

## **13. Completeness of QND**

---

## 1. Completeness of QND

### 1.1 Semantic vs. Syntactic Consistency

### 1.2 Proof Sketch

### 1.3 Stage 0: $\exists$ -Completeness and $QL'$

### 1.4 Stage 1: Constructing $\Gamma^*$

### 1.5 Stage 2: $\Gamma^*$ is M-QND-C & $\exists$ -complete

### 1.6 Stage 3: Model Construction

The Membership Lemma

Model Construction

Induction on  $QL'$  (we be clubbin')

Stage 4? Salvation

## Completeness of QND

- ▶ **QND is Complete:** For any set  $\Gamma$  of QL-sentences and any QL-sentence  $\mathcal{P}$ , if  $\Gamma$  semantically entails  $\mathcal{P}$ , then there exists a derivation of  $\mathcal{P}$  from  $\Gamma$  in our natural deduction system QND
  - In symbols: If  $\Gamma \models \Theta$ , then  $\Gamma \vdash_{QND} \Theta$
  - Note that  $\Gamma$  can be countably infinite
- ▶ Completeness guarantees that for any valid QL-argument, there is at least one corresponding deduction in QND.
- ▶ So we need not reason about arbitrary models to determine if a QL-argument is valid; reasoning in QND suffices! WOW COOL

## “ $\models$ ”: our Semantic Double Turnstile

- ▶ “ $\Gamma \models \mathcal{P}$ ” means that  $\Gamma$  logically entails  $\mathcal{P}$   
In any QL-model  $\mathfrak{M}$  where the premises in  $\Gamma$  are true, the conclusion  $\mathcal{P}$  is true
- ▶ Equivalently: there is no QL-model  $\mathfrak{M}$  such that  $\Gamma$  is satisfied while  $\mathcal{P}$  is false
- ▶ Equivalently, this means that  $\Gamma \cup \{\sim \mathcal{P}\}$  **is unsatisfiable**:  
no QL-model makes-true the premises and negated conclusion
- ▶ We'll use this last fact A LOT in our proof that QND is complete!

## **13. Completeness of QND**

---

### **a. Semantic vs. Syntactic Consistency**

## Semantic vs. Syntactic Consistency

- ▶ As with SND, we appeal to two distinct notions of consistency
- ▶ One is **semantic**:  
there is a QL-model that **satisfies** every sentence in the set
- ▶ We introduce a new **syntactic** notion of consistency relative to QND:
  - a set of QL sentences is **QND-consistent** provided that you can't derive contradictory sentences from it in QND
- ▶ Core proof idea: we'll show that if a set of sentences is **QND-consistent**, then it is also semantically consistent (i.e. **satisfiable**). So by the contrapositive: if a set is **unsatisfiable**, then it is **inconsistent-in-QND**.

## Semantic: **Satisfiable** (quantificationally consistent)

---

- ▶ Recall: a set of QL sentences is **satisfiable** provided there is at least one QL-model  $\mathfrak{M}$  that makes all of them true
- ▶ This is a *semantic* notion of consistency (aka “quantificational consistency”)
- ▶ Contrast this with the syntactic notion of **consistency in QND**:

## Syntactic: (In)consistent-in-QND (derivationally consistent)

---

- ▶ Let  $\Gamma$  be a (possibly infinite) set of QL sentences
- ▶ **Inconsistent-in-QND**: from premises in  $\Gamma$ , we can derive contradictory formulas  $R$  and  $\sim R$  in the scope of the main scope line (i.e. in the scope of these premises)
- ▶ **Consistent-in-QND**:  $\Gamma$  is not QND-inconsistent, i.e. there is no derivation from premises in  $\Gamma$  resulting in contradictory formulas within the main scope
- ▶ Other words we might use for these concepts: QND-inconsistent, derivationally-inconsistent, QND-consistent, etc.
- ▶ Just remember: this syntactic notion has nothing to do with models or interpretations!



## **13. Completeness of QND**

---

### **b. Proof Sketch**

## Proof Sketch: Just like what we did for SL!

---

- ▶ Goal: prove the completeness of QL: for every QL sentence  $\mathcal{P}$  and every set  $\Gamma$  of QL sentences, if  $\Gamma \models \mathcal{P}$  then  $\Gamma \vdash_{QND} \mathcal{P}$
- ▶ So assume that  $\Gamma \models \mathcal{P}$ .
- ▶ This means that  $\Gamma \cup \{\sim \mathcal{P}\}$  is **unsatisfiable**:  
no QL-model satisfies the premises and negated conclusion  
(i.e.  $\Gamma \cup \{\sim \mathcal{P}\}$  is *semantically inconsistent*)
- ▶ We now appeal to a **Consistency lemma** that remains the heart of the enterprise: any QND-consistent set of QL sentences is satisfiable (i.e. *semantically consistent*)

## Proof Sketch: Using the consistency lemma

- ▶ **Consistency lemma** (CL): any QND-consistent set of QL sentences is satisfiable, i.e. true in some QL-model  $\mathfrak{M}$
- ▶ **Contrapositive** of CL: any set of QL sentences that is **Unsatisfiable** is QND-**In**consistent
- ▶ From  $\Gamma \models \mathcal{P}$  we know that  $\Gamma \cup \{\sim \mathcal{P}\}$  is unsatisfiable
- ▶ So by the contrapositive of CL, we see that  $\Gamma \cup \{\sim \mathcal{P}\}$  is QND-inconsistent
- ▶ This means that we can derive a pair of contradictory sentences  $R$  and  $\sim R$  from  $\Gamma \cup \{\sim \mathcal{P}\}$ ! So using the power of negation elimination, we can derive  $\mathcal{P}$  from  $\Gamma$ , i.e.  $\Gamma \vdash_{QND} \mathcal{P}$ . So we are 'done'!

