# 5. Metalogic for STD

- 1. Metalogic for STD
- 1.1 Big Picture Stuff
- 1.2 Soundness of System STD

Working through some (sub)cases

- 1.3 Testing Alternative Rules: Soundness
- 1.4 Completeness of System STD

Becoming complete

A Key fact from Our Construction

1.5 Testing Alternative Rules: Completeness

5. Metalogic for STD

a. Big Picture Stuff

### Trees as a Shortcut, provided that...

- ► As we have seen, trees provide a shortcut for demonstrating that a set of sentences is inconsistent (i.e. unsatisfiable): construct a tree whose root is these sentences s.t. all branches close
- Underwrites further shortcuts for demonstrating:
  - 1.) that an argument is valid (its premises and negated conclusion are unsatisfiable)
  - 2.) that a sentence is a tautology (its negation is unsatisfiable)
  - 3.) that two sentences are logically equivalent
- ▶ But our shortcuts are justified only if system STD is sound
- ► And guaranteed to have a shortcut only if system STD is *complete*

### A Tale of Two Turnstiles: the syntactic one

- ightharpoonup Recall that the single turnstile ' $\vdash_{STD}$ ' stands for provability (aka derivability) within our proof system STD
- ▶ " $\Gamma \vdash_{STD} \Theta$ " means that we can derive  $\Theta$  from  $\Gamma$ , within STD. The argument with premises  $\Gamma$  and conclusion  $\Theta$  is 'tree-valid'
- ▶ Equivalently, this means that  $\Gamma \cup \{\sim \Theta\}$  is tree-inconsistent: There is a tree with this set as the root s.t. all branches close
- ightharpoonup Throughout, ' $\Gamma$ ' is a *finite* set of SL sentences

### A Tale of Two Turnstiles: the semantic one

- ► Recall that the double turnstile '⊨' stands for semantic entailment (aka logical consequence) within (classical) sentential logic SL.
- ▶ " $\Gamma \models \Theta$ " means that  $\Gamma$  logically entails  $\Theta$ Whenever the premises in  $\Gamma$  are true, the conclusion  $\Theta$  is true
- ► Equivalently: there is no truth-value assignment (TVA) s.t. Γ is satisfied while Θ is false
- ▶ Equivalently, this means that  $\Gamma \cup \{\sim \Theta\}$  is logically inconsistent: no TVA satisfies the premises and negated conclusion

### Promises Made, Promises Kept

- ▶ By proving that our tree system is *sound*, we show that these shortcut arguments are rigorous (they never lead us astray)
  - **Sound**: If  $\Gamma \vdash_{STD} \Theta$ , then  $\Gamma \vDash \Theta$
  - (syntactic to semantic: i.e. we chose 'good' rules!)
- ▶ By proving that our tree system is *complete*, we will show that we never need truth tables: trees suffice
  - Complete: If  $\Gamma \models \Theta$ , then  $\Gamma \vdash_{STD} \Theta$
  - (semantic notions are fully covered by our syntactic rules)
  - (Means: we wrote down *enough* rules!)

### **Basic Proof Strategy**

- Notice that both soundness and completeness are if-then statements (i.e. of the form  $P \supset Q$ ):
  - **Sound**: If  $\Gamma \vdash_{STD} \Theta$ , then  $\Gamma \vDash \Theta$
  - Complete: If  $\Gamma \vDash \Theta$ , then  $\Gamma \vdash_{STD} \Theta$
- ► Hence, they are logically equivalent to their contrapositives:
  - Contrapositive of  $(P \supset Q)$  is  $(\sim Q \supset \sim P)$
  - Soundness: If  $\Gamma \nvDash \Theta$ , then  $\Gamma \nvDash_{STD} \Theta$
  - Completeness: If  $\Gamma \nvdash_{STD} \Theta$ , then  $\Gamma \nvDash \Theta$
- ► We will prove the contrapositives, using induction! Wooooo!

