

# Completeness of $\text{FOL}^=$

LOGIC I

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## Basic Lemmas

- L9.1** If  $\hat{a}(\alpha) = \hat{c}(\alpha)$  for all free variables  $\alpha$  in a wff  $\varphi$ , then  $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi) = \mathcal{V}_{\mathcal{I}}^{\hat{c}}(\varphi)$ .
- L11.5**  $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi) = \mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi[\beta/\alpha])$  if  $\mathfrak{v}_{\mathcal{I}}^{\hat{a}}(\alpha) = \mathfrak{v}_{\mathcal{I}}^{\hat{a}}(\beta)$  and  $\beta$  is free for  $\alpha$  in  $\varphi$ .
- L11.6** If  $\mathcal{M}$  and  $\mathcal{M}'$  have the same domain  $\mathbb{D}$  where  $\mathcal{I}(\mathcal{F}^n) = \mathcal{I}'(\mathcal{F}^n)$  and  $\mathcal{I}(\alpha) = \mathcal{I}'(\alpha)$  for every  $n$ -place predicate  $\mathcal{F}^n$  and constant  $\alpha$  that occurs in a wff  $\varphi$ , then  $\mathcal{V}_{\mathcal{I}}^{\hat{a}}(\varphi) = \mathcal{V}_{\mathcal{I}'}^{\hat{a}}(\varphi)$  for any v.a.  $\hat{a}$  defined over  $\mathbb{D}$ .
- L12.1** If  $\alpha$  is a constant and  $X$  is an  $\text{FOL}^=$  derivation in which the constant  $\beta$  does not occur, then  $X[\beta/\alpha]$  is also an  $\text{FOL}^=$  derivation.
- L12.3** If  $\Lambda \cup \{\varphi\}$  is inconsistent, then  $\Lambda \vdash \neg\varphi$ .
- L12.4** If  $\Lambda \vdash \varphi$  and  $\Pi \cup \{\varphi\} \vdash \psi$ , then  $\Lambda \cup \Pi \vdash \psi$ .
- L12.6** If  $\Lambda \cup \{\varphi\}$  and  $\Lambda \cup \{\neg\varphi\}$  are both inconsistent, then  $\Lambda$  is inconsistent.
- L12.9** If  $\Lambda \vdash \varphi$  and  $\Lambda \vdash \neg\varphi$ , then  $\Lambda$  is inconsistent.
- L12.11** If  $\Lambda \vdash \varphi$ , then  $\Lambda \cup \Pi \vdash \varphi$ .

## Completeness

**T12.1** Every consistent set of  $\mathcal{L}^=$  wfss  $\Gamma$  is satisfiable.

*Completeness:* If  $\Gamma \models \varphi$ , then  $\Gamma \vdash \varphi$ .

- Assuming  $\Gamma \models \varphi$ , we know  $\Gamma \cup \{\neg\varphi\}$  is unsatisfiable (check).
- So  $\Gamma \cup \{\neg\varphi\}$  is inconsistent by **T12.1**.
- So  $\Gamma \vdash \neg\neg\varphi$  by **L12.3**, and so  $\Gamma \vdash \varphi$  by DN and **L12.4**.

*Assume:* Let  $\Gamma$  be a set of  $\mathcal{L}^=$  wfss that is consistent in  $\text{FOL}^=$ .

## Saturation

*Extension:* Let  $\mathcal{L}_{\mathbb{N}}^=$  include the extra constants  $\mathbb{N}$  where  $\mathbb{C}$  is the set of all constants.

*Free:* Let  $\varphi(\alpha)$  be a wff of  $\mathcal{L}_{\mathbb{N}}^=$  with at most one free variable  $\alpha$ .

*Saturated:* A set of wfss  $\Sigma$  is SATURATED in  $\mathcal{L}_{\mathbb{N}}^=$  just in case for each wff  $\varphi(\alpha)$  of  $\mathcal{L}_{\mathbb{N}}^=$ , there is a constant  $\beta$  where  $(\exists\alpha\varphi \rightarrow \varphi[\beta/\alpha]) \in \Sigma$ .

**L12.2**  $\Gamma$  is consistent in  $\text{FOL}_{\mathbb{N}}^=$ . (We will drop ‘in  $\text{FOL}_{\mathbb{N}}^=$ ’)

*Free Enumeration:* Let  $\varphi_1(\alpha_1), \varphi_2(\alpha_2), \varphi_3(\alpha_3), \dots$  enumerate all wffs of  $\mathcal{L}_{\mathbb{N}}^=$  with at most one free variable.

