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# **State-Delays in Chemical Engineering: A Control Framework for Distributed Parameter Systems**

Behrad 'Brad' Moadeli  
Supervisor: Prof. Stevan Dubljevic

Department of Chemical and Materials Engineering, University of Alberta  
Edmonton, Alberta, Canada

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# Distributed Parameter Systems in Chemical Engineering

- Chemical processes  $\rightarrow$  PDEs  $\rightarrow$  **Distributed Parameter Systems (DPSs)**.
- A canonical example  $\rightarrow$  **Axial-Dispersion Tubular Reactors**  $\rightarrow$  2<sup>nd</sup> order Parabolic PDEs.
- Common in practice  $\rightarrow$  **Recycle Streams**  $\rightarrow$  Alter system dynamics. (Khatibi et al., 2021)

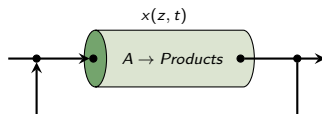


Figure: Axial tubular reactor with recycle stream.

## Governing equation, general form

$$\partial_t x(\zeta, t) = \begin{array}{ll} D \partial_\zeta^2 x(\zeta, t) & \text{Dispersion} \\ -v \partial_\zeta x(\zeta, t) & \text{Convection} \\ -k x(\zeta, t) & \text{Reaction} \end{array} \quad (1)$$

# Early Lumping vs. Late Lumping

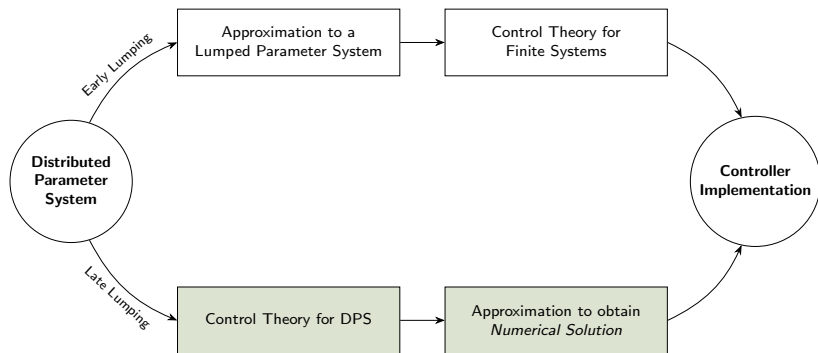


Figure: Conceptual comparison between early and late lumping control strategies. (Cassol, 2022)

# Motivation: What's Missing?

## Prior Work:

- Late lumping → well-developed for DPS control. (Curtain and Zwart, 2020; Christofides, 2012)
- Control of Parabolic PDEs → well-developed → e.g., Reactors w/o recycle. (Liu et al., 2014; Xu and Dubljevic, 2017)
- Reactors with recycle studied (Khatibi et al., 2021) → Instantaneous recycle

## The Gap:

- Reality: Recycle takes time to travel.
- This travel time → **State Delay**.
- Unlike actuation/measurement delays → Absent in ChemEng DPS literature.
- No control framework to capture state delays in ChemEng DPS.

## End Goal

To develop a **modeling and control framework** via **late-lumping** approach, for chemical engineering **DPSs with state delays**, by studying axial dispersion tubular reactors as a *general yet practically relevant* case.

## Recycle-Induced State Delay: A Closer Look

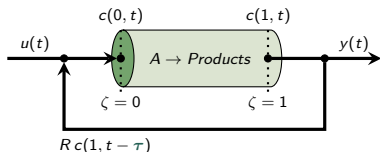


Figure: Axial tubular reactor with recycle-induced state delay.

## General Setup:

- 2<sup>nd</sup> order parabolic PDE.
- Danckwerts-type boundary conditions.

