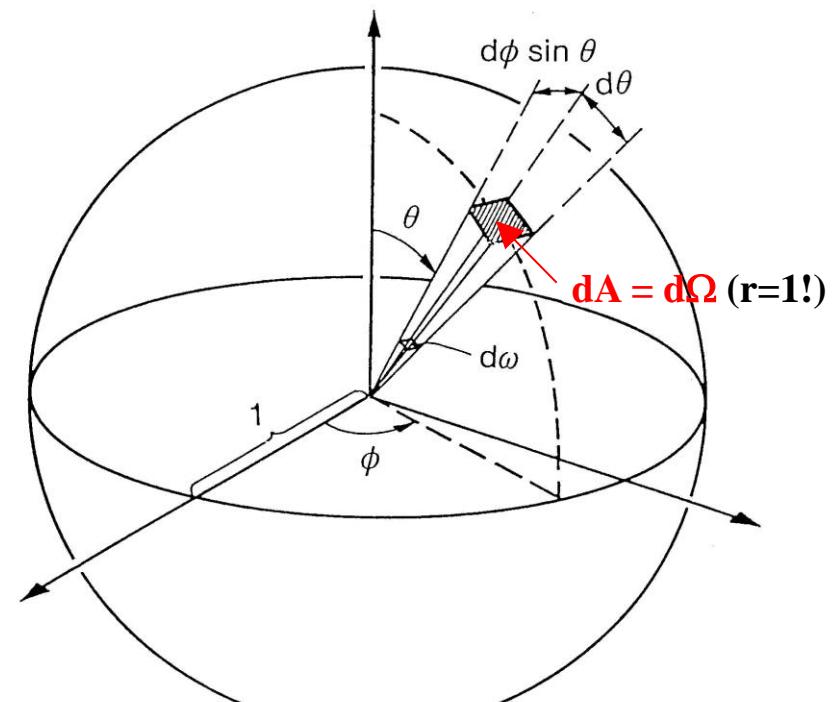
$$\text{area} = d\theta \times \sin\theta d\phi$$

$$\text{def: } \mu := \cos\theta$$

$$d\mu = -\sin\theta d\theta \quad \Rightarrow \quad d\Sigma = -d\mu d\phi$$

THUS

$$\bar{J}(\Sigma, v, t) = \frac{1}{4\pi} \int_0^{2\pi} d\phi \int_{-1}^{+1} I(\Sigma, \mu, v, t) \underbrace{\sin\theta d\theta}_{-\mu d\mu} \quad \text{usually } f(\theta, \phi)$$



In plane-parallel or spherical symmetry:

$$\begin{aligned} \bar{J}_v(\Sigma, t) &= \frac{1}{4\pi} \int_0^{2\pi} d\phi \int_{-1}^{+1} I_v(\Sigma, \mu, t) d\mu = \\ &= \frac{1}{2} \int_{-1}^{+1} I_v(\mu) d\mu \quad "0th" \text{ moment} \end{aligned}$$

The Planck function

... on the other hand

energy density (i.e., per Volume $d^3 \Sigma$) per ν
 (i.e., spectral) = $\hbar \nu \oint (\text{distr. function}) d\Sigma$

$$\begin{aligned} u_\nu(r, t) &= \hbar \nu \oint \Psi_\nu(r, \mu, t) d\Sigma \\ &\stackrel{\text{def.}}{=} \frac{1}{c} \oint I_\nu(r, \mu, t) d\Sigma = \frac{4\pi}{c} J_\nu(r, t) \end{aligned}$$

$$\text{dim}[u_\nu] = \text{erg cm}^{-3} \text{Hz}^{-1}$$

$$\text{dim}[J_\nu] = \text{erg cm}^{-2} \text{Hz}^{-1} \text{s}^{-1}$$

- from thermodynamics, we know spectral energy density of a cavity or black body radiator (in thermodynamic equilibrium, "TE", with isotropic radiation, independent of material)

$$u_\nu(T) = \frac{8\pi \hbar \nu^3}{c^3} \frac{1}{e^{\hbar \nu/kT} - 1}$$

$$\Rightarrow J_\nu = \frac{c}{4\pi} u_\nu \quad \text{and} \quad J_\nu = \frac{1}{2} \int_{-1}^{+1} I_\nu d\mu = I_\nu$$

isotropic

specific intensity of a cavity/black body radiator at temperature T

$$I_\nu^* \leftarrow \text{T.E.} \quad I_\nu^* = B_\nu(T) = \frac{2\hbar \nu^3}{c^2} \frac{1}{e^{\hbar \nu/kT} - 1} \quad \text{"Planck Function"}$$

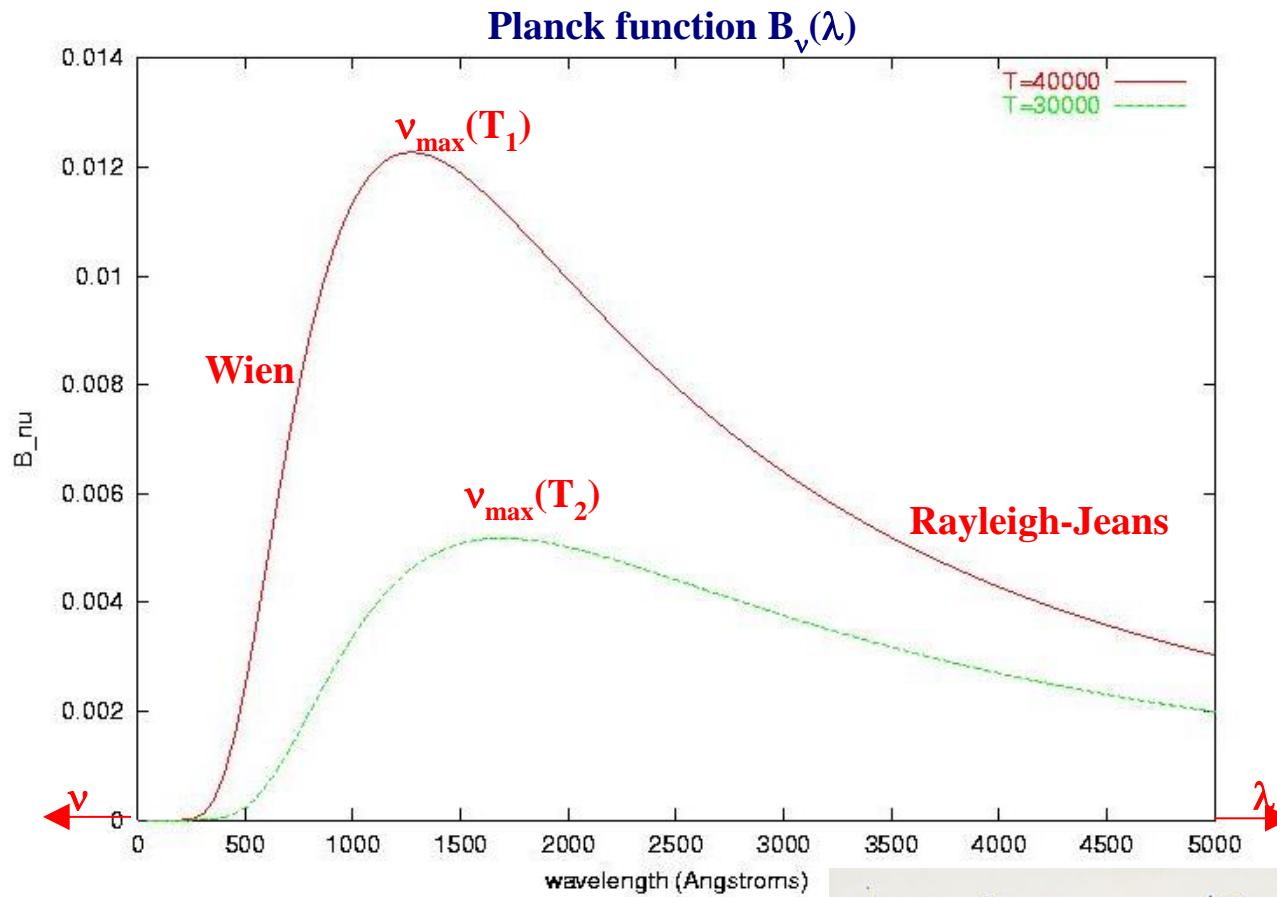
properties of Planck function

- $B_\nu(T_1) > B_\nu(T_2) \quad \forall \nu$, if $T_1 > T_2$
 i.e., Planck functions do not cross each other!
- maximum is shifted towards higher wavelengths with decreasing temperature
 $\frac{\nu_{\max}}{T} = \text{const.}$, Wien's displacement law
- Wien regime $\frac{\hbar \nu}{kT} \gg 1 \Rightarrow B_\nu \approx \frac{2\hbar \nu^3}{c^2} e^{-\hbar \nu/kT}$
- Rayleigh Jeans regime $\frac{\hbar \nu}{kT} \ll 1 \Rightarrow B_\nu \approx \frac{2\hbar \nu^3 kT}{c^2 \hbar \nu} = \frac{2\nu^2}{c^2} kT$

NOTE: in a number of cases one finds $B_2 \neq B_\nu$
 since $B_2 d\lambda = B_\nu d\nu$

$$\Rightarrow B_2 = B_\nu \left| \frac{d\nu}{d\lambda} \right| = B_\nu \frac{c}{\lambda^2} = \frac{2\hbar c^2}{\lambda^5} \frac{1}{e^{\hbar c/\lambda kT} - 1}$$

$$\Rightarrow \text{Max}(B_2) \neq \text{Max}(B_\nu) !$$



$$\text{Max } B_v : \nu_{\text{MAX}} / T = \text{const}^1 \Rightarrow \lambda_{\text{MAX}}^1 = \frac{5.0995 \cdot 10^7}{T(K)} \text{ Å}$$

$$\text{Max } B_\lambda : \lambda_{\text{MAX}} \cdot T = \text{const}^2 \Rightarrow \lambda_{\text{MAX}}^2 = \frac{2.898 \cdot 10^3}{T(K)} \text{ Å}$$

- Stefan - Boltzmann law

$$\int_0^\infty B_v(T) dv = \int_0^\infty B_\lambda(T) d\lambda = \sigma \frac{T^4}{\pi} \text{ with}$$

$$\sigma = 5.67 \cdot 10^{-5} \frac{\text{erg}}{\text{cm}^2 \text{s} \text{K}^4}, \quad \sigma/\pi = \frac{2 k_B^4}{c^2 h^3} \frac{\pi^4}{15}$$

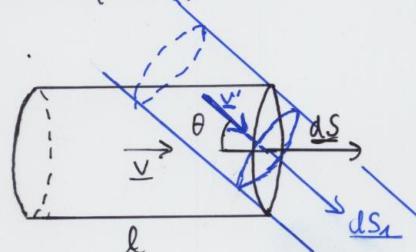
1st moment: radiative flux

a) general definition

flux: rate of flow of a quantity across a given surface

flux-density: flux/unit area, also called flux vector quantity

i) mass flux $\underline{v} \parallel d\underline{s}$



$$|\underline{\underline{F}}| = \frac{m}{\Delta t |d\underline{s}|} = \frac{m}{\text{Vol}} \frac{l}{\Delta t} = \dot{S} |\underline{v}|$$

mass flux = mass density • velocity

ii) \underline{v} arbitrarily oriented with respect to $d\underline{s}$

$$|\underline{\underline{F}}| = \frac{m}{\Delta t |d\underline{s}|} = \frac{m}{\Delta t |d\underline{s}_\perp|} \frac{|d\underline{s}_\perp|}{|d\underline{s}|} = \frac{m}{\text{Vol}} |\underline{v}| \frac{|d\underline{s}| \cos \theta}{|d\underline{s}|}$$

$$= \dot{S} |\underline{v}| \cos \theta$$

$\uparrow \text{Vol} = |\underline{v}| \Delta t |d\underline{s}_\perp|$

\Rightarrow mass flux through $d\underline{s} = \underline{\underline{F}} \cdot d\underline{s} = \dot{S} \underline{v} \cdot d\underline{s}$
 is reduced by factor $\cos \theta$,
 since less material is transported
 across smaller effective areal flow
 (in same Δt)

iii) mass-loss rate for spherically sym. outflow

$$\dot{m} = \underbrace{(\rho v)(r)}_{\text{mass flux}} \cdot \underbrace{4\pi r^2}_{\text{surface area}}$$

transported mass/unit time
 across surface with radius r
 $\cos \theta = 1!$

b) application to radiation field

- photon flux through surface $d\underline{s}$ into direction \underline{n} and solid angle $d\Omega$ ("radiation pencil")

$$\frac{dN}{dt dv} = (\underbrace{\Psi(\Sigma, n, v, t) d\Omega}_{\text{number DENSITY}} \cdot \underbrace{c \cdot n}_{\text{velocity}}) \cdot d\underline{s}$$

- net rate of total photon flow across $d\underline{s}$ (i.e., contribution of all pencils)

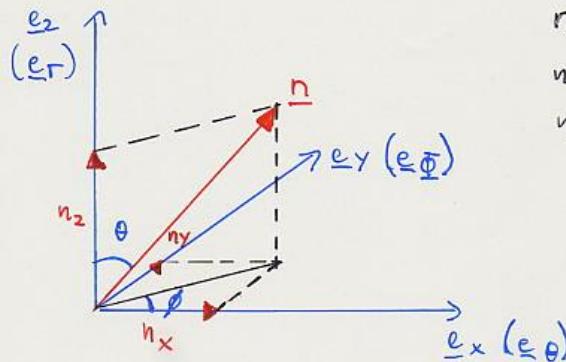
$$\frac{N}{dt dv} = (c \oint \Psi(\Sigma, n, v, t) \underline{n} d\Omega) \cdot d\underline{s}$$

- net rate of radiant energy flow across $d\underline{s}$

$$\begin{aligned} \frac{E}{dt dv} &= (c h v \oint \Psi(\Sigma, n, v, t) \underline{n} d\Omega) d\underline{s} = \\ &\stackrel{\text{def.}}{=} (\oint I(\Sigma, n, v, t) \underline{n} d\Omega) d\underline{s} \\ &= \underline{F}_v(\Sigma, t) \cdot d\underline{s} \end{aligned}$$

$$\underline{F}_v(\Sigma, t) = \oint I_v(\Sigma, n, t) \underline{n} d\Omega \quad \text{radiative flux}$$

$$\dim [\underline{F}_v] = \frac{\text{erg}}{\text{cm}^2 \text{s Hz}} = \dim [\underline{J}_v]$$



$$\begin{aligned}n_z &= \cos \theta = \mu \\n_x &= \sin \theta \cos \phi \\n_y &= \sin \theta \sin \phi\end{aligned}$$

Note: Cartesian (spherical co-ordinate system)

$$\left(\frac{e_\theta}{e_\tau}\right) \cong (\text{locally}) \left(\frac{e_x}{e_y}\right), \quad \theta, \phi \text{ defined similarly}$$

$$\Rightarrow \bar{F} = \begin{pmatrix} \bar{F}_{x,\theta} \\ \bar{F}_{y,\theta} \\ \bar{F}_{z,\tau} \end{pmatrix} = \begin{pmatrix} \int I_{nx} d\Omega \\ \int I_{ny} d\Omega \\ \int I_{nz} d\Omega \end{pmatrix} = \int_0^{2\pi} d\phi \int_0^{\pi} \sin \phi \int_{-1}^1 d\mu \frac{I(\lambda - \mu)^2}{I_\mu}$$

P.P. / spherical symmetric

$I(\tau, \nu, v, t) \Rightarrow I(\tau, \mu, v, t)$ independent of ϕ ,
 $x(\theta), y(\Phi)$ comp. cancel each other
 (math: $\cos \phi, \sin \phi$ integrals = 0)

$$\Rightarrow \bar{F} = (0, 0, 2\pi \int_{-1}^1 I(\tau, \mu, v, t) \mu d\mu)$$

- in analogy to mean intensity $\bar{J}_v = \frac{1}{2} \int_{-1}^{+1} I(\mu) d\mu$
 we define the **Eddington flux**

$$H_v(\tau, t) = \frac{1}{2} \int_{-1}^{+1} I_v(\tau, \mu, t) \mu d\mu = \frac{1}{4\pi} \bar{F}_v(\tau, t)$$

"first moment"

- "flux" from a cavity radiator

small opening

$$\begin{aligned}\bar{F}_v &= 2\pi \int_{-1}^{+1} I(\mu) \mu d\mu = 2\pi \int_0^1 I(\mu) \mu d\mu - 2\pi \int_0^1 I(-\mu) \mu d\mu \\&= \bar{F}^+ - \bar{F}^-\end{aligned}$$

only photons escaping from radiation

$$\begin{aligned}I(\mu), \mu = 0 \dots 1 &= B_v(\tau) \quad \text{isotropic radiation} \\I(-\mu) &= 0\end{aligned}$$

$$\Rightarrow \bar{F} = \int_0^\infty \pi B_v(\tau) d\nu = \pi \cdot \frac{\sqrt{B}}{\pi} \tau^4 = \sigma_B \tau^4$$

REMEMBER Black Body

$$\begin{array}{lll}\text{freq. integrated} & \text{specific and mean intensity} & \frac{\sqrt{B}}{\pi} \tau^4 \\" & \text{energy density} & \frac{4\sqrt{B}}{C} \tau^4 \\" & \text{flux} & \sigma_B \tau^4\end{array}$$

Effective temperature

- total radiative energy loss is flux (outwards directed) • surface area of star =
luminosity $L = F^+ 4\pi R_\star^2$
 $\text{dim}[L] = \text{erg/s}$, $L_0 = 3.82 \cdot 10^{33} \text{ erg/s}$
- definition "effective" Temperature is temperature of a star with luminosity L at radius R_\star , if it were a black body radiator
(semi-open cavity?)
- T_{eff} corresponds roughly to stellar surface temperature (more precise → later)

$$L =: \sigma_B T_{\text{eff}}^4 4\pi R_\star^2$$

Examples

i) isotropic radiation

see exercise

ii) extremely anisotropic radiation

see exercise

iii) $\hat{F}_v^+ = 2\pi \int_0^1 I(\mu) \mu d\mu$ is stellar radiation energy,

emitted into ALL directions (per dS, dv, dt)

$$= \frac{d^2}{R_x^2} f_v, \text{ if } f_v \text{ is the energy received}$$

on earth (per dS, dv, dt), d is the distance
and $d \gg R_x$ [no extinction!]

proof if no extinction, totally emitted stellar energy remains conserved

$$L = \text{const} = \hat{F}_v^+(R_x) \cdot 4\pi R_x^2 \stackrel{!}{=} \int_v^{\text{obs}}(d) 4\pi d^2$$

$$\Rightarrow \int_v^{\text{obs}}(d) = \hat{F}_v^+(R_x) \frac{R_x^2}{d^2} \quad \text{q.e.d.}$$

("quadratic dilution")

iv) solar constant

see exercise

v) exercise

How many L_\odot is emitted by a typical O-supergiant with $T_{\text{eff}} = 40,000 \text{ K}$ and $R_x = 20 R_\odot$? Where is its spectral maximum?

2nd moment: radiation pressure (stress) tensor

P_{ij} is net flux of momentum, in the j -th direction, through a unit area oriented perpendicular to the i th direction (per unit time and frequency)

- this is just the general definition of "pressure" in any fluid

$$P_{ij}(\Sigma, v_i, t) = \oint \underbrace{\Psi(\Sigma, u, v_i, t)}_{\text{transported quantity}} \left(\frac{hv}{c} n_j \right) \underbrace{(c \cdot n_i)}_{\text{velocity}} d\Sigma$$

= distrib. function • momentum

$$\stackrel{\text{def}}{=} \frac{1}{c} \oint I(\Sigma, u, v_i, t) n_i n_j d\Sigma$$

- $P_{ij} = P_{ji}$ generally
- now p-p/sph. symmetry from def. of n_i , $i=1,3$ $P_{ij}=0$ for $i \neq j$

$$P = \begin{pmatrix} PR & 0 & 0 \\ 0 & PR & 0 \\ 0 & 0 & PR \end{pmatrix} - \frac{1}{2} \begin{pmatrix} 3PR-u & 0 & 0 \\ 0 & 3PR-u & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

with respect to

$$(\underline{e}_x, \underline{e}_y, \underline{e}_z) \quad \text{or} \quad (\underline{e}_\theta, \underline{e}_\phi, \underline{e}_r)$$

$$P_E = \frac{4\pi}{c} K \quad \text{radiation pressure scalar}$$

$$u = \frac{4\pi}{c} J \quad \text{radiation energy density}$$

$$K_v = \frac{1}{2} \int_{-1}^{+1} I_v(r, \mu, t) \mu^2 d\mu \quad \text{"2nd moment"}$$

Note In p-p/spherical symmetry the radiation pressure tensor is described by only two scalar quantities!

