# Two new results about quantum exact learning

Srinivasan Arunachalam\* Sourav Chakraborty<sup>†</sup> Troy Lee<sup>‡</sup> Ronald de Wolf<sup>§</sup>
October 2, 2018

#### **Abstract**

We present two new results about exact learning by quantum computers. First, we show how to exactly learn a k-Fourier-sparse n-bit Boolean function from  $O(k^{1.5}(\log k)^2)$  uniform quantum examples for that function. This improves over the bound of  $\Theta(kn)$  uniformly random classical examples (Haviv and Regev, CCC'15). Second, we show that if a concept class  $\mathcal C$  can be exactly learned using Q quantum membership queries, then it can also be learned using  $O\left(\frac{Q^2}{\log Q}\log|\mathcal C|\right)$  classical membership queries. This improves the previous-best simulation result (Servedio and Gortler, SICOMP'04) by a  $\log Q$ -factor.

## 1 Introduction

# 1.1 Quantum learning theory

Both quantum computing and machine learning are hot topics at the moment, and their intersection has been receiving growing attention in recent years as well. On the one hand there are particular approaches that use quantum algorithms like Grover search [Gro96] and the Harrow-Hassidim-Lloyd linear-systems solver [HHL09] to speed up learning algorithms for specific machine learning tasks (see [Wit14, SSP15, AAD+15, BWP+17, DB17] for recent surveys of this line of work). On the other hand there have been a number of more general results about the sample and/or time complexity of learning various concept classes using a quantum computer (see [AW17a] for a survey). This paper presents two new results in the latter line of work. In both cases the goal is to *exactly* learn an unknown target function with high probability; for the first result our access to the target function is through quantum examples for the function, and for the second result our access is through membership queries to the function.

 $<sup>^*</sup>$ Center for Theoretical Physics, MIT. Work mostly done when at QuSoft, CWI, Amsterdam, the Netherlands, supported by ERC Consolidator Grant 615307 QPROGRESS. arunacha@mit.edu

<sup>&</sup>lt;sup>†</sup>Indian Statistical Institute, Kolkata, India. Work done while on sabbatical at CWI, supported by ERC Consolidator Grant 615307 QPROGRESS. sourav@isical.ac.in

<sup>&</sup>lt;sup>‡</sup>Centre for Quantum Software and Information, School of Software, Faculty of Engineering and Information Technology, University of Technology Sydney, Australia. Part of this work was done while at the School for Physical and Mathematical Sciences, Nanyang Technological University and the Centre for Quantum Technologies, Singapore, supported by the Singapore National Research Foundation under NRF RF Award No. NRF-NRFF2013-13. troyjlee@qmail.com

<sup>§</sup>QuSoft, CWI and University of Amsterdam, the Netherlands. Partially supported by ERC Consolidator Grant 615307 QPROGRESS, and QuantERA project QuantAlgo 680-91-034. rdewolf@cwi.nl

### 1.2 Exact learning of sparse functions from uniform quantum examples

Let us first explain the setting of distribution-dependent learning from examples. Let  $\mathcal{C}$  be a class of functions. For concreteness assume they are  $\pm 1$ -valued functions on a domain of size N; if  $N=2^n$ , then the domain may be identified with  $\{0,1\}^n$ . Suppose  $c\in\mathcal{C}$  is an unknown function (the *target function*) that we want to learn. A learning algorithm is given *examples* of the form (x,c(x)), where x is distributed according to some probability distribution D on [N]. An  $(\varepsilon,\delta)$ -learner for  $\mathcal{C}$  w.r.t. D is an algorithm that, for every possible target concept  $c\in\mathcal{C}$ , produces a hypothesis  $h:[N]\to\{-1,1\}$  such that with probability at least  $1-\delta$  (over the randomness of the learner and the examples for the target concept c), h's generalization error is at most  $\varepsilon$ :

$$\Pr_{x \sim D}[c(x) \neq h(x)] \leq \varepsilon.$$

In other words, from *D*-distributed examples the learner has to construct a hypothesis that mostly agrees with the target concept *under the same D*.

In the early days of quantum computing, Bshouty and Jackson [BJ99] generalized this learning setting by allowing coherent *quantum* examples. A quantum example for concept c w.r.t. distribution D, is the following ( $\lceil \log N \rceil + 1$ )-qubit state:

$$\sum_{x \in [N]} \sqrt{D(x)} |x, c(x)\rangle.$$

Clearly such a quantum example is at least as useful as a classical example, because measuring this state yields a pair (x, c(x)) where  $x \sim D$ . Behouty and Jackson gave examples of concept classes that can be learned more efficiently from quantum examples than from classical random examples under specific D. In particular, they showed that the concept class of DNF-formulas can be learned in polynomial time from quantum examples under the uniform distribution, something we do not know how to do classically (the best classical upper bound is quasi-polynomial time [Ver90]). The key to this improvement is the ability to obtain, from a uniform quantum example, a sample  $S \sim \widehat{c}(S)^2$  distributed according to the squared Fourier coefficients of c. This Fourier sampling, originally due to Bernstein and Vazirani [BV97], is very powerful. For example, if  $\mathcal{C}$  is the class of  $\mathbb{F}_2$ -linear functions on  $\{0,1\}^n$ , then the unknown target concept c is a character function  $\chi_S(x) = (-1)^{x \cdot S}$ ; its only non-zero Fourier coefficient is  $\widehat{c}(S)$  hence one Fourier sample gives us the unknown S with certainty. In contrast, learning linear functions from classical uniform examples requires  $\Theta(n)$  examples. Another example where Fourier sampling is proven powerful is in learning the class of  $\ell$ -juntas on n bits.<sup>2</sup> Atıcı and Servedio [AS09] showed that  $(\log n)$ -juntas can be exactly learned under the uniform distribution in time polynomial in n. Classically it is a long-standing open question if a similar result holds when the learner is given uniform classical examples (the best known algorithm runs in quasi-polynomial time [MOS04]). These cases (and others surveyed in [AW17a]) show that uniform quantum examples (and in particular Fourier sampling) can be more useful than classical examples.<sup>3</sup>

In this paper we consider the concept class of *n*-bit Boolean functions that are *k*-sparse in the Fourier domain:  $\widehat{c}(S) \neq 0$  for at most *k* different *S*'s. This is a natural generalization of the

<sup>&</sup>lt;sup>1</sup>Parseval's identity implies  $\sum_{S \in \{0,1\}^n} \widehat{f}(S)^2 = 1$ , so this is indeed a probability distribution.

<sup>&</sup>lt;sup>2</sup>We say  $f: \{-1,1\}^n \to \{-1,1\}$  is an  $\ell$ -junta on n bits if there exists  $S \subseteq [n]$  of size  $|S| \le \ell$  such that f depends only on the set of variables whose indeces are in S.

<sup>&</sup>lt;sup>3</sup>This is not the case in Valiant's *PAC-learning* model [Val84] of distribution-independent learning. There we require the same learner to be an  $(\varepsilon, \delta)$ -learner for  $\mathcal{C}$  w.r.t. *every* possible distribution  $\mathcal{D}$ . One can show in this model (and also in the broader model of *agnostic* learning) that the quantum and classical sample complexities are equal up to a constant factor [AW17b].

above-mentioned case of learning linear functions, which corresponds to k = 1. It also generalizes the case of learning  $\ell$ -juntas on n bits, which corresponds to  $k = 2^{\ell}$ . Variants of this class of k-Fourier-sparse functions have been well-studied in the area of *sparse recovery*, where the goal is to recover a k-sparse vector  $x \in \mathbb{R}^N$  given a low-dimensional linear sketch Ax for a so-called "measurement matrix" matrix  $A \in \mathbb{R}^{m \times N}$ . See [HIKP12, IK14] for some upper bounds on the size of the measurement matrix that suffice for sparse recovery. Closer to the setting of this paper, there has also been extensive work on learning the concept class of n-bit real-valued functions that are k-sparse in the Fourier domain. In this direction Cheraghchi et al. [CGV13] showed that  $O(nk(\log k)^3)$  uniform examples suffice to learn this concept class, improving upon the works of Bourgain [Bou14], Rudelson and Vershynin [RV08] and Candés and Tao [CT06].

