

# CSCI 3230

## Fundamentals of Artificial Intelligence

Chapter 4 (Sects.3.5–3.6, 4.1)

### INFORMED AND <sup>隨機的</sup>STOCHASTIC SEARCH ALGORITHMS

# Outline

- ▶ Best-first search (3: uniform cost, greedy & A\*)
- ▶ A\* search
- ▶ Heuristics 啟發式的
- ▶ Hill-climbing (3 iterative improvement)
- ▶ Simulated annealing
- ▶ Genetic Algorithms

# Review: Tree search

```
function Tree-Search(problem, fringe) returns a solution, or failure
  fringe  $\leftarrow$  Insert(Make-Node(Initial-State[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node  $\leftarrow$  Remove-Front(fringe)
    if Goal-Test[problem] applied to State(node) succeeds return node
    fringe  $\leftarrow$  Insert-All(Expand(node, problem), fringe)
```

A strategy is defined by picking **the order of node expansion**  
Insert in the fringe (queue) in the order accordingly

# Best-first search

- ▶ **Evaluation function** – the desirability of expanding node. Nodes are ordered so that the **best** evaluation is **expanded first**. The strategy is called **best-first** search.
- ▶ IF the evaluation function,  $f$ , is **omniscient**<sup>全知的</sup>, then this will indeed be the best node. In reality,  $f$  will sometimes be off, and can lead the search astray.<sup>迷途</sup>
- ▶ Measure seen: **path cost**  $g$  (in **uniform-cost** search in Ch3) to decide which path to expand. Does **not direct** search toward the goal. To focus the search, the measure must **estimate** the cost of the path from current state to the closest goal state.

# Greedy search (Best First, BF)

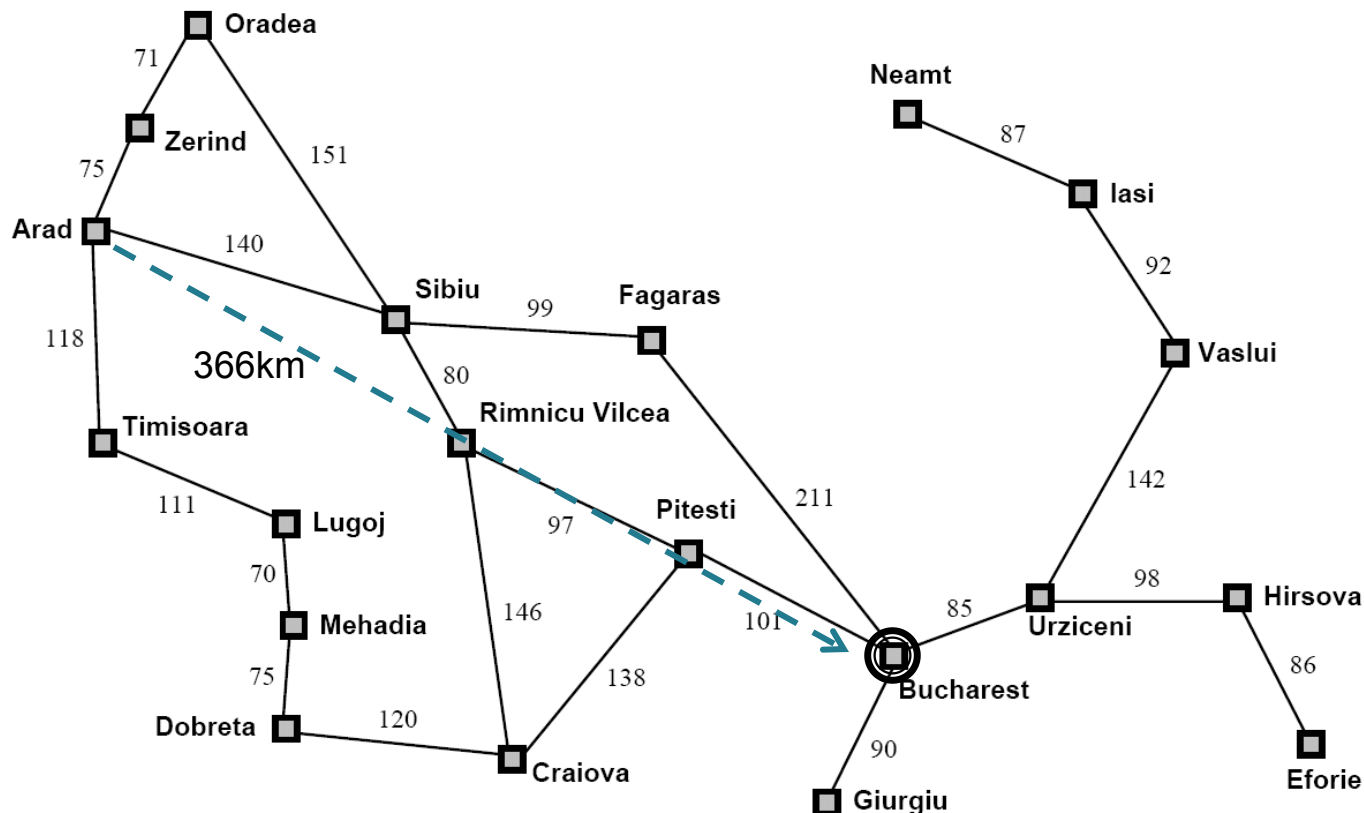
One of the simplest best-first strategies is to **minimize** the estimated cost to reach the goal.

**Evaluation function** = estimate of cost from  $n$  to the closest goal,  $h(n)$  (heuristic  $fn$ )

E.g.  $h_{SLD}(n)$  = straight-line distance from  $n$  to Bucharest

- ▶ Formally speaking,  $h$  can be any function at all, as long as  $h(n) = 0$  if  $n$  is a goal.
- ▶ A BF search that uses  $h$  to select the next node to expand is called **greedy search**.
- ▶ Greedy search expands the node that **appears** to be closest to goal
- ▶ Takes the **biggest bite** possible out of the remaining cost to the goal, not **global** optimal – hence the name “greedy search”.