## Governing PDE (Isothermal)

$$\begin{aligned} \partial_t c(\zeta, t) &= D \partial_\zeta^2 c(\zeta, t) - v \partial_\zeta c(\zeta, t) - k_r c(\zeta, t) \\ \begin{cases} D \partial_\zeta c(0, t) - v c(0, t) = -v [R c(1, t - \tau) + (1 - R) u(t)] \\ \partial_\zeta c(1, t) = 0 \\ y(t) = c(1, t) \end{cases} \end{aligned} \quad (2)$$

## Key Novelty: Delay as a Transport PDE

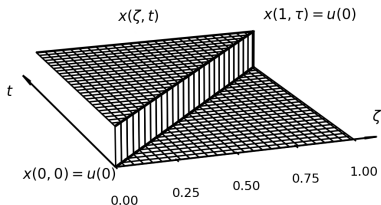
- A transport PDE over  $\zeta \in [0, 1]$ :

$$\frac{\partial x}{\partial t} + \frac{1}{\tau} \frac{\partial x}{\partial \zeta} = 0, \quad x(0, t) = u(t)$$

- Describes propagation of input  $u(t)$  with delay:

$$x(1, t) = u(t - \tau)$$

- Delay emerges as **residence time** across domain.
- Foundation for modeling **state delay** as a PDE. (Krstić, 2009)



**Figure:** A step input propagates spatially and appears at the outlet with delay  $\tau$ .

### Coupled PDE System

Results in a **time-invariant** representation of the system, suitable for **infinite-dimensional control theory**.

# Overall Trajectory

**Table:** Thesis trajectory across Chapters 2–4.

Thesis chapter	Model assumption	Temporal domain	Controller strategy	Estimation method	Publications
Chapter 2	Isothermal	Continuous-time	LQR (unconstrained)	Luenberger observer (unconstrained)	(Moadeli et al., 2025)
Chapter 3	Isothermal	Discrete-time	MPC (constrained)	Luenberger observer (unconstrained)	(Moadeli and Dubljevic, 2025b,c)
Chapter 4	Non-isothermal	Discrete-time	MPC (constrained)	MHE (constrained)	(Moadeli and Dubljevic, 2025a)

# Chapter 2: Continuous-time Estimation and Optimal Control for the Isothermal System

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## Infinite-Dimensional State-Space Representation

## System Dynamics

$$\dot{x}(\zeta, t) = Ax(\zeta, t) + Bu(t); \quad y(t) = Cx(\zeta, t) \quad (3)$$

$$A := \begin{bmatrix} D\partial_{\zeta\zeta} - v\partial_{\zeta} - k_r & 0 \\ 0 & \frac{1}{\tau}\partial_{\zeta} \end{bmatrix}$$

$$x := \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \in L^2[0, 1] \times L^2[0, 1]$$

$$\begin{aligned} \mathcal{D}(A) = \Big\{ & x(\zeta) = [x_1(\zeta), x_2(\zeta)]^T \in X : \\ & x(\zeta), \partial_{\zeta}x(\zeta), \partial_{\zeta\zeta}x(\zeta) \quad \text{a.c.}, \\ & D\partial_{\zeta}x_1(0) - vx_1(0) = -vRx_2(0), \\ & \partial_{\zeta}x_1(1) = 0, x_1(1) = x_2(1) \Big\} \end{aligned} \quad (4)$$

$$B := \begin{bmatrix} \delta(\zeta) \\ 0 \end{bmatrix} v(1 - R) \quad (5)$$

$$C := \begin{bmatrix} \int_0^1 \delta(\zeta - 1)(\cdot) d\zeta & 0 \end{bmatrix}$$

$$D = 0$$

# Eigenvalue Distribution: System is Unstable

- Spectrum of system generator  $\sigma(A)$  determines open-loop stability.
- Characteristics equation  $\det(\lambda_i - A) = 0$  is solved to obtain eigenvalues.
- Direct analytical solution is impractical—solved numerically.
- Result: eigenvalues with **positive real parts** → **open-loop unstable**.
- Parameters used to obtain the eigenvalue distribution are given in Table 7 in Appendix.

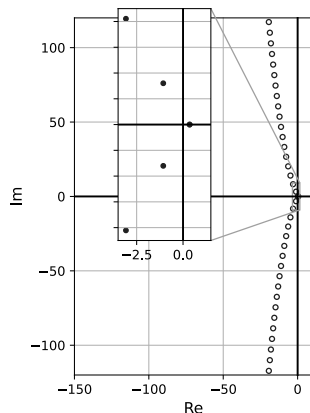


Figure: Eigenvalue distribution of system operator  $A$

# LQR: Operator Riccati Equation

## ■ Cost:

$$J = \int_0^\infty \langle x, Qx \rangle + \langle u, Ru \rangle ds.$$

- Solve operator Riccati for  $\Pi$ ;  
truncate in biorthogonal basis to  
get matrix Riccati for  $P = [p_{ij}]$ .