5. Metalogic for STD

b. Soundness of System STD

### Sounding out Soundness

- ▶ **Sound**: If  $\Gamma \vdash_{STD} \Theta$ , then  $\Gamma \vDash \Theta$
- ► Sound<sub>contra</sub>: If  $\Gamma \nvDash \Theta$ , then  $\Gamma \nvDash_{STD} \Theta$
- As always: ask what this means, based on the definitions:
- ▶ " $\Gamma \nvDash \Theta$ " means that *it is not the case that* the set  $\Gamma$  entails  $\Theta$ , i.e. there is a TVA where  $\Gamma$  is true but  $\Theta$  is false.
  - So on this TVA,  $\sim \Theta$  is true  $\Rightarrow \Gamma \cup \{\sim \Theta\}$  is CONSISTENT

### **Analyzing Key Definitions Continued**

- ▶ Sound<sub>contra</sub>: If  $\Gamma \nvDash \Theta$ , then  $\Gamma \nvDash_{STD} \Theta$
- ightharpoonup " $\Gamma 
  varphi_{STD} \Theta$ " means that it is not the case that the argument from  $\Gamma$  to  $\Theta$  is tree-valid
  - i.e., it is not the case that there exists a tree with root  $\Gamma \cup \{ \sim \Theta \}$  that possesses all closed branches
  - Equivalently: ANY tree with root  $\Gamma \cup \{\sim \Theta\}$  possesses at least one complete open branch
  - (Aside: this is NOT the same as saying that the argument is tree-invalid, since that only requires the existence of a single tree with a complete open branch)

### **Putting these Two Pieces Together**

- ▶ Assume  $\Gamma \nvDash \Theta$ , i.e. assume that  $\Gamma \cup \{\sim \Theta\}$  is **CONSISTENT** 
  - ullet Then, there is a TVA that makes the premises true and the conclusion false. Call this TVA ' $\mathcal{I}$ ', which we'll use throughout
- ► Need to Show: ANY tree with root  $\Gamma \cup \{ \sim \Theta \}$  possesses a complete open branch (i.e. the argument is NOT tree-valid)
- ► Equivalently, we are showing that if the root is consistent, then any tree with that root possesses a complete open branch
- ► (i.e. whenever the root is satisfiable, the tree will not close)

### Key Facts about our TVA ${\mathcal I}$

- ightharpoonup By definition,  $\mathcal I$  makes  $\Theta$  false but everything in  $\Gamma$  true
- ▶ As a TVA,  $\mathcal{I}$  assigns either 'true' or 'false' (exclusive—or) to every atomic sentence letter appearing in the sentences of  $\Gamma$  and  $\Theta$
- $\blacktriangleright$  Hence,  $\mathcal I$  determines a truth value for any wff built from these atomic sentences
- $\blacktriangleright$  for any such wff  $\Psi$  ,  ${\cal I}$  makes  $\Psi$  true if and only if it makes  $\sim\!\Psi$  false

### Some Convenient Definitions to Streamline our Inductive Proof

- ightharpoonup Call a tree **good** if its root contains only  $\sim\Theta$  and the wff in  $\Gamma$
- ► Say that a branch in a good tree is an  $\mathcal{I}$ -branch if every wff on it is  $\mathcal{I}$ -true, i.e. true according to  $\mathcal{I}$
- Note that each  $\mathcal{I}$ -branch is open, since  $\mathcal{I}$  cannot make true both a wff and its negation (so an  $\mathcal{I}$ -branch can't be closed!)
- ▶ **Proof plan**: show that every **good** tree contains an  $\mathcal{I}$ -**branch**
- ► This will include all the completed good trees, which will have a *complete* open branch, which is what we want to show

### Set-Up for Induction

- ► Trees have a recursive structure (they 'grow' by nine rules),
  ⇒ we can perform proof by induction (woooooooo)!
- We'll do complete induction over the number of nodes! (could also do ordinary induction on # of times we apply a rule)
- ► Base case: the smallest good tree (1 node)
- ▶ Induction hypothesis: Assume that every good tree with n-many nodes, where  $1 \le n < k$ , contains an  $\mathcal{I}$ -branch
- ▶ Induction Step: show that an arbitrary good tree with k nodes also contains an  $\mathcal{I}$ -branch (where k > 1)

# Getting this Party Started: the Base Case

► Base case: consider a good tree with one node

- ▶ This is just the root  $\Gamma \cup \{\sim \Theta\}$
- $\blacktriangleright$  By definition, the TVA  ${\mathcal I}$  satisfies the root
- ightharpoonup root is an  $\mathcal{I}$ -branch, i.e. every wff on it is true according to  $\mathcal{I}$