*Witnesses:*  $\theta_1 = (\exists \alpha_1 \varphi_1 \rightarrow \varphi_1[n_1/\alpha_1])$  where  $n_1 \in \mathbb{N}$  is the first constant not in  $\varphi_1$ .

$\theta_{k+1} = (\exists \alpha_{k+1} \varphi_{k+1} \rightarrow \varphi_{k+1}[n_{k+1}/\alpha_{k+1}])$  where  $n_{k+1} \in \mathbb{N}$  is the first constant not in  $\varphi_{k+1}$  or  $\theta_j$  for any  $j \leq k$ .

*Saturation:*  $\Sigma_0 = \Gamma$ ,  
 $\Sigma_{n+1} = \Sigma_n \cup \{\theta_{n+1}\}$ , and  
 $\Sigma_\Gamma = \bigcup_{i \in \mathbb{N}} \Sigma_i$ .

**L12.5**  $\Sigma_\Gamma$  is consistent and saturated in  $\mathcal{L}_{\mathbb{N}}^=$ .

*Base:*  $\Sigma_0 = \Gamma$  is consistent.

*Induction:* Assume  $\Sigma_m$  is consistent.

- Assume  $\Sigma_{m+1} = \Sigma_m \cup \{\theta_{m+1}\}$  is inconsistent for contradiction.
- So  $\Sigma_m \vdash \neg \theta_{m+1}$  by **L12.3**, and so  $\Sigma_m \vdash \neg(\exists \alpha_{m+1} \varphi_{m+1} \rightarrow \varphi_{m+1}[n_{m+1}/\alpha_{m+1}])$ .
- So  $\Sigma_m \vdash \exists \alpha_{m+1} \varphi_{m+1}$  and  $\Sigma_m \vdash \neg \varphi_{m+1}[n_{m+1}/\alpha_{m+1}]$  by derived PL rules.
- So  $\Sigma_m \vdash \forall \alpha_{m+1} \neg \varphi_{m+1}$  by  $\forall I$  since  $n_{m+1}$  is not in  $\forall \alpha_{m+1} \neg \varphi_{m+1}$  or  $\Sigma_m$ .
- So  $\Sigma_m \vdash \neg \exists \alpha_{m+1} \varphi_{m+1}$  by  $\forall \neg$ , and so  $\Sigma_m$  is inconsistent by **L12.9**.
- It follows by *reductio* that  $\Sigma_{m+1}$  is consistent.
- By weak induction, we know that  $\Sigma_k$  is consistent for all  $k \in \mathbb{N}$ .

*Limit:* If  $\Sigma_\Gamma$  is inconsistent, then  $X$  derives  $\perp$  from  $\Sigma_\Gamma$  in  $\text{FOL}_{\mathbb{N}}^=$ .

- Since  $X$  is finite,  $\Sigma_m \vdash \perp$  for some  $m \in \mathbb{N}$  including all premises in  $X$ .
- So  $\Sigma_m$  is inconsistent, contradicting the above.
- By *reductio*,  $\Sigma_\Gamma$  is consistent.

## Maximization

*Maximal:* A set of wfss  $\Delta$  is MAXIMAL in  $\mathcal{L}_{\mathbb{N}}^=$  just in case either  $\psi \in \Delta$  or  $\neg \psi \in \Delta$  for every wfs  $\psi$  in  $\mathcal{L}_{\mathbb{N}}^=$ .

*Full Enumeration:* Let  $\psi_0, \psi_1, \psi_2, \dots$  enumerate all wfss in  $\mathcal{L}_{\mathbb{N}}^=$ .

*Maximization:*  $\Delta_0 = \Sigma$ ,  

$$\Delta_{n+1} = \begin{cases} \Delta_n \cup \{\psi_n\} & \text{if } \Gamma_n \cup \{\psi_n\} \text{ is consistent} \\ \Delta_n \cup \{\neg \psi_n\} & \text{otherwise.} \end{cases},$$

$$\Delta_\Sigma = \bigcup_{i \in \mathbb{N}} \Delta_i.$$

**L12.7**  $\Delta = \Delta_{\Sigma_\Gamma}$  is maximal in  $\mathcal{L}_{\mathbb{N}}^=$  and consistent.

*Case 1:*  $\Delta_n \cup \{\psi_n\}$  is consistent, and so  $\Delta_{n+1} = \Delta_n \cup \{\psi_n\}$  is consistent.