In this paper we focus on *exactly* learning the target concept from uniform examples, with high success probability (so  $D(x) = 1/2^n$  for all x,  $\varepsilon = 0$ , and  $\delta = 1/3$ ). Haviv and Regev [HR16] showed that for classical learners  $O(nk\log k)$  uniform examples suffice to learn k-Fourier-sparse functions, and  $\Omega(nk)$  uniform examples are necessary. In Section 3 we study the number of uniform *quantum* examples needed to learn k-Fourier-sparse Boolean functions, and show that it is upper bounded by  $O(k^{1.5}(\log k)^2)$ . For  $k \ll n^2$  this quantum bound is much better than the number of uniform examples used in the classical case. Proving the upper bound combines the fact that a uniform quantum example allows us to Fourier-sample the target concept, with some Fourier analysis of k-Fourier-sparse functions. We also prove a (non-matching) lower bound of  $\Omega(k\log k)$  uniform quantum examples, using some quantum information theory.

### 1.3 Exact learning from quantum membership queries

Our second result is in a model of active learning. The learner still wants to exactly learn an unknown target concept  $c:[N] \to \{-1,1\}$  from a known concept class C, but now the learner can choose which points of the truth-table of the target it sees, rather than those points being chosen randomly. More precisely, the learner can query c(x) for any x of its choice. This is called a *membership query*. Quantum algorithms have the following query operation available:

$$O_c: |x,b\rangle \mapsto |x,b\cdot c(x)\rangle$$
,

where  $b \in \{-1,1\}$ . For some concept classes, quantum membership queries can be much more useful than classical. Consider again the class  $\mathcal{C}$  of  $\mathbb{F}_2$ -linear functions on  $\{0,1\}^n$ . Using one query to a uniform superposition over all x and doing a Hadamard transform, we can Fourier-sample and hence learn the target concept exactly. In contrast,  $\Theta(n)$  classical membership queries are necessary and sufficient for classical learners. As another example, consider the concept class  $\mathcal{C} = \{\delta_i \mid i \in [N]\}$  of the N point functions, where  $\delta_i(x) = 1$  iff i = x. Elements from this class can be learned using  $O(\sqrt{N})$  quantum membership queries by Grover's algorithm, while every classical algorithm needs to make  $\Omega(N)$  membership queries.

For a given concept class C of  $\pm 1$ -valued function on [N], let D(C) denote the minimal number of classical membership queries needed for learners that can exactly identify every  $c \in C$  with success probability 1 (such learners are deterministic without loss of generality). Let R(C) and Q(C) denote the minimal number of classical and quantum membership queries, respectively,

<sup>&</sup>lt;sup>4</sup>Our algorithm has two phases, the first of which involves learning the Fourier span of f from Fourier samples. In Section 3.2 we show that improving this part of the algorithm is equivalent to given an improved version of *Chang's lemma* for k-Fourier sparse Boolean functions.

<sup>&</sup>lt;sup>5</sup>Think of the set  $\{x \mid c(x) = 1\}$  corresponding to the target concept: a membership query asks whether x is a member of this set or not.

needed for learners that can exactly identify every  $c \in C$  with error probability  $\leq 1/3.6$  Servedio and Gortler [SG04] showed that these quantum and classical measures cannot be too far apart. First, using an information-theoretic argument they showed

$$Q(\mathcal{C}) \ge \Omega\left(\frac{\log|\mathcal{C}|}{\log N}\right).$$

Intuitively, this holds because a learner recovers roughly  $\log |\mathcal{C}|$  bits of information, while every quantum membership query can give at most  $O(\log N)$  bits of information. Note that this is tight for the class of linear functions, where the left- and right-hand sides are both constant. Second, using the hybrid method they showed

$$Q(C) \ge \Omega(1/\sqrt{\gamma(C)}),$$

for some combinatorial parameter  $\gamma(\mathcal{C})$  that we will not define here (but which is 1/N for the class  $\mathcal{C}$  of point functions, hence this inequality is tight for that  $\mathcal{C}$ ). They also noted the following upper bound:

$$D(\mathcal{C}) = O\left(\frac{\log |\mathcal{C}|}{\gamma(\mathcal{C})}\right).$$

Combining these three inequalities yields the following relation between D(C) and Q(C)

$$D(\mathcal{C}) \le O(Q(\mathcal{C})^2 \log |\mathcal{C}|) \le O(Q(\mathcal{C})^3 \log N). \tag{1}$$

This shows that, up to a  $\log N$ -factor, quantum and classical membership query complexities of exact learning are polynomially close. While each of the three inequalities that together imply (1) can be individually tight (for different C), this does not imply (1) itself is tight.

Note that Eq. (1) upper bounds the membership query complexity of *deterministic* classical learners. We are not aware of a stronger upper bound on *bounded-error* classical learners. However, in Section 4 we tighten that bound further by a  $\log Q(\mathcal{C})$ -factor:

$$RC$$
)  $\leq O\left(\frac{Q(C)^2}{\log Q(C)}\log |C|\right) \leq O\left(\frac{Q(C)^3}{\log Q(C)}\log N\right)$ .

Note that this inequality is tight both for the class of linear functions and for the class of point functions. While our improvement is not very large, we feel our proof method (combining the adversary method [Amb02, BSS03, ŠS05] with an entropic argument) is new and may have applications elsewhere.

#### 2 Preliminaries

**Notation.** Let  $[n] = \{1, ..., n\}$ . For an n-dimensional vector space, the standard basis vectors are  $\{e_i \in \{0,1\}^n \mid i \in [n]\}$ , where  $e_i$  is the vector with a 1 in the ith coordinate and 0's elsewhere. For  $x \in \{0,1\}^n$  and  $i \in [n]$ , let  $x^i$  be the input obtained by flipping the ith bit in x.

For a Boolean function  $f: \{0,1\}^n \to \{-1,1\}$  and  $B \in \mathbb{F}_2^{n \times n}$ , define  $f \circ B: \{0,1\}^n \to \{-1,1\}$  as  $(f \circ B)(x) := f(Bx)$ , where the matrix-vector product Bx is over  $\mathbb{F}_2$ . Throughout this paper, the rank of a matrix  $B \in \mathbb{F}_2^{n \times n}$  will be taken over  $\mathbb{F}_2$ . Let  $B_1, \ldots, B_n$  be the columns of B.

<sup>&</sup>lt;sup>6</sup>We can identify each concept with a string  $c \in \{-1,1\}^N$ , and hence  $C \subseteq \{-1,1\}^N$ . The goal is to learn the unknown  $c \in C$  with high probability using few queries to the corresponding *N*-bit string. This setting is also sometimes called "oracle identification" in the literature; see [AW17a, Section 4.1] for more references.

**Fourier analysis on the Boolean cube.** We introduce the basics of Fourier analysis here, referring to [O'D14, Wol08] for more. Define the inner product between functions  $f, g : \{0, 1\}^n \to \mathbb{R}$  as

$$\langle f, g \rangle = \mathbb{E}_{x \in \{0,1\}^n} [f(x) \cdot g(x)],$$

where the expectation is uniform over all  $x \in \{0,1\}^n$ . For  $S \in \{0,1\}^n$ , the character function corresponding to S is given by  $\chi_S(x) := (-1)^{S \cdot x}$ , where the dot product  $S \cdot x$  is  $\sum_{i=1}^n S_i x_i$ . Observe that the set of functions  $\{\chi_S\}_{S \in \{0,1\}^n}$  forms an orthonormal basis for the space of real-valued functions over the Boolean cube. Hence every  $f : \{0,1\}^n \to \mathbb{R}$  can be written uniquely as

$$f(x) = \sum_{S \in \{0,1\}^n} \widehat{f}(S)(-1)^{S \cdot x} \qquad \text{for all } x \in \{0,1\}^n,$$

where  $\widehat{f}(S) = \langle f, \chi_S \rangle = \mathbb{E}_x[f(x)\chi_S(x)]$  is called a *Fourier coefficient* of f. For  $i \in [n]$ , we write  $\widehat{f}(e_i)$  as  $\widehat{f}(i)$  for notational convenience. Parseval's identity states that  $\sum_{S \in \{0,1\}^n} \widehat{f}(S)^2 = \mathbb{E}_x[f(x)^2]$ . If f has domain  $\{-1,1\}$ , then Parseval gives  $\sum_{S \in \{0,1\}^n} \widehat{f}(S)^2 = 1$ , so  $\{\widehat{f}(S)^2\}_{S \in \{0,1\}^n}$  forms a probability distribution. The *Fourier weight* of function f on  $S \subseteq \{0,1\}^n$  is defined as  $\sum_{S \in S} \widehat{f}(S)^2$ .