$$u(t) = -\langle k_{\text{ric}}(\zeta), x(\zeta, t) \rangle = -B^* \Pi x(\zeta, t),$$

$$k_{\text{ric}}(\zeta) \equiv \sum_{i=1}^N \sum_{j=1}^N p_{i,j} \gamma_i \bar{\psi}_j(\zeta)$$

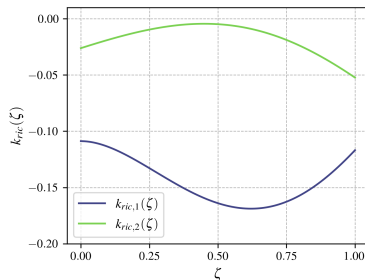


Figure:  $k_{\text{ric}}(\zeta)$ ,  $N=3$

# Observer Design and Pole Placement

- Output operator (point measurement):  $C = \left[ \int_0^1 \delta(\zeta - 1)(\cdot) d\zeta, 0 \right]$ .
- Choose  $L(\zeta)$  s.t.  $A - LC$  has desired eigenvalues (to the left of regulator poles).
- Error dynamics:  $\dot{e} = (A - LC)e$ .

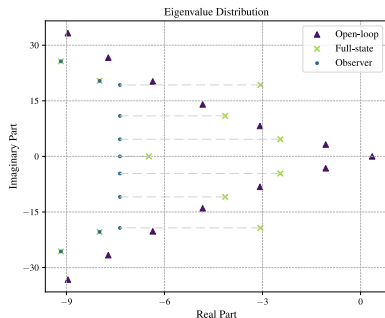
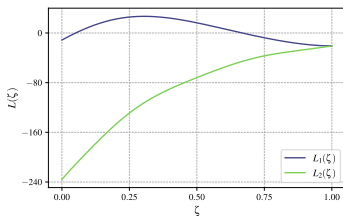


Figure: Observer gain profile and closed-loop eigenvalue placement.

## Results: Stabilization Achieved (FDM validation)

- Both full-state LQR and observer-based output feedback stabilize the PDE system (finite-difference validation).
- Observer-based loop is slightly more sluggish but robust and stable.
- Delay sensitivity: maintains stability for moderate  $\tau$  mismatch used in design.

State Profile under Observer-based Feedback  $x_1(\zeta, t)$

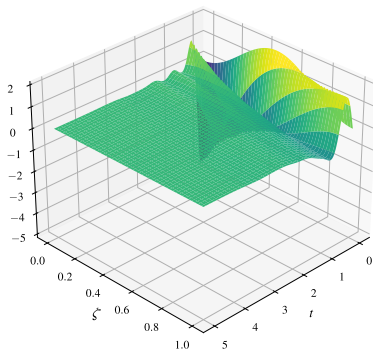


Figure: Closed-loop  $x_1(\zeta, t)$  (LQR)

# Chapter 3: Discrete-time Estimation and Model Predictive Control for the Isothermal System

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# Cayley-Tustin Time-Discretization

## Discrete-time System

$$\begin{aligned}\dot{x}(\zeta, k) &= A_d x(\zeta, k-1) + B_d u(k) \\ y(k) &= C_d x(\zeta, k-1) + D_d u(k)\end{aligned}\quad (6)$$

- Discretization is needed for **digital controller implementation**.
- Cayley-Tustin discretization preserves:
  - System's **infinite-dimensional structure**
  - **Stability** and **controllability**
  - ...

## continuous- to discrete-time mapping

$$\begin{aligned}A_d &= -I + 2\alpha R(\alpha, A), \\ B_d &= \sqrt{2\alpha} R(\alpha, A) B, \\ C_d &= \sqrt{2\alpha} C R(\alpha, A), \\ D_d &= C R(\alpha, A) B\end{aligned}\quad (7)$$

- $R(\alpha, A) := [\alpha I - A]^{-1}$  is the **resolvent operator** of system generator  $A$ .
- $\alpha = \frac{2}{\Delta t}$ , where  $\Delta t$  is the sampling time.

# Resolvent Operator: Role and Procedure

- To follow the **late-lumping** approach, it is crucial to obtain a **closed-form representation** of the resolvent operator, which bridges the continuous- and discrete-time domains.
- This is done by interpreting the resolvent as a **mapping** from either *initial conditions* or *inputs* to the *Laplace-transformed state*.

