# Computer-Checked Recurrence Extraction for Functional Programs

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$$T_{\mathsf{append}}(n) = c_1(n) + c_0$$

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append [] \ ys = ys
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$$T_{\mathsf{append}}(n) = c_1(n) + c_0$$





Figure: http://i.imgur.com/gzryb.jpg

• Automated complexity analysis...

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...in Agda

#### Top-Down Reasoning

extract and formally reason about time complexity properties of functional programs

#### Bottom-Up Reasoning

proof assistants

#### Thesis Statement

It is possible to extract and formally reason about time complexity properties of functional programs using proof assistants

- Inspired by:
  - Danner, Paykin, Royer '13
  - Danner, Licata, Ramyaa '15

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- Source Language  $\rightarrow ||\cdot|| \rightarrow$  Complexity Language
- $|| e || = (E_c, E_p)$
- Denotational Semantics

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- Denotational Semantics
- Everything formalized in Agda
- 5,000+ lines of code
- https://github.com/benhuds/Agda/tree/master/ complexity/complexity-final/submit

#### Source Language: Types, Contexts, Variables

```
data Tp: Set where
    unit: Tp
    nat : Tp
    susp : \mathsf{Tp} \to \mathsf{Tp}
    ->s : \mathsf{Tp} \to \mathsf{Tp} \to \mathsf{Tp}
    \times s : \mathsf{Tp} \to \mathsf{Tp} \to \mathsf{Tp}
    list : \mathsf{Tp} \to \mathsf{Tp}
    bool: Tp
Ctx = List Tp
data \in : Tp \rightarrow Ctx \rightarrow Set where
   i0 : \forall \{\Gamma \tau\} \rightarrow \tau \in \tau :: \Gamma
    \mathsf{iS} \,:\, \forall \, \{\Gamma\,\tau\,\tau\mathbf{1}\} \to \tau \in \Gamma \to \tau \in \tau\mathbf{1} :: \Gamma
```

#### Source Language: Terms

```
data \mid- : Ctx \rightarrow Tp \rightarrow Set where
     var : \forall \{ \Gamma \tau \} \rightarrow \tau \in \Gamma \rightarrow \Gamma \mid \tau
     rec : \forall \{ \Gamma \tau \} \rightarrow \Gamma \mid - \mathsf{nat} \rightarrow \Gamma \mid -\tau \rightarrow (\mathsf{nat} :: (\mathsf{susp} \tau :: \Gamma)) \mid -\tau
           \rightarrow \Gamma \mid -\tau
      lam : \forall \{ \Gamma \tau \rho \} \rightarrow (\rho :: \Gamma) \mid -\tau
           \rightarrow \Gamma \mid - (\rho -> s \tau)
     app : \forall \{ \Gamma \tau 1 \tau 2 \}
           \rightarrow \Gamma \mid -(\tau 2 -> s \tau 1) \rightarrow \Gamma \mid -\tau 2
           \rightarrow \Gamma |- \tau 1
     force : \forall \{\Gamma \tau\}
           \rightarrow \Gamma \mid- susp \tau
           \rightarrow \Gamma \mid -\tau
      ...etc
```

## Source Language: Substitutions and Renamings

```
\begin{split} & \mathsf{sctx} \,:\, \mathsf{Ctx} \to \mathsf{Ctx} \to \mathsf{Set} \\ & \mathsf{sctx} \,\Gamma\,\Gamma' \,=\, \forall\, \{\tau\} \to \tau \in \Gamma' \to \Gamma\mid \neg\, \tau \\ & \mathsf{subst} \,:\, \forall\, \{\Gamma\,\Gamma'\,\tau\} \to \Gamma'\mid \neg\, \tau \to \mathsf{sctx}\,\Gamma\,\Gamma' \to \Gamma\mid \neg\, \tau \\ & \mathsf{rctx} \,:\, \mathsf{Ctx} \to \mathsf{Ctx} \to \mathsf{Set} \\ & \mathsf{rctx}\,\Gamma\,\Gamma' \,=\, \forall\, \{\tau\} \to \tau \in \Gamma' \to \tau \in \Gamma \\ & \mathsf{ren} \,:\, \forall\, \{\Gamma\,\Gamma'\,\tau\} \to \Gamma'\mid \neg\, \tau \to \mathsf{rctx}\,\Gamma\,\Gamma' \to \Gamma\mid \neg\, \tau \end{split}
```