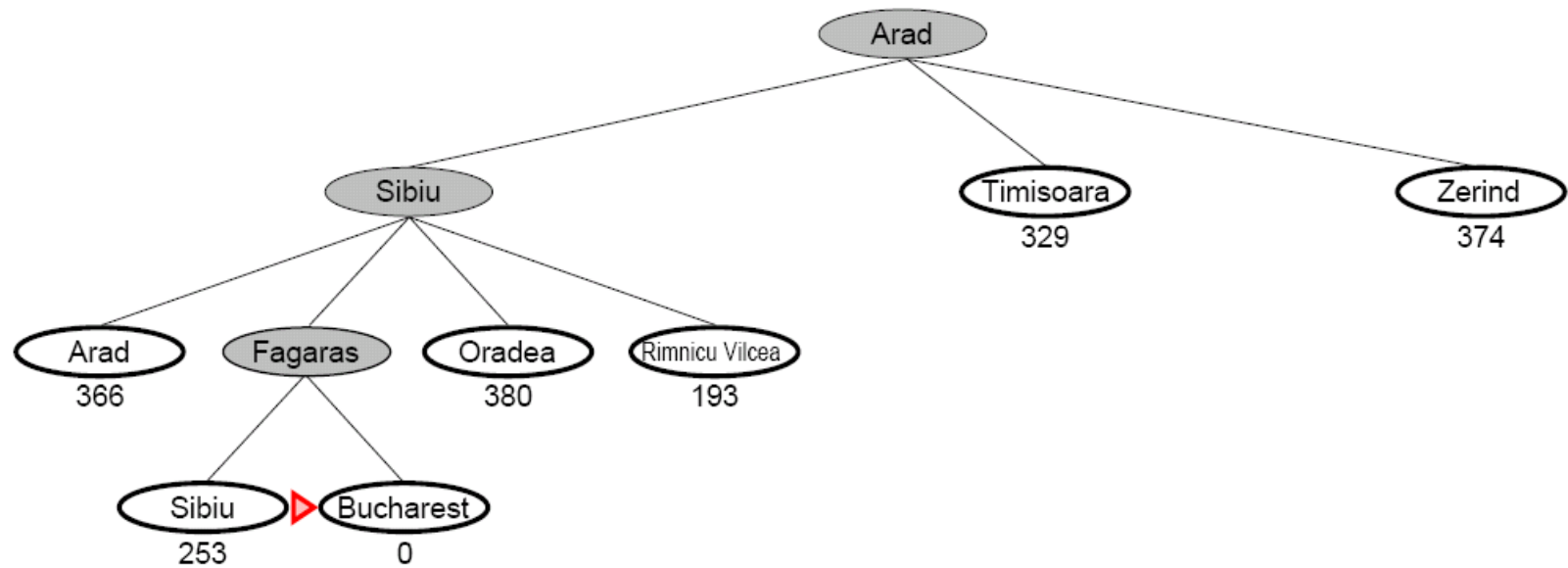
# Romania with step costs in km



Straight-line distance  
to Bucharest

<b>Arad</b>	366
<b>Bucharest</b>	0
<b>Craiova</b>	160
<b>Dobreta</b>	242
<b>Eforie</b>	161
<b>Fagaras</b>	176
<b>Giurgiu</b>	77
<b>Hirsova</b>	151
<b>Iasi</b>	226
<b>Lugoj</b>	244
<b>Mehadia</b>	241
<b>Neamt</b>	234
<b>Oradea</b>	380
<b>Pitesti</b>	100
<b>Rimnicu Vilcea</b>	193
<b>Sibiu</b>	253
<b>Timisoara</b>	329
<b>Urziceni</b>	80
<b>Vaslui</b>	199
<b>Zerind</b>	374

# Greedy search example



# Properties of greedy search

Complete	No, – can get stuck in loops, e.g. from Iasi to Fagaras Iasi (ya-sh) → Neamt → Iasi → Neamt → ... Complete in finite space with repeated-state checking
Time	$O(b^m)$ : but a good heuristic can give dramatic improvement, $m$ : max depth of search tree
Space	$O(b^m)$ – keeps all nodes in memory
Optimal	No

?loops in algorithms using path value  $g$ ?



# A\* search

Idea: avoid expanding paths that are already expensive

Evaluation function  $f(n) = g(n) + h(n)$

$g(n)$  = cost so far to reach  $n$

$h(n)$  = estimated cost to goal from  $n$

$f(n)$  = estimated total cost of path through  $n$  to goal

A\* search uses an <sup>可採納的</sup>admissible heuristic

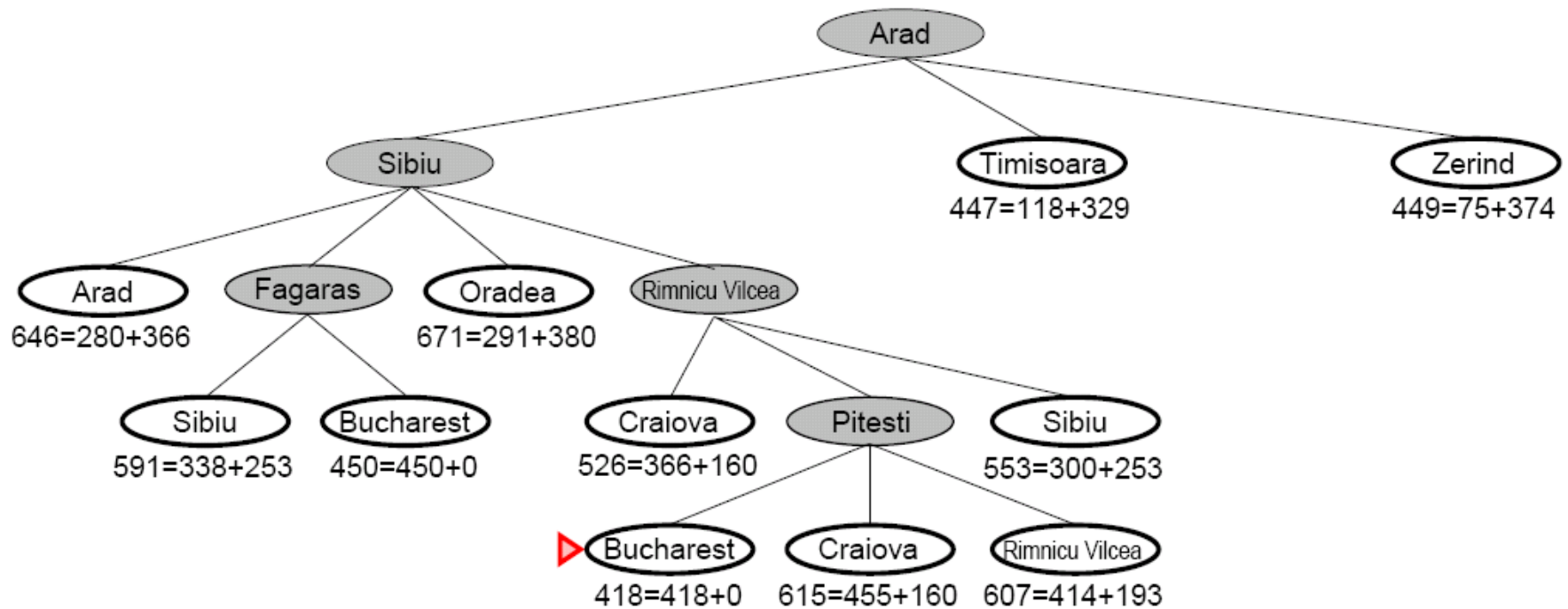
i.e.  $h(n) \leq h^*(n)$  where  $h^*(n)$  is the true cost from  $n$

(Also require  $h(n) \geq 0$ , so  $h(G) = 0$  for any goal  $G$ .)  $f(n) \leq f^*(n) = g^*(G)$

E.g.  $h_{SLD}(n)$  never overestimates the actual road distance

**Theorem:** A\* search is optimal.

# A\* search example



# Optimality of A\* (proof by contradiction)



Let  $G$ : optimal goal states with path cost  $f^*$

Let  $G_2$ : suboptimal,  $g(G_2) > f^*$  (i)

Imagine A\* selected  $G_2$  as a goal state

Consider

node  $n$  on an optimal path to  $G$

Because  $h$  is admissible

$$f^* \geq f(n)$$

If  $n$  is not chosen for expansion over  $G_2$ :

$$f(n) \geq f(G_2)$$

$$\Rightarrow f^* \geq f(G_2)$$

Because  $G_2$  is a goal state,  $\Rightarrow h(G_2) = 0$

$$\Rightarrow f(G_2) = g(G_2)$$

$$\Rightarrow f^* \geq g(G_2)$$

$\Rightarrow$  contradicts with (i) and A\* will never select a suboptimal goal ( $G_2$ ) for expansion