For  $f: \{0,1\}^n \to \mathbb{R}$ , the Fourier support of f is  $\operatorname{supp}(\widehat{f}) = \{S: \widehat{f}(S) \neq 0\}$ . The Fourier sparsity of f is  $|\operatorname{supp}(\widehat{f})|$ . The Fourier span of f is the span of  $\operatorname{supp}(\widehat{f})$ . The Fourier dimension of f is the dimension of the Fourier span. We say f is k-Fourier-sparse if  $|\operatorname{supp}(\widehat{f})| \leq k$ .

We now state a number of known structural results about Fourier coefficients and dimension.

**Theorem 1** ([San15]) The Fourier dimension of a k-Fourier-sparse  $f: \{0,1\}^n \to \{-1,1\}$  is  $O(\sqrt{k} \log k)$ .

**Definition 1** Let  $f: \{0,1\}^n \to \{-1,1\}$  and suppose  $B \in \mathbb{F}_2^{n \times n}$  is invertible. Define  $f_B$  as

$$f_B(x) = f((B^{-1})^{\mathsf{T}}x).$$

**Lemma 1** Let  $f: \{0,1\}^n \to \mathbb{R}$  and suppose  $B \in \mathbb{F}_2^{n \times n}$  is invertible. Then the Fourier coefficients of  $f_B$  are  $\widehat{f_B}(Q) = \widehat{f}(BQ)$  for all  $Q \in \{0,1\}^n$ .

**Proof.** Write out the Fourier expansion of  $f_B$ :

$$f_B(x) = f((B^{-1})^{\mathsf{T}}x) = \sum_{S \in \{0,1\}^n} \widehat{f}(S)(-1)^{S \cdot ((B^{-1})^{\mathsf{T}}x)} = \sum_{S \in \{0,1\}^n} \widehat{f}(S)(-1)^{(B^{-1}S) \cdot x} = \sum_{Q \in \{0,1\}^n} \widehat{f}(BQ)(-1)^{Q \cdot x},$$

where the third equality used  $\langle S, (B^{-1})^\mathsf{T} x \rangle = \langle B^{-1} S, x \rangle$  and the last used the substitution S = BQ.  $\square$ 

An easy consequence is the next lemma:

**Lemma 2** Let  $f: \{0,1\}^n \to \{-1,1\}$ , and  $B \in \mathbb{F}_2^{n \times n}$  be a full-rank matrix such that the first r columns of B are a basis of the Fourier span of f, and  $\widehat{f}(B_1), \ldots, \widehat{f}(B_r)$  are non-zero. Then

- 1. The Fourier span of  $\widehat{f_B}$  is spanned by  $\{e_1, \ldots, e_r\}$ , i.e.,  $f_B$  has only r influential variables.
- 2. For every  $i \in [r]$ ,  $\widehat{f_B}(i) \neq 0$ .

Here is the well-known fact, already mentioned in the introduction, that one can Fourier-sample from uniform quantum examples:

**Lemma 3** Let  $f: \{0,1\}^n \to \{-1,1\}$ . There exists a procedure that uses one uniform quantum example and satisfies the following: with probability 1/2 it outputs an S drawn from the distribution  $\{\widehat{f}(S)^2\}_{S\in\{0,1\}^n}$ , otherwise it rejects.

**Proof.** Using a uniform quantum example  $\frac{1}{\sqrt{2^n}}\sum_x|x,f(x)\rangle$ , one can obtain  $\frac{1}{\sqrt{2^n}}\sum_x f(x)|x\rangle$  with probability 1/2: unitarily replace f(x) by (1-f(x))/2, apply the Hadamard transform to the last qubit and measure it. With probability 1/2 we obtain the outcome 0, in which case our procedure rejects. Otherwise the remaining state is  $\frac{1}{\sqrt{2^n}}\sum_x f(x)|x\rangle$ . Apply Hadamard transforms to all n qubits to obtain  $\sum_S \widehat{f}(S)|S\rangle$ . Measuring this quantum state gives an S with probability  $\widehat{f}(S)^2$ .  $\square$ 

**Information theory.** We refer to [CT91] for a comprehensive introduction to classical information theory, and here just remind the reader of the basic definitions. A random variable **A** with probabilities  $\Pr[\mathbf{A} = a] = p_a$  has *entropy*  $H(\mathbf{A}) := -\sum_a p_a \log(p_a)$ . For a pair of (possibly correlated) random variables **A**, **B**, the *conditional entropy* of **A** given **B**, is  $H(\mathbf{A} \mid \mathbf{B}) := H(\mathbf{A}, \mathbf{B}) - H(\mathbf{B})$ . This equals  $\mathbb{E}_{b \sim \mathbf{B}}[H(\mathbf{A} \mid \mathbf{B} = b)]$ . The *mutual information* between **A** and **B** is  $I(\mathbf{A} : \mathbf{B}) := H(\mathbf{A}) + H(\mathbf{B}) - H(\mathbf{A}, \mathbf{B}) = H(\mathbf{A}) - H(\mathbf{A} \mid \mathbf{B})$ . The *binary entropy* H(p) is the entropy of a bit with distribution (p, 1-p). If  $\rho$  is a density matrix (i.e., a trace-1 positive semi-definite matrix), then its singular values form a probability distribution P, and the *von Neumann entropy* of  $\rho$  is  $S(\rho) := H(P)$ . We refer to [NC00, Part III] for a more extensive introduction to quantum information theory.

# 3 Exact learning of k-Fourier-sparse functions

In this section we consider exactly learning the concept class C of k-Fourier-sparse Boolean functions:

$$C = \{f : \{0,1\}^n \to \{-1,1\} : |\operatorname{supp}(\widehat{f})| \le k\}.$$

The goal is to exactly learn  $c \in C$  given *uniform examples* from c of the form (x,c(x)) where x is drawn from the uniform distribution on  $\{0,1\}^n$ . Haviv and Regev [HR16] considered learning this concept class and showed the following results.

**Theorem 2 (Corollary 3.6 of [HR16])** For every n > 0 and  $k \le 2^n$ , the number of uniform examples that suffice to learn C with probability  $1 - 2^{-\Omega(n \log k)}$  is  $O(nk \log k)$ .

**Theorem 3 (Theorem 3.7 of [HR16])** For every n > 0 and  $k \le 2^n$ , the number of uniform examples necessary to learn C with constant success probability is  $\Omega(k(n - \log k))$ .

Our main results in this section are about the number of uniform *quantum* examples that are necessary and sufficient to exactly learn the class C of k-Fourier-sparse functions. A uniform quantum example for a concept  $c \in C$  is the quantum state

$$\frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x, c(x)\rangle.$$

We prove the following two theorems here.

**Theorem 4** For every n > 0 and  $k \le 2^n$ , the number of uniform quantum examples that suffice to learn C with probability  $\ge 2/3$  is  $O(k^{1.5}(\log k)^2)$ .

In the theorem below we prove the following (non-matching) lower bound on the number of uniform quantum examples necessary to learn C.

**Theorem 5** For every n > 0, constant  $c \in (0,1)$  and  $k \le 2^{cn}$ , the number of uniform quantum examples necessary to learn C with constant success probability is  $\Omega(k \log k)$ .

# 3.1 Upper bound on learning k-Fourier-sparse Boolean functions

We split our quantum learning algorithm into two phases. Suppose  $c \in C$  is the unknown concept, with Fourier dimension r. In the first phase the learner uses samples from the distribution  $\{\widehat{c}(S)^2\}_{S \in \{0,1\}^n}$  to learn the Fourier span of c. In the second phase the learner uses uniform *classical* examples to learn c exactly, knowing its Fourier span. Phase 1 uses O(rk) uniform quantum examples (for Fourier-sampling) and phase 2 uses  $O(rk \log k)$  uniform *classical* examples.

**Theorem 6** Let k, r > 0. There exists a quantum learner that exactly learns (with high probability) an unknown k-Fourier-sparse  $c: \{0,1\}^n \to \{-1,1\}$  with Fourier dimension upper bounded by some known r, from  $O(rk \log k)$  uniform quantum examples.

