

# Computational Modeling of Phonological Grammar

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# Say what now?

- **Phonological grammar** refers to the mental capacity that is assumed to be developed (as a part of language acquisition) and deployed (as a part of language use) to describe the **sound patterns** of a spoken language.
  - NB. The same applies, *mutatis mutandis*, to **sign patterns** of a signed language.
- **Phonological representations** consist of **speech sounds**, assumed here to be strings of **phonetic symbols**, cross-classified by **phonological features**.
- Grammars are **computational functions**, from representations to **grammaticality judgments** (here assumed categorical/binary,  $\{1, 0\}$ ), or **functional mappings** between different representations.

# Today's plan

- **First hour-ish:**  
speech sounds, phontactics, and finite state acceptors
  - + exercises, followed by a short break
- **Second hour-ish:**  
phonological features, alternations, and finite state transducers
  - + exercises, followed by a short break
- **Third hour-ish:**  
computational expressivity and complexity
  - + discussion, *fin*

# Speech sounds and symbols

Kinda like letters, but kinda not. For example:

letters		sounds	<i>ph</i>	<i>o</i>	<i>t</i>	<i>c</i>
<i>ph</i> <i>o</i> <i>n</i> <i>e</i> <i>t</i> <i>i</i> <i>c</i> <i>s</i>	=	[fə'neɪɪks]	[f]	[ə]	[ɹ]	[k]
<i>ph</i> <i>o</i> <i>n</i> <i>e</i> <i>t</i> <i>i</i> <i>c</i> <i>i</i> <i>a</i> <i>n</i>	=	[ˌfounə't <sup>h</sup> ɪʃən]	[f]	[oʊ]	[t <sup>h</sup> ]	[ʃ]
<i>ph</i> <i>o</i> <i>n</i> <i>e</i> <i>t</i> <i>i</i> <i>c</i> <i>i</i> <i>s</i> <i>t</i>	=	[fə'neɪɪsɪst]	[f]	[ə]	[ɹ]	[s]

There are rough correspondences between orthographic letters and phonetic symbols representing speech sounds, but they are not always consistent and the reasons for the inconsistencies are not always obvious.

# The International Phonetic Alphabet

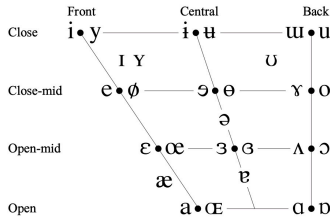
CONSONANTS (PULMONIC)

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	Bilabial	Labiodental	Dental	Alveolar	Postalveolar	Retroflex	Palatal	Velar	Uvular	Pharyngeal	Glottal
Plosive	p b			t d		ʈ ɖ	c ɟ	k ɡ	q ɢ		ʔ
Nasal	m	ɱ		n		ɳ	ɲ	ŋ	ɴ		
Trill	ʙ			r					ʀ		
Tap or Flap		ⱱ		ɾ		ɽ					
Fricative	ɸ β	f v	θ ð	s z	ʃ ʒ	ʂ ʐ	ç ʝ	x ɣ	χ ʁ	ħ ʕ	h ɦ
Lateral fricative				ɬ ɮ							
Approximant		ʋ		ɹ		ɻ	j	ɰ			
Lateral approximant				l		ɭ	ʎ	ʟ			

Symbols to the right in a cell are voiced, to the left are voiceless. Shaded areas denote articulations judged impossible.

VOWELS



Where symbols appear in pairs, the one to the right represents a rounded vowel.

# Concatenated string representations

Elements of meaning are associated with mentally-stored strings of speech sound symbols, and these strings are concatenated to form words.

The phonological grammar takes these mentally-stored (= 'underlying') concatenated strings as input and renders to-be-pronounced (= 'surface') strings as output — think of these as the instructions for your vocal tract.

orthographic	underlying / input	surface / output
<i>phonetic-s</i>	/founetɪk+z/	[fə'netɪks]
<i>phonetic-ian</i>	/founetɪk+jən/	[founə't <sup>h</sup> ɪjən]
<i>phonetic-ist</i>	/founetɪk+ɪst/	[fə'netɪsɪst]

# Not all strings are created equal

Some strings are grammatical (= allowed by the grammar), others are not.

- Attested strings are self-evidently grammatical:

e.g. *flake* = [fleɪk]

- Some unattested strings are self-evidently ungrammatical:

e.g. *fnake* = \*[fneɪk]

- Some unattested strings are *possible*, and thus grammatical:

e.g. *frake* = [fɹeɪk]

# Phonotactic conditions

Ungrammaticality can typically be localized to particular ungrammatical (because unattested) *substrings*.

For example, the issue with *fnake* \*[fneɪk] is that [fn] is not a possible word-initial consonant cluster in English.

On the other hand, word-initial [fɪ] is amply attested (*frame, fry, frown, ...*), which is what makes *frake* [fɪeɪk] possible (albeit unattested, as yet).



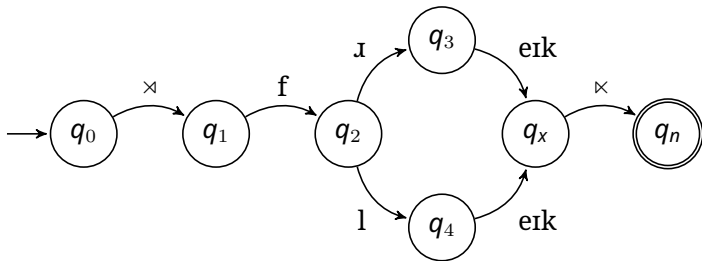
# Phonotactic conditions

Two general methods for expressing these *phonotactic conditions*.

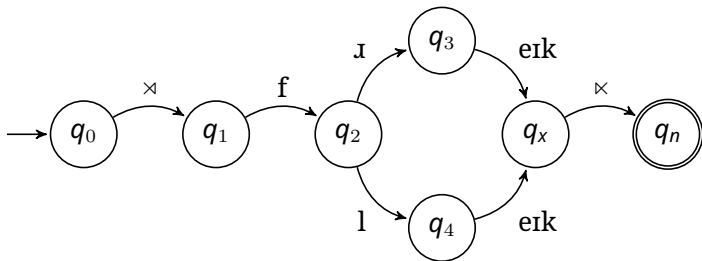
- **Exclusion:** All strings that include an ungrammatical substring are ungrammatical (= 0); all others are grammatical (= 1).  
e.g.  $*_{\times}[\text{fn}]$  ( $\times$  = word-initial boundary)
- **Inclusion:** All strings that include only grammatical substrings are grammatical (= 1); all others are ungrammatical (= 0).

# Finite-state acceptors (FSAs)

- A finite set of *states*, including a distinguished start state (here designated ' $q_0$ ') and at least one final (= accepting) state (here designated ' $q_n$ ').
- A finite alphabet  $\Sigma$  of symbols (here, a designated subset of the IPA).
- A state-transition function (read a symbol, move to a next state).



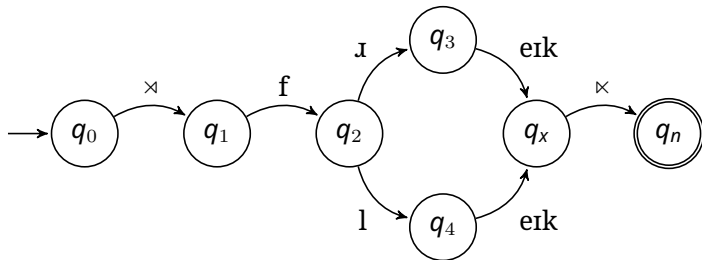
# Finite-state acceptors (FSAs)



Every grammatical word should have a path through the FSA to an accepting state.

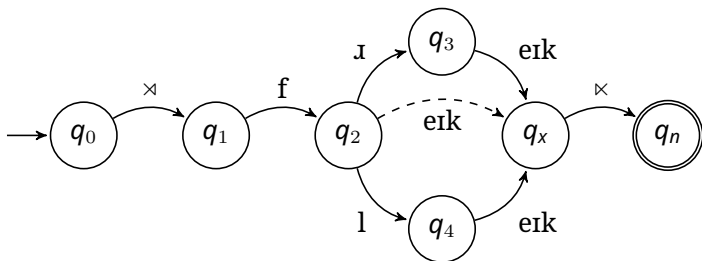
No ungrammatical word should have a path through the FSA to an accepting state.

