EQUIVARIANT LOCALISATION AND FIXED-POINT THEOREMS

HODGE CLUB TALK NOTES

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ABSTRACT

Often in mathematics, we are tasked with the problem of evaluating an integral $\int_M \omega$ over some space M. Depending on the form ω , this integral can be related to calculating volume, finding topological or enumerative invariants, or integrating characteristic classes. Such computations are often difficult, but two notions can simplify them, which are *symmetry* and *localisation*.

By symmetry, we mean that we have a group G acting on M, and by identifying orbits we reduce the problem to that over a smaller space, M/G; this comes up in symplectic reduction, gauge theory, and integrable systems. By localisation, this means that we reduce global calculations to local ones; for example, as in the Poincaré-Hopf theorem. Symmetry and localisation synergise together through the Atiyah-Bott-Berline-Vergne fixed-point formula; when we have a smooth manifold M together with the action of a compact, connected Lie group G, then the integral on M localises on the xed-point set of the G-action.

In this talk, I want to introduce the equivariant cohomology of a *G*-manifold via the Cartan model that is, equivariant de Rham theory - before going through some example calculations including the equivariant Riemann-Roch-Hirzebruch, the Duistermaat-Heckman, and the Lefschetz fixed-point theorems.

1 Introduction

Consider the following geometric sum:

$$\sum_{k=0}^{10000} q^k = 1 + q + q^2 + \dots + q^{10001}$$

$$= \left(\frac{1-q}{1-q}\right) \cdot (1 + q + q^2 + \dots + q^{10001})$$

$$= \frac{1-q^{10001}}{1-q}$$

$$= \frac{1}{1-q} + \frac{1-q^{10000}}{1-q^{-1}}.$$

To evaluate the left-hand side, we need to know the value of each term at the 10001 integral points inside of the closed interval [0, 10000], whereas the right-hand side only needs the two terms to be evaluated. So we can say that this sum *localises* at the end points.

2 Equivariant Cohomology

Let G be a compact Lie group acting on a toplogical space M. If G acts freely on M, then the quotient space M/G is usually as nice as the space M is itself; for instance, if M is a manifold then so is M/G.

The idea behind an equivariant cohomology group, $H_G^*(M)$, is that the equivariant cohomology groups of M should just be the cohomology groups of M/G:

$$H_G^*(M) = H^*(M/G)$$
, when the action is free.

For example, if G acts on itself by left multiplication, then

$$H_G^*(G) = H^*(pt).$$

However, if the action is not free, then the space M/G might not behave very nicely from a cohomological point of view. Then the idea is that $H_G^*(M)$ should be the "correct" substitute for $H^*(M/G)$.

2.1 Classifying Bundles

As cohomology is unchanged under homotopy equivalence, our guiding idea is that the equivariant cohomology of M should be the ordinary cohomology of M^*/G , where M^* is some topological space homotopy equivalent to M and on which G acts freely. The standard way of constructing such a space is to take it to be the product $M^* = M \times E$, where E is some contractible space on which G acts freely. Then the equivariant cohomology groups of M are defined by the recipe

$$H_G^*(M) := H^*\left((M \times E)/G\right).$$

Note that if G acts freely on M then the projection

$$(M \times E)/G \longrightarrow M/G$$

is a fibration with typical fibre E. Then as E is contractible, we get that

$$H_G^*(M) = H^*((M \times E)/G) = H^*(M/G),$$

so we arrive at the same situation if G acts freely on M.

2.2 The Cartan Model

Let M be an n-dimensional manifold acted on by a Lie group G with with Lie algebra \mathfrak{g} . A G-equivariant differential form on M is defined to be a polynomial map $\alpha:\mathfrak{g}\to\Omega(M)$ such that

$$\alpha(gX) = g \cdot \alpha(X), \quad \text{for } g \in G.$$

Let $\mathbb{C}[\mathfrak{g}]$ denote the algebra of \mathbb{C} -valued polynomial functions on \mathfrak{g} . Then we can view the tensor product

$$\mathbb{C}[\mathfrak{g}] \otimes \Omega(M),$$

as the algebra of polynomial maps from \mathfrak{g} to Ω . The group G acts on an element $\alpha \in \mathbb{C}[\mathfrak{g}] \otimes \Omega(M)$ by the formula \mathfrak{g}

$$(g \cdot \alpha)(X) := g \cdot (\alpha(g^{-1} \cdot X)), \quad \text{for all } g \in G, \text{ and } X \in \mathfrak{g}.$$