### Keeping the Party Going

- ightharpoonup Consider an arbitrary good tree 'Theodore' with k nodes (k > 1)
- ▶ Then there must be a good tree 'Theo' with  $1 \le n < k$  nodes such that 'Theodore' results from applying one of our nine STD rules to 'Theo'. Call this rule 'Ruby'  $\in \{\sim, \&, \lor, \supset, \equiv, \sim \&, \sim \lor, \sim \supset, \sim \equiv\}$
- ▶ Since 'Theo' falls within the scope of our induction hypothesis, 'Theo' has at least one  $\mathcal{I}-branch$ , called 'Ida'
- ► Case a) Ruby extends a different open branch than Ida. Then Theodore still has  $\mathcal{I}$ -branch Ida, and so has an  $\mathcal{I}$ -branch
- ► Case b) Ruby extends Ida into branchlet(s). We need to show that for any rule, at least one of the branchlets is an  $\mathcal{I}$ -branch

### The Simple way of Putting Case b)

- ▶ i.e., each of our nine rules preserves the property of having at least one  $\mathcal{I}$ -branch (i.e. a branch that satisfies the root)
- ► The book's induction step basically begins here at 'Case b'. We've just justified why it's okay to jump right to Case b.
- ▶ This mirrors our lazy induction schema for SL: where we start with two arbitrary wffs  $\Phi$  and  $\Psi$  that have the property, and show that any application of the SL recursion clause preserves the property

### Subcase i) Ruby is Double Negation ( $\sim$ )

- ► Suppose Theo's  $\mathcal{I}$ -branch Ida contains  $\sim \sim \Psi$
- ► Ruby extends Ida to 'Idaho' by adding Ψ
- $\blacktriangleright$  By assumption,  ${\sim}{\sim}\Psi$  is true according to  ${\mathcal I}$ 
  - $\Rightarrow \Psi$  is also true according to  ${\cal I}$
  - (Since otherwise,  $\sim \Psi$  would be  $\mathcal{I}$ -true, but then  $\sim \sim \Psi$  would be  $\mathcal{I}$ -false, which would contradict our assumption)
  - ullet So Idaho is also an  $\mathcal{I}-$ branch, this time of Theodore
- $\blacktriangleright$  Hence, our beloved Theodore contains an  $\mathcal{I}$ -branch

### Subcase iii) Ruby is Negated Conjunction ( $\sim$ &)

- ▶ Suppose Theo's  $\mathcal{I}$ -branch Ida contains  $\sim (\Phi \& \Psi)$
- ▶ Ruby extends Ida by splitting her into a branchlet with  $\sim\!\!\Phi$  and a branchlet with  $\sim\!\!\Psi$
- ▶ By assumption,  $\sim$ ( $\Phi$  &  $\Psi$ ) is true according to  $\mathcal I$ 
  - So  $\mathcal I$  cannot assign 0 to both  $\sim \Phi$  and  $\sim \Psi$ , for if it did, then it would assign 1 to both  $\Phi$  and  $\Psi$ , and hence 0 to  $\sim (\Phi \& \Psi)$  (which would contradict our assumption)
  - So  $\mathcal I$  must make at least one of  $\sim \Phi$  or  $\sim \Psi$  true
  - So at least one of our two branchlets must be an  $\mathcal{I}$ -branch
- $\blacktriangleright$  Hence, Theodore contains at least one  $\mathcal{I}$ -branch

Soundness

c. Testing Alternative Rules:

5. Metalogic for STD

### Modifying system STD

- ► What if we had chosen an alternative rule(s)?
- ► Simplest case: we swap out one of our nine rules for a different rule, i.e. for a given connective or negated connective.
- Call our modified system 'STD\*'
- ► Would our modified system *STD\** remain Sound?
- ► Would our modified system *STD\** remain Complete?

### Checking Soundness: "top-down" reasoning

- ► Heuristic for Soundness checking: consider an arbitrary truth value assignment (TVA) that satisfies the sentence being resolved (i.e. makes true the sentence 'at the top' of the rule)
- Ask whether this TVA guarantees that at least one node below is satisfied, i.e. the sentences on that node are all made true
- ▶ If 'yes', then the rule preserves soundness: proceed to extend our soundness proof for this case (reasoning in terms of a TVA ' $\mathcal{I}$ ').
- ► If 'no', then the rule BREAKS soundness: proceed to construct a counter-example using the rule.