#### Source Language: Substitutions and Renamings

```
\begin{array}{l} \_\mathsf{rr}_- : \ \forall \ \{\mathsf{A} \ \mathsf{B} \ \mathsf{C}\} \to \mathsf{rctx} \ \mathsf{A} \ \mathsf{B} \to \mathsf{rctx} \ \mathsf{B} \ \mathsf{C} \to \mathsf{rctx} \ \mathsf{A} \ \mathsf{C} \\ \_\mathsf{rr}_- \ \rho \mathsf{1} \ \rho \mathsf{2} \ \mathsf{x} = \ (\rho \mathsf{1} \ \mathsf{o} \ \rho \mathsf{2}) \ \mathsf{x} \\ \_\mathsf{rs}_- : \ \forall \ \{\mathsf{A} \ \mathsf{B} \ \mathsf{C}\} \to \mathsf{rctx} \ \mathsf{A} \ \mathsf{B} \to \mathsf{sctx} \ \mathsf{B} \ \mathsf{C} \to \mathsf{sctx} \ \mathsf{A} \ \mathsf{C} \\ \_\mathsf{rs}_- \ \rho \ \Theta \ \mathsf{x} = \ \mathsf{ren} \ (\mathsf{subst} \ (\mathsf{var} \ \mathsf{x}) \ \Theta) \ \rho \\ \_\mathsf{ss}_- : \ \forall \ \{\mathsf{A} \ \mathsf{B} \ \mathsf{C}\} \to \mathsf{sctx} \ \mathsf{A} \ \mathsf{B} \to \mathsf{sctx} \ \mathsf{B} \ \mathsf{C} \to \mathsf{sctx} \ \mathsf{A} \ \mathsf{C} \\ \_\mathsf{ss}_- \ \Theta \mathsf{1} \ \Theta \mathsf{2} \ \mathsf{x} = \ \mathsf{subst} \ (\mathsf{subst} \ (\mathsf{var} \ \mathsf{x}) \ \Theta \mathsf{2}) \ \Theta \mathsf{1} \\ \_\mathsf{sr}_- : \ \forall \ \{\mathsf{A} \ \mathsf{B} \ \mathsf{C}\} \to \mathsf{sctx} \ \mathsf{A} \ \mathsf{B} \to \mathsf{rctx} \ \mathsf{B} \ \mathsf{C} \to \mathsf{sctx} \ \mathsf{A} \ \mathsf{C} \\ \_\mathsf{sr}_- \ \Theta \ \rho \ \mathsf{x} = \ \mathsf{subst} \ (\mathsf{ren} \ (\mathsf{var} \ \mathsf{x}) \ \rho) \ \Theta \end{array}
```

#### Source Language: Substitutions and Renamings

```
rr-comp : \forall \{\Gamma \Gamma' \Gamma'' \tau\} \rightarrow (\rho 1 : \operatorname{rctx} \Gamma \Gamma') (\rho 2 : \operatorname{rctx} \Gamma' \Gamma'')
    \rightarrow (e : \Gamma" |- \tau)
    \rightarrow (ren (ren e \rho2) \rho1) \equiv (ren e (\rho1 rr \rho2))
rs-comp : \forall \{A B C \tau\} \rightarrow (\rho : rctx C A) (\Theta : sctx A B)
    \rightarrow (e : B |- \tau)
    \rightarrow ren (subst e \Theta) \rho \equiv subst e (\rho rs \Theta)
sr\text{-comp}: \forall \{\Gamma \Gamma' \Gamma'' \tau\} \rightarrow (\Theta : sctx \Gamma \Gamma') \rightarrow (\rho : rctx \Gamma' \Gamma'')
    \rightarrow (e : \Gamma" |- \tau)
    \rightarrow (subst (ren e \rho) \Theta) \equiv subst e (\Theta sr \rho)
ss-comp: \forall \{A B C \tau\} \rightarrow (\Theta 1 : sctx A B) (\Theta 2 : sctx B C)
    \rightarrow (e : C |- \tau)
    \rightarrow subst e (\Theta1 ss \Theta2) \equiv subst (subst e \Theta2) \Theta1
```

