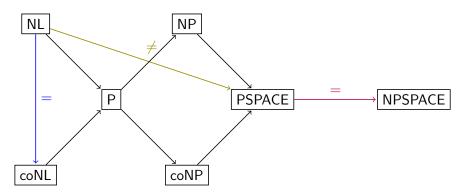
# Advanced Complexity: Fundamentals

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### A brief overview



Immerman-Szelepscényi theorem Strict hierarchy theorem Savitch theorem

### **Definitions**

### Fonction propre

Fonction croissante et calculable en temps  $\mathcal{O}(n+f(n))$  et en espace  $\mathcal{O}(f(n))$ .

#### Machine alternantes

L'espace des états finaux est partitionné entre existentiel et universel Une machine accepte un mot depuis un état existentiel s'il existe une exécution acceptante

Une machine accepte un mot depuis un état universer si toute exécution accepte.

#### Intuition

Une machine alternante peut-ête vue comme un jeu à deux joueurs. Un mot est accepté ssi le joueur existentiel dispose d'une stratégie gagnante.

# C-complete problems

### A few complete-problems

REACH is **NL**-complete QBF is **PSPACE**-complete HORN-SAT is **P**-complete  $CIRCUIT\ VALUE$  is **P**-complete  $MONOTONE\ CIRCUIT\ VALUE$  is **P**-complete

#### Reminders

A Horn clause contains at most one positive literal.

A *Circuit value* is a DAG labelled with  $\wedge, \vee, \overline{\wedge}, \overline{\vee}$ 

A Monoton circuit value is a DAG labelled with  $\land, \lor$ 

# Usual classes of complexity: Major theorems

#### Savitch theorem

For any proper function  $f > \log$ ,  $\mathbf{NSPACE}(f(n)) \subseteq \mathbf{SPACE}(f^2(n))$ 

### Immerman-Szelepscényi theorem

REACH is **coNL** 

### Corollaries (more important)

NPSPACE = PSPACE

NL = coNL

### Relation between TM and ATM

#### A few results

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\mathbf{AP} = \mathbf{PSPACE} (Chandra-Kozen-Stockmeyer I)
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 $\mathbf{AL} = \mathbf{P}$  (Chandra-Kozen-Stockmeyer II)

APSPACE = EXPTIME

### Corollaries (more important)

Le temps alternant, c'est de l'espace !

L'espace alternant, c'est du temps exponentiel!

# Polynomial hierarchy

# $\Sigma_n^p$ and $\prod_n^p$

$$\Sigma_0^p = \prod_0^p = \mathbf{P}$$

 $\Sigma_{n+1}^p$  la classe des langages  $L=\{x|\exists y\in A* \text{ de taille } p(n), x\#y\in L\}$   $\prod_{n+1}^p$  la classe des langages  $L=\{x|\forall y\in A* \text{ de taille } p(n), x\#y\in L\}$ 

# Advanced Complexity : Probabilistic classes of complexity

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### Randomized TM

#### Randomized TM

A TM augmented with a random tape on read-only and never read twice.

### **RP**

A language L is in  $\bf RP$  if there is a polynomial RTM such that :

- If  $x \in L$  then  $\mathbb{P}(M(x,r) \ accepts) > \frac{1}{2}$
- Otherwise the machine always rejects.

A language L is in **co-RP** if  $L^c \in \mathbf{RP}$ 

#### RP: A few remarks

PRIMALITY is in co - RP (Miller-Rabbin)

$$\forall \epsilon \in ]0,1[,\mathbf{RP}=\mathbf{RP}(\epsilon)$$

 $\rightarrow$  Simulate (x, r) for various r and answer the disjunction.

Better: 
$$\forall q(n), \mathbf{RP} = \mathbf{RP}(2^{-q(n)})$$

$$P \subseteq RP \subseteq NP$$



# Zero Probability of error Polynomial-time

#### **ZPP**

 $ZPP = RP \cap co-RP$ 

ZPP also languages decidable in average polynomial time with

 $\mathbb{P}(M \ errs) = 0.$ 

# Bounded Probability of error Polynomial time

#### **BPP**

A language L is in **BPP** if there is a polynomial RTM such that :

- If  $x \in L$  then  $\mathbb{P}(M(x,r) \ accepts) > \frac{2}{3}$
- $\bullet$  Otherwise  $\mathbb{P}(M(x,r)|accepts)<\frac{1}{3}$

#### **BPP**

It would have been good to have an example :)

$$BBP = co - BPP$$

$$\forall \epsilon \in ]0, \frac{1}{2}[ \mathbf{BPP} = \mathbf{BPP}(\epsilon) ]$$

 $\rightarrow$  Simulate (x, r) for various r and answer the majority.

Better:  $\forall q(n), \mathbf{BPP} = \mathbf{BPP}(2^{-q(n)})$ 

# The Sipser-Gàcs-Lautemann Theorem

#### SGC Theorem

$$\mathbf{BPP} \subseteq \Sigma_2^p \cap \Pi_2^p$$

#### A few reminders

$$\Sigma_{2}^{p} = \exists \cdot \mathbf{coNP} = \exists \cdot \forall \cdot \mathbf{NP}$$
$$\Pi_{2}^{p} = \mathbf{co} - \Sigma_{2}^{\mathbf{p}} = \forall \cdot \Sigma_{2}^{\mathbf{p}} = \forall \cdot \exists \cdot \forall \cdot \mathbf{NP}$$

#### Proof draft

• BPP  $\subseteq \Sigma_2^p$ 

Lautemann's trick:

 $x \in L$  iif  $\{0,1\}^{p(n)}$  coverable by translations of  $\{r|M(x,r)accepts\}$ 

This means  $x \in L$  if and only if  $\exists t_1..t_n \forall r$ , one of the  $M(x, r + t_i)$  accepts Hence the result.