# Exercises



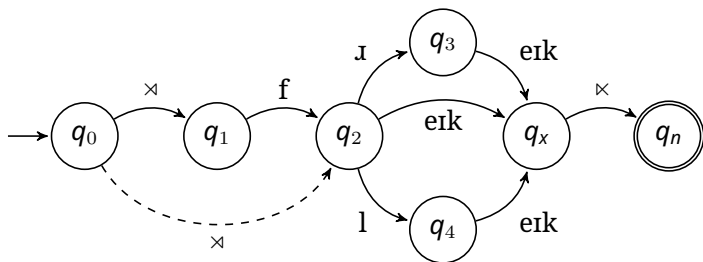
- How can this FSA be amended to allow the word *fake* = [ferk]?

# Exercises



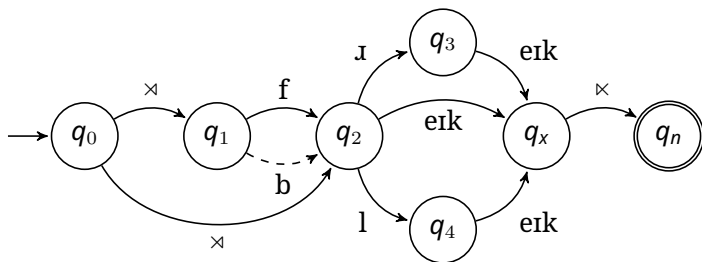
- How can this FSA be amended to allow the word *fake* = [fɛɪk]?
- ... to allow the words *rake* = [ɹeɪk], *lake* = [leɪk], and *ache* = [eɪk]?

# Exercises



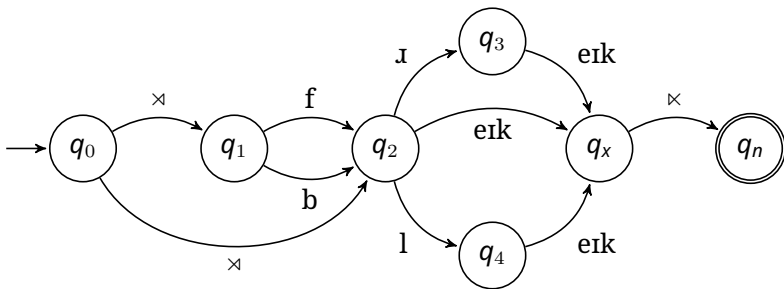
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- ... to allow the words *brake* = [bɹeɪk], *Blake* = [bleɪk], and *bake* = [beɪk]?

# Exercises



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- ... to allow the words *rake* = [ɹeɪk], *lake* = [leɪk], and *ache* = [eɪk]?
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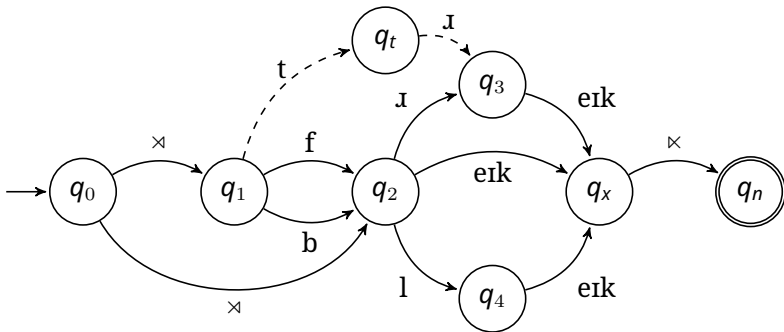
# Exercises



- How can this FSA be amended to account for the fact that word-initial [t] can be followed by [ɪ] (e.g. *trach* = [tɪeɪk]) but not by [ɪ] (*tlake* = \*[tɪeɪk])?

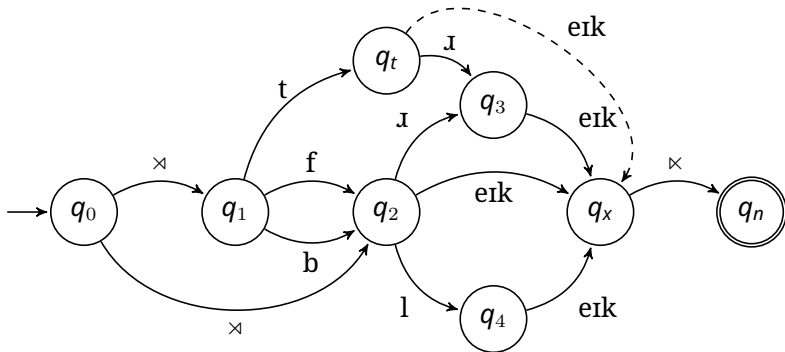


# Exercises



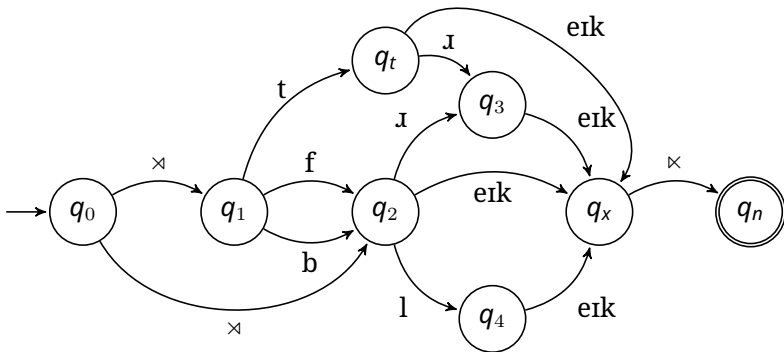
- How can this FSA be amended to account for the fact that word-initial [t] can be followed by [ɹ] (e.g. *trach* = [tɹeɪk]) but not by [l] (*tlake* = \*[tleɪk])?
- Does this amended FSA account for the grammaticality of *take* = [teɪk]? If not, how can it be further amended to do so?

# Exercises



- How can this FSA be amended to account for the fact that word-initial [t] can be followed by [ɹ] (e.g. *trach* = [tɹeɪk]) but not by [l] (*tlake* = \*[tlɛɪk])?
- Does this amended FSA account for the grammaticality of *take* = [teɪk]? If not, how can it be further amended to do so?

## Some further exercises



- *slake* = [sleik], *sake* = [seik], *snake* = [sneik], but \*[sæik]
- *stake* = [steik], *stray* = [stæɪ], but \*[stleɪ]

# Cross-classification of speech sound symbols

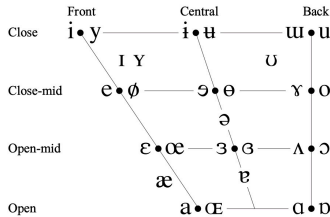
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Nasal	m	ɱ		n		ɳ	ɲ	ŋ	ɴ		
Trill	ʙ			r					ʀ		
Tap or Flap		ⱱ		ɾ		ɽ					
Fricative	ɸ β	f v	θ ð	s z	ʃ ʒ	ʂ ʐ	ç ʝ	x ɣ	χ ʁ	ħ ʕ	h ɦ
Lateral fricative				ɬ ɮ							
Approximant		ʋ		ɹ		ɻ	j	ɰ			
Lateral approximant				l		ɭ	ʎ	ʟ			

Symbols to the right in a cell are voiced, to the left are voiceless. Shaded areas denote articulations judged impossible.

VOWELS



Where symbols appear in pairs, the one to the right represents a rounded vowel.

# Focus on English consonants

	Bilabial	Labiodental	Dental	Alveolar	Postalveolar	Palatal	Velar	Glottal
Plosive	p b			t d			k ɡ	
Nasal	m			n			ŋ	
Tap				ɾ				
Fricative		f v	θ ð	s z	ʃ ʒ			h
Affricate					tʃ dʒ			
Approximant	(w)			ɹ		j	(w)	
Lateral				l				

Symbols to the left in a cell are voiceless, all other symbols are voiced.

## ... with phonological features

	+labial	+coronal +anterior +distributed	+coronal +anterior -distributed	+coronal -anterior +distributed	+dorsal -back	+dorsal +back	+spread glottis
-continuant -sonorant	p b		t d	tʃ dʒ		k ɡ	
+continuant -sonorant	f v	θ ð	s z	ʃ ʒ			h
-continuant +sonorant	m		n			ŋ	
+continuant +sonorant	(w)		ɹ		j	(w)	
+liquid -lateral			ɾ				
+liquid +lateral			l				

Symbols to the left in a cell are [–voice], all other symbols are [+voice].

