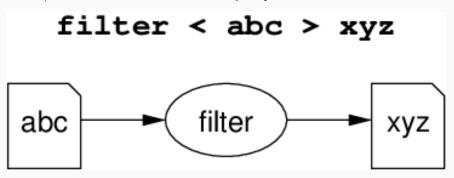
• A **filter** is a program that transforms a byte stream.

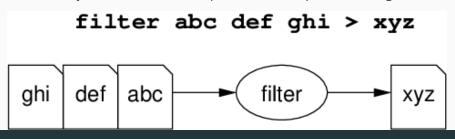
On Unix-like systems, filters are commands that:

- read bytes from their standard input or specified files
- perform useful transformations on the stream
- write the transformed bytes to their standard output
- most filters work on text, UTF-8 or perhaps just ASCII
- most filters are line-based, a few are byte based or character-based

Shell I/O redirection can be used to specify filter source and destination files:



Alternatively, most filters allow input files to be specified as arguments:



cat - the simplest filter

• cat is the simplest filter - it passes the byte stream unchanged, for example:

```
$ <hello.c cat
#include <stdio.h>

int main(void) {
    printf("hello\n");
}
```

• cat takes its input from files if they are specified as arguments:



Using Filters

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In isolation, filters are reasonably useful

In combination, they provide a very powerful problem-solving toolkit.

Filters are normally used in combination via a pipeline:



Note: similar style of problem-solving to function composition.

.

Using Filters

Using Filters

Unix filters use common conventions for command line arguments:

- input can be specified by a list of file names
- if no files are mentioned, the filter reads from standard input {(which may have been connected to a file)}
- the filename '{****-'****}" corresponds to standard input

Examples:

```
# read from the file data1
filter data1
# or
filter < data1

# read from the files data1 data2 data3
filter data1 data2 data3

# read from data1, then stdin, then data2
filter data1 - data2</pre>
```

Filters normally perform multiple variations on a task.

Selection of the variation is accomplished via command-line options:

- options are introduced by a ("minus" or "dash")
- options have a "short" form, followed by a single letter (e.g. -v)
- options have a "long" form, followed by a word (e.g. -verbose)
- short form options can usually be combined (e.g. -av vs -a -v)
- -help (or -?) often gives a list of all command-line options

Filters: Option

cat: the simplest filter

Most filters have *many* options for controlling their behaviour.

Unix manual entries describe how each option works.

To find what filters are available: man -k keyword

The solution to all your problems: **RTFM**

The cat command copies its input to output unchanged (identity filter).

When supplied a list of file names, it concatenates them onto stdout.

Some useful cat options:

- -n number output lines (starting from 1)
- -A display non-printing characters handy for debugging
- -s squeeze consecutive blank lines into single blank line

See also the tac command which reverses the order of lines.

See the rev command which reverses the order of characters in lines.

```
void process_stream(FILE *stream) {
   int byte;
   while ((byte = fgetc(stream)) != EOF) {
      if (fputc(byte, stdout) == EOF) {
          perror("cat:");
          exit(1);
      }
   }
}
```

source code for cat.c

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implementing cat in Python

common task - filter particular lines

- very commonly we need a filter which passes only particular lines
- often we want line number added to line
- useful if filename add (for when input is coming from multiple files)
- one common need: select lines containing string(s)

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```
selecting lines containing a string - C
```

```
if (argc == 2) {
    process stream(stdin, "<stdin>", argv[1]);
```

```
selecting lines containing a string - C - main function
```

```
void process stream(FILE *stream, char *name, char *substring) {
    char *line = NULL;
   size_t line_size = 0;
   int line number = 1;
   while (getline(&line, &line size, stream) > 0) {
       if (strstr(line, substring) != NULL) {
           printf("%s:%d:%s", name, line_number, line);
       line_number++;
   free(line);
```

```
} else {
   for (int i = 2; i < argc; i++) {</pre>
        FILE *in = fopen(argv[i], "r");
        if (in == NULL) {
            fprintf(stderr, "%s: %s: ", argv[0], argv[i]);
            perror("");
            return 1;
        process_stream(in, argv[i], argv[1]);
```

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source code for fgrep.c

}

source code for fgrep.c

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selecting lines containing a string - Python

Matching Any of A Set of String

fclose(in);

