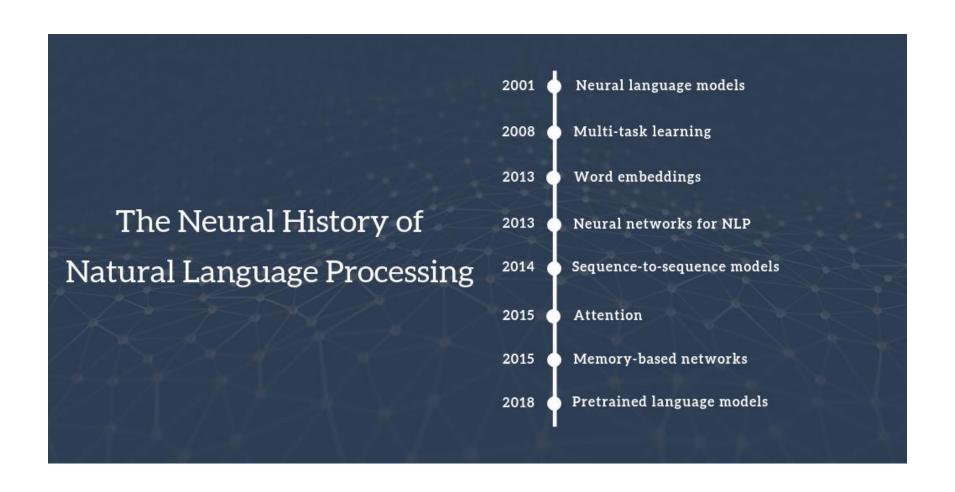
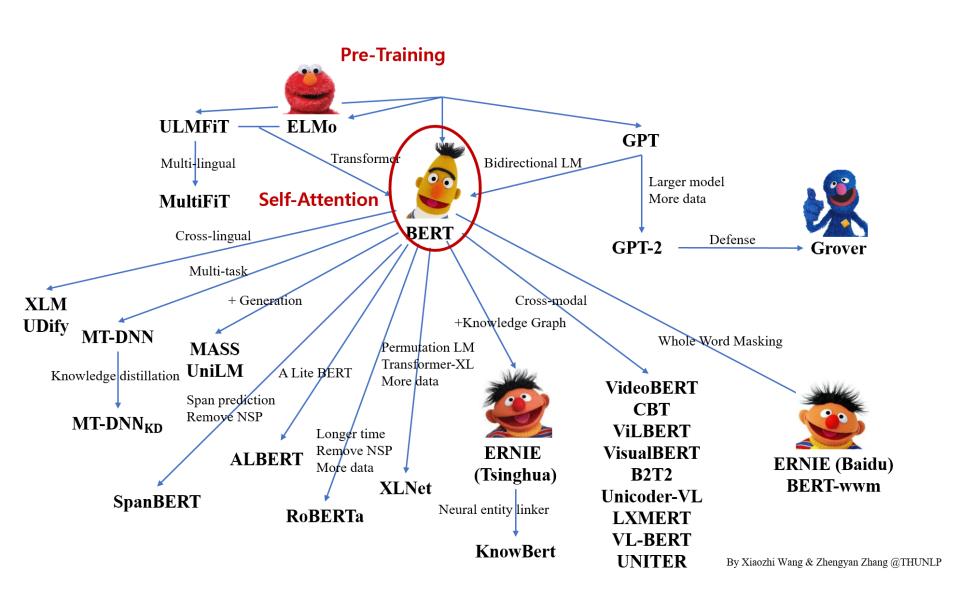
# ELECTRA: PRE-TRAINING TEXT ENCODERS AS DISCRIMINATORS RATHER THAN GENERATORS (ICLR'20 papers)

21<sup>th</sup> Feb, 2022 JongHyeon Kim

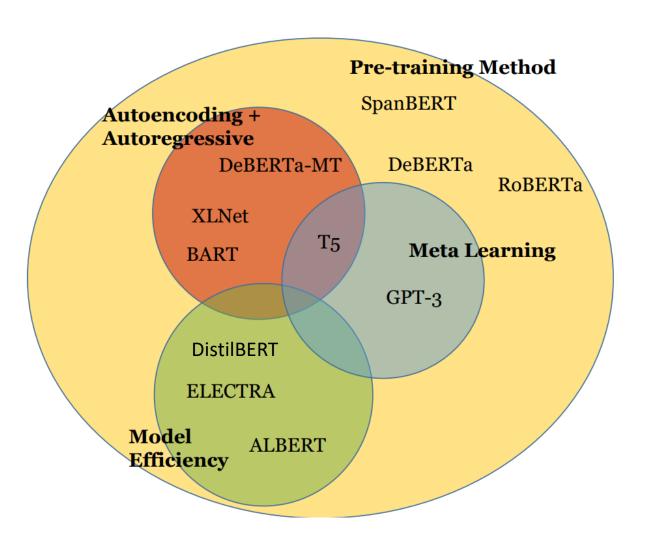
github.com/bellhyeon bellhyeon@naver.com



