

# Database Systems

## Normalization

**WEEK 10 Lecture 1**

# Topics To Cover

- ▶ Normalization
- ▶ It's Purpose (to remove redundancy)
- ▶ Anomalies related to Normalization
- ▶ Normal Forms

# Normalization

**The objective of normalization:**

- ▶ **“to create relations where every dependency is on the key, the whole key, and nothing but the key”.**

# Normalization

A technique of organizing data into multiple related tables, to minimize **DATA REDUNDANCY** and to make the design meaningful.

- ▶ Normalization works through a series of stages called **normal forms**:
- ▶ First normal form (1NF)
- ▶ Second normal form (2NF)
- ▶ Third normal form (3NF)
- ▶ BCNF
- ▶ Fourth normal form (4NF)
- ▶ Fifth normal form (5NF)

# It's Purpose

- ▶ The purpose of normalization is to remove redundancy (not completely but partially).
- ▶ Repetition of data increases the size of database.

STUDENTS TABLE

rollno	name	branch	hod	office_tel
1	Akon	CSE	Mr. X	53337
2	Bkon	CSE	Mr. X	53337
3	Ckon	CSE	Mr. X	53337
4	Dkon	CSE	Mr. X	53337

# Anomalies Related to Data Redundancy

Following three problems arise due to redundancy in data:

- ▶ Insertion Anomaly
- ▶ Deletion Anomaly
- ▶ Updation Anomaly

# Insertion Anomaly

- An **Insert Anomaly** occurs when certain attributes cannot be **inserted** into the database without the presence of other attributes.
- Or to insert redundant data for every new row is a **data insertion problem or anomaly**.

STUDENTS TABLE

rollno	name	branch	hod	office_tel
1	Akon	CSE	Mr. X	53337
2	Bkon	CSE	Mr. X	53337
3	Ckon	CSE	Mr. X	53337
4	Dkon	CSE	Mr. X	53337
5	Ekon	CSE	Mr. X	53337

# Deletion Anomaly

- ▶ Loss of a related dataset when some other dataset is deleted.

STUDENTS TABLE

rollno	name	branch	hod	office_tel
1	Akon	CSE	Mr. X	53337



We have not stored the branch information anywhere else

STUDENTS TABLE

rollno	name	branch	hod	office_tel
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Branch information deleted along with Student data.



# Updation Anomaly

- ▶ An **update anomaly** is a data inconsistency that results from data redundancy and a partial **update**
- ▶ Need to update repeated information in each and every record.
- ▶ Data becomes inconsistent even if one record is missed.