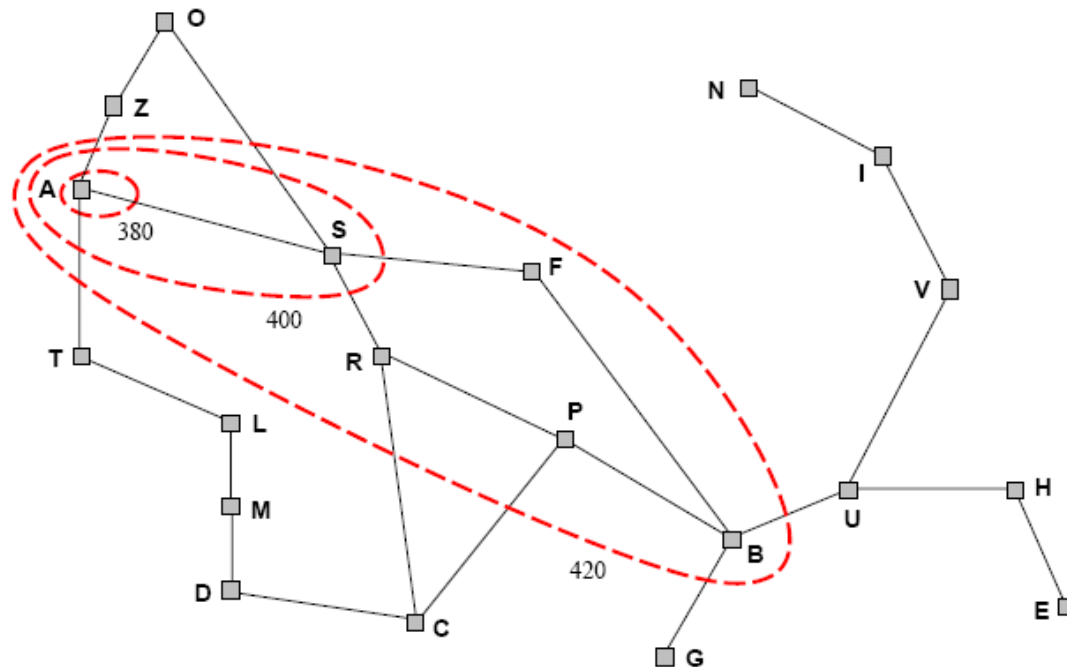
$\Rightarrow$  A\* is optimal.

# Optimality of A\* (more useful)

Lemma: A\* expands nodes in order of increasing  $f$  value\*. (proof on p.14)

Gradually adds “ $f$ -contours” of nodes (cf. breadth-first adds layers)

Contour  $i$  has all nodes with  $f = f_i$ , where  $f_i < f_{i+1}$



?Use  $g$  only

?Use  $h$  only

Intuitively, 1<sup>st</sup> goal the contours touch expanding outward must be optimal because anything outside  $> f(G) = g(G)$ , ( $h(G) = 0$ )

$$f_i \leq f(G)$$

# Proof of lemma: Consistency

Lemma: A\* expands nodes in order of increasing  $f$  value\*.

A heuristic is **consistent** if

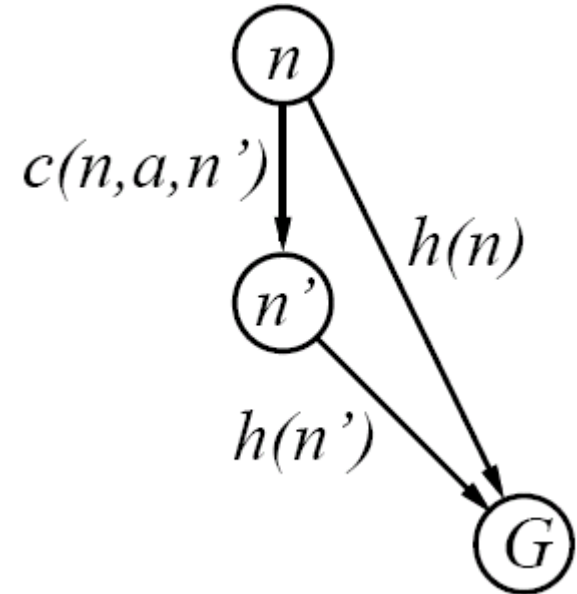
$$h(n) \leq c(n, a, n') + h(n')$$

If  $h$  is consistent, we have

$$\begin{aligned} f(n') &= g(n') + h(n') \\ &= g(n) + c(n, a, n') + h(n') \\ &\geq g(n) + h(n) \\ &= f(n) \end{aligned}$$

$$f(n') \geq f(n)$$

i.e.  $f(n)$  is non-decreasing along any path.



# Properties of A\*

Complete	Yes, unless there are infinitely many nodes with $f \leq f(G)$
Time	Exponential in [relative error in $h$ x length of solution]
Space	Keeps all nodes in memory
Optimal	Yes – cannot expand $f_{i+1}$ until $f_i$ is finished

The condition for sub-exponential growth is that

$$|h(n) - h^*(n)| \leq O(\log h^*(n)).$$



where the 1<sup>st</sup> term is error in  $h$  and  $h^*(n)$  is actual cost from  $n$  to  $G$ .

# Optimal Efficiency of A\*

- A\* expands all nodes with  $f(n) < C^*$  (*optimal path cost*)
  - A\* expands some nodes with  $f(n) = C^*$
  - A\* expands no nodes with  $f(n) > C^*$
- ⇒ A\* is **optimally efficient** for any given heuristic function.

- That is, no other optimal algorithm is guaranteed to expand fewer nodes than A\*.
- Any algorithm that does NOT expand all the nodes with  $f(n) < C^*$  runs the risk of missing the optimal solution.

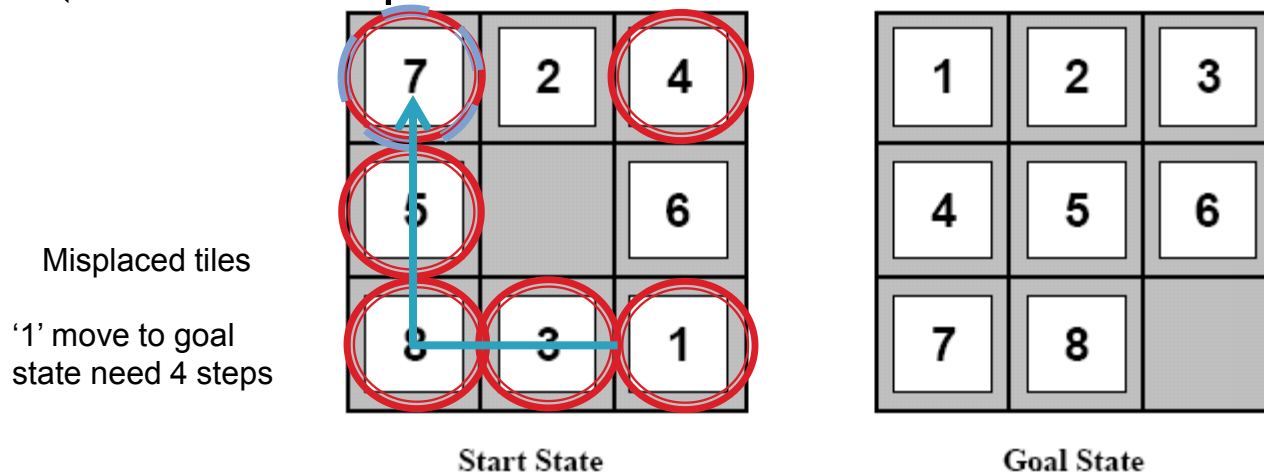
# Admissible heuristics

E.g. for the 8-puzzle

$h_1(n)$  = number of misplaced tiles

$h_2(n)$  = total **Manhattan** distance

(i.e. no. of square from desired location of each tile)



$h_1(S) =$	6
$h_2(S) =$	$4 + 0 + 3 + 3 + 1 + 0 + 2 + 1 = 14$



# Dominance

If  $h_2(n) \geq h_1(n)$  for all  $n$  (both admissible)  
then  $h_2$  **dominates**  $h_1$  and is better for search

## The effect of heuristic accuracy on performance

- ▶ To characterize the quality of a heuristic: the **effective branching factor**  $b^*$ .
- ▶ Total number of nodes expanded by A\*:  $N$ , and the solution depth:  $d$
- ▶ A uniform tree of depth  $d$

$$N = 1 + b^* + (b^*)^2 + \dots + (b^*)^d.$$

e.g. if A\* finds a solution at depth 5 using 52 (N) nodes, then  $b^*$  is 1.91 ?