Reference: https://ruder.io/a-review-of-the-recent-history-of-nlp/

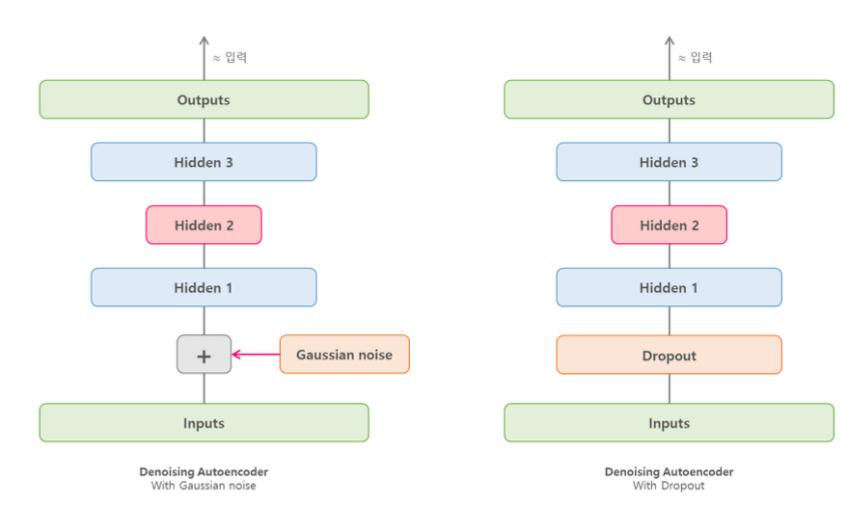


Reference: https://github.com/thunlp/PLMpapers



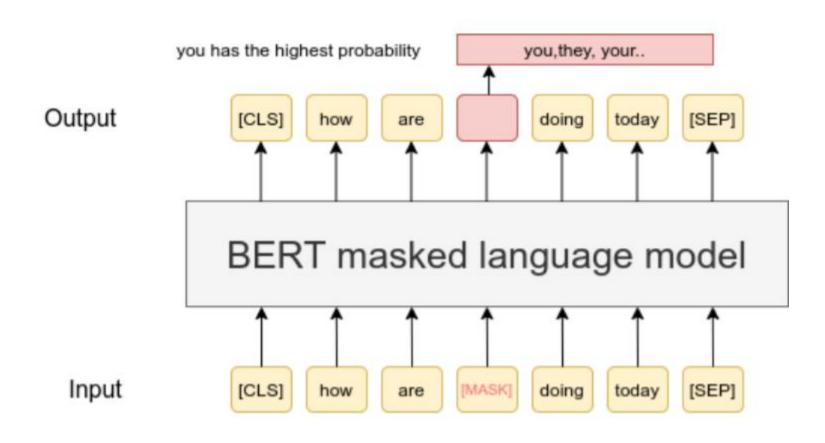
Reference: http://dmqm.korea.ac.kr/activity/seminar/309

## **State-Of-The-Art Representation Learning**



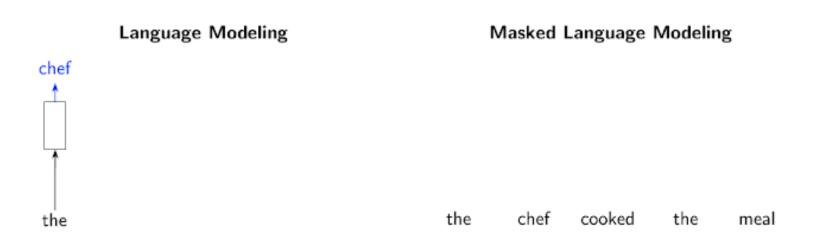
Reference: https://excelsior-cjh.tistory.com/187

#### **State-Of-The-Art Representation Learning**



Reference: https://arxiv.org/pdf/2003.11562.pdf

## **State-Of-The-Art Representation Learning**



Reference: https://ai.googleblog.com/2020/03/more-efficient-nlp-model-pre-training.html

## **Replaced Token Detection (RTD)**

- Masked Language Model trains only 15% of sequences
- Large computation cost
- Network sees [MASK] tokens during pre-training but not when fine-tuning

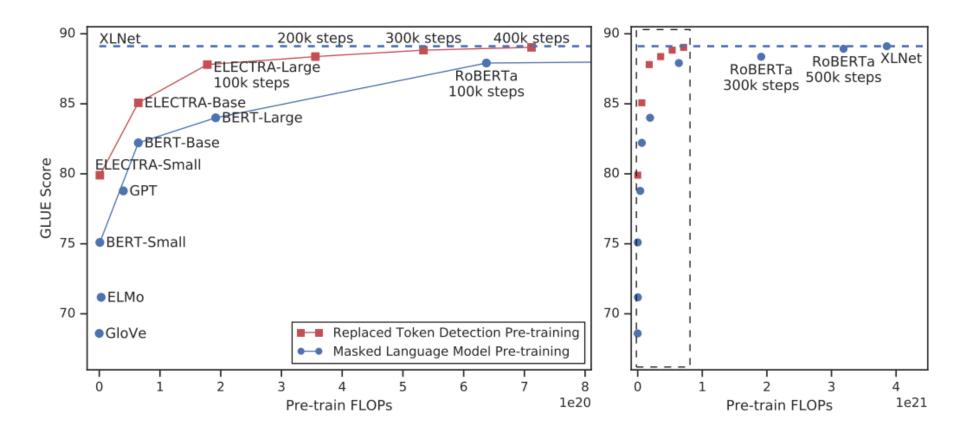
#### **Replaced Token Detection (RTD)**

 The model learns to distinguish real input tokens from plausible (but synthetically generated replacements)

