

Learning by the Dendritic **Prediction of Somatic Spiking**

Robert Urbanczik¹ and Walter Senn^{1,*}

¹Department of Physiology, and Center for Learning, Cognition and Memory, University of Bern, Bühlplatz 5, CH-3012 Bern, Switzerland

*Correspondence: senn@pvl.unibe.ch

http://dx.doi.org/10.1016/j.neuron.2013.11.030

SUMMARY

Recent modeling of spike-timing-dependent plasticity indicates that plasticity involves as a third factor a local dendritic potential, besides pre- and postsynaptic firing times. We present a simple compartmental neuron model together with a non-Hebbian, biologically plausible learning rule for dendritic synapses where plasticity is modulated by these three factors. In functional terms, the rule seeks to minimize discrepancies between somatic firings and a local dendritic potential. Such prediction errors can arise in our model from stochastic fluctuations as well as from synaptic input, which directly targets the soma. Depending on the nature of this direct input, our plasticity rule subserves supervised or unsupervised learning. When a reward signal modulates the learning rate, reinforcement learning results. Hence a single plasticity rule supports diverse learning paradigms.

1. INTRODUCTION

In spike-timing-dependent plasticity (STDP) experiments, potentiation is only observed when pre- and postsynaptic spike pairs are induced with a sufficiently high frequency (Markram et al., 1997). This, by itself, has long since indicated that neurons that fire together do not unconditionally wire together. But a comprehensive phenomenological model of such non-Hebbian effects has only recently been achieved by including voltage as a third modulating factor for plasticity, in addition to the traditional pre-/posttimings (Clopath and Gerstner, 2010; Clopath et al., 2010). In vivo, the modulating voltage is thought to correspond to a local dendritic potential. But it can be estimated by low-pass filtering the somatic potential, in the special case that action potentials are elicited by somatic current injection, as in the classical STDP experiments.

Theoretical studies on the function of STDP have mostly assumed point neurons (Abbott and Nelson, 2000; Song et al., 2000; Kempter et al., 2001; Gütig et al., 2003). But this seems inadequate, if plasticity is modulated by a local dendritic voltage, which in vivo may substantially differ from the somatic potential. Here we present a compartmental neuron model and derive from first principles a plasticity rule in which the voltage modulation of synaptic plasticity has a functional interpretation. Remarkably, plasticity becomes simpler for this more complex, but arguably more realistic, model neuron, in that a single learning rule now encompasses diverse learning paradigms.

In designing the compartmental model, our overarching goal was simplicity, since we want to retain the key advantages of point neuron models: amenability to analytical insight and usability in large-scale simulations. As a consequence, our model rides roughshod over many aspects of neuronal morphology and dynamics. For instance, we collapse the complex neuronal morphology into a single somatic and a single dendritic compartment. Further, subthreshold voltage in our model propagates from the dendrite to the soma but not vice versa. However, simulation results indicate that the important functional aspects of our model and plasticity rule do not depend crucially on these simplifying assumptions (Supplemental Information available online).

A key aspect of voltage dependence is that in the subthreshold regime the strength of the synaptic depression resulting from an unpaired presynaptic input increases with voltage (Artola et al., 1990; Clopath and Gerstner, 2010). This leads us to conceptualize plasticity in dendritic synapses as driving a predictive coding scheme that adapts the dendritic potential to match the somatic activity. The likelihood of a somatic spike should increase with increasing dendritic input; hence, when there is no somatic spike in spite of high dendritic voltage, the synapses that caused the elevated voltage get strongly depressed. Conversely, a somatic spike that is unexpected due to a relatively low value of the dendritic potential gives rise to potentiation. Hence, we propose that plasticity is not driven by the correlation between pre- and postsynaptic activity, as in Hebbian learning, but by the correlation of presynaptic activity with a postsynaptic, somatodendritic prediction error.

Deviations between dendritic potential and somatic activity, i.e., prediction errors, can result in our model from stochastic fluctuations as well as from synaptic input that directly targets the soma. Depending on the source of the direct input into the soma, the proposed plasticity rule implements supervised or unsupervised learning. The stochastic fluctuations lead to exploratory somatic activity that can subserve reinforcement learning. Indeed, when there is no direct synaptic input to the soma, our plasticity rule becomes mathematically equivalent to a rule previously derived in a point neuron model (Pfister et al., 2006), which has since been widely used in reinforcement learning (Di Castro et al., 2009; Urbanczik and Senn, 2009; Frémaux et al., 2010). As a consequence, the proposed model provides a unified plasticity rule for unsupervised, supervised, and reinforcement learning.