# Natural class behavior

Any single consonant (except [ŋ, r]) can begin a word.<sup>1</sup>

<i>peep</i> = [pi:p]	<i>beep</i> = [bi:p]	<i>team</i> = [ti:m]	<i>deem</i> = [di:m]
<i>cheap</i> = [tʃi:p]	<i>jeep</i> = [dʒi:p]	<i>cool</i> = [ku:l]	<i>ghoul</i> = [gu:l]
<i>feel</i> = [fi:l]	<i>veal</i> = [vi:l]	<i>thin</i> = [θɪn]	<i>this</i> = [ðɪs]
<i>scene</i> = [si:n]	<i>zine</i> = [zi:n]	<i>shoe</i> = [ʃu:]	<i>jus</i> = [ʒu:]
<i>meet</i> = [mi:t]	<i>neat</i> = [ni:t]	<i>wield</i> = [wi:ld]	<i>yield</i> = [ji:ld]
			<i>heat</i> = [hi:t]

---

<sup>1</sup>Initial [ʒ] is limited to words borrowed from French.

# Natural class behavior

Only a subset can cluster with [ɹ].

<i>prow</i> = [pɹaʊ]	<i>brow</i> = [bɹaʊ]	<i>train</i> = [tɹeɪn]	<i>drain</i> = [dɹeɪn]	
? [tʃɹ...]	? [dʒɹ...]	<i>cruel</i> = [kɹu:l]	<i>gruel</i> = [gɹu:l]	
<i>free</i> = [fri]	* [vɹ...]	<i>three</i> = [θɹi:]	* [ðɹ...]	
* [sɹ...]	* [zɹ...]	<i>shred</i> = [ʃɹɛd]	* [ʒɹ...]	
* [mɹ...]	* [nɹ...]	* [wɹ...]	* [jɹ...]	* [hɹ...]

- **Pattern:** [–sonorant]; if [+continuant], then [–voice] (except [s]).



# Natural class behavior

Only a subset can cluster with [l].

<i>plume</i> = [plu:m]	<i>bloom</i> = [blu:m]	* [tl...]	* [dl...]	
* [tʃl...]	* [dʒl...]	<i>clue</i> = [klu:]	<i>glue</i> = [glu:]	
<i>flu</i> = [flu:]	* [vl...]	* [θl...]	* [ðl...]	
<i>slow</i> = [slou]	* [zl...]	<i>schlep</i> = [ʃlep]	* [ʒl...]	
* [ml...]	* [nl...]	* [wl...]	* [jl...]	* [hl...]

- **Pattern:** [–sonorant]; if [+continuant], then [–voice, –coronal] (except [s]).

# Surface speech sounds vary by context

English plural nouns (and third person singular verbs)

<b>schwa-+voice</b>	<b>-voice</b>	<b>+voice</b>
<i>kisses</i> = [kɪsəz]	<i>dips</i> = [dɪps]	<i>dibs</i> = [dɪbz]
<i>fizzes</i> = [fɪzəz]	<i>bits</i> = [bɪts]	<i>bids</i> = [bɪdz]
<i>wishes</i> = [wɪʃəz]	<i>wicks</i> = [wɪks]	<i>wigs</i> = [wɪgz]
<i>zhuzhes</i> = [ʒʊzəz]	<i>cliffs</i> = [klɪfs]	<i>gives</i> = [ɡɪvz]
<i>matches</i> = [mætʃəz]	<i>myths</i> = [mɪθs]	<i>bathes</i> = [beɪðz]
<i>badges</i> = [bædʒəz]		<i>times</i> = [taɪmz]
		<i>tines</i> = [taɪnz]
		<i>tires</i> = [taɪrɪz]
		<i>tiles</i> = [taɪlɪz]
		<i>ties</i> = [taɪz]

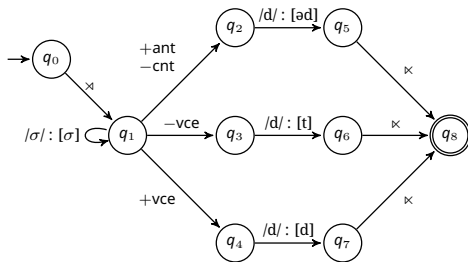
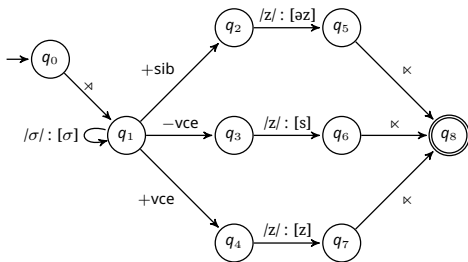
# Surface speech sounds vary by context

English past tense verbs (and past participles)

schwa-+voice	–voice	+voice	
<i>fitted</i> = [fɪtəd]	<i>dipped</i> = [dɪpt]	<i>fibbed</i> = [fɪbd]	<i>signed</i> = [saɪnd]
<i>glided</i> = [ɡlaɪdəd]	<i>picked</i> = [pɪkt]	<i>rigged</i> = [ɹɪgd]	<i>tired</i> = [taɪd]
	<i>riffed</i> = [ɹɪft]	<i>lived</i> = [lɪvd]	<i>tiled</i> = [taɪld]
	<i>mythed</i> = [mɪθt]	<i>bathed</i> = [beɪðd]	<i>tied</i> = [taɪd]
	<i>kissed</i> = [kɪst]	<i>fizzed</i> = [fɪzd]	
	<i>wished</i> = [wɪʃt]	<i>zhuzhed</i> = [ʒʊʒd]	
	<i>itched</i> = [ɪtʃt]	<i>edged</i> = [ɛdʒd]	

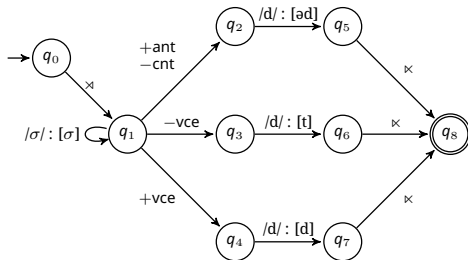
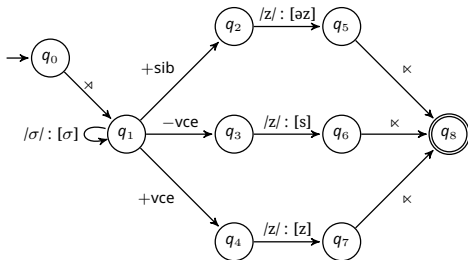
# Finite-state transducers (FSTs)

- A finite set of *states*, including a distinguished start state (here designated ' $q_0$ ') and at least one final state (here designated ' $q_8$ ').
- A finite alphabet  $\Sigma$  of symbols (here, a designated subset of the IPA).<sup>2</sup>
- A transition function (read a symbol, write a symbol, move to a next state).



<sup>2</sup>Technically there are separate input and output alphabets.

# Challenges



- The two FSTs are obviously related. Could they be merged? How?
- The choice between the [−voice] ( $q_3 \rightarrow q_6$ ) and [+voice] ( $q_4 \rightarrow q_7$ ) forms of both suffixes is clearly a case of *assimilation*. Is that clear from the FSTs?
- The choice between the schwa-+voice ( $q_2 \rightarrow q_5$ ) and the other two forms of both suffixes is clearly a case of *similarity avoidance*. Is that clear from the FSTs?
- The [−voice] and [+voice] sets are complementary, but the [+sib] and [+ant, −cont] sets intersect with both. How can we account for e.g. /wɪʃ+z/  $\rightarrow$  \*[wɪʃs] rather than [wɪʃəz] = *wishes*?

# Exercises

## English adjective negation

before <b>vowels</b>	<i>inaudible</i>	= [ɪn'ɔ:ɹəbəl]
before <b>bilabials</b>	<i>impossible</i>	= [ɪm'pɑ:səbəl]
before <b>labiodentals</b>	<i>infeasible</i>	= [ɪn'fi:zəbəl]
before <b>alveolars</b>	<i>indelible</i>	= [ɪn'deləbəl]
before <b>velars</b>	<i>inconceivable</i>	= [ɪn'kən'si:vəbəl]

- Construct (the essential elements of) an FST describing this pattern.
- Does one type of FST account for the assimilation better than another?
- How is the 'default' nature of the [ɪn] form of the prefix accounted for?

