

# Quantentheorie II Übung 4

Besprechung: 2021WE19 (KW19)

SS 2021

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## 1. Questions

- (a) How can you obtain the Dirac Hamiltonian from the Dirac equation?
- (b) How can you make the Dirac equation invariant under a local transformation  $\psi(x) \rightarrow e^{-i\alpha(x)}\psi(x)$ ?
- (c) What is the covariant derivative  $D^\mu$  for the Dirac equation with the electromagnetic fields?
- (d) What are the terms appearing in the nonrelativistic Dirac Hamiltonian with nonzero electromagnetic fields up to the order of  $\mathcal{O}(\frac{1}{m})$ ?

2. **Gauge invariance and charge conjugation:** for a given real potential  $A_\mu(x)$  the Dirac equation of an electron with its charge  $q = -e$  satisfies

$$(i\cancel{\partial} + e\cancel{A}(x) - m)\psi(x) = 0.$$

- (a) We now consider a transformation of the electron spinor field  $\psi$  where  $\psi'(x) = e^{-ie\alpha(x)}\psi(x)$ . Find out how the potential  $A_\mu(x)$  should transform

$$A(x) \rightarrow A'_\mu(x) = A_\mu(x) + ? ,$$

in order that the Dirac equation is invariant under this transformation, which means

$$(i\cancel{\partial} + e\cancel{A}'(x) - m)\psi'(x) = 0.$$

- (b) The corresponding Dirac equation of a positron should be obtained when we replace  $q = -e$  with  $q = +e$  and  $\psi(x)$  with its charge conjugation spinor  $\psi_c = C\bar{\psi}^T$ . Find out the equation to determine the charge conjugation matrix  $C$ .

3. **Relativistic hydrogen atom (spinless):** we can discuss the spinless relativistic hydrogen atom with the Schrödinger equation in the spherical coordinate system,

$$(\Delta + 2M\frac{\alpha}{r} + 2ME_S)\psi_S(\vec{r}) = 0, \quad \Delta = \partial_r^2 + \frac{2}{r}\partial_r - \frac{\hat{L}^2}{r^2},$$

where  $\hat{L}^2\psi_S = l(l+1)\psi_S$ .

- (a) Write down the Klein-Gordon equation for a particle in the Coulomb potential.
- (b) Use the Ansatz

$$\psi_K(x^\mu) = e^{-i\omega t}\phi_K(\vec{r}),$$

and bring the Klein-Gordon equation into the form

$$\left(\Delta - M^2 + \left(\frac{\alpha}{r} + E_K\right)^2\right)\phi_K(\vec{r}) = 0.$$

- (c) Which substitutions are required to rewrite the Klein-Gordon equation into the Schrödinger equation?
- (d) What are the energy eigenvalues of the Klein-Gordon equation? Compare the results with the Schrödinger equation up to the order of  $\mathcal{O}(\alpha^4)$ . You can use the known results of the energy eigenvalues of the Schrödinger equation.
4. **Dirac Hamiltonian in nonrelativistic limits:** an electron is moving in a homogeneous electric fields  $\vec{E} = \mathcal{E}\hat{z}$ . The magnetic field vanishes, and we ignore the movement along the  $z$ -axis. The corresponding Dirac equation, rewritten for an energy eigenstate, is  $H_D\psi(\vec{x}) = E\psi(\vec{x})$  where  $\vec{x} = (x, y, 0)$  as we ignore the movement along the  $z$ -axis, and the Dirac Hamiltonian expanded up to the order of  $\mathcal{O}(\frac{1}{m^3})$  is

$$H_D = \frac{\vec{p}^2}{2m} - \frac{\vec{p}^4}{8m^3} - \frac{e}{4m^2}\vec{E} \cdot (\vec{\sigma} \times \vec{p}).$$

- (a) Solve the equation using the Ansatz

$$\psi(\vec{x}) = \begin{pmatrix} u(k_x, k_y) \\ v(k_x, k_y) \end{pmatrix} e^{i\vec{k} \cdot \vec{x}}.$$

- (b) An electron is moving along the  $x$ -axis. Sketch the dispersion  $E(k_x)$  which you obtained above along the  $x$ -axis. Assume that the  $p^4$  correction term is negligible.
- (c) Calculate the expectation value of the spin operators  $\vec{s} = \frac{\vec{\sigma}}{2}$ . What is the relative orientation of the spin and the momentum?