The learner may not know the exact Fourier dimension r in advance, but Theorem 1 gives an upper bound  $r = O(\sqrt{k} \log k)$ , so our Theorem 4 will follow immediately from Theorem 6.

#### 3.1.1 Phase 1: Learning the Fourier span

In this phase of the algorithm our goal is to learn the r-dimensional Fourier span of the k-Fourier-sparse target concept c, using O(rk) Fourier samples. The algorithm is very simple: Fourier-sample more and more S's and keep track of their span; stop when we reach dimension r. The key is the following technical lemma, which says that if our current span V' does not yet equal the full Fourier span V, then there is significant Fourier weight outside of V'. This implies that a small expected number of additional Fourier samples will give us an  $S \in V \setminus V$ ', which will grow our current span. After r such grow-steps we have learned the full Fourier span.

**Lemma 4** Let  $V \subseteq \{0,1\}^n$  be the r-dimensional Fourier span of k-Fourier-sparse function  $c:\{0,1\}^n \to \{-1,1\}$ , and  $V' \subseteq V$  be a proper subspace. Then  $\sum_{S \in V \setminus V'} \widehat{c}(S)^2 \ge 1/k$ .

**Proof.** Let us assume the worst case, which is that  $\dim(V') = r - 1$ . Because we can do an invertible linear transformation on c as in Lemma 1, we may assume without loss of generality that the one "missing" dimension corresponds to the variable  $x_r$  (i.e.,  $V = \operatorname{span}(V' \cup \{e_r\})$ ). Let g be the (not necessarily Boolean-valued) part of f with Fourier coefficients in V':

$$g(x) := \sum_{S \in V'} \widehat{c}(S) \chi_S(x).$$

Suppose, towards a contradiction, that the Fourier weight  $W := \sum_{S \in V \setminus V'} \widehat{c}(S)^2$  is < 1/k. This implies that f and g have the same sign on every  $x \in \{0,1\}^n$ , as follows (using Cauchy-Schwarz):

$$|f(x) - g(x)| = \left| \sum_{S \in V \setminus V'} \widehat{c}(S) \chi_S(x) \right| \le \sqrt{kW} < 1.$$

Since f depends on the variable  $x_r$ , there exists an  $x \in \{0,1\}^n$  where  $x_r$  is influential, i.e.,  $f(x) \neq f(x^r)$ . But g is independent of  $x_r$ , which implies  $f(x) = \text{sign}(g(x)) = \text{sign}(g(x^r)) = f(x^r)$ , a contradiction. Hence  $W \geq 1/k$ .

We now conclude phase 1 by presenting a quantum learning algorithm that learns the Fourier span of an unknown r-dimensional  $c \in C$ , given uniform quantum examples for c.

**Theorem 7** Let k,r > 0. There exists a quantum learner that uses uniform quantum examples for an unknown k-Fourier-sparse  $c: \{0,1\}^n \to \{-1,1\}$  with Fourier dimension r. After processing each new quantum example it outputs a subspace of the Fourier span of c. This sequence of subspaces is non-decreasing, and after an expected number of at most 2rk quantum examples, the output equals the Fourier span of c.

This quantum learner can actually run forever, but if we know the Fourier dimension r of c, or an upper bound r on the actual Fourier dimension (e.g., by Theorem 1), then we can stop the learner after processing 6rk examples; now, by Markov's inequality, with probability  $\geq 2/3$  the last subspace will be the Fourier span of c.

**Proof.** In order to learn the Fourier span of *c*, the quantum learner simply takes Fourier samples until they span an *r*-dimensional space. Since we can generate a Fourier sample from an expected number of 2 uniform quantum examples (by Lemma 3), the expected number of uniform quantum examples needed is at most twice the expected number of Fourier samples.

If our current sequence of Fourier samples spans an r'-dimensional space V', with r' < r, then Lemma 4 implies that the next Fourier sample has probability at least 1/k of yielding an  $S \notin V'$ . Hence an expected number of at most k Fourier samples suffices to grow the dimension of V' by at least 1. Since we stop at dimension r, the overall expected number of Fourier samples is at most rk.

#### 3.1.2 Phase 2: Learning the function completely

In the above phase 1, the quantum learner obtains the Fourier span of c, which we will denote by  $\mathcal{T}$ . Using this, the learner can restrict to the following concept class

$$C' = \{c : \{0,1\}^n \rightarrow \{-1,1\} \mid c \text{ is } k\text{-Fourier-sparse with Fourier span } T\}$$

Let  $\dim(\mathcal{T}) = r$ . Let  $B \in \mathbb{F}_2^{n \times n}$  be a full-rank matrix such that the first r columns of B form a basis for  $\mathcal{T}$ . Consider  $c_B = c \circ (B^{-1})^\mathsf{T}$  for  $c \in \mathcal{C}'$ . By Lemma 2 it follows that  $c_B$  depends on only r bits, and we can write  $c_B : \{0,1\}^r \to \{-1,1\}$ . Hence the learner can apply the transformation  $c \mapsto c \circ (B^{-1})^\mathsf{T}$  for every  $c \in \mathcal{C}'$  and restrict to the concept class

$$\mathcal{C}_r' = \{c': \{0,1\}^r \rightarrow \{-1,1\} \mid c' = c \circ (B^{-1})^\mathsf{T} \text{ for some } c \in \mathcal{C}' \text{ and invertible } B\},$$

We now conclude phase 2 of the algorithm by invoking the classical upper bound of Haviv-Regev (Theorem 2) which says that  $O(rk \log k)$  uniform classical examples of the form (z, c'(z)) suffice to learn  $C'_r$  (where  $z \in \{0,1\}^r$ ). Although we assume our learning algorithm has access to uniform examples of the form (x, c(x)) for  $x \in \{0,1\}^n$ , the quantum learner knows B and hence can obtain a uniform example (z, c'(z)) for c' by letting z be the first r bits of  $B^Tx$  and c'(z) = c(x).

### 3.2 A possible direction to obtaining a better quantum learning algorithm

In order to improve Phase 1 of our quantum learning algorithm in the previous section, we show that it is *necessary and sufficient* to prove a version of Chang's lemma [Cha02, IMR14] for *k*-Fourier-sparse Boolean functions. The original lemma upper bounds the dimension of the span of the "large" Fourier coefficients as follows.

**Lemma 5 (Chang's lemma)** Let  $\alpha \in (0,1)$  and  $\rho > 0$ . For every  $f : \{0,1\}^n \to \{-1,1\}$  with  $\widehat{f}(0^n) = 1 - 2\alpha$ , we have

$$\dim(\operatorname{span}\{S:|\widehat{f}(S)| \ge 2\rho\alpha\}) \le \frac{2\ln(1/\alpha)}{\rho^2}.$$
 (2)

Our statement of this lemma has an extra factor of 2 in  $|\widehat{f}(S)| \ge 2\rho\alpha$  compared to [IMR14], which comes from the fact that their functions have range  $\{0,1\}$  while ours have  $\{-1,1\}$ . Let us consider Chang's lemma for k-Fourier-sparse Boolean functions. In particular, consider the case  $2\rho\alpha = 1/k$ . In that case, since all non-zero  $|\widehat{f}(S)|$  are at least 1/k for all  $S \in \{0,1\}^n$  by a result of Gopalan et al.  $[GOS^+11]$ , the left-hand side of Eq. (2) equals the Fourier dimension r of f. Chang's lemma gives us

$$r \le 8\alpha^2 k^2 \ln k$$
.

We conjecture that this bound can be improved as follows.

**Conjecture 1** Let  $\alpha \in (0,1)$  and  $k \ge 2$ . There exists a universal constant  $c \ge 1$  such that, for every k-Fourier-sparse  $f: \{0,1\}^n \to \{-1,1\}$  with  $\widehat{f}(0^n) = 1 - 2\alpha$  and Fourier dimension r, we have

$$r \le c\alpha k \log k$$
.

Below we show that Conjecture 1 is *equivalent* to improving Phase 1 of our quantum learning algorithm in the previous section. Lemma 6 below shows that the improved Chang's lemma for sparse Boolean functions implies an improvement to Phase 1, and Lemma 7 shows the other direction.

