



Trading Idea IF

UBS Rockefeller Ocean Engagement Fund

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The transition to a blue economy represents new and shifting revenues and costs. The United Nations High Seas Treaty signaled a major breakthrough and accelerated regulations which put existing revenue lines under threat. Investment opportunities arise as business models adapt to this new world. Being an early mover in innovative new markets can provide companies with the opportunity to set the price and to capture a larger market share. In addition, engagement with companies offers investors an avenue to push for change as part of the blue economy transition.

One of our solutions to invest in the blue economy is the **UBS Rockefeller Ocean Engagement Fund**. This solution offers the following characteristics:

- Actively managed equity fund that invests globally in companies exposed to the CIO blue economy long term investment theme
- Concentrated portfolio of ~50 high conviction stocks with a small and mid-cap focus
- Seeks to generate returns and create positive change for ocean health via a consistent investment and shareholder engagement process
- Harnesses the ocean expertise of Rockefeller Asset Management and The Ocean Foundation (leading global Ocean NGO)
- Engagement approach purely within the blue economy theme results in differentiated investment proposition

- Provides access to market leading UBS GWM CIO research and Rockefeller Asset Management portfolio management capabilities

Risks: The fund invests in equities and may therefore experience significant fluctuations in value, particularly in the short term. The underlying strategy might be tilted towards small capitalized, growing companies. These investments are typically subject to higher fluctuations in price. Focusing intentionally on stocks within a certain theme may come with further risks i.e. a concentration on a specific sector or style. As the fund pursues an active management style, the performance of the fund can deviate significantly from that of its reference index. The fund can use derivatives, which may result in additional counterparty and liquidity risks associated with derivative transactions. A portion of the sub-fund could be invested in less liquid instruments whose prices could fluctuate in certain market conditions. Additional information about these and other risks can be found in the prospectus and the KID document. Past performance is no indication of future results.

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