- a) isotropic radiation (\rightarrow stellar interior)
cavity radiation

$$I_v(r, \mu, t) \rightarrow I_v(r, t)$$

$$\left. \begin{aligned} K &= \frac{1}{2} \int_{-1}^{+1} \mu^2 d\mu \\ J &= \frac{1}{2} \int_{-1}^{+1} d\mu \end{aligned} \right\} \quad K = \frac{1}{3} J \quad \text{or} \quad P_E = \frac{1}{3} u$$

$$\Rightarrow P_v = \begin{pmatrix} P_E & 0 & 0 \\ 0 & P_E & 0 \\ 0 & 0 & P_E \end{pmatrix} \quad \text{ONE quantity sufficient}$$

- b) mean radiation pressure

$$\begin{aligned} \bar{P}_v &= \frac{1}{3} (P_{11} + P_{22} + P_{33}) = \frac{1}{3c} \oint I \cdot \underbrace{(n_1 n_1 + n_2 n_2 + n_3 n_3)}_{n^2=1} d\Sigma \\ &= \frac{1}{3} u v \left(\frac{r}{2}, t \right) \end{aligned}$$

c) divergence of radiation pressure tensor

gas pressure \rightarrow pressure force $\sim -\nabla p$

here: radiative acceleration = volume forces exerted by radiation field

$$(\nabla \cdot \underline{\underline{P}})_i = \sum_j \frac{\partial}{\partial x_j} P_{ij} \quad \text{ith component of divergence (Cartesian)}$$

- p-p symmetry $p_R, u = f(z)$

only $\frac{\partial}{\partial z} \neq 0 \Rightarrow$

$$(\nabla \cdot \underline{\underline{P}})_z = \frac{\partial p_R}{\partial z}$$

- spherical symmetry

only $(\nabla \cdot \underline{\underline{P}})_r$ has non-vanishing component

$$(\nabla \cdot \underline{\underline{P}})_r = \frac{\partial p_R}{\partial r} + \frac{1}{r}(3p_R - u)$$

so far, this is the only expression which is different in p-p and spherical symmetry!

For symmetric tensors T^{ij} ($i, j = \Theta, \Phi, r$) one can prove the following relations
(e.g., Mihalas & Weibel Mihalas, "Foundations of Radiation Hydrodynamics", Appendix)

$$(\nabla \cdot T)_r = \frac{1}{r^2} \frac{\partial(r^2 T^{rr})}{\partial r} + f(T^{r\Theta}) + f(T^{r\Phi}) - \frac{1}{r}(T^{\Theta\Theta} + T^{\Phi\Phi})$$

$$(\nabla \cdot T)_\Theta = \frac{1}{r} \left\{ f(T^{r\Theta}) + \frac{1}{r \sin \theta} \frac{\partial(\sin \theta T^{\Theta\Theta})}{\partial \theta} + f(T^{\Theta\Phi}) + \frac{1}{r}(T^{r\Theta} - \cot \theta T^{\Phi\Phi}) \right\}$$

$$(\nabla \cdot T)_\Phi = \frac{1}{r \sin \theta} \left\{ f(T^{r\Phi}) + f(T^{\Theta\Phi}) + \frac{1}{r \sin \theta} \frac{\partial T^{\Phi\Phi}}{\partial \phi} + f(\cot \theta T^{\Theta\Phi}) \right\}$$

where f are (different) functions of the tensor-elements which are not relevant here.

Since in spherical symmetry the radiation pressure tensor P is diagonal (i.e., symmetric), and since p_R and u are functions of r alone, we have

$$(\nabla \cdot P)_r = \frac{1}{r^2} \left(2rP^{rr} + r^2 \frac{\partial P^{rr}}{\partial r} \right) - \frac{1}{r}(P^{\Theta\Theta} + P^{\Phi\Phi}) = \frac{\partial P^{rr}}{\partial r} + \frac{1}{r}(2P^{rr} - P^{\Theta\Theta} - P^{\Phi\Phi})$$

(which in the isotropic case would yield $(\nabla \cdot P)_r = \frac{\partial P^{rr}}{\partial r} = \frac{\partial p_R}{\partial r}$)

$$(\nabla \cdot P)_\Theta = \frac{1}{r^2 \sin \theta} \left(\cos \theta P^{\Theta\Theta} + \sin \theta \frac{\partial T^{\Theta\Theta}}{\partial \theta} \right) - \frac{1}{r^2} \cot \theta P^{\Phi\Phi} \rightarrow 0 \quad (\text{in spherical symmetry})$$

$(\nabla \cdot P)_\Phi \rightarrow 0$ (in spherical symmetry).

Finally, we obtain

$$\begin{aligned} (\nabla \cdot P) \rightarrow (\nabla \cdot P)_r &= \mathbf{e}_r \cdot \left\{ \frac{\partial p_R}{\partial r} + \frac{1}{r} \left(2p_R - 2 \left(p_R - \frac{1}{2}(3p_R - u) \right) \right) \right\} = \\ &= \mathbf{e}_r \cdot \left(\frac{\partial p_R}{\partial r} + \frac{1}{r}(3p_R - u) \right), \quad \text{q.e.d.} \end{aligned}$$

Chap. 4 – Coupling with matter

The equation of radiative transfer

- had Boltzmann eq. for particle distrib. function f

$$\left(\frac{\partial}{\partial t} + \underline{v} \cdot \nabla + \underline{f} \cdot \nabla_p \right) f = \left(\frac{\delta f}{\delta t} \right)_{\text{coll}}$$

for photons $v = c \cdot \underline{n}$, $\underline{f} \equiv 0$ without GR

$$\Rightarrow \left(\frac{\partial}{\partial t} + c \underline{n} \cdot \nabla \right) \Psi_v = \left(\frac{\delta \Psi_v}{\delta t} \right)_{\text{coll}} \xleftarrow[\text{along path in phase space}]{} \text{photon creation/destr.}$$

with

$$\Psi_v(\Sigma, \underline{n}, t) d^3 \Omega dv d\Sigma = f(\Sigma, \underline{n}, t) d^3 \Sigma d^3 p$$

and

$$\left(\frac{\partial}{\partial t} + c \cdot \underline{n} \cdot \nabla \right) \frac{I_v}{c h v} = \frac{1}{c h v} \left(\frac{\delta I_v}{\delta t} \right)_{\text{coll}}$$

$$\Rightarrow \left(\frac{1}{c} \frac{\partial}{\partial t} + \underline{n} \cdot \nabla \right) I_v = \left(\frac{\delta I_v}{ds} \right)_{\text{coll}} = \frac{\delta I_v^{\text{em}} - \delta I_v^{\text{abs}}}{ds}$$

with

$$I_v = c h v \Psi_v, \quad ds = c \cdot dt$$

\uparrow
gain/loss by
interaction with
matter

Equation of radiative transfer for specific intensity

Emissivity and opacity

a) vacuum

\rightarrow no "collisions" \rightarrow Vlasov equation

$$\rightarrow \left[\frac{1}{c} \frac{\partial}{\partial t} + \underline{n} \cdot \nabla \right] I = 0$$

stationary

$$(n \cdot \nabla) I = \frac{d}{ds} I = 0 \Rightarrow I = \text{const} \quad (\text{cf. Chap 3})$$

\uparrow
directional
derivative

b) energy gain by emission

add energy to ray (matter in dV radiates)
by emission / photon creation

$$\delta E_v^+ = \delta E_v^{\text{em}} \stackrel{\text{def}}{=} \eta v(\Sigma, \underline{n}, t) dV d\Sigma dv dt - \eta v(\Sigma, \underline{n}, t) \underbrace{\underline{n} \cdot d\underline{s}}_{\cos \theta ds} \underbrace{ds d\Sigma dv dt}_{dV}$$

compare with def. of specific energy

$$\delta E_v = I_v(\Sigma, \underline{n}, t) \cos \theta ds d\Sigma dv dt$$

$$\Rightarrow \delta I_v^{\text{em}} = \eta v ds \quad \text{macroscopic emission coefficient}$$

$$\text{dim} [\eta_v] = \text{erg cm}^{-3} \text{sr}^{-1} \text{Hz}^{-1} \text{s}^{-1}$$

c) energy loss by absorption

remove energy from ray (matter in dV absorbs)
by absorption / photon destruction

NOTE i) energy gain/emission property of interacting matter

ii) **BUT**: energy loss must depend on properties of matter and radiation, since no radiation field \Rightarrow no loss
no matter \Rightarrow no loss

Thus following definition

$$\delta E_v^- = \delta E_v^{\text{abs}} = (\chi_v I_v) (\Sigma, n, t) \cos \theta dS ds d\Omega dv dt$$

$$\delta I_v^{\text{abs}} = \chi_v I_v ds$$

χ_v absorption coefficient or opacity

$$\text{dim}[\chi_v] = \text{cm}^{-1}$$

d) optical depth

define $d\tau_v = \chi_v ds \rightarrow \tau_v(s) = \int_0^s \chi_v(s) ds$

$\delta I_v^{\text{abs}} = I_v d\tau_v$ the higher τ , the more is absorbed

$\text{dim}[\tau_v]$ dimensionless

interpretation later

e) emission and absorption in parallel

$$\left(\frac{\delta I_v}{ds} \right)_{\text{cou}} = \frac{\delta I_v^{\text{em}} - \delta I_v^{\text{abs}}}{ds} = \eta_v - \chi_v I_v$$

\Rightarrow finally

$$\left(\frac{1}{c} \frac{d}{dt} + n \nabla \cdot \underline{\underline{\Sigma}} \right) I_v = \eta_v - \chi_v I_v$$

η_v, χ_v depend on microphysics of interacting matter

NOTE • in static media η_v, χ_v (mostly) isotropic
• in moving media: Doppler effect
matter "sees" light at frequencies different than the observer \Rightarrow dependency on angle

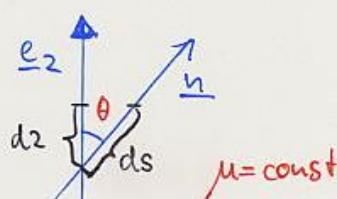
The equation of transfer for specific geometries

a) plane-parallel symmetry

$$ds = \mu d\zeta$$

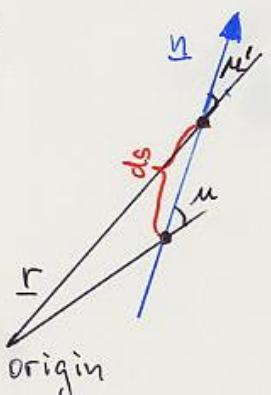
$$\rightarrow (\underline{n} \cdot \nabla) = \frac{d}{d\zeta} = \mu \frac{d}{d\zeta}$$

$$\left(\frac{1}{c} \frac{\partial}{\partial t} + \mu \frac{\partial}{\partial \zeta} \right) I_v(z, \mu, t) = \gamma_v - \chi_v I_v$$



b) spherical symmetry

along ds , $\mu \neq \text{const}$

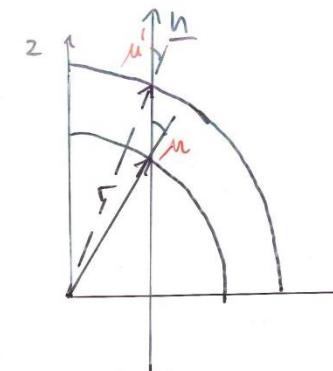


$$(\underline{n} \cdot \nabla) = \frac{d}{ds} = \mu \frac{\partial}{\partial r} + \frac{1-\mu^2}{r} \frac{\partial}{\partial \mu}$$

$$\left(\frac{1}{c} \frac{\partial}{\partial t} + \mu \frac{\partial}{\partial r} + \frac{1-\mu^2}{r} \frac{\partial}{\partial \mu} \right) I_v(r, \mu, t) = \gamma_v - \chi_v I_v$$

c) in general

$$\left[\frac{\partial}{\partial t}, \frac{\partial}{\partial r}, \frac{\partial}{\partial \theta}, \frac{\partial}{\partial \Phi}, \frac{\partial}{\partial \mu}, \frac{\partial}{\partial \phi} \right] I_v(\theta, \Phi, r, \mu, \phi, t)$$



so-called p-z geometry

$$\frac{d}{ds} = \frac{d}{dz} \Big|_p$$

$$\text{mit } r^2 = z^2 + p^2 \quad \mu = \frac{z}{r}$$

$$\Rightarrow \frac{d}{ds} = \frac{d}{dz} \Big|_p = \frac{\partial r}{\partial z} \Big|_p \frac{\partial}{\partial r} + \frac{\partial \mu}{\partial z} \Big|_p \frac{\partial}{\partial \mu}$$

$$r^2 = z^2 + p^2 \quad \rightarrow \frac{\partial r}{\partial z} \Big|_p = \frac{z}{r} = \mu$$

$$\mu = \frac{z}{(z^2 + p^2)^{\frac{1}{2}}} \quad \rightarrow \frac{\partial \mu}{\partial z} \Big|_p = \frac{1}{r} - \frac{z^2}{r^3} = \frac{1}{r}(1-\mu^2)$$

$$\Rightarrow \underline{n} \cdot \nabla = \frac{d}{ds} = \mu \frac{\partial}{\partial r} + \frac{1-\mu^2}{r} \frac{\partial}{\partial \mu}$$

$$\left[\left(\frac{1}{c} \frac{\partial}{\partial t} + \mu \frac{\partial}{\partial r} + \frac{1-\mu^2}{r} \frac{\partial}{\partial \mu} \right) I_v(r, \mu, t) = \gamma_v - \chi_v I_v \right]$$

General (without proof) for θ, Φ, r

$$\begin{aligned} & \left(\frac{1}{c} \frac{\partial}{\partial t} + \mu \frac{\partial}{\partial r} + \frac{1}{r} \frac{\partial}{\partial \theta} + \frac{1}{r \sin \theta} \frac{\partial}{\partial \Phi} \right. \\ & \quad \left. + \frac{1-\mu^2}{r} \frac{\partial}{\partial \mu} - \frac{\mu \cot \theta}{r} \frac{\partial}{\partial \phi} \right) I_v(\theta, \Phi, r, \mu, \phi, t) \\ & \quad = \gamma_v - \chi_v I_v \end{aligned}$$

$$\text{mit } \begin{cases} \gamma = \cos \theta \sin \theta \\ \tau = \sin \theta \sin \theta \end{cases}$$

Source function and Kirchhoff-Planck law

Source function

+ transfer equation

$$\left(\frac{1}{c} \frac{\partial}{\partial t} + \underline{n} \cdot \nabla \right) I_v = \eta_v - \chi_v I_v \quad \Big| \frac{1}{\chi_v}$$

now: stationary, $d\tau_v = \chi_v ds$, $\frac{\partial}{\partial s} = \underline{n} \cdot \nabla$

$$\Rightarrow \frac{d}{\chi_v ds} I_v = \frac{d}{d\tau_v} I_v = \frac{\eta_v}{\chi_v} - I_v \stackrel{\text{def}}{=} S_v - I_v$$

compact form of transfer equation

$$\frac{dI_v}{d\tau_v} = S_v - I_v \quad \text{with source function } S_v$$

• valid in any geometry, if stationary + $\frac{d}{d\tau_v} = \underline{n} \cdot \nabla / \chi_v$

Physical interpretation

- later we will show that mean free path of photons corresponds to $\tau_v = 1$

$$\Rightarrow 1 \approx \chi_v \Delta s, \quad \Delta s = \frac{1}{\chi_v}$$

$$\Rightarrow S_v = \frac{\eta_v}{\chi_v} = \eta_v \Delta s$$

source function corresponds to emitted intensity
 δI_v^{em} over mean free path

Kirchhoff-Planck law

- assume thermodynamic equilibrium (TE)

→ radiation field homogeneous
stationary

$$\rightarrow \left(\frac{1}{c} \frac{\partial}{\partial t} + \underline{n} \cdot \nabla \right) = 0$$

intensity Planck-function

$$\rightarrow 0 = I_v - S_v = B_v - S_v$$

$$\text{TE: } S_v^* = \frac{\eta_v^*}{\chi_v^*} = B_v(T) \Leftarrow \text{Kirchhoff-Planck law}$$

or other way round

$$\text{TE: } \eta_v^* = \chi_v^* B_v(T) \quad [\text{only one quantity to be specified}]$$

True absorption and scattering

"true" absorption processes:

radiation energy => thermal pool
if not TE, temperature $T(r)$ is changed

examples: photo-ionization
bound-bound absorption with subsequent collisional de-excitation

scattering:

no interaction with thermal pool
absorbed photon energy is directly reemitted (as photon)

no influence on $T(r)$

But direction $\underline{n} \rightarrow \underline{n}'$ is changed (change in frequency mostly small)

examples: Thomson scattering at free electrons
Rayleigh scattering at atoms and molecules
resonance line scattering

ESSENTIAL POINT

true processes:

localized interaction with thermal pool,
drive physical conditions into local equilibrium
often (e.g., in LTE - page 107): $\eta_v(\text{true}) = \kappa_v B_v(T)$

scattering processes:

(almost) no influence on local thermodynamic properties of plasma
propagate information of radiation field (sometimes over large distances)
 η_v (Thomson) = $\sigma_{\text{TH}} J_v$ (-> next page)

Thomson scattering

- limiting case for long wavelengths of Klein-Nishima scattering
- almost freq. independent
- major source of scattering opacity in hot stars (as long as enough free electrons and hydrogen ionized)
- dipole characteristics not important, isotropic approximation sufficient

$$\sigma_v(\Sigma, \mu) \rightarrow \sigma(\Sigma) = n_e(\Sigma) \sigma_e,$$

$$\sigma_e = \frac{8\pi e^4}{3m_e^2 c^4} = 6.65 \cdot 10^{-25} \text{ cm}^2$$

$$\eta^{\text{TH}} = \sigma_e n_e(\Sigma) \cdot J_v(\Sigma)$$

"coherent scattering", $V_{\text{abs}} = V_{\text{em}}$

Total continuum opacity / source function

$$\chi_v = K_v^+ + \sigma_v \quad (+ \times \text{true})$$

$$\eta_v = K_v^+ B_v(\Sigma) + \sigma_v J_v$$

$$\rightarrow S_v^{\text{cont}} = \frac{K_v^+ B_v + \sigma_v J_v}{K_v^+ + \sigma_v} \xrightarrow{\text{Th.scatter}} (1 - g_v^{\text{TH}}) B_v + g_v^{\text{TH}} J_v$$

$$g_v^{\text{TH}} = \frac{\sigma_e n_e}{K_v^+ + \sigma_e n_e}$$

Moments of the transfer equation

transfer equation (\equiv Boltzmann equation with $\Xi \equiv 0$)

$$\left(\frac{1}{C} \frac{\partial}{\partial t} + \underline{n} \cdot \nabla \right) I_v = \gamma_v - \chi_v J_v$$

0th moment: $\oint d\Omega$

note: \underline{n} commutes with $\frac{\partial}{\partial t}, \nabla$, since
($t, \underline{r}, \underline{n}$ independent variables here)

- integrate transfer equation over $d\Omega$

$$\frac{4\pi}{C} \frac{\partial}{\partial t} \bar{J}_v + \nabla \cdot \bar{F}_v = \oint (\gamma_v - \chi_v I_v) d\Omega$$

- if χ_v, γ_v isotropic, $\rightarrow = 4\pi(\gamma_v - \chi_v \bar{J}_v)$
i.e., no velocity fields

- Now frequency integration

$$\frac{4\pi}{C} \frac{\partial}{\partial t} \bar{J}(\underline{r}, t) + \nabla \cdot \bar{F}(\underline{r}, t) = \int_0^\infty dv \oint (\gamma_v - \chi_v I_v) d\Omega$$

total rad. energy added and removed

- IF energy transported by radiation alone
(i.e., no convection) and no energy is created
(which is true for stellar atmospheres)

\Rightarrow

$$\int_0^\infty dv \oint (\gamma_v - \chi_v I_v) d\Omega = 0 \quad \text{"radiative equilibrium"}$$

static atm.

$$\int_0^\infty dv (\gamma_v - \chi_v \bar{J}_v) = \int_0^\infty dr \chi_r (S_r - \bar{J}_v) = 0$$

- if radiation field time independent

$$\nabla \cdot \bar{F} = 0 \quad \text{"flux conservation"}$$

PP spherical

$$\frac{L}{4\pi R_s^2} = \bar{F}(r) = \text{const} \quad r^2 \bar{F}(r) = \text{const} = \frac{L}{4\pi}$$

- radiative equilibrium and flux conservation equivalent formulations, are used to calculate $T(r)$

- frequency dependent equations, stationary and static

$$\frac{\partial H_v}{\partial z} = \gamma_v(z) - \chi_v \bar{J}_v(z) \quad P-P$$

$$\frac{1}{r^2} \frac{\partial (r^2 H_v)}{\partial r} = \gamma_v(r) - \chi_v \bar{J}_v(r) \quad \text{spherical}$$

1st moment: $\oint \underline{n} d\Omega / c$

$$\oint \frac{d\Omega}{c} (\underline{n} \frac{\partial \underline{F}_v}{\partial t} + \underline{n} \cdot \underline{D}) I_v = \frac{1}{c} \oint (\eta_v - \chi_v I_v) \underline{n} d\Omega$$

→

$$\frac{1}{c^2} \frac{\partial}{\partial t} \underline{F}_v + \underline{\nabla} \cdot \underline{P}_v = \frac{1}{c} \oint (\eta_v - \chi_v I_v) \underline{n} d\Omega$$

Tensor, cf. Chap. 3

frequency integrated analogous

- can be shown

$$\frac{1}{c} \int_0^\infty dv \oint \chi_v I_v \underline{n} d\Omega$$

is force/volume, by radiation on matter
(momentum transfer photons → matter via absorption)

$$= f_{rad}(\Sigma)$$

"radiation force"

$$\frac{\text{force}}{\text{volume}} \cdot \frac{1}{c} = \frac{\text{force}}{\text{mass}} = g_{rad}$$

"radiative acceleration"

and

$$\int dv \oint \eta_v \underline{n} d\Omega = 0$$

because of fore/aft symmetry of emission process (even in v-fields)

- in total

$$\frac{1}{c^2} \frac{\partial}{\partial t} \underline{F}(\Sigma, t) + \underline{\nabla} \cdot \underline{P}(\Sigma, t) = -\frac{1}{c} \int dv \oint \chi_v I_v \underline{n} d\Omega$$

$$= -g_{grad}(\Sigma)$$

- stationary

$$\underline{\nabla} \cdot \underline{P}(\Sigma) = -g(\Sigma) \underline{\text{grad}}(\Sigma) = -\frac{1}{c} \int_0^\infty dv \oint d\Omega (\chi_v I_v) \underline{n}$$

- static

$$\rightarrow -\frac{1}{c} \int_0^\infty dv \chi_v \underline{F}_v(\Sigma)$$

$$\text{1-D grad}(\Sigma) = \frac{4\pi}{c g(\Sigma)} \int_0^\infty dv \chi_v(z) H_v(z)$$

- frequency dependent equations, stationary and static

$$\underline{\nabla} \cdot \underline{P}_v = -\frac{1}{c} \chi_v \underline{F}_v (= -g(\Sigma) \underline{\text{grad}})$$

$$\xrightarrow{\text{PP}} \frac{\partial p_r(z, v)}{\partial z} = -\frac{1}{c} \chi_v(z) \underline{F}_v(z) \text{ or } \frac{\partial K_v(z)}{\partial z} = -\chi_v(z) H_v(z)$$

$$\xrightarrow{\text{SPR}} \frac{\partial p_r(r, v)}{\partial r} + \frac{3p_r - uv}{r} = -\frac{1}{c} \chi_v(r) \underline{F}_v(r) \text{ or}$$

$$\frac{\partial K_v(r)}{\partial r} + \frac{3K_v(r) - v(r)}{r} = -\chi_v(r) H_v(r)$$

The change in radiative pressure drives the flux!