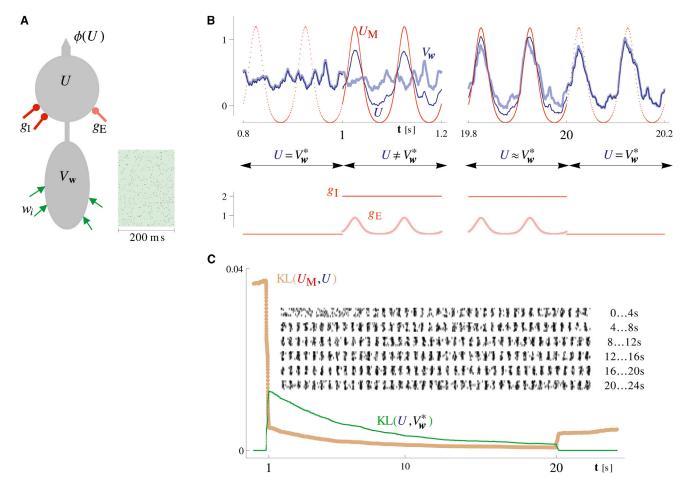


Figure 1. Learning in the Compartmental Neuron

(A) Sketch of the neuronal model and a typical dendritic input pattern. (B) Traces of key neuronal variables. During the entire run, the input spike pattern shown in (A) is presented over and over again. Starting at t = 1 s, the nudging conductances g_E and g_I are active for 19 s, encoding U_M (filled red curve, top row) as the target time course for the somatic potential. This target time course is shown by the red dotted curve, at times when the nudging conductances are off. (C) Average learning curves for the above task, based on n=10 runs with a different input pattern and different initial dendritic weights for each run. $\mathrm{KL}(U,V_{w}^{*})$ assesses the discrepancy between actual somatic firings and firings predicted by the dendritic potential. It is calculated by using a statistical measure (Kullback-Leibler divergence, Supplemental Information, Equation S12) to compare the firing rates $\phi(U)$ and $\phi(V_{\mathbf{w}}^*)$. The plot for $\mathsf{KL}(U_{\mathsf{M}},U)$ shows the discrepancy between target firings (rate $\phi(U_M)$) and actual firings. In the nudging phase, dendritic plasticity by decreasing $KL(U, V_w)$ also reduces $KL(U_M, U)$. Hence $KL(U_M, U)$ stays small when the nudging is inactivated at t = 20 s. Inset: the somatic spikes produced during the ten runs.

2. RESULTS

We first describe our model and the plasticity rule in the context of a simple supervised learning task, a mathematical derivation of the rule as gradient procedure is given in Supplemental Information, Section 2.1. In this first task, a single neuron learns to associate a dendritic input with a somatic target response. For learning, somatic activity is modulated by the target response via somatic synapses and this leads to prediction errors. After learning, the target response is produced solely from the dendritic input even when the somatic synapses are silent. A next simulation demonstrates how this learning principle subserves the formation of associative memories in a recurrent network: during learning, each memory pattern is delivered to the network by the somatic synapses, and each neuron learns to produce its component of the pattern from the dendritic synapses connecting the neuron to the other neurons in the network. Unsupervised learning arises in our model when the somatic synapses are driven by the learning network itself. For this, a last simulation shows how our plasticity rule can lead to the self-organization of a topographic mapping (Kohonen, 1982).

2.1. The Model in a Simple Supervised Learning Task

The model neuron that we consider here (Figure 1A) is made up of a somatic and a single dendritic compartment, but it can be extended to more than one dendritic compartment (Supplemental Information, Figure S1).

Somatic Compartment

Depending on value of the somatic potential U, the soma generates spikes probabilistically as in a Poisson process but with a 3 ms refractory period. In particular, its instantaneous firing rate is a sigmoidal function $\phi(U)$ of the somatic voltage. The



somatic compartment integrates input from the dendritic compartment with inputs from proximal synapses (current I_{ij}^{com}). Hence, the potential U evolves as

$$\dot{U} = -g_{L}U + g_{D}(V_{w} - U) + I_{U}^{som}$$
 (Equation 1)

where we have set the capacitance C to unity and omitted this factor. Further, g_L is the leak conductance, g_D the coupling of the dendrite to the soma, and $V_{\mathbf{w}}$ the dendritic voltage. The subscript \mathbf{w} in $V_{\mathbf{w}}$ refers to the vector of the synaptic strengths in the dendrite. For the input from the synapses proximal to the soma, we adopt the conductance-based formulation

$$I_U^{\text{som}}(t) = g_{\text{E}}(t)(E_{\text{E}} - U) + g_{\text{I}}(t)(E_{\text{I}} - U).$$
 (Equation 2)

Here g_E and g_I are time-varying excitatory and inhibitory conductances with reversal potentials E_E and E_I .

Importantly, the balance of excitation and inhibition defines an effective reversal potential for which proximal synaptic input does not generate any current. We call this reversal potential the matching potential $U_{\rm M}$. By setting Equation 2 to zero it is obtained as

$$U_{\rm M}(t) = \frac{g_{\rm E}(t)E_{\rm E} + g_{\rm I}(t)E_{\rm I}}{g_{\rm E}(t) + g_{\rm I}(t)}.$$
 (Equation 3)

Note that $U_{\rm M}$ does indeed act as a reversal potential: a value of U smaller than $U_{\rm M}$ results in $I_{\rm U}^{\rm som}>0$ but, conversely, the direct synaptic input current is negative if the somatic voltage lies above the matching potential. So the proximal synapses nudge the somatic potential toward $U_{\rm M}$ (Figure 1B for t between 1 and 1.2 s), and we will refer to $g_{\rm E}$ and $g_{\rm I}$ as the nudging conductances.