## Negation Elimination Refresher (book's Exercise 11.4.2)

- ▶ Claim: if  $\Gamma \cup \{\sim\mathcal{P}\}$  is **QND-inconsistent**, then  $\Gamma \vdash_{QND} \mathcal{P}$
- ▶ Proof: starting with (finitely-many) premises  $\Delta$  from  $\Gamma$ , introduce  $\sim\mathcal{P}$  as a subproof assumption for negation elimination
- ▶ Since  $\Gamma \cup \{\sim\mathcal{P}\}$  is QND-inconsistent, we can derive a contradictory pair  $R$  and  $\sim R$  within the scope of sentences in  $\Delta \cup \{\sim\mathcal{P}\}$
- ▶ Then discharge this assumption  $\sim\mathcal{P}$  by negation elimination, writing  $\mathcal{P}$ , now in the scope of  $\Delta$ . So  $\Delta \vdash_{QND} \mathcal{P}$
- ▶ Since  $\Delta \subseteq \Gamma$ , we have  $\Gamma \vdash_{QND} \mathcal{P}$

## Core subgoal: Prove consistency lemma (book's 11.4.2)

- ▶ So all we have to do is prove the **consistency lemma**: any QND-consistent set of QL sentences is satisfiable
- ▶ As with SL, we'll prove this lemma in several 'stages':
- ▶ The first two are straightforward: given a QND-consistent set  $\Gamma$ , we construct a **superset**  $\Gamma^*$  that is *maximally QND-consistent* and *existentially complete* ( $\exists$ -complete)
- ▶ In the third stage, we show that any  $\exists$ -complete, maximally QND-consistent set is **satisfiable**: we use maximal consistency and  $\exists$ -completeness to construct a model that satisfies every sentence in  $\Gamma^*$ . *Wrinkle*: we work in an extended language  $QL'$ !
- ▶ Since by construction  $\Gamma \subseteq \Gamma^*$ , this  $QL'$ -model satisfies  $\Gamma$  (in  $QL'$ )
- ▶ From our  $QL'$ -model, we generate a QL-model that satisfies  $\Gamma$

## 13. Completeness of QND

---

c. Stage 0:  $\exists$ -Completeness and  $QL'$

## Maximally QND-consistent (no longer enough!)

- ▶ A set  $\Gamma^*$  of QL or QL' sentences is **maximally QND-consistent** provided that:
  - 1.)  $\Gamma^*$  is QND-consistent (i.e. can't derive contradictory sentences)
  - 2.) adding **any** additional sentence to  $\Gamma^*$  would result in an QND-**inconsistent** set
- ▶ i.e. for any  $P \notin \Gamma^*$ ,  $\{P\} \cup \Gamma^*$  is QND-**inconsistent**
- ▶ Unlike with SL, maximal derivational consistency is no longer enough to ensure satisfiability
- ▶ Recall that our purely syntactic membership lemma is motivated by the truth-conditions for QL sentences: sentences belong to  $\Gamma^*$  iff the relevant “truth-condition pieces” belong to  $\Gamma^*$  as well
- ▶ To extend our membership lemma to quantified sentences, we require that every existential sentence in  $\Gamma^*$  has a substitution instance also in  $\Gamma^*$ . So we introduce a new property:

## Existential-completeness: definition and motivation

- ▶  **$\exists$ -completeness**: a set  $\Gamma$  of QL or QL' sentences is *existentially-complete* just in case for every sentence in  $\Gamma$  of the form  $(\exists\chi)\mathcal{P}$ , at least one substitution instance  $\mathcal{P}[c/\chi]$  is in  $\Gamma$
- ▶ Motivation:  $(\exists\chi)\mathcal{P}$  is true in a model iff some object  $r \in D$  is a  $\mathcal{P}$
- ▶ To construct an  $\exists$ -complete set  $\Gamma^*$ , we need recourse to a countable infinity of unused constants.
- ▶ Otherwise, new substitution instances that we add could “contradict” sentences already in  $\Gamma$ , spoiling QND-consistency
- ▶ *Problem*: our starting  $\Gamma$  might be infinite and so already use infinitely-many constants from QL. What are we to do?



## It's a bird! It's a plane! It's ... Language QL'???

- ▶ QL' is exactly like QL except that we allow subscripted *constants* to have **primed-indices**
- ▶ e.g.  $c_{11'}$ ,  $b_{234'}$ ,  $g_{2'}$  ('-'symbol always at the end)
- ▶ Unsubscripted constants remain the same:  $a$  thru  $v$
- ▶ So QL' just adds one new symbol ' $'$ ', allowed to occur only at the end of indices for constants
- ▶ The recursive structure of truth-in-QL' is defined exactly the same as for QL (using our good friend, satisfaction semantics!)
- ▶ Note that we do NOT allow primed indices on Predicates
- ▶ Moral: reach *for the stars*, **not** drugs