Chap. 5 – Radiative transfer: simple solutions

Pure absorption and optical depth

- from here on, stationary description
(\rightarrow stellar atmospheres)
 - radiative transfer without emission
- $$\frac{dI_\nu}{ds} = -\chi_\nu I_\nu \quad \rightarrow I_\nu(0) \xrightarrow{\text{---}} I_\nu(s) \xrightarrow{\text{---}}$$
- $$\frac{dI_\nu}{I_\nu} = -\chi_\nu(s) ds$$
- $$\ln I_\nu(s) - \ln I_\nu(0) = - \int_0^s \chi_\nu(s') ds'$$
- $$I_\nu(s) = I_\nu(0) e^{- \int_0^s \chi_\nu(s') ds'} = I_\nu(0) e^{-\tau_\nu(s)}$$
- ↑
optical depth,
central quantity
(more precisely: optical
thickness)
- OR
- $$I_\nu(\tau_\nu) = I_\nu(0) e^{-\tau_\nu}$$
- since $I_\nu \sim e^{-\tau_\nu}$, we look only until $\tau_\nu = 1$
(freq. dep. !)
 - Question: What is the average distance over which photons travel?
- Answer: $\langle \tau_\nu \rangle = \int_0^\infty \tau_\nu p(\tau_\nu) d\tau_\nu$
- ↑
expectation
value ↑
probability density function
- $p(\tau_\nu) d\tau$ gives probability, that photon is absorbed in interval $\tau_\nu, \tau_\nu + d\tau_\nu$

- is probability, that photon is not absorbed between $0, \tau_\nu$ and then absorbed between $\tau_\nu, \tau_\nu + d\tau_\nu$

- a) prob., that photon is absorbed

$$P(0, \tau_\nu) = \frac{\Delta I(\nu)}{I_0} = \frac{I_0 - I(\tau_\nu)}{I_0} = 1 - \frac{I(\tau_\nu)}{I_0}$$

- b) prob., that photon is not absorbed

$$1 - P(0, \tau_\nu) = \frac{I(\tau_\nu)}{I_0} = e^{-\tau_\nu}$$

- c) prob., that photon is absorbed in $\tau_\nu, \tau_\nu + d\tau_\nu$

$$P(\tau_\nu, \tau_\nu + d\tau_\nu) = \left| \frac{dI(\tau_\nu)}{I(\tau_\nu)} \right| = d\tau_\nu$$

- d) total probability is $e^{-\tau_\nu} d\tau_\nu$

THUS

$$\langle \tau_\nu \rangle = \int_0^\infty \tau_\nu e^{-\tau_\nu} d\tau_\nu = 1$$

mean free path \bar{s} corresponds to $\langle \tau_\nu \rangle = 1$
 $\Delta \tau_\nu = \chi_\nu \Delta s \quad \rightarrow \frac{\Delta s}{\bar{s}} = \frac{1}{\chi_\nu}$, q.e.d.

USUAL convention

- Since we "measure" from outside to inside, $\tau_\nu = 0$ is defined at outer "edge" of atmosphere

$$\Rightarrow ds = - \frac{dz}{\chi_\nu} \quad (\text{or } -dr)$$

$$\Rightarrow d\tau_\nu = - \chi_\nu \left(\frac{dz}{dr} \right) dz$$

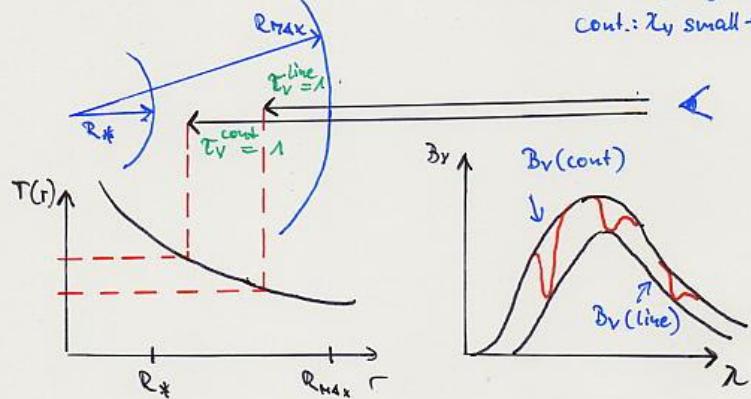
$\begin{cases} z=0 \\ r=R_X \end{cases} \quad \begin{cases} z=Z_{MAX} \\ r=R_{MAX} \end{cases}$

$\downarrow \tau_\nu \quad \downarrow \tau_\nu = \tau_{MAX}$

$\nearrow \tau_\nu \quad \nearrow \tau_\nu = 0$

Formation of spectral lines: the principle

- look always down to $\tau_v \approx 1$
- B&T line: χ_v large $\rightarrow S$ small
cont: χ_v small $\rightarrow S$ large



$$T(\tau_{\text{cont}}) > T(\tau_{\text{line}}) !$$

"Formal solution"

solve eq. of RT with known source function

- PP geometry

$$\mu \frac{dI_v}{dr} = \gamma_v - \chi_v I_v$$

$$\rightarrow \mu \frac{dI_v}{d\tau_v} = I_v - S_v \quad (\tau_v = 0 \text{ outside!})$$

- solution with integrating factor $e^{-\tau_v/\mu}$
multiply equation, integrate between τ_1 and τ_2
 τ_2 (inside) $>$ τ_1 (outside)

\Rightarrow

$$I_v(\tau_1, \mu) = I_v(\tau_2, \mu) e^{-(\tau_2 - \tau_1)/\mu} + \int_{\tau_1}^{\tau_2} S_v(t) e^{-(t - \tau_1)/\mu} \frac{dt}{\mu}$$

$\uparrow > 0 \checkmark \quad \uparrow > 0 \checkmark$

intensity "emitted" at τ_2 , loss (abs) by factor $e^{-\Delta\tau}$
 \Rightarrow pure absorption case

$\Delta\tau = (\tau_2 - \tau_1)/\mu$

gain by emission with subsequent absorption $e^{-(\tau_v - \tau_1)/\mu}$

$\cos \theta = \mu$

$\tau_1 \quad \tau_2 \quad \tau_v \text{ (} \rightarrow \text{inside)}$

Boundary conditions

- a) incident intensity from inside

$$\mu > 0 \text{ at } \tau_2 = \tau_{\text{max}}$$

• either $I_v(\tau_2 = \tau_{\text{max}}, \mu) = I_v^+(\mu)$ (e.g., from diffusion approx)

• or "semi-infinite" atmosphere
 $\tau_2 = \tau_{\text{max}} \rightarrow \infty$ with $\lim_{\tau_2 \rightarrow \infty} I_v(\tau_2, \mu) e^{-\tau_2/\mu} = 0$

($I_v(\tau_v, \mu)$ increases slower than exp.)

$$\Rightarrow I_v(\tau_v, \mu) = \int_{\tau_v}^{\infty} S_v(t) e^{-(t - \tau_v)/\mu} \frac{dt}{\mu} \quad \mu > 0$$

b) incident intensity from outside

$$\mu < 0 \text{ at } \tau_v = 0$$

- usually $I_v(0, \mu) = 0$ no irradiation from outside
(however, binaries!)

$$\Rightarrow I_v(\tau_v, \mu) = \int_{\tau_v}^0 S_v(t) e^{-(t-\tau_v)/\mu} \frac{dt}{\mu} \quad \mu < 0$$

$$= \int_0^{\tau_v} S_v(t) e^{-(\tau_v-t)/(-\mu)} \frac{dt}{(-\mu)} \quad (-\mu) > 0$$

c) emergent intensity = observed intensity
(if no extinction)

$$\tau_v = 0, \mu > 0$$

$$I_v^{\text{em}}(\mu) = \int_0^\infty S_v(t) e^{-t/\mu} \frac{dt}{\mu}$$

emergent intensity is Laplace-transformed of source function!

NOW: suppose that S_v is linear in τ_v i.e.)

$$S_v(\tau_v) = S_{v0} + S_{v1} \cdot \tau_v \quad (\text{Taylor expansion around } \tau_v = 0)$$

$$\Rightarrow I_v^{\text{em}}(\mu) = \int_0^\infty (S_{v0} + S_{v1} \cdot t) e^{-t/\mu} \frac{dt}{\mu} = \dots$$

$$= S_{v0} + S_{v1} \cdot \mu = S_v(\tau_v = \mu)$$

Eddington-Barbier-relation

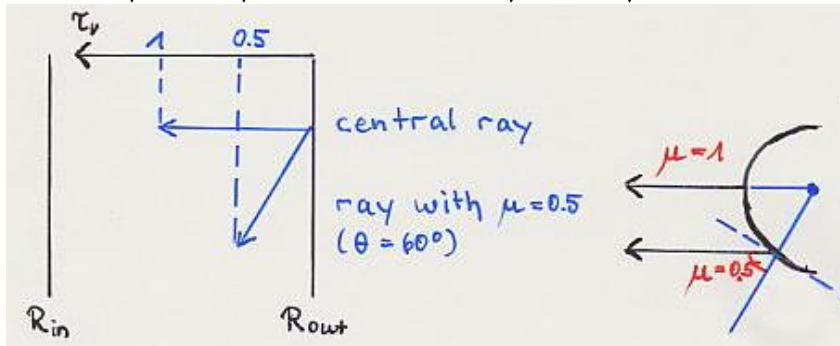
$$I_v^{\text{em}}(\mu) \approx S_v(\tau_v = \mu)$$

We “see” source function at location $\tau_v = \mu$ (remember: τ_v radial quantity)
 (corresponds to optical depth along path $\tau_v / \mu = 1$!)

Generalization of principle that we can see only until $\Delta\tau_v = 1$

i) spectral lines (as before)

for fixed μ , $\tau_v / \mu = 1$ is reached further out in lines (compared to continuum)
 $\Rightarrow S_v^{\text{line}}(\tau_v^{\text{line}} / \mu = 1) < S_v^{\text{cont}}(\tau_v^{\text{cont}} / \mu = 1)$ \Rightarrow "dip" is created



ii) limb darkening

for $\mu = 1$ (central ray), we reach maximum in depth (geometrical)
 temperature / source function rises with τ
 \Rightarrow central ray: largest source function, limb darkening

iii) “observable” information only from layers with $\tau_v \leq 1$
 deepest atmospheric layers can be analyzed only indirectly

Lambda operator and diffusion approximation

The Lambda operator

had mean intensity

$$\bar{J}_v = \frac{1}{2} \int_{-1}^{+1} I_v(\mu) d\mu = \frac{1}{2} \int_0^1 [I_v^+(\mu) + I_v^-(\mu)] d\mu \xrightarrow[\text{semi infinite atm.}]{\longrightarrow}$$

$$\frac{1}{2} \left\{ \int_0^1 d\mu \left[\underbrace{\int_{\tau_v}^{\infty} S_v(t) e^{-(t-\tau_v)/\mu} dt}_{\text{outwards}} \frac{1}{\mu} + \underbrace{\int_0^{\tau_v} S_v(t) e^{-(\tau_v-t)/\mu} dt}_{\text{inwards}} \frac{1}{\mu} \right] \right\}$$

$$= \left(x = \frac{1}{\mu}, \frac{dx}{x} = -\frac{du}{\mu} \right)$$

$$\frac{1}{2} \int_{\tau_v}^{\infty} dt S_v(t) \int_1^{\infty} e^{-(t-\tau_v)x} \frac{dx}{x} + \frac{1}{2} \int_0^{\tau_v} dt S_v(t) \int_1^{\infty} e^{-(\tau_v-t)x} \frac{dx}{x}$$

$$\left(\int_1^{\infty} e^{-t \cdot x} \frac{dx}{x} = \int_1^{\infty} \frac{e^{-x}}{x} dx = E_1(t) \right)$$

1st Exponential integral

$$\bar{J}_v(\tau_v) = \frac{1}{2} \int_0^{\infty} S_v(t) E_1(|t-\tau_v|) dt \quad \text{Karl Schwarzschild}$$

$$\text{with } \lambda_x[f] = \frac{1}{2} \int_0^{\infty} f(t) E_1(|t-\tau|) dt \quad \text{"Lambda Operator"}$$

$$\bar{J}_v(\tau_v) = \lambda_{\tau_v}(S_v) \quad \text{or} \quad \bar{J} = \lambda(S)$$

The diffusion approximation

- for large optical depths $S_v \rightarrow B_v$
- Question What is response of radiation field?
- expansion

$$S_v(t_v) = \sum_{n=0}^{\infty} \frac{d^n B_v}{dt_v^n} \Big|_{\tau_v} \frac{(t_v - \tau_v)^n}{n!}$$

- put into formal solution

$$\rightarrow I_v^+(\tau_v, \mu) = \sum_{n=0}^{\infty} \mu^n \frac{d^n B_v}{dt_v^n} = B_v(\tau_v) + \mu \frac{dB_v}{dt_v} + \mu^2 \frac{d^2 B_v}{dt_v^2} + \dots$$

I_v^- analogous, difference $O(e^{-\tau_v}/\mu)$

$$\Rightarrow \bar{J}_v(\tau_v) = \sum_{n=0}^{\infty} (2n+1)^{-1} \frac{d^{2n} B_v}{dt_v^{2n}} = B_v(\tau_v) + \frac{1}{3} \frac{d^2 B_v}{dt_v^2} + \dots \text{ even}$$

$$H_v(\tau_v) = \sum_{n=0}^{\infty} (2n+3)^{-1} \frac{d^{2n+1} B_v}{dt_v^{2n+1}} = \frac{1}{3} \frac{d B_v}{dt_v} + \dots \text{ odd}$$

$$K_v(\tau_v) = \sum_{n=0}^{\infty} (2n+3)^{-1} \frac{d^{2n} B_v}{dt_v^{2n}} = \frac{1}{3} B_v + \frac{1}{5} \frac{d^2 B_v}{dt_v^2} + \dots \text{ even}$$

\Rightarrow diffusion approx. for radiation field

$\tau_v \gg 1$, use only first order

$$I_v = B_v(\tau_v) + \mu \frac{dB_v}{dt_v} \quad \text{required to obtain } H_v \neq 0$$

$$\begin{aligned} J_v &= B_v(\tau_v) \\ H_v &= \frac{1}{3} \frac{d B_v}{dt_v} = -\frac{1}{3} \frac{1}{\tau_v} \frac{J B_v}{\partial T} \frac{dT}{dz} \\ K_v &= \frac{1}{3} B_v(\tau_v) \end{aligned} \quad \left. \begin{aligned} &> \\ &> \end{aligned} \right\} \quad \begin{aligned} f_v &= \frac{K_v}{J_v} = \frac{1}{3} \quad (\tau_v \gg 1) \\ &\text{"Eddington factor"} \end{aligned}$$

Solar limb-darkening

Empirical temperature stratification

- $H_v = -\frac{1}{3} \frac{1}{\chi_v} \frac{\partial B_v}{\partial \tau} \frac{\partial T}{\partial z}$

$\underbrace{\quad}_{>0}$

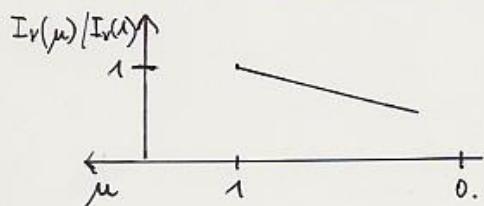
\Rightarrow in order to transport flux $H_v > 0$, $\frac{\partial T}{\partial z} < 0$,
i.e., temperature must decrease!

Application: solar limb-darkening

Had $I_v^{\text{em}}(\mu) = S_{v0} + \mu S_{v1}$

\rightarrow LTE $S_v = B_v$, $I_v^{\text{em}} = B_v(0) + \mu \frac{\partial B_v}{\partial \tau_v} \Big|_0$

$\rightarrow \frac{I_v(\mu)}{I_v(1)} = \frac{B_v(0) + \mu \partial B_v / \partial \tau_v}{B_v(0) + \partial B_v / \partial \tau_v}$



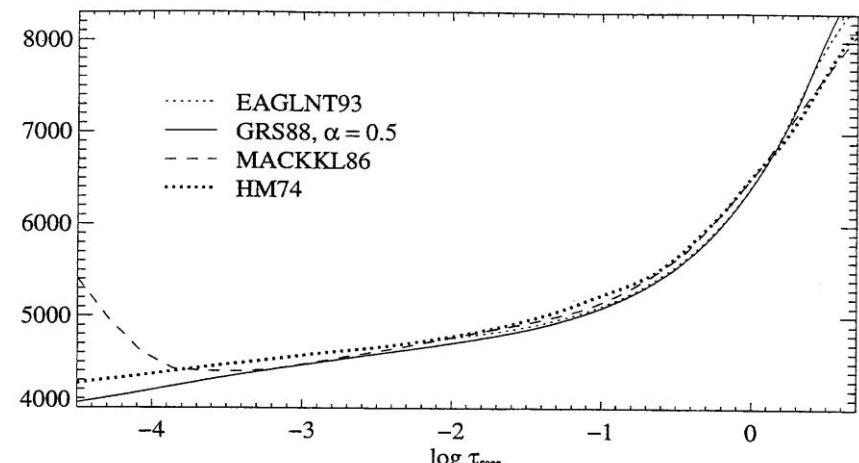
measurement
 $\Rightarrow B_v(0), \frac{\partial B_v}{\partial \tau_v} \Big|_0$

(one absolute measurement required, e.g., $B_v(0)$)

$\Rightarrow B_v(\tau) = B_v(0) + \frac{\partial B_v}{\partial \tau_v} \Big|_0 \cdot \tau =: \frac{2 h v^3}{c^2} \frac{1}{e^{h v / k T(\tau)} - 1}$

$\Rightarrow T(\tau)$, empirical temperature stratification
of solar photosphere

empirical temperature structure of solar photosphere
by Holweger & Müller (1974)



The Milne-Eddington model

The Milne - Eddington model for continua with scattering

- allows understanding of emergent (continuum) fluxes from stellar atmospheres
- can be extended to include lines
- required for curve of growth method (\rightarrow Chap. 7)

assume source function (\rightarrow page 66)

$$S_V = (1-g_V)B_V + g_V J_V \quad \text{with} \quad g_V = \frac{\sigma_{\text{ene}}}{K_V + \sigma_{\text{ene}}} \\ =: \varepsilon_V B_V + (1-\varepsilon_V)J_V, \quad \varepsilon_V = 1-g_V$$

and

$$B_V = a_V + b_V \cdot \tau_V \quad + \text{ plane-parallel symmetry}$$

- 0th moment

$$\frac{\partial H_V}{\partial \tau_V} = J_V - S_V, \quad d\tau_V = -(K_V + \sigma_{\text{ene}}) dz \\ = J_V - (\varepsilon_V B_V + (1-\varepsilon_V)J_V) = \varepsilon_V (J_V - B_V)$$

- 1st moment

$$\frac{\partial K_V}{\partial \tau_V} = H_V$$

in diffusion approximation, we had

$$K_V = \frac{1}{3} J_V \quad (\tau_V \rightarrow \infty)$$

- Eddington's approximation (1929, 'The formation of absorption lines')
use $K_V/J_V = \frac{1}{3}$ everywhere
... not so wrong

$$\Rightarrow \frac{\partial K_V}{\partial \tau_V} = H_V \rightarrow \frac{1}{3} \left(\frac{\partial J_V}{\partial \tau_V} \right) = H_V$$

\Rightarrow (with 0th moment)

$$\frac{1}{3} \frac{\partial^2 J_V}{\partial \tau_V^2} = \varepsilon_V (J_V - B_V) = \frac{1}{3} \frac{\partial^2 (J_V - B_V)}{\partial \tau_V^2},$$

since B_V linear in τ_V !

assume $\varepsilon_V = \text{const}$ (otherwise similar solution)

$$J_V - B_V = \text{const}' \cdot \exp(- (3\varepsilon_V)^{\frac{1}{2}} \tau_V) \quad [\text{with lower b.c.}] \\ [J_V \rightarrow B_V \text{ for } \tau \rightarrow \infty]$$

- Eddington's approximation implies also

a) $J_V(0) = \sqrt{3} H_V(0)$ (see problem 6.2.c)

b) $\frac{\partial K_V}{\partial \tau_V} = H_V \rightarrow \frac{1}{3} \left. \frac{\partial J_V}{\partial \tau_V} \right|_0 = H_V(0)$

Thus $\frac{1}{3} \left. \frac{\partial J_V}{\partial \tau_V} \right|_0 = J_V(0)$

\Rightarrow insert in above equation

$$\text{const}' = \frac{b_V \sqrt{3} - a_V}{(1 + \varepsilon_V^{\frac{3}{2}})}$$

$$\Rightarrow J_V = a_V + b_V \tau_V + \frac{b_V \sqrt{3} - a_V}{1 + \varepsilon_V^{\frac{3}{2}}} e^{-(3\varepsilon_V)^{\frac{1}{2}} \tau_V}$$

$$J_v = a_v + b_v \tau_v + \frac{b_v/\sqrt{3} - a_v}{1 + \epsilon_v^{1/2}} e^{-(3\epsilon_v)^{1/2} \tau_v}$$

$$J_v(0) = a_v + \frac{b_v/\sqrt{3} - a_v}{1 + \epsilon_v^{1/2}}$$

$$H_v(0) = \frac{1}{\sqrt{3}} J_v(0)$$

- assume isothermal atmosphere, $b_v = 0$
(possible, if gradient not too strong)

$$\Rightarrow J_v(0) = \frac{\epsilon_v^{1/2}}{1 + \epsilon_v^{1/2}} a_v \quad \begin{matrix} \nearrow B_v/2 \text{ for } \epsilon_v=1, \text{ i.e. } \sigma=0 \\ \searrow \epsilon_v^{1/2} B_v \ll B_v \text{ for } \epsilon_v \ll 1 \end{matrix}$$

$$\rightarrow J_v(0) < B_v(0) !!!$$

• Thermalization

only for large arguments of the exponent,
we have $J_v \approx B_v$

$$\Rightarrow \tau_v \gtrsim \frac{1}{\epsilon_v^{1/2}} \quad \text{+ thermalization depth}$$

a) $\sigma \ll K^+ \Rightarrow J_v(\tau_v \approx 1) \rightarrow B_v$

b) SN remnants: scattering dominated,
very large thermalization depth

• pure scattering (test case)

$$\frac{\partial H_v}{\partial \epsilon_v} = J_v - S_v = 0 \quad \text{for } \epsilon_v = 0 \quad \text{flux conservation}$$

$$+ H_v = \frac{1}{3} \frac{\partial B_v}{\partial \epsilon_v} \quad \text{from diffusion limit}$$

in Milne Eddington model

$$H_v(0) = \frac{1}{\sqrt{3}} \left(a_v + \frac{b_v/\sqrt{3} - a_v}{1 + \epsilon_v^{1/2}} \right) \xrightarrow{\epsilon_v \gg 0} \frac{b_v}{\sqrt{3}} \stackrel{!}{=} \frac{1}{3} \frac{\partial B_v}{\partial \epsilon_v}$$

consistent result

• Question: Why $J_v(0) \ll B_v(0)$?