Dendritic Prediction

Let us for a moment assume that the proximal nudging synapses are silent $(g_E = g_I = 0)$, as in Figure 1B for t < 1 s. Then the time course of the somatic voltage is determined just by the dendrite and shall, in this case, be denoted by $V_{\mathbf{w}}^*$. One can easily calculate $V_{\mathbf{w}}^*$ by integrating Equation 1 under the condition $I_U^{\text{som}} = 0$. Here we shall assume a strong coupling g_D of the soma to the dendrite and then $V_{\mathbf{w}}^* = (gD/(gD+gL))V_{\mathbf{w}}$ holds to an excellent approximation. So in the absence of proximal somatic input, the somatic potential is in essence a slightly attenuated version of the dendritic potential (Figure 1B for *t* between 0.8 and 1 s). Despite the simple relationship to $V_{\mathbf{w}}$, the value of $V_{\mathbf{w}}^*$ is important conceptually because the soma would fire with rate $\phi(V_w^*)$ if somatic synapses were always silent. We interpret the notional rate $\phi(V_{\mathbf{w}}^*)$ as the dendritic prediction of the actual somatic firing rate $\phi(U)$ and conceptualize learning in the dendritic synapses as aiming to reduce the rate prediction error, that is the magnitude of $\phi(U) - \phi(V_{\mathbf{w}}^*)$.

Rate prediction errors can arise from direct synaptic input to the soma that nudges the somatic potential U away from $V_{\mathbf{w}}^*$. Crucially, nudging must not always lead to such errors, since no current flow arises from the nudging when the somatic potential equals the matching potential $U_{\mathbf{M}}$. So if the dendritic potential by itself follows a time course such that $V_{\mathbf{w}}^* = U_{\mathbf{M}}$, the nudging has no effect. In this sense, the dendrite can predict away the proximal synaptic input. Approximately, this is the case in Figure 1B for t between 19.8 and 20 s.

When rate prediction errors do arise from the nudging, dendritic plasticity reducing these errors sets into motion a virtuous cycle in which the somatic potential U serves as an intermediate moving target. An adaptive change to $V_{\mathbf{w}}$ reducing the magnitude of $\phi(U) - \phi(V_{\mathbf{w}}^*)$ moves $V_{\mathbf{w}}$ toward U. Since U lies in between $V_{\mathbf{w}}^*$ and the matching potential, the change also moves $V_{\mathbf{w}}^*$ closer to $U_{\mathbf{M}}$. However, the adaptive change in the dendrite also influences the somatic potential and as a consequence U moves toward $U_{\mathbf{M}}$. This change to U recreates a prediction error, triggering further adaptive change until the dendrite in the end catches up with the soma when both $V_{\mathbf{w}}^*$ and U converge to $U_{\mathbf{M}}$. Hence, while the intermediate target of the learning process is U, the effective, final target is the matching potential $U_{\mathbf{M}}$.

Plasticity Rule

For minimizing it, dendritic synapses need to estimate the rate prediction error $\phi(U)-\phi(V_{\mathbf{w}}^*)$. The predicted rate $\phi(V_{\mathbf{w}}^*)$ is readily obtained from the local dendritic potential $V_{\mathbf{w}}$. The actual somatic rate can be estimated based on the back propagation of action potentials, since the somatic spike train S(t) provides a noisy observation process for the underlying firing rate $\phi(U(t))$. Statistically, $\phi(U(t))$ is the expectation of S(t), when the spike train S(t) is given as a sum of δ -functions centered at somatic spike times. So a noisy estimate of the rate prediction error is provided by $S(t)-\phi(V_{\mathbf{w}}^*(t))$, and we assume that it is this estimate that drives synaptic plasticity. For a dendritic synapse i with strength w_i , we introduce the plasticity induction variable Pl_i by

$$PI_{i}(t) = \left(S(t) - \phi(V_{\mathbf{w}}^{*}(t))\right) h\left(V_{\mathbf{w}}^{*}(t)\right) \frac{\partial}{\partial W_{i}} V_{\mathbf{w}}(t).$$
 (Equation 4)

Here h is a positive weighting function, the choice of which we discuss below. The exact form of the partial derivative term $(\partial/\partial w_i)V_{\mathbf{w}}$ depends on the model for the dendritic compartment. Here, we adopt a simple spike response model, in which the dendritic voltage is given as a weighted sum of the spike response functions for the afferents (Equation 8, Experimental Procedures). The weight of each afferent is the synaptic strength w_i and its spike response functions PSP $_i(t)$ is determined solely by the presynaptic spike timings. Then the derivative is just $(\partial/\partial w_i)V_{\mathbf{w}} = \text{PSP}_i(t)$. So, as in the phenomenological model (Clopath and Gerstner, 2010; Clopath et al., 2010), plasticity induction is determined by three factors: pre-/posttiming and the dendritic potential.

Note that one can regard the difference $S(t)-\phi(V_{\mathbf{w}}^*(t))$ in Equation 4 as the instantaneous prediction error. Since S(t) records the actual spiking of the neuron, this error is never zero; the neuron cannot produce, say, half a spike. But averaged over many trials, $S(t)-\phi(V_{\mathbf{w}}^*(t))$ converges to the rate prediction error $\phi(U)-\phi(V_{\mathbf{w}}^*)$, which can be zero. So even if plasticity is induced in every trial, the changes can cancel and then only negligible net synaptic change results. However, such trial-by-trial fluctuations can potentially be reinforced by a reward signal, and this provides the hook for using the rule in reinforcement learning. It is this scenario, which motivated our choice of the weight function h in Equation 4, namely $h(x) = (d/dx) \ln \phi(x)$. For silent nudging conductances, the above choice makes our plasticity model mathematically equivalent to a previously derived reinforcement learning rule (Pfister et al., 2006). For



