First principles study of fission product and fuel-cladding chemical interaction mitigating dopant energetics in  $\alpha$  U

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Abstract

1. Introduction

Uranium-zirconium (U-Zr) and uranium-plutonium-zirconium (U-Pu-Zr) alloy fuels have a history of usage in sodium-cooled fast reactors. Not only does the U-Zr fuel (as well as U-Pu-Zr) generate a harder neutron spectrum as compared to traditional ceramic fuels, but it also offers excellent neutron economy and high burnup capability [1]. Recently, U-Zr fuels have regained interest due to the possibility of incorporating minor actinides into the fuel, and as such the metallic fuel alloys would serve to reduce the quantity of

long-lived radioisotopes present in nuclear waste [2].

One issue with metallic fuels, including both UZr and UMo fuels, is the large amount of swelling that takes place [1]. Such swelling can be accounted for in the fuel design, however the swelling needs to be stable and predictable up to high fission densities. As shown in Fig. ??, research reactor fuel types based on UMo are unique in that there cannot exist fission gas release from the fuel, and as such there is a relatively high content of fission gas and of fission gas bubbles within the fuel matrix. This importance of swelling in addition to the unique fuel environment has led to a variety of studies attempting to characterize the swelling behavior in U-Mo fuels [3, 4, 5, 6] which has led to the development of a swelling correlation from Argonne National Labortory (ANL correlation) [7] as a function of fission density. This swelling correlation was intended to be applicable for low temperature (less than 250°C) U-Mo alloys in the 7-10 wt.% composition

range.

U-Zr alloys are typically employed as a series of fuel pellets within a given fuel rod, similar to UO<sub>2</sub> fuels. Unlike UO<sub>2</sub> fuels, dramatic swelling is inevitable, and is typically accounted for by manufacturing fuels with a smear density of approximately 75%. This allows for approximately 30% swelling, which is sufficient to allow fission gas release [8]. The nature of swelling is anisotropic in these fuels, largely due to the difference in swelling behavior between the hotter center of the fuel and the colder periphery [9]. The degree of anisotropicity increases with increasing Pu content. During operation, the phenomenon of constituent redistribution takes place. This results in three distinct radial regions within the fuel. The

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innermost region is Zr-rich, the intermediate region is Zr-poor and the outermost region has a nominal Zr concentration. This phenomenon is due to both the effect of the temperature gradient on phase equilibria and the diffusion of species along the temperature gradient. This concentration variance as a function of radius in combination with the temperature gradient leads to the  $\gamma$  phase being present in the interior of the fuel pellet, while the  $\alpha$  phase predominates the periphery [10, 11]. The radially anisotropic swelling within the fuel pellet is due to the variation in phases as a function of radius.