**Lemma 6** Let  $f: \{0,1\}^n \to \{-1,1\}$  be a Boolean function with Fourier sparsity k and Fourier dimension r. If Conjecture 1 is true, then the Fourier span of f can be learned using  $O(k \log k \log r)$  Fourier samples.

**Proof.** In order to obtain the lemma, we first show that if the Fourier span of f is  $\mathcal{V}$  (whose dimension is r) and  $\mathcal{S} \subset \mathcal{V}$  satisfies  $\dim(\text{span}(\mathcal{S})) = r - r'$ , then

$$\sum_{S \in \text{span}(S)} \widehat{f}(S)^2 \le 1 - \frac{2(r - r')}{ck \log k}.$$
 (3)

Let  $B \in \mathbb{F}_2^{r \times r}$  be a full-rank matrix such that the first r' columns of B form a basis for span(S). By Lemma 2,  $f_B$  depends only on r bits, so we write  $f_B : \{0,1\}^r \to \{-1,1\}$ . Let  $\mathcal{W} = \text{span}\{e_1,\ldots,e_{r'}\} \subseteq \{0,1\}^r$ . Then

$$\sum_{S \in \text{span}(S)} \widehat{f}(S)^2 = \sum_{S \in \mathcal{W}} \widehat{f}_B(S)^2.$$
 (4)

<sup>&</sup>lt;sup>7</sup>Gopalan et al. [GOS<sup>+</sup>11, Theorem 12] showed that for  $k \ge 2$ , the Fourier coefficients of a k-Fourier-sparse Boolean function  $f: \{0,1\}^n \to \{-1,1\}$  are integer multiples of  $2^{1-\lfloor \log k \rfloor}$ .

Let us decompose  $f_B$  as follows:  $f_B(x_1,...,x_r) = g(x_1,...,x_{r'}) + g'(x_1,...,x_r)$ , where

$$g(y) = \sum_{T \in \{0,1\}^{r'}} \widehat{f_B}(T, 0^{r-r'}) \chi_T(y) \quad \text{for every } y \in \{0,1\}^{r'},$$
 (5)

and

$$g'(x) = \sum_{S \notin \mathcal{W}} \widehat{f}_B(S) \chi_S(x)$$
 for every  $x \in \{0, 1\}^r$ .

Now by Parseval's identity we have

$$\mathbb{E}_{y \in \{0,1\}^{r'}}[g(y)^2] = \sum_{T \in \{0,1\}^{r'}} \widehat{g}(T)^2 = \sum_{S \in \mathcal{W}} \widehat{f}_B(S)^2, \tag{6}$$

where the second equality used Eq. (5). Combining Eq. (6) with an averaging argument, there exists an assignment of  $a = (a_1, ..., a_{r'}) \in \{0, 1\}^{r'}$  to  $(y_1, ..., y_{r'})$  such that

$$g(a_1, \dots, a_{r'})^2 \ge \sum_{S \in \mathcal{W}} \widehat{f}_{\mathcal{B}}(S)^2, \tag{7}$$

Consider the function h defined as

$$h(z_1, \dots, z_{r-r'}) = f_R(a_1, \dots, a_{r'}, z_1, \dots, z_{r-r'})$$
 for every  $z_1, \dots, z_{r-r'} \in \{0, 1\}.$  (8)

Note that h has Fourier sparsity at most the Fourier sparsity of  $f_B$ , hence at most k. Also the Fourier dimension of h is at most r - r'. Finally note that

$$\widehat{h}(0^{r-r'}) = \mathbb{E}_{z \in \{0,1\}^{r-r'}}[h(z)]$$

$$= \mathbb{E}_{z \in \{0,1\}^{r-r'}}[f_B(a,z)] \qquad \text{(by Eq. (8))}$$

$$= \mathbb{E}_{z \in \{0,1\}^{r-r'}} \left[ \sum_{S_1 \in \{0,1\}^{r'}} \sum_{S_2 \in \{0,1\}^{r-r'}} \widehat{f_B}(S_1, S_2) \chi_{S_1}(a) \chi_{S_2}(z) \right] \qquad \text{(Fourier expansion of } f_B)$$

$$= \sum_{S_1 \in \{0,1\}^{r'}} \widehat{f_B}(S_1, 0^{r-r'}) \chi_{S_1}(a) \qquad \text{(using } \mathbb{E}_{z \in \{0,1\}^{r-r'}} \chi_S(z) = \delta_{S,0^{r-r'}})$$

$$= g(a_1, \dots, a_{r'}) \qquad \text{(by definition of } g \text{ in Eq. (5))}$$

$$\geq \left(\sum_{S \in \mathcal{W}} \widehat{f_B}(S)^2\right)^{1/2}. \qquad \text{(by Eq. (7))}$$

Using Conjecture 1 for the function h, it follows that  $\widehat{h}(0^{r-r'}) \le 1 - 2(r-r')/(ck\log k)$ , which in particular implies

$$\sum_{S \in \text{span}(S)} \widehat{f}(S)^2 = \sum_{S \in \mathcal{W}} \widehat{f}_B(S)^2 \le \widehat{h}(0^{r-r'})^2 \le 1 - \frac{2(r-r')}{ck \log k},$$

where the first equality used Eq. (4). This concludes the proof of Eq. (3).

We now conclude the proof of the lemma. In order to learn the Fourier span of f, the quantum learner simply takes  $O(k \log k \log r)$  Fourier samples and outputs the span of the observed S's. We now prove that this number of samples suffices to learn the whole Fourier span of c with high probability. Let S be the set of distinct S's seen by the learner up to a certain point, and suppose  $\dim(\operatorname{span}(S)) = r' < r$ . By Eq. (3), we have

$$\sum_{U \notin \operatorname{span}(\mathcal{S})} \widehat{f}(U)^2 \ge \frac{2(r-r')}{ck \log k}.$$

So after an expected  $O\left(\frac{k \log k}{r-r'}\right)$  more Fourier samples, the learner sees a  $U \notin \operatorname{span}(\mathcal{S})$ , increasing the span of the observed S's by at least 1. To learn the entire r-dimensional Fourier span of f, the expected number of samples is thus at most

$$\sum_{r'=0}^{r-1} O\left(\frac{k \log k}{r - r'}\right) \le O(k \log k \log r),$$

using  $\sum_{\ell=1}^{r} \frac{1}{\ell} = O(\log r)$ .

**Lemma 7** Let  $f: \{0,1\}^n \to \{-1,1\}$  be a Boolean function with Fourier sparsity k and Fourier dimension r. If the Fourier span of f can be learned using  $O(k \log k)$  Fourier samples (with high probability), then Conjecture 1 is true.

**Proof.** Suppose  $\widehat{f}(0^n) = 1 - 2\alpha$ . Then  $1 - \widehat{f}(0^n)^2 \le 4\alpha$ . Given Fourier samples  $S \sim \{\widehat{f}(S)^2\}_S$ , the probability of seeing a non-zero S is at most  $4\alpha$ . Hence, at least  $\Omega(1/\alpha)$  Fourier samples are necessary to see a non-zero S with high probability. Since the Fourier dimension of f is r, one has to see at least r non-zero S to learn the Fourier span of f, which requires  $\Omega(r/\alpha)$  Fourier samples. Since we assumed that the Fourier span can be learned using  $O(k \log k)$  Fourier samples, it follows that  $O(k \log k) \ge \Omega(r/\alpha)$ , which gives Conjecture 1.

# 3.3 Lower bound on learning k-Fourier-sparse Boolean functions

In this section we show that  $\Omega(k \log k)$  uniform quantum examples are necessary to learn the concept class of k-Fourier-sparse Boolean functions.

**Theorem 8** For every n, constant  $c \in (0,1)$  and  $k \leq 2^{cn}$ , the number of uniform quantum examples necessary to learn the class of k-Fourier-sparse Boolean functions, with success probability  $\geq 2/3$ , is  $\Omega(k \log k)$ .

**Proof.** Assume for simplicity that k is a power of 2, so  $\log k$  is an integer. We prove the lower bound for the following concept class, which was also used for the classical lower bound of Haviv and Regev [HR16]: let  $\mathcal{V}$  be the set of distinct subspaces in  $\{0,1\}^n$  with dimension  $n - \log k$  and

$$C = \{c_V : \{0,1\}^n \to \{-1,1\} \mid c_V(x) = -1 \text{ iff } x \in V, \text{ where } V \in V\}.$$

Note that  $|\mathcal{C}| = |\mathcal{V}|$ , and each  $c_{\mathcal{V}} \in \mathcal{C}$  evaluates to 1 on a (1 - 1/k)-fraction of its domain.