STUDENTS TABLE

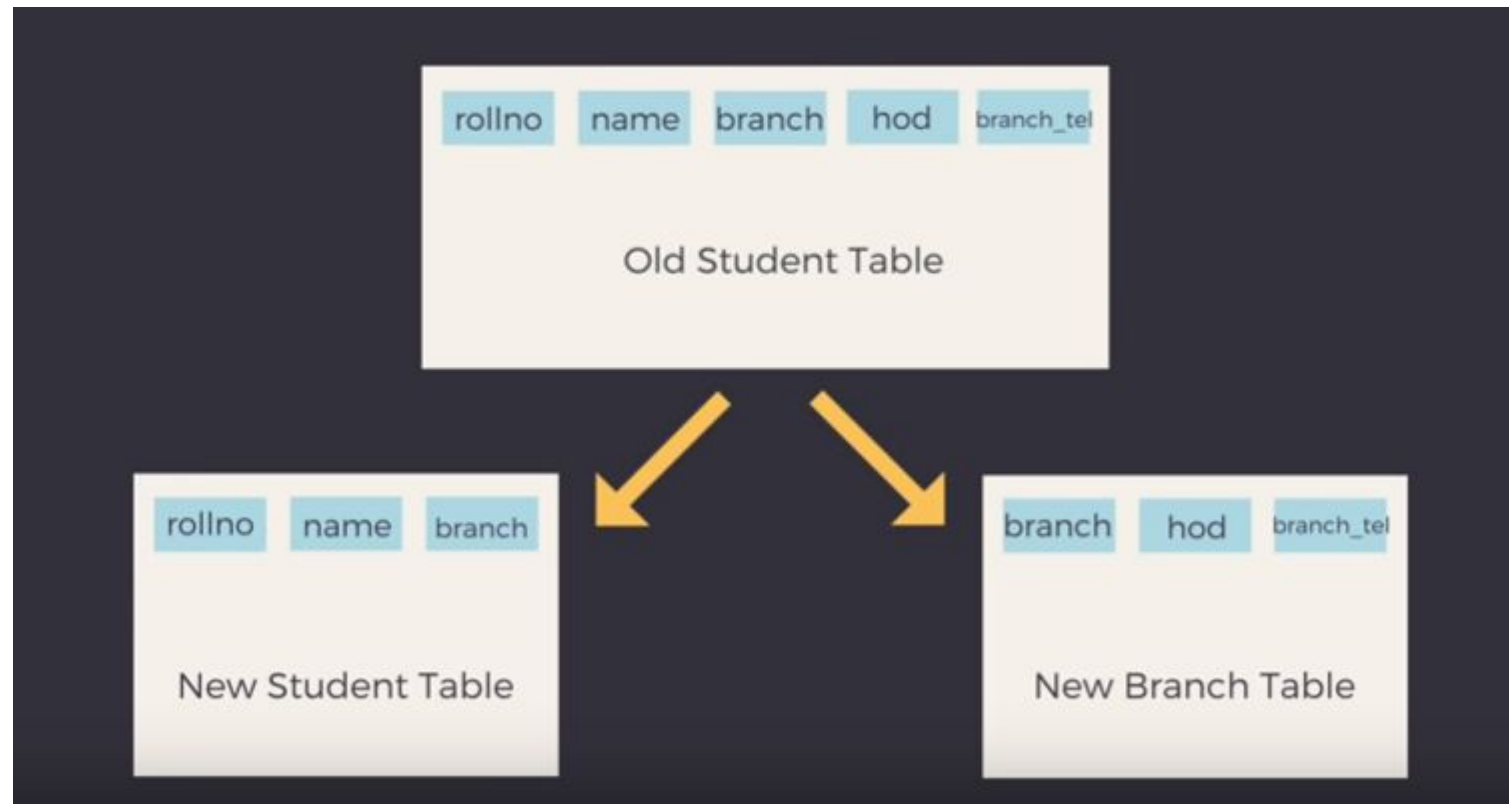
rollno	name	branch	hod	office_tel
1	Akon	CSE	Mr. X	53337
2	Bkon	CSE	Mr. X	53337
3	Ckon	CSE	Mr. X	53337
4	Dkon	CSE	Mr. X	53337

Mr. X leaves, and Mr. Y joins  
as the new HOD for CSE

STUDENTS TABLE

rollno	name	branch	hod	office_tel
1	Akon	CSE	<del>Mr. X</del> Mr. Y	53337
2	Bkon	CSE	<del>Mr. X</del> Mr. Y	53337
3	Ckon	CSE	Mr. X	53337
4	Dkon	CSE	<del>Mr. X</del> Mr. Y	53337

