

Soundness: Part II

LOGIC I

Benjamin Brast-McKie

December 5, 2023

Soundness of QD

Assume: $\Gamma \vdash_{\text{QD}} \varphi$, so there is a QD proof X of φ from Γ .

Lines: Let φ_i be the i^{th} line of X .

Dependencies: Let Γ_i be the undischarged assumptions at line i .

Proof: The proof goes by induction on length of X :

BASE: $\Gamma_1 \models \varphi_i$.

HYPOTHESIS: Assume $\Gamma_k \models \varphi_k$ for all $k \leq n$.

INDUCTION: If φ_{n+1} follows by the proof rules for QD from sentences in Γ_{n+1} , then $\Gamma_{n+1} \models \varphi_{n+1}$.

Finite: Since X is finite, there is some m where $\Gamma_m = \Gamma$ and $\varphi_m = \varphi$, so $\Gamma \models \varphi$.

SD Lemmas

L12.1 If $\Gamma \models \varphi$ and $\Gamma \subseteq \Gamma'$, then $\Gamma' \models \varphi$.

L12.2 For any QD proof X , if φ_k is live at line n where $k \leq n$, then $\Gamma_k \subseteq \Gamma_n$.

L12.3 If $\Gamma \models \varphi$ and $\Gamma \models \neg\varphi$, then Γ is unsatisfiable.

L12.4 If $\Gamma \cup \{\varphi\}$ is unsatisfiable, then $\Gamma \models \neg\varphi$.

L12.5 $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi) = \mathcal{V}_{\mathcal{I}}^{\hat{c}}(\varphi)$ if $\hat{a}(\alpha) = \hat{c}(\alpha)$ for all free variables α in a wff φ .

L12.6 $\mathcal{V}_{\mathcal{I}}(\varphi) = 1$ just in case $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi) = 1$ for every v.a. \hat{a} over \mathbb{D} .

L12.7 If $\Gamma \cup \{\varphi\} \models \psi$, then $\Gamma \models \varphi \supset \psi$.

SD Rules

(R) $\varphi_k = \varphi_{n+1}$ for live $k \leq n$. Thus $\Gamma_k \models \varphi_k$ by hypothesis and $\Gamma_k \subseteq \Gamma_{n+1}$ by **L12.2**. Thus $\Gamma_{n+1} \models \varphi_k$ by **L12.1**, and so $\Gamma_{n+1} \models \varphi_{n+1}$.

- (\neg I) • There is a proof of ψ at line h and $\neg\psi$ at line j from φ on line i .
• By hypothesis $\Gamma_h \models \psi$ and $\Gamma_j \models \neg\psi$, where $\Gamma_h, \Gamma_j \subseteq \Gamma_{n+1} \cup \{\varphi_i\}$.
• By **L12.1**, $\Gamma_{n+1} \cup \{\varphi_i\} \models \psi$ and $\Gamma_{n+1} \cup \{\varphi_i\} \models \neg\psi$.
• So $\Gamma_{n+1} \cup \{\varphi_i\}$ is unsatisfiable by **L12.3**, so $\Gamma_{n+1} \models \varphi_{n+1}$ by **L12.4**.

- (\wedge E) • $\varphi_{n+1} \wedge \psi$ is live on line $i \leq n$.
 - By hypothesis, $\Gamma_i \models \varphi_{n+1} \wedge \psi$ where $\Gamma_i \subseteq \Gamma_{n+1}$ by **L12.2**
 - Thus $\Gamma_{n+1} \models \varphi_{n+1} \wedge \psi$ by **L12.1**, and so $\Gamma_{n+1} \models \varphi_{n+1}$ by semantics.
- (\supset I) • There is a proof of ψ at line j from φ on line i .
 - By hypothesis $\Gamma_j \models \psi$, where $\Gamma_j \subseteq \Gamma_{n+1} \cup \{\varphi\}$.
 - So $\Gamma_{n+1} \cup \{\varphi\} \models \psi$, and so $\Gamma_{n+1} \models \varphi \supset \psi$ by **L12.7**.

QD Lemmas

L12.8 $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi[\beta/\alpha])$ if $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\beta)$ and β is free for α in φ .

Base: Assume φ is $\mathcal{F}^n \alpha_1, \dots, \alpha_n$ or $\alpha_1 = \alpha_2$ where $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\beta)$.

- Let $\gamma_i = \beta$ if $\alpha_i = \alpha$ and otherwise $\gamma_i = \alpha_i$.
- $\langle \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha_1), \dots, \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha_n) \rangle \in \mathcal{I}(\mathcal{F}^n)$ iff $\langle \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\gamma_1), \dots, \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\gamma_n) \rangle \in \mathcal{I}(\mathcal{F}^n)$.
- $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha_1) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha_n)$ iff $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\gamma_1) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\gamma_2)$.