### Counterexamples to Soundness

- ➤ To show soundness fails, it is NECESSARY to provide a CONCRETE counterexample, using SL sentences
  - Further heuristic reasoning about TVAs is not enough!

- What you need for a counterexample to soundness:
  - 1.) Choose a satisfiable root, i.e. a consistent set of sentences
  - 2.) Apply the modified rule in the tree; you may also use other rules that we've already shown preserve soundness
  - 3.) Show that the tree closes (i.e. NO complete open branches)

### Why such Counterexamples work

- ▶ **Sound**: If  $\Gamma \vdash_{STD^*} \Theta$ , then  $\Gamma \vDash \Theta$
- ▶ Counterexample:  $\Gamma \vdash_{STD^*} \Theta$  but  $\Gamma \nvDash \Theta$ :
  - ' $\Gamma \vdash_{STD^*} \Theta$ ' means tree with root  $\Gamma \cup \{\sim \Theta\}$  **CLOSES** (i.e. is tree-valid in system  $STD^*$ )
  - ' $\Gamma \nvDash \Theta$ ' means that  $\Gamma \cup \{\sim \Theta\}$  is **CONSISTENT** (i.e. satisfiable) (i.e. there exists a TVA that makes the premises  $\Gamma$  true but the conclusion  $\Theta$  false)
- ► If our system were sound, then whenever a tree closes, it would correspond to a semantically valid argument.

### Liberal Conditional and Conservative Biconditional

 $STD^*$ : replace rule ( $\supset$ ) with: Liberal Conditional (L⊃)  $\Phi \supset \Psi$ m. m L⊃

 $STD^{\dagger}$ : replace rule ( $\equiv$ ) with:

Conservative Biconditional  $(C\equiv)$ 

$$\begin{array}{lll} \mathbf{m}. & \varPhi \equiv \varPsi \\ & \vdots \\ & \vdots \\ \mathbf{j}. & \varPhi & \mathbf{m} \ \mathbf{C} \\ \mathbf{j}+1. & \varPsi \end{array}$$

### Liberal Conditional: Heuristic Reasoning

- ► The following is 'heuristic reasoning' using our rule; it is NOT a formal answer to the question:
- ▶ Reason from the top-down:  $\Phi \supset \Psi$  is logically equivalent to  $\sim \Phi \lor \Psi$
- ▶ The sentences across the two nodes are logically equivalent to  $(\sim \Phi) \lor (\Psi \lor \Phi)$
- ▶ So note that the top entails the (disjunction of the) bottom! So given an interpretation that satisfies  $\Phi \supset \Psi$ , it must satisfy the sentence in at least one branch below.
- ► Next, proceed to formally extend our soundness proof!

### Liberal Conditional: Formal Answer

- ▶ Formally: assume  $\Phi \supset \Psi$  is true according to  $\mathcal{I}$ .
- ▶ Then  $\mathcal{I}$  assigns 0 to  $\Phi$  or 1 to  $\Psi$  (or both).
- ▶ In the first case,  $\mathcal{I}$  assigns 1 to  $\sim \Phi$ , satisfying the left branch.
- ▶ In the second case,  $\mathcal{I}$  makes  $(\Psi \lor \Phi)$  true, so it satisfies the right branch.
- $\blacktriangleright$  Either way,  $\mathcal I$  satisfies the new sentences on at least one branch.
- ► Hence, Liberal Conditional does not break soundness

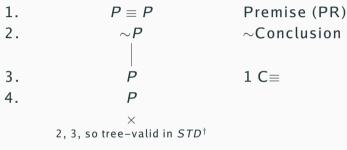
### **Conservative Biconditional**

- ► Reason from the top-down:  $\Phi \equiv \Psi$  is logically equivalent to  $(\Phi \& \Psi) \lor (\sim \Phi \& \sim \Psi)$
- ▶ The node below is logically equivalent to  $(\Phi \& \Psi)$
- Note that the top does NOT entail the bottom! Given an interpretation that satisfies  $\Phi \equiv \Psi$ , it is NOT guaranteed to satisfy the sentence(s) in at least one branch below.
- ► Hence, to the counterexample! (note that the problem REQUIRES this! can't stop won't stop!)