- remember: $J_v(0)$ determined by $S_v(\tau_v=1)$
- $J_v(1)$ might fall significantly below $B_v(1)$,
since many photons can escape from
photosphere (into interstellar medium)
- minimum value is given by incident flux,
if no thermal emission

• interesting possibility

if ϵ_v small, $H_v(0)$ can become larger
than $H_v(0)$ ($\epsilon_v=1$), if

$$a_v + \frac{b_v/\sqrt{3} - a_v}{2} < \frac{b_v}{\sqrt{3}}, \text{ i.e. } \frac{b_v}{a_v} > \sqrt{3}$$

$$J_v(0, \epsilon_v=1) \quad J_v(0, \epsilon_v \ll 1)$$

i.e. for large temperature gradients

(information is transported from hotter regions
to outer boundary by scattering dominated
stratifications)

• further consequences later

Chap. 6 – Stellar atmospheres

Basic assumptions

1. Geometry

plane-parallel or spherically symmetric (-> Chap. 3)

2. Homogeneity

atmospheres assumed to be homogenous (both vertical and horizontal)

BUT: sun with spots, granulation, non-radial pulsations ...

white dwarfs with depth dependent abundances (diffusion)

stellar winds of hot stars (partly) with clumping ($\langle \rho^2 \rangle \neq \langle \rho \rangle^2$)

HOPE: "mean" = homogenous model describes non-resolvable phenomena in a reasonable way

[attention for (magnetic) Ap-stars: **very** strong inhomogeneities!]

3. Stationarity

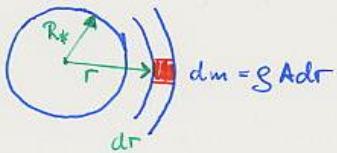
vast majority of spectra time-independent => $\partial/\partial t = 0$

BUT: explosive phenomena (supernovae)

pulsations

close binaries with mass transfer ...

Density stratification



mass element dm
in (spherically sym.) atmosphere

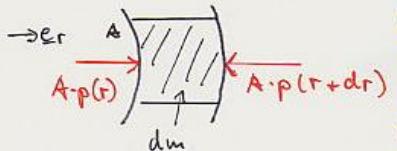
assume (at first) no velocity-fields, i.e. **hydrostatic stratification**

$$\sum_i df_i = 0, \text{ if } f_i \text{ are forces acting on } dm$$

- $df_{\text{grav}} = -G \frac{Mr dm}{r^2} = -g(r) dm$ with grav. accel.

$$g(r) = \frac{GM_r}{r^2} \text{ and } M_r \text{ mass within } r$$

- df_p pressure forces



gas pressure causes forces on surfaces $\perp e_r$. Forces on surfaces $\parallel e_r$ compensate each other in spherical (or p-p) symmetry

$$df_p = A \cdot p(r) - A \cdot p(r+dr) = -A \frac{dp}{dr} dr$$

- df_{rad} (radiation force) = $\text{grad}(r) dm$

$$\sum dk_i = -g(r) dm + \text{grad}(r) dm - A \frac{dp}{dr} dr = 0$$

$$dm = A \cdot g(r) dr$$

$$\Rightarrow \frac{1}{S} \frac{dp}{dr} = -g(r) + \text{grad}(r) \quad \text{or}$$

$$\frac{dp}{dr} = -g(r) [g(r) - \text{grad}(r)]$$

Hydrostatic equilibrium

Approximation $\parallel g(r) = \frac{GM_r}{r^2} \rightarrow \frac{GM_\odot}{r^2} \parallel$

since mass within atmosph: $M(r) - M(R_\odot) \ll M(R_\odot)$

example: the sun

$$\Delta M_{\text{phot}} = \bar{S} \frac{4\pi}{3} ((R+\Delta r)^3 - R^3) \approx \bar{S} 4\pi R^2 \Delta r$$

$$R \approx 2 \cdot 10^{10} \text{ cm}, \Delta r \approx 3 \cdot 10^8 \text{ cm (later)}, \bar{S} \approx m_H \bar{N}, \\ \text{with } \bar{N} = 10^{15} \text{ cm}^{-3} \text{ and } m_H \approx 1.6 \cdot 10^{-24} \text{ g}$$

$$\Rightarrow \Delta M_{\text{phot}} \approx 3 \cdot 10^{21} \text{ g} \ll M_\odot \approx 2 \cdot 10^{33} \text{ g}$$

(same argument holds also if atmosphere is extended)

in plane-parallel geometry, we have additionally

$$\Delta r \ll R_\odot, \text{ thus } \parallel g(r) = g_* = \frac{GM_\odot}{R_\odot^2} \parallel$$

examples main seq. stars
supergiants
white dwarfs

$$\log g [\text{cgs}] \approx 4 \\ (0 \rightarrow A) \quad 3.5 \dots 0.8 \\ 8!$$

Sun 4.44
earth 3.0

- if stellar wind present, **hydrodynamic** description

$$\dot{M} = 4\pi r^2 g(r) v(r) \quad \text{equation of continuity}$$

$$\rightarrow v(r) = \frac{\dot{M}}{4\pi r^2} \frac{1}{r^2 g(r)} \neq 0 \text{ (everywhere)}$$

Question When are velocity fields important,
i.e. induce significant deviations from
hydrostatic equilibrium?

Equation of continuity:

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0$$

Equation of momentum
("Euler equation")

$$\frac{\partial \rho \mathbf{v}}{\partial t} + \underbrace{\nabla \cdot (\rho \mathbf{v} \mathbf{v})}_{\mathbf{v}[\nabla \cdot (\rho \mathbf{v})] + [\rho \mathbf{v} \cdot \nabla] \mathbf{v}} = -\nabla p + \rho \mathbf{g}^{\text{ext}}$$

stationarity, i.e., $\frac{\partial}{\partial t} = 0$
and spherical symmetry,
i.e., $\nabla \cdot \mathbf{u} \rightarrow \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 u_r)$

$$r^2 \rho v = \text{const} = \frac{\dot{M}}{4\pi} \quad (\text{I})$$

with $\nabla \cdot (\rho \mathbf{v}) = 0$

$$\underline{\rho v \frac{\partial v}{\partial r}} = -\frac{\partial p}{\partial r} + \rho g_r^{\text{ext}} \quad (\text{II})$$

"advection term",
(from inertia)

I: Conservation of mass-flux (Chap. 3)

II: "Equation of motion"

$$\Rightarrow \frac{\partial p}{\partial r} = \rho(r) \left(-\frac{GM}{r^2} + g_{\text{Rad}}(r) \right) - \rho(r)v(r) \frac{\partial v}{\partial r}$$

$$P = \frac{k_B}{\mu m_H} ST \quad \text{equation of state for ideal gas,}$$

μ mean molecular weight

$$\begin{aligned} \rightarrow \frac{dp}{dr} &= \frac{k_B}{\mu m_H} \left(T \frac{ds}{dr} + S \frac{dT}{dr} \right) \\ &= v_{\text{sound}}^2 \left(\frac{ds}{dr} + S \frac{dT}{dr} \right) \end{aligned}$$

- with $M = 4\pi r^2 \rho v = \text{const}$

$$r^2 S \frac{dv}{dr} = -2r \rho v - r^2 v \frac{ds}{dr}$$

$$S v \frac{dv}{dr} = -\frac{2\rho v^2}{r} - v^2 \frac{ds}{dr}$$

⇒

Exercise:

Show, by using the cont. eq.,
that the Euler eq. can
be alternatively written as

$$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} = -\frac{\nabla p}{\rho} + \mathbf{g}^{\text{ext}}$$

alternative formulation of equation of motion

$$(v_s^2 - v^2) \frac{ds}{dr} = -g \left(g_{\text{grad}} + \frac{dv^2}{dr} - \frac{2v^2}{r} \right) \quad \text{hydrodyn.}$$

$$v_s^2 \quad \frac{ds}{dr} = -g \left(g_{\text{grad}} + \frac{dv^2}{dr} \right) \quad \text{hydrostatic}$$

- conclusion: For $v \ll v_{\text{sound}}$, density stratification becomes ("quasi"-) hydrostatic

- is reached in deeper photospheric layers
(well below "sonic point", defined by $v(r_s) = v_s$)

example v_{sound} (solar photosphere) $\approx 6 \text{ km/s}$
" (O-stars) 20 km/s

- density stratification for stars with wind

a) deep layers $g(r)$ hydrostatic (\rightarrow next sec.)

$$\rightarrow v(r) = \frac{M}{4\pi r^2} \frac{1}{r^2 g} \quad (v \ll v_{\text{sound}})$$

c) outer layers $v(r)$, from obs. or theory

$$\rightarrow g(r) = \frac{M}{4\pi} \frac{1}{r^2 v}$$

b) intermediate (transonic) regions
(smooth) transition from a) to c)

Barometric formula

The barometric formula

had hydrostatic equation ($v(r) \ll v_s$)

$$v_s^2 \frac{dg}{dr} = -g \left(g - \text{grad} + \frac{dv_s^2}{dr} \right) \text{ and } v_s^2 = \frac{k_B T}{\mu m_H}$$

→ for given $T(r)$, $\text{grad}(r)$: $g(r)$ by num. integration

NOW analytic approximation

Neglect photospheric extension $\rightarrow g(r) = g_* = \text{const}$ ✓

radiative acceleration \rightarrow main seq. etc

$\frac{dv_s^2}{dr}$, shall be small against other terms
 \rightarrow neglect of $\frac{dT}{dr}$

$$\Rightarrow v_s^2 \frac{dg}{dr} = -g g_*$$

$$\frac{dg}{g} = -g_* / v_s^2$$

barometric formula

$$g(r) = g(r_0) e^{-\frac{(r-r_0)g_*}{v_s^2}} = g(r_0) e^{-\frac{r-r_0}{H}}$$

$$(g(z) = g(0) e^{-z/H})$$

$$\text{with pressure scale height } H = \frac{kT}{m_H \cdot \mu \cdot g_*}$$

- extension no longer negligible, if H significant fraction of R_\star

$$H/R_\star = \frac{kT R_\star}{m_H \mu G M} = \frac{v_s^2}{g R_\star} = \frac{2 v_s^2}{v_{\text{esc}}^2}$$

with v_{esc} photospheric esc. velocity

$$= \left(\frac{2GM}{R_\star} \right)^{\frac{1}{2}} = (2g R_\star)^{\frac{1}{2}} \quad [\text{from } \frac{m}{2} v^2 = \frac{GMm}{R_\star}]$$

$$\text{example sun } v_s \approx \left(\frac{1.38 \cdot 10^{-16} \cdot 5700}{1.2 \cdot 10^{-24}} \right)^{\frac{1}{2}} \approx 6.8 \text{ km/s}$$

$$v_{\text{esc}} \approx (2 \cdot 10^{4.44} \cdot 2 \cdot 10^{10})^{\frac{1}{2}} \approx 620 \text{ km/s}$$

$$\Rightarrow H/R_\star \approx 2.5 \cdot 10^{-4}, \quad H \approx 120 \text{ km}$$

Alternative solution

had also

$$\frac{1}{g} \frac{dp}{dr} = -g + \text{grad}$$

$$\text{grad} = -\frac{1}{g} \nabla \cdot P \quad (\rightarrow \text{Chap 2})$$

$$\Rightarrow \frac{1}{g} \frac{dP_{\text{tot}}}{dr} = -g, \quad P_{\text{tot}} = P_{\text{gas}} + P_{\text{rad}},$$

$\nabla \cdot P$ only comp. in rad. direct.

define column density $dm = -g dr$
 in analogy to $dx = -x dr$ optical depth

$$\Rightarrow \frac{dP_{\text{tot}}}{dm} = g, \quad P_{\text{tot}} = g \cdot m \quad \text{exact}$$

Eddington limit

or

$$\frac{dp_{\text{gas}}}{dm} = g - g_{\text{rad}} = g - \frac{4\pi}{CG} \int_0^{\infty} \chi_v H v dv$$

- solution by numerical integration
 - analytic approx: neglect... as before
- $P_{\text{gas}} = g_* \cdot m$
- $$g_* = \frac{g_* \mu_{\text{H}}}{k \cdot T} \cdot m = \frac{1}{H} \cdot m$$
- or $\log g = \log m - \log H$

Example

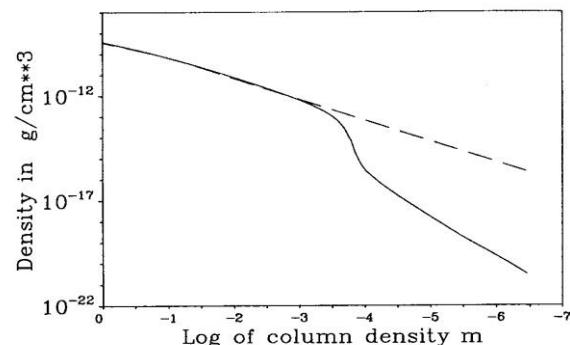


Fig. 16. Mass density ρ as function of logarithm of atmospheric column density m for a typical unified model (solid) and a hydrostatic model (dashed) with similar T_{eff} and $\log g$

Exercise: derive H directly from above figure
compare with result from
calculation of H ($T_{\text{eff}} = 40,000$ K, $\log g = 3.6$)

The Eddington limit

$$\frac{dp_{\text{gas}}}{dm} = g - g_{\text{rad}} = g_{\text{eff}} \quad (\text{without rotation})$$

↑ ↑
inwards outwards

- $g_{\text{rad}} = \frac{4\pi}{CG} \int_0^{\infty} \chi_v H v dv$ in static atmospheres (χ_v isotropic)
- minimum value (\geq main part of total continuum rad. acceleration in outer atmospheres of hot stars)
 Thomson scattering

$$g_{\text{rad}} = \frac{4\pi}{CG} \int_0^{\infty} \sigma^{TH} H v dv = \frac{4\pi}{C} \frac{n_{\text{He}}}{S} H(\tau)$$

neut, freq.
independent

\downarrow 0.34 H/He fully ionized
 \searrow 0.4 pure H, fully ionized

Define $\Gamma_e = \frac{g_{\text{rad}}}{g_{\text{grav}}} = \frac{\frac{4\pi}{C} S e}{\frac{GM}{r^2}} = \text{const}$ (for $S e = \text{const}$)

$$= \frac{L}{4\pi C GM} S e = 7.64 \cdot 10^{-5} \cdot S e \cdot \frac{L/L_0}{H/M_\odot}$$

- $\Gamma_e = 1$ defines "Eddington limit": unstable atmosphere
- $g_{\text{eff}} = g - g_{\text{rad}} = g(1 - \Gamma_e)$ ($-g_{\text{rad}}$)
defines "effective" gravity

- NOTE • bound-free+free-free absorption has similar contribution (in intermediate layers)
- bound-bound absorption dominates the radiative acceleration in hot, luminous stars → "line driven winds"

Summary: stellar atmospheres - the solution principle

THUS problem of stellar atmospheres solved (in principle, without convection,
 Given $\log g_*$, T_{eff} , abundances p-p geometry, static)

(A) hydrostatic equilibrium

$$\frac{dp_{\text{gas}}}{dz} = -g(g_* - g_{\text{rad}}); \quad g_{\text{rad}} = \frac{4\pi}{cS} \int_0^{\infty} \chi_v H v dv = \frac{4\pi}{cS} \left(\sigma^{T_{\text{eff}}} H(z) + \int_0^{\infty} \chi_v^{\text{rest}} H v dv \right)$$

$$\rightarrow \frac{dp_{\text{gas}}}{dz} = -g g_* + \sigma^{T_{\text{eff}}} \frac{\sigma_B T_{\text{eff}}^4}{c} + \frac{4\pi}{c} \int_0^{\infty} \chi_v^{\text{rest}} H v dv$$

$$H = \frac{1}{4\pi} \sigma_B T_{\text{eff}}^4 \quad (= \frac{1}{4\pi} F)$$

(B) equation of rad. transfer

$$\mu \frac{dI_\nu}{dz} = \chi_\nu (S_\nu - I_\nu) \quad \forall \nu, \mu \Rightarrow J_\nu = \frac{1}{2} \int_{-1}^{+1} I_\nu(\mu) d\mu; \quad H_\nu = \frac{1}{2} \int_{-1}^{+1} I_\nu(\mu) \mu d\mu$$

(C) a) radiative equilibrium

$$\int_0^{\infty} (\chi_\nu - \chi_\nu J_\nu) dv = \int_0^{\infty} \left\{ \sigma^{T_{\text{eff}}} J_\nu + \chi_\nu^{\text{rest}} S_\nu^{\text{rest}} - (\sigma^{T_{\text{eff}}} + \chi_\nu^{\text{rest}}) J_\nu \right\} dv = \int_0^{\infty} \chi_\nu^{\text{rest}} (S_\nu^{\text{rest}} - J_\nu) dv = 0$$

scattering terms cancel, since conservative

$$\text{b) flux-conservation: } 4\pi \int_0^{\infty} H_\nu(z) dv = 4\pi H(z) \stackrel{?}{=} \sigma_B T_{\text{eff}}^4 \Rightarrow \Delta T(z) \rightarrow \Delta \chi_\nu(z) \text{ etc}$$

(D) equation of state $p_{\text{gas}}(z) = \frac{k_B}{\mu m_H} g(z) T(z)$

solution by iteration!