```
def process_stream(f, name, substring):
   print lines containing substring
   for (line_number, line) in enumerate(f, start=1):
        if substring in line:
            print(f'{name}:{line_number}:{line}', end='')
def main():
    process files given as arguments, if no arguments process stdin
   if len(sys.argv) == 2:
        process_stream(sys.stdin, "<stdin>")
   elif len(sys.argv) > 2:
        for pathname in sys.argv[2:]:
            with open(pathname, 'r') as f:
                process_stream(f, pathname, sys.argv[1])
```

- previous programs too limited for many uses
- often need to select lines containing any of a set of strings
- set may be huge or infinite
- regular expresions: concise powerful notation for sets of strings
- concept famous theoretician Stephen Kleene 1950s
- syntax & implementation Turing award winner Ken Thompson (1968)
- originally editors (qed, ed, vi, emacs, ...) & compilers

source code for fgrep.pv

Regular Expressions

Regular Expressions Basics

- a regular expression (regex) often thought of as a pattern
- but think of it as defining a set of strings.
- set may be small, huge or infinite
- regular expressions libraries available for most languages.
- many tools make use of regular expressions for searching
- effective use of regular expressions makes you much more productive
- incredibly useful for manipulation of textual data
- POSIX standard(s) for regular expressions

- Unless a character has a special meaning it matches itself
 - e.g. a has no special meaning so it matches a.
- p* denotes zero or more repetitions of p.
 - e.g. b* matches the empty string and: b, bb, bbb, bbbb . . .
 - note this is an infinite set of strings
- pattern₁ | pattern₂ denotes the union of pattern₁ and pattern₂.
 - e.g perl|python|ruby matches any of: perl, python or ruby
 - I is sometimes called alternation
- Parentheses are used for grouping
 - e.g. c(,c)* matches: c c,c c,c,c c,c,c...
 - and (d|e)*(f|g) matches f, g, df, dg, ef, eg, ddf, deg, edf, edg, eef, ...
- backslash \ removes any special meaning of the following character
 - e.g. * matches an * intstead of indicating repetition
- Any regular expression can be written using only ()*|\
 - but many syntax features are present for convenience & clarity.

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Convenient Regular Expressions for matching Single Characters

- . (dot) matches any single character.
- Square brackets provide convenient matching of any one of a set of characters.
- [listOfCharacters] matches any single character from listOfCharacters.
 - e.g. [aeiouAEIOU] matches any (English) vowel.
- A shorthand is available for ranges of characters [first last]
 - e.g [a-e] [a-z] [0-9] [a-zA-Z] [A-Za-z] [a-zA-Z0-9]
- Square brackets matching can be inverted with an ^
- [*`listOfCharacters*] matches any **single** character except those in *listOfCharacters*.
 - e.g [^a-e] matches any character except one of the first five lowercase letters
- Other characters lose their special meaning inside bracket expressions.
- e.g. [^X] *X matches any characters up to and including the first X

Anchoring Matches

- regular expressions may be used to match against a whole string
 - e.g re.fullmatch in Python
- regular expressions are often used to match a substring (part of a string)
 - e.g egrep prints lines containing a substring matching the regular expression
 - e.g re.search in Python (beware re.match matches only start of string)
- when matching part of a string you can limit matches to the start or end of a string (or both)
- start of the string is denoted by ^ (uparrow)
 - ^hello matches a string starting with hello
 - note ^ has two meanings in regular expressions
 - e.g. ^[abc] matches a or b or c at the start of a string.
 - e.g. [^abc] matches any character except a or b or c (anywhere in the string)
- the end of the string is denoted by \$ (dollar)
 - cat\$ matches cat at the end of a string.
- the end of the string is denoted by \$ (dollar)

Convenient Regular Expressions for Repetition

Regular Expression Examples

For convienece and readability, more special characters denoting repetition:

- p* denotes zero or more repetitions of p
- p+ denotes one or more repetitions of p
 - e.g. [0-9]+ matches any sequence of digits (i.e. matches integers)
 - e.g. [-'a-zA-Z]+ matches any sequence of letters/hyphens/apostrophes
 - this pattern could be used to match words in a piece of English text,
 - e.g. it's, John, ...
- p? denotes zero or one occurence of p
- $p\{n\}$ denotes n repetitions of p
 - e.g z[0-9]{7} matches a UNSW zid
- $p\{n,m\}$ denotes n to m repetitions of p
- $p\{n,\}$ denotes n or more repetitions of p

Regex	Possible Matches		
abc	abc		
a.c	abc, aac, acc, aXc, a2c,		
ab*c	ac, abc, abbc, abbbc,		
a the	a, the		
[a-z]	a, b, c, z		

https://regex101.com lets you explore regex matching

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egrep: select lines matching a pattern

- egrep copies to stdout lines that match a specified regular expression.
 - some regular expression characters also special meaning to Shell
 - when run from Shell regular expression often needs single quotes
 - grep is an acronym for Globally search with Regular Expressions and Print

Some useful egrep options:

- -i ignore upper/lower-case difference in matching
- -v only display lines that *do not* match the pattern
- -c print a count of matching lines
- -w only match pattern if it makes a complete word

egrep and friends

- fgrep or grep -F match strings only (no regular expressions)
 - use if you don't need regex
 - faster
 - avoids bugs from regex syntax accidentally occurring in your match string
- grep matches a subset of regular expressions, e.g. no + ? | () {}
 - faster than egrep but this is rarely important these days
 - generally just use egrep
- egrep or grep -E (extended grep) matches full POSIX regular expressions
 - egrep is what you want most of the time
- grep -P POSIX regular expressions + Perl extensions
 - standard Python regexes include some but not all Perl extensions
 - use if you need Perl/Python regex extensions
 - PCRE library widely used (e.g. Apache)

Delimited Input

Delimited Input

Many filters are able to work with text data formatted as *fields* (columns in spreadsheet terms).

Such filters typically have an option for specifying the delimiter or field separator. (Unfortunately, they often make different assumptions about the default column separator)

Example (tab-separated columns):

