

Quantentheorie II Übung 9

– Sample solutions –

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2. **Linear chain:** $L = \sum_{n=1}^N \left(\frac{\dot{q}_n^2}{2} - \frac{\kappa}{2} (q_{n+1} - q_n)^2 \right)$.

(a) Euler-Lagrange equation: $\frac{\partial}{\partial t} \frac{\partial L}{\partial \dot{q}} - \frac{\partial L}{\partial q} = 0$. We focus on the terms of the n -th oscillator

$$\begin{aligned} L &= \frac{\dot{q}_1^2}{2} - \frac{\kappa}{2} (q_2 - q_1)^2 + \dots \\ &+ \frac{\dot{q}_{n-1}^2}{2} - \frac{\kappa}{2} (q_n - q_{n-1})^2 + \frac{\dot{q}_n^2}{2} - \frac{\kappa}{2} (q_{n+1} - q_n)^2 \\ &+ \dots + \frac{\dot{q}_N^2}{2} - \frac{\kappa}{2} (q_1 - q_N)^2, \end{aligned} \quad (1)$$

from which we calculate

$$\frac{\partial}{\partial t} \frac{\partial L}{\partial \dot{q}_n} = \ddot{q}_n, \quad (2)$$

$$\frac{\partial L}{\partial q_n} = \kappa (q_{n-1} - 2q_n + q_{n+1}), \quad (3)$$

$$\implies \frac{\partial}{\partial t} \frac{\partial L}{\partial \dot{q}_n} - \frac{\partial L}{\partial q_n} = \ddot{q}_n - \kappa (q_{n-1} - 2q_n + q_{n+1}), \quad (4)$$

$$\therefore \frac{d^2}{dt^2} q_n = \kappa (q_{n-1} - 2q_n + q_{n+1}). \quad (5)$$

(b) We apply the periodic boundary condition $q_{N+n} = q_n$ to the Ansatz $q_n(t) = e^{\pm i(\omega_k t - kan)}$ and obtain

$$\begin{aligned} e^{i\omega_k t - ika(N+1)} &= e^{i\omega_k t - ika} e^{-ikaN} \\ &= e^{i\omega_k t - ika} \iff (q_{N+1} = q_1), \\ \therefore e^{-ikaN} &= 1 = e^{-2\pi i l}, \text{ where } l = 1, 2, 3, \dots \\ \therefore k &= \frac{2\pi}{aN} l, \end{aligned} \quad (6)$$

$$\implies |\vec{k}|_{\min.} = \frac{2\pi}{aN} \quad (l = 1). \quad (7)$$

(c) Let $ka = 2\pi y + x$, where y is an integer and x is any number between $0 < x \leq 2\pi$.

$$\begin{aligned} q_n &= e^{-i\omega_k t - i(2\pi y + x)n} = e^{i\omega_k t - ixn} \iff e^{-2\pi i y n} = 1, \\ \therefore q_n|_{ka=x} &= q_n|_{ka=x+2\pi y} \implies \text{We can restrict } k \text{ as } 0 < ka \leq 2\pi \\ \implies -\frac{\pi}{a} &< k \leq \frac{\pi}{a}. \end{aligned} \quad (8)$$

After applying Eq.(6) to Eq.(8) we obtain

$$-\frac{\pi}{a} < \frac{2\pi}{aN}l \leq \frac{\pi}{a}, \quad (9)$$

and the range of l is

$$-\frac{N}{2} < l \leq \frac{N}{2}. \quad (10)$$

For odd N , $l_{\max} = \frac{N}{2} - \frac{1}{2}$, and the possible integer l values are $l = 0, \pm 1, \pm 2, \dots, \pm \frac{N-1}{2}$. We obtain

$$|\vec{k}|_{\max} = \frac{2\pi}{aN}m_{\max} = \frac{\pi}{aN}(N-1) = \frac{\pi}{a} - \frac{2\pi}{aN} \xrightarrow{L=aN \rightarrow \infty} \frac{\pi}{a}. \quad (11)$$

From Eq.(10) we obtain N possible different k values.