## 13. Completeness of QND

---

d. Stage 1: Constructing  $\Gamma^*$

## Stage 1(i): first enumerate the sentences of QL'

- ▶ Let  $\Gamma$  be a QND-consistent set of QL sentences (possibly infinite)
- ▶ To construct  $\Gamma^*$ , we first **enumerate** the QL' sentences, so that every QL' sentence is associated with a unique positive integer  $\{1, 2, 3, \dots\}$
- ▶ As with SL, stipulate an 'alphabetical order' for QL' symbols
- ▶  $\sim, \vee, \&, \supset, \equiv, (, ), 0, 1, \dots, 9, A, B, \dots, Z, a, \dots, v, w, x, y, z, \forall, \exists, '$
- ▶ Assign each symbol an **index** between '10' and '84' (skip 17-19)
- ▶ Then each QL' sentence corresponds to a unique positive integer, constructed by replacing each symbol in the sentence with its index, from left to right.
- ▶ So with our ordering, 'A' is the first sentence; 'B' the second ... up to Z, and then we hit  $\sim A$  ( $\mapsto 1030$ ), then  $\sim B$  ( $\mapsto 1031$ ), etc.

## Recall what we did in SL to form $\Gamma^*$ Max.-SND-Consist.

- ▶ We considered the first sentence 'A' in our enumeration.  
If A could be added to  $\Gamma$  without the resulting set being SND-inconsistent, then we let  $\Gamma_1 := \Gamma \cup \{A\}$ .
- ▶ Otherwise, let  $\Gamma_1 := \Gamma$  (so that  $\Gamma_1$  stays SND-consistent)
- ▶ We proceeded to the 2nd sentence in our enumeration.  
If it could be added to  $\Gamma_1$  without the new set being SND-inconsistent, let  $\Gamma_2$  be the result. Otherwise, let  $\Gamma_2 := \Gamma_1$
- ▶  $\Gamma^*$  was the result of 'doing' this procedure for every SL sentence
- ▶ Now we need to complicate matters a bit, to handle sentences of the form  $(\exists \chi)\mathcal{P}$  and ensure we add a suitable substitution instance whenever we can add  $(\exists \chi)\mathcal{P}$  while preserving QND-consistency

## Building up $\Gamma^*$

- ▶ Given a QND-consistent set of QL sentences  $\Gamma$ , let  $\Gamma_0 := \Gamma$
- ▶ Consider the  $k$ -th sentence  $P_k$  in our enumeration of  $QL'$
- ▶ Define  $\Gamma_{k+1}$  as follows:
  - i.)  $\Gamma_k$  if the set  $\Gamma_k \cup \{P_k\}$  is QND-**Inconsistent**
  - ii.)  $\Gamma_k \cup \{P_k\}$  if  $P_k$  does NOT have the form  $(\exists \chi)Q$ , and  $\Gamma_k \cup \{P_k\}$  is QND-consistent
  - iii.)  $\Gamma_k \cup \{P_k, P_k^\dagger\}$  if  $\Gamma_k \cup \{P_k\}$  is QND-consistent AND  $P_k$  DOES have the form  $(\exists \chi)Q$ , where  $P_k^\dagger$  is a substitution instance  $Q[c/\chi]$ , and  $c$  is the **alphabetically earliest constant** not in  $P_k$  or any sentence in  $\Gamma_k$ 
    - Such a  $c$  is guaranteed to exist because  $\Gamma_0$  belongs to  $QL$ .
    - So the countable-infinity of primed subscripted constants from  $QL'$  are available at each stage if needed.
- ▶ Then  $\Gamma^* := \bigcup_{k=0}^{\infty} \Gamma_k$

## 13. Completeness of QND

---

e. Stage 2:  $\Gamma^*$  is M-QND-C &  
 $\exists$ -complete

## Stage 2: $\Gamma^*$ is maximally QND-consistent & $\exists$ -complete

---

- ▶ This requires proving three claims (from the definitions):
  - 1.)  $\Gamma^*$  is consistent in QND
  - 2.) Adding any additional sentence to  $\Gamma^*$  would result in a **QND-inconsistent** set
  - 3.) For every QL' sentence of the form  $(\exists \chi)Q$  in  $\Gamma^*$ , at least one substitution instance  $Q[c/\chi]$  belongs to  $\Gamma^*$
- ▶ We prove these in turn

## Stage 2 (i): $\Gamma^*$ is QND-consistent

- ▶ Assume for *reductio* that  $\Gamma^*$  is inconsistent in QND
- ▶ Then there would be a QND derivation with finite premise set  $\Delta \subset \Gamma^*$  that derives a contradictory pair  $R$  and  $\sim R$
- ▶ Since  $\Delta$  is finite, there exists some  $k + 1 \in \mathbb{N}$  s.t.  $\Delta \subset \Gamma_{k+1}$ . So then this  $\Gamma_{k+1}$  would be **QND-inconsistent**.
- ▶ Yet, each  $\Gamma_{k+1}$  is necessarily **QND-consistent**:
  - If  $P_k$  is not existential, it joins  $\Gamma_{k+1}$  only if  $\Gamma_k \cup \{P_k\}$  is QND-consistent—by condition (ii)
  - If  $P_k$  is of the form  $(\exists x)Q$ , it joins only if  $\Gamma_k \cup \{P_k\}$  is QND-consistent.
    - It remains to show that  $\Gamma_k \cup \{(\exists x)Q, Q[c/x]\}$  is QND-consistent
  - **Lemma**: if  $c$  does not occur in a QND-C set  $\Gamma_k \cup \{(\exists x)Q\}$ , then  $\Gamma_k \cup \{(\exists x)Q, Q[c/x]\}$  is QND-consistent
- ▶ Hence,  $\Gamma^*$  must be QND-consistent, on pain of *reductio*