```
John 50
Wen 75
```

Andrew 33

Wenjie 95

Yang 93

Sowmya 96

Or vertical bar-separated columns, CSE enrollment file:

```
COMP1511|2252424|Abbot, Andrew John | 3727|1|M | COMP2511|2211222|Abdurjh, Saeed | 3640|2|M | COMP1511|2250631|Accent, Aac-Ek-Murhg | 3640|1|M | COMP1521|2250127|Addison, Blair | 3971|1|F | COMP4141|2190705|Allen, David Peter | 3645|4|M | COMP4960|2190705|Allen, David Pater | 3645|
```

Or colon-separated columns, e.g.: Unix password file

```
root:*:0:0:root:/root:/bin/bash
jas:*/44Ko:100:100:John Shepherd:/home/jas:/bin/bash
cs1521:*:101:101:COMP1521:/home/cs1521:/bin/bash
cs2041:*:102:102:COMP2041:/home/cs2041:/bin/bash
cs3311:*:103:103:COMP3311:/home/cs3311:/bin/bash
```

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wc: word counter

- wc summarizes its input as a single line.
- often useful as last command in pipeline
- also useful in shell scripts
- other filters may have counting options, e.g. grep -c
- some useful wc' options:
 - -c print the number of **c**haracters
 - -w print the number of words (non-white space) only
 - -1 print the number of lines only
- by default, wc prints the number of line, words, characters in its input, e.g.

```
$ wc /etc/passwd
49   79 2793 /etc/passwd
```

wc in C

```
int n lines = 0;
int n words = 0;
int n_chars = 0;
int in_word = 0;
int c;
while ((c = fgetc(in)) != EOF) {
    n chars++;
    if (c == '\n') {
        n lines++;
    }
    if (isspace(c)) {
        in word = 0;
    } else if (!in_word) {
        in_word = 1;
        n words++;
    }
printf("%d %d %d %s\n", n_lines, n_words, n_chars, name);
```

source code for wc.c

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wc in Python

```
tr': transliterate chars
```

```
def process stream(stream):
    count lines, words, chars in stream
    lines = 0
    words = 0
    characters = 0
    for line in stream:
        if line [-1] in "\r\n":
            lines += 1
        words += len(re.findall(r'\S+', line))
        characters += len(line)
    print(f"{lines:>6} {words:>6} {characters:>6}", end='')
```

others.

• tr reads chars and writes characters, mapping (replacing) some chars with

- the mapping is specified as 2 arguments: tr sourceChars destChars**
- each char in sourceChars is mapped to the corresponding char in destChars. For example:

```
tr 'abc' '123' < someText
```

```
sourceChars = \texttt{'abc'}, destChars = \texttt{'123'}: \mathtt{a} 	o \mathtt{1} \mathtt{b} 	o \mathtt{2} \mathtt{c} 	o \mathtt{3}
```

- tr doesn't accept file names on the command line it uses stdin only
- tr is not line-based it works with individual chars
- most tr implementations do not support multi-char characters (UTF8)

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they really work with bytes not characters!

source code for wc.py

tr: transliterate characters

Some useful tr options:

tr: transliterate characters

Chars that are not in *sourceChars* are copied unchanged to output.

If there is no corresponding char (i.e. destChars is shorter than sourceChars), then the last char in destChars is used.

Shorthands are available for specifying char lists:

E.g. 'a-z' is equivalent to 'abcdefghijklmnopqrstuvwxyz'

Note: newlines can be modified if the mapping specification requires it.

- map all bytes *not* occurring in *sourceChars* (**c**omplement)
- squeeze adjacent repeated characters out (only copy the first) -s
- **d**elete all characters in *sourceChars* (no *destChars*) -d

```
# map all upper-case letters to lower-case equivalents
tr 'A-Z' 'a-z' < text
# simple encryption (a->b, b->c, \ldots z->a)
tr 'a-zA-Z' 'b-zaB-ZA' < text
# remove all digits from input
tr -d '0-9' < text
# break text file into individual words, one per line
tr -cs 'a-zA-Z0-9' '\n' < text
                                                                  32
```

head/tail: select first/last lines

- head prints the first n (default 10) lines of input.
- The tail prints the last *n* lines of input.
- -n option changes number of lines head/tail prints.
 - e.g. tail -n 30 file prints last 30 lines of file.
- Combine head and tail to select a range of lines.
 - head -n 100 | tail -n 20 copies lines 81..100 to output.
- head & tail mostly used with stdin or single file
 - if multiple files specied output prefixed with name

The cut command prints selected parts of input lines.

- cut can select fields, column separator defaults to tab
- cut can select a range of character positions
- some useful cut options:

cut: vertical slice

-flistOfCols	print only the specified fields (tab-separated) on output
-clistOfPos	print only chars in the specified positions
-d <i>c</i> "	use character c as the field separator

- lists are specified as ranges (e.g. 1–5) or comma-separated (e.g. 2,4,5).
- cut has no way to refer to "last column" without counting the columns.
 - awk has this and usually sed or perl will solve problem too

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cut: vertical slice - Examples

```
# print the first column
cut -f1 data

# print the first three columns
cut -f1-3 data

# print the first and fourth columns
cut -f1,4 data

# print all columns after the third
cut -f4- data

# print the first three columns, if '|'-separated
cut -d'|' -f1-3 data

# print the first five chars on each line
cut -c1-5 data
```

sort: sort lines

The sort command copies input to output but ensures that the output is arranged in some particular order of lines.

By default, sorting is based on the first characters in the line.

Other features of sort:

- understands that text data sometimes occurs in delimited fields.
 (so, can also sort fields (columns) other than the first (which is the default))
- can distinguish numbers and sort appropriately
- can ignore punctuation or case differences
- can sort files "in place" as well as behaving like a filter
- capable of sorting very large files

Some useful sort options:

```
    -r sort in descending order (reverse sort)
    -n sort numerically rather than lexicographically
    -d dictionary order: ignore non-letters and non-digits
    -tc use character c to separate columns (default: space)
    -kn sort on column n
```

Note: often need to put quotes (' ') around the separator character c to prevent the shell from mis-interpreting it.

Hint: to specify Tab as the field delimiter with an interactive shell like bash, type CTRL-v before pressing the Tab key.

```
# sort numbers in 3rd column in descending order
sort -nr -k3 data

# sort the password file based on user name
sort -t: -k5 /etc/passwd
```

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sort in Python

```
import sys
def process_stream(f):
    """
    lines of stream in sorted order
    """
    print("".join(sorted(f)), end="")

def main():
    """
    process files given as arguments, if no arguments process stdin
    """
    if len(sys.argv) == 1:
        process_stream(sys.stdin)
    else:
        with open(sys.arg[1], 'r') as f:
            process_stream(f)
```

uniq: remove or count duplicates

uniq remove all but one copy of adjacent identical lines.

Some useful uniq options:

- -c also print number of times each line is duplicated
- -d only print (one copy of) duplicated lines
- -u only print lines that occur uniquely (once only)
- extremely useful data analysis/summary tool
- often preceded by cut
- almost always preceded by sort (to ensure identical lines are adjacent)
- for example:

```
# extract first field, sort, and tally
cut -f1 data | sort | uniq -c
```

source code for sort.py

uniq in C

```
void process_stream(FILE *stream) {
   char *line = NULL;
   size_t line_size = 0;
```

```
void process_stream(FILE *stream) {
    char *line = NULL;
    size_t line_size = 0;
    char *last_line = NULL;
    size_t last_line_size = 0;
    while (getline(&line, &line_size, stream) > 0) {
        if (last_line == NULL || strcmp(line, last_line) != 0) {
            fputs(line, stdout);
        }
        // grow last_line if line has grown
        if (last_line_size != line_size) {
            last_line = realloc(last_line, line_size);
            assert(last_line != NULL);
            last_line_size = line_size;
        }
        strncpy(last_line, line, line_size);
    }
    free(line);
    free(last_line);
}
```

source code for uniq

```
import sys
def process_stream(stream):
    """
    copy stream to stdout except for repeated lines
    """
    for index, line in enumerate(stream):
        if index == 0 or stream[index] != stream[stream-1]:
            print(line, end='')

def main():
    """
    process files given as arguments, if no arguments process stdin
    """
    if not sys.argv[1:]:
        process_stream(sys.stdin)
    else:
        for pathname in sys.argv[1:]:
        with open(pathname, 'r') as f:
            process_stream(f)
```

source code for uniq.py

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xargs: run commands with arguments from standard input

Some useful xargs options:

-n	use at most max-args arguments per command line.
-P	Run up to max-procs processes at a time
-ireplace-str	Replace occurrences of <i>replace-str</i> with words read from stdin

For example:

```
# remove home directories of users named Andrew:
grep Andrew /etc/passwd | cut -d: -f6 | xargs rm -r
```

xargs in Python

uniq in Python

```
import subprocess, sys
# the real xargs runs the command multiple times if input is large
# the real xargs terats quotes specially
def main():
    input_words = [w for line in sys.stdin for w in line.split()]
    command = sys.argv[1:]
    subprocess.run(command + input_words)
```

source code for xargs.py

sed provides the power of interactive-style editing as a filter

How sed works:

- read each line of input
- check if it matches any patterns or line-ranges
- apply related editing commands to the line
- write the transformed line to output

The editing commands are very powerful and subsume the actions of many of the filters looked at so far.

In addition, sed can:

- partition lines based on patterns rather than columns
- extract ranges of lines based on patterns or line numbers

Option -n (no printing):

- applies all editing commands as normal
- displays no output, unless p appended to edit command

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sed: stream editor

sed: stream editor

Editing commands:

p	print the current line			
d	delete (don't print) the current line			
s/RegExp/Replace/	substitute first occurrence of string matching RegExp by			
s/RegExp/Replace/g	substitute all occurrences of string matching \textit{RegExp} by \textit{I}			
q	terminate execution of sed			

All editing commands can be qualified by line addresses or line selector patterns to limit lines where command is applied:

LineNo	selects the specified line			
StartLineNo , EndLineNo	selects all lines between specified line			
/RegExp/	numbers selects all lines that match			
/RegExp1/,/RegExp2/	RegExp selects all lines between lines			
	matching reg exps			

```
'sed": stream editor - examples
```

```
sed: stream editor - more examples
```

```
# print all lines
sed -n -e 'p' < file

# print the first 10 lines
sed -e '10q' < file
sed -n -e '1,10p' < file

# print lines 81 to 100
sed -n -e '81,100p' < file

# print the last 10 lines of the file?
sed -n -e '$-10,$p' < file # does NOT work</pre>
```

```
# print only lines containing 'xyz'
sed -n -e '/xyz/p' < file

# print only lines NOT containing 'xyz'
sed -e '/xyz/d' < file

# show the passwd file, displaying only the
# lines from "root" up to "nobody" (i.e. system accounts)
sed -n -e '/^root/,/^nobody/p' /etc/passwd