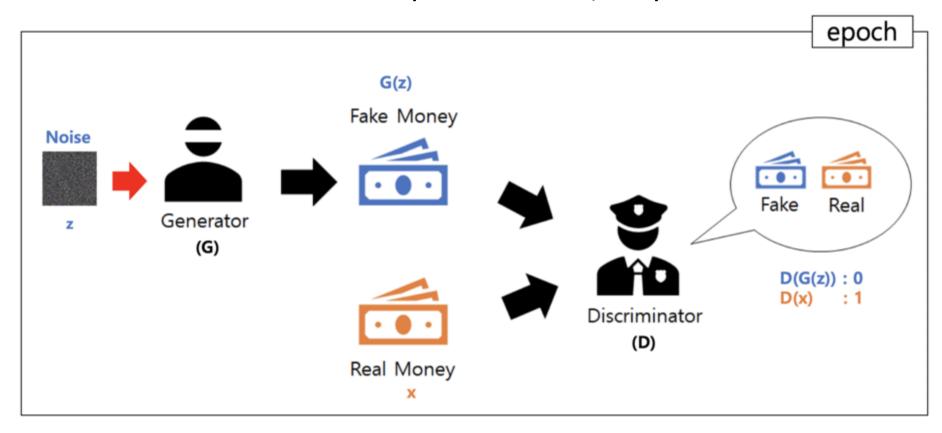
Replaced Token Detection

the chef cooked the meal

Reference: https://ai.googleblog.com/2020/03/more-efficient-nlp-model-pre-training.html

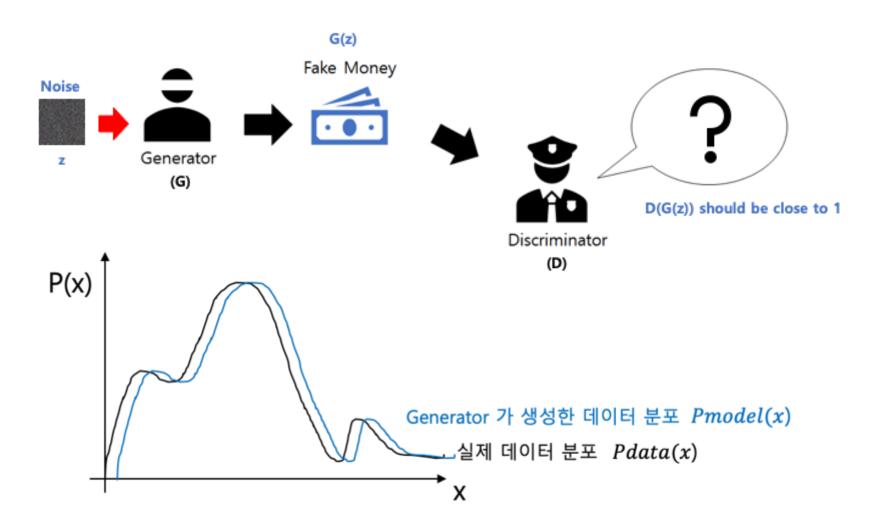


#### GAN: Generative Adversarial Networks (Goodfellow et al., 2014)

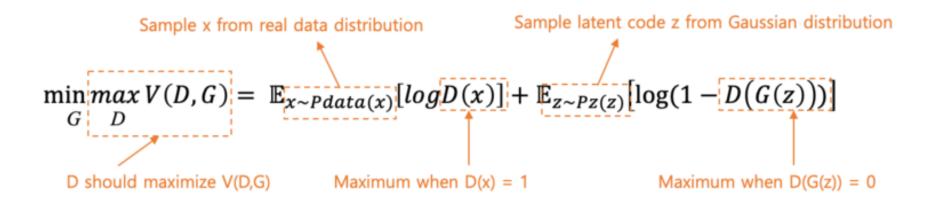


$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{x \sim Pdata(x)}[logD(x)] + \mathbb{E}_{z \sim Pz(z)}[log(1 - D(G(z)))]$$

#### **GAN:** Generative Adversarial Networks (Goodfellow et al., 2014)



GAN: Generative Adversarial Networks (Goodfellow et al., 2014)



**GAN: Generative Adversarial Networks (Goodfellow et al., 2014)** 

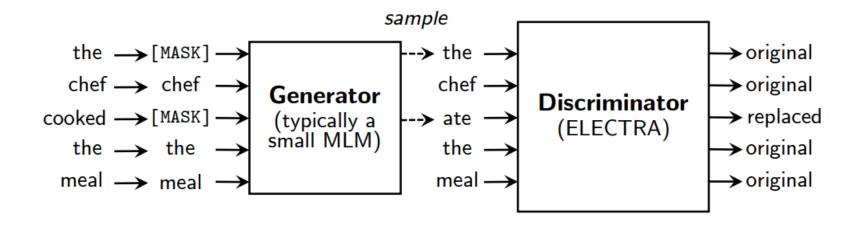
$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{x \sim Pdata(x)}[logD(x)] + \mathbb{E}_{z \sim Pz(z)}[\log(1 - D(G(z)))]$$