Induction: If $\text{Comp}(\varphi) \leq n$, $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi[\beta/\alpha])$ whenever $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\beta)$.

Case 2: Assume $\varphi = \psi \wedge \chi$ where $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\beta)$ for all \hat{a} .

- So $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi) = 1$ iff $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\psi \wedge \chi) = 1$ iff $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\psi) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\chi) = 1$ iff ...

Case 6: Assume $\varphi = \forall \gamma \psi$ where $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\beta)$.

- If $\gamma = \alpha$, then $\varphi = \varphi[\beta/\alpha]$.
- If $\gamma \neq \alpha$, $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\forall \gamma \psi) = 1$ iff $\mathcal{V}_{\mathcal{I}}^{\hat{e}}(\psi) = 1$ for all γ -variants \hat{e} of \hat{a} iff ...
- Let \hat{e} be an arbitrary γ -variant of \hat{a} .
- Since $\gamma \neq \alpha$, $\hat{e}(\alpha) = \hat{a}(\alpha)$ if α is a variable, so $\mathcal{V}_{\mathcal{I}}^{\hat{e}}(\alpha) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha)$.
- Thus $\mathcal{V}_{\mathcal{I}}^{\hat{e}}(\alpha) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\beta)$ follows from the assumption.
- Since β is free for α in $\forall \gamma \psi$, we know that $\gamma \neq \beta$.
- If β is a variable, then $\hat{e}(\beta) = \hat{a}(\beta)$ since \hat{e} is a γ -variant of \hat{a} .
- Thus $\mathcal{V}_{\mathcal{I}}^{\hat{e}}(\beta) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\beta)$, and so $\mathcal{V}_{\mathcal{I}}^{\hat{e}}(\alpha) = \mathcal{V}_{\mathcal{I}}^{\hat{e}}(\beta)$.
- By hypothesis, $\mathcal{V}_{\mathcal{I}}^{\hat{e}}(\psi) = \mathcal{V}_{\mathcal{I}}^{\hat{e}}(\psi[\beta/\alpha])$, where \hat{e} was arbitrary.
- ... iff $\mathcal{V}_{\mathcal{I}}^{\hat{e}}(\psi[\beta/\alpha]) = 1$ for all γ -variants \hat{e} of \hat{a} iff $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi[\beta/\alpha]) = 1$.

L12.9 If $\mathcal{M} = \langle \mathbb{D}, \mathcal{I} \rangle$ and $\mathcal{M}' = \langle \mathbb{D}, \mathcal{I}' \rangle$ where \mathcal{I} and \mathcal{I}' agree about every constant α and n -place predicate \mathcal{F}^n that occurs in φ , it follows that $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi) = \mathcal{V}_{\mathcal{I}'}^{\hat{a}}(\varphi)$ for any variable assignment \hat{a} over \mathbb{D} .

Base: $\langle \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha_1), \dots, \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha_n) \rangle \in \mathcal{I}(\mathcal{F}^n)$ iff $\langle \mathcal{V}_{\mathcal{I}'}^{\hat{a}}(\alpha_1), \dots, \mathcal{V}_{\mathcal{I}'}^{\hat{a}}(\alpha_n) \rangle \in \mathcal{I}'(\mathcal{F}^n)$.

- $\mathcal{I}(\mathcal{F}^n) = \mathcal{I}'(\mathcal{F}^n)$ is immediate from the assumption.
- $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha_i) = \mathcal{I}(\alpha_i) = \mathcal{I}'(\alpha_i) = \mathcal{V}_{\mathcal{I}'}^{\hat{a}}(\alpha_i)$ if α_i is a constant.
- $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\alpha_i) = \hat{a}(\alpha_i) = \mathcal{V}_{\mathcal{I}'}^{\hat{a}}(\alpha_i)$ if α_i is a variable.

L12.10 For any constant β that does not occur in $\forall\alpha\varphi$ or in any sentence $\psi \in \Gamma$, if $\Gamma \models \varphi[\beta/\alpha]$, then $\Gamma \models \forall\alpha\varphi$.