Let $\Omega^G(M) = (\mathbb{C}[\mathfrak{g}] \otimes \Omega(M))^G$ be the subalgebra of G-invariant elements; an element $\alpha \in \Omega^G(M)$ thus satisfies $\alpha(g \cdot X) = g \cdot \alpha(M)$, hence is an equivariant differential form. Equip $\mathbb{C}[\mathfrak{g}] \otimes \Omega(M)$ with the following \mathbb{Z} -grading,

$$\deg(P \otimes \alpha) := 2 \cdot \deg(P) + \deg(\alpha),$$

for the polynomial $P \in \mathbb{C}[\mathfrak{g}]$, and $\alpha \in \Omega(M)$. Define the *equivariant exterior differential*, or *Cartan differential*, d_G by

$$(d_G\alpha)(X) := (d - \imath_{X_M})\alpha(X),$$

where $d: \Omega^k(M) \to \Omega^{k+1}(M)$ is the usual de Rham differential, X_M is the fundamental vector field of $X \in \mathfrak{g}$ on M, and $\iota_X: \Omega^k(M) \to \Omega^{k-1}(M)$ is the contraction of X on a differential form.

 $^{^{1}}G$ acts on $\Omega(M)$ by the induced G-action on M, and on \mathfrak{g} by the adjoint action.

Proposition 2.1. The Cartan differential d_G is closed on Ω_G^* , i.e. $d_G^2 = 0$.

Proof. The derivations d and ι_v in $\Omega(M)$ are related to the **Lie derivative** \mathcal{L} , by means of the **homotopy formula**:

$$\mathcal{L}(v) := \frac{d}{dt} \bigg|_{t=0} (e^{tv})^* = d \circ \imath_v + \imath_v \circ d.$$

Here e^{tv} is the flow in M after a time t of the velocity field equal to v.

Now for $X \in \mathfrak{g}$, if X_M represents the infinitesimal action of X in M, then

[TODO]

Corollary 2.2. The space of equivariant differential forms $\Omega_G^*(M) = (\mathbb{C}[\mathfrak{g}] \otimes \Omega^*(M))^G$, equipped with the Cartan differential d_G forms a complex, called the **Cartan complex**:

$$(\Omega_G^*(M), d_G) = ((\mathbb{C}[\mathfrak{g}] \otimes \Omega^*(M))^G, d_G).$$

Definition 2.3. The equivariant cohomology $H_G^*(M)$ of M is the cohomology of the Cartan complex, $(\Omega_G^*(M), d_G)$.

2.3 Characteristic Classes

Let G and T be compact, connected Lie groups.

An ordinary characteristic class for a principal G-bundle on an n-dimensional manifold M is $[p(F_A)] \in H^{2n}(M)$, for a G-invariant degree n polynomial $p \in \mathbb{R}[\mathfrak{g}]^G$. here F_A is the curvature of any connection A on the G-bundle.

To get a T-equivariant characteristic class for a principal G-bundle associated to a G-invariant, degree n polynomial $p \in \mathbb{R}[\mathfrak{g}]^G$, we take $[p(F_{A,T})] \in H^{2n}_T(M)$, where now $F_{A,T}$ is the T-equivariant curvature of any T-equivariant connection A on the G-bundle.

Restricted to the T-fixed points M^T of M, the T-equivariant characteristic class associated to a polynomial $p \in \mathbb{R}[\mathfrak{g}]^G$ is

$$p(F_A + \epsilon^a \rho(T_a)).$$

TODO: EXPLAIN WHAT ϵ^a , etc. ARE!

In particular, when V is a representation of G and p is the Chern character of the vector bundle V, then, if M is a point, the equivariant Chern characters are just the ordinary characters of the space V as a G-module.

2.4 The Euler Class

Here, let G = SO(2n) which preserves the Riemannian metric on an oriented real vector space V of dimension $\dim_{\mathbb{R}}(V) = 2n$.