- ▶ Usually,  $b^*$  for a given heuristic is fairly **constant** over a large range of problem instances, and therefore **experimental** measurements of  $b^*$  on a small set of problems can provide a good guide to the heuristic's overall usefulness.

# Effect of heuristic accuracy on performance

	Search Cost			Effective Branching Factor		
$d$	IDS	$A^*(h_1)$	$A^*(h_2)$	IDS	$A^*(h_1)$	$A^*(h_2)$
2	10	6	6	2.45	1.79	1.79
4	112	13	12	2.87	1.48	1.45
6	680	20	18	2.73	1.34	1.30
8	6384	39	25	2.80	1.33	1.24
10	47127	93	39	2.79	1.38	1.22
12	364404	227	73	2.78	1.42	1.24
14	3473941	539	113	2.83	1.44	1.23
16	–	1301	211	–	1.45	1.25
18	–	3056	363	–	1.46	1.26
20	–	7276	676	–	1.47	1.27
22	–	18094	1219	–	1.48	1.28
24	–	39135	1641	–	1.48	1.26

Comparison of the search costs and effective branching factors for the ITERATIVE-DEEPENING-SEARCH and A\* algorithms with  $h_1$  and  $h_2$ . Data averaged over 100 instance of the 8-puzzle for various solution lengths.

# Inventing admissible $h$ by Relaxed problems

Admissible heuristics can be derived from the **exact** solution cost of a **relaxed** version of the problem.

If the rules of the 8-puzzle are relaxed so that a tile can move **anywhere**, then  $h_1(n)$  gives the shortest solution.

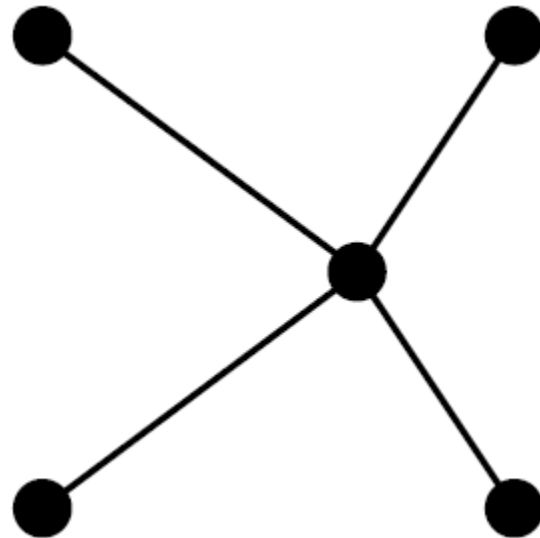
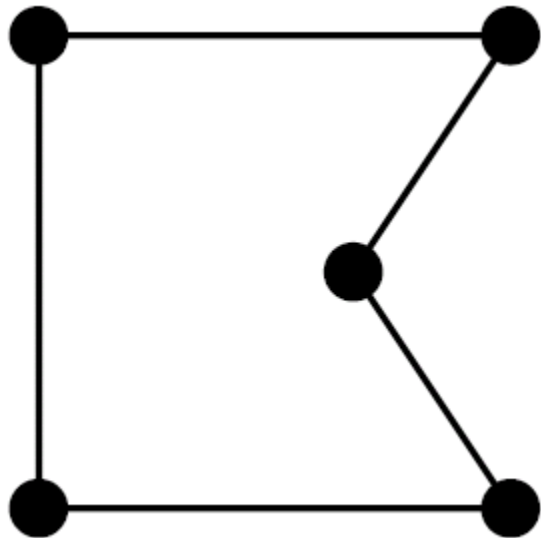
If the rules are relaxed so that a tile can move to **any adjacent square**, then  $h_2(n)$  gives the shortest solution.

**Key point:** the optimal solution cost of a relaxed problem is no greater than the optimal solution cost of the real problem **admissible**

# Relaxed problems

**Well-known example:** travelling salesperson problem (TSP)

- Find the shortest tour visiting all cities exactly once



**Minimum spanning tree** can be computed in  $O(n^2)$  and is a lower bound on the shortest (open) tour

# Memory-bounded Heuristic Search

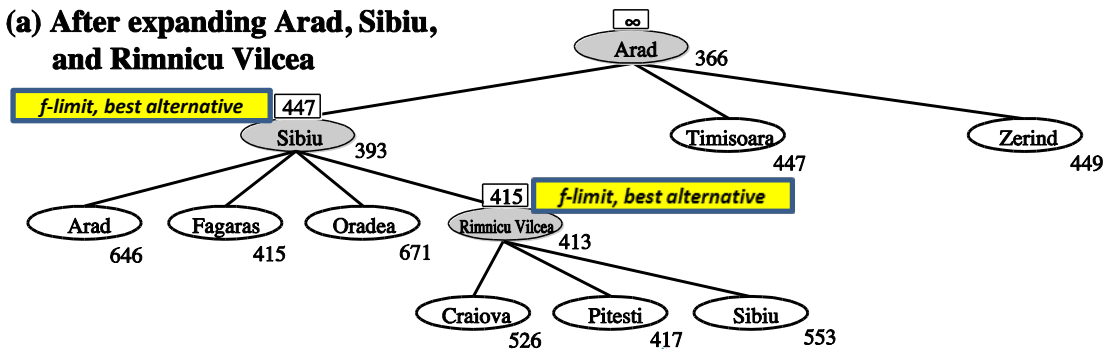
To reduce the memory requirement by trading off with repeated search:

- Iterative-deepening  $A^*$  (**IDA\***)
- Recursive best-first search (**RBFS**)
- Memory-bounded  $A^*$  (**MA\***)
- Simplified  $MA^*$  (**SMA\***)

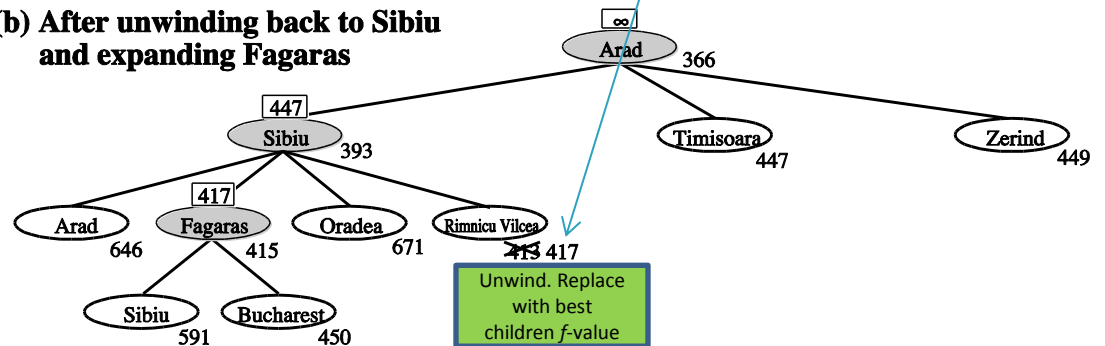
The last 2 maximize the use of available memory