# Result after Normalization



STUDENTS TABLE

rollno	name	branch
1	Akon	CSE
2	Bkon	CSE
3	Ckon	CSE

BRANCH TABLE

branch	hod	office_tel
CSE	Mr. Y	53337

STUDENTS TABLE

rollno	name	branch
1	Akon	CSE
2	Bkon	CSE
3	Ckon	CSE

BRANCH TABLE

branch	hod	office_tel
CSE	<del>Mr. Y</del> Mr. Z	<del>53337</del> 53338

STUDENTS TABLE

rollno	name	branch

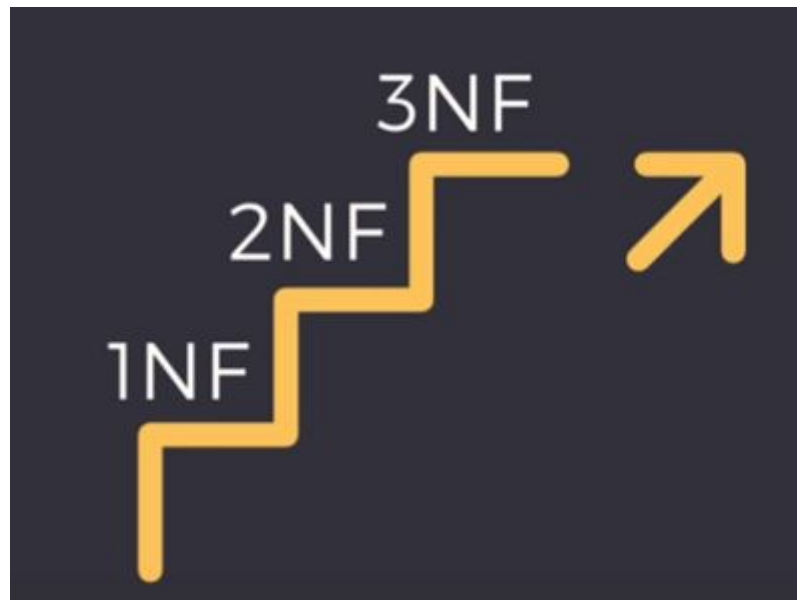
**No Data**

BRANCH TABLE

branch	hod	office_tel
CSE	Mr. Y	53337

**Deletion problem solved.**

# Normal Forms



# 1<sup>st</sup> Normal Form

## Rule 1: Single Valued Attributes

- Each column of your table should be single valued which means they should not contain multiple values. We will explain this with help of an example later, let's see the other rules for now.

## Rule 2: Attribute Domain should not change

- This is more of a "Common Sense" rule. In each column the values stored must be of the same kind or type.

TABLE		
Column 1	Column 2	
A	X, Y	
B	W, X	
C	Y	
D	Z	

## RULE 1

- Each Column should contain atomic values.
- Entries like X, Y and W, X violate this rule.

TABLE		
DOB	Name	
26-10-89	A	
13-2-92	SK	
16-11-65	SA	
R	8-9-86	

## RULE 2

- A Column should contain values that are of the same type.
- Do not inter-mix different types of values in any column.

# 1<sup>st</sup> Normal Form

## Rule 3: Unique name for Attributes/Columns

- ▶ This rule expects that each column in a table should have a unique name. This is to avoid confusion at the time of retrieving data or performing any other operation on the stored data.

## Rule 4: Order doesn't matter

- ▶ This rule says that the order in which you store the data in your table doesn't matter.

TABLE		
DOB	Name	Name
26-10-89	A	A
13-2-92	S	K
16-11-65	S	A
8-9-86	R	A

## RULE 3

- Each column should have a unique name.
- Same names leads to confusion at the time of data retrieval

TABLE		
Roll_no	F_Name	L_Name
3	A	A
4	S	K
1	S	A
2	R	A

## RULE 4

- Order in which data is saved doesn't matter.
- Using SQL query, you can easily fetch data in any order from a table.

STUDENTS TABLE

rollno	name	subject
101	Akon	OS, CN
103	Ckon	JAVA
102	Bkon	C, C++

STUDENTS TABLE

rollno	name	subject
101	Akon	OS
101	Akon	CN
103	Ckon	JAVA
102	Bkon	C
102	Bkon	C++



# 2<sup>nd</sup> Normal Form

For a table to be in the Second Normal Form, it must satisfy two conditions:

- ▶ The table should be in the First Normal Form.
- ▶ There should be no Partial Dependency.

# 2<sup>nd</sup> Normal Form

## What is Dependency?

- ▶ Let's take an example of a **Student** table with columns student\_id, name, reg\_no(registration number), branch and address(student's home address).

STUDENTS TABLE				
student_id	name	reg_no	branch	address

- ▶ In this table, student\_id is the primary key and will be unique for every row, hence we can use student\_id to fetch any row of data from this table

## 2<sup>nd</sup> Normal Form

- ▶ Even for a case, where student names are same, if we know the student\_id we can easily fetch the correct record.

student_id	name	reg_no	branch	address
10	Akon	07-WY	CSE	Kerala
11	Akon	08-WY	IT	Gujarat

- ▶ Hence we can say a **Primary Key** for a table is the column or a group of columns(composite key) which can uniquely identify each record in the table.
- ▶ This is **Dependency** and we also call it **Functional Dependency**.