# Exercises

## Spanish nasal place assimilation

before <b>vowels</b>	<i>con agua</i>	= [ko'naɣwa]	'with water'
before <b>bilabials</b>	<i>con pollo</i>	= [kom'poɫo]	'with chicken'
before <b>labiodentals</b>	<i>con frío</i>	= [kom̥'frio]	'feeling cold'
before <b>dentals</b>	<i>con tiempo</i>	= [kon̥'tjempo]	'with time (leftover)'
before <b>alveolars</b>	<i>con salsa</i>	= [kon̥'salsa]	'with salsa'
before <b>postalveolars</b>	<i>con chile</i>	= [kon̥'tʃile]	'with chile'
before <b>palatals</b>	<i>con yema</i>	= [kon̥'jema]	'with egg yolk'
before <b>velars</b>	<i>con calma</i>	= [kon̥'kalma]	'calmly'

# Exercises

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- Does the FST for this pattern differ from the one for the English pattern?



# Computational expressivity and complexity

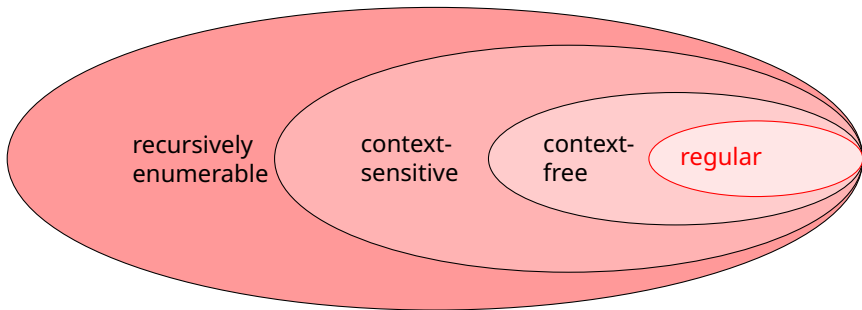
- Typical *expressivity* questions asked of a theory of phonological grammar:
  - Is it powerful enough to correctly describe patterns presumed possible?
    - If not, how can its expressive power be appropriately extended?
  - Is it so powerful that it can incorrectly describe patterns presumed impossible?
    - If so, how can the theory's expressive power be appropriately constrained?
- Recent **Formal Language Theory (FLT)** approach to these questions:
  - Characterize possible and impossible patterns in terms of well-defined, theory-independent mappings between computational classes of string sets.
  - Formally delimit the boundaries between possible and impossible patterns, and between different classes of possible patterns.

# How (computationally) complex is phonology?

FLT provides tools to describe the minimum level of **complexity** necessary to **express** phonological patterns (= input-output maps) in precise terms.

- Ordered rule-based phonological grammars are **regular** functions

(Johnson 1972, Kaplan & Kay 1994)



# The regular and the subregular

Any **regular function** can be described by the **composition** of a left-subsequential function and a right-subsequential function.

(Elgot & Mezei 1965)

one function takes the output of the other function as input

Most phonological patterns require (far) less expressivity than this.

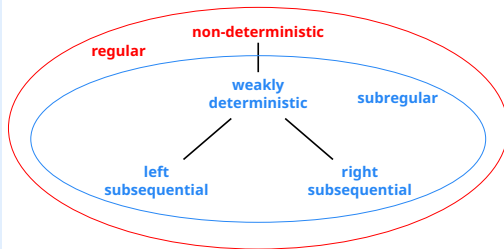
e.g., only bounded string access on either or both sides of any index in the string

e.g., only compositions of subsequential functions with unbounded access to the same side of any index in the string

e.g., only compositions of contradirectional subsequential functions with other restrictions imposed on them

A **subregular function** is sufficiently complex to express such patterns.

(Heinz 2011, 2018; Heinz & Lai 2013, Jardine 2016, *a.m.o.*)



# The regular and the subregular

Any **regular function** can be described by the composition of a **left-subsequential function** and a right-subsequential function.

(Elgot & Mezei 1965)

a function with *unbounded string access* to the *left* of any index in the string  
(and bounded access to the right)

Most phonological patterns require (far) less expressivity than this.

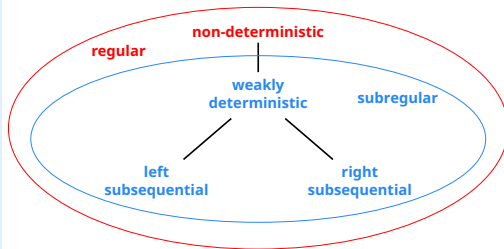
e.g., only bounded string access on either or both sides of any index in the string

e.g., only compositions of subsequential functions with unbounded access to the same side of any index in the string

e.g., only compositions of contradirectional subsequential functions with other restrictions imposed on them

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# The regular and the subregular

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e.g., only bounded string access on either or both sides of any index in the string

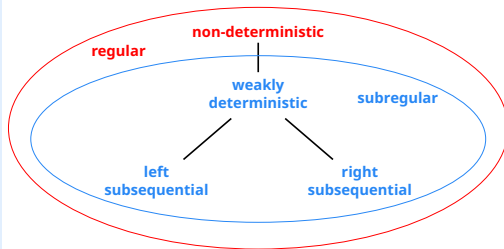
e.g., only compositions of subsequential functions with unbounded access to the same side of any index in the string

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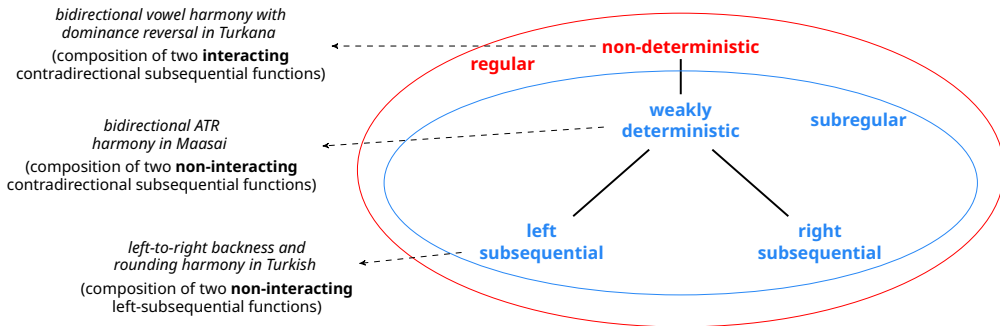
(Heinz 2011, 2018; Heinz & Lai 2013, Jardine 2016, *a.m.o.*)

a function with *unbounded string access* to the *right* of any index in the string (and bounded access to the left)

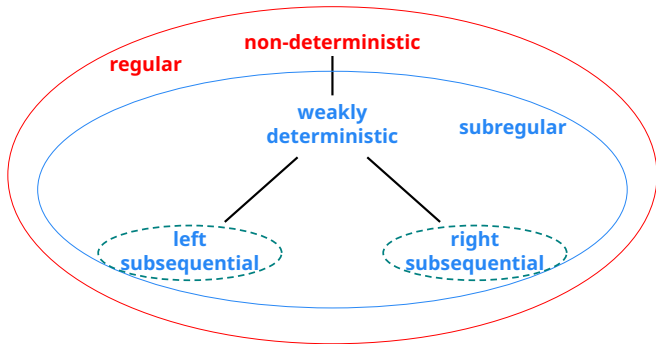


# Complexity and interaction

**Non-deterministic** (= fully **regular**) functions require **interaction** between the composed contradirectional subsequential functions that define them; **subregular** functions do not.



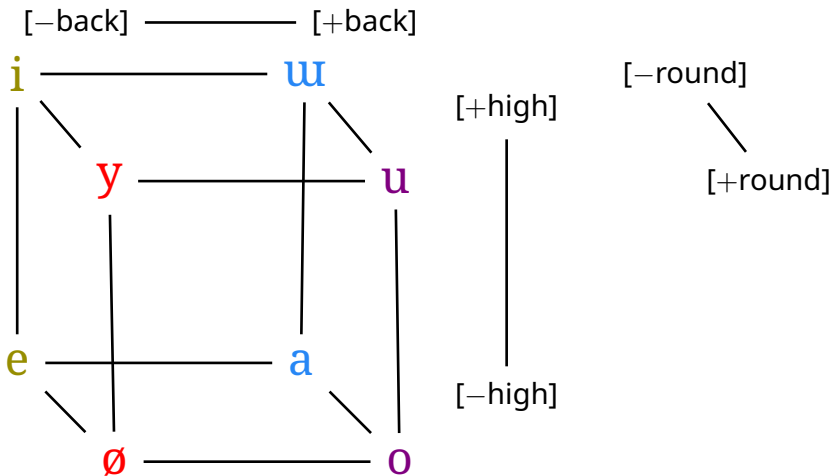
# Subsequential functions



The identity of the output element for every input element is (in principle unboundedly) determined by elements from *one side* of the string.