Solution of differential equations A and B by **discretization**
differential operators => finite **differences**
 all quantities have to be evaluated on suitable grid

Eq. of radiative transfer (B)
 usually solved by the so-called
 Feautrier and/or Rybicki scheme

Grey temperature stratification

- for iteration, we need initial values
- analytic understanding

\Rightarrow "grey" approximation

assume $\chi_v = \chi$, freq. independent opacities
(corresponds to suitable averages)

$$\begin{aligned} \Rightarrow \mu \frac{dI_\nu}{dr} &= I_\nu - S_\nu && \cong \text{radiative eq.} \\ \frac{dH_\nu}{dr} &= J_\nu - S_\nu && \left. \begin{array}{l} (\text{freq. integr.}) \\ J = \int_0^\infty J_\nu d\nu \end{array} \right\} \\ \frac{dK_\nu}{dr} &= H_\nu && \text{etc} \end{aligned}$$

$$\frac{dH}{dr} = J - S \quad (=0)$$

$$\frac{dK}{dr} = H$$

$$\Rightarrow \frac{dK}{dr} = H, \text{ i.e. } K = H \cdot r + C$$

For large $r \gg 1$, we know from diff. approx.
that $K_\nu / J_\nu = \frac{1}{3}$

Eddington's approx. $K/J = \frac{1}{3}$ everywhere

$$\Rightarrow J = 3H(r + c)$$

From rad. equilibrium

$$J = S, \quad S = 3H(r + c)$$

- remember λ -operator

$$J = \lambda_T(S)$$

- analogous

$$H = \phi_T(S), \text{ in particular}$$

$$H(0) = \frac{1}{2} \int_0^\infty S(t) E_2(t) dt \quad E_2 \text{ 2nd Exp. integral}$$

$$\Rightarrow H(0) = \frac{1}{2} \int_0^\infty (3H(t+c)) E_2(t) dt = \dots$$

$$\dots H\left(\frac{1}{2} + c\frac{3}{4}\right)$$

$$\text{But } H(0) = H, \text{ i.e. } \left(\frac{1}{2} + c\frac{3}{4}\right) = 1$$

$$c = \frac{2}{3} \text{ in Eddington approx}$$

Exact sol. $c = q(r)$, "Hoff function",
 $0.51 < q(r) < 0.71$

$$J = 3H(r + 2/3)$$

$$H = \frac{\sigma T_{\text{eff}}^4}{4\pi} \quad ; \quad J \xrightarrow{\text{LTE}} B = \frac{\sigma_B T^4}{\pi}$$

Finally

$$\parallel T^4 = \frac{3}{4} T_{\text{eff}}^4 (r + 2/3) \parallel \text{ grey temp. in Eddington approx!}$$

consequences

$$\bullet T = T_{\text{eff}} \text{ at } r = 2/3$$

$$\bullet T(0)/T_{\text{eff}} = \left(\frac{1}{2}\right)^{1/4} \approx 0.841$$

grey temp. in spherical symmetry

basic difference

$$J, H \sim \frac{1}{r^2} \text{ for } r \gg R_* \quad \text{quadratic dilution}$$

$$J/K = 1 \text{ for } r \gg R_*$$

result

$$T^4(r) = T_{\text{eff}}^4 \left(W + \frac{3}{4} \tau' \right)$$

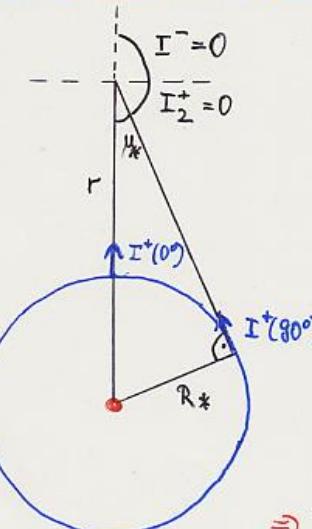
W dilution factor, $\frac{1}{2} \left[1 - \left(1 - \left(\frac{R_*}{r} \right)^2 \right)^{\frac{1}{2}} \right]$

$$\tau' = \int_r^\infty \chi(r) \left(\frac{R_*}{r} \right)^2 dr$$

NOTE

$$T^{\text{sph}}(r) \xrightarrow{r \rightarrow R_*} T^{\text{pp}}(\tau)$$

Radiation field in optically thin envelopes



assume

- envelope optically thin
⇒ $I = \text{const}$
- radiation field leaving photosphere isotropic
⇒ $I_{\text{phot}}^+(\mu) = \text{const}$

$$\Rightarrow J_v(r) = \frac{1}{2} \int_{-\mu^*}^{+\mu^*} I_v(r) d\mu \rightarrow \\ = \frac{1}{2} \int_{\mu^*}^{-1} I_v^+(R_*) d\mu + \frac{1}{2} \int_0^{\mu^*} I_2^+ d\mu + \frac{1}{2} \int_{-1}^0 I^- d\mu$$

$$= \frac{1}{2} I_v^+(R_*) (1 - \mu^*)$$

$$\sin \theta = \frac{R_*}{r} \Rightarrow \mu^* = \cos \theta = \sqrt{1 - \left(\frac{R_*}{r} \right)^2}$$

$$J_v(r) = W \cdot I_v^+(R_*) , \quad W = \frac{1}{2} \left(1 - \left(1 - \frac{R_*^2}{r^2} \right)^{\frac{1}{2}} \right)$$

"Dilution factor"

exercise: show that for $r \gg R_*$,

$$J_v(r) \approx H_v(r) \approx K_v(r)$$

Rosseland opacities

Rosseland opacities

grey approximation $\chi_v = \chi$

BUT ionization edges, lines, bf-opacities $\sim v^{-3}$...

Question can we define suitable means which might replace the grey opacity?

answer not generally, but in specific cases

most important Rosseland mean

($\rightarrow T$ -stratification, stellar structure,...)

$$\frac{dK_v}{dz} = -\chi_v H_v \quad \text{exact}$$

- require, that freq. integration results in correct flux

$$\rightarrow - \int_0^{\infty} \frac{1}{\chi_v} \frac{dK_v}{dz} dv = \int_0^{\infty} H_v dv = H = - \frac{1}{\chi} \frac{dK}{dz}$$

Problem: to calculate $\bar{\chi}$, we have to know K_v

- thus, use additionally diffusion approx.

$$K_v = \frac{1}{3} B_v$$

$$\Rightarrow \bar{\chi}_R^{-1} = \int_0^{\infty} \frac{1}{\chi_v} \frac{1}{3} \frac{\partial B_v}{\partial T} \frac{dT}{dz} dv / \int_0^{\infty} \frac{1}{3} \frac{\partial B_v}{\partial T} dv$$

$$= \int_0^{\infty} \frac{1}{\chi_v} \frac{\partial B_v}{\partial T} dv / \left(\frac{4\sigma_B}{\pi} T^3 \right) \quad \left[\int B_v dv = \frac{\sigma_B}{\pi} T^4 \right]$$

$$\Rightarrow \frac{1}{\partial T} = 4 \frac{\sigma_B}{\pi} T^3$$

Rosseland opacity

$$\bar{\chi}_R = \frac{4\sigma_B T^3}{\pi} / \left(\int_0^{\infty} \frac{1}{\chi_v} \frac{\partial B_v}{\partial T} dv \right)$$

- can be calculated without rad. transfer
- harmonic weighting: maximum flux transport where χ_v is small!

- from construction ($\text{for } \tau_e \gg 1$)

$$\frac{1}{\bar{\chi}_R} = \frac{\int \frac{1}{3} \frac{1}{\chi_v} \frac{\partial B_v}{\partial z} dv}{\int \frac{1}{3} \frac{\partial B_v}{\partial z} dv} \Rightarrow \frac{\int H_v dv}{\frac{1}{3} \frac{dT}{dz} \int \frac{\partial B_v}{\partial T} dv} = \frac{H}{\frac{1}{3} \frac{\sigma_B}{\pi} T^3 \frac{dT}{dz}}$$

$$\rightarrow \text{i) } F = 4\pi H = \frac{16\sigma_B}{3} T^3 \frac{dT}{d\tau_R}$$

ii) in radial geom.

$$\frac{H(r)}{4\pi r^2} = \frac{16\sigma_B}{3} r^3(\tau) \frac{dT}{d\tau} \quad (\text{used for stellar struct.})$$

$$\text{iii) integrate i), } + F = \sigma_B T_{\text{eff}}^4$$

$$\rightarrow T^4 = T_{\text{eff}}^4 \frac{3}{4} (\tau_{\text{Ross}} + c) \quad \text{as in grey case!}$$

THUS possibility to obtain initial (or approx.) values for temp.-stratification
(\approx exact for large optical depths!)

calculate (LTE) opacities χ_v
calculate $\bar{\chi}_R(\tau_R)$
calculate $T(\tau_R)$

again,
iteration
required

... back to Milne Eddington Model (page 75)

$$\text{had } B_V(\tau_V) = a_V + b_V \tau_V \quad \text{linear approx}$$

$$\text{and } J_V(0) = \frac{b_V}{T_0^3} \quad \text{for } \epsilon_V = 0 \quad \text{pure scattering}$$

$$= a_V + \frac{b_V T_0 - a_V}{2} \quad \text{for } \epsilon_V = 1 \quad \text{purely thermal}$$

$$\epsilon_V = \frac{k_V^+}{k_V^+ + \tau_{\text{eff}} u_0}$$

- since temperature stratification known by now, can perform some estimates concerning continuum fluxes

$$\text{had } T^4 \approx T_{\text{eff}}^4 \frac{3}{4} (\tau_R + 2/3) \quad \left. \begin{array}{l} \\ \end{array} \right\} T^4 = T(0)^4 (1 + \frac{3}{2} \tau_R)$$

$$T(0)^4 = T_{\text{eff}}^4 \frac{3}{4} \cdot 2/3$$

$$B_V(\tau_R) \approx B_V(T_0) + \left(\frac{\partial B_V}{\partial \tau_R} \right)_0 \tau_R = B_0 + B_1 \tau_R$$

$$\Rightarrow B_1 = \left. \frac{\partial B_V}{\partial \tau_R} \right|_{T_0} = B_V \frac{\frac{\hbar V}{kT} \cdot \frac{1}{T} e^{-\hbar V/kT}}{\left(e^{-\hbar V/kT} - 1 \right)} \Big|_{T_0} \left. \frac{\partial T}{\partial \tau_R} \right|_{T_0}$$

$$= B_V \frac{u_0}{1 - e^{-u_0}} \left. \frac{1}{T_0} \frac{\partial T}{\partial \tau_R} \right|_0 \quad \text{with } u_0 = \frac{\hbar V}{kT_0}$$

$$4T^3 \frac{\partial T}{\partial \tau_R} = T^4(0) \frac{3}{2}, \quad \left. \frac{\partial T}{\partial \tau_R} \right|_{T_0} = \frac{3}{8} T_0$$

Thus $B_1 = B_0 \frac{u_0}{1 - e^{-u_0}} \frac{3}{8} \rightarrow \text{(Rayleigh-Jeans)} B_1 = \frac{3}{8} B_0$

$\rightarrow \text{(Wien)} B_1 = \frac{3}{8} u_0 B_0$

example $T_{\text{eff}} = 40000 \text{ K}, \lambda = 500,912 \text{ Å}$

$T_0 = 33600 \text{ K}$
 $u_0 = \frac{8.56}{4.70} \rightarrow B_1 \approx \frac{3.21}{1.76} B_0$

$\Rightarrow \text{if } (k_V^+ + \tau_V) \approx \bar{\chi}_V \quad J_V(0, \epsilon_V = 1) \approx \frac{1.42}{1.0} B_0$

$H_V(0) = \frac{1}{T_0^3} J_V(0) \quad J_V(0, \epsilon_V = 0) \approx \frac{1.85}{1.0} B_0$

can look down deeper into atm.

- additional effect 1

T-stratification with respect to $\tau_R(\bar{\chi}_R)$, but radiation transfer with respect to freq. τ_V

$$J_V = B_V + \dots = a_V + b_V \tau_V + \dots$$

$$B_V = B_{V0} + B_1 \bar{\chi}_R = B_{V0} + B_1 \tau_V \frac{\bar{\chi}_R}{T_0} \approx B_{V0} + B_1 \underbrace{\frac{\bar{\chi}_R}{\bar{\chi}_V} \cdot \tau_V}_{\delta \tau_V}$$

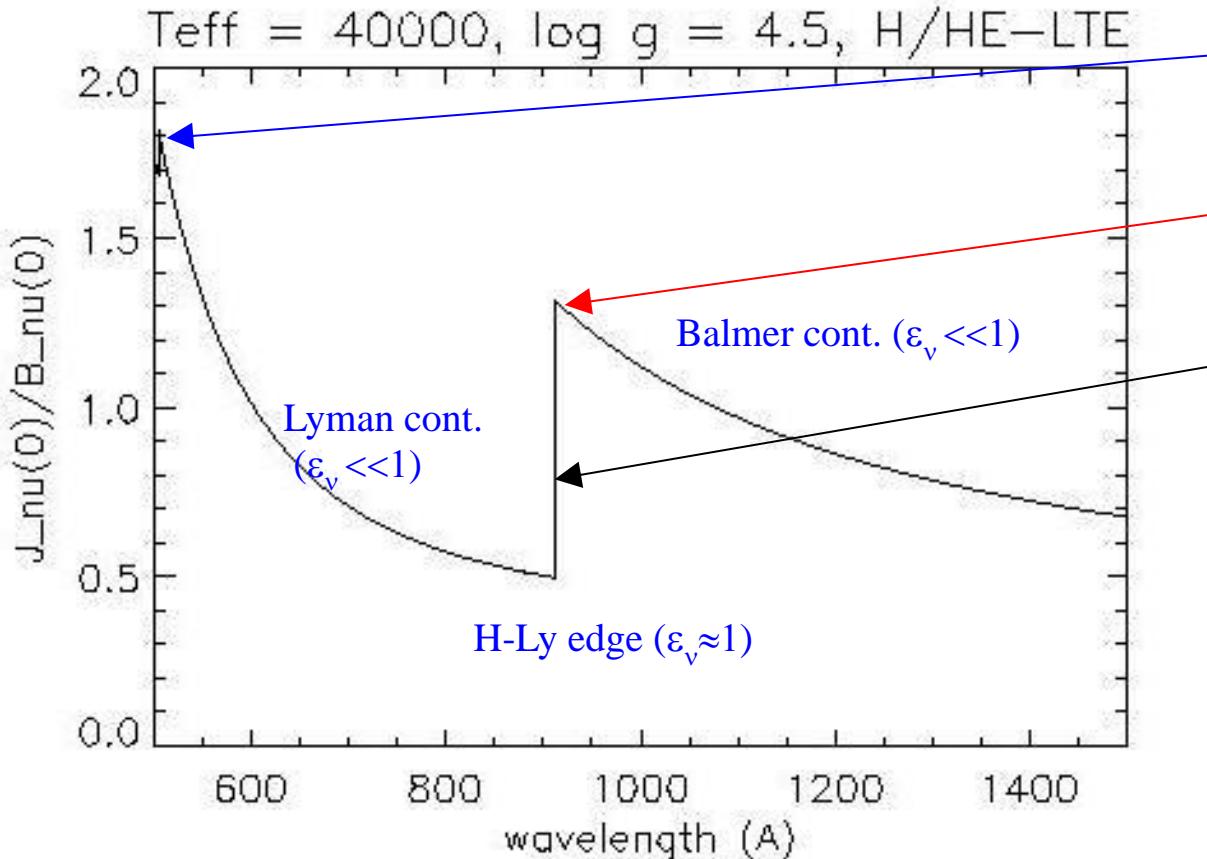
effective gradient increased,
if k_V small compared to $\bar{\chi}_R$

- additional effect 2

far away from ionization edges (where ϵ_V is small, anyway), also $\bar{\chi}_V$ small

$(k_V^+ \sim \left(\frac{V_0}{V} \right)^3, \text{ cf Chapter 5}) \rightarrow \text{additional enhancement}$

H/He continuum of a hot star around 1000 Å



Predictions

Lyman cont: $J_{\nu} / B_{\nu} \geq 1.85$, **OK**
(at 500 Å) $(\chi_{\nu} \approx \chi_R)$

Balmer cont: $J_{\nu} / B_{\nu} \geq 1.01$, **OK**
(at 912 Å) $(\chi_{\nu} < \chi_R)$

Lyman edge: $J_{\nu} / B_{\nu} \leq 1.0$, **OK**
(911 Å) $(\chi_{\nu} > \chi_R)$

note: large opacity leads to very small effective T-gradient,
minimum value $J_{\nu} / B_{\nu} = 0.5$,
(cf. page 76)

Convection (simplified)

Convection

energy transport not only by radiation,
however also by

- waves
- heat conduction } not efficient in typical stellar atmospheres, but ... coronae, chromospheres white dwarfs
- convection

Thus

$$\text{total flux} = \text{const}$$

$$\nabla \cdot (\underline{F}^{\text{rad}} + \underline{F}^{\text{conv}}) \downarrow = 0 \quad (\text{in quasi-hydrostatic atmospheres})$$

or

$$\frac{dF^{\text{conv}}}{dz} = -\frac{dF^{\text{rad}}}{dz} = -4\pi \int_0^r dv \chi_v (S_v - J_v)$$

energy transport by

radiation

most efficient way is chosen

early
 $O \rightarrow A$

spectral type late

convection
 $M \rightarrow F$

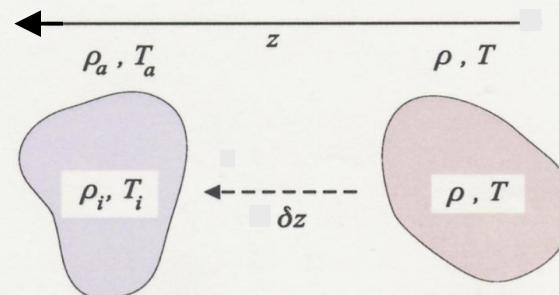


convective core



outer convection zone

The Schwarzschild Criterion



assume mass element in photosphere, which moves upwards (by perturbation). Ambient pressure decreases, and "bubble" expands

Thus

$$g_i \rightarrow g_i, T \rightarrow T_i \quad \text{in bubble (} i = \text{internal)}$$

$$g \rightarrow g_a, T \rightarrow T_a \quad \text{in ambient medium}$$

two possibilities

$g_i > g_a$ bubble falls back stable

$g_i < g_a$ bubble rises further unstable

buoyancy as long as $g_i(r + \Delta r) < g_a(r + \Delta r)$
since

$$F_b = -g(g_i - g_a) > 0, \text{ i.e., for } \Delta g = (g_i - g_a) < 0$$

The Schwarzschild criterion

assumption 1

movement so slow, that pressure equilibrium
 $(v < v_{\text{sound}})$

$$\Rightarrow p_i = p_a \quad \text{and} \quad (gT)_i = (gT)_a \quad \text{over } dr$$

$$\Rightarrow \Delta g = \left[\frac{d\sigma_i}{dr} - \frac{d\sigma_a}{dr} \right] dr = \left(\left| \frac{d\sigma_a}{dr} \right| - \left| \frac{d\sigma_i}{dr} \right| \right) dr$$

Instability, if density inside bubble drops faster

$$\left| \frac{d\sigma_i}{dr} \right| > \left| \frac{d\sigma_a}{dr} \right| \quad \text{or} \quad \left| \frac{dT_i}{dr} \right| < \left| \frac{dT_a}{dr} \right|$$

assumption 2

no energy exchange between bubble and ambient medium (will be modified later)

\Rightarrow adiabatic change of state in bubble

$$\sigma_i = a \cdot p_i^{1/\gamma}, \quad \gamma = C_p / C_v$$

$$\rightarrow \frac{d\sigma_i}{dr} = a^{\frac{1}{\gamma}} p_i^{1/\gamma - 1} \frac{dp_i}{dr} = \frac{1}{\gamma} \frac{\sigma_i}{p_i} \frac{dp_i}{dr} = \frac{1}{\gamma} \sigma_i \frac{dp_i}{dr}$$

\Rightarrow ambient medium ideal gas

$$\sigma_a = a' \frac{p_a}{T_a}$$

$$\rightarrow \frac{d\sigma_a}{dr} = a' \left(\frac{1}{T_a} \frac{dp_a}{dr} - \frac{p_a}{T_a^2} \frac{dT_a}{dr} \right) = \sigma_a \left(\frac{dp_a}{dr} - \frac{dT_a}{dr} \right)$$

\Rightarrow instability for

$$\frac{1}{\gamma} \sigma_i \frac{dp_i}{dr} < \sigma_a \left(\frac{dp_a}{dr} - \frac{dT_a}{dr} \right), \quad \sigma_i(r_0) = \sigma_a(r_0)$$

$$\frac{dp_i}{dr} = \frac{dp_a}{dr}$$

$$\frac{1}{\gamma} \frac{d \ln p}{d r} < \left(\frac{d \ln p}{d r} - \frac{d \ln T}{d r} \right)$$

$$\Rightarrow \left(\frac{d \ln p}{d r} < 0 \right) \frac{1}{\gamma} > 1 - \frac{d \ln T}{d \ln p}$$

$$\nabla_a = \frac{d \ln T_a}{d \ln p} > 1 - \frac{1}{\gamma} = \nabla_{ad} \quad \text{"Schwarzschild criterion"}$$

convection, if $\nabla_a > \nabla_{ad}$

- ∇_a : if no convection, radiative stratification

$$\nabla_a = \nabla_{ad} = \frac{d \ln T / d r}{d \ln p / d r} = \frac{3}{16} \frac{\chi \cdot f_{rad}}{\tau_B T^4} / \frac{g_{eff} \cdot \mu m_H}{kT} \xrightarrow{1/H}$$

$$= \frac{3}{16} \left(\frac{T_{eff}}{T} \right)^4 \cdot \underbrace{\left(\chi \cdot H \right)}_{\leq 1 \text{ in photosphere}} \leq \frac{3}{16} \left(\frac{T_{eff}}{T} \right)^4$$

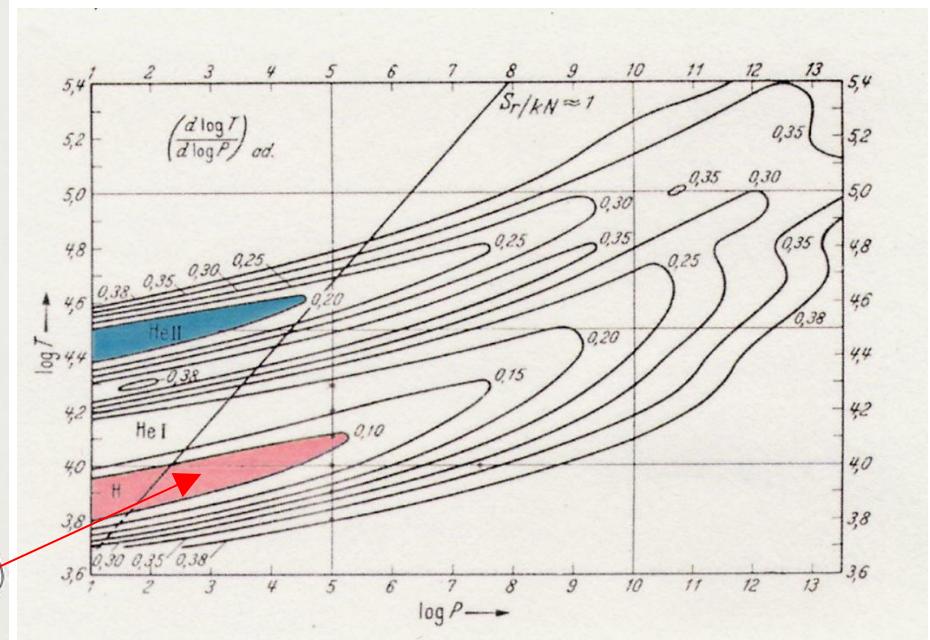
- $\nabla_{ad} = \left(\frac{d \ln T}{d \ln p} \right)_{ad} = \frac{\gamma - 1}{\gamma}$
- mono atomic gas $\nabla_{ad} = 0.4$
- must include ionization effects (number of particles!) and radiation pressure (weak influence in atmosphere)

- pure hydrogen, fully ionized

$$\nabla_{ad} = 0.4 \gg \nabla_{ad}$$

\Rightarrow hot star atmospheres (convectively) stable!