 $\label{thm:produce} \text{WIRTH TEXT } \textit{Metallic fuels are ideal for fast (breeder) reactors because they produce an extremely hard neutron spectrum. \\$ ZrandU-Pu-Zralloys are two important potential metallic fuels for fast reactors. However, the swelling of metallic fuels is made in the first property of the fast reactors ofoxidefuel, and is an important is sueformetallic fuels. Fission product gas(Xe, Kr) bubble growth is believed to be responsible for the following product gas and the following gas and the folldiffusion can be obtained from experiments, the formation energy, migration barrier and diffusion mechanisms are difficult to the formation of the formationprinciples methods [1?17], information on interstitial and vacancy diffusion is still deficient. It is necessary to pursue further still deficient of the principles method of the principles methlamellae [18]. Due to the lower temperature, the bubble nucleation and growth are more severe. In this paper, we will focus on the ?photo and provide the provided in the provided paper. The provided paper is a superficient of the provided paper. The provided paper is a provided paper. The provided paper is a provided paper in the provided paper in the provided paper. The provided paper is a provided paper in the provided paper in thepacked corrugated layers of atoms, with layers parallel to the (010) plane and the corrugations parallel to the [100] axis. The selfdiffusional ong the [010] direction is believed to be much more difficult than in the other two directions [19]. The activation energy of the contraction of the codiffusion in pure uranium along all three principal directions has been obtained experimentally and is reported as 1.91 eV [20] along the principal directions have been obtained experimentally and is reported as 1.91 eV [20] along the principal directions have been obtained experimentally and is reported as 1.91 eV [20] along the principal directions have been obtained by the principal direction of the principal directiocalled?singlecrystalwithmosaicstructure?[20]. It should be smaller than the actual valued ue to the mosaic structure of the sample and the sample of the scrystaluranium (1.91?2.91eV). On the other hand, diffusion of interstitial via an interstitial mechanism or interstitial cymechanism of interstitial via an interstitial mechanism or interstitial cymechanism of interstitial via an interstitial vout mechanism) is proposed to be nearly isotropic, which is seldom investigated in the literature [29]. 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However, the principle methods are discussed in the principle methods are discussed in the principle methods are discussed in the principle methods. However, the principle methods are discussed in the principle method methods are discussed in the principle method methods are discussed in the principle methods are discussed inprinciples calculations, the formation energy of the vacancy in pure? uranium is 1.69 eV. The diffusion of vacancy is almost is otropically a superior of the vacancy in pure? The vacancy is a superior of the vacancy in pure? The vacancy is a superior of the vacancy in pure? The vacancy is a superior of the vacancy in pure? The vacancy is a superior of the vacancy in pure? The vacancy is a superior of the vacancy in pure? The vacancy is a superior of the vacancy in pure? The vacancy is a superior of the vacancy is a superior of the vacancy in pure? The vacancy is a superior of the vacancy is a superior of the vacancy in pure? The vacancy is a superior of the vacancy is a superior of the vacancy is a superior of the vacancy in pure? The vacancy is a superior of the vacancy is a superior odiffusion is thus 2.03 eV along the [100] direction, 2.05 eV along the [001] direction and 2.93 eV along the [010] direction. Compared with the compared wprinciple scalculation results. At 612?C, the equilibrium concentration of Zrin? Uisabout 0.5% according to the phase diagram [31]

# 2. Computational Details

WIRTH TEXT

Systems are investigated using the Vienna ab initio Simulation Package (VASP) [12, 13, 14, 15]. The projector augmented wave (PAW) method [16, 17] is utilized within density functional theory [18, 19]. Calculations are performed using the Perdew-Burke-Ernzerhof (PBE) [20, 21] generalized gradient approximation (GGA) for the description of the exchange-correlation. Methfessel and Paxton's smearing method [22] of the first order is used with a width of 0.1 eV to determine partial occupancies for each wavefunction. Wavefunction optimization was truncated when the energy difference was less than 10<sup>-5</sup> eV. The optimization procedure was truncated when the residual forces for the relaxed atoms were less than 0.01 eV/Å. Symmetry is switched off for all calculations. A support grid is utilized for the evaluation of augmentation charges. Non-spherical contributions from the gradient corrections inside the PAW spheres are included. Convergence testing was performed for this system in the model of Huang and Wirth [23] (utilized Perdew-Wang (PW91) [24, 25] GGA). The energy cutoff is increased, the supercell is increased in size, and the k-points mesh is

- refined until the energy of the pure system and the formation energy of a vacancy vary by less than 5 meV. The convergence testing led to the choice of a 252 atom supercell (7x3x3 unit cells), a cutoff energy of 400 eV and a gamma-centered k-points mesh of 4x2x4, resulting in 20 k-points in the irreducible part of the Brillouin zone. The calculated lattice parameters are a = 2.803 Å, b = 5.836 Å, c = 4.906 Å and y = 0.098. These results compare very favorable to previous results [23, 26, 27] and experiments [28].
- In order to obtain migration barriers for the various pathways investigated, we utilized the climbing-image nudged elastic band (CI-NEB) method [29]. Both single and multiple image implementations of the CI-NEB method were implemented to ensure that both the correct migration pathway and the correct migration barrier magnitude were obtained.