- Idea: add a cost measure to every evaluation relation
- Blelloch, Greiner '96

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$$e \downarrow^n v$$

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data evals 
$$:\, \{\tau\,:\, \mathsf{Tp}\} \to [] \mid \!\! -\tau \to [] \mid \!\! -\tau \to \mathsf{Cost} \to \mathsf{Set}$$
 where



Example:

$$\frac{ e1 \downarrow^{n1} v1 \quad e2 \downarrow^{n2} v2}{\langle e1, e2 \rangle \downarrow^{n1+n2} \langle v1, v2 \rangle}$$

#### Example:

$$\frac{e1\downarrow^{n1}v1}{\langle e1, e2\rangle\downarrow^{n1+n2}\langle v1, v2\rangle}$$

```
\begin{array}{l} \mathsf{pair\text{-}evals} \ : \ \forall \ \{ \mathsf{n1} \ \mathsf{n2} \} \\ \to \{ \mathsf{\tau1} \ \mathsf{\tau2} \ : \ \mathsf{Tp} \} \ \{ \mathsf{e1} \ \mathsf{v1} \ : \ [] \ | \text{-} \ \mathsf{\tau1} \} \ \{ \mathsf{e2} \ \mathsf{v2} \ : \ [] \ | \text{-} \ \mathsf{\tau2} \} \\ \to \mathsf{evals} \ \mathsf{e1} \ \mathsf{v1} \ \mathsf{n1} \\ \to \mathsf{evals} \ \mathsf{e2} \ \mathsf{v2} \ \mathsf{n2} \\ \to \mathsf{evals} \ (\mathsf{prod} \ \mathsf{e1} \ \mathsf{e2}) \ (\mathsf{prod} \ \mathsf{v1} \ \mathsf{v2}) \ (\mathsf{n1} \ +\mathsf{c} \ \mathsf{n2}) \end{array}
```

## Complexity Language

- Recall  $||\cdot||$  returns a cost-potential pair
- Gives us exact recurrences

#### Complexity Language

- Recall || · || returns a cost-potential pair
- Gives us exact recurrences
- Want: a setting where we can reason about costs directly
- Massage recurrences into closed forms/asymptotic bounds
- No need to refer to denotational semantics

data CTp : Set where

. . .

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- Abstract costs in source language = C in complexity language

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- rnat
  - Recursor equipped with proof of monotonicity

## Complexity Language: Types

```
data CTp : Set where ...
```

- susp
- Abstract costs in source language = C in complexity language
- rnat
  - Recursor equipped with proof of monotonicity
- 'max' types: notion of maximums

```
\begin{array}{l} \textbf{data} \; \mathsf{CTpM} \; : \; \mathsf{CTp} \to \mathsf{Set} \; \textbf{where} \\ \mathsf{rnat-max} \; : \; \mathsf{CTpM} \; \mathsf{rnat} \\ \_ \times \mathsf{cm}_- \; : \; \forall \; \{\tau 1 \; \tau 2\} \\ \to \; \mathsf{CTpM} \; \tau 1 \to \mathsf{CTpM} \; \tau 2 \to \mathsf{CTpM} \; (\tau 1 \; \times \mathsf{c} \; \tau 2) \\ \_ - \!\!\! > \!\!\! \mathsf{cm}_- \; : \; \forall \; \{\tau 1 \; \tau 2\} \\ \to \; \mathsf{CTpM} \; \tau 2 \to \mathsf{CTpM} \; (\tau 1 \; - \!\!\! > \!\!\! \mathsf{c} \; \tau 2) \end{array}
```