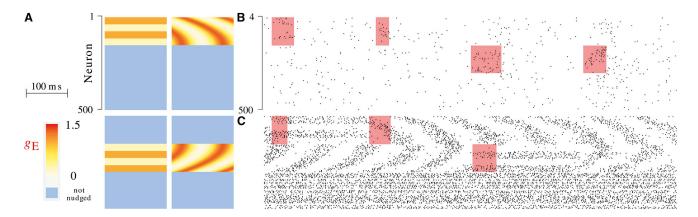


Figure 2. Memory Formation in a Network with Recurrent Somatodendritic Connections

The 100 ms scale bar refers to all panels. (A) Excitatory nudging conductances for the four patterns. When a neuron is nudged, the excitation is balanced by an inhibitory conductance of $g_1 = 3$. For no nudging, $g_E = g_1 = 0$. (B and C) Response to brief nudging: before learning (B) and after 500 s of learning (simulated biological time) (C). Neurons and times for which nudging occurs are marked by the red shading. For visibility, only every fourth neuron is shown. A statistical evaluation of recall performance is given in Supplemental Information, Section 3.3.

just supervised learning, there would be considerable leeway in choosing h, and one might even just omit this term (Supplemental Information, Equations S4 and S7).

In the model, induced plasticity is low-pass filtered with a time constant τ_{Δ} before being consolidated into persistent synaptic change

$$au_{\Delta}\Delta_{i} = \mathsf{PI}_{i}(t) - \Delta_{i} \ \dot{w}_{i} = \eta \Delta_{i}.$$
 (Equation 5)

Here η is the learning rate. For one thing, the low-pass filtering dampens the fluctuations arising from the noisy estimation of the rate prediction error. More importantly, it provides a time lag, making it possible to use the rule with a delayed reward signal in reinforcement learning by assuming that the learning rate η is proportional to an external reward signal. In this case, the mathematical equivalence of our model to the previous work on reinforcement learning is strict (Pfister et al., 2006; Frémaux et al., 2010); hence, we will only consider supervised and unsupervised learning in the rest of the paper.

Returning to supervised learning, Figure 1C shows the learning curves for the simple scenario considered in Figure 1B. The curves highlight that the plasticity rule moves the somatic potential toward the matching potential by reducing the somatodendritic prediction error resulting from the nudging. We emphasize that dendritic synapses are oblivious of whether or not the soma is being nudged and get updated throughout the entire session (Equations 4 and 5). But, whenever the nudging conductances are silent, the updates are random and the net change in the neuronal response stays negligible.

For nudging, inhibition and excitation play an opposing but symmetric role in our model. Although, in terms of conductance injected into the soma, excitatory nudging is weaker than inhibition, simply because excitatory conductance leads to strong current flow due to its high reversal potential. The broadly symmetric role is nevertheless at variance with findings on the prevalence of somatic inhibition in principal cells (Somogyi et al., 1998). In Supplemental Information, we show how the model

can be adapted to take into account a high baseline level of somatic inhibition (Figure S2).

2.2. Associative Memory

As a more involved learning task, we consider memory formation in a network of 500 compartmental neurons. Recurrent connections relay the spikes of each neuron to the dendritic compartment of other neurons (50% random connectivity), and dendritic synapses follow the above plasticity rule. The four patterns that we trained with are shown in Figure 2A; two of the patterns use a rate code, the other two use a phase code. For the phase code patterns, pattern neurons have firing rate profiles with identical period but shifted phases. Similar patterns arise in the phase coding of path information observed in hippocampal place cells of rodents (O'Keefe and Recce, 1993; Huxter et al., 2003).

Patterns were imprinted on the network by randomly selecting one of the four patterns during learning and then nudging the pattern neurons for an average duration of 500 ms. Thereafter, the procedure was repeated with a next pattern. As a recall paradigm for the patterns, we consider the brief nudging of the pattern neurons. Before learning, the network responds to the recall nudgings by weak and brief activity (Figure 2B). After learning, the patterns are represented by sustained activity states and the nudging triggers transitions between the states (Figure 2C).

In Figure 2, some neurons are not part of any pattern and are thus never nudged. Similarly to echo state networks (Jaeger and Haas, 2004), such "hidden" neurons may enhance the representational capabilities of the network. Here, however, dendritic synapses are plastic in all neurons. So there is no biophysical difference between "hidden" and "visible" neurons and a neuron's role in the network is assigned ad hoc during learning depending on whether it happens to get nudged.

2.3. Self-Organized Topographic Mappings

Till now we have not considered the source of the direct somatic input. Taking this into account becomes crucial, however,