This class contains compositions of functions that share a directionality, compositions of only left-subsequential functions or of only right-subsequential functions.

# Turkish vowels





# Turkish left-to-right harmony

$\left[ \begin{array}{l} -\text{back} \\ -\text{round} \end{array} \right]$  root

- a. ip+in+i 'rope+2S.POSS+ACC'
- b. el+in+i 'hand+2S.POSS+ACC'
- c. ip+ler+i 'rope+PL+ACC'
- d. el+ler+i 'hand+PL+ACC'
- e. ip+in+de 'rope+2S.POSS+LOC'

$\left[ \begin{array}{l} -\text{back} \\ +\text{round} \end{array} \right]$  root

- k. jyz+yn+y 'face+2S.POSS+ACC'
- l. køj+yn+y 'village+2S.POSS+ACC'
- m. jyz+ler+i 'face+PL+ACC'
- n. køj+ler+i 'village+PL+ACC'
- o. jyz+yn+de 'face+2S.POSS+LOC'

$\left[ \begin{array}{l} +\text{back} \\ -\text{round} \end{array} \right]$  root

- f. kuz+un+u 'girl+2S.POSS+ACC'
- g. sap+un+u 'stalk+2S.POSS+ACC'
- h. kuz+lar+u 'girl+PL+ACC'
- i. sap+lar+u 'stalk+PL+ACC'
- j. kuz+un+da 'girl+2S.POSS+LOC'

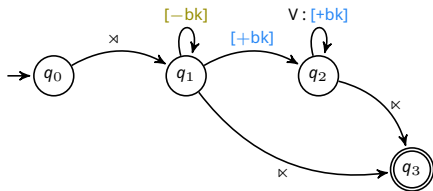
$\left[ \begin{array}{l} +\text{back} \\ +\text{round} \end{array} \right]$  root

- p. pul+un+u 'stamp+2S.POSS+ACC'
- q. son+un+u 'end+2S.POSS+ACC'
- r. pul+lar+u 'stamp+PL+ACC'
- s. son+lar+u 'end+PL+ACC'
- t. pul+un+da 'stamp+2S.POSS+LOC'

# Focus on backness harmony

- a. ip+in+i 'rope+2s.POSS+ACC'
- b. el+in+i 'hand+2s.POSS+ACC'
- c. ip+ler+i 'rope+PL+ACC'
- d. el+ler+i 'hand+PL+ACC'
- e. ip+in+de 'rope+2s.POSS+LOC'

- f. kuz+un+u 'girl+2s.POSS+ACC'
- g. sap+un+u 'stalk+2s.POSS+ACC'
- h. kuz+lar+u 'girl+PL+ACC'
- i. sap+lar+u 'stalk+PL+ACC'
- j. kuz+un+da 'girl+2s.POSS+LOC'

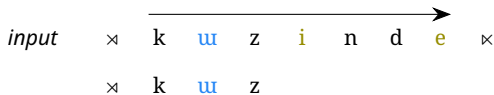
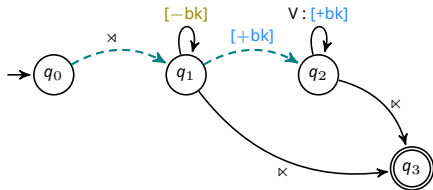


input      x      k      u      z      i      n      d      e      x

# Focus on backness harmony

- a. ip+in+i 'rope+2s.POSS+ACC'
- b. el+in+i 'hand+2s.POSS+ACC'
- c. ip+ler+i 'rope+PL+ACC'
- d. el+ler+i 'hand+PL+ACC'
- e. ip+in+de 'rope+2s.POSS+LOC'

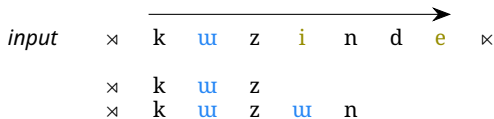
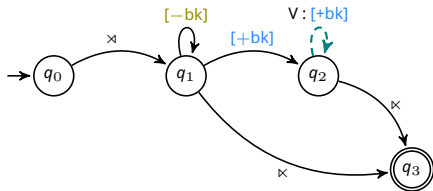
- f. kuz+un+u 'girl+2s.POSS+ACC'
- g. sap+un+u 'stalk+2s.POSS+ACC'
- h. kuz+lar+u 'girl+PL+ACC'
- i. sap+lar+u 'stalk+PL+ACC'
- j. kuz+un+da 'girl+2s.POSS+LOC'



## Focus on backness harmony

- a. ip+in+i 'rope+2s.POSS+ACC'
- b. el+in+i 'hand+2s.POSS+ACC'
- c. ip+ler+i 'rope+PL+ACC'
- d. el+ler+i 'hand+PL+ACC'
- e. ip+in+de 'rope+2s.POSS+LOC'

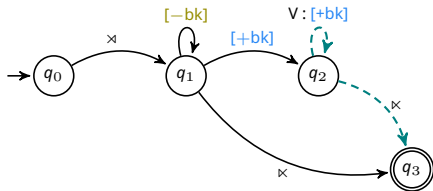
- f. kuruz+un+u 'girl+2s.POSS+ACC'  
 g. sap+un+u 'stalk+2s.POSS+ACC'  
 h. kuruz+lar+u 'girl+PL+ACC'  
 i. sap+lar+u 'stalk+PL+ACC'  
 j. kuruz+un+da 'girl+2s.POSS+LOC'



# Focus on backness harmony

- a. ip+in+i 'rope+2s.POSS+ACC'
- b. el+in+i 'hand+2s.POSS+ACC'
- c. ip+ler+i 'rope+PL+ACC'
- d. el+ler+i 'hand+PL+ACC'
- e. ip+in+de 'rope+2s.POSS+LOC'

- f. kuz+un+u 'girl+2s.POSS+ACC'
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- h. kuz+lar+u 'girl+PL+ACC'
- i. sap+lar+u 'stalk+PL+ACC'
- j. kuz+un+da 'girl+2s.POSS+LOC'

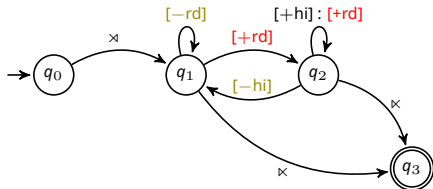


		→							
<i>input</i>	x	k	u	z	i	n	d	e	x
	x	k	u	z					
	x	k	u	z	u	n			
<i>output</i>	x	k	u	z	u	n	d	a	x

# Focus on rounding harmony

- a. ip+in+i 'rope+2s.POSS+ACC'
- b. el+in+i 'hand+2s.POSS+ACC'
- c. ip+ler+i 'rope+PL+ACC'
- d. el+ler+i 'hand+PL+ACC'
- e. ip+in+de 'rope+2s.POSS+ACC'

- k. jyz+yn+y 'face+2s.POSS+ACC'
- l. køj+yn+y 'village+2s.POSS+ACC'
- m. jyz+ler+i 'face+PL+ACC'
- n. køj+ler+i 'village+PL+ACC'
- o. jyz+yn+de 'face+2s.POSS+LOC'

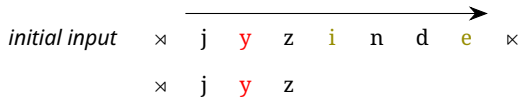
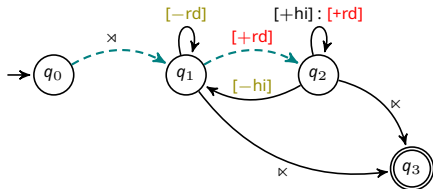


initial input    ⊗    j    y    z    i    n    d    e    ⊗

# Focus on rounding harmony

- a. ip+in+i 'rope+2s.POSS+ACC'
- b. el+in+i 'hand+2s.POSS+ACC'
- c. ip+ler+i 'rope+PL+ACC'
- d. el+ler+i 'hand+PL+ACC'
- e. ip+in+de 'rope+2s.POSS+ACC'