We prove the lower bound for  $\mathcal C$  using a three-step information-theoretic technique. A similar approach was used in proving classical and quantum PAC learning lower bounds in [AW17b]. Let  $\mathbf A$  be a random variable that is uniformly distributed over  $\mathcal C$ . Suppose  $\mathbf A = c_V$ , then let  $\mathbf B = \mathbf B_1 \dots \mathbf B_T$  be T copies of the quantum example  $|\psi_V\rangle = \frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x,c_V(x)\rangle$  for  $c_V$ . The random variable  $\mathbf B$  is a function of the random variable  $\mathbf A$ . The following upper and lower bounds on  $I(\mathbf A:\mathbf B)$  are similar to [AW17b, proof of Theorem 12] and we omit the details of the first two steps here.

- 1.  $I(\mathbf{A}: \mathbf{B}) \ge \Omega(\log |\mathcal{V}|)$  because **B** allows one to recover **A** with high probability.
- 2.  $I(\mathbf{A}: \mathbf{B}) \leq T \cdot I(\mathbf{A}: \mathbf{B}_1)$  using a chain rule for mutual information.

3.  $I(\mathbf{A} : \mathbf{B}_1) \le O(n/k)$ .

*Proof.* Since **AB** is a classical-quantum state, we have

$$I(\mathbf{A} : \mathbf{B}_1) = S(\mathbf{A}) + S(\mathbf{B}_1) - S(\mathbf{A}\mathbf{B}_1) = S(\mathbf{B}_1),$$

where the first equality is by definition and the second equality uses  $S(\mathbf{A}) = \log |\mathcal{V}|$  since  $\mathbf{A}$  is uniformly distributed over  $\mathcal{C}$ , and  $S(\mathbf{AB}_1) = \log |\mathcal{V}|$  since the matrix

$$\sigma = \frac{1}{|\mathcal{V}|} \sum_{V \in \mathcal{V}} |V\rangle \langle V| \otimes |\psi_V\rangle \langle \psi_V|$$

is block-diagonal with  $|\mathcal{V}|$  rank-1 blocks on the diagonal. It thus suffices to bound the entropy of the (vector of singular values of) the reduced state of  $\mathbf{B}_1$ , which is

$$\rho = \frac{1}{|\mathcal{V}|} \sum_{V \in \mathcal{V}} |\psi_V\rangle \langle \psi_V|.$$

Let  $\sigma_0 \ge \sigma_1 \ge \cdots \ge \sigma_{2^{n+1}-1} \ge 0$  be the singular values of  $\rho$ . Since  $\rho$  is density matrix, these form a probability distribution. Now observe that  $\sigma_0 \ge 1 - 1/k$  since the inner product between  $\frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x,1\rangle$  and every  $|\psi_V\rangle$  is 1 - 1/k. Let  $\mathbf{N} \in \{0,1,\ldots,2^{n+1}-1\}$  be a random variable with probabilities  $\sigma_0, \sigma_1, \ldots, \sigma_{2^{n+1}-1}$ , and  $\mathbf{Z}$  an indicator for the event " $\mathbf{N} \ne 0$ " (note that  $\mathbf{Z} = 0$  with probability  $\sigma_0 \ge 1 - 1/k$ ). By a similar argument as in [AW17b, Theorem 15], we have

$$S(\rho) = H(\mathbf{N}) = H(\mathbf{N}, \mathbf{Z}) = H(\mathbf{Z}) + H(\mathbf{N} \mid \mathbf{Z})$$

$$= H(\sigma_0) + \sigma_0 \cdot H(\mathbf{N} \mid \mathbf{Z} = 0) + (1 - \sigma_0) \cdot H(\mathbf{N} \mid \mathbf{Z} = 1) \le H\left(\frac{1}{k}\right) + \frac{n+1}{k} \le O\left(\frac{n + \log k}{k}\right)$$

using  $H(\mathbf{N} \mid \mathbf{Z} = 0) = 0$  in the first inequality,  $H(\alpha) \le O(\alpha \log(1/\alpha))$  in the second.

Combining these three steps implies  $T = \Omega(k(\log |\mathcal{V}|)/n)$ . It remains to lower bound  $|\mathcal{V}|$ .

**Claim 1** The number of distinct d-dimensional subspaces of  $\mathbb{F}_2^n$  is at least  $2^{\Omega((n-d)d)}$ .

**Proof.** We can specify a d-dimensional subspace by giving d linearly independent vectors in it. The number of distinct sequences of d linearly independent vectors is exactly  $(2^n - 1)(2^n - 2)(2^n - 4)\cdots(2^n - 2^{d-1})$ , because once we have the first t linearly independent vectors, with span  $S_t$ , then there are  $2^n - 2^t$  vectors that do not lie in  $S_t$ .

However, we are double-counting certain subspaces in the argument above, since there will be multiple sequences of vectors yielding the same subspace. The number of sequences yielding a fixed d-dimensional subspace can be counted in a similar manner as above and we get  $(2^d - 1)(2^d - 2)(2^d - 4)\cdots(2^d - 2^{d-1})$ . So the total number of subspaces is

$$\frac{(2^n-1)(2^n-2)\cdots(2^n-2^{d-1})}{(2^d-1)(2^d-2)\cdots(2^d-2^{d-1})} \ge \frac{(2^n-2^{d-1})^d}{(2^d-1)^d} \ge 2^{\Omega((n-d)d)}.$$

Combining this claim (with  $d = n - \log k$ ) and  $T = \Omega(k(\log |\mathcal{V}|)/n)$  gives  $T = \Omega(k \log k)$ .

# 4 Quantum vs classical membership queries

In this section our goal is to simulate quantum exact learners for a concept class  $\mathcal{C}$  by classical exact learners, without using many more membership queries. A key tool here will be the ("nonnegative" or "positive-weights") adversary method. This was introduced by Ambainis [Amb02]; here we will use the formulation of Barnum et al. [BSS03], which is called the "spectral adversary" in the survey [ŠS05].

Let  $C \subseteq \{0,1\}^N$  be a set of strings. If  $N=2^n$  then we may view such a string  $c \in C$  as (the truthtable of) an n-bit Boolean function, but in this section we do not need the additional structure of functions on the Boolean cube and may consider any positive integer N. Suppose we want to identify an unknown  $c \in C$  with success probability at least 2/3 (i.e., we want to compute the identity function on C). The required number of quantum queries to c can be lower bounded as follows. Let C be a  $|C| \times |C|$  matrix with real, nonnegative entries and 0s on the diagonal (referred to as an "adversary matrix"). Let C denote the  $|C| \times |C| = 0/1$ -matrix whose (c, c')-entry is  $[c_i \neq c'_i]$ . Then it is known that at least (a constant factor times) ||C|| = 0/1 matrix whose ||C|| = 0/1 quantum queries are needed, where ||C|| = 0/1 denotes operator norm (largest singular value) and "o" denotes entrywise product of matrices. Let

$$ADV(C) = \max_{\Gamma \ge 0} \frac{\|\Gamma\|}{\max_{i \in [N]} \|\Gamma \circ D_i\|}$$

denote the best-possible lower bound on Q(C) that can be achieved this way.

The key to our classical simulation is the next lemma. It shows that if Q(C) (and hence ADV(C)) is small, then there is a query that splits the concept class in a "mildly balanced" way.