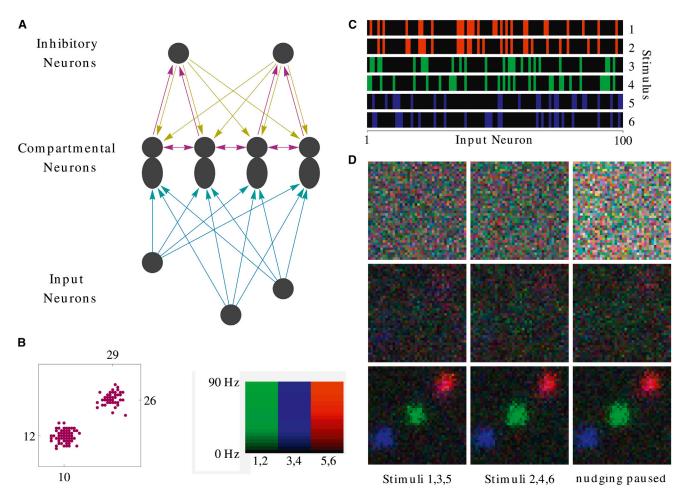


Figure 3. Learning a Topographic Mapping

(A) Sketch of a one-dimensional network analogous to the two-dimensional topographic network that we used. The color coding of the connections is: cyan, plastic; magenta, excitatory; yellow, inhibitory. (B) Sample of the excitatory somatosomatic connectivity in the network that we actually used. Dots mark the neurons with lateral input from neuron (12, 10) and neuron (29, 26). (C) Poisson firing rates of the input neurons to the network, the six stimuli form three colorcoded clusters. (D) Network response before learning (top row), after 700 s of simulated biological learning time (middle row), and after 1,600 s of learning (bottom row). Mean firing rates recorded during a 1 s presentation of each stimulus are shown. The left column records the responses to the first input stimulus in each of the clusters; the middle column is for the second stimuli. For the right column, stimuli 2,4,6 were presented as in the middle column. However, just during the 1 s activity recording, all somatosomatic interaction was turned off to show the stimulus response in the absence of nudging. Firing rates in (B) and (C) are color coded as indicated by the legend. In (C), responses to different stimuli are overlayed by additively combining their color codes. More simulation details are given in Supplemental Information, Section 3.4.

whenever the network itself generates the nudging. Then the supervised learning in effect turns into an unsupervised learning procedure since the network computes its own teaching signal. As an example, we modeled the learning of a two-dimensional topographic mapping by our plasticity rule. A sketch of a onedimensional network, analogous to the one we used, is shown in Figure 3A. Weak short-range somatosomatic connections provide excitatory nudging and this is balanced by a weak long-range inhibitory nudging that is mediated by a pool of inhibitory interneurons. To promote stimulus selectivity, the interneurons have facilitating afferents (see Zucker and Regehr, 2002; Supplemental Information, Section 3.4). The network that we simulated had 40×40 compartmental and 20×20 inhibitory neurons. A sample of the actual excitatory somatosomatic connectivity is shown in Figure 3B.

The dendrites of the compartmental neurons are connected to an input layer presenting on each trial one of the stimuli shown in Figure 3C. The six rate-coded stimuli have no manifest topographical organization, but the stimulus set does have some structure. It is made up of three clusters (color coded), with strong within but weak between cluster correlations. Mapping these stimuli topographically yields activity patterns that are similar to the spatially clustered object representations observed in inferotemporal cortex (Tanaka, 2003).

Recordings of stimuli responses in the compartmental neurons are shown in Figure 3D. Activity is initially disorganized but learning leads to a spatial organization in which the different clusters in the input are mapped to different areas in the network. Importantly, topographic organization emerges from learning and not from the recurrent network dynamics. This is highlighted



by the right column in Figure 3D, in which all nudging was turned off during activity recording in order to obtain the feedforward response of the network. Comparing to the middle column shows that the stimulus response is hardly influenced by the recurrences except for a small, globally inhibitory influence before learning.

A similar network using point neurons and strong recurrent connections was presented for this task by Michler et al. (2009). There even before learning, due to the strong recurrences, network activity shows substantial topographic organization that is then further enhanced by assuming an appropriate plasticity rule for the feedforward connections: potentiation uses a Hebbian mechanism and this is balanced by depression implemented as a multiplicative synaptic scaling, modulated by the postsynaptic firing frequency. In contrast, when plasticity is driven by a prediction error, there is no need to assume that plasticity is tailored to the unsupervised learning task. In our case, the balance of synaptic potentiation and depression results from, and reflects, the balance of excitation and inhibition in the somatosomatic connectivity. In essence, the unsupervised learning algorithm is not specified by the plasticity rule but by the architecture of the network.

3. DISCUSSION

Our model of self-organized feature maps (Figure 3) shows that, by shaping plasticity, even weak synaptic input can have a profound long-term effect on processing. This provides an angle on longstanding discussions on the relative importance of fast feedforward versus slower recurrent processing in the brain (Lamme and Roelfsema, 2000; Ganguli and Latham, 2009). In vision, for instance, Riesenhuber and Poggio (2000) have argued that the observed fast reaction times (Stanford et al., 2010) are suggestive of a processing that is predominantly feedforward. This, however, seems at odds with the massive recurrent connectivity in visual cortex. Our simulation with weak but persistent nudging highlights the possibility that some of the recurrence could subserve the learning of appropriate feedforward mappings, even if the recurrent connections only marginally affect the instantaneous stimulus response.

Supervised learning depends on the distinction between a target value and an actually produced value. Modeling this with point neurons is awkward because of the difficulty of fitting two values into a single point. Since the seminal work of Hopfield (1982) on associative memory, one has typically assumed that time multiplexing provides a solution and distinguished a learning phase from a retrieval phase. During learning, the neuronal output is "clamped" to the target without being affected by the adapting afferents for which Hebbian plasticity is turned on. During retrieval, the neuronal output is driven by the adapted afferents, but plasticity is now turned off to keep the neuron from learning any mistakes it might make. Recently a more subtle version of time multiplexing has been suggested, in which the target value is delivered to the neuron with a precisely timed delay after the actual value has been produced (D'Souza et al., 2010).