*Case 2:*  $\Delta_n \cup \{\psi_n\}$  is not consistent, and so  $\Delta_{n+1} = \Delta_n \cup \{\neg \psi_n\}$ .

- If  $\Delta_n \cup \{\neg \psi_n\}$  is inconsistent, then  $\Delta_n$  is inconsistent by **L12.6**.
- So  $\Delta_{n+1}$  is consistent in both cases.

- If  $\Delta_\Sigma$  is inconsistent, then  $\Delta_m \vdash \perp$  for some  $m \in \mathbb{N}$ .
- Maximality is immediate.

**L12.8**  $\Gamma \subseteq \Sigma_\Gamma \subseteq \Delta$  where  $\Delta$  is saturated.

**L12.10**  $\varphi \in \Delta$  whenever  $\Delta \vdash \varphi$ .

- Assuming  $\Delta \vdash \varphi$ , we know  $\Delta \not\vdash \neg\varphi$  by **L12.9**.
- So  $\neg\varphi \notin \Delta$  since otherwise  $\Delta \vdash \neg\varphi$ .
- Thus  $\varphi \in \Delta$  by maximality.

## Henkin Model

*Element:*  $[\alpha]_\Delta = \{\beta \in \mathbb{C} : \alpha = \beta \in \Delta\}$ .

*Domain:*  $\mathbb{D}_\Delta = \{[\alpha]_\Delta \subseteq \mathbb{C} : \alpha \in \mathbb{C}\}$ .

**L12.12**  $\alpha \in [\alpha]_\Delta$  for any constant  $\alpha \in \mathbb{C}$ .

- Still need to check that elements in  $\mathbb{D}_\Delta$  are well defined.

**L12.13** If  $\alpha = \beta \in \Delta$ , then  $[\alpha]_\Delta = [\beta]_\Delta$ .

- Assuming  $\alpha = \beta \in \Delta$  where  $\gamma \in [\alpha]_\Delta$ , we know  $\alpha = \gamma \in \Delta$ .
- So  $\alpha = \beta, \alpha = \gamma \vdash \beta = \gamma$  by  $=E$ , and so  $\Delta \vdash \beta = \gamma$  by **L12.11**.
- Thus  $\beta = \gamma \in \Delta$  by **L12.10**, and so  $\gamma \in [\beta]_\Delta$ , hence  $[\alpha]_\Delta \subseteq [\beta]_\Delta$ .

*Constants:*  $\mathcal{I}_\Delta(\alpha) = [\alpha]_\Delta$  for all constants  $\alpha \in \mathbb{C}$ .

*Predicates:*  $\mathcal{I}_\Delta(\mathcal{F}^n) = \{\langle [\alpha_1]_\Delta, \dots, [\alpha_n]_\Delta \rangle \in \mathbb{D}_\Delta^n : \mathcal{F}^n \alpha_1, \dots, \alpha_n \in \Delta\}$ .

**L12.14** If  $\alpha_i = \beta_i \in \Delta$ , then  $\mathcal{F}^n \alpha_1, \dots, \alpha_n \in \Delta$  iff  $\mathcal{F}^n \alpha_1, \dots, \alpha_n [\beta_i / \alpha_i] \in \Delta$ .

- Assume  $\alpha_i = \beta_i \in \Delta$  where  $\mathcal{F}^n \alpha_1, \dots, \alpha_n \in \Delta$ .
- $\Delta \vdash \mathcal{F}^n \alpha_1, \dots, \alpha_n [\beta_i / \alpha_i]$  by  $=E$ , so  $\mathcal{F}^n \alpha_1, \dots, \alpha_n [\beta_i / \alpha_i] \in \Delta$  by **L12.10**.
- Parity of reasoning completes the proof.

## Henkin Lemmas

**L12.15**  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\exists \alpha \psi) = 1$  just in case  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\psi[\beta / \alpha]) = 1$  for some constant  $\beta \in \mathbb{C}$ .