## Laplace Transform

$$\begin{aligned} \dot{x}(\zeta, t) &= Ax(\zeta, t) + Bu(t) \xrightarrow{\mathcal{L}} \\ sx(\zeta, s) - x(\zeta, 0) &= Ax(\zeta, s) + BU(s) \\ \begin{cases} u = 0 \rightarrow x = R(s, A)x(0) \\ x(0) = 0 \rightarrow x = R(s, A)BU(s) \end{cases} \end{aligned} \quad (8)$$

## To compute $R(s, A)$ :

- Apply Laplace transform to the PDE system.
- Reformulate as a spatial ODE in  $\zeta$ , solve using  $e^{P(s)\zeta}$ .
- Enforce boundary conditions to determine  $\tilde{X}(0, s)$ .
- Combine terms to obtain closed-form  $R(s, A)$ .

See slide 37 in Appendix for full derivation



# Continuous-Time Luenberger Observer Design

- State measurements in DPSs are infeasible: states are distributed over space. A **Luenberger observer** reconstructs full state using output  $y(t)$ .

- Observer dynamics:

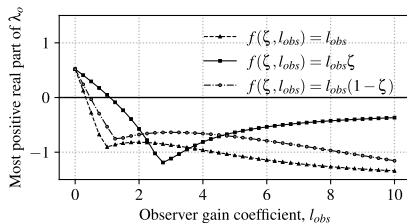
$$\dot{\hat{\zeta}}(\zeta, t) = A\hat{\zeta}(\zeta, t) + Bu(t) + L_c[y(t) - \hat{y}(t)], \quad (9)$$

$$\hat{y}(t) = C\hat{\zeta}(\zeta, t)$$

- Estimation error:

$e(\zeta, t) = x(\zeta, t) - \hat{x}(\zeta, t)$ , evolves as  $\dot{e}(\zeta, t) = (A - L_c C)e(\zeta, t) = A_o e(\zeta, t)$ .

- Gain  $L_c = f(\zeta, l_{obs})$  is tuned to place  $A_o$  eigenvalues in left half-plane.



**Figure:** The effect of various observer gains  $L_c = f(\zeta, l_{obs})$  on the eigenvalues of state reconstruction error dynamics  $\lambda_o$ .

# Discrete-Time Observer via Cayley-Tustin

- Cayley-Tustin time discretization yields a DT observer in the form:

$$\begin{aligned}\hat{x}(\zeta, k) &= A_d \hat{x}(\zeta, k-1) + B_d u(k) + L_d [y(k) - \hat{y}(k)] \\ \hat{y}(k) &= C_{d,o} \hat{x}(\zeta, k-1) + D_{d,o} u(k) + M_{d,o} y(k)\end{aligned}\tag{10}$$

- with the following continuous- to discrete-time mapping:

$$\begin{aligned}C_{d,o}(\cdot) &= \sqrt{2\alpha} [I + C(\alpha I - A)L_c]^{-1} CR(\alpha, A)(\cdot) \\ D_{d,o} &= [I + C(\alpha I - A)L_c]^{-1} CR(\alpha, A)B \\ M_{d,o} &= [I + CR(\alpha, A)L_c]^{-1} CR(\alpha, A)L_c \\ L_d &= \sqrt{2\alpha} R(\alpha, A)L_c\end{aligned}\tag{11}$$

- Resulting DT error dynamics are stable **if CT observer is stable** (Xu and Dubljevic, 2016).

## Key Point

**No spatial discretization:** Observer is constructed using the same resolvent operator.

## MPC Architecture: Output-Feedback Loop

- Observer reconstructs  $\hat{x}(k)$ , passed to MPC at each time step.
- MPC uses predicted future states and solves constrained QP over a finite horizon.
- Only the first control input is applied → **receding horizon**.

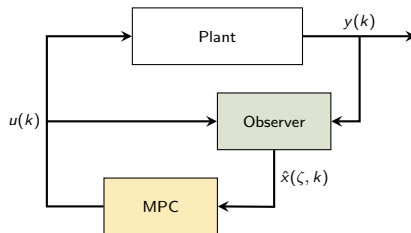


Figure: Block diagram representation of the observer-based MPC.