$$\text{G doesn't care}$$

$$\text{G should minimize V(D,G)}$$

$$\text{Minimum when D(G(z))} = 1$$

**ELECTRA (Efficiently Learning an Encoder that Classifies Token Replacements Accurately)** 



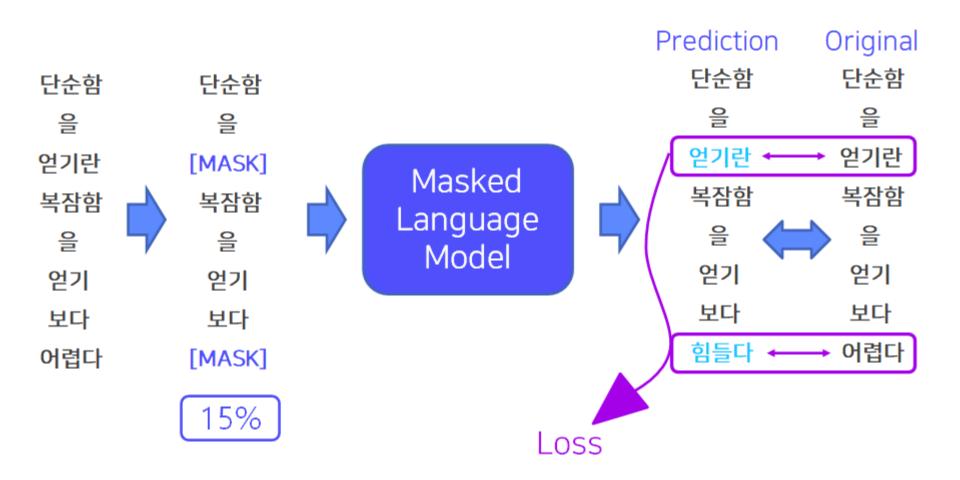
#### Generator

- The generator can be any model that produces an output distribution over tokens
- ELECTRA uses a small Masked Language Model
- Train with maximum likelihood estimation.
- In downstream tasks, fine-tune only discriminator

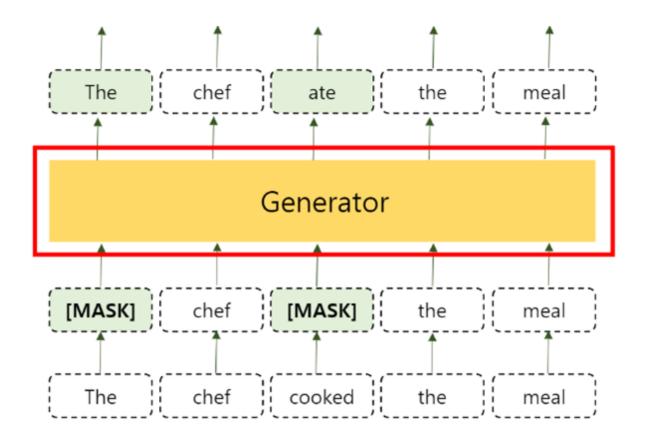
#### Generator

- input  $x = [x_1, x_2 \cdots, x_n]$  contextualized vector representations  $h(x) = [h_1, \cdots, h_n]$
- MLM select a random set of positions to mask out  $[m_1, \dots, m_k]$   $m_i \sim \text{unif } \{1, n\} \text{ for } i = 1 \text{ to } k. \text{ usually } k = 0.15n \text{ (15\% mask)}$
- $x^{masked} = REPLACE(x, m, [MASK])$
- The generator outputs a probability for generating particular token  $x_t$  with a softmax layer
- $pG(x_t|x^{masked}) = \exp(e(x_t)^T h_G(x^{masked})_t) / \sum_{x'} \exp(e(x')^T h_G(x^{masked})_t)$

#### Generator



#### Generator

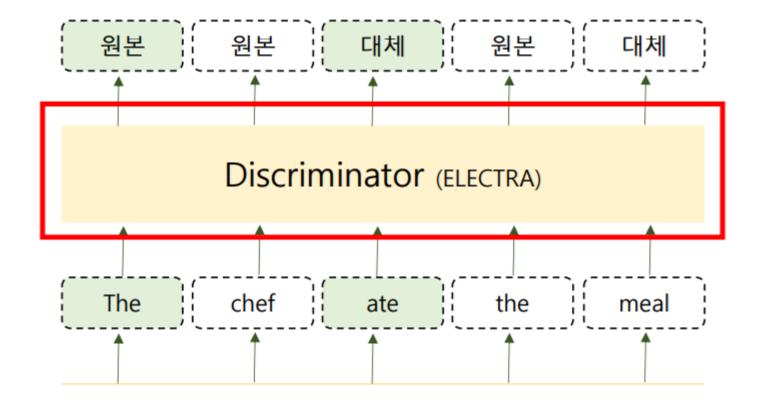


Reference: https://github.com/jiphyeonjeon/season2

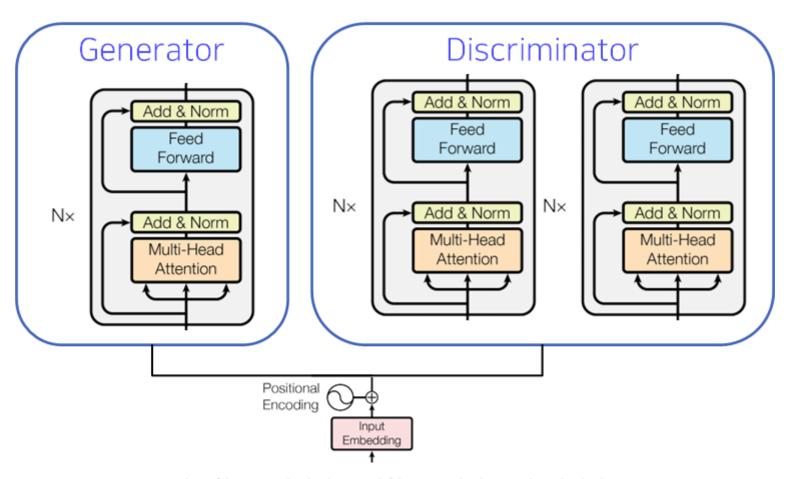
#### **Discriminator**

- Make input sampled from generator [MASK]  $\rightarrow pG(x_t|x^{masked})$  (corrupt)
- $x^{corrupt} = REPLACE(x, m, \hat{x})$
- $\hat{x} \sim pG(x_i | x^{masked})$  for  $i \in m$
- The discriminator is trained to distinguish tokens in the data from tokens that have been replaced by generator samples
- $D(x^{corrput}, t) = \text{sigmoid}(w^T h_D(x^{corrput})_t)$
- Binary Classification
  - Original(1): Same token as original
  - Replaced(0): Different token as original