# Complexity Language: Terms

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$$\overline{\Gamma \vdash 0C : C}$$

$$\overline{\Gamma \vdash 1C : C}$$

$$\underline{\Gamma \vdash C1 : C \qquad \Gamma \vdash C2 : C}$$

$$\overline{\Gamma \vdash C1 + C2 : C}$$

$$\overline{\Gamma \vdash e : rnat} \qquad \overline{\Gamma \vdash e0 : \tau \qquad \Gamma \vdash e1 : rnat -> c (\tau -> c \tau)} \qquad e0 \leqslant s e1$$

$$\overline{\Gamma \vdash rec(e , e0 , e1) : \tau}$$

# Complexity Language: Terms

# Complexity Language: Abstract Preorder Judgement

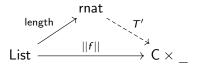
\_≤s\_ judgement specifies ordering on terms

# Complexity Language: Abstract Preorder Judgement

\_<s\_ judgement specifies ordering on terms</li>

```
\begin{array}{l} \mbox{data } \_ \leqslant s \_ \mbox{ where} \\ \mbox{refl-s} : \forall \left\{ \Gamma \ T \right\} \rightarrow \left\{ e : \Gamma \ | - T \right\} \rightarrow e \leqslant s \ e \\ \mbox{trans-s} : \forall \left\{ \Gamma \ T \right\} \rightarrow \left\{ e \ e' \ e'' : \Gamma \ | - T \right\} \\ \mbox{} \rightarrow e \leqslant s \ e' \rightarrow e' \leqslant s \ e'' \rightarrow e \leqslant s \ e'' \\ \mbox{cong-app} : \forall \left\{ \Gamma \ \tau \ \tau' \right\} \left\{ e \ e' : \Gamma \ | - \left( \tau \ - > c \ \tau' \right) \right\} \left\{ e1 : \Gamma \ | - \tau \right\} \\ \mbox{} \rightarrow e \leqslant s \ e' \rightarrow app \ e \ e1 \leqslant s \ app \ e' \ e1 \\ \mbox{l-proj-s} : \forall \left\{ \Gamma \ T1 \ T2 \right\} \rightarrow \left\{ e1 : \Gamma \ | - T1 \right\} \left\{ e2 : \Gamma \ | - T2 \right\} \\ \mbox{} \rightarrow e1 \leqslant s \ | -proj \ (prod \ e1 \ e2) \end{array}
```

# Complexity Language: Future



### Translation

```
|| \quad || : \mathsf{Tp} \to \mathsf{CTp}
||\tau|| = C \times c \langle \langle \tau \rangle \rangle
|\cdot|\cdot| |\cdot| |\cdot
||var x||e = prod 0 C (var (lookup x))
||z||e = prod 0 C z
||suc e||e = prod (|-proj (||e||e)) (s (|-proj (||e||e)))
||rec e e0 e1||e =
                     (I-proj(||e||e)) + C
                     (rec (r-proj ||e||e) (1 C + C ||e0||e) (1 C + C ||e1||e))
```

•  $e \sqsubseteq E$ : if  $e \downarrow^n v$ , then  $n \leqslant E_c$  and  $v \sqsubseteq^{val} E_p$ 

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- Theorem: If  $\Gamma \vdash e : \tau$ ,  $\theta$  is a substitution of all variables in a source context, and  $\Theta$  is a corresponding substitution of all variables in a complexity context, then  $e[\theta] \sqsubseteq_{\tau} ||e||[\Theta]$ .