## 2<sup>nd</sup> Normal Form

- ▶ So now let's extend our example to see if more than 1 column together can act as a primary key.
- ▶ Let's create another table for **Subject**, which will have subject\_id and subject\_name fields and subject\_id will be the primary key.

Now we have

Student Table

Subject Table

Score Table

To save marks obtained by students in each subject

## 2<sup>nd</sup> Normal Form

Primary Key should be  
score\_id

But student\_id + subject\_id  
together makes a more  
meaningful primary key.

## 2<sup>nd</sup> Normal Form

**student\_id + subject\_id**

can uniquely identify any row of  
data in SCORE table

# 2<sup>nd</sup> Normal Form

SCORE TABLE

score_id	student_id	subject_id	marks	teacher
1	1	1	82	Mr. J
2	1	2	77	Mr. C++
3	2	1	85	Mr. J
4	2	2	82	Mr. C++
5	2	4	95	Mr. P

## 2<sup>nd</sup> Normal Form

SCORE TABLE

score_id	student_id	subject_id	marks	teacher
1	10	1	82	Mr. J
2	10	2	77	Mr. C++
3	11	1	85	Mr. J
4	11	2	82	Mr. C++
5	11	4	95	Mr. P



teacher column only  
depends on subject  
and not on student.

**This is Partial Dependency**



SCORE TABLE

score_id	student_id	subject_id	marks	teacher
1	10	1	82	Mr. J
2	10	2	77	Mr. C++
3	11	1	85	Mr. J
4	11	2	82	Mr. C++
5	11	4	95	Mr. P

SUBJECT TABLE

subject_id	subject_name
1	Java
2	C++
3	C#
4	Php

Move teacher column to Subject Table

SUBJECT TABLE

subject_id	subject_name	teacher
1	Java	Mr. J
2	C++	Mr. C++
3	C#	Mr. C#
4	Php	Mr. P

# 3<sup>rd</sup> Normal Form

For a table to be in the third normal form,

- ▶ It should be in the Second Normal form.
- ▶ And it should not have Transitive Dependency.