### Counterexample to Soundness of STD†

▶ For a counterexample, need:  $\Gamma \vdash_{STD^{\dagger}} \Theta$  but  $\Gamma \nvDash \Theta$ 

 $STD^{\dagger}$ : replace rule ( $\equiv$ ) with Conservative Biconditional (C $\equiv$ ):



▶ But  $(P \equiv P) \nvDash P$  because  $\{(P \equiv P), \sim P\}$  is consistent! Assign 'P' false! N.B.: your counterexample MUST use actual sentences of SL; not meta-variables!

5. Metalogic for STD

d. Completeness of System STD

### **Basic Proof Strategy for Completeness**

- ▶ By proving that our tree system is *complete*, we will show that we never need truth tables: trees suffice
  - Complete: If  $\Gamma \vDash \Theta$ , then  $\Gamma \vdash_{STD} \Theta$
  - (semantic notions are fully covered by our syntactic rules)
  - (Means: we wrote down *enough* rules!)
- ► We will prove the contrapositive, using induction:
- ▶ Complete: If  $\Gamma \nvdash_{STD} \Theta$ , then  $\Gamma \nvDash \Theta$
- "if an argument is not tree-valid, then it's not semantically valid"

### Fleshing out the Proof Idea

- ► "Not tree-valid" means that EVERY tree with root  $\Gamma \cup \{\sim \Theta\}$  remains open (i.e. never closes, even after resolving every sentence)
- Call this open branch 'Oprah' (or 'O' for short)
- ▶ Use Oprah to define a TVA ' $\mathcal{I}$ ' that makes true every wff on O
- ▶ So in particular,  $\mathcal{I}$  will make true the sentences in the root, showing they are **consistent**  $\Rightarrow$  argument is semantically invalid: i.e.  $\mathcal{I}$  makes  $\Gamma$  true and  $\sim\Theta$  true, i.e.  $\Theta$  FALSE
- ▶ This will prove: **Completeness**: If  $\Gamma \nvdash_{STD} \Theta$ , then  $\Gamma \nvDash \Theta$

### Starting the Completeness Proof!

- ▶ Consider an arbitrary argument with premise set  $\Gamma$  and putative conclusion  $\Theta$
- ► Construct a tree with root  $\Gamma \cup \{ \sim \Theta \}$
- Assume that this argument is NOT tree valid: so every such tree is open and extends to a complete open tree
- ► Hence, there must be at least one complete open branch: O
- ► Notice that *O* must end with atomic sentences or negations of these in its last node
- ► (So we see that we could do induction, starting 'from the bottom' of our tree)

## Setting up the Induction (HW question!)

- ► At this point, we would need to (i) decide what we want to do induction over
- ► (ii) Handle the base case(s)
- ► (iii) State the induction hypothesis
- (iv) Proceed to handle all cases in the induction step (coming from a recursive definition(s))
- ▶ We'll leave this to an optional HW question and proceed casually

### Using Oprah to define ${\mathcal I}$

- ► Since Oprah is open, no wff and its negation ever appear on it
- ightharpoonup Hence, we can define a TVA ' $\mathcal{I}$ ' as follows:
  - $\bullet$   $\, \mathcal{I}$  assigns 'False' to an atomic sentence if and only if its negation appears by itself on a node of  ${\it O}$
  - Hence,  $\mathcal{I}$  assigns 'True' to every atomic sentence appearing by itself on one of O's nodes

## Idea: show $\mathcal I$ makes true every wff on $\mathcal O$

- ightharpoonup Consider an arbitrary wff  $\Delta$  on Oprah
- ▶ If  $\Delta$  is an atomic sentence or negated atomic sentence, then  $\mathcal I$  makes it true by definition
- ▶ Otherwise, there must exist wff  $\alpha$  and  $\beta$  that compose  $\Delta$  according to our recursive definition of SL sentences
- ► Since Oprah is complete, \( \Delta\) must be resolved according to one of our nine tree rules, leading to sentences on the 'child nodes', and one of these child nodes must lie on Oprah
- lacktriangle By induction, we assume that the child node on  $\emph{O}$  is satisfied by  $\mathcal I$
- ▶ Given this, we aim to show that  $\mathcal{I}$  makes  $\Delta$  true, no matter which child node lies on Oprah and is satisfied by  $\mathcal{I}$