With two compartments, supervised learning is much simpler. The nudging of the somatic compartment provides information on the target value, whereas the dendrite produces the actual value. When learning is driven by the somatodendritic rate prediction error, net plasticity induction decreases by learning and becomes zero as soon as the nudging stops. Further, after arriving at the target defined by the matching potential, nudging can be turned on or off without affecting the somatic potential since the conductance-based somatic input becomes ineffective once its postsynaptic reversal potential is reproduced by appropriately learned dendritic input. In effect, successful learning explains away the teacher and there is thus no need for a temporally precise control of plasticity that distinguishes between learning and retrieval phases. A key requirement for this, at the level of the dendritic synapses, is the modulation of plasticity by dendritic voltage. Currently, evidence for such a modulation is circumstantial (Artola et al., 1990; Clopath and Gerstner, 2010). It would be desirable to have more proximal data, obtained by patching the dendrite in the vicinity of the synapse being investigated in order to control the local voltage.

While average plasticity induction is zero in the absence of rate prediction errors, plasticity is nevertheless induced all of the time in our model, driven by instantaneous prediction errors that have zero average over many trials. So when there is no need for learning, there is no plasticity induction on average, and it seems hard to imagine a better way of reconciling the stability of learned associations with ongoing synaptic plasticity. But even if this may be the best solution to the stability-plasticity dilemma, it is not a perfect solution. A close inspection of Figure 1C shows a small deterioration in performance during the 4s of learning after t = 20 when the nudging stopped. This arises because in the absence of nudging, ongoing plasticity causes the synaptic strength to evolve as in a random walk. In Supplemental Information (Figure S5), we show that such synaptic diffusion can go on for 10 to 20 s without causing dramatic changes to the learned neuronal behavior. Further, in a network of densely connected neurons, changes on the single neuron level will tend to cancel. Also, no plasticity at all is induced by our rule when there is no activity. While these two mechanisms can lead to learned associations persisting quite a bit longer, it is hard to imagine them resulting in persistence on the order of days. Hence, even in our model, some explicit control of plasticity is needed to guarantee the stability of learned associations. This chimes in with the experimental findings that so-called long-term potentiation or depression is not tantamount to lasting potentiation or depression (Pastalkova et al., 2006; Frey and Frey, 2008). Instead, newly induced changes to synaptic strength are labile for a time frame of hours and whether they become persistent can even depend on seemingly unrelated behavioral events (Ballarini et al., 2009). Such memory consolidation mechanisms are suggestive of the temporally coarse-grained control of plasticity needed for the persistence of learned associations in our model.

EXPERIMENTAL PROCEDURES

Full simulation details are given in the Supplemental Information (Section 3). Here the complete description of the model neuron is presented, starting with the dendritic compartment.

In the dendrite, we adopt for simplicity a synaptic model that is not conductance based. Instead, presynaptic input directly and immediately leads to



current injection into the dendritic compartment. So presynaptic spike trains, X_i^{dnd} , jointly give rise to a dendritic input current I^{dnd} evolving as

$$\tau_s \dot{I}^{\text{dnd}} = -I^{\text{dnd}} + \sum_i w_i \sum_{s \in X_i^{\text{dnd}}} \delta(t-s)$$
 (Equation 6)

where we think of each spike train X_i^{dnd} as the set of the presynaptic spike times in afferent i. The synaptic strength for this afferent is w_i , and we use τ_s = 3 for the synaptic time constant. Here, and throughout the Experimental Procedures, we measure time in milliseconds.

The dendritic potential $V_{\mathbf{w}}$ is obtained by low-pass filtering the input current usina:

$$\tau_{\mathsf{L}} \dot{V}_{\mathsf{w}} = -V_{\mathsf{w}} + I^{\mathsf{dnd}}$$
 (Equation 7)

with $\tau_L = 10$ for the leak time constant. Equation 7 can be solved analytically, resulting in the spike response form of $V_{\mathbf{w}}$. Then the dendritic voltage is

$$\textit{V}_{\mathbf{w}}(t) = \sum_{\textit{i}} \textit{w}_{\textit{i}} \mathsf{PSP}_{\textit{i}}(t) \text{ where } \mathsf{PSP}_{\textit{i}}(t) = \sum_{\textit{s} \in \textit{X}_{\textit{i}}^{\mathsf{d}nd}} \kappa(t - \textit{s})$$

with the response kernel $\kappa(t)$ = $(1/(\tau_{\rm L}-\tau_{\rm S}))\Theta(t)({\rm e}^{-t/\tau_{\rm L}}-{\rm e}^{-t/\tau_{\rm S}}).$ We emphasize that this is a highly stylized model of a dendritic compartment. In particular, Equation 7 does not allow for any current flow from soma to dendrite. In the Supplemental Information, we show that this restriction can be relaxed (Figures S3 and S4)

The presynaptic term in our learning rule (Equation 4) is the partial derivative $(\partial/\partial w_i)V_{\mathbf{w}}(t)$. From (Equation 8) this results in $(\partial/\partial w_i)V_{\mathbf{w}}(t) = \mathsf{PSP}_i(t)$, the sum of the response kernel κ over presynaptic spike times. It is this simple form that made us choose the spike response model for the dendrite, instead of using a more realistic conductance-based formulation. While calculating the partial derivative with respect to a synaptic strength in a conductance-based model is straightforward, the derivative depends on the total amount of conductance in the dendrite because a high level of conductance, in effect, increases the leak. We are not aware of any experimental results regarding such a nonlocal modulation of the presynaptic term. In a conductance-based model, one can probably get away with using an approximate gradient based on a standard response kernel for the purpose of simulations. But this by itself would make it difficult to make contact with any mathematical theory.