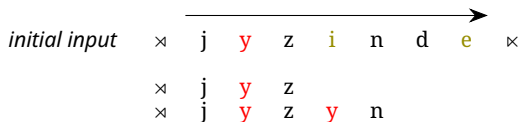
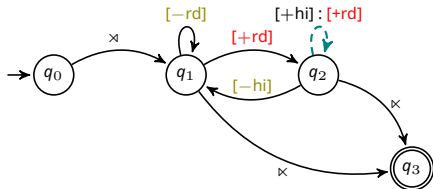
- k. jyz+yn+y 'face+2s.POSS+ACC'
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- m. jyz+ler+i 'face+PL+ACC'
- n. køj+ler+i 'village+PL+ACC'
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- a. ip+in+i 'rope+2s.POSS+ACC'
- b. el+in+i 'hand+2s.POSS+ACC'
- c. ip+ler+i 'rope+PL+ACC'
- d. el+ler+i 'hand+PL+ACC'
- e. ip+in+de 'rope+2s.POSS+ACC'

- k. jyz+yn+y 'face+2s.POSS+ACC'
- l. køj+yn+y 'village+2s.POSS+ACC'
- m. jyz+ler+i 'face+PL+ACC'
- n. køj+ler+i 'village+PL+ACC'
- o. jyz+yn+de 'face+2s.POSS+LOC'

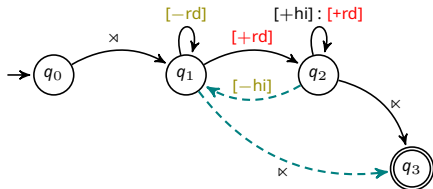




# Focus on rounding harmony

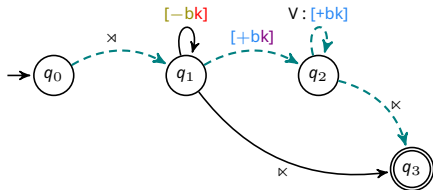
- a. ip+in+i 'rope+2s.POSS+ACC'
- b. el+in+i 'hand+2s.POSS+ACC'
- c. ip+ler+i 'rope+PL+ACC'
- d. el+ler+i 'hand+PL+ACC'
- e. ip+in+de 'rope+2s.POSS+ACC'

- k. jyz+yn+y 'face+2s.POSS+ACC'
- l. køj+yn+y 'village+2s.POSS+ACC'
- m. jyz+ler+i 'face+PL+ACC'
- n. køj+ler+i 'village+PL+ACC'
- o. jyz+yn+de 'face+2s.POSS+LOC'



<i>initial input</i>	x	j	y	z	i	n	d	e	x
	x	j	y	z					
	x	j	y	z	y	n			
<i>final output</i>	x	j	y	z	y	n	d	e	x

# Backness first, rounding second



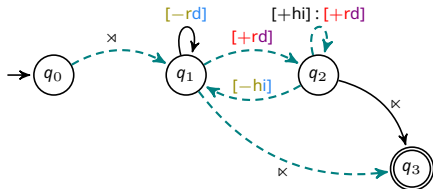
*initial input*

$R(B(x)), R \circ B(x)$

	→							
×	p	u	l	i	n	d	e	×

*intermediate*

×	p	u	l					×
×	p	u	l	u	n			×
×	p	u	l	u	n	d	a	×



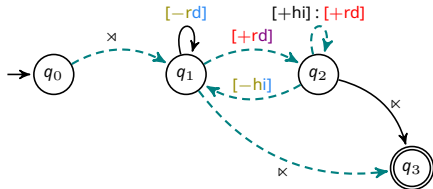
*intermediate*

×	p	u	l	u	n	d	a	×
---	---	---	---	---	---	---	---	---

*final output*

×	p	u	l					×
×	p	u	l	u	n			×
×	p	u	l	u	n	d	a	×

# Rounding first, backness second

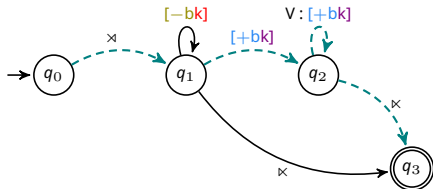


*initial input*

*intermediate*

$B(R(x)), B \circ R(x)$

	<div></div>							
×	p	u	l	i	n	d	e	×
×	p	u	l					
×	p	u	l	y	n			
×	p	u	l	y	n	d	e	×

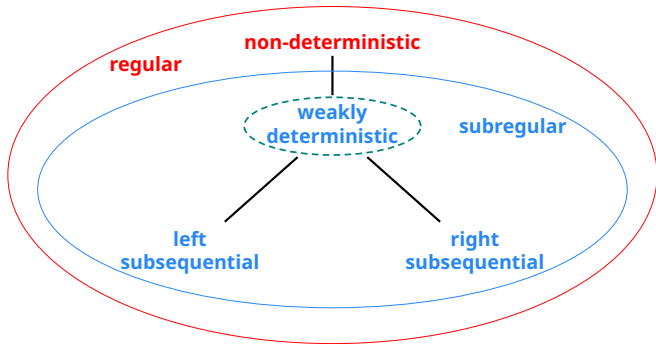


*intermediate*

*final output*

	<div></div>							
×	p	u	l	y	n	d	e	×
×	p	u	l					
×	p	u	l	u	n			
×	p	u	l	u	n	d	a	×

# Weakly deterministic functions



The identity of the output element for every input element is (in principle unboundedly) determined by elements from *one side or the other* of the string.

Composition of two contradirectional subsequential functions *that don't interact*.

# Maasai vowels

[−back]

i



ɪ

e



ɛ

[+back]

u



ʊ

$\begin{bmatrix} +\text{high} \\ -\text{low} \end{bmatrix}$

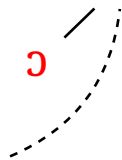
o



ɔ

$\begin{bmatrix} -\text{high} \\ -\text{low} \end{bmatrix}$

ɑ



$\begin{bmatrix} -\text{high} \\ +\text{low} \end{bmatrix}$

[+ATR]



[−ATR]

(ATR = advanced tongue root)

# Maasai bidirectional harmony

- |    |                                                            |   |                                                             |                     |                         |
|----|------------------------------------------------------------|---|-------------------------------------------------------------|---------------------|-------------------------|
| a. | /m <u>I</u> +k <u>I</u> +r <u>ɑ</u> ŋ/                     | → | [m <u>I</u> +k <u>I</u> +r <u>ɑ</u> ŋ]                      | 'NEG+1PL+sing'      | } root-<br>controlled   |
| b. | /m <u>I</u> +k <u>I</u> + <u>i</u> t <u>o</u> k <u>i</u> / | → | [m <u>i</u> +k <u>i</u> + <u>i</u> nt <u>o</u> k <u>i</u> ] | 'NEG+1PL+do.again'  |                         |
| c. | /k <u>I</u> + <u>i</u> d <u>i</u> m+ <u>u</u> /            | → | [k <u>I</u> + <u>i</u> d <u>i</u> m+ <u>u</u> ]             | '1PL+be.able+PRES'  |                         |
| d. | /k <u>I</u> + <u>n</u> o <u>r</u> r+ <u>u</u> /            | → | [k <u>i</u> + <u>n</u> o <u>r</u> r+ <u>u</u> ]             | '1PL+love+PRES'     |                         |
| e. | / <u>i</u> s <u>u</u> j+ <u>i</u> fɔ̃/                     | → | [ <u>i</u> s <u>u</u> j+ <u>i</u> fɔ̃]                      | 'wash+INTR'         | } suffix-<br>controlled |
| f. | / <u>i</u> s <u>u</u> j+ <u>i</u> fɔ̃+re/                  | → | [ <u>i</u> s <u>u</u> j+ <u>i</u> f <u>o</u> +re]           | 'wash+INTR+APPL'    |                         |
| g. | /ɛ+tɛ+b <u>e</u> l+ɑ/                                      | → | [ɛ+tɛ+b <u>e</u> l+ɑ]                                       | '3SG+PF+break+PF'   |                         |
| h. | /ɛ+tɛ+b <u>e</u> l+ <u>i</u> e/                            | → | [e+t <u>e</u> +b <u>e</u> l+ <u>i</u> e]                    | '3SG+PF+break+INST' |                         |