### What we can conclude AFTER the subcases:

- Assuming we complete our heroic quest, we get to conclude that  $\mathcal{I}$  makes  $\Delta$  true
- ightharpoonup But ightharpoonup was an arbitrary wff on Oprah
- lacktriangle So we'll have shown that  $\mathcal I$  makes each sentence in the root true
- ▶ By showing that the root is **satisfiable**,  $\mathcal{I}$  shows that the argument is **semantically invalid**, which is what we wanted to show!
- ▶ We'll have used Oprah to define a TVA that makes the premises true but the conclusion false

## Reasoning from the 'Bottom Up'

- ► Notice that we are reasoning from the sentences *below* the resolved sentence, back up the tree
- ▶ We need to show that for **EACH** child node, if  $\mathcal{I}$  satisfies it, then  $\mathcal{I}$  makes the resolved sentence  $\Delta$  true
- ► Effectively, we are showing that each child node separately entails the resolved sentence
- ► There are nine cases to consider, coming from our nine tree rules

### Subcase i) $\triangle$ is resolved by Double Negation ( $\sim$ )

### Double Negation $(\sim)$

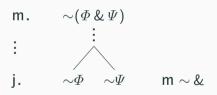
m.



- ▶ Only one child node  $\Rightarrow \Phi$  lies on O
- ▶ By induction hypothesis,  $\mathcal{I}$  makes  $\Phi$  true
- ► Hence,  $\mathcal{I}$  must assign true to  $\sim \sim \Phi$ , which in this case is  $\Delta$
- ▶ So  $\mathcal{I}$  satisfies  $\Delta$
- ► On to the next one!

### Subcase iii) $\Delta$ is resolved by Negated Conjunction ( $\sim$ &)

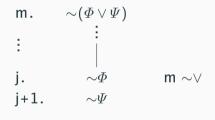
### Negated Conjunction $(\sim \&)$



- Exactly one child node lies on O, but we don't know which one (so we must show I satisfies Δ either way!)
- ▶ a) If  $\sim \Phi$  lies on O, then  $\mathcal{I}$  makes  $\sim \Phi$  true (by induction hypothesis)
  - $\Rightarrow \mathcal{I}$  makes  $\Phi$  false
  - $\Rightarrow \mathcal{I}$  makes  $(\Phi \& \Psi)$  false, and hence makes  $\wedge$  true
- ▶ b) Likewise if  $\sim \Psi$  lies on O...
- ightharpoonup Either way,  $\mathcal{I}$  satisfies  $\Delta$

## Subcase v) $\triangle$ is resolved by Negated Disjunction ( $\sim$ $\lor$ )

### Negated Disjunction $(\sim \lor)$



- ► Only one child node  $\Rightarrow$  both  $\sim \Phi$  and  $\sim \Psi$  lie on O
- By induction hypothesis, T makes both children wff true
- ► Hence,  $\mathcal{I}$  must make false both  $\Phi$  and  $\Psi$ 
  - $\Rightarrow \mathcal{I}$  makes false  $(\varPhi \lor \varPsi)$
  - $\Rightarrow \mathcal{I}$  makes true  $\sim \! (arPhi \lor arPsi )$
- ► So  $\mathcal{I}$  satisfies  $\Delta$

## A Key fact about Complete Open Trees

- A complete open tree has at least one complete open branch
- $\blacktriangleright$  A complete open branch never contains both a sentence  $\varPhi$  and its negation  $\sim \!\! \varPhi$
- ► In a 'partially complete system', our construction above lets us define a TVA 'I' that makes true each sentence on a complete open branch, including the root
- ▶ Upshot: if an argument is tree-invalid (i.e. has at least one complete open tree) in a 'partially complete' system, then there is a truth-value assignment that satisfies the root (so  $\Gamma \nvDash \Theta$ )
- ► If the system is also SOUND, one could then conclude that the argument is *not* tree-valid.

## What are the 'partially complete' systems?

- ➤ Our construction of a TVA that satisfies each wff on the complete open branch just requires that for the rules we use, the sentences on each child node entail the sentence being resolved.
- Call systems "partially complete" if they have this property
- Note that the system  $STD^{conj}$  which has only the rules  $(\sim)$ , (&), and  $(\sim\&)$  is partially complete in this sense:
- ▶ for the sentences that can be completely resolved with these limited rules, we can turn any complete open branch into a TVA that satisfies all wff on the branch.

