

# Infinite Cardinalities

PARADOX AND INFINITY

Benjamin Brast-McKie

March 20, 2025

## Cardinality Principles

*Bijection Principle:*  $|A| = |B|$  iff  $A \simeq B$ .

Reflexive:  $A \simeq A$ .

Symmetric: if  $A \simeq B$ , then  $B \simeq A$ .

- \* What's an inverse of a relation?
- \* Do functions always have inverses?
- \* Observe: the inverse of a bijection is a bijection.

Transitive: if  $A \simeq B$  and  $B \simeq C$ , then  $A \simeq C$ .

**Observe:** We get equivalence classes but no ordering.

*Injection Principle:*  $|A| \leq |B|$  iff  $A \simeq C$  for some  $C \subseteq B$ .

Reflexive:  $|A| \leq |A|$ .

Transitive: if  $|A| \leq |B|$  and  $|B| \leq |C|$ , then  $|A| \leq |C|$ .

Anti-Symmetric: if  $|A| \leq |B|$  and  $|B| \leq |A|$ , then  $|A| = |B|$ .

*Cantor-Schroeder-Bernstein Theorem:* If there are injective functions  $f : A \rightarrow B$  and  $g : B \rightarrow A$ , then there is a bijection  $h : A \rightarrow B$ .

Total:  $|A| \leq |B|$  or  $|B| \leq |A|$ . (Requires the Axiom of Choice)

*Could Define:*  $|A| = |B|$  iff  $|A| \leq |B|$  and  $|B| \leq |A|$ .

$|A| < |B|$  iff  $|A| \leq |B|$  and  $|B| \not\leq |A|$ .

## Countably Infinite

*Countable:* A set  $A$  is countable iff  $|A| \leq |\mathbb{N}|$ .

*Infinite:* A set  $A$  is infinite iff  $|\mathbb{N}| \leq |A|$ .

- $\mathbb{N}_m$  is countably infinite since  $f(n) = n + m$  is a bijection.
- $\mathbb{N}_{(m)}$  is countably infinite since  $f(n) = n \times m$  is a bijection.
- $\mathbb{Z}$  is countably infinite since there is a bijection  $f(n) = \begin{cases} \frac{n}{2} & \text{if } n \text{ is even} \\ \frac{-(n+1)}{2} & \text{otherwise.} \end{cases}$
- The positive rational numbers  $\mathbb{Q}^+$  are countably infinite since:
  - There is an injection from  $\mathbb{Q}^+$  to  $\mathbb{N}^2$ .
  - And  $f(\langle n, m \rangle) = 2^n \cdot 3^m$  is an injection from  $\mathbb{N}^2$  to  $\mathbb{N}$ .
  - Hence  $\mathbb{Q}^+$  is countable, and so  $\mathbb{Q}$  is also countable.
  - Infinite since identity is an injection from  $\mathbb{N}$  to  $\mathbb{Q}$ .

## Real Numbers

*Real Interval:* The real interval  $(0, 1)$  is uncountably infinite.

1.  $|\mathbb{N}_2| \leq |(0, 1)|$  since  $f(x) = 1/x$  is an injection  $f : \mathbb{N}_2 \rightarrow (0, 1)$ .
2.  $|\mathbb{N}_2| \neq |(0, 1)|$  by Cantor's diagonal argument.
3. Thus  $|\mathbb{N}_1| < |(0, 1)|$ .
4. Observe that  $g(x) = \pi(x - 1/2)$  is a bijection  $g : (0, 1) \rightarrow (-\pi/2, \pi/2)$ .
5. Additionally  $\tan : (-\pi/2, \pi/2) \rightarrow \mathbb{R}$  is a bijection.
6. By the bijection principle,  $|(0, 1)| = |(-\pi/2, \pi/2)| = |\mathbb{R}|$ .
7. Thus  $|\mathbb{N}_2| < |\mathbb{R}|$  where  $|\mathbb{N}_2| = |\mathbb{N}|$ , so  $|\mathbb{N}| < |\mathbb{R}|$ .

## Cantor's Theorem

*Theorem:*  $|A| < |\wp(A)|$  for any set  $A$  where  $\wp(A) = \{X : X \subseteq A\}$ .

1.  $|A| \leq |\wp(A)|$  since  $f(a) = \{a\}$  is an injection.
2. Assume there is a bijection  $f : A \rightarrow \wp(A)$ .
3. Let  $D = \{a \in A : a \notin f(a)\}$ .
4. Since  $D \subseteq A$ , we know that  $D \in \wp(A)$ .
5. Since  $f$  is surjective,  $f(d) = D$  for some  $d \in A$ .
6. But  $d \in f(d)$  iff  $d \in D$  iff  $d \notin f(d)$ .
7. This has the form  $P \leftrightarrow \neg P$  which is equivalent to  $P \wedge \neg P$ .
8. Thus there is no bijection  $f : A \rightarrow \wp(A)$ , and so  $|A| \neq |\wp(A)|$ .
9. Given the above,  $|A| < |\wp(A)|$ .

## Corollary

*Universal Set:* There is no set of all sets.

1. Suppose there were a set  $U$  of all sets.
2. Since every  $X \in \wp(U)$  is a set,  $\wp(U) \subseteq U$ .
3. So  $f(x) = x$  is an injection  $f : \wp(U) \rightarrow U$ .
4. Thus  $|\wp(U)| \leq |U|$ .
5. Moreover,  $g(x) = \{x\}$  is an injection  $g : U \rightarrow \wp(U)$ .
6. So  $|U| \leq |\wp(U)|$ .
7. Thus  $|U| = |\wp(U)|$ .
8. By Cantor's Theorem,  $|U| < |\wp(U)|$ , so  $|U| \neq |\wp(U)|$ .
9. Hence there is no set  $U$  of all sets, so no set of everything!

## Axioms and Intuitions

*Continuum Hypothesis:* There is no set  $A$  where  $|\mathbb{N}| < |A| < |\mathbb{R}|$ .

*Independent:* Adding CH or its negation to ZFC is consistent if ZFC is consistent.

- Is it up to us to choose?
- Neither intuition nor mathematical practice seems to decide the issue.

*Compare:* Gödel showed that ZFC is consistent if ZF is consistent.

*Axiom of Choice:* Every set of sets  $X$  has a function  $f$  where  $f(Y) \in Y$  for all  $Y \in X$ .

*Well-Ordering Theorem:* Every set  $X$  can be well-ordered (its subsets all have least elements).

- AC and WOT are equivalent, intuitive, and extremely useful.
- Not so for CH!