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a)  $i\partial_0 \psi = H_D \psi \rightarrow$  via multiplication mit  $\gamma^0$

b)  $\psi' \rightarrow e^{-i\alpha(x)} \psi$

c)  $(i\not{\partial} - m)\psi = 0 \rightarrow i\gamma^\mu (\partial_\mu - i\partial_\mu \alpha(x)) \psi e^{-i\alpha(x)} = 0$

$$\partial_\mu \rightarrow D_\mu = \partial_\mu - iA_\mu$$

minimale Kopplung

d)  $H = -e\vec{\sigma} \cdot \vec{B} + \frac{(\vec{p} + e\vec{A})^2}{2m} + \frac{e}{2m} \vec{\sigma} \cdot \vec{B} + \mathcal{O}(\frac{1}{m^2})$

4. **Dirac Hamiltonian in nonrelativistic limits:** an electron is moving in a homogeneous electric fields  $\vec{E} = \mathcal{E} \hat{z}$ . The magnetic field vanishes, and we ignore the movement along the  $z$ -axis. The corresponding Dirac equation, rewritten for an energy eigenstate, is  $H_D \psi(\vec{x}) = E \psi(\vec{x})$  where  $\vec{x} = (x, y, 0)$  as we ignore the movement along the  $z$ -axis, and the Dirac Hamiltonian expanded up to the order of  $\mathcal{O}(\frac{1}{m^3})$  is

$$H_D = \underbrace{\frac{\vec{p}^2}{2m}}_{\text{Kin Energy}} - \frac{\vec{p}^4}{8m^3} - \frac{e}{4m^2} \underbrace{\vec{E} \cdot (\vec{\sigma} \times \vec{p})}_{\text{Spin}}$$

$$\vec{k} = k_x \hat{x} + k_y \hat{y}$$

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$$E = \mathcal{E} \hat{z}$$

$$[\vec{\sigma} \cdot \vec{p}, \vec{\sigma} \cdot \vec{E}] = 2 \vec{\sigma} \cdot (\vec{E} \times \vec{p})$$

$$\vec{p} = -i\partial_x$$

$$a) \quad \vec{E} \cdot (\vec{\sigma} \times \vec{p}) \psi = \vec{E} \cdot (\vec{\sigma} \times \vec{k}) \psi = \mathcal{E} (\sigma_x k_y - \sigma_y k_x) \psi$$

$$\vec{p}^2 \psi = \vec{k}^2 \psi$$

$$\Rightarrow H_D \psi = \underbrace{\frac{\vec{k}^2}{2m} - \frac{\vec{k}^4}{8m^3}}_{\equiv E_K} - \frac{e}{4m^2} \mathcal{E} \begin{pmatrix} 0 & k_y + i k_x \\ k_y - i k_x & 0 \end{pmatrix} \psi = E \psi$$

$$\equiv E_K$$

$$\pi_K = -\frac{e}{4m^2} \mathcal{E} (k_y + i k_x)$$

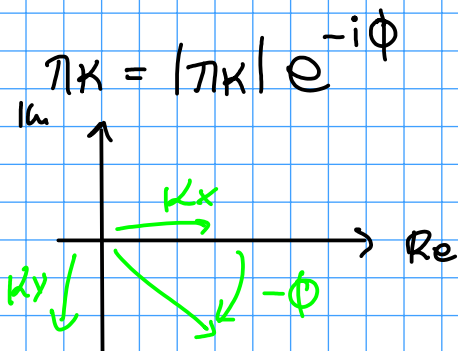
$$\Rightarrow |\pi_K| = \left| \frac{e}{4m^2} \mathcal{E} \right| \cdot |\vec{k}|$$