1. Assume $\Gamma \models \varphi[\beta/\alpha]$ for constant β not in $\forall\alpha\varphi$ or Γ .
2. Assume $\Gamma \not\models \forall\alpha\varphi$, and so \mathcal{M} satisfies Γ but $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\forall\alpha\varphi) \neq 1$.
3. So $\mathcal{V}_{\mathcal{I}}^{\hat{c}}(\varphi) \neq 1$ for some α -variant \hat{c} of \hat{a} .
4. Let \mathcal{M}' be like \mathcal{M} but for $\mathcal{I}'(\beta) = \hat{c}(\alpha)$.
5. By **L12.9**, \mathcal{M}' satisfies Γ since β does not occur in Γ .
6. So \mathcal{M}' satisfies $\varphi[\beta/\alpha]$ since $\Gamma \models \varphi[\beta/\alpha]$.
7. By **L12.6**, $\mathcal{V}_{\mathcal{I}'}^{\hat{c}}(\varphi[\beta/\alpha]) = 1$ for all \hat{c} , and so for \hat{c} in particular.
8. Since β is not in $\forall\alpha\varphi$, we know β is not in φ .
9. So $\mathcal{V}_{\mathcal{I}'}^{\hat{c}}(\varphi) \neq 1$ by **L.12.9** given (3) above.
10. By (4) above, $\mathcal{V}_{\mathcal{I}'}^{\hat{c}}(\alpha) = \mathcal{V}_{\mathcal{I}'}^{\hat{c}}(\beta)$ where β is free for α .
11. By **L12.8**, $\mathcal{V}_{\mathcal{I}'}^{\hat{c}}(\varphi) = \mathcal{V}_{\mathcal{I}'}^{\hat{c}}(\varphi[\beta/\alpha])$.
12. Thus $\mathcal{V}_{\mathcal{I}'}^{\hat{c}}(\varphi[\beta/\alpha]) \neq 1$, contradicting the above.

L12.11 $\forall\alpha\varphi \models \varphi[\beta/\alpha]$ where α is a variable and $\varphi[\beta/\alpha]$ is a sentence.

- Let \mathcal{M} satisfy $\forall\alpha\varphi$, so $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\forall\alpha\varphi) = 1$ for some \hat{a} .
- So $\mathcal{V}_{\mathcal{I}}^{\hat{c}}(\varphi) = 1$ where $\hat{c}(\alpha) = \mathcal{I}(\beta)$ for an α -variant \hat{c} of \hat{a} .
- By **L12.8**, $\mathcal{V}_{\mathcal{I}}^{\hat{c}}(\varphi) = \mathcal{V}_{\mathcal{I}}^{\hat{c}}(\varphi[\beta/\alpha])$, and so $\mathcal{V}_{\mathcal{I}}^{\hat{c}}(\varphi[\beta/\alpha]) = 1$.

L12.12 If $\Gamma \models \varphi$ and $\Sigma \cup \{\varphi\} \models \psi$, then $\Gamma \cup \Sigma \models \psi$.

L12.13 $\varphi[\beta/\alpha] \models \exists\alpha\varphi$ where α is a variable and $\varphi[\beta/\alpha]$ is a sentence.

L12.14 For any constant β that does not occur in $\exists\alpha\varphi$, ψ , or in any sentence $\chi \in \Gamma$, if $\Gamma \models \exists\alpha\varphi$ and $\Gamma \cup \{\varphi[\beta/\alpha]\} \models \psi$, then $\Gamma \models \psi$.

L12.15 If α and β are constants, then $\varphi[\alpha/\gamma], \alpha = \beta \models \varphi[\beta/\gamma]$.

QD Rules

- (\forall I) • $\varphi_i = \varphi[\beta/\alpha]$ for $i \leq n$ live at $n+1$ where β is not in φ_{n+1} or Γ_{n+1} .
 • So $\Gamma_i \models \varphi_i$ by hypothesis, and $\Gamma_i \subseteq \Gamma_{n+1}$ by **L12.2**.
 • Thus $\Gamma_{n+1} \models \varphi_i$ by **L12.1**, so $\Gamma_{n+1} \models \varphi[\beta/\alpha]$.
 • So $\Gamma_{n+1} \models \forall\alpha\varphi$ by **L12.10** since β not in $\forall\alpha\varphi$ or Γ_{n+1} .
 • Equivalently, $\Gamma_{n+1} \models \varphi_{n+1}$.
- (\forall E) • $\varphi_i = \forall\alpha\varphi$ for $i \leq n$ live at $n+1$ where $\varphi_{n+1} = \varphi[\beta/\alpha]$.
 • So $\Gamma_i \models \varphi_i$ by hypothesis, and $\Gamma_i \subseteq \Gamma_{n+1}$ by **L12.2**.
 • Thus $\Gamma_{n+1} \models \varphi_i$ by **L12.1**, so $\Gamma_{n+1} \models \forall\alpha\varphi$.
 • By **L12.11** $\forall\alpha\varphi \models \varphi[\beta/\alpha]$, and so $\Gamma_{n+1} \models \varphi[\beta/\alpha]$ by **L12.12**.
 • Equivalently, $\Gamma_{n+1} \models \varphi_{n+1}$.