Definition 2.4. Consider the following adjoint-invariant polynomial,

$$Pf : \mathfrak{so}(2n; \mathbb{R}) \longrightarrow \mathbb{R},$$

of degree n on the Lie algebra $\mathfrak{so}(2n;\mathbb{R})$, called the **Pfaffian**.

The case that we shall be interested in is when we have the $(2n \times 2n)$ -antisymmetric matrix,

$$Pf \begin{pmatrix} 0 & \lambda_1 & \dots & \dots & 0 & 0 \\ -\lambda_1 & 0 & \dots & \dots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \dots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & \dots & 0 & \lambda_n \\ 0 & 0 & \dots & \dots & -\lambda_n & 0 \end{pmatrix} = \lambda_1 \cdot \dots \cdot \lambda_n.$$

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Definition 2.5. Let $P \to M$ be an $SO(2n; \mathbb{R})$ -principal bundle over M. The **Euler characteristic class** of P, e(P), is given by

$$e(P) := [\operatorname{Pf}(F)] \in H^{2n}(M; \mathbb{Z}).$$

Example 1. If M is an oriented, 2n-dimensional real manifold, then the **Euler characteristic** is given by

$$e(M) = \int_M e(TM) = \int_M \operatorname{Pf}(R_{\nabla}),$$

where R_{∇} is the curvature form of the tangent bundle TM, equipped with the Levi-Civita connection.

To upgrade the Euler characteristic class e to a T-equivariant one e_T , where T is a torus acting on a manifold M with isolated fixed-point set M^T , we need to investigate the polynomial

$$Pf(F_A + \epsilon^a \rho(T_a)).$$

For simplicity, let $T = S^1$. Then, for a point $p \in M^{S^1}$, the S^1 -action on T_pM gives rise to an S^1 -representation,

$$\rho: S^1 \longrightarrow \mathrm{GL}(T_m M); \qquad g \longmapsto l_{q,*},$$

where $l_{g,*}: T_pM \to T_{g,p}M = T_pM$ is the differential of the action of $g \in S^1$ on T_mM .

As p is isolated, ρ decomposes into a direct sum of 2-dimensional irreducible representations,

$$T_pM\cong L^{m_1}\oplus\ldots\oplus L^{m_n}.$$

Here, $L^m: S^1 \to \mathrm{GL}(2;\mathbb{R})$ is a representation of S^1 as m-fold rotations in \mathbb{R}^2 ,

$$L^m: g \longmapsto l_{g,*}; \qquad L^m(e^{it}) = \begin{bmatrix} -m\sin(mt) & -m\cos(mt) \\ m\cos(mt) & -m\sin(mt) \end{bmatrix}.$$

2.5 Chern Classes

Now let $G = \mathrm{U}(n)$, then $\mathfrak{g} = \mathfrak{u}(n)$ can be identified with the space of matrices of the form iA, where $A = A^T$. Define the polynomial c_k , of degree k in A to be the coefficient of $(-1)^k \lambda^{n-k}$ in the characteristic polynomial of A:

$$\det(\lambda - A) = \lambda^{n} - c_{1}(A)\lambda^{n-1} + \dots + (-1)^{n}c_{n}(A).$$

In particular, $c_1(A) = \text{Tr}(A)$ and $c_n(A) = \det(A)$. These polynomials are clearly adjoint invariant, thus the characteristic polynomial is.

The characteristic classes corresponding to the c_i for a complex vector bundle are called its **Chern classes**.

Remark 1. If we consider a complex vector bundle $V_{\mathbb{C}}$ of $\dim_{\mathbb{C}}(V) = n$ then, by forgetting the complex structure on $V_{\mathbb{C}}$, we get an oriented real vector bundle $V_{\mathbb{R}}$ of real dimension $\dim_{\mathbb{R}}(V) = 2n$.

By this correspondence, the Euler class e(V) and the top Chern class $c_n(V)$ of V are related by

$$e(V_{\mathbb{R}}) = c_n(V_{\mathbb{C}}).$$

2.6 Equivariant Characteristic Classes

Definition 2.6. A G-equivariant vector bundle of a G-manifold M is a vector bundle $V \to M$ with an action of G on the total space V covering the action of G on M.