(d) Apply the Ansatz $q_n(t) = e^{i\omega_k t - ikan}$ to the Euler-Lagrange equation Eq. (5):

$$\begin{aligned} -\omega_k^2 e^{i\omega_k t - ikan} &= \kappa(e^{i\omega_k t - ikan} e^{-ika} - 2e^{i\omega_k t - ikan} + e^{i\omega_k t - ikan} e^{ika}) \\ &= \kappa(e^{-ika} + e^{ika} - 2)e^{i\omega_k t - ikan}, \\ \implies -\omega_k^2 &= 2\kappa(\cos ka - 1) = 2\kappa \sin^2 \frac{ka}{2} \end{aligned}$$

$$\therefore \text{The dispersion relation is } \omega_k = 2\sqrt{\kappa} \left| \sin \frac{ka}{2} \right|. \quad (12)$$

(e) For small k (keeping everything else constant) we simply obtain the linear dispersion relation $\omega_k = \sqrt{\frac{\kappa}{m}}|k|a$. This linear dispersion corresponds to a so called Goldstone mode.

For fixed momentum k , the question allows several different kinds of limits with different physical interpretations. A particularly interesting one is obtained by keeping the product aN constant (while $a \rightarrow 0$ and $N \rightarrow \infty$), which corresponds to constant total length of the linear chain. In this limit, $\omega_k \sim \sqrt{\kappa}|k|a$ and the speed of sound approaches $\frac{\omega_k}{k} = \frac{d\omega_k}{dk} = a\sqrt{\kappa}$.

(f) Canonical conjugate momenta p_n are

$$p_n = \frac{\partial L}{\partial \dot{q}_n} = \dot{q}_n, \quad (13)$$

and the Hamiltonian function is

$$\begin{aligned} H(q_n, p_n) &= \sum_{n=1}^N p_n \dot{q}_n - L(q_n, \dot{q}_n) = \sum_{n=1}^N p_n^2 - \sum_{n=1}^N \left(\frac{p_n^2}{2} - \frac{\kappa}{2} (q_{n+1} - q_n)^2 \right) \\ &= \sum_{n=1}^N \left(\frac{p_n^2}{2} + \frac{\kappa}{2} (q_{n+1} - q_n)^2 \right). \end{aligned} \quad (14)$$

(g) \hat{q}_n and \hat{p}_n are

$$\hat{q}_n(t) = \sum_k \sqrt{\frac{1}{2\omega_k N}} \left(\hat{a}_k e^{-i(\omega_k t - kan)} + \hat{a}_k^\dagger e^{i(\omega_k t - kan)} \right), \quad (15)$$

$$\hat{p}_n(t) = \dot{\hat{q}}_n(t) = -i \sum_k \sqrt{\frac{\omega_k}{2N}} \left(\hat{a}_k e^{-i(\omega_k t - kan)} - \hat{a}_k^\dagger e^{i(\omega_k t - kan)} \right). \quad (16)$$

The explicit calculation of the commutator $[\hat{q}_n, \hat{p}_m]$ is

$$\begin{aligned}
[\hat{q}_n, \hat{p}_m] &= \frac{-i}{2N} \sum_{k,k'} \sqrt{\frac{\omega_{k'}}{\omega_k}} \left([\hat{a}_k, \hat{a}_{k'}] e^{-i(\omega_k + \omega_{k'})t} e^{ia(kn+k'm)} \right. \\
&\quad - [\hat{a}_k^\dagger, \hat{a}_{k'}^\dagger] e^{i(\omega_k + \omega_{k'})t} e^{-ia(kn+k'm)} \\
&\quad - [\hat{a}_k, \hat{a}_{k'}^\dagger] e^{-i(\omega_k - \omega_{k'})t} e^{ia(kn-k'm)} \\
&\quad \left. + [\hat{a}_k^\dagger, \hat{a}_{k'}] e^{i(\omega_k - \omega_{k'})t} e^{ia(kn-k'm)} \right) \\
&= \frac{-i}{2N} \sum_{k,k'} \sqrt{\frac{\omega_{k'}}{\omega_k}} \left(-\delta_{k,k'} e^{-it(\omega_k - \omega_{k'})} e^{ia(kn-k'm)} - \delta_{k,k'} e^{it(\omega_k - \omega_{k'})} e^{-ia(kn-k'm)} \right) \\
&\quad \Leftarrow [\hat{a}_k, \hat{a}_{k'}^\dagger] = \delta_{k,k'}, [\hat{a}_k, \hat{a}_{k'}] = [\hat{a}_k^\dagger, \hat{a}_{k'}^\dagger] = 0 \\
&= \frac{i}{N} \sum_k \cos(ka(n-m)) \\
&= \frac{i}{N} \sum_{l=-\frac{N-1}{2}}^{\frac{N-1}{2}} \cos \frac{2\pi(n-m)}{N} l \Leftarrow ka = \frac{2\pi}{N} l \\
&= \frac{i}{N} \sum_{l=-j}^j \cos \frac{2\pi(n-m)}{N} l \Leftarrow N = 2j + 1 \\
&= \begin{cases} i, & \text{for } n = m \\ 0, & \text{for } n \neq m \Leftarrow 1 + 2 \cos x + 2 \cos 2x + \dots + 2 \cos nx = \frac{\sin((n+\frac{1}{2})x)}{\sin \frac{x}{2}} \end{cases},
\end{aligned} \tag{17}$$