# Recursive Best First Search (RBFS)

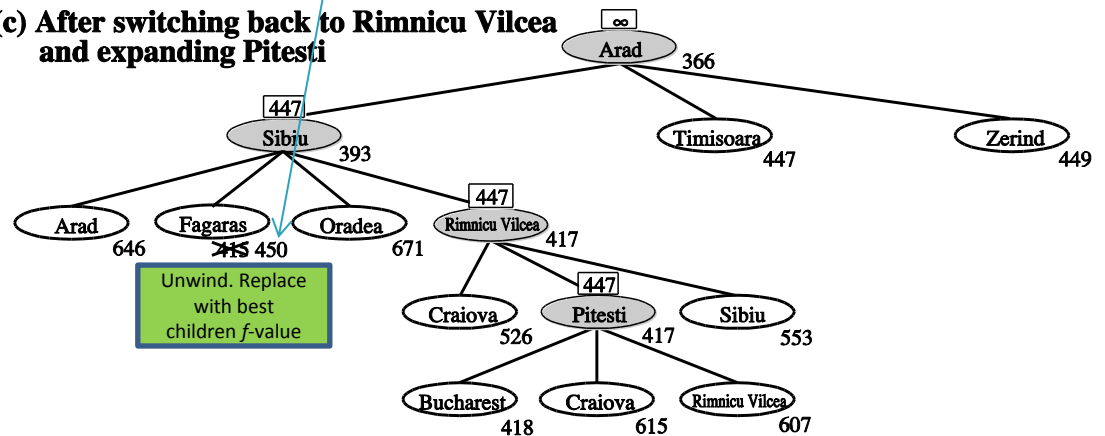
(a) After expanding Arad, Sibiu, and Rimnicu Vilcea



(b) After unwinding back to Sibiu and expanding Fagaras



(c) After switching back to Rimnicu Vilcea and expanding Pitesti

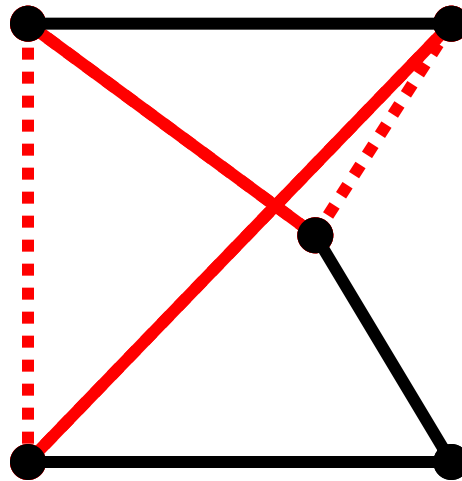


# Iterative improvement algorithms

- ▶ In many optimization problems, **path** is irrelevant (not part of the solution); the goal state itself is the solution. Does the path affect the efficiency or chance of finding the global optimal ??
- ▶ Then state space = set of “complete” configurations;  
find **optimal** configuration, e.g. TSP  
or, find configuration satisfying constraints, e.g., timetable
- ▶ In such cases, can use **iterative improvement** algorithms; keep a single “current” state, try to improve it; types of search??
- ▶ Constant space, suitable for online as well as offline search

# Example: Travelling Salesperson Problem

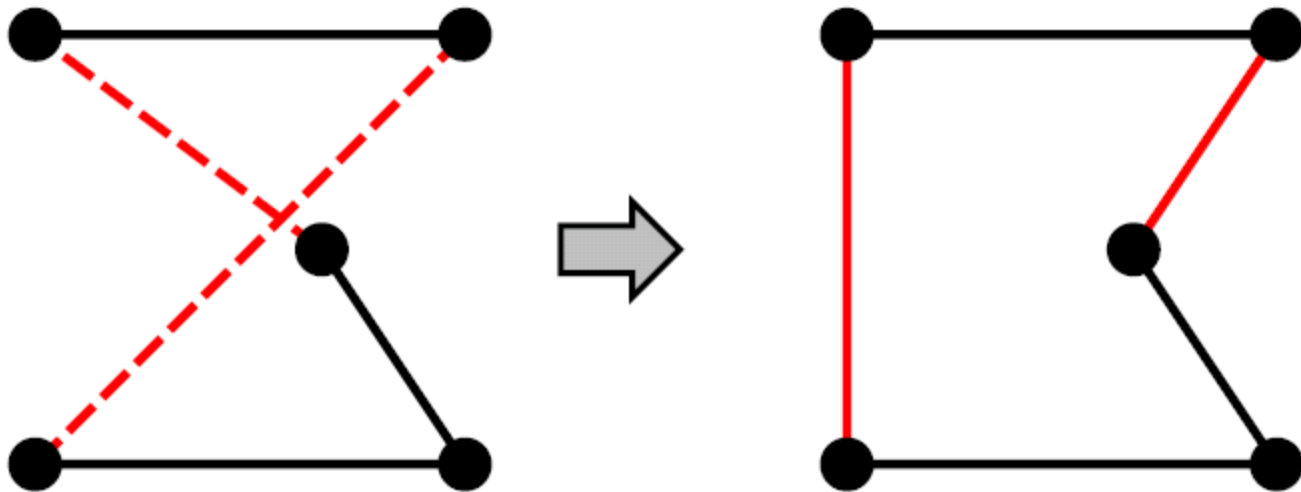
Start with any complete tour, perform pair-wise exchanges





# Example: Travelling Salesperson Problem

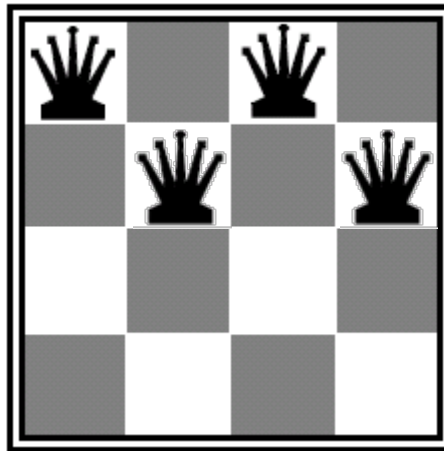
Start with any complete tour, perform pair-wise exchanges



## Example: $n$ -queens

Rules: Put  $n$  queens on an  $n \times n$  board with no two queens on the same row, column or diagonal

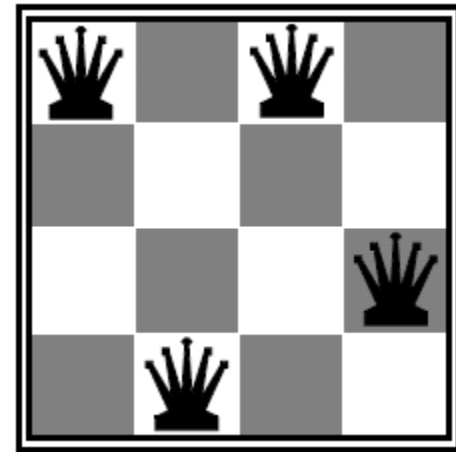
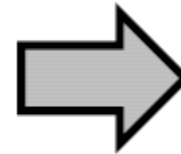
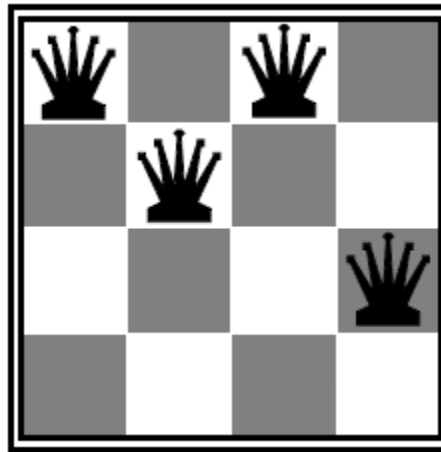
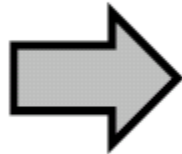
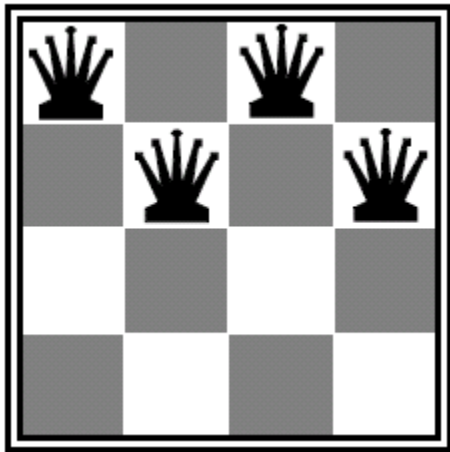
- ▶ Move a queen to reduce number of conflicts