# 3<sup>rd</sup> Normal Form

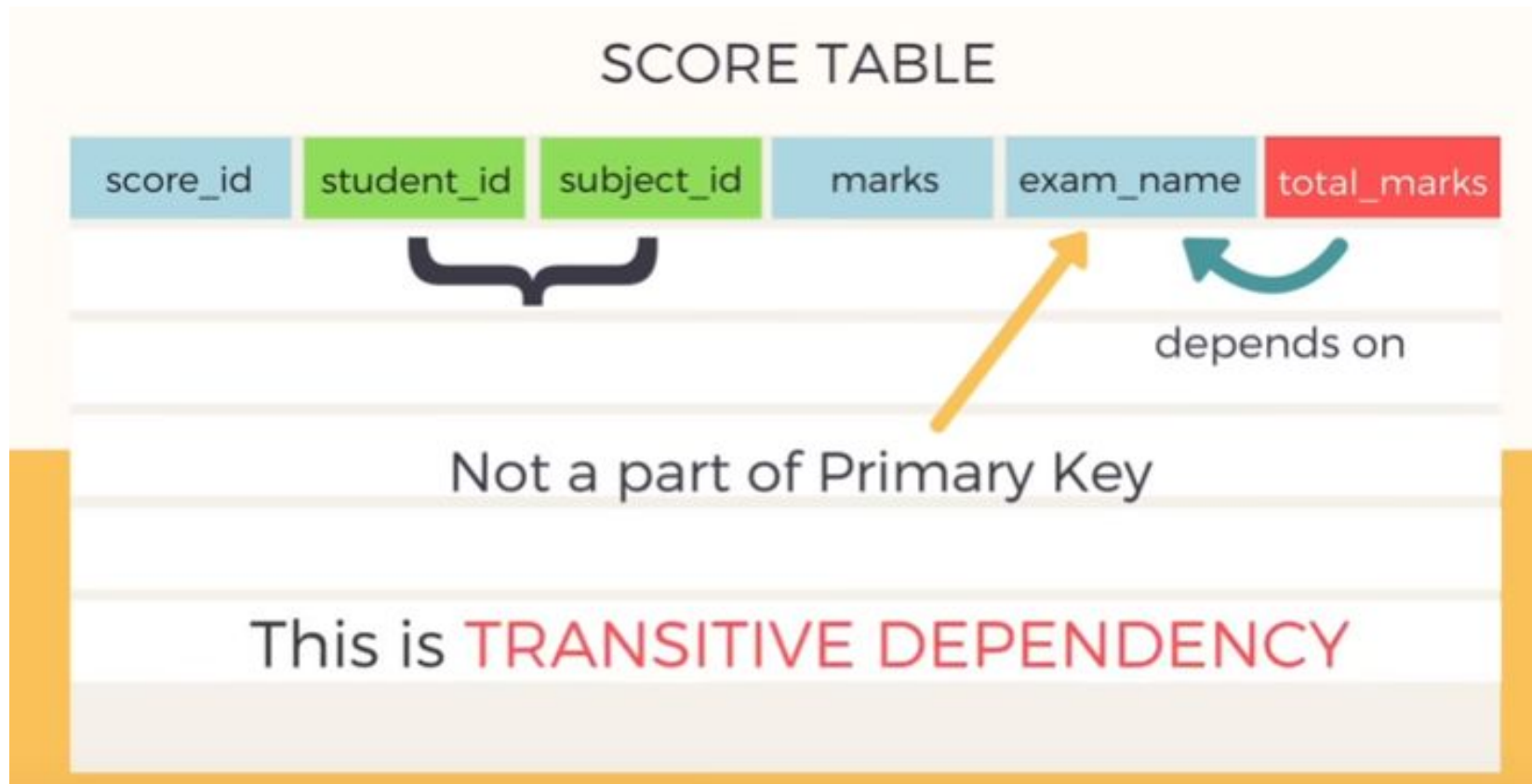
SCORE TABLE

score_id	student_id	subject_id	marks	exam_name	total_marks
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## Transitive Dependency:

- ▶ Primary key for our Score table is a composite key, which means it's made up of two attributes or columns → **student\_id** + **subject\_id**.
- ▶ Our new column exam\_name depends on both student and subject. For example, a mechanical engineering student will have Workshop exam but a computer science student won't. And for some subjects you have Practical exams and for some you don't. So we can say that exam\_name is dependent on both student\_id and subject\_id.
- ▶ But, the column total\_marks depends on exam\_name as with exam type the total score changes. For example, practicals are of less marks while theory exams are of more marks.
- ▶ But, exam\_name is just another column in the score table. It is not a primary key or even a part of the primary key.
- ▶ This is **Transitive Dependency**. When a non-prime attribute depends on other non-prime attributes.

# 3<sup>rd</sup> Normal Form



## SCORE TABLE

score_id	student_id	subject_id	marks	exam_name	total_marks
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## EXAM TABLE

student\_id name reg\_no branch address

### Student Table

subject\_id subject\_name teacher

### Subject Table

score\_id student\_id subject\_id marks exam\_name

### Score Table

exam\_name total\_marks

### Exam Table

**In 3rd  
Normal Form**

# EXAMPLE TO SOLVE

Supplier	Product	Cost	Markup	Price	Dept Code
21 – Very Veggie	4108 – tomatoes, plum	1.89	5%	1.99	PR
32 – Fab Fruits	4081 – bananas	0.20	75%	0.35	PR
32 – Fab Fruits	4027 – grapefruit	0.45	100%	0.90	PR
32 – Fab Fruits	4851 – celery	1.00	100%	2.00	PR
08 – Meats R Us	331100 – chicken wings	0.50	300%	1.50	BU
08 – Meats R Us	331105 – lean ground beef	0.60	400%	2.40	BU
08 – Meats R Us	332110 – boneless chicken breasts	2.50	100%	5.00	BU
10 – Jerry’s Juice	411100 – orange juice	0.25	400%	1.00	FR
10 – Jerry’s Juice	521101 – apple juice	0.25	400%	1.00	FR
45 – Icey Creams	866503 – vanilla ice cream	2.50	100%	5.00	FR
45 – Icey Creams	866504 – chocolate ice cream	2.50	100%	5.00	FR

# NEXT LECTURE

- ▶ BCNF
- ▶ 4<sup>th</sup> Normal Form
- ▶ 5<sup>th</sup> Normal Form