#### **Discriminator**

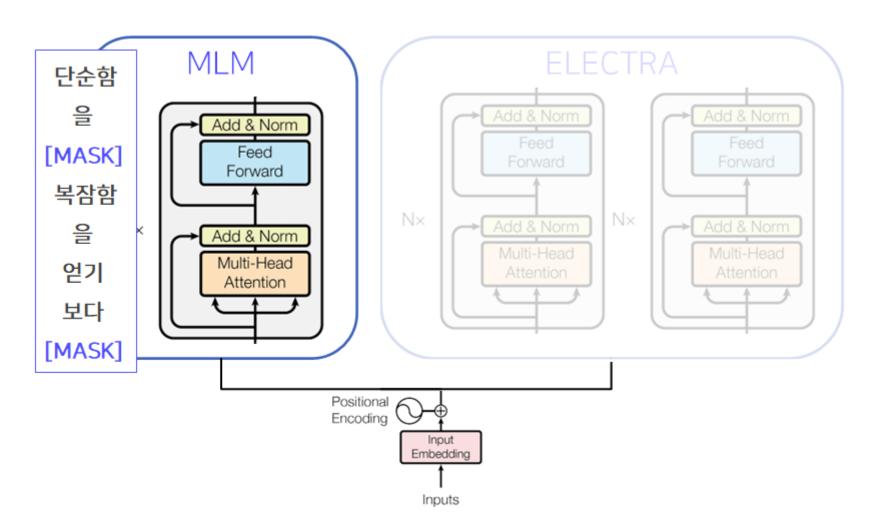


#### **Training Process**

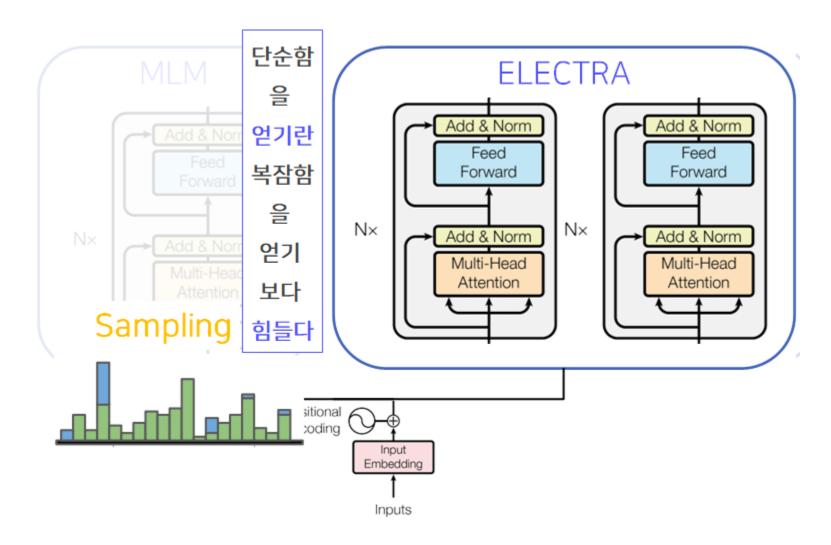


[단순함, 을, 얻기란, 복잡함, 을, 얻기, 보다, 어렵다]

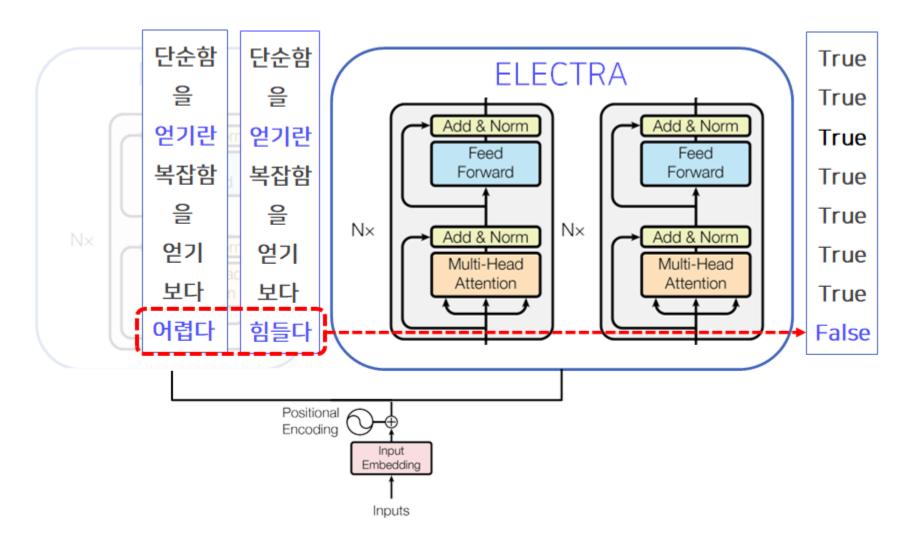
#### **Training Process**



#### **Training Process**



#### **Training Process**



## **Objective(Loss) Functions**

• Generator G  $\mathcal{L}_{\text{MLM}}(x, \theta_G) = \mathbb{E}(\sum_{i \in m} -\log p_G(x_i | x^{masked}))$ 