**Lemma 8** Let  $C \subseteq \{0,1\}^N$  be a concept class and  $ADV(C) = \max_{\Gamma \geq 0} \|\Gamma\|/\max_{i \in [N]} \|\Gamma \circ D_i\|$  be the nonnegative adversary bound for the exact learning problem corresponding to C. Let  $\mu$  be a distribution on C such that  $\max_{c \in C} \mu(c) \leq 5/6$ . Then there exists an  $i \in [N]$  such that

$$\min(\mu(C_i = 0), \mu(C_i = 1)) \ge \frac{1}{36\text{ADV}(C)^2}.$$

**Proof.** Define unit vector  $v \in \mathbb{R}_+^{|\mathcal{C}|}$  by  $v_c = \sqrt{\mu(c)}$ , and adversary matrix

$$\Gamma = vv^* - \operatorname{diag}(\mu),$$

where  $\operatorname{diag}(\mu)$  is the diagonal matrix that has the entries of  $\mu$  on its diagonal. This  $\Gamma$  is a nonnegative matrix with 0 diagonal (and hence a valid adversary matrix for the exact learning problem), and  $\|\Gamma\| \ge \|vv^*\| - \|\operatorname{diag}(\mu)\| \ge 1 - 5/6 = 1/6$ . Abbreviate  $A = \operatorname{ADV}(\mathcal{C})$ . By definition of A, we have for this particular  $\Gamma$ 

$$A \ge \frac{\parallel \Gamma \parallel}{\max_{i} \parallel \Gamma \circ D_{i} \parallel} \ge \frac{1}{6 \max_{i} \parallel \Gamma \circ D_{i} \parallel'}$$

hence there exists an  $i \in [N]$  such that  $\|\Gamma \circ D_i\| \ge \frac{1}{6A}$ . We can write  $v = \begin{pmatrix} v_0 \\ v_1 \end{pmatrix}$  where the entries of  $v_0$  are the ones where  $C_i = 0$ , and the entries of  $v_1$  are the ones where  $C_i = 1$ . Then

$$\Gamma = \begin{pmatrix} v_0 v_0^* & v_0 v_1^* \\ v_1 v_0^* & v_1 v_1^* \end{pmatrix} - \operatorname{diag}(\mu) \quad \text{and} \quad \Gamma \circ D_i = \begin{pmatrix} 0 & v_0 v_1^* \\ v_1 v_0^* & 0 \end{pmatrix}.$$

<sup>&</sup>lt;sup>8</sup>The bracket-notation [P] denotes the truth-value of proposition P.

It is easy to see that  $\|\Gamma \circ D_i\| = \|v_0\| \cdot \|v_1\|$ . Hence

$$\frac{1}{36A^2} \le \| \Gamma \circ D_i \|^2 = \| v_0 \|^2 \| v_1 \|^2 = \mu(C_i = 0) \mu(C_i = 1) \le \min(\mu(C_i = 0), \mu(C_i = 1)),$$

where the last inequality used  $\max(\mu(C_i = 0), \mu(C_i = 1)) \le 1$ .

Note that if we query the index i given by this lemma and remove from  $\mathcal C$  the strings that are inconsistent with the query outcome, then we reduce the size of  $\mathcal C$  by a factor  $\leq 1 - \Omega(1/\mathrm{ADV}(\mathcal C)^2)$ . Repeating this  $O(\mathrm{ADV}(\mathcal C)^2\log|\mathcal C|)$  times would reduce the size of  $\mathcal C$  to 1, completing the learning task. However, we will see below that analyzing the same approach in terms of entropy gives a somewhat better upper bound on the number of queries.

**Theorem 9** Let  $C \subseteq \{0,1\}^N$  be a concept class and  $ADV(C) = \max_{\Gamma \geq 0} \|\Gamma\|/\max_{i \in [N]} \|\Gamma \circ D_i\|$  be the nonnegative adversary bound for the exact learning problem corresponding to C. Then there exists a classical learner for C using  $O\left(\frac{ADV(C)^2}{\log ADV(C)}\log |C|\right)$  membership queries that identifies the target concept with probability  $\geq 2/3$ .

**Proof.** Fix an arbitrary distribution  $\mu$  on  $\mathcal{C}$ . We will construct a deterministic classical learner for  $\mathcal{C}$  with success probability  $\geq 2/3$  under  $\mu$ . Since we can do this for every  $\mu$ , the "Yao principle" [Yao77] then implies the existence of a randomized learner that has success probability  $\geq 2/3$  for every  $c \in \mathcal{C}$ .

Consider the following algorithm, whose input is an *N*-bit random variable  $C \sim \mu$ :

- 1. Choose an *i* that maximizes  $H(C_i)$  and query that *i*.
- 2. Update C and  $\mu$  by restricting to the concepts that are consistent with the query outcome.
- 3. Goto 1.

The queried indices are themselves random variables, and we denote them by  $I_1, I_2,...$  We can think of t steps of this algorithm as generating a binary tree of depth t, where the different paths correspond to the different queries made and their binary outcomes.

Let  $P_t$  be the probability that, after t queries, our algorithm has reduced  $\mu$  to a distribution that has weight  $\geq 5/6$  on one particular c:

$$P_{t} = \sum_{i_{1},...,i_{t} \in [N], b \in \{0,1\}^{t}} \Pr[I_{1} = i_{1},...,I_{t} = i_{t},C_{i_{1}}...C_{i_{t}} = b] \cdot \left[\exists c \in \mathcal{C} \text{ s.t. } \mu(c \mid C_{i_{1}}...C_{i_{t}} = b) \geq 5/6\right].$$

Because restricting  $\mu$  to a subset  $\mathcal{C}' \subseteq \mathcal{C}$  cannot decrease probabilities of individual  $c \in \mathcal{C}'$ , this probability  $P_t$  is non-decreasing in t. Because N queries give us the target concept completely, we have  $P_N = 1$ . Let T be the smallest integer t for which  $P_t \geq 5/6$ . We will run our algorithm for T queries, and then output the c with highest probability under the restricted version of  $\mu$  we now have. With  $\mu$ -probability at least 5/6, that c will have probability at least 5/6 (under  $\mu$  conditioned on the query-results). The overall error probability under  $\mu$  is therefore  $\leq 1/6 + 1/6 = 1/3$ .

It remains to upper bound *T*. To this end, define the following "energy function" in terms of conditional entropy:

$$E_t = H(C \mid C_{I_1}, \dots, C_{I_t}) = \sum_{i_1, \dots, i_t \in [N], b \in \{0,1\}^t} \Pr[I_1 = i_1, \dots, I_t = i_t, C_{i_1} \dots C_{i_t} = b] \cdot H(C \mid C_{i_1} \dots C_{i_t} = b).$$

<sup>&</sup>lt;sup>9</sup>If there are several maximizing i's, then choose the smallest i to make the algorithm deterministic.

Because conditioning on a random variable cannot increase entropy,  $E_t$  is non-increasing in t. We will show below that as long as  $P_t < 5/6$ , the energy shrinks significantly with each new query.

Let  $C_{i_1} \dots C_{i_t} = b$  be such that there is no  $c \in \mathcal{C}$  s.t.  $\mu(c \mid C_{i_1} \dots C_{i_t} = b) \geq 5/6$  (note that this event happens in our algorithm with  $\mu$ -probability  $1 - P_t$ ). Let  $\mu'$  be  $\mu$  restricted to the class  $\mathcal{C}'$  of concepts c where  $c_{i_1} \dots c_{i_t} = b$ . The nonnegative adversary bound for this restricted concept class is  $A' = \text{ADV}(\mathcal{C}') \leq \text{ADV}(\mathcal{C}) = A$ . Applying Lemma 8 to  $\mu'$ , there is an  $i_{t+1} \in [N]$  with  $p := \min(\mu'(C_{i_{t+1}} = 0), \mu'(C_{i_{t+1}} = 1)) \geq \frac{1}{36A'^2} \geq \frac{1}{36A^2}$ . Note that  $H(p) \geq \Omega(\log(A)/A^2)$ . Hence

$$H(C \mid C_{i_1} \dots C_{i_t} = b) - H(C \mid C_{i_1} \dots C_{i_t} = b, C_{i_{t+1}}) = H(C_{i_{t+1}} \mid C_{i_1} \dots C_{i_t} = b) \ge \Omega(\log(A)/A^2).$$

This implies  $E_t - E_{t+1} \ge (1 - P_t) \cdot \Omega(\log(A)/A^2)$ . In particular, as long as  $P_t < 5/6$ , the (t+1)st query shrinks  $E_t$  by at least  $\frac{1}{6}\Omega(\log(A)/A^2) = \Omega(\log(A)/A^2)$ . Since  $E_0 = H(C) \le \log|\mathcal{C}|$  and  $E_t$  cannot shrink below 0, there can be at most  $O\left(\frac{A^2}{\log A}\log|\mathcal{C}|\right)$  queries before  $P_t$  grows to  $\ge 5/6$ .