# MPC Formulation with Terminal Projection

## ■ Finite-horizon MPC:

$$\min_U \sum_{l=0}^{N-1} \langle \hat{x}(\zeta, k+l|k), Q\hat{x}(\zeta, k+l|k) \rangle \\ + \langle u(k+l+1|k), Fu(k+l+1|k) \rangle \\ + \langle \hat{x}(\zeta, k+N|k), P\hat{x}(\zeta, k+N|k) \rangle$$

$$\text{s.t. } \hat{x}(\zeta, k+l|k) = \\ A_d \hat{x}(\zeta, k+l-1|k) + B_d u(k+l|k) \\ u^{\min} \leq u(k+l|k) \leq u^{\max} \\ \langle \hat{x}(\zeta, k+N|k), \phi_u(\zeta) \rangle = 0 \quad (12)$$

- $P$  is the **terminal cost operator** obtained as the solution to the *discrete-time Lyapunov* equation:

$$P(\cdot) = \sum_{m=0}^{\infty} \sum_{n=0}^{\infty} -\frac{\langle \phi_m, Q\psi_n \rangle}{\lambda_m + \bar{\lambda}_n} \langle (\cdot), \psi_n \rangle \phi_m \quad (13)$$

- The constrained QP is **convex** only if  $P$  is **positive definite**.
- $P$  is positive definite only if the terminal state  $\hat{x}(\zeta, k+N|k)$  is in a **stable subspace**.
- A **terminal constraint** is introduced as an *equality constraint* by setting the **projection of the terminal state** onto the **unstable subspace** of the system equal to zero.

## Results: Stabilization under Observer-based MPC

- Initial condition:  
 $x_1(\zeta, 0) = \sin^2(\pi\zeta)$ ,  $x_2(\zeta, 0) = 0$
- Sampling time  $\Delta t = 20$  s
- Horizon length  $N = 9$
- Input bounds:  $0 \leq u(t) \leq 0.15$

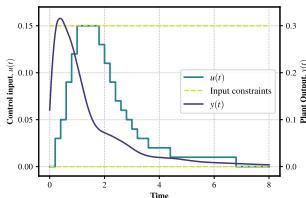


Figure: Control input and reactor output under MPC.

Observer-based MPC State Response

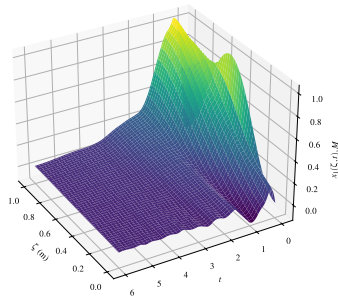


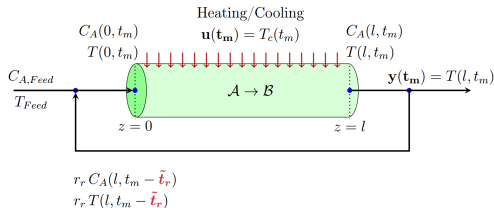
Figure: Stabilized concentration profile under observer-MPC.

# Chapter 4: Non-isothermal System—Moving Horizon Estimation and Model Predictive Control

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# Non-isothermal System Model



**Figure:** Non-isothermal system schematic.

**States:**  $x = [m_1(\zeta, t), m_2(\zeta, t), m_3(\zeta, t), m_4(\zeta, t)]^T$  (reactor concentration/temperature and their recycle-line counterparts).

**Input:** wall/jacket temperature  $u(t) = T_w(t)$ . **Output:**  $y(t) = m_2(1, t)$  (outlet temperature).

$$\partial_t m_1 = \frac{1}{Pe_m} \partial_{\zeta\zeta} m_1 - \partial_{\zeta} m_1 + k_a(1 - m_1) e^{\frac{\eta m_2}{1+m_2}},$$

$$\partial_t m_2 = \frac{1}{Pe_T} \partial_{\zeta\zeta} m_2 - \partial_{\zeta} m_2 + \alpha k_a(1 - m_1) e^{\frac{\eta m_2}{1+m_2}} + \sigma(T_w(t) - m_2),$$

$$\partial_t m_3 = \frac{1}{\tau} \partial_{\zeta} m_3, \quad \partial_t m_4 = \frac{1}{\tau} \partial_{\zeta} m_4,$$

with recycle boundary coupling and Danckwerts boundary conditions.