$$\begin{pmatrix} E_K & \pi_K \\ \pi_K^* & E_K \end{pmatrix} \begin{pmatrix} u(k_x, k_y) \\ v(k_x, k_y) \end{pmatrix} = E_K \begin{pmatrix} u \\ v \end{pmatrix} \quad \leftarrow \text{(\#)}$$

$$E_K = E_K \pm |\pi_K|$$

$$\text{(\#)} \Rightarrow E_K u + \pi_K v = (E_K \pm |\pi_K|) u$$

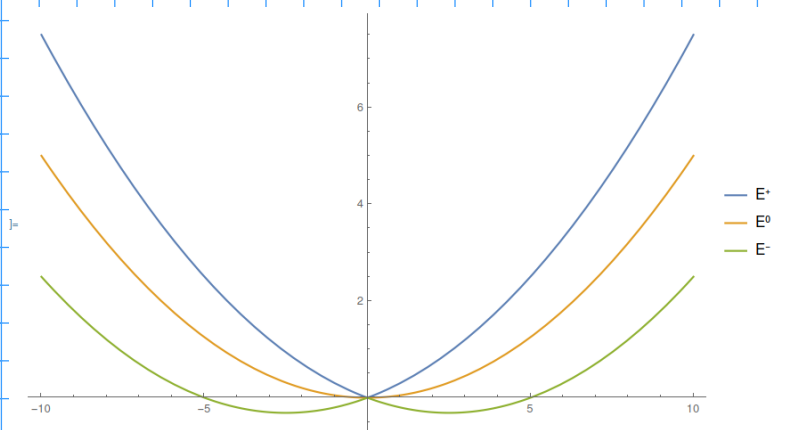
$$\Rightarrow u_K = \pm \frac{\pi_K}{|\pi_K|} v_K = \left( \pm i \operatorname{sign}(\mathcal{E}) e^{-i\phi} \right) v_K$$



$$\pi_K \sim k_y + i k_x$$

$$\Rightarrow -i(k_y + i k_x) = k_x - i k_y$$

$$b) E_k^\pm = \underbrace{\frac{k^2}{2m}}_{\sim E_k} \pm \underbrace{\frac{e|\epsilon|}{4m^2}}_{(\pi k)} |\vec{k}|$$



$$c) \vec{S} = \frac{\hbar}{2} \quad \langle S \rangle_\pm = \int dx dy \psi^\dagger \left( \frac{\vec{S}}{2} \right) \psi$$

$$\psi_k^\pm = N \begin{pmatrix} \mp i \operatorname{sgn}(\epsilon) e^{-i\phi} \\ 1 \end{pmatrix} e^{i\vec{k}\vec{r}}$$

$$\langle S^x \rangle_\pm = \int d^2r (\psi_k^\pm)^\dagger S^x (\psi_k^\pm)$$

$$= \underbrace{\int d^2r}_{\rightarrow A^2} N^2 \begin{pmatrix} \pm i \operatorname{sgn}(\epsilon) e^{i\phi} & 1 \end{pmatrix} \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \mp i \operatorname{sgn}(\epsilon) e^{-i\phi} \\ 1 \end{pmatrix}$$

$$= N^2 A \frac{1}{2} \left( \pm i \operatorname{sgn}(\epsilon) (e^{i\phi} - e^{-i\phi}) \right)$$

$$= N^2 A \left( \mp \operatorname{sgn}(\epsilon) \right) \underbrace{\sin \phi}_{\frac{k_y}{|\vec{k}|}}$$

$$\pi_k \sim k_x - i k_y$$

$$\pi_k = |\pi_k| e^{-i\phi}$$

$$= |\pi_k| (\cos \phi - i \sin \phi)$$

$$\langle S^y \rangle_\pm = N^2 A (\pm \operatorname{sgn}(\epsilon)) \underbrace{\cos \phi}_{\frac{k_x}{|\vec{k}|}}$$

$$\langle S^z \rangle_\pm = N^2 A (e^{i\phi} e^{-i\phi} - 1) = 0$$

$$\rightarrow \langle \vec{S} \rangle_\pm = N^2 A (\pm \operatorname{sgn}(\epsilon)) \cdot \left( \hat{e}_z \times \frac{\vec{k}}{|\vec{k}|} \right)$$