# remove first column from ':'-separated file
sed -e 's/[^:]*://' datafile

# reverse the order of the first two columns
sed -e 's/\([^:]*\):\([^:]*\):\(.*\)$/\2:\1:\3/'</pre>
```

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find: search for files

find: search for files - examples

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The find commands allows you to search for files based on specified properties (a filter for the file system)

- searches an entire directory tree, testing each file for the required property.
- takes some action for all "matching" files (usually just print the file name)

Invocation:

find StartDirectory Tests Actions

where

- the *Tests* examine file properties like name, type, modification date
- the *Actions* can be simply to print the name or execute an arbitrary command on the matched file

```
# find all the HTML files below /home/jas/web
find /home/jas/web -name '*.html' -print

# find all your files/dirs changed in the last 2 days
find ~ -mtime -2 -print

# show info on files changed in the last 2 days
find ~ -mtime -2 -type f -exec ls -1 {} \;

# show info on directories changed in the last week
find ~ -mtime -7 -type d -exec ls -ld {} \;

# find directories either new or '07' in their name
find ~ -type d \( -name '*07*' -o -mtime -1 \) -print
```

```
find: search for files - more examples
```

```
join: database operator
```

```
# find all {\it{new}} HTML files below /home/jas/web
find /home/jas/web -name '*.html' -mtime -1 -print

# find background colours in my HTML files
find ~/web -name '*.html' -exec grep -H 'bgcolor' {} \;

# above could also be accomplished via ...
grep -r 'bgcolor' ~/web

# make sure that all HTML files are accessible
find ~/web -name '*.html' -exec chmod 644 {} \;

# remove any really old files ... Danger!
find /hot/new/stuff -type f -mtime +364 -exec rm {} \;
find /hot/new/stuff -type f -mtime +364 -ok rm {} \;
```

join merges two files using the values in a field in each file as a common key.

The key field can be in a different position in each file, but the files must be ordered on that field. The default key field is 1.

Some useful join options:

```
-1 k key field in first file is k
-2 k key field in second file is k
-a N print a line for each unpairable line in file N (1 or 2)
-i ignore case
-t c tab character is c
```

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join: database operator

paste: combine files

\$ cat data1			\$ cat da	ata2
Bugs Bunny	1953		Warners	Bugs Bunny
Daffy Duck	1948		Warners	Daffy Duck
Donald Duck	1939		Disney	Goofy
Goofy 1952			Disney	Mickey Mouse
Mickey Mouse	1937		Pixar	Nemo
Nemo 2003				
Road Runner	1949			
\$ join -t' '	-2 2 -a	1 data1 da	ata2	
Bugs Bunny	1953	Warners		
Daffy Duck	1948	Warners		
Donald Duck	1939			
Goofy 1952	Disney			
Mickey Mouse	1937	Disney		
Nemo 2003	Pixar			
Road Runner	1949			

The paste command displays several text files "in parallel" on output.

If the inputs are files a, b, c

- the first line of output is composed of the first lines of a, b, c
- the second line of output is composed of the second lines of a, b, c

Lines from each file are separated by a tab character or specified delimiter(s).

If files are different lengths, output has all lines from longest file, with empty strings for missing lines.

Interleaves lines instead with -s (serial) option.

```
paste: combine files
```

Filter summary by type

Example: using paste to rebuild a file broken up by cut.

```
# assume "data" is a file with 3 tab-separated columns
cut -f1 data > data1
cut -f2 data > data2
cut -f3 data > data3
paste data1 data2 data3 > newdata
# "newdata" should look the same as "data"
```

- Horizontal slicing select subset of lines: cat, head, tail, *grep, sed, uniq
- Vertical slicing select subset of columns: cut, sed
- Substitution: tr, sed
- Aggregation, simple statistics: wc, uniq
- Assembly combining data sources: paste, join
- Reordering: sort
- Viewing (always end of pipeline): more, less
- File system filter. find
- Programmable filters: sed, (and perl)

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Unix Processes

Unix Processes: C programmer's view

A Unix process executes in this environment

(stdin) Standard Input (argc, argv) Command-line Arguments Unix Exit status **Process** Environment (return, exit) Variables (getenv) Standard Standard Error Output (stderr) (stdout)

Components of process environment (C programmer's view):

- char *argv[] command line arguments
- int argc size of argv[]
- char *env[] name-value pairs from parent process
- FILE *stdin input byte-stream, e.g. getchar()
- FILE *stdout output byte-stream, e.g. putchar()
- FILE *stderr output byte-stream, e.g. fputc(c, stderr)
- exit(int) terminate program, set exit status
- return int terminate main(), set exit status