## Stage 2 (ii): $\Gamma^*$ is **maximally** QND-consistent

- ▶ Assume for *reductio* that  $\Gamma^*$  weren't maximally QND-consistent, despite being QND-consistent
- ▶ i.e. assume *it is not the case that* for all other sentences, adding it to  $\Gamma^*$  would result in a QND-inconsistent set  
 $\Rightarrow$  there exists a sentence  $\mathcal{Q}$  that we could add to  $\Gamma^*$  while preserving QND-consistency (i.e. there is some sentence we neglected that could make  $\Gamma^*$  a 'bigger' QND-consistent set)
- ▶ Yet,  $\mathcal{Q}$  would appear in our enumeration as some sentence  $P_k$ , 'considered' at the  $k$ -th stage of our construction of  $\Gamma^*$ .
- ▶ So if  $\mathcal{Q}$  isn't in  $\Gamma^*$ , then this is because adding it 'would have' made  $\Gamma_k \subset \Gamma^*$  QND-inconsistent.  
So  $\{\mathcal{Q}\} \cup \Gamma^*$  must be QND-inconsistent (*reductio*!)
- ▶ So we can't add any  $\mathcal{Q}$  to  $\Gamma^*$  while preserving QND-consistency

## Stage 2 (iii): $\Gamma^*$ is $\exists$ -complete

- ▶ We simply need to show that for each sentence of the form  $(\exists \chi)Q \in \Gamma^*$ , a substitution instance  $Q[c/\chi]$  also belongs to  $\Gamma^*$
- ▶ Note that this is true by construction: each sentence of the form  $(\exists \chi)Q$  occurs in our QL'-enumeration:
- ▶ If we could have “added”  $(\exists \chi)Q$  at the  $k$ -th stage while preserving QND-consistency, then we also added a substitution instance.
- ▶ This is so even if  $(\exists \chi)Q$  is already in  $\Gamma_\emptyset := \Gamma$ , since by condition (iii)  $\Gamma_{k+1} := \Gamma_k \cup \{(\exists \chi)Q, Q[c/\chi]\}$  which in this case would equal  $\Gamma_k \cup \{Q[c/\chi]\}$  (since in this case,  $(\exists \chi)Q \in \Gamma_k$ )

## **13. Completeness of QND**

---

### **f. Stage 3: Model Construction**

## Stage 3: The Maximal Consistency Lemma ( $\approx$ book's 11.4.7)

- ▶  **$\exists$ -C Maximal Consistency Lemma**: every  $QL'$  set that is maximally-QND-consistent and  $\exists$ -complete is satisfiable
- ▶ (there exists a  $QL'$ -model that makes-true every sentence in  $\Gamma^*$ )  
We construct this model, calling it " $\mathfrak{M}^*$ " ( $\approx$ book's " $\mathbf{I}^*$ ")
- ▶ Proof idea: since  $\Gamma^*$  is M-QND-C, for any sentence  $\mathcal{P}$ , either  $\mathcal{P} \in \Gamma^*$  or  $\sim \mathcal{P} \in \Gamma^*$  (you're either in the club or your '**nemesis**' is!)  
This holds in particular for each  $QL'$ -atomic sentence
- ▶ Construct a  $QL'$ -model  $\mathfrak{M}^*$  such that for each atomic  $QL'$ -sentence  $\mathcal{A}$ ,  $\mathfrak{M}^* \models \mathcal{A}$  iff  $\mathcal{A} \in \Gamma^*$
- ▶ Then by the recursive structure of  $QL'$  sentences,  $\mathfrak{M}^* \models \mathcal{P}$  iff  $\mathcal{P} \in \Gamma^*$

## Stage 3 (i): the Membership Lemma (book's 11.4.6)

- ▶ To induct on  $QL'$ , we first constrain  $\Gamma^*$  membership
- ▶ Basically,  $\Gamma^*$  is *THE* club with the MOST ANGELIC bouncer you've ever seen, who enforces maximal consistency.  
Before this \*angel\* lets a sentence into  $\Gamma^*$ , he checks who else is GOOD. You hear?
- ▶ **Membership Lemma** for club: if  $\mathcal{P}$  and  $\mathcal{Q}$  are  $QL'$  sentences, then:
  - a.)  $\sim \mathcal{P} \in \Gamma^*$  if and only if  $\mathcal{P} \notin \Gamma^*$
  - b.)  $\mathcal{P} \& \mathcal{Q} \in \Gamma^*$  if and only if both  $\mathcal{P} \in \Gamma^*$  and  $\mathcal{Q} \in \Gamma^*$
  - c.)  $\mathcal{P} \vee \mathcal{Q} \in \Gamma^*$  if and only if either  $\mathcal{P} \in \Gamma^*$  or  $\mathcal{Q} \in \Gamma^*$
  - d.)  $\mathcal{P} \supset \mathcal{Q} \in \Gamma^*$  if and only if either  $\mathcal{P} \notin \Gamma^*$  or  $\mathcal{Q} \in \Gamma^*$
  - e.)  $\mathcal{P} \equiv \mathcal{Q} \in \Gamma^*$  iff either (i)  $\mathcal{P} \in \Gamma^*$  and  $\mathcal{Q} \in \Gamma^*$  or (ii)  $\mathcal{P} \notin \Gamma^*$  and  $\mathcal{Q} \notin \Gamma^*$
  - f.)  $(\forall \chi) \mathcal{P} \in \Gamma^*$  iff for each constant  $c$ ,  $\mathcal{P}[c/\chi] \in \Gamma^*$
  - g.)  $(\exists \chi) \mathcal{P} \in \Gamma^*$  iff for at least one constant  $c$ ,  $\mathcal{P}[c/\chi] \in \Gamma^*$