Definition 2.7. Let (M, ω) be a symplectic manifold, and suppose that a torus T acts on M preserving ω . The action is **Hamiltonian** if there exists a **moment map** $\mu: M \to \mathfrak{t}^*$, whuch satisfies

$$i_{\xi_M}\omega = d\langle \mu, \xi \rangle, \quad \text{for all } \xi \in \mathfrak{t}.$$

Here, ξ_M is the induced vector field on M.

Proposition 2.8. Let (M, ω, μ) be a symplectic manifold with a Hamiltonian action of a torus T and associated moment map $\mu: M \to \mathfrak{t}^*$. Set

$$\tilde{\omega} := \omega + \mu.$$

Then $\tilde{\omega}$ is a T-equivariantly closed two-form.

Proof. For $\tilde{\omega}$ to be equivariantly closed under d_T , we have

$$d_T\tilde{\omega}=0\iff (d-\imath_\xi)(\omega+\mu^\xi)=d\omega-\imath_\xi\omega+d\mu^\xi-\imath_\xi\mu^\xi=-\imath_\xi\omega+d\mu^\xi=0\iff \imath_\xi\omega=d\mu^\xi.$$

So given an ordinary characteristic class $[\omega] \in H^2(M)$ and a moment map $\mu: M \to \mathfrak{g}^*$, we can elevate it to an equivariant characteristic class by the substitution

$$H^2(M) \ni [\omega] \longmapsto [\omega_G] = [\omega + \mu] \in H^2_G(M).$$

Proposition 2.9. If E is a complex vector bundle with a T-action and $E \cong \bigoplus_j \mathcal{L}_j$, where \mathcal{L}_j are complex line bundles with T-action given by weights $\lambda_j : T \to U(1)$, then the equivariant Euler class of E is

$$e^T(E) = \prod_j c_1^T(\mathcal{L}_j).$$

In the Cartan model, this is represented by

$$e^{T}(E)(\xi) = \prod_{j} (F_{j} - \lambda_{j})(\xi).$$

Example 2. If T acts on M and F is a component of M^T , then the normal bundle ν_F is a T-equivariant bundle over V. Assume that ν_F decomposes equivariantly as $\nu_F \cong \bigoplus_j \nu_{F,j}$ with weights $\lambda_{F,j} \in \mathfrak{t}^*$. Then the equivariant Euler class $e^T(\nu_F)$ is

$$e^{T}(\nu_{F}) = \prod_{j} (c_{1}(\nu_{F,j}) + \beta_{F,j}).$$

When the fixed-point set M^T consists of isolated fixed-points then, for $p \in M^T$,

$$e^T(\nu_n)$$

3 Equivariant Localisation

3.1 The Berline-Vergne-Atiyah-Bott Fixed Point Theorem

When a manifold has a torus action, the equivariant localisation formula is a powerful tool for doing calculations in **ordinary** cohomology, despite being formulated in **equivariant** cohomology.

Theorem 3.1 (Atiyah-Bott, Berline-Vergne Theorem). Suppose an n-dimensional torus T acts on a compact oriented manifold M with fixed-point set $F := M^T$. If ϕ is an equivariant closed form on M and $i_F : F \hookrightarrow M$ is the inclusion map, then

$$\int_{M} \phi = \sum_{F \subset M^{T}} \int_{F} \frac{i_{F}^{*} \phi}{e^{T}(\nu_{F})},$$

as elements of $H_T^*(\mathrm{pt}) = \mathbb{R}[u_1, \dots, u_n]$. Here, ν_F is the normal bundle of F in M, and e^T is the T-equivariant Euler class.

In the case when the fixed-point set $M^T = \{p_i\}$ consists of isolated fixed-points, the localisation theorem simplifies greatly:

Theorem 3.2. With the above hypotheses, if M^T consists of isolated fixed-points, then:

$$\int_{M} \phi = \sum_{p \in M^{T}} \frac{\phi(p)}{\prod_{i} \lambda_{p,i}}.$$

4 Examples

4.1 Stationary Phase and Duistermaat-Heckman

Let M be a compact, oriented 2n-manifold, $f: M \to \mathbb{R}$ a function, and $\tau \in \Omega^{2n}(M)$.