$$\therefore [\hat{q}_n, \hat{p}_m] = i\delta_{nm} \tag{18}$$

(h) Verify

$$\hat{H}(\hat{q}_n, \hat{p}_n) = \sum \hat{p}_n \dot{\hat{q}}_n - L = \sum_{n=1}^N \frac{\hat{p}_n^2}{2} + \sum_{n=1}^N \frac{\kappa}{2} (\hat{q}_{n+1} - \hat{q}_n)^2 \tag{19}$$

$$\rightarrow \hat{H} = \sum_k \hat{a}_k^\dagger \hat{a}_k + \text{constant}. \tag{20}$$

From the Ansatz we have

$$\hat{q}_n = \sum_k \sqrt{\frac{1}{2N\omega_k}} (\hat{a}_k e^{-i(\omega_k t - kan)} + \hat{a}_k^\dagger e^{i(\omega_k t - kan)}) \tag{21}$$

The canonical conjugate momenta are $p_n \equiv \frac{\partial L}{\partial \dot{q}_n} = \dot{q}_n$ and the operators are

$$\hat{p}_n = \dot{\hat{q}}_n = -i \sum_k \sqrt{\frac{\omega_k}{2N}} \left(\hat{a}_k e^{-i(\omega_k t - kan)} - \hat{a}_k^\dagger e^{i(\omega_k t - kan)} \right). \tag{22}$$

The first term of the Hamiltonian in Eq. (19) is

$$\begin{aligned}
\sum_n \frac{p_n^2}{2} &= \frac{(-i)^2}{2} \sum_{k,k'} \sum_{n=1}^N \frac{\sqrt{\omega_k \omega_{k'}}}{2N} \left(\right. \\
&\quad \hat{a}_k \hat{a}_{k'} e^{-i(\omega_k + \omega_{k'})t} e^{i(k+k')an} + \hat{a}_k^\dagger \hat{a}_{k'}^\dagger e^{i(\omega_k + \omega_{k'})t} e^{-i(k+k')an} \\
&\quad \left. - \hat{a}_k \hat{a}_{k'}^\dagger e^{-i(\omega_k - \omega_{k'})t} e^{i(k-k')an} - \hat{a}_k^\dagger \hat{a}_{k'} e^{i(\omega_k - \omega_{k'})t} e^{-i(k-k')an} \right) \\
&= -\frac{1}{4} \sum_k \omega_k \left(\hat{a}_k \hat{a}_{-k} e^{-2i\omega_k t} + \hat{a}_k^\dagger \hat{a}_{-k}^\dagger e^{2i\omega_k t} - \hat{a}_k \hat{a}_k^\dagger - \hat{a}_k^\dagger \hat{a}_k \right). \quad (23) \\
&\iff \left(\sum_{n=1}^N e^{i(k-k')an} = N\delta_{k,k'} \right)
\end{aligned}$$

To calculate the second term in Eq.(19) we first simplify $\hat{q}_{n+1} - \hat{q}_n$ as

$$\begin{aligned}
\hat{q}_{n+1} - \hat{q}_n &= \sum_k \frac{1}{\sqrt{2N\omega_k}} \left(\hat{a}_k e^{-i(\omega_k t - ka(n+1))} + \hat{a}_k^\dagger e^{i(\omega_k t - ka(n+1))} \right. \\
&\quad \left. - \hat{a}_k e^{-i(\omega_k t - kan)} - \hat{a}_k^\dagger e^{i(\omega_k t - kan)} \right) \\
&= \sum_k \frac{1}{\sqrt{2N\omega_k}} \left(\hat{a}_k e^{-i(\omega_k t - kan)} (e^{ika} - 1) + \hat{a}_k^\dagger e^{i(\omega_k t - kan)} (e^{-ika} - 1) \right) \quad (24) \\
&\iff (e^{-i(\omega_k t - ka(n+1))} = e^{-i(\omega_k t - kan)} e^{ika}).
\end{aligned}$$