- pure hydrogen: minimum for 50% ionization
 $\nabla_{ad} \approx 0.07 < \nabla_{ad}$ solar convection zone, $T = 9000 \text{ K}$!



∇_{ad} as function of T and p

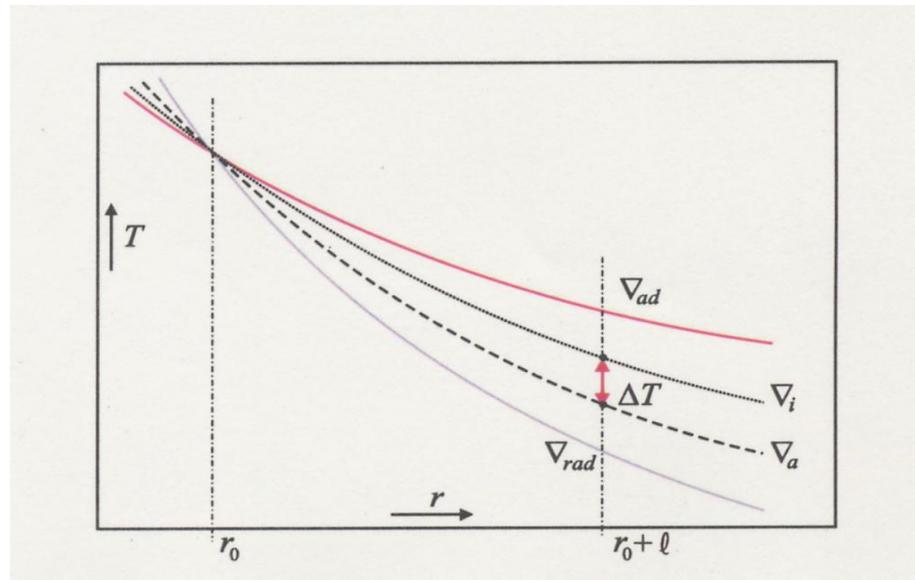
Mixing length theory

- most simplistic approach, however frequently used (reality is much too complex)
 - suggested by Prandtl (1925)
 - idea**: if atmosphere convective unstable at r_0 , assume mass element rises until $r_0 + l$ (mixing length)
 - at $r_0 + l$, excess energy $\Delta E = c \rho g \bar{\Delta T}$ (continued on next page) is released into ambient medium, and temperature is increased. Always valid
 - $\nabla_{ad} \leq \nabla_i < \nabla_a < \nabla_{rad}$
 - bubble cools, sinks down, absorbs energy, rises, etc...
- ⇒ Energy is transported, temperature gradient becomes smaller
- Flux, temperature etc. calculated from simple arguments, $l = \alpha \cdot H$, $\alpha = 1, \dots 2$
 - have to account for radiative losses during lifetime of element until energy is released
⇒ efficiency $\gamma = \frac{\text{excess energy lost}}{\text{radiative losses}}$
 - γ large → $\nabla_a \approx \nabla_{ad}$; γ small → $\nabla_a \approx \nabla_{rad}$

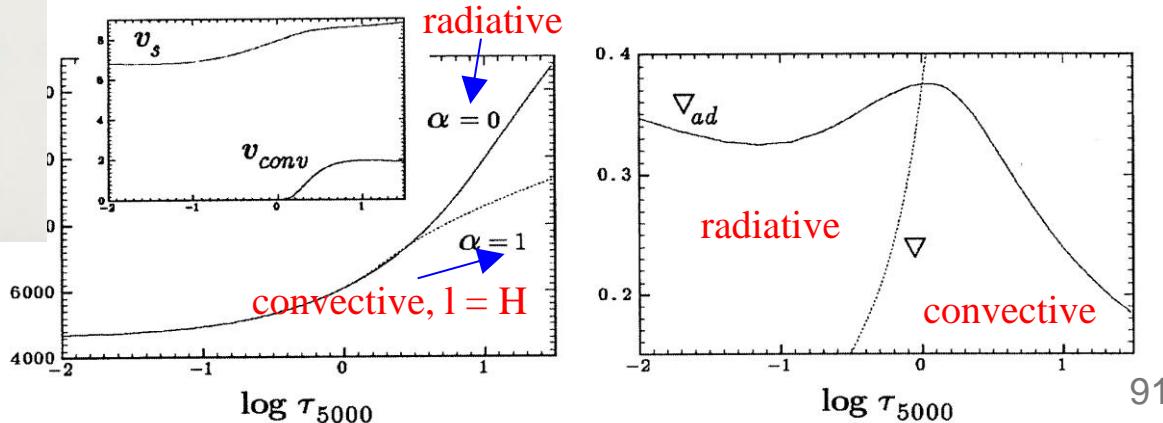
Note:

- mixing length theory only 0th order approach
- modern approach: calculate consistent hydrodynamic solution (e.g., solar convective layer+photosphere, Asplund and co-workers)

radiative vs. adiabatic T-stratification



Model for solar photosphere



Mixing length theory – some details

$\Delta E = \rho C_p \delta T$ is excess energy density delivered to ambient medium when bubble merges with surroundings.

C_p is specific heat per mass.

$\Rightarrow F_{conv} = \Delta E \bar{v} = C_p \delta T \rho \bar{v}$ is convective flux (transported energy)
with \bar{v} average velocity of rising bubble
over distance Δr ($\rho \bar{v}$ mass flux).

δT is temperature difference between bubble and ambient medium.

$$\delta T = \left[\left(-\frac{dT}{dr} \Big|_a \right) - \left(-\frac{dT}{dr} \Big|_i \right) \right] \Delta r > 0 \text{ when convective unstable,}$$

since then $\left[(-\Delta T)_a - (-\Delta T)_i \right] > 0$

From the definition of ∇ ,

$$-\frac{dT}{dr} = \frac{T}{H} \nabla, \text{ with pressure scale height } H \text{ (see problem set 8),}$$

assuming hydrostatic equilibrium and neglecting radiation pressure;
(inclusion of p_{rad} possible, of course)

Defining l as the **mixing length** after which element dissolves, and averaging

over all elements (distributed randomly over their paths), we may write $\Delta r = \frac{l}{2} \cdot \bar{w} = \int_0^{l/2} A \Delta r d(\Delta r) = A \frac{l^2}{8} = g Q \rho \frac{H}{8} (\nabla_a - \nabla_i) \left(\frac{l}{H} \right)^2$

$$\Rightarrow F_{conv} = C_p \rho \bar{v} (\nabla_a - \nabla_i) \frac{T}{H} \frac{l}{2} = \frac{1}{2} C_p \rho \bar{v} T (\nabla_a - \nabla_i) \alpha, \text{ with}$$

mixing length parameter $\alpha = \frac{l}{H}$ (from fits to observations, $\alpha = O(1)$)

The average velocity is calculated by assuming that the work done by the buoyant force is (partly) converted to kinetic energy, where the average of this work might be calculated via

$$\bar{w} = \int_0^{l/2} F_b(\Delta r) d(\Delta r),$$

and the upper limit results from averaging over elements passing the point under consideration. The buoyant force is given by (see page 88)

$$F_b = -g \delta \rho = -g(\rho_i - \rho_a) > 0$$

Using the equation of state, and accounting for pressure equilibrium ($p_i = p_a$),

we find $\frac{\delta \rho}{\rho} = -Q \frac{\delta T}{T}$ with $Q = \left(1 - \frac{\partial \ln \mu}{\partial \ln T} \Big|_p \right)$, to account for ionization effects.

$$\Rightarrow F_b = -g \delta \rho = g Q \frac{\rho}{T} \delta T = g Q \frac{\rho}{T} \left[\left(-\frac{dT}{dr} \Big|_a \right) - \left(-\frac{dT}{dr} \Big|_i \right) \right] \Delta r =$$

$g Q \frac{\rho}{H} (\nabla_a - \nabla_i) \Delta r := A \Delta r$. Thus, F_b is linear in Δr , and

Mixing length theory – some details

Let's assume now that 50% of the work is lost to friction (pushing aside the turbulent elements), and 50% is converted into kinetic energy of the bubbles, i.e.,

$$\frac{1}{2} \bar{w} = \frac{1}{2} \rho \bar{v}^2 \Rightarrow \bar{v} = \left(\frac{\bar{w}}{\rho} \right)^{1/2} = \left(\frac{g Q H}{8} \right)^{1/2} (\nabla_a - \nabla_i)^{1/2} \alpha,$$

and the convective flux is finally given by

$$F_{conv} = \left(\frac{g Q H}{32} \right)^{1/2} (\rho C_p T) (\nabla_a - \nabla_i)^{3/2} \alpha^2.$$

NOTE : different averaging factors possible and actually found in different versions!

Remember that still $\nabla_{ad} \leq \nabla_i < \nabla_a < \nabla_{rad}$.

The gradients ∇_i and ∇_a are calculated from the efficiency γ and the condition that the *total* flux remains conserved (outside the nuclear energy creating core), i.e.,

$$r^2 (F_{conv} + F_{rad}) = r^2 F_{tot} = R_*^2 F_{rad}(R_*) = R_*^2 \sigma_B T_{eff}^4 = \frac{L}{4\pi}$$

or from the condition that

$$(F_{conv} + F_{rad}) = \frac{L_r}{4\pi r^2} \text{ with } L_r \text{ the luminosity at } r.$$

Usually, a tricky iteration cycle is necessary. An example for a simple case will be discussed in problem set 8.

Chap. 7 Microscopic theory

Absorption- and emission coefficients

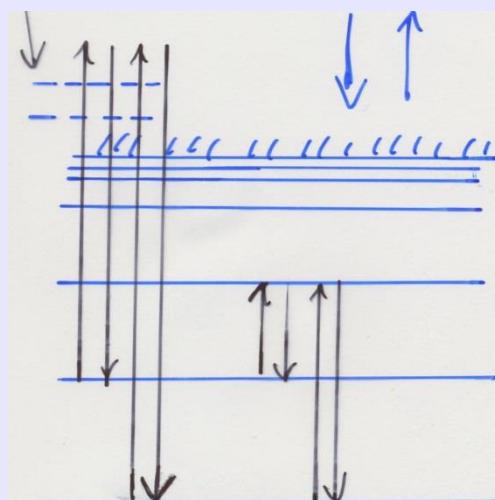
- can calculate now a lot, if absorption- and emission-coefficients given, e.g.

$$\chi_v \sim \sigma_{lu} \bullet \phi(v) \bullet n_l(r)$$

cross-section (quantum mech.) profile function (normalized) occupation numbers
 ↓ ↑ ←
 LTE Saha-Boltzmann NLTE
 detailed calculation

if necessary,
next higher ion

"free-free"
(Bremsstrahlung)



"bound-free" "bound-bound"
(ionization/
recombination) (line transition)

ionization edge
 u excited level
 l excited level
ground level

absorption
 $h\nu + E_l \rightarrow E_u$

emission

- spontaneous ← isotropic
 $E_u \rightarrow E_l + h\nu$

- stimulated

$h\nu + E_u \rightarrow E_l + 2 h\nu$

same angular distribution

Line transitions

- Einstein coefficients

probability, that photon with energy $\nu, \nu + d\nu$ is absorbed by atom in state E_e with resulting transition $e \rightarrow u$, per second

$$dW_{\text{abs}}(\nu, \Omega, e, u) = B_{eu} \cdot I_r(\Omega) \cdot f(\nu) d\nu \frac{d\Omega}{4\pi}$$

↓ ↓ ↓ ↓ ↓
 atomic prop. to number of probability, that $\nu \in [\nu, \nu + d\nu]$
 property incident photons
 prob. for $e \rightarrow u$

B_{eu} Einstein coefficient for absorption

analogously $\Psi_\nu \neq f_\nu$ without further assumption

$$dW^{\text{sp}}(\nu, \Omega, u, e) = A_{ue} \Psi(\nu) d\nu \frac{d\Omega}{4\pi}$$

$$dW^{\text{stim}}(\nu, \Omega, u, e) = B_{ue} I_r(\Omega) \Psi(\nu) d\nu \frac{d\Omega}{4\pi}$$

compare absorbed energy

$$dE_V^{\text{abs}} = n_e dW_{\text{abs}}, h\nu dV - \underbrace{n_u dW^{\text{stim}} h\nu dV}_{\text{simulated emission}}$$

and emitted energy

$$dE_V^{\text{em}} = n_u dW^{\text{sp}} h\nu dV$$

simulated emission back delivers part of absorbed energy, with same angular distrib. as $I_r(\Omega)$

with definition of opacity and emissivity

$$\Rightarrow \chi_v^{\text{line}} = \frac{h\nu}{4\pi} f(\nu) [n_e B_{eu} - n_u B_{ue}] \frac{\Psi(\nu)}{f(\nu)}$$

$$n_v^{\text{line}} = \frac{h\nu}{4\pi} \Psi(\nu) n_u A_{ue}$$

$\hat{\Psi} = 1$ for "complete redistribution"

- Einstein coefficients are atomic properties, must **not** depend on thermodynamic state of matter

Thus assume thermodynamic equilibrium

- from chap 4, we know $S_v^* = \frac{\Psi_v^*}{\chi_v^*} = B_v(T)$
(and $\Psi_v^* = f_v$)

$$\Rightarrow S_v^* = \frac{n_u A_{ue}}{n_e B_{eu} - n_u B_{ue}}$$

freq. independent
(also valid in (N)LTE,
if "complete redistribution")

$$= \frac{A_{ue}}{B_{ue}} \frac{1}{\left(\frac{n_e}{n_u}\right)^* \frac{B_{eu}}{B_{ue}} - 1}$$

- TE : Boltzmann excitation, $\left(\frac{n_u}{n_e}\right)^* = \frac{g_u}{g_e} e^{-h\nu_e/kT}$

$$\bullet B_v = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu_e/kT} - 1} = S_v^* = \frac{A_{ue}}{B_{ue}} \frac{1}{\left(\frac{g_e B_{eu}}{g_u B_{ue}}\right)^* e^{h\nu_e/kT} - 1} \text{ stat. weights}$$

$$\Rightarrow g_e B_{eu} = g_u B_{ue}, \quad A_{ue} = \frac{2h\nu^3}{c^2} B_{ue}$$

ONLY ONE EINSTEIN COEFF. HAS TO BE CALCULATED!

- has to be calculated from quantum mechanics
(from "dipole operator")

- result

$$\frac{h\nu}{4\pi} B_{lu} = \frac{\pi e^2}{mec} f \text{ flu}$$

↑ "oscillator strength",
dimensionless

classical result from
electrodynamics

"strong" transitions have $f \approx 0.1 \dots 10$

and "selection rules", e.g. $\Delta l = \pm 1$

"forbidden transitions": magnetic dipole, electr.
quadrupol: f very low,
 10^{-5} and lower

- THUS $\chi_\nu = \frac{\pi e^2}{mec} \text{flu} \left(g_e - \frac{g_e}{g_u} \cdot n_u \right) \cdot g_\nu$
 $= \frac{\pi e^2}{mec} (gf)_{lu} \cdot \left(\frac{g_e}{g_e} - \frac{n_u}{g_u} \right) \cdot g_\nu$
 \uparrow
 $\text{"gf-value"} = g_e \cdot \text{flu}$

with $\int_{-\infty}^{+\infty} g(u) du = 1$

$$\frac{\pi e^2}{mec} \approx 0.02654 \frac{\text{cm}^2}{\text{s}}$$

Profile function?

Line broadening

1. Radiation damping ("natural" line broadening)

- QED effect

- heuristic finite life time with respect to spontaneous emission

$$\tau = \frac{1}{A_{ul}} \quad (\text{e.g., } 10^{-8} \text{ s for H2} \rightarrow 1)$$

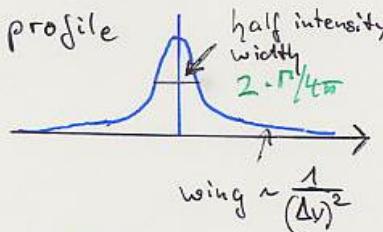
and uncertainty principle

$$\Delta E \cdot \tau \gtrsim \hbar$$

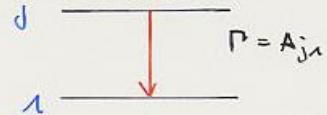
\Rightarrow broadening (classical theory: damping by radiation)

\rightarrow dispersion (Lorentzian) profile

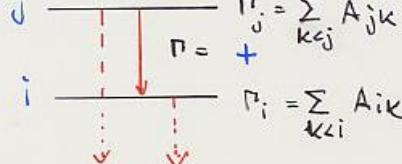
$$f(v) = \frac{N/4\pi^2}{(v-v_0)^2 + (N/4\pi)^2}$$



resonance line



else



- of primary importance for strong lines (res. lines) in low density

environment (no other broadening mechanisms),
e.g. Ly α in interstellar medium

2. Collisional broadening

- radiating atoms perturbed by passing particles
- brief perturbation, close perturbers

"impact theory"

$$E_j(t) \xrightarrow{\Gamma} E_i(t)$$

v
atom

$$\Delta E(t) \sim \frac{1}{\Gamma(t)}$$

n=2 linear Stark effect

for levels with degenerate angular momentum,
e.g., H I, He II

$$\Delta E \sim F = \frac{q}{r^2}$$

field strength

very important, if many electrons:
photospheres of hot stars, $n_e \gtrsim 10^{12} \text{ cm}^{-3}$

n=3 resonance broadening

atom A is perturbed by atom A' of same species
in "cool" stars, e.g. Balmer lines in sun

n=4 quadratic Stark effect

metal ions in photospheres of hot stars
 $\Delta E \sim F^2$

n=6 van der Waals broadening

atom A perturbed by atom B
in cool stars, e.g. Na perturbed by H in sun

resulting profiles are dispersion profiles!