## Stage 3 (i): **The Stairway** to heaven (book's 11.4.5)

- ▶ To prove the membership lemma's cases (a)–(g), we'll use another lemma (*NB*: and she's buying, a lemma, to heavennnnnnnnnn!):
- ▶ **The Stairway**: if  $\Gamma \vdash P$ , and  $\Gamma^*$  is a maximally QND-consistent superset of  $\Gamma$ , then  $P \in \Gamma^*$   
(mnemonic: “ $\Gamma \vdash P$ ” pushes  $P$  up to QL'–heaven!)
- ▶ Proof: first, assume that  $\Gamma \vdash P$  (we'll use this fact below)
  - Next, assume for *reductio* that  $P \notin \Gamma^*$ . Then since  $\Gamma^*$  is maximally QND-consistent,  $\Gamma^* \cup \{P\}$  must be **inconsistent in QND**.
  - Hence, by negation introduction,  $\Gamma^* \vdash \sim P$
  - By assumption,  $\Gamma \vdash P$ , so also  $\Gamma^* \vdash P$ , since  $\Gamma \subseteq \Gamma^*$
  - So  $\Gamma^*$  derives both  $P$  and  $\sim P$ . *Reductio*! (since  $\Gamma^*$  is M-QND-C)
  - Hence, if  $\Gamma \vdash P$  and  $\Gamma \subseteq \Gamma^*$ , then  $P$  must belong to  $\Gamma^*$

## Membership Lemma: Cases (a)–(e)

---

- ▶ I have a feeling that...
- ▶ WE'VE SEEN THIS incredible content BEFORE! (for SL)
- ▶ see the next slide for a refresher
- ▶ *Long story short*: There's a feeling I get

When I look to the west

And my spirit is crying for leaving

## Membership Lemma: Case (a)

- ▶ **Case (a):**  $\sim\mathcal{P} \in \Gamma^*$  if and only if  $\mathcal{P} \notin \Gamma^*$
- ▶ Two directions to prove:
  - $\Rightarrow$ : Assume  $\sim\mathcal{P} \in \Gamma^*$ . Then if  $\mathcal{P}$  were in  $\Gamma^*$ , we could derive contradictory sentences.  
So since  $\Gamma^*$  is QND-consistent, we must have  $\mathcal{P} \notin \Gamma^*$
  - $\Leftarrow$ : Assume  $\mathcal{P} \notin \Gamma^*$ . Then adding  $\mathcal{P}$  to  $\Gamma^*$  results in an QND-inconsistent set. Hence, there is some finite subset  $\Delta \subset \Gamma^*$  s.t.  $\Delta \cup \{\mathcal{P}\}$  is QND-inconsistent (i.e. derives contradictory sentence pair).
- ▶ So by negation introduction,  $\Delta \vdash \sim\mathcal{P}$
- ▶ So by **The Stairway**,  $\sim\mathcal{P} \in \Gamma^*$



## Membership Lemma: Case (f) (something Universally new)

- ▶ **Case (f):**  $(\forall \chi) \mathcal{P} \in \Gamma^*$  iff for each constant  $c$ ,  $\mathcal{P}[c/\chi] \in \Gamma^*$
- ▶ Two directions to prove:
  - $\Rightarrow$ : Assume  $(\forall \chi) \mathcal{P} \in \Gamma^*$ 
    - Then **for any** substitution instance  $\mathcal{P}[c/\chi]$ , we note that  $(\forall \chi) \mathcal{P} \vdash_{QND} \mathcal{P}[c/\chi]$  by  $\forall E$ . So by the Stairway,  $\mathcal{P}[c/\chi] \in \Gamma^*$
  - $\Leftarrow$ : Assume  $(\forall \chi) \mathcal{P} \notin \Gamma^*$ . Show that for some constant  $c$ ,  $\mathcal{P}[c/\chi] \notin \Gamma^*$ 
    - Then  $\sim(\forall \chi) \mathcal{P} \in \Gamma^*$  by membership clause (a)
    - Then the derivation on p. 573 or—if I have no life—the derivation on the next slide, shows by the Stairway that  $(\exists \chi) \sim \mathcal{P} \in \Gamma^*$ , i.e.  $\sim(\forall \chi) \mathcal{P} \vdash_{QND} (\exists \chi) \sim \mathcal{P}$
    - Then since  $\Gamma^*$  is  $\exists$ -complete, there is at least one substitution instance  $\sim \mathcal{P}[b/\chi] \in \Gamma^*$ . So by (a),  $\mathcal{P}[b/\chi] \notin \Gamma^*$ , which is what we needed to show.