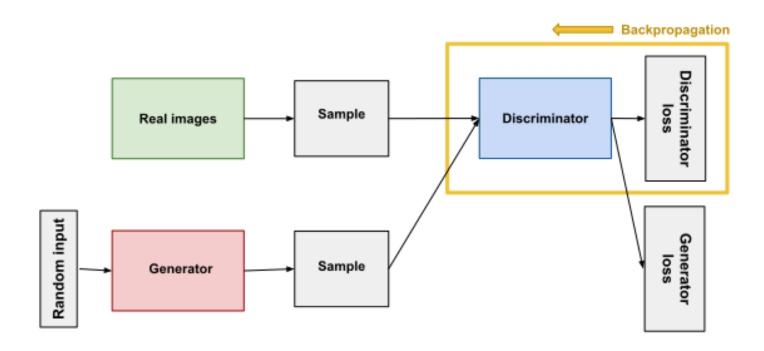
Discriminator D

$$\mathcal{L}_{\text{Disc}}(x,\theta_D) = \mathbb{E}\left(\sum_{t=1}^n - \left(x_t^{corrupt} = x_t\right) \log D(x^{corrput},t) - \left(x_t^{corrupt} \neq x_t\right) \log (1 - D(x^{corrput},t))\right)$$

•  $\min_{\theta_G, \theta_D} \sum_{x \in \chi} \mathcal{L}_{\text{MLM}}(x, \theta_G) + \lambda \mathcal{L}_{\text{Disc}}(x, \theta_D)$  $\chi$ : large corpus of raw text

#### **Difference with GAN**

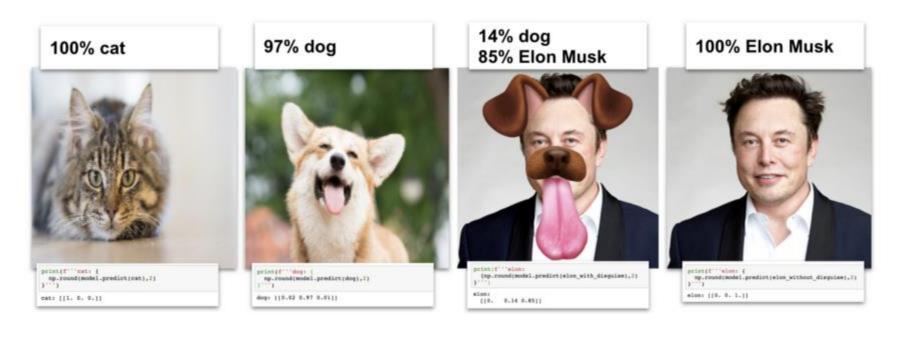
- If the generator happens to generate the correct token, that token is considered "real" instead "fake"
  - → to moderately improve results on downstream tasks



Reference: https://developers.google.com/machine-learning/gan/generator

#### **Difference with GAN**

- The generator is trained with maximum likelihood, not adversarially
  - → impossible to backpropagate through sampling from generator



90% Catt

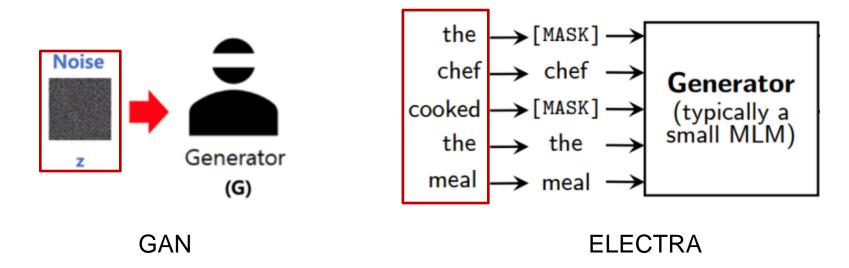
100% Cat

??

Reference: https://towardsdatascience.com/cat-dog-or-elon-musk-145658489730

#### **Difference with GAN**

Electra do not supply the generator with a noise vector as input

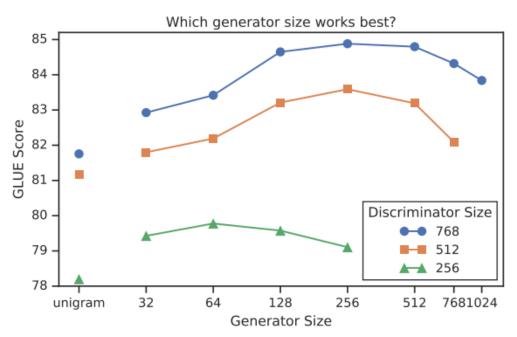


#### **Smaller Generators**

- If the generator and discriminator are the same size, training ELECTRA would take around twice as much compute per step as training only MLM → ELECTRA is generator-discriminator structure
- Suggests using a smaller generator
  - → by decreasing layer size (hidden layer size, FFN size, attention heads)

#### **Smaller Generators**

- Worked best with generators ¼ ½ the size of discriminator
- Having too strong of generator may pose a too-challenging task for discriminator
- Discriminator may have to use many of its parameters modeling the generator rather than the actual data distribution.