## Example: $n$ -queens

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- ▶ Move a queen to reduce number of conflicts



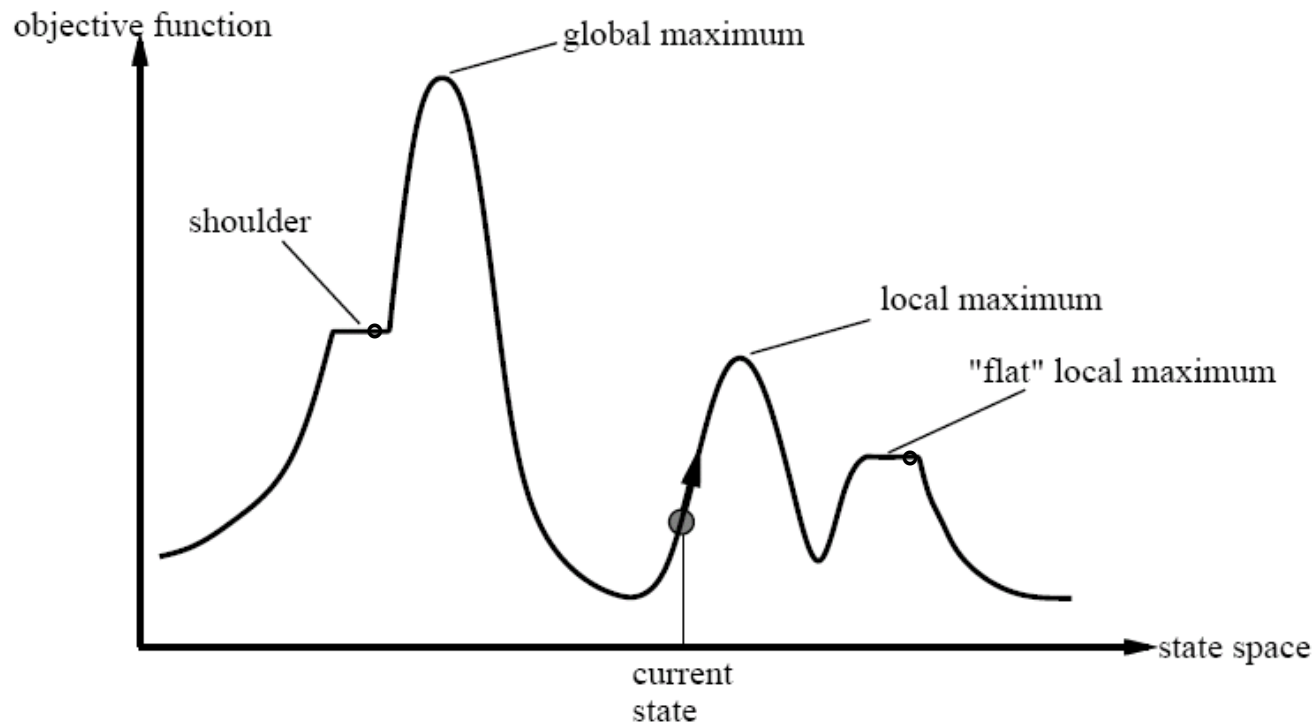
# Hill Climbing (or gradient ascent / descent)

“Like climbing Everest in thick fog with amnesia”

```
function Hill-Climbing (problem) returns a state that is a local maximum
  inputs:           problem , a problem
  local variables: current, a node
                   neighbor, a node
  current  $\leftarrow$  Make-Node ( Initial-State[problem])
  loop do
    neighbor  $\leftarrow$  a highest-valued successor of current
    if Value[neighbor] < Value[current] then return State[current]
    current  $\leftarrow$  neighbor
  end
```

# Hill-climbing

Problem: depending on initial state, can get stuck on local maxima ?



In continuous spaces, problems with choosing step size, slow convergence  
Random multi-(re)start? Discrete?? Adaptive? Discuss!

# Simulated annealing

Idea: escape local maxima by allowing some “bad” moves **but gradually decrease their size and frequency**

**function** Simulated-Annealing (problem, schedule) **returns** a solution state

**inputs:** *problem*, a problem

*schedule*, a mapping from time to “temperature”

**local variables:** *current*, a **node**

*next*, a node

*T*, a “temperature” controlling probability of downward steps

*current*  $\leftarrow$  Make-Node(Initial-State[*problem*])

**for** *t*  $\leftarrow$  1 **to**  $\infty$  **do**

*T*  $\leftarrow$  schedule[*t*]

**if** *T* = 0 **then return** *current*

*next*  $\leftarrow$  a **randomly** selected successor of *current*

$\Delta E \leftarrow$  Value[*next*] – Value[*current*]

**if**  $\Delta E > 0$  **then** *current*  $\leftarrow$  *next*

**else** *current*  $\leftarrow$  *next* only with probability  $e^{\Delta E/T}$

# Properties of simulated annealing $e^{\Delta E/T}$

- ▶ Instead of starting again randomly when stuck on a local maximum, we could allow the search to take some **downhill** steps to **escape** the local maximum.
- ▶ Instead of picking the best move, however, it picks a **random** move.
- ▶ If the move actually **improves** the situation, it is **always executed**. Otherwise, the algorithm makes the move with some **probability**  $< 1$ . The probability decreases exponentially with the “badness” of the move: the amount  $\Delta E$  (–ve) by which the evaluation is worsened.
- ▶ A second parameter  **$T$**  is also used to determine the probability. At higher values of  $T$ , “bad” moves are more likely to be allowed. As  $T$  tends to zero, they become more and more unlikely, until the algorithm behaves more or less like **hill-climbing**.
- ▶ The ***schedule*** input determines the value of  $T$  as a function of how many cycles already have been completed.  $T \leftarrow \text{schedule}[t]$

# Properties of simulated annealing 2

- ▶ The Value function ( $\rightarrow \Delta E$ ) corresponds to the total **energy** of the atoms in the material, and **T** corresponds to the **temperature**. The **schedule** determines the rate at which the temperature is lowered. It can be proved that if *schedule* lowers  $T$  slowly enough, SA can find a global optimum.

At fixed “temperature”  $T$ , state occupation probability reaches Boltzmann distribution

$$p(x) = \alpha e^{E(x)/kT}$$

$T$  decreased slowly enough  $\Rightarrow$  always reach best state

**Is this necessarily an interest guarantee??**

Devised by Metropolis et al, 1953, for physical process modeling widely used in VLSI layout, airline scheduling. Etc.

Adaptive SA?