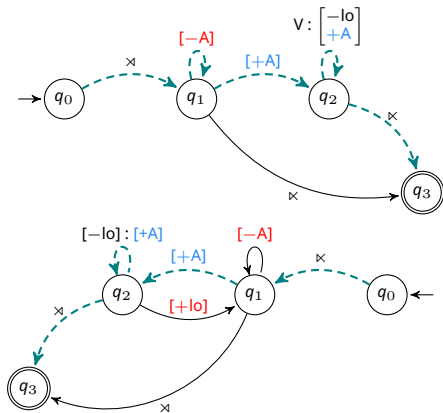
# Maasai bidirectional harmony



i.	/k <u>i</u> + <u>d</u> o <u>t</u> + <u>u</u> n+ <u>i</u> e/	→	[k <u>i</u> + <u>d</u> o <u>t</u> +u <u>n</u> + <u>i</u> e]	'1PL+pull+MT+APPL'	} /a/ blocks [+ATR] spreading from the right
j.	/k <u>i</u> + <u>t</u> a+ <u>d</u> o <u>t</u> + <u>u</u> n+ <u>i</u> e/	→	[k <u>i</u> + <u>t</u> a+ <u>d</u> o <u>t</u> +u <u>n</u> + <u>i</u> e]	'1PL+PST+pull+MT+APPL'	
k.	/ <u>i</u> + <u>d</u> u <u>ŋ</u> + <u>i</u> f <u>ɔ̌</u> +re/	→	[ <u>i</u> + <u>d</u> u <u>ŋ</u> +i <u>f</u> o+re]	'2SG+cut+INTR+APPL'	
l.	/ <u>i</u> + <u>a</u> s+ <u>i</u> f <u>ɔ̌</u> +re/	→	[ <u>i</u> + <u>a</u> s+i <u>f</u> o+re]	'2SG+do+INTR+APPL'	
m.	/ <u>i</u> n+ <u>l</u> i <u>p</u> ɔ̌ <u>ŋ</u> + <u>a</u> /	→	[ <u>i</u> n+ <u>l</u> i <u>p</u> ɔ̌ <u>ŋ</u> + <u>a</u> ]	'F.PL+female+PROD'	} /a/ raises to [o] when [+ATR] spreads from the left
n.	/ɔ̌l+m <u>e</u> n+ <u>a</u> /	→	[ɔ̌l+m <u>e</u> n+ <u>a</u> ]	'M.SG+despise+PROD'	
o.	/ <u>i</u> n+m <u>u</u> d <u>o</u> ŋ+ <u>a</u> /	→	[ <u>i</u> n+m <u>u</u> d <u>o</u> ŋ+ <u>o</u> ]	'F.PL+relative+PROD'	
p.	/ɛŋ+k <u>o</u> m <u>o</u> n+ <u>a</u> /	→	[ɛŋ+k <u>o</u> m <u>o</u> n+ <u>o</u> ]	'F.SG+pray+PROD'	
q.	/ <u>a</u> + <u>d</u> u <u>ŋ</u> + <u>a</u> k <u>i</u> n+ <u>i</u> e/	→	[ <u>a</u> + <u>d</u> u <u>ŋ</u> +o <u>k</u> i <u>n</u> + <u>i</u> e]	'3SG+cut+DAT+APPL'	} /a/ raises to [o] when flanked by [+ATR] vowels
r.	/ɛ+ <u>i</u> s <u>u</u> d+ <u>a</u> +r <u>i</u> + <u>i</u> e/	→	[ɛ+ <u>i</u> s <u>u</u> d+o+ri+ <u>i</u> e]	'3SG+hide+MA+N+APPL'	

# Left-to-right first, right-to-left second

$$R(L(x)); R \circ L(x)$$

/ $\epsilon$ +isud+ $\alpha$ + $\mathbf{r}$ + $\mathbf{i}$ e/  $\longrightarrow$  [ $\mathbf{e}$ +isud+ $\mathbf{o}$ + $\mathbf{r}$ + $\mathbf{j}$ i $\mathbf{e}$ ] '3SG+hide+MA+N+APPL'



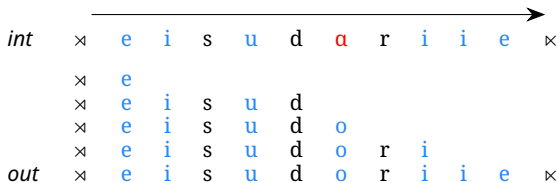
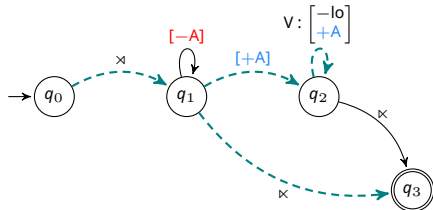
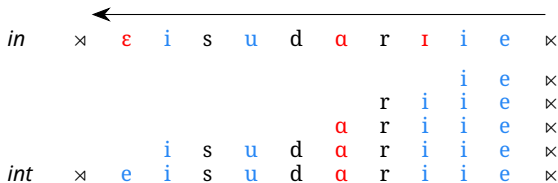
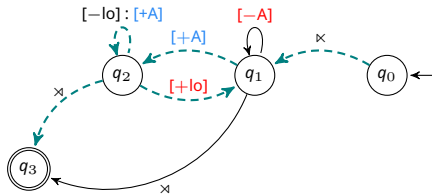
													
in	×	ε	i	s	u	d	a	r	i	i	e	×	
	×	ε											
	×	ε	i	s	u	d							
	×	ε	i	s	u	d	o						
	×	ε	i	s	u	d	o	r	i				
int	×	ε	i	s	u	d	o	r	i	i	e	×	
													
int	×	ε	i	s	u	d	o	r	i	i	e	×	
										i	e	×	
								r	i	i	e	×	
							o	r	i	i	e	×	
		i	s	u	d							×	
out	×	e	i	s	u	d	o	r	i	i	e	×	



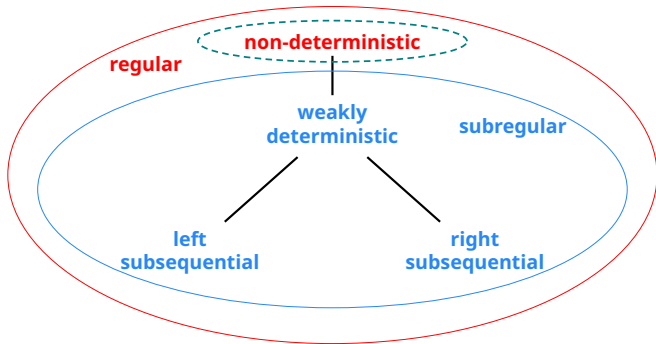
# Right-to-left first, left-to-right second

$$L(R(x)); L \circ R(x)$$

/ $\epsilon$ +isud+ $\alpha$ + $r$ + $i\epsilon$ /  $\longrightarrow$  [ $\epsilon$ +isud+ $\alpha$ + $r$ + $i\epsilon$ ] '3SG+hide+MA+N+APPL'



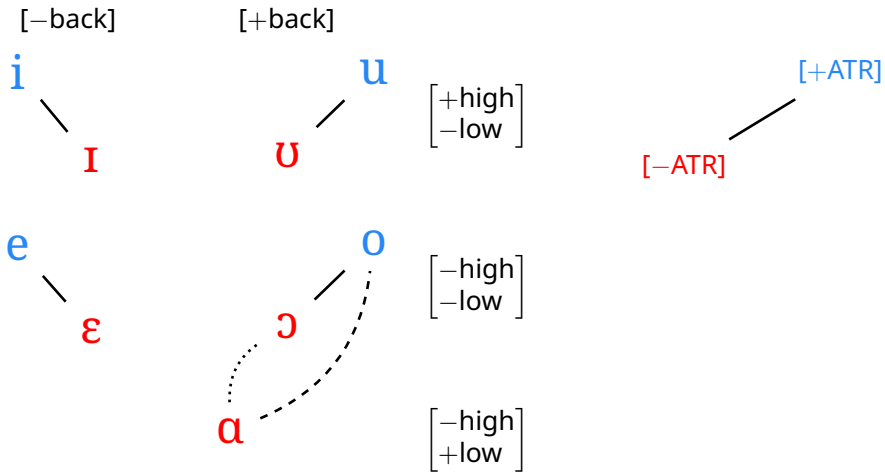
# Non-deterministic functions



The identity of the output element for every input element is (in principle unboundedly) determined by elements from *both sides* of the string.

Composition of two contradirectional subsequential functions *that crucially interact*.