# Linearized, Dimensionless Representation of the Non-linear System around Steady States

## System Dynamics

$$\dot{x}(\zeta, t) = Ax(\zeta, t) + Bu(t); \quad y(t) = Cx(\zeta, t) \quad (14)$$

- Dimensionless Model
- Steady-State Analysis
- Deviation Variables
- Linearization

$$\mathfrak{B}(\cdot) = \begin{bmatrix} 0 \\ \sigma \\ 0 \\ 0 \end{bmatrix} (\cdot) \quad (15)$$

$$\mathfrak{C}(\cdot) = \begin{bmatrix} 0 & \int_0^1 \delta(\zeta - 1)(\cdot)_2 d\zeta & 0 & 0 \end{bmatrix} \quad (16)$$



# Linearized, Dimensionless Representation of the Non-linear System around Steady States

$$A(\cdot) = \begin{bmatrix} \frac{1}{Pe_m} \partial_{\zeta\zeta} - \partial_{\zeta} + R_1 & R_2 & 0 & 0 \\ \alpha R_1 & \frac{1}{Pe_T} \partial_{\zeta\zeta} - \partial_{\zeta} + \alpha R_2 - \sigma & 0 & 0 \\ 0 & 0 & \frac{1}{\tau} \partial_{\zeta} & 0 \\ 0 & 0 & 0 & \frac{1}{\tau} \partial_{\zeta} \end{bmatrix} \begin{bmatrix} (\cdot)_1 \\ (\cdot)_2 \\ (\cdot)_3 \\ (\cdot)_4 \end{bmatrix}, \quad (17)$$

$$\begin{aligned} \mathcal{D}(A) := \left\{ x = (x_1, x_2, x_3, x_4)^T \in X : x_1, x_2 \in H^2(0, 1), x_3, x_4 \in H^1(0, 1); \right. \\ \partial_{\zeta} x_1(1) = 0; \quad \partial_{\zeta} x_1(0) = Pe_m [x_1(0) - r_r x_3(0)]; \\ \partial_{\zeta} x_2(1) = 0; \quad \partial_{\zeta} x_2(0) = Pe_T [x_2(0) - r_r x_4(0)]; \\ \left. x_1(1) = x_3(1); \quad x_2(1) = x_4(1) \right\}. \end{aligned} \quad (18)$$

## Eigenvalue Distribution: Unstable and Stable Cases

Same as previous chapters, the eigenvalue distribution of the system operator  $A$  is analyzed to determine stability, and is obtained by solving the characteristic equation  $\det(\lambda_i - A) = 0$ .

Eigenvalue Distributions in the Complex Plane

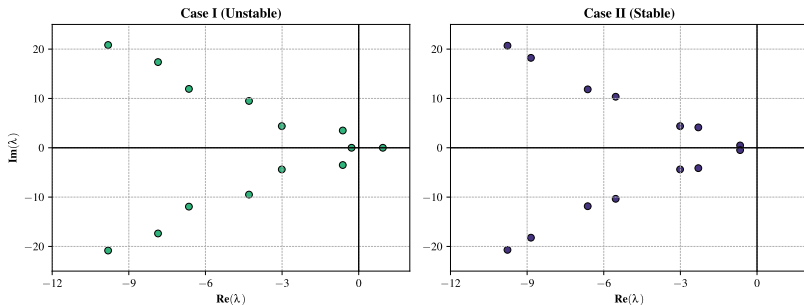


Figure: Eigenvalue distribution in the complex plane for Case I (Unstable) and Case II (Stable).

## Moving Horizon Estimation (MHE)

- In distributed parameter systems, full state measurement is not feasible  $\Rightarrow$  need an estimator.
- **MHE**: finite-horizon, optimization-based state estimator using most recent  $N_{\text{MHE}}$  outputs and inputs.
- Model (after Cayley–Tustin discretization of the PDE system):

$$\begin{cases} \hat{x}_{k+1} = \mathfrak{A}_d \hat{x}_k + \mathfrak{B}_d u_k + \mathfrak{N}_d w_k, \\ y_k = \mathfrak{C}_d \hat{x}_k + \mathfrak{D}_d u_k + v_k, \end{cases}$$

where  $w_k, v_k$  are process and measurement noise.

- At each step, solve a constrained QP to find the most plausible state and disturbance trajectory consistent with data.
- Naturally handles constraints, disturbances, and produces an estimated state  $\hat{x}_{k|k}$  for feedback.
- Discretization in time is Cayley–Tustin (same as in previous chapters); MPC formulation is also the same as before.