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- Costs extracted by  $||\cdot||$  are an upper bound on costs specified by source operational semantics

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- Costs extracted by  $||\cdot||$  are an upper bound on costs specified by source operational semantics
- Detailed account of proof in previous thesis (150 lines of Agda, excluding lemmas and definitions)

### **Denotational Semantics**

- Types = Preorders
- Terms = Monotone maps between preorders

• Preorder =  $(A, \leq)$ 

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- Transitive:  $\forall xyz$ , if  $x \leqslant y$  and  $y \leqslant z$ , then  $x \leqslant z$

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```
record Preorder-str (A : Set) : Set1 where constructor preorder field  \_ \leqslant \_ : A \to A \to Set  refl : \forall \ x \to x \leqslant x
```

trans:  $\forall x y z \rightarrow x \leqslant y \rightarrow y \leqslant z \rightarrow x \leqslant z$ 

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```
record Preorder-str (A : Set) : Set1 where constructor preorder field  \_ \leqslant \_ : A \to A \to Set  refl : \forall \ x \to x \leqslant x  trans : \forall \ x \ y \ z \to x \leqslant y \to y \leqslant z \to x \leqslant z
```

PREORDER =  $\Sigma$  ( $\lambda$  (A : Set)  $\rightarrow$  Preorder-str A)

## Interpretation of Types

### Denotational Semantics: Monotone Functions

- $(A, \leqslant_A)$ ,  $(B, \leqslant_B)$
- $f: A \to B$  is monotone if  $\forall x, y \in A$ , if  $x \leqslant_A y$ , then  $f(x) \leqslant_B f(y)$

### Denotational Semantics: Monotone Functions

```
• (A, \leqslant_A), (B, \leqslant_B)
• f: A \to B is monotone if \forall x, y \in A, if x \leq_A y, then
  f(x) \leq_R f(y)
  record Monotone (A : Set) (B : Set)
           (PA: Preorder-str A) (PB: Preorder-str B): Set where
     constructor monotone
     field
        f: A \rightarrow B
        is-monotone : \forall (x y : A)
           \rightarrow Preorder-str.\leq PA x v
           \rightarrow Preorder-str. \leq PB (f x) (f y)
```

### Denotational Semantics: Monotone Functions

•  $(A, \leqslant_A), (B, \leqslant_B)$ •  $f: A \to B$  is monotone if  $\forall x, y \in A$ , if  $x \leq_A y$ , then  $f(x) \leq_R f(y)$ record Monotone (A : Set) (B : Set) (PA: Preorder-str A) (PB: Preorder-str B): Set where constructor monotone field  $f: A \rightarrow B$ is-monotone :  $\forall$  (x y : A)  $\rightarrow$  Preorder-str.  $\leq$  PA x y  $\rightarrow$  Preorder-str.  $\leq$  PB (f x) (f y)

MONOTONE : (P $\Gamma$  PA : PREORDER)  $\rightarrow$  Set MONOTONE ( $\Gamma$ , P $\Gamma$ ) (A , PA) = Monotone  $\Gamma$  A P $\Gamma$  PA

## Characterizing Terms as Monotone Functions

 Must know how to characterize terms as monotone functions between preorders

E.g. cartesian products as monotone functions:

```
\begin{array}{l} \mathsf{pair'} \,:\, \forall \, \big\{ \mathsf{PF} \, \mathsf{PA} \, \mathsf{PB} \big\} \\ \to \mathsf{MONOTONE} \, \mathsf{PF} \, \mathsf{PA} \\ \to \mathsf{MONOTONE} \, \mathsf{PF} \, \mathsf{PB} \\ \to \mathsf{MONOTONE} \, \mathsf{PF} \, \big( \mathsf{PA} \, \times \mathsf{p} \, \mathsf{PB} \big) \\ \mathsf{pair'} \, \big( \mathsf{monotone} \, \mathsf{f} \, \mathsf{f-ismono} \big) \, \big( \mathsf{monotone} \, \mathsf{g} \, \mathsf{g-ismono} \big) \, = \\ \mathsf{monotone} \, \big( \lambda \, \mathsf{x} \, \to \, \mathsf{f} \, \mathsf{x} \, , \, \mathsf{g} \, \mathsf{x} \big) \\ \big( \lambda \, \mathsf{x} \, \mathsf{y} \, \mathsf{z} \, \to \, \mathsf{f-ismono} \, \mathsf{x} \, \mathsf{y} \, \mathsf{z} \, , \, \mathsf{g-ismono} \, \mathsf{x} \, \mathsf{y} \, \mathsf{z} \big) \end{array}
```