Demo->



# Genetic algorithms

- ▶ **Genetic algorithm (GA)**: stochastic group search for global optimum (optima).
- ▶ **Initial population**: a set k randomly generated states (**individuals** or **chromosomes**) which evolve to search for the optima through genetic operations:
- ▶ **Crossover**
- ▶ **Mutation**
- ▶ **Selection**
- ▶ **Fitness (evaluate) function** to compute the individual's fitness used in the proportionate selection

Local operators; memetic approach

# Genetic Algorithm

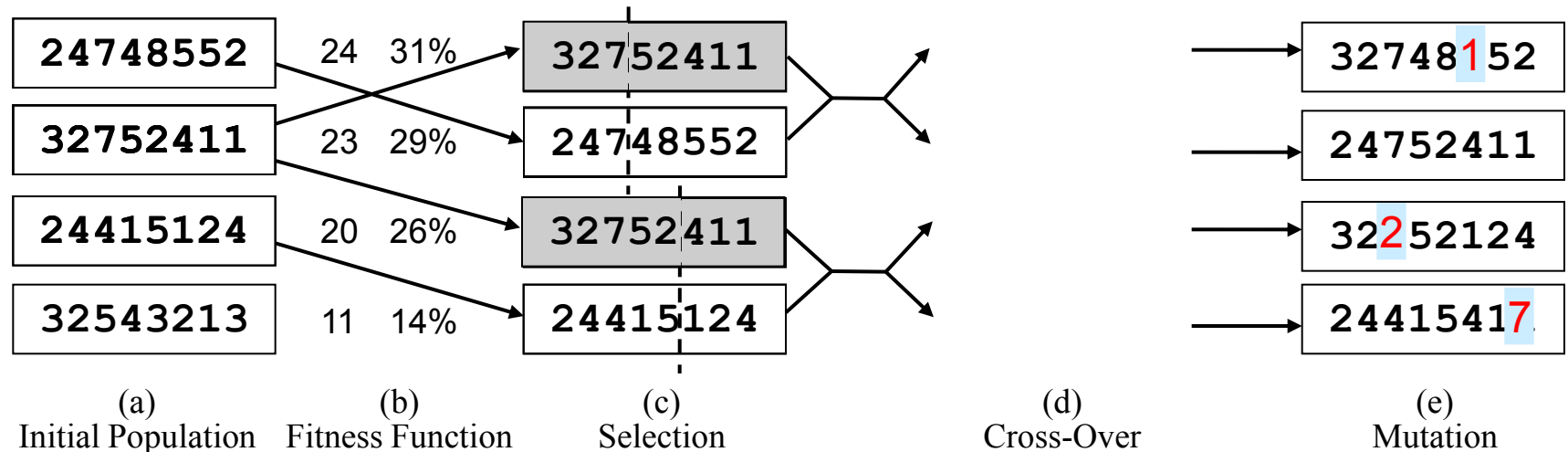


Fig 4.15 The GA. The initial population in (a) is ranked by the fitness function in (b). Resulting the pairs of mating in (c). They produce offspring in (d), which are subject to mutation in (e).

# Genetic Algorithm

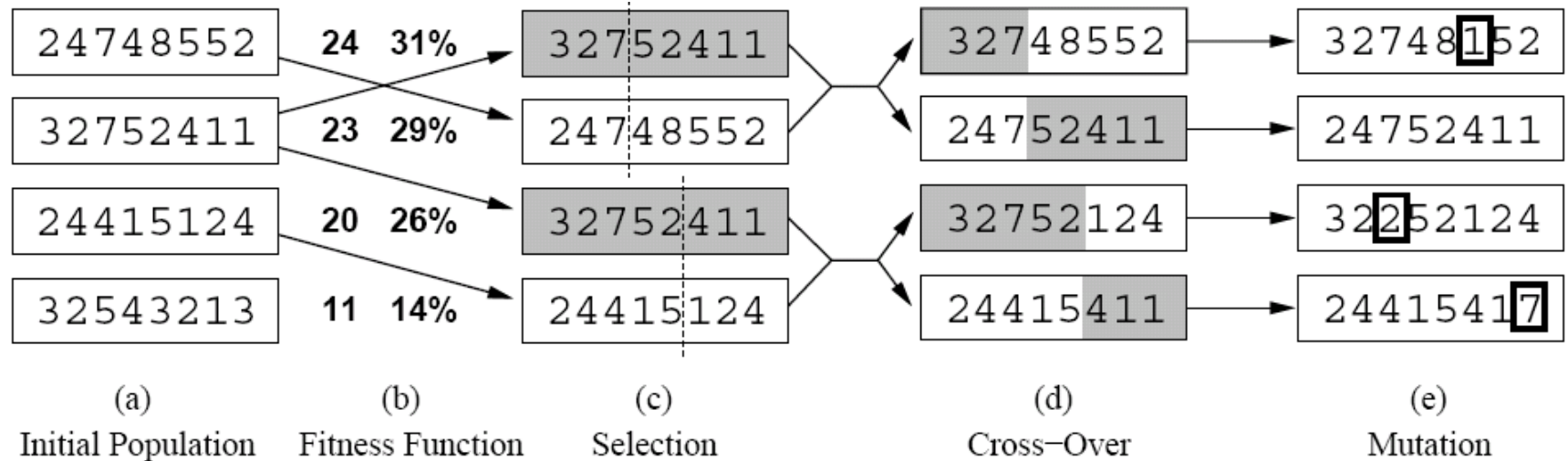
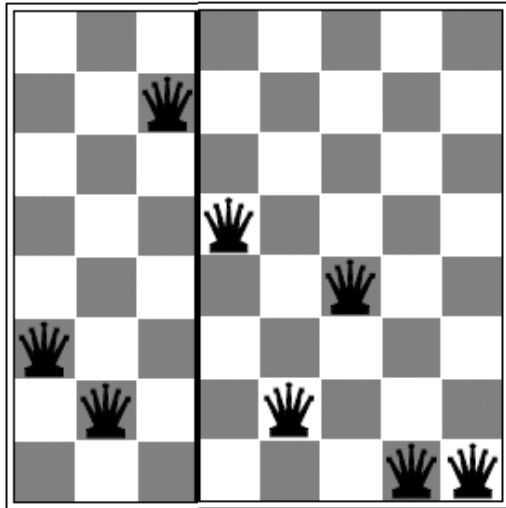


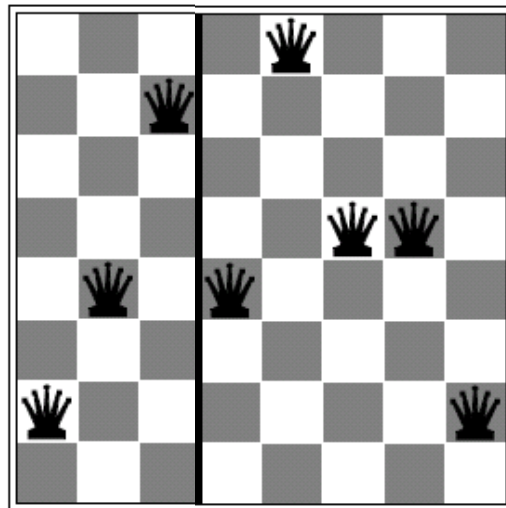
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# Genetic Algorithm