- impact theory fails for (far) wings
 \Rightarrow statistical description (mean field of ensemble of perturbers + q.m.)
- approximate behaviour for linear Stark broadening
- $$\gamma(\Delta v \rightarrow \infty) \sim \frac{1}{(\Delta v)^{5/2}} \quad (\text{instead of } \frac{1}{(\Delta v)^2})$$

3. Thermal velocities : Doppler broadening

- radiating atoms have thermal velocity (so far assumed as zero)

Maxwellian distribution

$$P(v_x, v_y, v_z) dv_x dv_y dv_z = \left(\frac{m}{2\pi kT}\right)^{3/2} e^{-\frac{m}{2kT}(v_x^2 + v_y^2 + v_z^2)} dv_x dv_y dv_z$$

+ Doppler effect

$$V \approx V' + v_0 \frac{\mathbf{n} \cdot \mathbf{v}}{c}$$

observer's atomic frame

atom with \mathbf{v}
 $\mathbf{n} = \cos(\theta, \mathbf{n})$
 emits photon with V' into direc.
 \mathbf{n} ; observer measures V

\Rightarrow convolution; as long as isotropic emission:

$$\phi(v) = \frac{1}{\pi^{1/2}} \int_{-\infty}^{+\infty} e^{-v'^2} \gamma(v - v_0 - \Delta v) dv$$

profile function
in atomic frame

$\frac{v_0 v_{th}}{c}$ "Doppler width"
 $v_{th} = \left(\frac{2kT}{m_A}\right)^{1/2}$ therm. velocity

i) assume sharp line, i.e. $\delta(v - v_0) = \delta(v - v_0)$

$$\rightarrow \phi(v) = \frac{1}{\Delta v_0 \sqrt{\pi}} e^{-\left(\frac{v-v_0}{\Delta v_0}\right)^2}$$

Doppler profile, valid in line cores

ii) assume dispersion (Lorentzian) profile with Γ

$$\begin{aligned} \rightarrow \phi(v) &= \frac{1}{\Delta v_0 \sqrt{\pi}} \int_{-\infty}^{+\infty} \frac{e^{-y^2} dy}{\left(\frac{v-v_0}{\Delta v_0} - y\right)^2 + a^2} \\ &= \frac{1}{\Delta v_0 \sqrt{\pi}} H\left(a, \frac{v-v_0}{\Delta v_0}\right), \quad a = \frac{\Gamma}{4\pi \Delta v_0} \end{aligned}$$

damping parameter

Voigt function, can be calculated

numerically

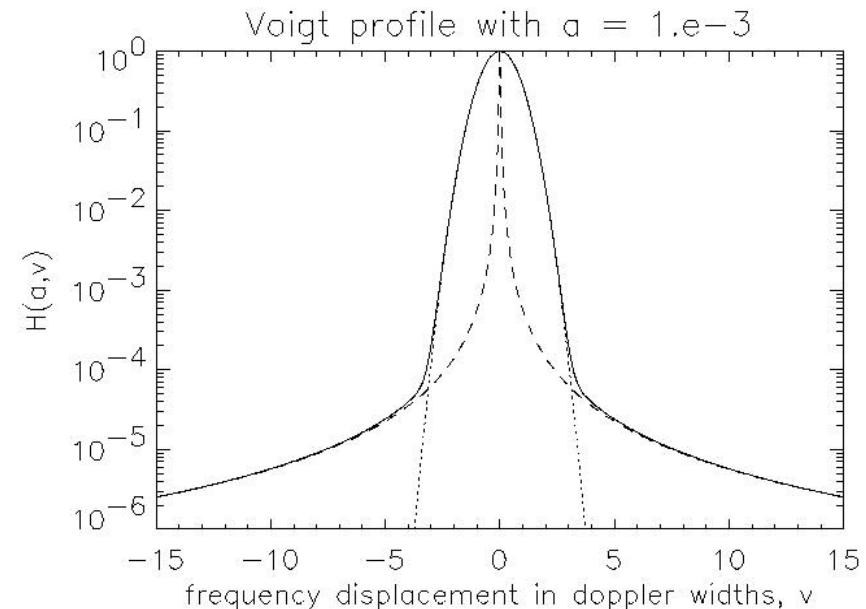
NOTE $H\left(a, \frac{v-v_0}{\Delta v_0}\right) \approx e^{-\left(\frac{v-v_0}{\Delta v_0}\right)^2} + \frac{a}{\sqrt{\pi} \left(\frac{v-v_0}{\Delta v_0}\right)^2}$

↑ ↑
line core wings

iii) assume other "intrinsic" profile functions

$\phi(v)$ from (numerical) convolution

(e.g., with fast Fourier transformation)



fully drawn: Voigt profile $H(a, v)$

dotted : $\exp(-v^2)$, Doppler profile (core)

dashed: $a / (\sqrt{\pi} v^2)$, dispersion profile (wings)

Curve of growth method

Theoretical curve of growth

- standard diagnostic tool to determine metal abundances in cool stars in a simple way

- assumptions

pure absorption line

Milne Eddington model, LTE, $\epsilon_v = 1$ (no scattering)

$$\chi_v = \chi_c + \bar{\chi}_L \phi_v = \chi_c (1 + \beta_v), \quad \beta_v = \frac{\tau_c}{\chi_c} \phi_v$$

$\underbrace{\chi_v}_{\chi_v^{\text{line}}}$ ↑
depth independent

$$B_v(\tau) = a + b \tau_c \quad \text{defined on continuum scale}$$

$$= a + b \frac{\chi_c}{\chi_v} \tau_v = a + b \frac{1}{1 + \beta_v} \tau_v$$

$\underbrace{\quad}_{\cong \beta_v \text{ in Milne-Edd. model}}$

(result of advanced reading)

- From Milne Edd. model we have

$$H_v^{\text{line}}(0), \epsilon_v = 1 = \frac{1}{\sqrt{3}} J_v(0) = \frac{1}{\sqrt{3}} \left(a + \frac{\frac{b}{1 + \beta_v} \sqrt{3} - a}{2} \right)$$

$$H_v^{\text{cont}}(0), \epsilon_v = 1 = (\beta_v = 0) = \frac{1}{\sqrt{3}} \left(a + \frac{b/\sqrt{3} - a}{2} \right)$$

\Rightarrow residual intensity ("line profile")

$$R_v = \frac{H_v^{\text{line}}}{H_v^{\text{cont}}} = \frac{b \frac{1}{1 + \beta_v} + \sqrt{3} a}{b + \sqrt{3} a}$$

$$\beta_v = \frac{\pi e^2}{m_e c} \text{ flu} \frac{n_e}{\chi_c} (1 - e^{-h v / k T}) \phi(v) = \beta_0 \phi(v)$$

Voigt profile!

line depth

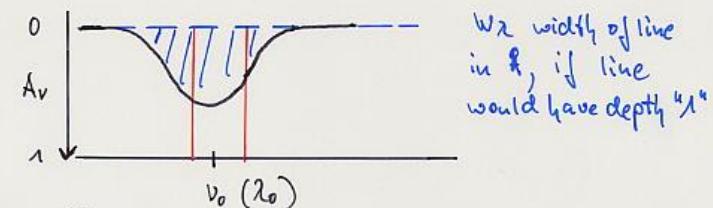
$$A_v = 1 - R_v = \frac{\beta_0 \phi_v}{1 + \beta_0 \phi_v} \left(\frac{b}{b + \sqrt{3} a} \right)$$

A_0 central depth of line with $\beta_0 \rightarrow \infty$

$$A_v = A_0 \beta_0 \frac{\phi_v}{1 + \beta_0 \phi_v}$$

$$\text{equivalent width } W_v = \int_0^\infty A_v dv$$

area below continuum (see also p. 8.3)



$$\Rightarrow W_v = A_0 \beta_0 \int_0^\infty \frac{\phi_v}{1 + \beta_0 \phi_v} dv$$

$$W_\lambda = \int_0^\infty A(\lambda) d\lambda \approx \left(\int_0^\infty A_v dv \right) \frac{\lambda_0^2}{c} \quad W_\lambda = \frac{\lambda_0^2}{c} \cdot W_v$$

with Voigt profile H (Doppler core + Lorentz wings)

$$W_v = A_0 \beta_0 \frac{1}{\Gamma \Delta v_D} \int_0^\infty \frac{H(\frac{v-v_0}{\Delta v_D}) dv}{1 + \frac{\beta_0}{\Gamma \Delta v_D} H(\frac{v-v_0}{\Delta v_D})} \quad \begin{aligned} v &= \frac{v-v_0}{\Delta v_D} \\ dv &= dv \Delta v_D \end{aligned}$$

= ...

$$w_v = \frac{A_0 \beta_0}{\Gamma \pi} \int_{-\infty}^{+\infty} H(v) dv$$

$\downarrow \frac{\beta_0}{\sqrt{\pi \Delta v_D}} H(v)$

3 regimes

a) linear regime: Doppler core not saturated,

$$H(a, v) = e^{-v^2}$$

$$\Rightarrow w_v \approx \frac{A_0 \beta_0}{\Gamma \pi} \int_{-\infty}^{+\infty} \frac{e^{-v^2} dv}{1 + \frac{\beta_0}{\Gamma \pi \Delta v_D} e^{-v^2}}$$

$$\rightarrow (\beta_0 / \Delta v_D < 1) \quad \frac{A_0 \beta_0}{\Gamma \pi} \int_{-\infty}^{+\infty} e^{-v^2} \left(1 - \frac{\beta_0}{\Delta v_D \Gamma \pi} e^{-v^2} + \dots\right) dv$$

$\approx A_0 \beta_0 \sim \beta_0$, independent on Δv_D

b) saturation part: line reaches maximum depth ($= A_0$),
however wings still unimportant

as above, i.e. $\phi_v \sim e^{-v^2}$, however $\beta_0 / \Delta v_D \geq 1$

\Rightarrow (integration tricky)

$$w_v = 2 A_0 \Delta v_D \sqrt{\ln \beta^*} \left(1 - \left(\frac{\pi^2}{24} (\ln \beta^*)^2 - \dots\right)\right)$$

with $\beta^* = \beta_0 / \Gamma \pi \Delta v_D$

flat growth with $\sqrt{\ln \beta^*}$, $w_v \sim \Delta v_D$

c) damping (square-root) part
line wings dominate equivalent width

$$\Rightarrow w_v \approx \frac{A_0 \beta_0}{\Gamma \pi} \int_{-\infty}^{+\infty} \frac{a / (\Gamma \pi v^2) dv}{1 + \frac{\beta_0}{\Gamma \pi \Delta v_D} \frac{a}{\Gamma \pi v^2}}$$

a damping parameter

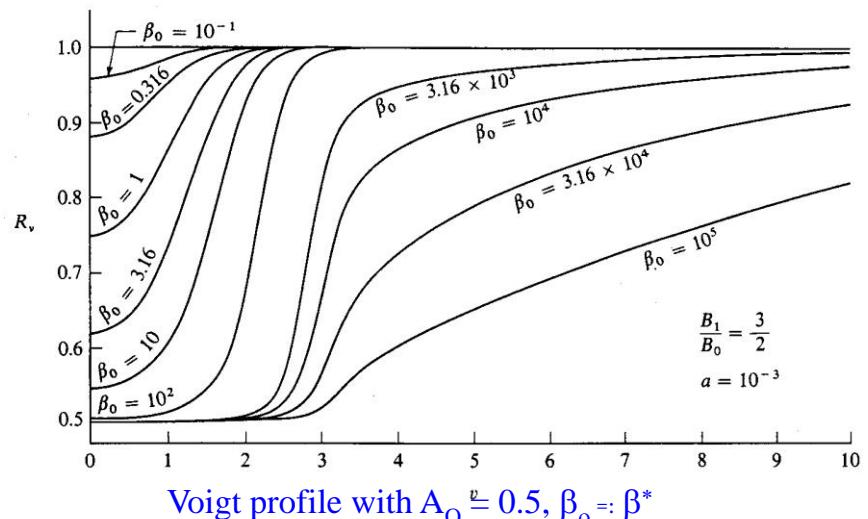
$$= \frac{A_0 \beta_0}{\Gamma \pi} a \int_{-\infty}^{+\infty} \frac{dv}{v^2 + \frac{\beta_0 a}{\pi A_0}}$$

$$= A_0 (a \pi \Delta v_D \beta_0)^{\frac{1}{2}}$$

(attention: typo in Mihalas)

growth with $\beta_0^{\frac{1}{2}}$

in total, we have $w_v = f(\beta_0)$ or $f\left(\frac{\beta_0}{\Delta v_D \Gamma \pi}\right) = f(\beta^*)$



Development of a spectrum line with increasing number of atoms along the line of sight. The line is assumed to be formed in pure absorption. For $\beta_0 \lesssim 1$, the line strength is directly proportional to the number of absorbers. For $30 \lesssim \beta_0 \lesssim 10^3$ the line is saturated, but the wings have not yet begun to develop. For $\beta_0 \gtrsim 10^4$ the line wings are strong and contribute most of the equivalent width.

Now:

$$\beta^* = \frac{\pi e^2}{m_e c} f_{\text{lu}} \frac{n_e}{\chi_c} (1 - e^{-hv/kT_e}) \frac{1}{\Delta v_D \alpha}$$

$$\chi_c = \chi_c^o (1 - e^{-hv/kT_e}) \quad \text{LTE, next section}$$

$$n_e = n_i \frac{g_i}{g_1} e^{-hv_{ei}/kT_e} \quad \text{Boltzmann excitation, next section}$$

$$\Delta v_D = \frac{v_0 v_{th}}{c} = \sqrt{\frac{2kT_e}{m}} \frac{1}{\lambda}$$

$$\Rightarrow \log \beta^* = \log (g_e f_{\text{lu}} \cdot \lambda) + \log (e^{-E_{\text{rel}}/kT_e}) + \log \left(\frac{n_i}{g_i \chi_c^o} \frac{\pi e^2}{m_e c} \sqrt{\frac{m}{2kT_e}} \right)$$

$$= \log (g_e f_{\text{lu}} \cdot \lambda) - \frac{5040 \cdot E_{\text{rel}}}{T_e} + \log C$$

in one ionization stage and if E in eV

- in one ionization stage, $C \approx \text{const}$
- lines belonging to one ionization stage should form curve of growth, since β^* varies as function of considered transition

- if T_e and χ_c^o known
- shift "observed" $W_v(\beta^*)$ horizontally until curve matches theoretical curve
- $n_i \Rightarrow$ (using Saha-Boltzmann equation for ionization, next section)
- abundances

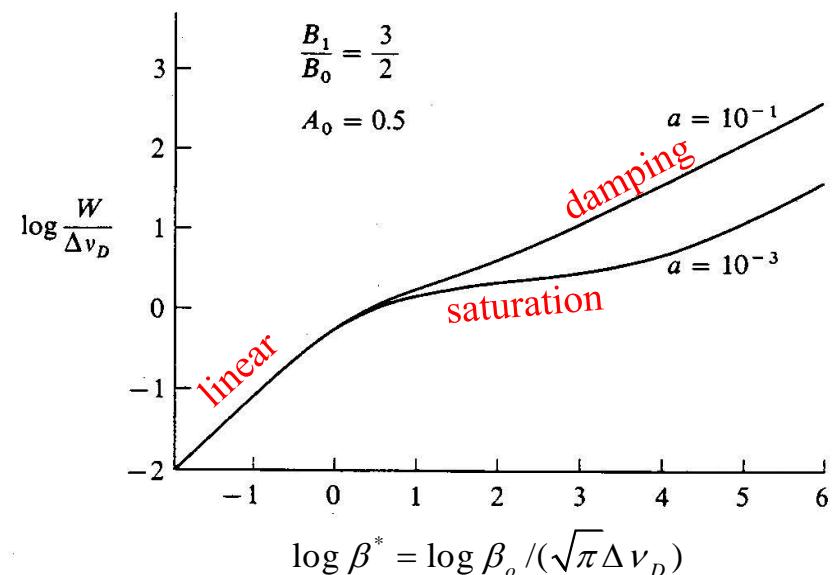


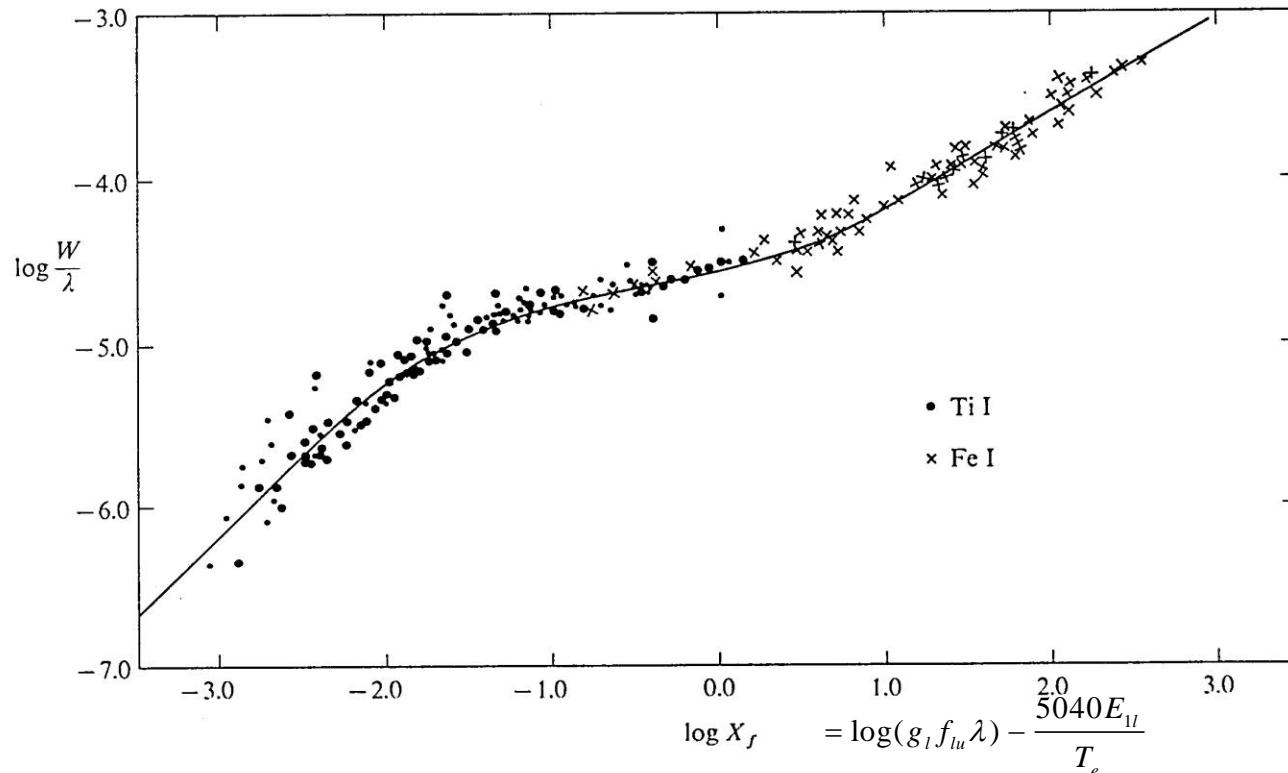
FIGURE 10-2

Curves of growth for pure absorption lines. Note that the larger the value of a , the sooner the square-root part of the curve rises away from the flat part.

measure $W(\lambda)$ for different lines (with different strengths) of one ionization stage

plot as function of $\log(g_l f_{lu} \lambda) - \frac{5040 E_{1l}}{T_e} + \log C$, with "C" fit-quantity

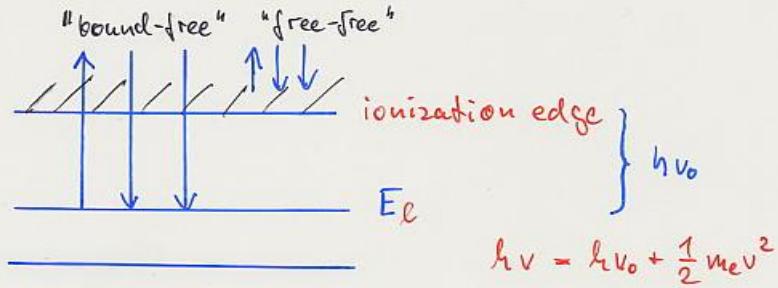
shift horizontally until *theoretical curve of growth* $W(\beta^*)$ is matched $\Rightarrow \log C \Rightarrow \frac{n_1}{\chi_c^0} \Rightarrow n_1$



Empirical curve of growth for solar Fe I and Ti I lines. Abscissa is based on laboratory f -values. From (686).
Ti I lines shifted horizontally to define a unique relation

Continuous processes

Continuous absorption/emission and scattering



• bound free processes

"one" transition: $\chi_v^{bf} = n_e \tau_{ek}(v)$, $v > v_0$

\uparrow absorption cross section \uparrow threshold

in total: many processes at one frequency

$$\chi_v^{bf} = \sum_{\text{elements}} \sum_{\text{ions}} \sum_{\ell} n_e \tau_{ek}(\nu)$$

hydrogenic ions $\tau_{ek}(\nu) = \tau_0(\ell) \left(\frac{\nu_0}{\nu} \right)^3 \cdot g_{bf}(\nu)$

EINSTEIN-MILNE relations

$$\chi_v^{bf} = \sum_{\text{elements, ions}} \sum_{\ell} \tau_{ek}(\nu) \left(n_e - n_e^* e^{-hv/kT} \right) \approx 1$$

\uparrow "gaunt-factor",
 \uparrow stim. emission

$$\eta_v^{bf} = \sum_{\ell} \tau_{ek}(\nu) \frac{2hv^3}{c^2} n_e^* e^{-hv/kT}$$

\uparrow spontaneous emission

n_e^* = LTE value

NOTE: $n_e = n_e^* \rightarrow S_v^{bf} = \frac{\eta_v^{bf}}{\chi_v^{bf}} = B_v(T)!$

free-free processes

(emission process: "bremsstrahlung", decelerated charges radiate!)

$$\chi_v^{ff} = n_e n_{e^*} \tau_{kk}(v) (1 - e^{-hv/kT})$$

\uparrow stim. emission

$$\tau_{kk} \sim \frac{\lambda^3}{TF}, \text{ important in IR and radio!}$$

$$\eta_v^{ff} = n_e n_{e^*} \tau_{kk}(v) \frac{2hv^3}{c^2} e^{-hv/kT}$$

NOTE $S_v^{ff} = B_v(T)$ always!

Scattering

1. electron scattering

- important for hot stars
- difference to f-f processes

f-f: photon interacts with e^- in ion's central field
 \Rightarrow absorption \Rightarrow photon destruction, i.e. "true" process

scattering: without influence of central field,
 i.e., no "third" partner in collisional process

\Rightarrow no absorption possible, since energy and momentum conservation cannot be fulfilled simultaneously

\Rightarrow scattering

- very high energies (many MeVs)
Klein-Nishina (Q.E.D.)
- high energies
Compton / inverse Compton scattering
 \downarrow
 e^- has low / has high kinetical energy
 \downarrow
- low energies ($< 12.4 \text{ keV} \approx 1\text{\AA}$)
Thomson scattering classical e^- radius
 $\sigma^T = n_e \sigma_T ; \quad \sigma_T = \sigma_{\text{class}} = \frac{8\pi}{3} \frac{r_0^2}{r_0} = \frac{8\pi}{3} \frac{e^4}{m_e c^4}$
 $= 6.65 \cdot 10^{-25} \text{ cm}^2$

2. Rayleigh-scattering

actually: line absorption/emission of atoms / molecules far from resonance frequency

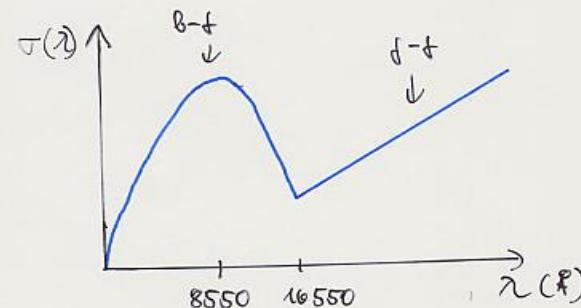
\Rightarrow from q.m., Lorentzprofile with $|v - v_0| \gg v_0$

$$\sigma(v) = f \ln \sigma_T \cdot \left(\frac{v}{v_0}\right)^4 \sim \lambda^{-4} \quad \text{for } v \ll v_0$$

- if line transition strong, λ^{-4} decrease of far wing can be of major importance
example: Ly- α in cool stars, Rayleigh wings are visible in optical!