## 3. Results

3.1. Self-defect formation energies in  $\alpha$  U-(Zr)

To verify the methodologies presented in this study, the formation energy of a single vacancy and a single interstitial in  $\alpha U$  were calculated utilizing equation 1 and 2, respectively,

$$E_f^{vac} = E_{vac} - (n-1) * E_{pure} \tag{1}$$

$$E_f^{int} = E_{int} - (n+1) * E_{pure}$$
(2)

where  $E_{vac}$  is the total energy of the system with a vacancy,  $E_{int}$  is the total energy of the system with an interstitial,  $E_{pure}$  is the energy per atom of a defect-free system, and n is the number of atoms in the defect-free system. The vacancy formation energy is calculated to be 1.70 eV, which compares very favorably with the calculations of Huang and Wirth [23] (1.69 eV).

Nd interstitial 7.8 eV. A Nd substitutional of 2.106 eV and U interstitial of 4.31 eV [30] have a lower combined energy than the Nd interstitial. Thus it would be expected that if a Nd interstitial is created, it would transform into a substitutional Nd atom and create a U SIA. Therefore, only vacancy-mediated diffusion of Nd atoms is investigated. Also, vacancy migration in the corrugated plane in four time as likely (migration barriers of 1.24 eV out of corrugated plane, 0.36 eV and 0.34 eV in corrugated plane [23], thus only vacancy migration in the corrugated plane is investigated.

Pure U defects

Zr defects

- 3.2. Fission product and dopant defect formation energies in  $\alpha U$ 
  - 3.3. Formation energies of point defects of, and binding energies between, fission products, dopants and Zr in  $\alpha U$

Table 1: Formation energies for self-defects in alpha U.

Defect	$E_{form}$	$\mathbf{E}_{bind}$
vacancy	1.67	-
interstitial	4.20	-
divacancy X	3.81	0.47
divacancy Z	3.62	0.27
divacancy Y	3.30	-0.05

Table 2: Formation energies for Zr and Zr-vacancy defects in alpha U.

Defect	$E_{form}$	$E_{bind}$
Zr sub	0.81	-
Zr int	5.60	-
Zr Vac X	2.30	-0.18
Zr Vac Z	1.97	-0.52
Zr Vac Y	2.54	0.05

Table 3: Formation energies for Nd and Nd-vacancy defects in alpha U.

Defect	$E_{form}$	$E_{bind}$
Nd sub	-0.16	-
Nd int	3.43	_
Nd Vac X	1.55	0.04
Nd Vac Z	1.46	-0.05
Nd Vac Y	1.47	-0.04

Table 4: Formation energies for Pd and Pd-vacancy defects in alpha U.

Defect	$\mathbf{E}_{form}$	$E_{bind}$
Pd sub	0.19	-
Pd int	3.26	_
Pd Vac X	1.67	-0.20
Pd Vac Z	1.59	-0.27
Pd Vac Y	1.82	-0.05

Table 5: Formation energies for Sn and Sn-vacancy defects in alpha U.

Defect	$E_{form}$	$E_{bind}$
Sn sub	0.70	-
Sn int	4.66	-
Sn Vac X	2.01	-0.36
Sn Vac Z	1.55	-0.82
Sn Vac Y	2.27	-0.11

Table 6: Formation energies for Ce and Ce-vacancy defects in alpha U.

Defect	$\mathbf{E}_{form}$	$\mathbf{E}_{bind}$
Ce sub	1.25	-
Ce int	-	-
Ce Vac X	1.90	-1.03
Ce Vac Z	1.75	-1.18
Ce Vac Y	2.09	-0.84

### 4. Conclusions

## 5. Acknowledgement

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140

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