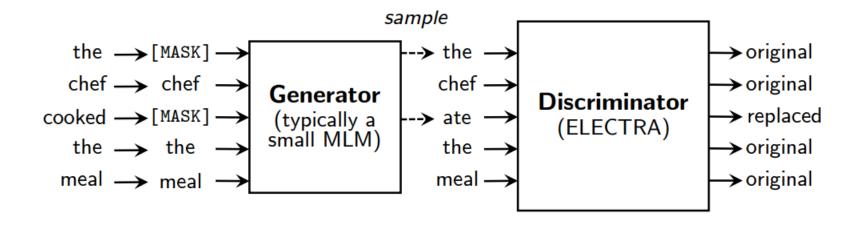


## **Weight Sharing**

- Tying all weights require same size of generator and discriminator
- Small generator size to be more efficient
- ELECTRA shares weight only embedding layers (the token + positional embeddings)
- GLUE scores are 83.6 for no weight tying, 84.3 for tying token embeddings, 84.4 for tying all weights

#### **Weight Sharing**

- Discriminator only updates tokens that are present in the input or are sampled by generator
- Generator's softmax over the vocabulary density updates all token embeddings



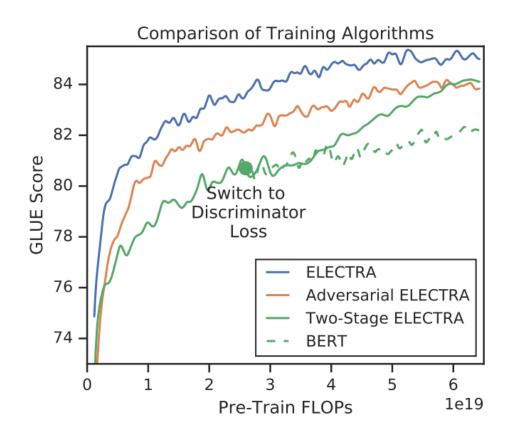
#### **Training Algorithms**

- Two-Stage Method
  - 1) Train only the generator with  $\mathcal{L}_{ ext{MLM}}$  for n steps
  - 2) Initialize the weights of the discriminator with the weights of the generator, Then Train the discriminator with  $\mathcal{L}_{\mathrm{Disc}}$  for n steps, keeping the generator's weights frozen
- Without initialize weights of discriminator, would sometimes fail to learn at all beyond the majority class
  - → generator started so far ahead of the discriminator
- Initialize weights provide a curriculum for the discriminator where the generator starts off weak but gets better throughout training.

#### **Training Algorithms**

- Adversarial Method like GAN using reinforcement learning to accommodate the discrete operations of sampling from the generator
- Adversarial training to underperform maximum-likelihood training
  - 1. Worse at Masked Language Modeling: 58% accuracy (Maximum Likelihood Estimation is 65% accuracy)
    - → poor sample efficiency when working in the large action space of generating text
  - 2. Low entropy output distribution: adversarially trained generator produces a low-entropy output distribution where most of the probability mass is on a single token, which means there is not much diversity in the generator samples

## **Training Algorithms**



#### **Small Models**

- Changed some BERT-Base hyperparameters
  - Sequence length (512 → 128)
  - Batch size (256 → 128)
  - Hidden dims (768 → 256)
  - Token embedding (768 → 128)

Model	Train / Infer FLOPs	Speedup	Params	Train Time + Hardware	GLUE
ELMo	3.3e18 / 2.6e10	19x / 1.2x	96M	14d on 3 GTX 1080 GPUs	71.2
GPT	4.0e19 / 3.0e10	1.6x / 0.97x	11 <b>7M</b>	25d on 8 P6000 GPUs	78.8
BERT-Small	1.4e18 / 3.7e9	45x / 8x	14M	4d on 1 V100 GPU	75.1
BERT-Base	6.4e19 / 2.9e10	1x / 1x	110M	4d on 16 TPUv3s	82.2
ELECTRA-Small	1.4e18 / 3.7e9	45x / 8x	14M	4d on 1 V100 GPU	79.9
50% trained	7.1e17 / 3.7e9	90x / 8x	14M	2d on 1 V100 GPU	79.0
25% trained	3.6e17 / 3.7e9	181x / 8x	14M	1d on 1 V100 GPU	77.7
12.5% trained	1.8e17 / 3.7e9	361x / 8x	14M	12h on 1 V100 GPU	76.0
6.25% trained	8.9e16 / 3.7e9	722x / 8x	14M	6h on 1 V100 GPU	74.1
ELECTRA-Base	6.4e19 / 2.9e10	1x / 1x	110M	4d on 16 TPUv3s	85.1

# **Large Models**

ELECTRA-400K took less than ¼ of the compute RoBERTa and XLNet

Model	Train FLOPs	Params	CoLA	SST	MRPC	STS	QQP	MNLI	QNLI	RTE	Avg.
BERT RoBERTa-100K RoBERTa-500K XLNet	1.9e20 (0.27x) 6.4e20 (0.90x) 3.2e21 (4.5x) 3.9e21 (5.4x)	356M 356M	60.6 66.1 68.0 69.0	95.6 96.4	88.0 <b>91.4</b> 90.9 90.8	92.2 92.1	92.0	86.6 89.3 90.2 90.8	92.3 94.0 94.7 94.9	70.4 82.7 86.6 85.9	87.9 88.9
BERT (ours) ELECTRA-400K ELECTRA-1.75M	7.1e20 (1x)	335M 335M 335M	67.0 <b>69.3</b> 69.1		89.1 90.6 90.8	92.1	91.5 92.4 92.4	90.5	93.5 94.5 <b>95.0</b>	79.5 86.8 <b>88.0</b>	89.0