5. Metalogic for STD

Completeness

e. Testing Alternative Rules:

## Checking Completeness: "bottom-up" reasoning

- ► Heuristic for Completeness checking: ask whether EACH child node (below) individually entails the sentence being resolved (i.e. the sentence 'up top')
- ▶ If 'yes', then the rule preserves completeness: proceed to extend our completeness proof for this case (reasoning in terms of arbitrary TVA's, one for each child node).
- ► If 'no', then the rule BREAKS completeness: proceed to construct a counter-example using the rule.

## Counterexamples to Completeness

- ➤ To show completeness fails, it is NECESSARY to provide a CONCRETE counterexample, using SL sentences
  - Further heuristic reasoning about TVAs is not enough!
- What you need for a counterexample to completeness:
  - 1.) Choose an **UNsatisfiable root**, i.e. an INconsistent set of sentences
  - 2.) Apply the modified rule in the tree; you may also use other rules that we've already shown preserve completeness
  - 3.) Show that the tree has a **complete open branch**, i.e. does NOT close

## Why such Counterexamples work

- **►** Complete: If  $\Gamma \vDash \Theta$ , then  $\Gamma \vdash_{STD^*} \Theta$
- ► Counterexample:  $\Gamma \vDash \Theta$  but  $\Gamma \nvdash_{STD^*} \Theta$ 
  - ' $\Gamma \models \Theta$ ' means that  $\Gamma \cup \{ \sim \Theta \}$  is INconsistent (i.e. UNsatisfiable) (i.e. any TVA that makes  $\Gamma$  true makes the conclusion  $\Theta$  true)
  - ' $\Gamma \not\vdash_{STD^*} \Theta$ ' means that it is NOT the case that the argument is tree-valid in  $STD^*$  (a claim about ALL trees)
  - From completeness proof, we know that if the system were complete, then we could use any complete open branch to construct a TVA that satisfies the root
  - Hence, if we construct a complete open tree with an unsatisfiable root, this is a reductio of completeness

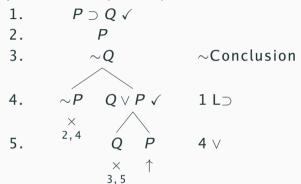
### Liberal Conditional and Conservative Biconditional

# Liberal Conditional (now from the bottom up!)

- ► Reason from the bottom-up, handling each child node separately
- ightharpoonup  $\sim \Phi$  entails  $\Phi \supset \Psi$
- $lackbox{} (\varPsi \lor \varPhi)$  does NOT entail  $\varPhi \supset \varPsi$ , since  $\varPhi$  doesn't entail it
- ▶ (Remember:  $\Phi \supset \Psi$  is equivalent to  $\sim \Phi \lor \Psi$ )
- ► Hence, we proceed to counterexample!

### Counterexample to Completeness of STD\*

► Counterexample: find complete open tree with unsatisfiable root:



- ▶ Note that  $\{P \supset Q, P\} \models Q$  but tree-invalid in  $STD^*$
- ▶ If  $STD^*$  were complete, the complete open branch would lead to a TVA that satisfies the root. Contradiction  $\Rightarrow$  not complete

### A Common Mistake to Avoid!!!!

- ► You are very liable to forget to COMPLETE YOUR open branch!!!
- You only have a counterexample to completeness if you have a COMPLETE open branch with an unsatisfiable root
- ► So make sure you FULLY RESOLVE every sentence on the open branch, until you can write that coveted up arrow '↑'!
- ► When in doubt, just complete the whole tree

### Conservative Biconditional (bottoms up!)

- ▶ Reason from the bottom up: there is only one child node. So we only need its sentences to entail  $\Phi \equiv \Psi$
- ▶ Sentences on bottom are equivalent to  $(\Phi \& \Psi)$ , which DOES entail  $\Phi \equiv \Psi$ . So we proceed to formally extend our completeness proof:
  - Formally: since only one child node, both  $\Phi$  and  $\Psi$  lie on the complete open branch O.
  - ullet By induction hypothesis, both are true according to  $\mathcal{I}.$
  - Hence,  $\Phi \equiv \Psi$  is true on  $\mathcal{I}$ , which is what we needed to show.

### Some Fun Questions to Ponder

- ► What is the least number of tree rules required for soundness? For completeness?
  - We'll return to this question if we ever get around to discussing the "expressive adequacy" of a given set of connectives

- When assessing how a modification affects soundness, do we need to know anything about the other rules?
- When assessing how a modification affects completeness, do we need to know anything about the other rules?