TODO: SEE LORING TU'S BOOK.

4.2 The Index and Hirzebruch-Riemann Roch Theorems

Theorem 4.1 (Hirzebruch-Riemann-Roch, (HRR)). Let $\mathcal{L} \to M$ a holomorphic line bundle over a complex projective algebraic variety M. Then the Euler characteristic, $\chi(M;\mathcal{L})$, is equal to the characteristic number

$$\chi(M; \mathcal{L}) = \int_M e^{c_1(\mathcal{L})} \operatorname{Td}(TM).$$

Here, $c_1(\mathcal{L})$ is the 1st Chern class of \mathcal{L} , and $\mathrm{Td}(M)$ is the Todd class of the complex vector bundle $TM \to M$.

Definition 4.2. The **Todd class** Td(TM) of a complex tangent bundle (TM, J) is

$$Td(TM) := \prod_{j=1}^{n} \frac{c_1}{1 + e^{-c_1}},$$

where $c_1 := c_1(TM)$ is the 1st Chern class of the tangent bundle.

Example 3. Let $M = \mathbb{CP}^1$ and $\mathcal{L} = \mathcal{O}(k)$. Then $c_1(\mathcal{L}) = kH$, where H generates $H^2(\mathbb{CP}^1; \mathbb{Z})$. The Chern character of \mathcal{L} is

$$e^{c_1(\mathcal{L})} = 1 + kH.$$

The tangent bundle TM is a line bundle with 1st Chern class $c_1(TM) = 2H$, so its Todd class is

$$\operatorname{Td}(c_1(TM)) = \frac{c_1(TM)}{1 + e^{-c_1(TM)}} = 1 + \frac{1}{2}c_1(TM) = 1 + H.$$

Thus, the Riemann-Roch integral is

$$\int_{M} (1+kH)(1+H) = \int_{M} (k+1)H + 1 = k+1.$$

In particular, if k = 10000, then we get $\chi(\mathbb{CP}^1; \mathcal{L}) = 10001$, which we saw at the start of this talk.

Remark 2. The sections of \mathcal{L} can be identified with degree k polynomials in the two coordinate variables of \mathbb{CP}^1 , and hence the space of sections has dimension k+1. The higher cohomology spaces vanish: $H^j(\mathbb{CP}^1;\mathcal{L})=0$ if $j\geq 1$ by Kodaira vanishing.

This integral formula was originally proven for complex projective algebraic varieties by Hirzebruch, but the Atiyah-Singer index theorem generalises it to include complex analytic manifolds by the following argument:

The characteristic number $\chi(M; \mathcal{L})$ also equals

$$\chi(M; \mathcal{L}) = \sum (-1)^k \dim H^k(M; \mathcal{L}).$$

Provided that M has a Hermitian structure and hence metric, then this metric gives rise to an inner-product on the vector bundles $\Lambda^{0,k} = \Lambda^{0,k}TM$. Moreover, provided \mathcal{L} also has a Hermitian structure, then there are Hermitian inner-products on the vector bundles $\mathcal{L} \otimes \Lambda^{0,k}$ also. These Hermitian products and the volume form give rise to differential operators,

$$\bar{\partial}^*: \Omega^{0,k}(M;\mathcal{L}) \longrightarrow \Omega^{0,k-1}(M;\mathcal{L}),$$

as the product gives rise to an adjoint to the Dolbeault operator, $\bar{\partial}$. By Hodge theory, one can show that

$$\operatorname{ind}(\bar{\partial}) := \ker(\bar{\partial} + \bar{\partial}^*) - \operatorname{coker}(\bar{\partial} + \bar{\partial}^*) = \sum_{k} (-1)^k \operatorname{dim} H^k(M; \mathcal{L}),$$

as virtual vector spaces.