As mentioned in the Results (Equation 1), the somatic potential evolves as

$$\dot{U}(t) = -g_{\mathsf{L}}U(t) + g_{\mathsf{D}}(V_{\mathsf{w}}(t) - U(t)) + I_{U}^{\mathsf{som}}(t)$$

where we use $g_L = 1/\tau_L = 0.1$ for the leak conductance and $g_D = 2$ for the coupling of the dendrite to the soma. Note that for both $V_{\mathbf{w}}$ and Uthe resting potential is 0. The somatic current is given by $I_{IJ}^{\text{som}}(t) =$ $g_{\rm E}(t)(E_{\rm E}-U(t))+g_{\rm I}(t)(E_{\rm I}-U(t))$, with $E_{\rm E}=4.667$ and $E_{\rm I}=-1/3$. Below, we will choose a soft spiking threshold of $\vartheta = 1$. If one takes our unitless resting potential of 0 to correspond to -70 mV, and our unitless threshold of 1 to correspond to -55 mV, the above choices for E_E and E_I correspond to reversal potentials of 0 mV (excitation) and -75 mV (inhibition).

When explicitly modeling how the nudging conductances $g_{\rm E}$ and $g_{\rm I}$ arise from the firing of other neurons synapsing onto the soma, we assume that a presynaptic spike leads to an instantaneous increase in conductance followed by an exponential decay. For the total excitatory and inhibitory conductance in the soma, this results in

$$\begin{split} \dot{g}_{\rm E} &= -g_{\rm E}/\tau_{\rm S} + \sum_{j} w_{j}^{\rm E} \sum_{s \in X_{j}^{\rm E}} \delta(t-s) \\ \dot{g}_{\rm I} &= -g_{\rm I}/\tau_{\rm S} + \sum_{k} w_{k}^{\rm I} \sum_{s \in X_{j}^{\rm I}} \delta(t-s). \end{split} \tag{Equation 9}$$

Here w_i^E is the strength of the *j*-th excitatory synapse proximal to the soma and X_i^E its presynaptic input spike train. For inhibition, the homologous role is played by w_k^l and X_k^l .

In the mathematical analysis (Supplemental Information, Section 2.1), we assume Poisson spiking for the some with an instantaneous rate $\phi(U(t))$. i.e., the probability of generating a spike in the time interval $[t, t + \delta t]$ is $\phi(U(t))\delta t$ in the limit of small δt . For biological realism, we modified this slightly in the simulations presented here, by assuming a 3 ms absolute refractory period after each spike, during which the soma cannot generate further spikes. For the rate function ϕ , we use a sigmoidal of the form

$$\phi(U) = \frac{\phi_{\text{max}}}{1 + ke^{\beta(\vartheta - U)}}.$$
 (Equation 10)

with $\phi_{\text{max}} = 0.15$, k = 0.5, $\beta = 5$ and, as mentioned above, $\vartheta = 1$. The choice of $\phi_{\rm max}$ means that the maximal firing rate is 0.15 kHz. Note that this maximal rate is attained only for an infinite value of U, maximal firing rates in our simulations are considerably lower. Assuming a sigmoidal for $\phi(U)$ is not an essential part of our model, in principle any rate function that increases with U could be used (Supplemental Information, Section 2.1).

Taking the definition of $V_{\mathbf{w}}$ into account (Equation 8), the equation for plasticity induction (Equation 4) becomes

$$PI_{i}(t) = \left(S(t) - \phi\left(V_{\mathbf{w}}^{*}(t)\right)\right) h\left(V_{\mathbf{w}}^{*}(t)\right) PSP_{i}(t) \qquad \text{(Equation 11)}$$

with $h(x) = (d/dx) \ln \phi(x)$. Equation 11 depends on the dendritic potential but we do not explicitly model the back propagation of somatic action potentials into the dendrite. Hence our theory is incapable of describing any voltage dependence of plasticity induction while an action potential is ongoing. We take this into account by not using Equation 11 during the refractory period immediately after a spike. In this period, the soma will not spike whatever the dendrite does, so we simply set $PI_i(t) = 0$ during this period. In practical terms, given the typical firing rates in our simulations, we expect the effects of refractoriness to be minor. For instance, in Figure 1 the mean value of our performance measure $KL(U_M, U)$ immediately after learning is 0.0037 ± 0.0003 . This value changes to 0.0031 ± 0.0003 when the simulation is rerun with no refractoriness.

As mentioned in the main text, $\operatorname{PI}(t)$ is low-pass filtered before inducing synaptic change using: $\tau_{\Delta}\dot{\Delta}_i = PI_i(t) - \Delta_i$ and $\dot{w}_i = \eta\Delta_i$. In the simulations, τ_{Δ} = 100. The learning rate η is different for the different tasks (Supplemental Information, Section 3).

SUPPLEMENTAL INFORMATION

Supplemental Information includes Supplemental Experimental Procedures and five figures and can be found with this article online at http://dx.doi.org/ 10.1016/i.neuron.2013.11.030.

ACKNOWLEDGMENTS

This research is supported by the Swiss National Science Foundation (SNF, Grant 31003A_133094) and a grant from the Swiss SystemsX.ch initiative (Neurochoice), evaluated by the SNF.

Accepted: November 21, 2013 Published: February 5, 2014

REFERENCES

Abbott, L.F., and Nelson, S.B. (2000). Synaptic plasticity: taming the beast. Nat. Neurosci, Suppl. 3, 1178-1183.