# MHE-MPC Integration

**Table:** Proposed MHE-MPC algorithm: Initialization window

- 
- 
- 0). Assume plant dynamics  $\{w_k, v_k\}_{k=0}^{k_{\text{end}}}$  and initial condition  $x_0$  are known. Let  $N = N_{\text{MHE}}$ .

**Initialization window ( $T < N$ ):**

- 1). Assign desired values to the input sequence  $\{u_k\}_{k=0}^{N-1}$ .
- 2). Run the plant model: 
$$\left\{ \begin{array}{l} x_{k+1} = \mathfrak{A}_d x_k + \mathfrak{B}_d u_k + \mathfrak{N}_d w_k \\ y_k = \mathfrak{C}_d x_k + \mathfrak{D}_d u_k + v_k \end{array} \right\}_{k=0}^{N-1} \quad \text{to obtain}$$
  
 $\{y_k\}_{k=0}^{N-1}$ .
- 3). Provide an initial guess for  $\hat{x}_{0|N-1}$ .
-

# MHE-MPC Integration

**Table:** Proposed MHE-MPC algorithm: Control-Estimation window

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## Control-Estimation window ( $T \geq N$ ):

- 4). Collect  $\{u_k, y_k\}_{k=T-N}^{T-1}$  and prior estimate  $\hat{x}_{T-N|T-1}$ . Solve  $\min_{\omega_T} J_{\text{MHE}}$  to obtain  $\omega_T = \left[ \hat{x}_{T-N|T} \mid \{\hat{w}_k|T\}_{k=T-N}^{T-1} \right]$
  - 5). Simulate the model:  $\{\hat{x}_{k+1|T} = \mathfrak{A}_d \hat{x}_k|T + \mathfrak{B}_d u_k + \mathfrak{N}_d \hat{w}_k|T\}_{k=0}^{N-1}$  to compute  $\hat{x}_{T-N+1|T}$  and  $\hat{x}_{T|T}$ .
  - 6). Use  $\hat{x}_{T|T}$  to solve  $\min_U J_{\text{MPC}}$  and obtain  $u_T$ .
  - 7). Apply  $u_T$  to the plant: 
$$\begin{cases} x_{T+1} &= \mathfrak{A}_d x_T + \mathfrak{B}_d u_T + \mathfrak{N}_d w_T \\ y_T &= \mathfrak{C}_d x_T + \mathfrak{D}_d u_T + v_T \end{cases} \quad \text{to obtain } y_T.$$
  - 8). Update  $T \leftarrow T + 1$  and repeat steps 4-8.
- 
-

# Results: Stabilization under MHE-MPC

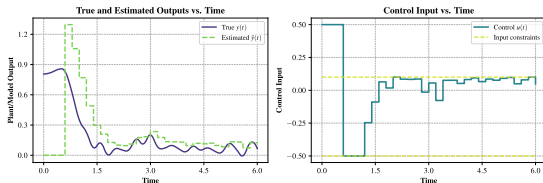


Figure: Case II: output & estimated output over time

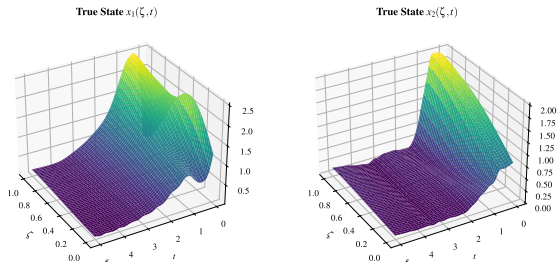


Figure: Case II: stabilization under MHE-MPC

## Conclusion — Objectives Achieved

- Revealed **state delay** in chemical engineering DPS
- Utilized a general yet physically meaningful testbed to develop a framework that addresses state delays in chemical engineering DPS via transport PDEs.
- Across studies, controllers **stabilized** otherwise unstable reactor conditions and met key performance criteria, confirming viability of late lumping for delay-affected DPSs.
- Net takeaway: a structure-preserving model + delay-aware estimation/control bring modern methods to practical DPSs with internal delays.

## Future Work — Where This Goes Next

- **Robustness & uncertainty:** incorporate model/parametric uncertainty, unmodeled dynamics, time-variation; develop robust/adaptive controllers and observers.
- **Richer objectives:** extend beyond stabilization to setpoint tracking and disturbance rejection within the MPC/regulator designs.
- **Comprehensive delays:** integrate input and output delays alongside state delay using the same transport-PDE framework for more realistic plant-wide behavior.



**Thank you!**

I'm glad to take your questions.