## Interpretation of Terms

```
\begin{array}{ll} \text{interpE} : \forall \left\{ \Gamma \, \tau \right\} \rightarrow \Gamma \left| -\tau \rightarrow \text{el} \left( \left[ \, \Gamma \, \right] \text{c} \, - > \text{p} \, \left[ \, \tau \, \right] \text{t} \right) \\ \text{interpE} \left( \text{lam e} \right) &= |\text{lam'} \left( \text{interpE e} \right) \\ \text{interpE} \left( \text{app e e}_1 \right) &= |\text{app'} \left( \text{interpE e} \right) \left( \text{interpE e}_1 \right) \\ \text{interpE} \left( \text{prod e e}_1 \right) &= |\text{pair'} \left( \text{interpE e} \right) \left( \text{interpE e}_1 \right) \\ \text{interpE} \left( \text{l-proj e} \right) &= |\text{fst'} \left( \text{interpE e} \right) \\ \text{interpE} \left( \text{r-proj e} \right) &= |\text{snd'} \left( \text{interpE e} \right) \\ \text{interpE nil} &= |\text{nil'} \\ \text{interpE} \left( \text{e} :: \text{c e}_1 \right) &= |\text{cons'} \left( \text{interpE e} \right) \left( \text{interpE e}_1 \right) \\ \end{array}
```

#### Soundness

Complexity language is sound with respect to the interpretation we give!

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Complexity language is sound with respect to the interpretation we give!

```
\label{eq:continuous_problem} \begin{split} \forall \ \Gamma \vdash e : \tau, \ \Gamma \vdash e' : \tau, \ \text{and elements} \ k \in \Gamma, \\ \text{if} \ e \leqslant & s \ e' \\ \text{then} \ \llbracket e \rrbracket k \leqslant_{\llbracket \tau \rrbracket} \ \llbracket e' \rrbracket k. \end{split}
```

#### Soundness

Complexity language is sound with respect to the interpretation we give!

```
\label{eq:continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous
```