The H⁻ ion

- for cool stars (e.g. the sun), one bound state of H⁻ ($1p + 2e^-$)
 $\overbrace{\dots}^{0.75 \text{ eV}} \approx 16550 \text{ \AA}$
- dominant bf-opacity (also ff component)
- only by inclusion of H⁻ (Pannekoek + Wildt, 1939) the solar continuum could be explained



Total opacities and emissivities

$$\chi_v^{\text{tot}} = \chi^{\text{line}} \phi(v) + \sum \chi_v^{\text{bf}} + \sum \chi_v^{\text{ff}} + n_e \sigma_T$$

$$\eta_v^{\text{tot}} = \chi^{\text{line}} \phi(v) S_L + \sum \eta_v^{\text{bf}} + \sum \eta_v^{\text{ff}} + n_e \sigma_T J_v$$

NOTE: for LTE ($n_i = n_i^*$) and $J_v = B_v$

we have always

$$\frac{\eta_v^{\text{tot}}}{\chi_v^{\text{tot}}} = B_v(T), \quad \text{good test!}$$

Ionization and Excitation

Ionization and Excitation

had $\chi_v^{\text{line}} = \frac{\pi e^2}{me c} g f_{\text{em}} \left(\frac{n_e}{g_e} - \frac{n_u}{g_u} \right) \phi(v)$

$$\chi_v^{\text{bf}} = \sum_l (n_e - n_e^* e^{-hv/kT}) \tau_{ek}(v)$$

$$\tau^{\text{RH}} = n_e e^{-h\nu}$$

How to determine occupation numbers and electron densities?

Local Thermodynamic Equilibrium (LTE)

- each volume element in TE, with temperature $T_e(\tau)$

Hypothesis: collisions ($e^- \leftrightarrow \text{ions}$) adjust equilibrium

problem: interaction with non-local photons
LTE valid, if

- influence of photons small or
- radiation field Planckian at $T_e(\tau)$ (and isotropic)

Excitation

- Fermi statistics \rightarrow low density, high temperat.
 \rightarrow Boltzmann statistics

- distribution of level occupation n_{ij}
(per dV, ionization stage j)

$$\begin{array}{c} \diagup \\ \diagdown \\ \dots \\ \diagup \\ \diagdown \end{array} \infty$$

$$\frac{n_{ij}}{n_{aj}} = \frac{g_{ij}}{g_{ai}} e^{-E_{ij}/kT}$$

$$\frac{n_{ij}}{n_{aj}} = 1 \quad (\text{if } E_1 = 0)$$

- g_i statistical weights (number of degen.states)
- for hydrogen $g_i = 2i^2$, i = princ. quant. number
• LS coupling $g = (2S+1)(2L+1)$
- if E_i excitation energy with resp. to ground state

$$\frac{n_u}{n_e} = \frac{g_u}{g_e} e^{-E_{ue}/kT} \quad \text{with} \quad E_{ue} = E_u - E_e$$

Ionization

- from generalization of Boltzmann formula for ratio of two (neighbouring) ionic species j and $j+1$

$$n_{ij} \text{ with } g_{ij} \rightarrow n_{ij+1} \text{ with } g_{ij+1} \cdot g_{\text{el}} \underbrace{\quad}_{\text{weight of final state}} + \text{free } e^-$$

g_{el} : Number of available elements in phase space for free e^- ,

$$\frac{d^3 \Sigma}{V^3} \cdot 2 \quad , \quad d^3 \Sigma = dV = \frac{1}{n_e}$$

$$\Rightarrow \frac{n_{ij+1}}{n_{ij}} = \frac{1}{n_e} 2 \frac{g_{ij+1}}{g_{ij}} \left(\frac{2\pi mkT}{h^2} \right)^{3/2} e^{-E_{ion}/kT} \quad 1e^- \text{ per } dV$$

Saha eq., 1920

- ratio (i.e., ionization) grows with T (clear!) falls with n_e (recomb.)

- generalization for arbitrary levels:
calculate u_{ij} , then $n_{ij} = u_{ij} \frac{g_{ij}}{g_{ij}} e^{-E_{ij}/kT}$

all levels

$$N_j = \sum_{i=1}^{\infty} n_{ij} \quad , \quad N_{j+1} = \sum_{i=1}^{\infty} n_{ij+1}$$

Boltzmann excitation

$$\sum_{i=1}^{\infty} n_{ij} = \frac{n_{ij}}{g_{ij}} \underbrace{\sum_{i=1}^{\infty} g_{ij} e^{-E_{ij}/kT}}_{U_j(T)} = N_j$$

$U_j(T)$ partition function

$$\Rightarrow \frac{n_{ij}}{g_{ij}} = \frac{N_j}{U_j(T)} \quad , \quad \frac{n_{ij+1}}{g_{ij+1}} = \frac{N_{j+1}}{U_{j+1}(T)}$$

$$\Rightarrow \frac{N_{j+1} \cdot n_e}{N_j} = \left(\frac{2\pi mkT}{h^2} \right)^{3/2} 2 \frac{U_{j+1}(T)}{U_j(T)} e^{-E_{ion}/kT}$$

Note: Summation in partition function until finite maximum, to account for extent of atom

$$\frac{4\pi}{3} r_{\text{MAX}}^3 = \Delta V = \frac{1}{N}$$

example hydrogen $r_i = a_0 i^2 = r_{\text{MAX}} \Rightarrow i_{\text{MAX}}$

An Example : Pure Hydrogen Atmosphere in LTE

given: temperature + density (here: total particle density)

$$\bullet N = n_p + n_e + \sum_{i=1}^{i_{\max}} n_i$$

$$= n_p + n_e + \frac{n_1}{g_1} u(T)$$

• only hydrogen: $n_p = n_e$

$$\frac{n_e \cdot n_p}{n_1} = \left(\frac{2\pi mkT}{h^2} \right)^{3/2} \frac{2 \cdot g_p}{g_1} e^{-E_{ion}/kT}$$

$$\Rightarrow \frac{n_1}{g_1} = \frac{n_e^2}{2} \left(\frac{h^2}{2\pi mkT} \right)^{3/2} e^{E_{ion}/kT}$$

$$\bullet N = 2n_e + n_e^2 \frac{1}{2} \left(\frac{h^2}{2\pi mkT} \right)^{3/2} e^{E_{ion}/kT} \cdot u(T)$$

$$= 2n_e + n_e^2 u(T)$$

$$\Rightarrow n_e = -\frac{1}{u(T)} + \left(\frac{1}{u(T)} + N \right)^{1/2}$$

$$= n_p \xrightarrow{\text{Saha}} n_1 \xrightarrow{\text{Boltzmann}} n_i ; \text{finished!}$$

• for mixture of elements, analogously !

LTE bf and ff opacities for hydrogen

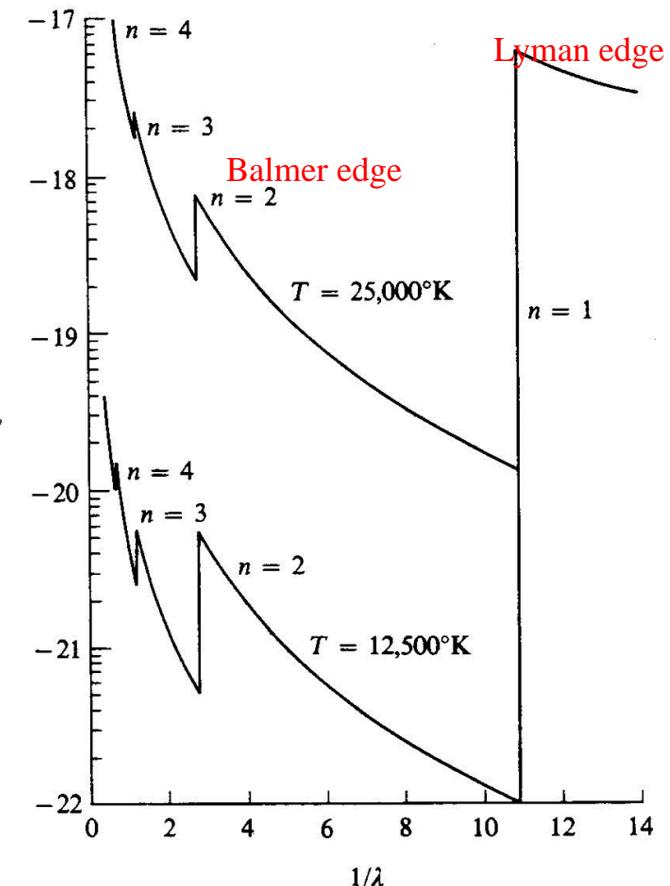


FIGURE 4-1

Opacity from neutral hydrogen at $T = 12,500^\circ\text{K}$ and $T = 25,000^\circ\text{K}$, in LTE; photoionization edges are labeled with the quantum number of state from which they arise /neutral atom
Ordinate: sum of bound-free and free-free opacity in cm^2/atom ;
abscissa: $1/\lambda$ where λ is in microns.

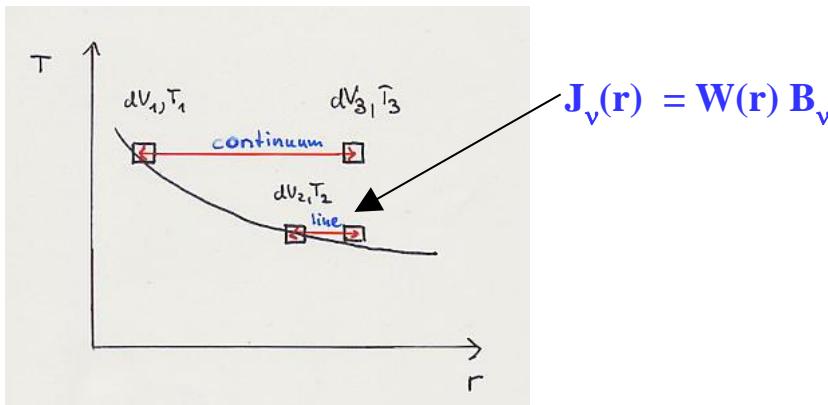
LTE and NLTE

(L)TE: for each process, there exists an inverse process with identical transition rate

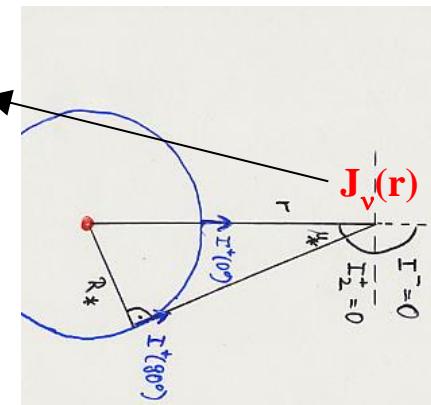
LTE = detailed balance for all processes!

processes = radiative + collisional

- collisional processes (and those which are essentially collisional in character, e.g., radiative recombination, ff-emission) in detailed balance, if velocity distribution of colliding particles is Maxwellian (valid in stellar atm., see below)
- radiative processes: photoionization, photoexcitation (= bb absorption) in detailed balance only if radiation field Planckian and isotropic (approx. valid only in innermost atmosphere)



radiative processes couple regions with different temperatures, as a function of frequency: $\Delta\tau_v \leq 1$



anisotropy

Question: is $f(v) dv$ Maxwellian?

- elastic collisions -> establish equilibrium
- inelastic collisions/recombinations disturb equilibrium
 - inelastic collisions: involve electrons only in certain velocity ranges, tend to shift them to lower velocities
 - recombinations : remove electrons from the pool, prevent further elastic collisions
- can be shown: in *typical* stellar plasmas, $t_{el} / t_{rec} \approx 10^{-5} \dots 10^{-7} \approx t_{el} / t_{inel}$
=> Maxwellian distribution
- under certain conditions (solar chromosphere, corona), certain deviations in high-energy tail of distribution possible

Question: is $T(\text{electron}) = T(\text{atom/ion})$?

- equality can be proven for stellar atmospheres with $5,000 \text{ K} < T_e < 100,000 \text{ K}$

When is LTE valid???

roughly: **electron collisions** \gg **photoabsorption rates**
 $\propto n_e T^{1/2}$ $\propto I_v(T) \propto T^x, x \geq 1$

LTE: T low, n_e high
NLTE: T high, n_e low

dwarfs (giants), late B and cooler
all supergiants + rest

however:
NLTE-effects also
in cooler stars, e.g..
iron in sun

TE – LTE – NLTE : a summary

	TE	LTE	NLTE
velocity distribution of particles Maxwellian ($T_e = T_i$)	✓	✓	✓
excitation Boltzmann	✓	✓	no
ionization Saha	✓	✓	no
source function	$B_v(T)$	$B_v(T)$, except scattering component	only $S_v^{ff} = B_v(T)$
radiation field	$J_v = B_v(T)$	$J_v \neq B_v(T)$, equality only for $\tau_\nu \geq \left(\frac{1}{\varepsilon_\nu} \right)^{1/2}$	$J_v \neq B_v(T)$ ditto

Statistical equilibrium

NLTE - Statistical Equilibrium

- do NOT use Saha-Boltzmann, however calculate occupation numbers by assuming statistical equilibrium
- for stationarity ($\partial/\partial t = 0$) and as long as kinematic time-scale \gg atomic transition time scales (usually valid)

$$\sum_{j \neq i} n_j P_{ij} = \sum_{j \neq i} n_j P_{ji} \quad \forall i$$

n_i occupation number (atomic species, ionization stage, level)

P_{ij} transition rate from level $i \rightarrow j$ ($\dim P_{ij} = s^{-1}$)

- in words: the number of all possible transitions from level i into other states j is balanced by the number of transitions from all other states j into level i .

\Rightarrow linear equation system for n_i , has to be closed by abundance equation

$$\sum n_k = n$$

if n_k the occupation numbers of species k and n the total particle density of k

Transition rates

- collisional processes bb , ionization/rec.
- radiative processes bb , ionization/rec.

Radiative processes depend on radiation field
radiation field depends on opacities
opacities depend on occupation numbers

Iteration required!

... no so easy, however possible

Note: to obtain reliable results, order of

30 species
3-5 ionization stages / species

20 ... 1000 levels/ion

100,000 ... some 10^6 transitions
to be considered in parallel

requires large data base of atomic quantities
(energies, transitions, cross sections)

fast algorithm to calculate radiative transfer!

Solution of the rate equations – a simple example

HAD: for each atomic level, the sum of all populations must be equal to the sum of all depopulations
 (for stationary situations)

example: 3-niveau atom with continuum

assume: all rate coefficients are known (i.e., also the radiation field)

=> **rate equations** (equations of statistical equilibrium)

$$-n_1[R_{1k} + C_{1k} + R_{12} + C_{12} + R_{13} + C_{13}] + n_2(R_{21} + C_{21}) + n_3(R_{31} + C_{31}) + n_k(R_{k1} + C_{k1}) = 0$$

$$n_1(R_{12} + C_{12}) - n_2[R_{2k} + C_{2k} + R_{21} + C_{21} + R_{23} + C_{23}] + n_3(R_{32} + C_{32}) + n_k(R_{k2} + C_{k2}) = 0$$

$$n_1(R_{13} + C_{13}) + n_2(R_{23} + C_{23}) - n_3[R_{3k} + C_{3k} + R_{31} + C_{31} + R_{32} + C_{32}] + n_k(R_{k3} + C_{k3}) = 0$$

$$n_1(R_{1k} + C_{1k}) + n_2(R_{2k} + C_{1k}) + n_3(R_{3k} + C_{1k}) - n_k[R_{k1} + C_{k1} + R_{k2} + C_{k2} + R_{k3} + C_{k3}] = 0$$

with

R_{ij} , radiative bound-bound transitions (lines!)

C_{ij} collisional bound-bound transitions

R_{ik} radiative bound-free transitions (ionizations)

C_{ik} collisional bound-free transitions

R_{ki} radiative free-bound transitions (recombinations)

C_{ki} collisional free-bound transitions

in matrix representation =>

$$P = \begin{pmatrix} -(R_{1k} + C_{1k}) & (R_{21} + C_{21}) & (R_{31} + C_{31}) & (R_{k1} + C_{k1}) \\ (R_{12} + C_{12}) & -(R_{2k} + C_{2k}) & (R_{32} + C_{32}) & (R_{k2} + C_{k2}) \\ (R_{13} + C_{13}) & (R_{23} + C_{23}) & -(R_{3k} + C_{3k}) & (R_{k3} + C_{k3}) \\ (R_{1k} + C_{1k}) & (R_{2k} + C_{2k}) & (R_{3k} + C_{3k}) & -(R_{k1} + C_{k1} + R_{k2} + C_{k2} + R_{k3} + C_{k3}) \end{pmatrix}$$

rate matrix, diagonal elements sum of all depopulations

$P * \begin{pmatrix} n_1 \\ n_2 \\ n_3 \\ n_4 (= n_k) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$ Rate matrix is **singular**, since, e.g., last row linear combination of other rows (negative sum of all previous rows)

THUS: **LEAVE OUT** arbitrary line (mostly the last one, corresponding to ionization equilibrium) and **REPLACE** by inhomogeneous, linearly independent equation for all n_i , to obtain unique solution

particle number conservation for considered atom:

$$\sum_{i=1}^N n_i = \alpha_k N_H, \text{ with } \alpha_k \text{ the abundance of element k}$$

NOTE 1: numerically stable equation solver required, since typically hundreds of levels present, and (rate-) coefficients of highly different orders of magnitude

NOTE 2: occupation numbers n_i depend on radiation field (via radiative rates), and radiation field depends (non-linearly) on n_i (via opacities and emissivities)
 \Rightarrow Clever iteration scheme required!!!!

Example for extreme NLTE condition

Nebulium (= [OIII] 5007, 4959) in Planetary Nebulae

mechanism suggested by *I. Bowen* (1927):

- low-lying meta-stable levels of OIII(2.5 eV) **collisionally excited** by free electrons (resulting from photoionization of hydrogen via "hot", *diluted* radiation field from central star)
- Meta-stable levels become **strongly populated**
- **radiative decay** results in **very strong** [OIII] emission lines
- impossible to observe suggested process in laboratory, since *collisional deexcitation* (no photon emitted)) *much stronger than radiative decay under terrestrial conditions.*
- Thus, after detection new element proposed , "nebulium"

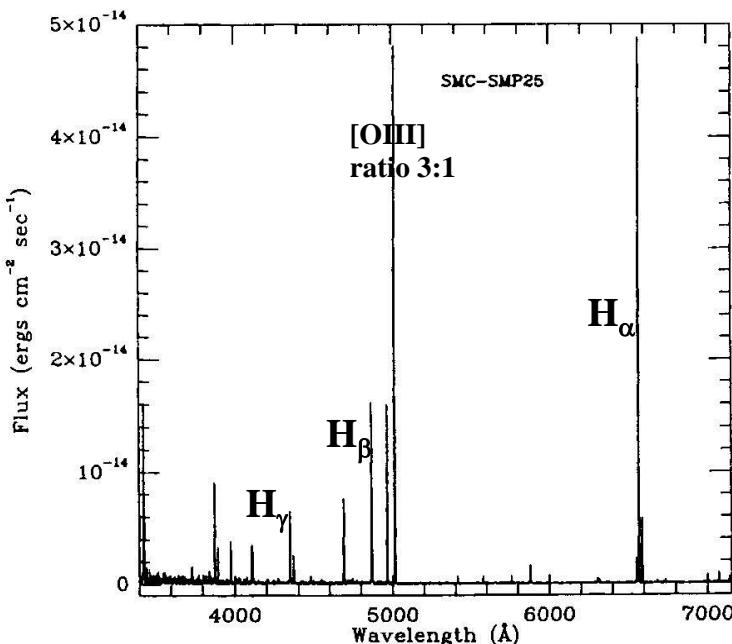


FIG. 1a

Condition for radiative decay

NOTE: $A_{ml} \leq 10^{-2}$ (typical values are 10^7)

$n_m A_{ml} \gg n_m n_e q_{ml}(T_e)$, with metastable level m
 $\rightarrow n_e \ll n_e(\text{crit})$,

$$n_e(\text{crit}) = \frac{A_{ml}}{q_{ml}(T_e)}, \quad q_{ml} = 8.63 \cdot 10^{-6} \frac{\Omega(l,m)}{g_m \sqrt{T_e}}$$

$\Omega(l,m)$ collisional strength, order unity

for typical temperatures $T_e \approx 10,000\text{K}$ and [OIII] 5007,
we have $n_e(\text{crit}) \approx 4.9 \cdot 10^5 \text{cm}^{-3}$,
much larger than typical nebula densities