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## Appendix A: Parameters Used in Simulations

**Table:** Physical Parameters for the System

Parameter	Symbol	Value	Unit
Diffusivity	$D$	$2 \times 10^{-5}$	$m^2/s$
Velocity	$v$	0.01	$m/s$
Reaction Constant	$k_r$	-1.5	$s^{-1}$
Recycle Residence Time	$\tau$	80	$s$
Recycle Ratio	$R$	0.3	—

## Appendix B: Resolvent Derivation (1/3)

**Step 1: Apply Laplace transform and reformulate in space**

$$\begin{aligned}\dot{x}(\zeta, t) &= Ax(\zeta, t) + Bu(t) \xrightarrow{\mathcal{L}} \\ sX(\zeta, s) - x(\zeta, 0) &= AX(\zeta, s) + BU(s)\end{aligned}\quad (19)$$

**Step 2: Convert PDE to spatial ODE in  $\zeta$**

$$\underbrace{\partial_{\zeta} \begin{bmatrix} X_1 \\ \partial_{\zeta} X_1 \\ X_2 \end{bmatrix}}_{\tilde{X}} = \underbrace{\begin{bmatrix} 0 & 1 & 0 \\ \frac{s-k}{D} & \frac{v}{D} & 0 \\ 0 & 0 & s\tau \end{bmatrix}}_{P(s)} \tilde{X} + \underbrace{\begin{bmatrix} 0 \\ -\frac{x_1(\zeta, 0)}{D} + v(1-R)\delta(\zeta)U(s) \\ -\tau x_2(\zeta, 0) \end{bmatrix}}_{Z(\zeta, s)} \quad (20)$$

**Solution (variation of constants):**

$$\tilde{X}(\zeta, s) = e^{P(s)\zeta} \tilde{X}(0, s) + \int_0^{\zeta} e^{P(s)(\zeta-\eta)} Z(\eta, s) d\eta \quad (21)$$

## Appendix B: Resolvent Derivation (2/3)

**Step 3: Solve for  $\tilde{X}(0, s)$  using nonhomogeneous boundary conditions**

$$\underbrace{\begin{bmatrix} -v & D & Rv \\ T_{11}(1, s) & T_{12}(1, s) & -T_{33}(1, s) \\ T_{21}(1, s) & T_{22}(1, s) & 0 \end{bmatrix}}_{M^{-1}(s)} \tilde{X}(0, s) = \int_0^1 \begin{bmatrix} 0 \\ F_{33}(1, \eta)Z_3 - F_{12}(1, \eta)Z_2 \\ -F_{22}(1, \eta)Z_2 \end{bmatrix} d\eta \quad (22)$$

This gives  $\tilde{X}(0, s)$ , which is then substituted back into the general solution to form the resolvent operator.

## Appendix B: Resolvent Derivation (3/3)

**Final expressions for  $R(s, A)$**

**Zero-state (initial condition = 0):**

$$R_1 B = -v(1 - R) \left[ \sum_{j=1}^2 T_{1j}(\zeta) (M_{j2} T_{12}(1) + M_{j3} T_{22}(1)) - T_{12}(\zeta) \right]$$

$$R_2 B = -v(1 - R) T_{33}(\zeta) (M_{32} T_{12}(1) + M_{33} T_{22}(1))$$

**Zero-input (input = 0):**

$$R_{11} = \sum_{j=1}^2 \frac{T_{1j}(\zeta)}{D} \int_0^1 [M_{j2} F_{12}(1, \eta) + M_{j3} F_{22}(1, \eta)] (\cdot)_1 d\eta - \frac{1}{D} \int_0^\zeta F_{12}(\zeta, \eta) (\cdot)_1 d\eta$$

$$R_{12} = -\tau \sum_{j=1}^2 T_{1j}(\zeta) \int_0^1 M_{j2} F_{33}(1, \eta) (\cdot)_2 d\eta$$

$$R_{21} = \frac{T_{33}(\zeta)}{D} \int_0^1 [M_{32} F_{12}(1, \eta) + M_{33} F_{22}(1, \eta)] (\cdot)_1 d\eta$$

$$R_{22} = -\tau T_{33}(\zeta) \int_0^1 M_{32} F_{33}(1, \eta) (\cdot)_2 d\eta - \tau \int_0^\zeta F_{33}(\zeta, \eta) (\cdot)_2 d\eta$$