- Letting  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\exists \alpha \psi) = 1$ , we know  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{c}}(\psi) = 1$  for some  $\alpha$ -variant  $\hat{c}$  of  $\hat{a}$ .
- So  $\hat{c}(\alpha) = [\beta]_\Delta$  for some  $\beta \in \mathbb{C}$ , so  $\hat{c}(\alpha) = \mathcal{I}_\Delta(\beta)$  since  $\mathcal{I}_\Delta(\beta) = [\beta]_\Delta$ .
- Thus  $\mathfrak{v}_{\mathcal{I}}^{\hat{c}}(\alpha) = \mathfrak{v}_{\mathcal{I}}^{\hat{c}}(\beta)$ , and so  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{c}}(\psi) = \mathcal{V}_{\mathcal{I}_\Delta}^{\hat{c}}(\psi[\beta / \alpha])$  by **L11.5**.
- So  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{c}}(\psi[\beta / \alpha]) = 1$ , and so  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\psi[\beta / \alpha]) = 1$  by **L9.1**.
- Assume instead that  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\psi[\beta / \alpha]) = 1$  for some  $\beta \in \mathbb{C}$ .
- Let  $\hat{c}$  be the  $\alpha$ -variant of  $\hat{a}$  where  $\hat{c}(\alpha) = \mathcal{I}_\Delta(\beta)$ , so  $\mathfrak{v}_{\mathcal{I}}^{\hat{c}}(\alpha) = \mathfrak{v}_{\mathcal{I}}^{\hat{c}}(\beta)$ .

- Thus  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{c}}(\varphi) = \mathcal{V}_{\mathcal{I}_\Delta}^{\hat{c}}(\varphi[\beta/\alpha])$  by **L11.5**, and so  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\exists\alpha\varphi) = 1$ .

**L12.16**  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\forall\alpha\varphi) = 1$  just in case  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\varphi[\beta/\alpha]) = 1$  for all constants  $\beta \in \mathbb{C}$ .

- Similar to **L12.15**.

**L12.17**  $\mathcal{V}_{\mathcal{I}_\Delta}(\varphi) = 1$  just in case  $\varphi \in \Delta$ . (Let  $\hat{a}$  be arbitrary.)

*Base:*  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\alpha_1 = \alpha_2) = 1$  iff  $\mathcal{I}_\Delta(\alpha_1) = \mathcal{I}_\Delta(\alpha_2)$  iff  $[\alpha_1]_\Delta = [\alpha_2]_\Delta$  iff  $\alpha_1 = \alpha_2 \in \Delta$ .

- If  $[\alpha_1]_\Delta = [\alpha_2]_\Delta$ , then  $\alpha_2 \in [\alpha_1]_\Delta$  by **L12.12**, and so  $\alpha_2 \in [\alpha_1]_\Delta$ .
- Thus  $\alpha_1 = \alpha_2 \in \Delta$  by definition, and the converse holds by **L12.13**.

*Induction:* Assume  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\varphi) = 1$  just in case  $\varphi \in \Delta$  whenever  $\text{Comp}(\varphi) \leq n$ .

- Let  $\varphi$  be a wfs of  $\mathcal{L}_{\mathbb{N}}^=$  where  $\text{Comp}(\varphi) = n + 1$ .

*Case 1:*  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\neg\psi) = 1$  iff  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\psi) \neq 1$  iff  $\psi \notin \Delta$  iff  $\neg\psi \in \Delta$ .

*Case 2:*  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\psi \wedge \chi) = 1$  iff  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\psi) = \mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\chi) = 1$  iff  $\psi, \chi \in \Delta$  iff  $\psi \wedge \chi \in \Delta$ .

*Case 6:*  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\exists\alpha\psi) = 1$  iff  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\psi[\beta/\alpha]) = 1$  for some  $\beta \in \mathbb{C}$  by **L12.15**.

... iff  $\psi[\beta/\alpha] \in \Delta$  for some  $\beta \in \mathbb{C}$  by hypothesis.

( $\exists$ ) ... iff  $\exists\alpha\psi \in \Delta$  by  $\exists\text{I}$  and **L12.10** given saturation.

- If  $\psi[\beta/\alpha] \in \Delta$  for some  $\beta \in \mathbb{C}$ , then  $\Delta \vdash \exists\alpha\psi$  by  $\exists\text{I}$ , so  $\exists\alpha\psi \in \Delta$  by **L12.10**.
- If  $\exists\alpha\psi \in \Delta$  instead, then  $\psi = \varphi_i(\alpha_i)$  for some  $i \in \mathbb{N}$  where  $\alpha_i = \alpha$ .
- Thus  $\exists\alpha_i\varphi_i \rightarrow \varphi_i[n_i/\alpha_i] \in \Delta$  by the saturation assumed of  $\Delta$ .
- Since  $n_i \in \mathbb{C}$ , it follows that  $\exists\alpha\psi \rightarrow \psi[\beta/\alpha] \in \Delta$  for some  $\beta \in \mathbb{C}$ , and so  $\Delta \vdash \psi[\beta/\alpha]$  by conditional elimination  $\rightarrow\text{E}$ .
- Thus **L12.10** that  $\psi[\beta/\alpha] \in \Delta$ , thereby establishing ( $\exists$ ).