## Membership Lemma: Case (g) (it's getting existential)

- ▶ **Case (g):**  $(\exists \chi)\mathcal{P} \in \Gamma^*$  iff for at least one constant  $c$ ,  $\mathcal{P}[c/\chi] \in \Gamma^*$
- ▶  $\Rightarrow$ : Assume  $(\exists \chi)\mathcal{P} \in \Gamma^*$   
Then since  $\Gamma^*$  is  $\exists$ -complete, there is at least one substitution instance  $\mathcal{P}[c/\chi] \in \Gamma^*$
- ▶  $\Leftarrow$ : assume that  $\mathcal{P}[c/\chi] \in \Gamma^*$ .  
Note that  $\mathcal{P}[c/\chi] \vdash_{QND} (\exists \chi)\mathcal{P}$  by Existential introduction  
So by the Stairway,  $(\exists \chi)\mathcal{P} \in \Gamma^*$
- ▶ This completes the Membership Lemma, so we proceed to construct a model that satisfies  $\Gamma^*$  (in virtue of being maximally-QND-consistent and  $\exists$ -complete)!

## Stage 3 (ii): Model construction (smart choices=lazy choices)

- ▶ A model's domain can be *any* set of objects. Note that, conveniently, symbols *are* objects (“words are labels on boxes”)
- ▶ We define  $\mathfrak{M}^* := (D, I^*)$  as follows:
  1. Let  $D$  = the set of constant symbols in  $QL'$ , which includes all  $QL$ -constants (e.g. unprimed subscripted constants like  $j_{22}$ )
  2. For the 0-th place predicates, i.e. the sentence letters  $B$ ,  $I^*(B) = \text{true}$  iff  $B \in \Gamma^*$
  3. For each  $QL'$ -constant  $c$ , define  $I^*(c) = c$  (each names itself)
  4. For each  $k$ -place predicate  $P$ ,  $I^*(P) := \text{Ext}(P)$  includes all and only those  $k$ -tuples  $\langle c_1, \dots, c_k \rangle$  such that  $Pc_1 \dots c_k \in \Gamma^*$

## Some important properties of our Model $\mathfrak{M}^*$

---

- ▶ By condition 3, each individual constant refers to a *unique* member of the domain, namely ‘itself’ (now ‘objectified’ in  $D$ !)
- ▶ For each atomic sentence  $\mathcal{A}$  of  $QL'$ ,  $\mathfrak{M}^* \models \mathcal{A}$  iff  $\mathcal{A} \in \Gamma^*$  (follows from conditions 2–4)
- ▶ By condition 3, every member of the domain is named by a constant, namely itself
- ▶ We will occasionally rely on these properties in our induction

## Stage 3 (iii): Induction on $QL'$ (i.e. we still be clubbin')

- ▶ Goal: construct a  $QL'$ -model  $\mathfrak{M}^*$  that satisfies the  $\exists$ -C M-QND-C set  $\Gamma^*$ , i.e. that makes true everything in  $\Gamma^*$  ( $\mathfrak{M}^* \models \Gamma^*$ )
  - Suffices to construct  $\mathfrak{M}^*$  s.t.  $\mathfrak{M}^* \models \mathcal{P}$  iff  $\mathcal{P} \in \Gamma^*$Say that a sentence is “**clubbin'**” whenever it meets this property
- ▶ We induct on the number of logical operators in a  $QL'$  sentence: i.e. the five connectives and two quantifiers (“conquans”)
- ▶ **Base case**: show that each  $QL'$ -sentence with **zero** logical operators is clubbin' (i.e. the  $QL'$ -atomics be clubbin')
- ▶ (Strong) **Induction hypothesis**: assume every  $QL'$  sentence with 1 to  $k$ -many operators is clubbin'
- ▶ Induction step: show that an arbitrary  $QL'$  sentence with  $k+1$ -many operators is clubbin'

## Base Case (true by construction)

- ▶ Consider an arbitrary QL'-sentence  $\mathcal{A}$  that has zero logical operators. (Two directions to show! “iff”)
- ▶ Then  $\mathcal{A}$  is either an atomic sentence letter  $B$  or of the form  $Pc_1 \dots c_n$  for  $n$ -place predicate  $P$ .
- ▶ If a sentence letter, then by part 2 of our definition of  $\mathfrak{M}^*$ ,  $I^*(B) = \text{true}$  iff  $B \in \Gamma^*$  (i.e.  $\mathfrak{M}^* \models B$  iff  $B \in \Gamma^*$ )
- ▶ If  $\mathcal{A}$  is of form  $Pc_1 \dots c_n$ , then by definition  $\mathfrak{M}^* \models Pc_1 \dots c_n$  iff  $\langle c_1^D, \dots, c_n^D \rangle \in \text{Ext}(P)$ .  
By part 4,  $\langle c_1^D, \dots, c_n^D \rangle = \langle c_1, \dots, c_n \rangle \in \text{Ext}(P)$  iff  $Pc_1 \dots c_n \in \Gamma^*$
- ▶ We proceed to do induction using our QL' induction schema: an arbitrary sentence  $\mathcal{P}$  with  $k+1$ -many connectives has one of seven forms, coming from our seven operators

## Induction on QL': Cases 1–5

- ▶ Cases 1–5 are just like what did to prove the completeness of SND
- ▶ See the next slide for a refresher (*mutatis mutandis*)!
- ▶ Need to show:  $\mathcal{P}$  be clubbin', i.e.  $\mathcal{P}$  is true on  $\mathfrak{M}^*$  iff  $\mathcal{P} \in \Gamma^*$ , where  $\mathcal{P}$  is arbitrary QL' sentence with  $k+1$ -many operators
- ▶ **Induction hypothesis**: assume every QL sentence with 1 to  $k$ -many operators is clubbin'
- ▶ Case 1:  $\mathcal{P}$  has the form  $\sim Q$
- ▶ Case 2:  $\mathcal{P}$  has the form  $Q \& \mathcal{R}$
- ▶ Case 3:  $\mathcal{P}$  has the form  $Q \vee \mathcal{R}$
- ▶ Case 4:  $\mathcal{P}$  has the form  $Q \supset \mathcal{R}$
- ▶ Case 5:  $\mathcal{P}$  has the form  $Q \equiv \mathcal{R}$