$\Rightarrow$  Spin  $\perp$  Field, Impuls

$$\begin{pmatrix} -y \\ x \\ 0 \end{pmatrix}$$

$$\vec{E} = \epsilon \hat{e}_z$$

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$$C = \gamma^2 \gamma^0$$

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$$b) \quad \bar{\psi}^T = (\psi^\dagger \gamma^0)^T = \gamma^{0T} \psi^* = \gamma^0 \psi^* \Rightarrow \psi^* = \gamma^0 \bar{\psi}^T$$

$$\begin{aligned} & ((i\not{\partial} + e\not{A} - m)\psi = 0)^* \\ & = (-i\gamma^{\mu*} \partial_\mu + e\gamma^{\mu*} A_\mu - m) \psi^* = 0 \end{aligned}$$

$$(\gamma^\mu)^* = \gamma^\mu \quad \text{außer } (\gamma^2)^* = -\gamma^2$$

$$\gamma^{\mu*} = \gamma^2 \gamma^\mu \gamma^2 \quad (\gamma^0)^2 = 1 \quad (\gamma^i)^2 = -1$$

$$(-i\gamma^2 \gamma^\mu \gamma^2 \partial_\mu + e\gamma^2 \gamma^\mu \gamma^2 A_\mu + m\gamma^2 \gamma^2) \gamma^0 \bar{\psi}^T = 0$$

$$\gamma^2 (-i\gamma^\mu \partial_\mu + e\gamma^\mu A_\mu + m) \gamma^2 \gamma^0 \bar{\psi}^T = 0$$

$$\gamma^2 (i\not{\partial} - e\not{A} - m) \gamma^2 \gamma^0 \bar{\psi}^T = 0$$

$$\Rightarrow \psi_c = C \bar{\psi}^T \Rightarrow \underline{\underline{C = \gamma^2 \gamma^0}}$$

$$a) \quad (i\not{\partial} + e\not{A} - m)\psi = 0$$

$$\psi' = e^{-i\alpha(x)} \psi \quad A'_\mu(x) = A_\mu(x) + \Delta_\mu(x)$$

$$(i\not{\partial} + e(\not{A} + \not{\Delta}) - m)\psi' = 0$$

$$\begin{aligned} & -i\gamma^\mu \partial_\mu (e^{-i\alpha(x)} \psi(x)) + e\gamma^\mu A_\mu e^{-i\alpha(x)} \psi + e\gamma^\mu \Delta_\mu(x) e^{-i\alpha(x)} \psi \\ & - m e^{-i\alpha(x)} \psi = 0 \end{aligned}$$

$$\begin{aligned}
 &= i\gamma^\mu \left( (-ie \partial_\mu \alpha(x)) e^{-i\alpha(x)} \psi + e^{-i\alpha(x)} e \partial_\mu \psi \right) \\
 &= e^{-i\alpha(x)} e \left( \underbrace{i \cancel{\not{D}} \psi + e \cancel{A} \psi - m \psi}_{\text{Dirac Gl. for } \psi = 0} + e \cancel{A} + e \not{D} \alpha(x) \right) \stackrel{!}{=} 0
 \end{aligned}$$

$$\Rightarrow e \cancel{A} + e \not{D} \alpha(x) = 0$$

$$\Rightarrow \Delta_\mu(x) = -\partial_\mu \alpha(x)$$

$$\begin{aligned}
 \Rightarrow A'_\mu &= A_\mu + \Delta_\mu \\
 &= A_\mu - \partial_\mu \alpha(x)
 \end{aligned}$$

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a) + b)  $\rightarrow$  P1a

c)

	KG	SG
$\frac{1}{r^2}$	$a = \hat{L}^2 - \alpha^2$ $= \tilde{l}(\tilde{l}+1)$	$a = \hat{L}^2 = l(l+1)$