The explicit calculation of the second term of Eq. (19) is

$$\begin{aligned}
& \frac{\kappa}{2} \sum_n (\hat{q}_{n+1} - \hat{q}_n)^2 \\
&= \frac{\kappa}{2} \sum_{k,k'} \sum_{n=1}^N \frac{1}{2N} \frac{1}{\sqrt{\omega_k \omega_{k'}}} \left(\right. \\
&\quad \hat{a}_k \hat{a}_{k'} e^{-i(\omega_k + \omega_{k'})t} e^{i(k+k')an} (e^{ika} - 1)(e^{ik'a} - 1) \\
&\quad + \hat{a}_k^\dagger \hat{a}_{k'}^\dagger e^{i(\omega_k + \omega_{k'})t} e^{-i(k+k')an} (e^{-ika} - 1)(e^{-ik'a} - 1) \\
&\quad + \hat{a}_k \hat{a}_{k'}^\dagger e^{-i(\omega_k - \omega_{k'})t} e^{i(k-k')an} (e^{ika} - 1)(e^{-ik'a} - 1) \\
&\quad \left. + \hat{a}_k^\dagger \hat{a}_{k'} e^{i(\omega_k - \omega_{k'})t} e^{-i(k-k')an} (e^{-ika} - 1)(e^{ik'a} - 1) \right) \\
&= \frac{\kappa}{2} \sum_k \frac{1}{2\omega_k} \left(\right. \\
&\quad \hat{a}_k \hat{a}_{-k} e^{-2i\omega_k t} (e^{ika} - 1)(e^{-ika} - 1) + \hat{a}_k^\dagger \hat{a}_{-k}^\dagger e^{2i\omega_k t} (e^{ika} - 1)(e^{-ika} - 1) \\
&\quad + \hat{a}_k \hat{a}_k^\dagger (e^{ika} - 1)(e^{-ika} - 1) + \hat{a}_k^\dagger \hat{a}_k (e^{ika} - 1)(e^{-ika} - 1) \left. \right) \\
&\quad \Longleftarrow \left(\sum_{n=1}^N e^{i(k-k')an} = N\delta_{k,k'} \right) \\
&= \frac{1}{4} \sum_k \omega_k \left(\hat{a}_k \hat{a}_{-k} e^{-2i\omega_k t} + \hat{a}_k^\dagger \hat{a}_{-k}^\dagger e^{2i\omega_k t} + \hat{a}_k \hat{a}_k^\dagger + \hat{a}_k^\dagger \hat{a}_k \right) \tag{25} \\
&\quad \Longleftarrow \left((e^{ika} - 1)(e^{-ika} - 1) = 4 \sin^2 \left(\frac{ka}{2} \right), \omega_k^2 = 4\kappa \sin^2 \left(\frac{ka}{2} \right) \right).
\end{aligned}$$

Using Eqs. (23) and (25) we rewrite Eq. (19) as

$$\begin{aligned}
\hat{H} &= -\frac{1}{4} \sum_k \omega_k \left(\hat{a}_k \hat{a}_{-k} e^{-2i\omega_k t} + \hat{a}_k^\dagger \hat{a}_{-k}^\dagger e^{2i\omega_k t} - \hat{a}_k \hat{a}_k^\dagger - \hat{a}_k^\dagger \hat{a}_k \right) \\
&\quad + \frac{1}{4} \sum_k \omega_k \left(\hat{a}_k \hat{a}_{-k} e^{-2i\omega_k t} + \hat{a}_k^\dagger \hat{a}_{-k}^\dagger e^{2i\omega_k t} + \hat{a}_k \hat{a}_k^\dagger + \hat{a}_k^\dagger \hat{a}_k \right) \\
&= \frac{1}{2} \sum_k \omega_k \left(\hat{a}_k \hat{a}_k^\dagger + \hat{a}_k^\dagger \hat{a}_k \right) \\
&= \sum_k \omega_k \hat{a}_k^\dagger \hat{a}_k + \text{constant} \Longleftarrow [\hat{a}_k, \hat{a}_{k'}^\dagger] = \delta_{k,k'}, \frac{1}{2} \sum_k \omega_k = \text{constant}. \tag{26}
\end{aligned}$$