## Induction on $QL'$ : Case 1

- ▶ **Case 1:**  $\mathcal{P}$  has the form  $\sim Q$ , where since  $Q$  has  $k$ -operators, it is clubbin by the IH (i.e.  $\mathfrak{M}^* \models Q$  if and only if  $Q \in \Gamma^*$ )
- ▶ NTS: (i) (the  $\Rightarrow$ direction) if  $\mathfrak{M}^* \models \mathcal{P}$  then  $\mathcal{P} \in \Gamma^*$  and  
(ii) (the  $\Leftarrow$ direction) if  $\mathcal{P} \in \Gamma^*$ , then  $\mathfrak{M}^* \models \mathcal{P}$   
(Alternative (ii): show contrapositive: if  $\mathfrak{M}^* \not\models \mathcal{P}$ , then  $\mathcal{P} \notin \Gamma^*$ )  
 $\Rightarrow$  if  $\mathfrak{M}^* \models \mathcal{P}$ , then  $\mathfrak{M}^* \not\models Q$ . Since  $Q$  is clubbin', we have  $Q \notin \Gamma^*$   
By Membership lemma (a),  $\sim Q \in \Gamma^*$ , so  $\mathcal{P} \in \Gamma^*$   
 $\Leftarrow$  if  $\mathcal{P} \in \Gamma^*$ , then  $\sim Q \in \Gamma^*$ . So by Membership lemma (a),  $Q \notin \Gamma^*$ .  
Since  $Q$  is clubbin', we have  $\mathfrak{M}^* \not\models Q$ . (i.e.  $Q$  is false in  $\mathfrak{M}^*$ )  
So by the truth conditions for negation,  $\mathcal{P}$  is true in  $\mathfrak{M}^*$ , i.e.  $\mathfrak{M}^* \models \mathcal{P}$



## Induction on $QL'$ : Case 7 (existential quantifier)

- ▶ **Case 7:**  $\mathcal{P}$  has the form  $(\exists \chi)Q$   
(warning: “ $Q$ ” is not a sentence, so sadly it can’t be clubbin’)
  - ▶ We will use Membership Lemma **Case (g)**:  
 $(\exists \chi)Q \in \Gamma^*$  iff for at least one constant  $c$ ,  $Q[c/\chi] \in \Gamma^*$
- $\Rightarrow$  Assume  $\mathfrak{M}^* \models (\exists \chi)Q$ . (need to show that  $(\exists \chi)Q \in \Gamma^*$ )
- Then by the truth-conditions for existential, there is some object  $r \in D$  that satisfies  $Q$ .
  - ‘ $r$ ’ names object  $r$ , so substitution instance  $Q[r/\chi]$  is true in  $\mathfrak{M}^*$
  - This substitution instance has less than  $k + 1$ -operators, so it is clubbin’. Hence, by the IH,  $Q[r/\chi] \in \Gamma^*$  (since  $\mathfrak{M}^* \models Q[r/\chi]$ )
  - So by membership case (g),  $(\exists \chi)Q \in \Gamma^*$

## Induction on QL': Case 7 backwards direction

- ▶ **Case 7:**  $\mathcal{P}$  has the form  $(\exists \chi)Q$
- ▶ Use Membership Lemma **Case (g)**:  
 $(\exists \chi)Q \in \Gamma^*$  iff for at least one constant  $c$ ,  $Q[c/\chi] \in \Gamma^*$
- $\Leftarrow$  Assume  $(\exists \chi)Q \in \Gamma^*$ . Show that  $\mathfrak{M}^* \models (\exists \chi)Q$ 
  - Then by membership case (g), there is at least one substitution instance  $Q[c/\chi] \in \Gamma^*$ , for some constant  $c$
  - Since  $Q[c/\chi]$  has fewer than  $k + 1$ -operators, it is clubbin'.
  - So by the Induction Hypothesis,  $\mathfrak{M}^* \models Q[c/\chi]$ .
  - Since ' $c$ ' names object  $c$ , we see that  $c$  satisfies  $Q$  in  $\mathfrak{M}^*$
  - So by the truth-conditions for existentials,  $(\exists \chi)Q$  is true in  $\mathfrak{M}^*$

## Induction on QL': Case 6 (universal quantifier)

- ▶ **Case 6:**  $\mathcal{P}$  has the form  $(\forall \chi)Q$   
(warning: “ $Q$ ” is not a sentence, so it can't be clubbin')
  - ▶ We will use Membership Lemma **Case (f)**:  
 $(\forall \chi)Q \in \Gamma^*$  iff for each constant  $c$ ,  $Q[c/\chi] \in \Gamma^*$
- $\Rightarrow$  Assume  $\mathfrak{M}^* \models (\forall \chi)Q$ . Show that  $(\forall \chi)Q \in \Gamma^*$
- Then every object satisfies  $Q$ , so every substitution instance for every constant is true in  $\mathfrak{M}^*$  (since each object is named by itself)
  - These  $Q[c/\chi]$  are clubbin' by the IH, so they all belong to  $\Gamma^*$ .  
So then by Membership Lemma case (f),  $(\forall \chi)Q \in \Gamma^*$
- $\Leftarrow$  Assume  $(\forall \chi)Q \in \Gamma^*$ . Show that  $\mathfrak{M}^* \models (\forall \chi)Q$
- Practice this yourself!