$\frac{1}{r}$	$b = 2E_K \alpha$	$b = 2M\alpha$
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$r^0$	$c = E_K^2 - M^2$	$c = 2ME_S$
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d) SG: Wasserstoff  $E_n = -\frac{M\alpha^2}{2n^2}$   $\nwarrow E_S = E_n$

(SG)  $\frac{E_n}{\alpha} = -\frac{M\alpha}{2n^2} = \frac{c}{b} = -\frac{b}{4n^2} \Rightarrow c = \underline{\underline{-\frac{b^2}{4n^2}}}$

(KG)  $c = \underline{\underline{-\frac{b^2}{4\tilde{n}^2}}}$  !  $\Rightarrow E_K^2 - M^2 = -\frac{\cancel{E_K^2}\alpha^2}{\cancel{\tilde{n}^2}}$

$$E_K^2 \left(1 + \frac{\alpha^2}{\tilde{n}^2}\right) = M^2 \Rightarrow E_K^2 = M^2 \left(1 + \frac{\alpha^2}{\tilde{n}^2}\right)^{-1}$$



$$\text{KG: } \hat{L}(\hat{L}+1) = \hat{L}^2 - \alpha^2 \quad \rightarrow \quad \tilde{L}(\tilde{L}+1) = L(L+1) - \alpha^2$$

$$\text{SG: } L(L+1) = \hat{L}^2$$

$$\text{Ansatz: } \tilde{L} = L + \delta$$

$$(L + \delta)(L + \delta + 1) \stackrel{!}{=} L(L+1) - \alpha^2$$

$$\delta^2 + 2(L + \frac{1}{2})\delta = \alpha^2$$

$$\rightarrow \delta = -\left(L + \frac{1}{2}\right) \pm \sqrt{\left(L + \frac{1}{2}\right)^2 - \alpha^2} \quad (\#)$$

$$\text{Ansatz: } \hat{N} = \tilde{L} + n' \quad \leftarrow n = N + L + 1 \text{ (bekannt)}$$

$$n = L + n'$$

$$\hat{N}^2 = (\tilde{L} + n')^2 = (L + \delta + n')^2$$

$$\stackrel{(\#)}{=} \left(n - \left(L + \frac{1}{2}\right) + \sqrt{\left(L + \frac{1}{2}\right)^2 - \alpha^2}\right)^2$$

$$\tilde{N} = \tilde{N}(n, L)$$

$$\tilde{N}^2 = \left(n - \left(L + \frac{1}{2}\right) + \left(L + \frac{1}{2}\right) \sqrt{1 - \frac{\alpha^2}{\left(L + \frac{1}{2}\right)^2}}\right)^2$$

$$= n^2 + n - \frac{\alpha^2}{L + \frac{1}{2}} + \mathcal{O}(\alpha^3)$$

$\sqrt{1-x} \approx 1 - \frac{1}{2}x + \dots$

$$E_K^2 = M^2 \left(1 + \frac{\alpha^2}{\tilde{N}^2}\right)^{-1} = M^2 \left(1 - \frac{\alpha^2}{n^2} - \frac{\alpha^4}{n^3 \left(L + \frac{1}{2}\right)} + \frac{\alpha^4}{n^4} + \dots\right)$$

$$E_K = \sqrt{E_K^2} = M \left(1 - \frac{\alpha^2}{2n^2} + \alpha^4 \left(\frac{3}{8n^4} - \frac{1}{2n^3 \left(L + \frac{1}{2}\right)}\right) + \mathcal{O}(\alpha^6)\right)$$

Ruheenergie  $E = Mc^2$

normaler (nichtrel, SGL) Wasserstoff

rel. Korrekturen

SGL- $H^+$ :  $E_n$  hängen nicht von  $L$  ab  $\left(\sim \frac{1}{r}\right)$

$\Rightarrow$  hier nicht mehr!