# Turkana vowels



# Turkana bidirectional harmony

a.	/ɛ- <u>tɪm</u> -at/	[ɛ- <u>tɪm</u> -at]	'FEM.SG-shoe-SG'	} root-controlled, raising of /a/ to [o] from left, blocking by /a/ from right
b.	/ɛ-p <u>ur</u> -at/	[e-p <u>ur</u> -ot]	'MASC.SG-beer-SG'	
c.	/a-d <u>ɔk</u> -un/	[a-d <u>ɔk</u> -un]	'INF-climb-VEN'	
d.	/a-l <u>im</u> -un/	[a-l <u>im</u> -un]	'3-tell-VEN-ASP-VOI'	
e.	/a-kɪ-d <u>ɔk</u> /	[a-kɪ-d <u>ɔk</u> ]	'INF-K-climb'	} suffix-controlled, raising of /a/ to [o] from left, blocking by /a/ from right, raising of /a/ to [o] when flanked
f.	/ɛ-d <u>ɔk</u> -un-e/	[e-d <u>ɔk</u> -un-e]	'MASC.SG-climb-VEN-GER'	
g.	/a-kɪ-r <u>am</u> /	[a-kɪ-r <u>am</u> ]	'INF-K-beat'	
h.	/ɛ-r <u>am</u> -e/	[ɛ-r <u>am</u> -e]	'MASC.SG-beat-GER'	
i.	/a-t <u>ur</u> -a <u>an</u> -u/	[a-t <u>ur</u> -o <u>on</u> -u]	'GER-agile-HAB-NOM'	

# Turkana bidirectional harmony

j.	/i <u>do</u> - <u>un</u> - <u>it</u> /	[i <u>do</u> - <u>un</u> -it]	'give.birth-VEN-ASP'	} exceptional [ <u>-ATR</u> ] spreading
k.	/a-k-i <u>do</u> - <u>un</u> - <u>ĕt</u> /	[a-k-i <u>do</u> - <u>un</u> - <u>ĕt</u> ]	GER-K-give.birth-VEN-INST.LOC'	
l.	/a-k-i <u>ɔk</u> -a-k <u>in</u> - <u>i</u> /	[a-k-i <u>ɔk</u> -a-k <u>in</u> -i]	'GER-K-light.fire-EPI-DAT-V'	} exceptional [ <u>-ATR</u> ] spreading and raising of /a/ to [o]
m.	/ɛ-i <u>bus</u> -a-k <u>in</u> - <u>i</u> /	[e-i <u>bus</u> -o-k <u>in</u> -i]	'3-drop-EPI-DAT-V'	
n.	/ɛ-i <u>bus</u> -a-k <u>in</u> - <u>ǎ</u> /	[ɛ-i <u>bus</u> -ɔ-k <u>in</u> - <u>ǎ</u> ]	'GER-drop-EPI-DAT-VOI'	

## Left-subsequential function first

$$R(L(x)); R \circ L(x)$$

/ε-ibus-a-kin-ǎ] → [ε-IbUs-ɔ-kIn-ǎ] 'GER-drop-EPI-DAT-VOI'

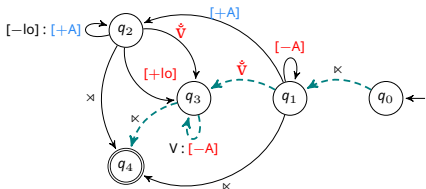
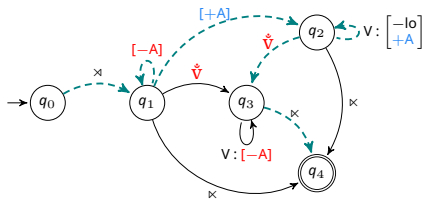


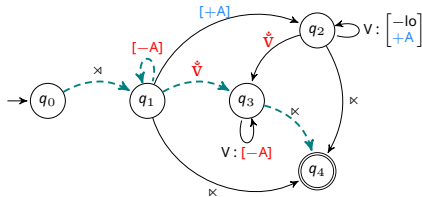
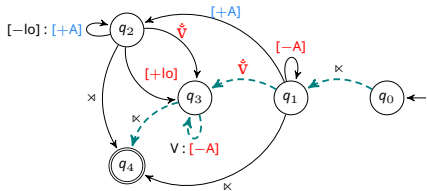
Diagram illustrating the forward and backward passes of a neural network layer. The forward pass (top) shows the input *in* being multiplied by weights ( $\epsilon$ ,  $i$ ,  $b$ ,  $u$ ,  $s$ ,  $a$ ,  $k$ ,  $I$ ,  $n$ ,  $\ddot{a}$ ) to produce the output *out*. The backward pass (bottom) shows the output *out* being multiplied by weights ( $\epsilon$ ,  $i$ ,  $b$ ,  $u$ ,  $s$ ,  $o$ ,  $k$ ,  $i$ ,  $n$ ,  $\ddot{a}$ ) to produce the input *in*. The weights are color-coded: red for  $\epsilon$ , blue for  $i$ ,  $b$ ,  $u$ ,  $s$ ,  $a$ ,  $k$ ,  $I$ ,  $n$ , and red for  $\ddot{a}$ . The output *out* is also color-coded: red for  $\epsilon$ , blue for  $i$ ,  $b$ ,  $u$ ,  $s$ ,  $o$ ,  $k$ ,  $i$ ,  $n$ , and red for  $\ddot{a}$ .



# Right-subsequential first

$$L(R(x)); L \circ R(x)$$

$/\varepsilon\text{-}\underline{\text{ib}}\text{us-}\underline{\text{a}}\text{-k}\text{in-}\underline{\text{ä}}] \longrightarrow [\varepsilon\text{-}\underline{\text{Ib}}\text{US-}\underline{\text{ɔ}}\text{-k}\text{in-}\underline{\text{ä}}]$  'GER-drop-EPI-DAT-VOI'



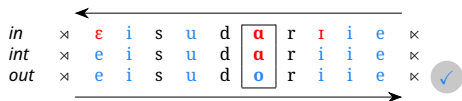
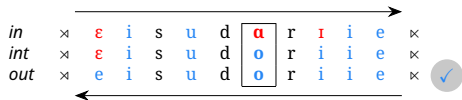
<i>in</i>	×	ε	i	b	u	s	a	k	I	n	ä	×
											ä	×
								k	I	n	ä	×
							a	k	I	n	ä	×
<i>int</i>	×	ε	I	b	U	s	a	k	I	n	ä	×
			I	b	U	s	a	k	I	n	ä	×

<i>int</i>	×	ε	I	b	U	s	a	k	I	n	ä	×
	×	ε										
	×	ε	I	b	U	s						
	×	ε	I	b	U	s	a					
	×	ε	I	b	U	s	a	k	I	n		
<i>out</i>	×	ε	I	b	U	s	a	k	I	n	ä	×

\*

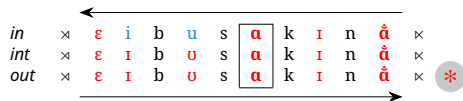
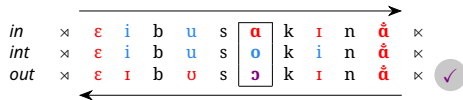
# Summary

No interaction (Maasai): **weakly deterministic**



$$/a/ \rightarrow \begin{cases} [o] / [+ATR] \dots \text{---} \\ [a] / \text{otherwise} \end{cases}$$

Interaction (Turkana): **non-deterministic**



$$/a/ \rightarrow \begin{cases} [o] / [+ATR] \dots \text{---} \dots \dot{v} \\ [o] / [+ATR] \dots \text{---} \text{otherwise} \\ [a] / \text{otherwise} \end{cases}$$



# Summary

## 1. Subsequential (deterministic) functions

The identity of the output element for every input element is (in principle unboundedly) determined by elements from *one side* of the string.

## 2. Weakly deterministic functions

The identity of the output element for every input element is (in principle unboundedly) determined by elements from *one side or the other* of the string.

- Can be described with a pair of composed contradirectional subsequential functions, **with no interaction between the two functions.**

## 3. Non-deterministic functions

The identity of the output element for every input element is (in principle unboundedly) determined by elements from *both sides* of the string.

- Can be described with a pair of composed contradirectional subsequential functions, **with interaction between the two functions.**

# fin

*Questions, etc.* — [ebakovic@ucsd.edu](mailto:ebakovic@ucsd.edu)