• BPP  $\subseteq \Pi_2^p$ 

This is a direct consequence of BPP = co - BPP

# P/Poly

# Uniform P/Poly

A langage L is in **Uniform P/Poly** if for every  $n \in \mathbb{N}$ , one can build  $C_n$ 

- in space  $O(\log n)$
- ullet s.t  $\forall x$  of size n,  $\in L$  iff  $C_n[x] = 1$

## P/Poly

A langage L is in **P/Poly** if for every  $n \in \mathbb{N}$ , There is a circuit  $C_n$ 

- ullet of polynomial size in n
- s.t  $\forall x$  of size  $n, \in L$  iff  $C_n[x] = 1$

The circuits does not need to be actually buildable anymore.

#### Two remarks

P=Uniform P/Poly

There are undecidable problems in P/Poly.

### Adleman's Theorem

#### Adleman's Theorem

 $BPP \subseteq P/Poly$ 

#### **Proof Draft**

 $L \in \mathbf{P}/\mathbf{Poly}$  iff  $\exists \mathcal{M}$  and  $(w_n)_{n \in \mathbb{N}}$  s.t

- ullet advice strings  $w_n$  are of polynomial sizes
- $\forall x$  of size n,  $x \in L$  iff  $\mathcal{M}(x, w_n)$  accepts

First, we prove that there exists  $r_n$  such that  $\mathcal{M}(x,r_n)$  always returns the correct answer

Then, we use  $r_n$  as advice strings.

# Karp-Lipton Theorems

### Karp-Lipton Theorems

- **I.** If  $NP \subseteq P/Poly$ , PH collapses at level 2.
- II. If  $NP \subseteq P/Poly$ ,  $PH \subseteq P/Poly$

#### Reminders

**PH** collapsing at level 2 means that  $\Sigma_2^p = \Pi_2^p = ...$  By properties of the **co** operator, it is equivalent to  $\Sigma_2^p \subseteq \Pi_2^p$  Using Adleman's theorem, **NP**  $\subseteq$  **BPP** would be a sufficient condition.

# Arthur-Merlin Games (I)

#### **AM**

L is in AM iff

 $\forall g, \exists$  poly-time  $\mathcal{A}$ , Merlin map M with poly size outputs and  $D \in \mathbf{P}$  s.t

- if  $x \in L$ ,  $\mathbb{P}(x \# \mathcal{A}(x, r) \# r \# M(x \# q \# r) \in \mathbf{D}) \le 1 \frac{1}{2^{g(n)}}$
- if  $x \notin L$ , then  $\forall M', \mathbb{P}(x\#\mathcal{A}(x,r)\#r\#M'(x\#q\#r) \in \mathbf{D}) \leq \frac{1}{2^{g(n)}}$

#### Intuition

Arthur asks a question to Merlin depending on  $\boldsymbol{x}$  and  $\boldsymbol{r}$ .

Merlin tries to convince Arthur that  $x \in L$  with a polynomial answer.

Finally, a polynomial referee must decide wether Merlin was convincing with exponentially low-error.

# Arthur-Merlin Games (II)

#### Arthur-Merlin Games: A few results

We can define different classes depending on how many times Arthur and Merlin can interact and the order.

 $\epsilon = \mathbf{P}$ 

A = BPP

M = NP

**MA**,**AM**...

# Interactive Proofs

#### ΙP

L is in IP iff

 $\forall g, \exists$  poly-time  $\mathcal{A}$ , Merlin map with poly size outputs M and  $D \in \mathbf{P}$  s.t

- if  $x \in L$ ,  $\mathbb{P}(x\#\mathcal{A}(x,r)\#r\#M(x\#q) \in \mathbf{D}) \le 1 \frac{1}{2^{g(n)}}$
- if  $x \notin L$ , then  $\forall M', \mathbb{P}(x \# \mathcal{A}(x, r) \# r \# M'(x \# q) \in \mathbf{D}) \leq \frac{1}{2g(n)}$

### Intuition

We proceed in the same fashion as before but Merlin does not have access to the random tape  $\boldsymbol{r}$  anymore.

Note that Arthur can still send r as part of the question, hence  $\mathbf{AM} \subseteq \mathbf{IP}$ 

# The Graph Isomorphism Problem

GI

 $\mathsf{D}:\mathsf{Two}\;\mathsf{graphs}\;G\;\mathsf{and}\;G'$ 

Q : Are they isomorphic ?

$$\mathsf{GNI} = \mathsf{coGI} \in \mathbf{IP}$$

# BP operator

### $\mathsf{BP} {\cdot} \mathcal{C}$

As a generalisation of **BPP**, we define  $\mathbf{BP}\cdot\mathcal{C}$  A language L is in  $\mathbf{BP}\cdot\mathcal{C}$  if there is a  $\mathcal{C}$  RTM such that  $\mathbb{P}(M(x,r)\ errs)<\frac{1}{3}$ 

If  $\mathcal C$  is closed under  $\{w_1\#...\#w_k| \text{a majority of } w_i \text{ is in } L\}$ , we may replace  $\frac{1}{3}$  with  $\frac{1}{2^{g(n)}}$  for any polynom g

#### Main interest

BPP.NP=AM

#### Intuition

- ⊇ The NDTM guess the random tape and the answer of Merlin
- ⊆ If the NDTM has found a certificate, so can Merlin



# MA as expectation/maximizer

# MA hierarchy

### Intuition

 $MA \subseteq AM...$ 

...but the proof uses expectation maximizer X)