

Independent Financial Audit Report

Company: Alpha Fintech Pvt Ltd

Audit ID: audit_51311912

Audit Date: 2026-02-08T10:59:07Z

Overall Status: COMPLETED

Risk Level: MEDIUM

Executive Summary

The audit of Alpha Fintech Pvt Ltd encompassed a total of 26 documents, out of which 25 were flagged for issues while 1 document was verified. The presence of multiple flagged documents indicates a medium overall risk level for the company. It is essential for the company to address the identified issues to enhance compliance and operational integrity.

Document Summary

| Document ID | Document Type | Result | Hard Issues | Soft Issues |
|---------------------------------|---------------|----------|-------------|-------------|
| Alpha Fintech Pvt Ltd_PL_Q1.pdf | P_AND_L | VERIFIED | 0 | 0 |
| INV-16439.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-16820.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-25450.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-25793.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-29251.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-31650.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-31924.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-35066.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-36051.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-36238.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-44919.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-45272.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-53791.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-53891.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-60244.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-64918.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-66802.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-74090.pdf | INVOICE | FLAGGED | 0 | 2 |
| INV-74977.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-78652.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-81012.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-81590.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-90669.pdf | INVOICE | FLAGGED | 0 | 1 |

| Document ID | Document Type | Result | Hard Issues | Soft Issues |
|---------------|---------------|---------|-------------|-------------|
| INV-92616.pdf | INVOICE | FLAGGED | 0 | 1 |
| INV-96880.pdf | INVOICE | FLAGGED | 0 | 1 |

Rule Impact Analysis

| Rule ID | Rule Title | Severity | Affected Docs Count | Affected Documents |
|------------------------|------------------------|----------|---------------------|---|
| FIN_INV_ST RUCT_004 | Missing currency | SOFT | 25 | INV-16439.pdf, INV-16820.pdf, INV-25450.pdf, INV-25793.pdf, INV-29251.pdf, INV-31650.pdf, INV-31924.pdf, INV-35066.pdf, INV-36051.pdf, INV-36238.pdf, INV-44919.pdf, INV-45272.pdf, INV-53791.pdf, INV-53891.pdf, INV-60244.pdf, INV-64918.pdf, INV-66802.pdf, INV-74090.pdf, INV-74977.pdf, INV-78652.pdf, INV-81012.pdf, INV-81590.pdf, INV-90669.pdf, INV-92616.pdf, INV-96880.pdf |
| FIN_INV_LO GIC_001 | CGST and SGST mismatch | SOFT | 1 | INV-74090.pdf |

Company Risk Summary

Total Documents: 26

Verified Documents: 1

Flagged Documents: 25

Failed Documents: 0

Out Of Scope Documents: 0

Overall Risk Level: MEDIUM

Recommendations

- Address the missing currency issues in flagged invoices to ensure compliance.
- Review the CGST and SGST mismatch identified in the flagged documents.
- Implement a more robust document verification process to reduce future flagged instances.

Auditor Disclaimer

This report is based on the information provided and is intended for the use of the company management.