Since ADV( $\mathcal{C}$ ) lower bounds  $Q(\mathcal{C})$ , Theorem 9 implies the bound  $R(\mathcal{C}) \leq O\left(\frac{Q(\mathcal{C})^2}{\log Q(\mathcal{C})}\log |\mathcal{C}|\right)$  claimed in our introduction. Note that this bound is tight up to a constant factor for the class of N-bit point functions, where  $A = \Theta(\sqrt{N})$ ,  $|\mathcal{C}| = N$ , and  $R(\mathcal{C}) = \Theta(N)$  classical queries are necessary and sufficient.

#### 5 Future work

Neither of our two results is tight. As direction for future work, let us state two conjectures, one for each model:

- k-Fourier-sparse functions can be learned from  $O(k \cdot \text{polylog}(k))$  uniform quantum examples. In particular, can we prove Conjecture 1?
- For all concept classes C of Boolean-valued functions on a domain of size N we have:  $R(C) = O(Q(C)^2 + Q(C)\log N)$ .

### References

- [AAD+15] J. Adcock, E. Allen, M. Day, S. Frick, J. Hinchliff, M. Johnson, S. Morley-Short, S. Pallister, A. Price, and S. Stanisic. Advances in quantum machine learning, 9 Dec 2015. arXiv:1512.02900. 1
- [Amb02] A. Ambainis. Quantum lower bounds by quantum arguments. *Journal of Computer and System Sciences*, 64(4):750–767, 2002. Earlier version in STOC'00. quant-ph/0002066. 4, 13
- [AS09] A. Atıcı and R. Servedio. Quantum algorithms for learning and testing juntas. *Quantum Information Processing*, 6(5):323–348, 2009. arXiv:0707.3479. 2
- [AW17a] S. Arunachalam and R. de Wolf. Guest column: A survey of quantum learning theory. SIGACT News, 48(2):41–67, 2017. arXiv:1701.06806. 1, 2, 4
- [AW17b] S. Arunachalam and R. de Wolf. Optimal quantum sample complexity of learning algorithms. In 32nd Computational Complexity Conference, CCC 2017, pages 25:1–25:31, 2017. arXiv:1607.00932. 2, 11, 12

- [BJ99] N. H. Bshouty and J. C. Jackson. Learning DNF over the uniform distribution using a quantum example oracle. *SIAM Journal on Computing*, 28(3):1136–1153, 1999. Earlier version in COLT'95. 2
- [Bou14] J. Bourgain. An improved estimate in the restricted isometry problem. In *Geometric Aspects of Functional Analysis*, volume 2116 of *Lecture Notes in Mathematics*, pages 65–70. Springer, 2014. 3
- [BSS03] H. Barnum, M. Saks, and M. Szegedy. Quantum query complexity and semi-definite programming. In *Proceedings of 18th IEEE Conference on Computational Complexity*, pages 179–193, 2003. 4, 13
- [BV97] E. Bernstein and U. Vazirani. Quantum complexity theory. SIAM Journal on Computing, 26(5):1411–1473, 1997. Earlier version in STOC'93. 2
- [BWP<sup>+</sup>17] J. Biamonte, P. Wittek, N. Pancotti, P. Rebentrost, N. Wiebe, and S. Lloyd. Quantum machine learning. *Nature*, 549(7671), 2017. arXiv:1611.09347. 1
- [CGV13] M. Cheraghchi, V. Guruswami, and A. Velingker. Restricted isometry of Fourier matrices and list decodability of random linear codes. SIAM Journal on Computing, 42(5):1888–1914, 2013. 3
- [Cha02] M. C. Chang. A polynomial bound in Freimans theorem. *Duke Mathematics Journal*, 113(3):399–419, 2002. 9
- [CT91] T. M. Cover and J. A. Thomas. Elements of Information Theory. Wiley, 1991. 6
- [CT06] E. J. Candés and T. Tao. Near-optimal signal recovery from random projections: Universal encoding strategies? *IEEE Transactions on Information Theory*, 52(12):5406–5425, 2006. 3
- [DB17] V. Dunjko and H. Briegel. Machine learning & artificial intelligence in the quantum domain, 8 Sep 2017. arXiv:1709.02779. 1
- [GOS<sup>+</sup>11] P. Gopalan, R. O'Donnell, R. A. Servedio, A. Shpilka, and K. Wimmer. Testing Fourier dimensionality and sparsity. *SIAM Journal on Computing*, 40(4):1075–1100, 2011. Earlier version in ICALP'09. 9
- [Gro96] L. K. Grover. A fast quantum mechanical algorithm for database search. In *Proceedings* of 28th ACM STOC, pages 212–219, 1996. quant-ph/9605043. 1
- [HHL09] A. Harrow, A. Hassidim, and S. Lloyd. Quantum algorithm for solving linear systems of equations. *Physical Review Letters*, 103(15):150502, 2009. arXiv:0811.3171. 1
- [HIKP12] H. Hassanieh, P. Indyk, D. Katabi, and E. Price. Nearly optimal sparse Fourier transform. In *Proceedings of 44th ACM STOC*, pages 563–578, 2012. 3
- [HR16] I. Haviv and O. Regev. The list-decoding size of Fourier-sparse Boolean functions. *ACM Transactions on Computation Theory*, 8(3):10:1–10:14, 2016. Earlier version in CCC'15. arXiv:1504.01649. 3, 6, 11
- [IK14] P. Indyk and M. Kapralov. Sample-optimal Fourier sampling in any constant dimension. In *Proceedings of 55th IEEE FOCS*, pages 514–523, 2014. 3

- [IMR14] R. Impagliazzo, C. Moore, and A. Russell. An entropic proof of Chang's inequality. *SIAM Journal of Discrete Mathematics*, 28(1):173–176, 2014. arXiv:1205.0263. 9
- [MOS04] E. Mossel, R. O'Donnell, and R. Servedio. Learning functions of *k* relevant variables. *Journal of Computer and System Sciences*, 69(3):421–434, 2004. Earlier version in STOC'03. 2
- [NC00] M. A. Nielsen and I. L. Chuang. *Quantum Computation and Quantum Information*. Cambridge University Press, 2000. 6
- [O'D14] R. O'Donnell. Analysis of Boolean Functions. Cambridge University Press, 2014. 5
- [RV08] M. Rudelson and R. Vershynin. On sparse reconstruction from Fourier and Gaussian measurements. *Communications on Pure and Applied Mathematics*, 61(8):1025–1045, 2008. 3
- [San15] S. Sanyal. Near-optimal upper bound on Fourier dimension of Boolean functions in terms of Fourier sparsity. In *Proceedings of 42nd ICALP*, pages 1035–1045, 2015. 5
- [SG04] R. Servedio and S. Gortler. Equivalences and separations between quantum and classical learnability. *SIAM Journal on Computing*, 33(5):1067–1092, 2004. Combines earlier papers from ICALP'01 and CCC'01. quant-ph/0007036. 4
- [ŠS05] R. Špalek and M. Szegedy. All quantum adversary methods are equivalent. In *Proceedings of 32nd ICALP*, volume 3580 of *Lecture Notes in Computer Science*, pages 1299–1311, 2005. quant-ph/0409116. 4, 13
- [SSP15] M. Schuld, I. Sinayskiy, and F. Petruccione. An introduction to quantum machine learning. *Contemporary Physics*, 56(2):172–185, 2015. arXiv:1409.3097. 1
- [Val84] L. Valiant. A theory of the learnable. *Communications of the ACM*, 27(11):1134–1142, 1984. 2
- [Ver90] K. A. Verbeurgt. Learning DNF under the uniform distribution in quasi-polynomial time. In *Proceedings of 3rd Annual Workshop on Computational Learning Theory* (COLT'90), pages 314–326, 1990. 2
- [Wit14] P. Wittek. Quantum Machine Learning: What Quantum Computing Means to Data Mining. Elsevier, 2014. 1
- [Wol08] R. de Wolf. A brief introduction to Fourier analysis on the Boolean cube. *Theory of Computing*, 2008. ToC Library, Graduate Surveys 1. 5
- [Yao77] A. C-C. Yao. Probabilistic computations: Toward a unified measure of complexity. In *Proceedings of 18th IEEE FOCS*, pages 222–227, 1977. 14