3.) SGe:  $(\Delta + 2M \frac{\alpha}{r} + 2M E_S) \psi_S(\vec{r}) = 0$   $\Delta = \partial_r^2 + \frac{2}{r} \partial_r - \frac{\hat{L}^2}{r^2}$  (mit  $\hat{L}^2 \psi_S = l(l+1) \psi_S$ )

a) KGe für Coulomb potential

$[(\partial^\mu + iq A^\mu)(\partial_\mu + iq A_\mu) + M^2] \psi = 0$

wir betrachten ein Elektron, d.h.  $q = -e$   
daß man setzen

für Coulomb Potential

$\vec{A} = 0$

b)  $[\partial^\mu \partial_\mu + iq \partial^\mu A_\mu + iq A^\mu \partial_\mu + M^2 - q^2 A^\mu A_\mu] \psi_k = 0$

$\Leftrightarrow [\partial_t^2 - \Delta + 2iq [\partial_t \phi - \vec{\nabla} \cdot \vec{A}] - q^2 \phi^2 + q^2 A^2 + M^2] \psi_k = 0$   $q\phi = -\frac{\alpha}{r}$

$\psi_k(x^\mu) = e^{-i\omega t} \phi_k(\vec{r})$

$\Rightarrow [\partial_t^2 - \Delta - 2i\frac{\alpha}{r} \partial_t - \frac{\alpha^2}{r^2} + M^2] \cdot e^{-i\omega t} \phi_k = 0$

$\Leftrightarrow [-\omega^2 - \Delta - 2\frac{\alpha}{r} \omega - \frac{\alpha^2}{r^2} + M^2] \phi_k = 0$   $|\cdot (-1)$

$\rightarrow [+ \Delta - M^2 + \omega^2 + 2\frac{\alpha}{r} \omega + \frac{\alpha^2}{r^2}] \phi_k = 0$

$\Leftrightarrow [\Delta - M^2 (\omega + \frac{\alpha}{r})^2] \phi_k = 0$   $E_k = \omega \cdot \hbar$  ( $\hbar = 1$  macht das Leben leichter :))

$\rightarrow [\Delta - M^2 (E_k + \frac{\alpha}{r})^2] \phi_k = 0$

c)  $\Delta = \partial_r^2 + \frac{2}{r} \partial_r - \frac{\hat{L}^2}{r^2}$   $\leftarrow l(l+1)$

compare SGe

with KGe

	$\partial_r^2 + \frac{2}{r} \partial_r - \frac{\hat{L}^2}{r^2} + 2M + 2M \frac{\alpha}{r} + 2M E_S$	$\partial_r^2 + \frac{2}{r} \partial_r - \frac{\hat{L}^2}{r^2} - M^2 + \frac{\alpha^2}{r^2} + 2E_k \frac{\alpha}{r} + E_k^2$
$r^0$	$\partial_r^2 + \underline{2ME_S}$	$\partial_r^2 - \underline{M^2 + E_k^2}$
$r^{-1}$	$\frac{2}{r} \partial_r + \underline{2M \frac{\alpha}{r}}$	$\frac{2}{r} \partial_r + \underline{2E_k \frac{\alpha}{r}}$
$r^{-2}$	$\underline{-\frac{l(l+1)}{r^2}}$ wenn man $q\phi = -e\phi = -\frac{\alpha}{r} \equiv V_c = -\frac{1}{4\pi\epsilon_0} \frac{Ze^2}{r}$ fordert, dann ist $\alpha = \frac{Ze^2}{4\pi}$ , $\epsilon_0 = 1$ (hier $Z=1$ ) $E_n = -\frac{M\alpha^2}{2n^2}$ Kopplungskonstante bekannt aus Kern- & Teilchenphysik!	$\underline{-\frac{l(l+1)}{r^2} + \frac{\alpha^2}{r^2}}$

d)  $E_{S,n} = \frac{-e^4 M}{2(4\pi)} \cdot \frac{1}{n^2} \Leftrightarrow 2ME_{S,n} = \frac{-e^4 M^2}{4\pi} \cdot \frac{1}{(n+l+1)^2} \rightarrow E_k^2 - M^2 = -\frac{E_k^2 \cdot e^4}{4\pi} \cdot \frac{1}{(Z)^2}$