*Conclusion:* So  $\mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\varphi) = 1$  just in case  $\varphi \in \Delta$ , from which the lemma follows.

## Restriction

*Restriction:*  $\mathcal{I}'_\Delta(\alpha) = [\alpha]_\Delta$  for every constant  $\alpha$  in  $\mathcal{L}_{\mathbb{N}}^=$ .

$\mathcal{I}'_\Delta(\mathcal{F}^n) = \mathcal{I}_\Delta(\mathcal{F}^n)$  for all  $n$ -place predicates  $\mathcal{F}^n$ .

**L12.18** For any wfs  $\varphi$  of  $\mathcal{L}_{\mathbb{N}}^=$ ,  $\mathcal{M}'_\Delta$  satisfies  $\varphi$  just in case  $\mathcal{M}_\Delta$  satisfies  $\varphi$ .

- $\mathcal{V}_{\mathcal{I}'_\Delta}^{\hat{a}}(\varphi) = \mathcal{V}_{\mathcal{I}_\Delta}^{\hat{a}}(\varphi)$  for any variable assignment  $\hat{a}$  by **L11.6**.

**T12.1** Every consistent set  $\Gamma$  of wfss in  $\mathcal{L}_{\mathbb{N}}^=$  is satisfiable.

- Let  $\Gamma$  be a consistent set of  $\mathcal{L}^=$  sentences in  $\text{FOL}^=$ .
- By **L12.2**,  $\Gamma$  is a set of  $\mathcal{L}_{\mathbb{N}}^=$  sentences that is consistent in  $\text{FOL}_{\mathbb{N}}^=$ .
- So  $\Sigma_\Gamma$  is consistent and saturated in  $\mathcal{L}_{\mathbb{N}}^=$  by **L12.5**.
- Given **L12.7** and **L12.8**,  $\Delta_{\Sigma_\Gamma}$  is a saturated maximal consistent set of sentences in  $\mathcal{L}_{\mathbb{N}}^=$  where  $\Gamma \subseteq \Delta_{\Sigma_\Gamma}$ .

- Letting  $\Delta = \Delta_{\Sigma_\Gamma}$ , **L12.7** shows that the Henkin model  $\mathcal{M}_\Delta$  satisfies  $\varphi$  just in case  $\varphi \in \Delta$ , and so  $\mathcal{M}_\Delta$  satisfies  $\Delta$ .
- Having shown that  $\Gamma \subseteq \Delta$ , we know that  $\mathcal{M}_\Delta$  satisfies  $\Gamma$ .
- Since  $\Gamma$  is a set of  $\mathcal{L}^=$  sentences, it follows by **L12.18** that there is a model  $\mathcal{M}'_\Delta$  of  $\mathcal{L}^=$  that satisfies  $\Gamma$ .
- Thus  $\Gamma$  is satisfiable.

## Compactness

**C12.2** If  $\Gamma \models \varphi$ , then there is a finite subset  $\Lambda \subseteq \Gamma$  where  $\Lambda \models \varphi$ .

**C12.3**  $\Gamma$  is satisfiable if every finite subset  $\Lambda \subseteq \Gamma$  is satisfiable.

## Final Exam Review

*Regimentation:* (a) No two individuals are at least as tall as each other. Sanna is at least as tall as the finalist, and the finalist is at least as tall as Sanna. Thus, Sanna is the finalist.

*Models:* (a)  $Qab, Qba \not\models a = b$ .

(b)  $\forall x \forall y (Px \supset (Py \supset x \neq y)) \not\models \exists x \exists y x \neq y$ .

*Equivalence:*  $\exists x (\forall y (Py \supset x = y) \wedge Px) \models \exists x \forall y (Py \equiv x = y)$ .

*Relations:* (a)  $R$  is symmetric and antisymmetric. Therefore  $R$  is reflexive.

(b)  $R$  is asymmetric. Therefore  $R$  is antisymmetric.