## Upshots of our Induction

---

- ▶ Having handled every case (in spirit), we conclude that every sentence of  $QL'$  is clubbin':
- ▶ For all  $QL'$ -sentences  $\mathcal{P}$ ,  $\mathfrak{M}^* \models \mathcal{P}$  iff  $\mathcal{P} \in \Gamma^*$
- ▶ Hence, the  $QL'$ -model  $\mathfrak{M}^*$  makes-true every sentence in  $\Gamma^*$ , showing that this set is satisfiable
- ▶ Hence, we have proven the  **$\exists$ -C Maximal Consistency Lemma**: every  $QL'$  set that is maximally-QND-consistent and  $\exists$ -complete is satisfiable in  $QL'$
- ▶ It remains to prove the **Consistency Lemma**, i.e. that any QND-consistent  $QL$ -set (like our O.G.  $\Gamma$ ) is satisfiable **in  $QL$ !**

## From satisfiability of $\Gamma^*$ to satisfiability of $\Gamma$

- ▶ We have shown that the maximally-QND-consistent and existentially complete  $\Gamma^*$  is satisfiable in  $QL'$
- ▶ It remains to show that QND-consistent  $\Gamma$  is satisfiable **in QL**
- ▶ i.e. we need a QL-model  $\mathfrak{M}$  s.t.  $\mathfrak{M} \models \Gamma$
- ▶ **Hopes and dreams:** by construction  $\Gamma \subset \Gamma^*$ , so  $\mathfrak{M}^* \models \Gamma$  in  $QL'$ . But how are we to get a QL-model for  $\Gamma$  from this?????
- ▶ **Salvation:** note that the model  $\mathfrak{M}^*$  we constructed is *not only* a  $QL'$  model for  $\Gamma^*$  *BUT ALSO* a QL-model for  $\Gamma$ !
- ▶ Since the language of QL is contained in  $QL'$ ,  $\mathfrak{M}^* := (D, I^*)$  maps all symbols of QL to objects in  $D$
- ▶ If you like, you can define a QL-model  $\mathfrak{M} := (D, I)$  s.t.  $I$  is the restriction of  $I^*$  to unprimed constants in QL. Then  $\mathfrak{M} \models \Gamma$ .
- ▶  $\square$  Q.E.D. MOST BLESSED STUDENTS!!! (i.e. *quod erat demonstrandum*)

## Did we need to manually enforce $\exists$ -completeness?

- ▶ In our condition (iii) for building up  $\Gamma^*$ , we manually enforced adding a substitution instance to our growing  $\Gamma_{k+1}$  whenever we add an existential sentence.
- ▶ Some have wondered: shouldn't condition (ii) take care of this? Substitution instances are  $QL'$  sentences, so they arise at some  $k$  in our enumeration as well
- ▶ Really the issue is the following: are there maximally QND-consistent sets that are NOT existentially-complete? If so, then our condition (iii) is not idle
- ▶ So to show the necessity of our condition (iii) (or something like it), it suffices to construct a maximally QND-consistent set that has an existential sentence but no substitution instances for it.

## A maximally QND-consistent but existentially INCOMPLETE set

- ▶ Let  $\Gamma_0$  be the set  $\{(\exists x)\sim Fx\}$
- ▶ Its substitution instances have the form  $\sim F[c/x]$ , e.g.  $\sim Fc$ .
- ▶ Notice that the ‘enemies’ of these substitution instances always occur earlier in our enumeration, e.g.  $Fc$  occurs before  $\sim Fc$ ,  $Fj'_{22}$  occurs before  $\sim Fj'_{22}$  (the enemies always have one less symbol, so their index has two fewer digits)
- ▶ So imagine that we dropped condition (iii) and built up  $\Gamma^*$  using only conditions (i) and (ii).
- ▶ then  $\Gamma^*$  would contain  $(\exists x)\sim Fx$  and every instance of an ‘enemy’ substitution instance:  $Fc$  for all constants  $c$
- ▶  $\Gamma^*$  would NOT contain a single substitution instance of  $(\exists x)\sim Fx$  because every time we hit a  $\sim Fc$  at its stage,  $\Gamma_k$  would already contain its enemy  $Fc$ , so that adding  $\sim Fc$  would result in a QND-inconsistent set.

## Some remaining concerns about this construction

- ▶ Intuitively, you might think that a set containing  $(\exists x)\sim Fx$  and all these enemies  $Fc$  would be QND-inconsistent.
- ▶ But it is not! Note that from existential elimination, we cannot start our subproof with a constant occurring in a premise, and these ‘enemies’ would be premises. So there is no way to derive a contradiction
- ▶ Similarly, we can NOT go from an enemy to a contradictory universal  $(\forall x)Fx$  because the constant can’t occur in a premise
- ▶ Notice as well that the membership lemma would fail. We would have every instance of  $Fc$  but  $\Gamma^*$  would NOT contain  $(\forall x)Fx$  because this sentence is QND-inconsistent with  $(\exists x)\sim Fx$  (as an 8-line deduction shows)