Model	Train FLOPs	CoLA	SST	MRPC	STS	QQP	MNLI	QNLI	RTE	WNLI	Avg.*	Score
BERT	1.9e20 (0.06x)	60.5	94.9	85.4	86.5	89.3	86.7	92.7	70.1	65.1	79.8	80.5
RoBERTa	3.2e21 (1.02x)	67.8	96.7	89.8	91.9	90.2	90.8	95.4	88.2	89.0	88.1	88.1
ALBERT	3.1e22 (10x)	69.1	<b>97.1</b>	91.2	92.0	90.5	91.3	_	89.2	91.8	89.0	_
XLNet	3.9e21 (1.26x)	70.2	<b>97.1</b>	90.5	92.6	90.4	90.9	_	88.5	92.5	89.1	_
ELECTRA	3.1e21 (1x)	71.7	97.1	90.7	92.5	90.8	91.3	95.8	89.8	92.5	89.5	89.4

# **Large Models**

Also improves better results on the SQuAD

Model	Train FLOPs	Params	SQuA EM	<b>D 1.1 dev</b> F1	SQuAl EM	D <b>2.0 dev</b> F1	SQuA EM	D 2.0 test F1
BERT-Base	6.4e19 (0.09x)	110M	80.8	88.5	_	_	_	_
BERT	1.9e20 (0.27x)	335M	84.1	90.9	79.0	81.8	80.0	83.0
SpanBERT	7.1e20 (1x)	335M	88.8	94.6	85.7	88.7	85.7	88.7
XLNet-Base	6.6e19 (0.09x)	117M	81.3	_	78.5	_	_	_
XLNet	3.9e21 (5.4x)	360M	<b>89.7</b>	95.1	87.9	90.6	87.9	90.7
RoBERTa-100K	6.4e20(0.90x)	356M	_	94.0	_	87.7	_	_
RoBERTa-500K	3.2e21 (4.5x)	356M	88.9	94.6	86.5	89.4	86.8	89.8
ALBERT	3.1e22 (44x)	235M	89.3	94.8	87.4	90.2	88.1	90.9
BERT (ours)	7.1e20 (1x)	335M	88.0	93.7	84.7	87.5	_	
ELECTRA-Base	6.4e19 (0.09x)	110M	84.5	90.8	80.5	83.3	_	_
ELECTRA-400K	7.1e20 (1x)	335M	88.7	94.2	86.9	89.6	_	_
ELECTRA-1.75M	3.1e21(4.4x)	335M	<b>89.7</b>	94.9	88.0	90.6	<b>88.7</b>	91.4

#### **Efficiency Analysis**

- ELECTRA 15%: Except the discriminator loss only comes from the 15% of the tokens that were masked out of the input
- Replace MLM: Similar to MLM, gives input to discriminator with [MASK] tokens that are replaced tokens from a generator model
- All-Tokens MLM: Like in Replace MLM, masked tokens are replaced with generator samples

Model	ELECTRA	All-Tokens MLM	Replace MLM	ELECTRA 15%	BERT
GLUE score	85.0	84.3	82.4	82.4	82.2

## CONCLUSION

- Proposed replaced token detection (RTD), a new self-supervised task for language representation learning
- The key idea is training a text encoder to distinguish input tokens from highquality negative samples produced by an small generator network
- Compared to Masked Language Modeling, more compute-efficient and results in better performance on downstream tasks
- Hope will make developing and applying pre-trained text encoders more accessible to researchers and practitioners with less access to computing resources
- Also hope more future work on NLP pre-training will consider efficiency as well as absolute performance, and follow efforting in reporting compute usage and parameter counts along with evaluation metrics

#### **APPENDIX**

#### **Pre-training Details**

- Mostly use the same hyperparameters as BERT
- Set  $\lambda$ , the weight for the discriminator objective in the loss to 50
- Used dynamic token masking with the masked positions decided on-the-fly instead of during preprocessing
- Did not use the next sentence prediction (NSP) objective proposed in the original BERT paper
  - → in recent work (XLNet, Roberta) has suggested it does not improve scores
- Used a higher mask percent (25%) on ELECTRA-Large model

# **APPENDIX**

# **Pre-training Details**

Hyperparameter	Small	Base	Large
Number of layers	12	12	24
Hidden Size	256	768	1024
FFN inner hidden size	1024	3072	4096
Attention heads	4	12	16
Attention head size	64	64	64
Embedding Size	128	768	1024
Generator Size (multiplier for hidden-size, FFN-size, and num-attention-heads)	1/4	1/3	1/4
Mask percent	15	15	25
Learning Rate Decay	Linear	Linear	Linear
Warmup steps	10000	10000	10000
Learning Rate	5e-4	2e-4	2e-4
Adam $\epsilon$	1e-6	1e-6	1e-6
Adam $\beta_1$	0.9	0.9	0.9
Adam $\beta_2$	0.999	0.999	0.999
Attention Dropout	0.1	0.1	0.1
Dropout	0.1	0.1	0.1
Weight Decay	0.01	0.01	0.01
Batch Size	128	256	2048
Train Steps (BERT/ELECTRA)	1.45M/1M	1M/766K	464K/400K