4.3 The Equivariant Index Theorem

Suppose now that in addition to the hypotheses above, we now have a bundle automorphism γ of \mathcal{L} , which leaves all the given structures in variant. Then it induces an operator on $\Lambda^{0,*}$ which commutes with $\bar{\partial}$. Hence if γ comes from a representation of a G-action, we get a **virtual representation** on $\operatorname{Ind}(\bar{\partial})$, by lifting the G-action to the sections of \mathcal{L} . Letting χ denote the character of this representation and letting G = T be a torus, then we have:

Theorem 4.3 (The Equivariant Index Formula).

$$\chi(e^{it}) = \int_M e^{c_1^T(\mathcal{L})} \operatorname{Td}^T(TM), \qquad t \in \mathfrak{t}.$$

(For isolated fixed-points): From the A-B-B-V localisation theorem, if M^T is the fixed-point set for the T-action and $i: \{p\} \hookrightarrow M$ is the inclusion of such an isolated fixed-point $p \in M^T$, then

$$\chi(e^{it}) = \int_{M} e^{c_1^T(\mathcal{L})} \operatorname{Td}^T(TM)$$

$$= \sum_{F \in M^T} \int_{F} \frac{i_p^* \left(e^{c_1^T(\mathcal{L})} \operatorname{Td}^T(TM) \right)}{e^T(\nu_p)}$$

$$= \sum_{p \in M^T} \int_{F} \frac{e^{c_1^T(i_p^*\mathcal{L})} \operatorname{Td}^T(i_p^*TM)}{e^T(\nu_p)}.$$

Now as our fixed-point set consists of isolated points $\{p\}$, the pullback of the tangent bundle TM is just the normal bundle restricted to p, as $\{p\}$ is 0-dimensional:

$$i_p^*(TM) = TM\big|_p \cong T_pM \oplus \nu_p \cong \nu_p.$$

Moreover, if α_i , $i=1,\ldots,\dim_{\mathbb{C}}(M)$, denote the weights of the isotropy representation of T at p, then by the equivariant splitting principle:

$$i_p^*(TM) \cong \nu_p \cong \mathcal{N}_{\alpha_1} \oplus \ldots \oplus \mathcal{N}_{\alpha_n}$$

where each N_i is a line bundle, with

$$c_1^T(\mathcal{N}_i) = c_1(\mathcal{N}_i) - \alpha_i(t).$$

Thus

$$\operatorname{Td}^{T}(i_{p}^{*}TM) = \operatorname{Td}^{T}(\nu_{p}) = \prod_{i=1}^{n} \frac{c_{1}^{T}(\mathcal{N}_{i})}{1 - e^{-c_{1}^{T}(\mathcal{N}_{i})}}.$$

TODO: FINISH (REMOVE?)

Example 4. Let $M = \mathbb{CP}^1$ and $G = S^1$ act on M as

$$e^{it} \cdot [z_0 : z_1] = [z_0 : e^{it} z_1].$$

If the Kähler form on M is

$$\omega = n \cdot \frac{\sqrt{-1}}{2} \sum dz_j \wedge d\bar{z}_j = n \cdot \omega_{FS},$$

i.e. , if we consider $\mathcal{L}=\mathcal{O}(n)$ on M, then a moment map $\mu:M \to \mathfrak{t}^* \cong \mathbb{R}$ is

$$\mu([z_0:z_1]) = n \cdot \frac{|z_1|^2}{|z_0|^2 + |z_1|^2},$$

that is, $\operatorname{Im}(\mu) = [0, n]$, with the fixed-points and their images being

$$\mu([1:0]) = \{0\}, \qquad \mu([0:1]) = \{n\}.$$

The S^1 -isotropy weights on each are:

$$\bullet \ [1:0] \quad \leadsto \quad \textit{locally,} \quad \frac{z_1}{z_0} \mapsto t \cdot \frac{z_1}{z_0} \quad \leadsto \quad \textit{weight} \ = +1;$$

$$\bullet \ [0:1] \quad \leadsto \quad locally, \quad \frac{z_0}{z_1} \mapsto \frac{1}{t} \cdot \frac{z_0}{z_1} \quad \leadsto \quad weight = -1.$$

Hence by the equivariant index theorem, the character of the T-action on the index $\operatorname{Ind}(\bar{\partial}; \mathcal{O}(n))$ is:

$$\chi(e^{it}) = \frac{t^0}{1 - t^1} + \frac{t^n}{1 - t^{-1}} = \frac{1}{1 - t} - \frac{t^{n+1}}{1 - t} = \sum_{k=0}^{n} t^k.$$