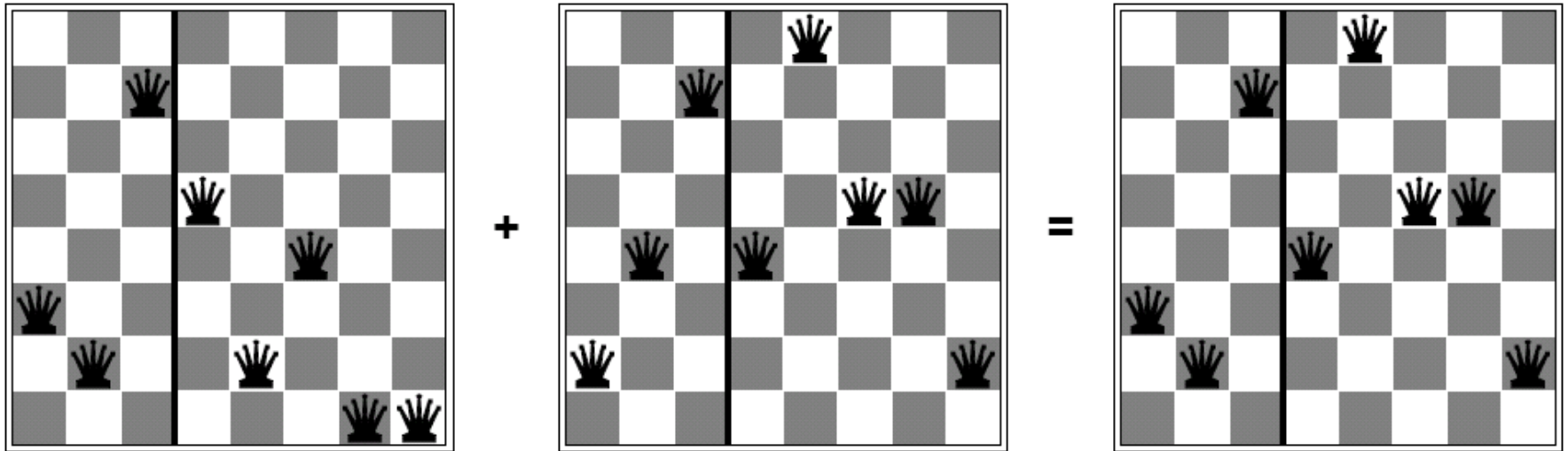
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2 parents in Fig 4.15(c)  
and 1<sup>st</sup> offspring in Fig 4.15 (d)

# Genetic Algorithm



2 parents in Fig 4.15(c) and 1<sup>st</sup> offspring in Fig 4.15 (d)

# Genetic Algorithm

Genetic Algorithm Fish [YouTube]

<http://metivity.com>

Evolution of fish. Each fish is a **neural net**.

**Objective:** develop a "killer fish" - a fish that eats maximum pieces of food in a constant time period.

The world is populated by 20 fish and 40 pieces of food.

Every time a generation begins, the food is scattered in a random distribution on a certain random location on the screen. (random piles of food).

When being eaten, the food shows up in a new random pile in the screen.

Look how they improve as time goes by. Their logic is being built by evolution, without interference.

<--Demo-->

```

function Genetic-Algorithm (population, Fitness-Fn) returns an individual
  inputs:   population, a set of individuals
             Fitness-Fn, a function that measures the fitness of an individual

  repeats
    new_population  $\leftarrow$  empty set
    loop for i from 1 to Size(population) do
      x  $\leftarrow$  Random-Selection(population, Fitness-Fn) // fitness proportionate
      y  $\leftarrow$  Random-Selection(population, Fitness-Fn)
      child  $\leftarrow$  Reproduce(x,y) // crossover
      if (small random probability) then child  $\leftarrow$  Mutate(child) // mutation
      add child to new_population
    population  $\leftarrow$  new_population
  until some individual is fit enough, or enough time has elapsed
  return the best individual in population, according to Fitness-Fn

```

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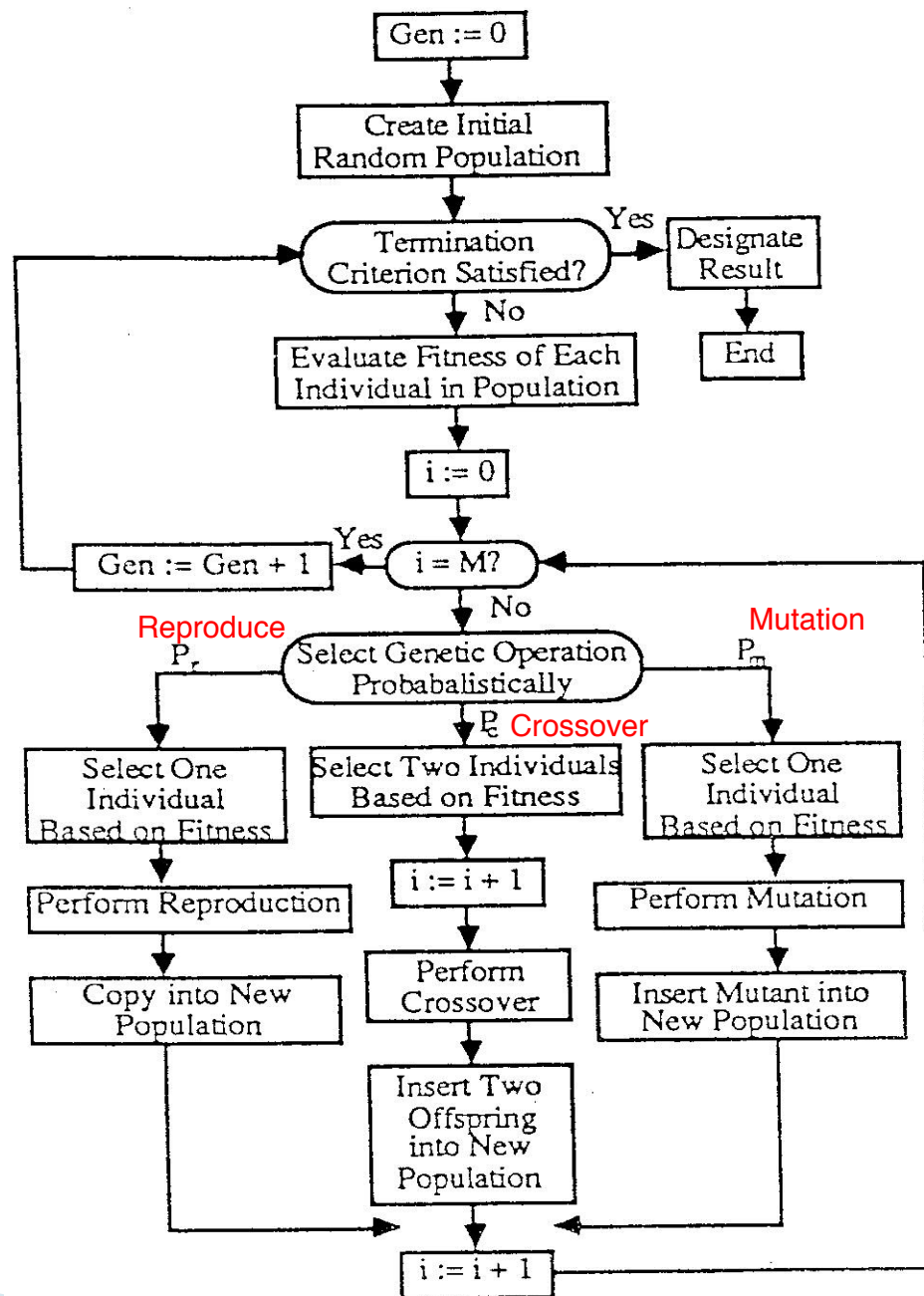
```

function Reproduce(x,y) returns an individual // crossover
  inputs: x, y, parent individuals

  n  $\leftarrow$  Length(x)
  c  $\leftarrow$  random number from 1 to n // crossover point
  return Append(Substring(x, 1, c), Substring(y, c+1, n))

```

Fig 4.17 A genetic algorithm. The algorithm is the same as the one diagrammed in Fig. 4.15, with one variation: in the more popular version, each mating of two parents produces only one offspring, not two.



M: population size

P: probability

Figure 3.1 Flowchart of the conventional genetic algorithm.