Artola, A., Bröcher, S., and Singer, W. (1990). Different voltage-dependent thresholds for inducing long-term depression and long-term potentiation in slices of rat visual cortex. Nature 347, 69-72.

Ballarini, F., Moncada, D., Martinez, M.C., Alen, N., and Viola, H. (2009). Behavioral tagging is a general mechanism of long-term memory formation. Proc. Natl. Acad. Sci. USA 106, 14599-14604.

Clopath, C., and Gerstner, W. (2010). Voltage and spike timing Interact in STDP - a unified model. Fron.t Synaptic Neurosci. 2, 25.



Clopath, C., Büsing, L., Vasilaki, E., and Gerstner, W. (2010). Connectivity reflects coding: a model of voltage-based STDP with homeostasis. Nat. Neurosci. 13, 344-352.

D'Souza, P., Liu, S.C., and Hahnloser, R.H. (2010). Perceptron learning rule derived from spike-frequency adaptation and spike-time-dependent plasticity. Proc. Natl. Acad. Sci. USA 107, 4722-4727.

Di Castro, D., Volkinshtein, S., and Meir, R. (2009). Temporal difference based actor critic learning - convergence and neural implementation. In Advances in Neural Information Processing Systems, Volume 21, D. Koller, D. Schuurmans, Y. Bengio, and L. Bottou, eds. (Cambridge: MIT Press), pp. 385-392.

Frémaux, N., Sprekeler, H., and Gerstner, W. (2010). Functional requirements for reward-modulated spike-timing-dependent plasticity. J. Neurosci. 30, 13326-13337.

Frey, S., and Frey, J.U. (2008). 'Synaptic tagging' and 'cross-tagging' and related associative reinforcement processes of functional plasticity as the cellular basis for memory formation. Prog. Brain Res. 169, 117-143.

Ganguli, S., and Latham, P. (2009). Feedforward to the past: the relation between neuronal connectivity, amplification, and short-term memory. Neuron 61, 499-501.

Gütig, R., Aharonov, R., Rotter, S., and Sompolinsky, H. (2003). Learning input correlations through nonlinear temporally asymmetric Hebbian plasticity. J. Neurosci. 23, 3697-3714.

Hopfield, J.J. (1982). Neural networks and physical systems with emergent collective computational abilities. Proc. Natl. Acad. Sci. USA 79, 2554–2558.

Huxter, J., Burgess, N., and O'Keefe, J. (2003). Independent rate and temporal coding in hippocampal pyramidal cells. Nature 425, 828-832.

Jaeger, H., and Haas, H. (2004). Harnessing nonlinearity: predicting chaotic systems and saving energy in wireless communication. Science 304, 78-80.

Kempter, R., Gerstner, W., and van Hemmen, J.L. (2001). Intrinsic stabilization of output rates by spike-based Hebbian learning. Neural Comput. 13, 2709-

Kohonen, T. (1982). Self-organized formation of topologically correct feature maps. Biol. Cybern. 43, 59-69.

Lamme, V.A., and Roelfsema, P.R. (2000). The distinct modes of vision offered by feedforward and recurrent processing. Trends Neurosci. 23, 571-579.

Markram, H., Lübke, J., Frotscher, M., and Sakmann, B. (1997). Regulation of synaptic efficacy by coincidence of postsynaptic APs and EPSPs. Science 275, 213-215,

Michler, F., Eckhorn, R., and Wachtler, T. (2009). Using spatiotemporal correlations to learn topographic maps for invariant object recognition. J. Neurophysiol. 102, 953-964.

O'Keefe, J., and Recce, M.L. (1993). Phase relationship between hippocampal place units and the EEG theta rhythm. Hippocampus 3, 317-330.

Pastalkova, E., Serrano, P., Pinkhasova, D., Wallace, E., Fenton, A.A., and Sacktor, T.C. (2006). Storage of spatial information by the maintenance mechanism of LTP. Science 313, 1141-1144.

Pfister, J.P., Toyoizumi, T., Barber, D., and Gerstner, W. (2006). Optimal spiketiming-dependent plasticity for precise action potential firing in supervised learning. Neural Comput. 18, 1318-1348.

Riesenhuber, M., and Poggio, T. (2000). Models of object recognition. Nat. Neurosci. Suppl. 3, 1199-1204.

Somogyi, P., Tamás, G., Lujan, R., and Buhl, E.H. (1998). Salient features of synaptic organisation in the cerebral cortex. Brain Res. Brain Res. Rev. 26,

Song, S., Miller, K.D., and Abbott, L.F. (2000). Competitive Hebbian learning through spike-timing-dependent synaptic plasticity. Nat. Neurosci. 3, 919-926

Stanford, T.R., Shankar, S., Massoglia, D.P., Costello, M.G., and Salinas, E. (2010). Perceptual decision making in less than 30 milliseconds. Nat. Neurosci. 13, 379-385.

Tanaka, K. (2003). Columns for complex visual object features in the inferotemporal cortex: clustering of cells with similar but slightly different stimulus selectivities. Cereb. Cortex 13, 90-99.

Urbanczik, R., and Senn, W. (2009). Reinforcement learning in populations of spiking neurons. Nat. Neurosci. 12, 250-252.

Zucker, R.S., and Regehr, W.G. (2002). Short-term synaptic plasticity. Annu. Rev. Physiol. 64, 355-405.