#### A Case of Soundness

```
sound \{\Gamma\} (subst e (lem3' (lem3' (lem3' \Theta v3) v2) v1)). (subst-compose5-r (.\Gamma\} \{\Gamma'\} \{.\tau\} \{\tau\} \{
   Preorder-str.trans (snd [ t ]t)
        (Monotone.f (interpE (subst e (lem3' (lem3' (lem3' Θ v3) v2) v1))) k)
        (Monotone.f (interpE (subst e (s-extend (s-extend ⊕))))) (Monotone.f (interpS (lem3' (lem3' ids v3) v2) v1)) k))
        (Monotone f (interpE (subst (subst e (s-extend (s-extend Θ)))) (lem3' (lem3' (lem3' ids v3) v2) v1))) k)
        (Preorder-str.trans (snd [ t ]t)
           (Monotone.f (interpE (subst e (lem3' (lem3' (lem3' Θ v3) v2) v1))) k)
           (Monotone.f (interpE e) (Monotone.f (interpS (lem3' (lem3' (lem3' Θ v3) v2) v1)) k))
           (Monotone.f (interpE (subst e (s-extend (s-extend Θ))))) (Monotone.f (interpS (lem3' (lem3' ids v3) v2) v1)) k))
           (subst-eq-1 (lem3' (lem3' (lem3' Θ v3) v2) v1) e k)
           (Preorder-str.trans (snd [ t ]t)
                (Monotone.f (interpE e) (Monotone.f (interpS (lem3' (lem3' (lem3' Θ v3) v2) v1)) k))
                (Monotone.f (interpE e) (Monotone.f (interpS (t1 :: t2 :: t3 :: Γ) (t1 :: t2 :: t3 :: Γ') (s-extend (s-extend Θ))))
                   (Monotone.f (interpS (Γ) {τ1 :: τ2 :: τ3 :: Γ) (lem3' (lem3' (lem3' ids v3) v2) v1)) k)))
                (Monotone.f (interpE (subst e (s-extend (s-extend Θ))))) (Monotone.f (interpS (lem3' (lem3' ids v3) v2) v1)) k))
                (Monotone.is-monotone (interpE e)
                   (Monotone.f (interpS (lem3' (lem3' (lem3' \text{O v3}) v2) v1)) k)
                   (Monotone.f (interpS (τ1 :: τ2 :: τ3 :: Γ) (τ1 :: τ2 :: τ3 :: Γ') (s-extend (s-extend Θ))))
                        (Monotone.f (interpS (Γ) (τ1 :: τ2 :: τ3 :: Γ) (lem3' (lem3' (lem3' ids v3) v2) v1)) k))
                        ((((Preorder-str.trans (snd [ [ ] ]c)
                            (Monotone.f (interpS O) k)
                            (Monotone, f (interpS (\lambda x \rightarrow \text{subst (ren } (\Theta x) iS) (lem3' ids v3))) k)
                            (Monotone.f (interpS (\lambda x \rightarrow ren (ren (ren (\Theta x) iS) iS) iS))
                                (((Monotone,f (interpS (F) ids) k , Monotone,f (interpE v3) k) , Monotone,f (interpE v2) k) , Monotone,f (interpE v1) k))
                            (interp-subst-comp-r O v3 k)
                            (Preorder-str.trans (snd [ [' ]c)
                                (Monotone.f (interpS (λ x → subst (ren (Θ x) iS) (lem3' ids v3))) k)
                                (Monotone, f (interpS (λ x + ren (ren (Θ x) iS) iS)) ((Monotone, f (interpS (Γ) ids) k, Monotone, f (interpE v3) k), Monotone, f (interpE v2) k))
                                (Monotone.f (interpS (\lambda x \rightarrow ren (ren (ren (\Theta x) iS) iS) iS))
                                   (((Monotone, f (interps (F) ids) k , Monotone, f (interps v3) k) , Monotone, f (interps v2) k) , Monotone, f (interps v1) k))
                                (interp-subst-comp2-r O k v2 v3)
                                (interp-subst-comp3-r O k v3 v2 v1))) .
                        (Preorder-str.refl (and [ t3 lt) (Monotone, f (interpE v3) k))) .
                        (Preorder-str.refl (and [ t2 lt) (Monotone.f (interpE v2) k))) .
                        (Preorder-str.refl (and [ tl ]t) (Monotone.f (interpE vl) k))))
                (subst-eg-r (s-extend (s-extend (s-extend O))) e (Monotone f (interps (lem3' (lem3' (lem3' ids v3) v2) v1)) k))))
       (subst-eg-r (lem3' (lem3' (lem3' ids v3) v2) v1) (subst e (s-extend (s-extend (s-extend (s)))) k)
```

(full proof including lemmas is 1600 lines of code)

```
{- dbl (n : nat) : nat = 2 * n -} dbl : \forall {\Gamma} \rightarrow \Gamma Source.I- (nat ->s nat) dbl = lam (rec (var i0) z (suc (suc (force (var (iS i0))))))
```

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{- dbl (n : nat) : nat = 2 * n -}
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Ideally, we want a recurrence of the form

$$T_{dbl}(0) = c_0$$
  
$$T_{dbl}(Sn) = c_1 + T_{dbl}(n)$$

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```
prod 0C
(lam
 (letc
  (letc
   (prod (plusC (l-proj (var (iS i0))) (l-proj (var i0)))
    (r-proj (var i0)))
   (rec (r-proj (var i0))
    (letc (prod (plusC 1C (l-proj (var i0))) (r-proj (var i0)))
     (prod 0C z))
    (letc (prod (plusC 1C (l-proj (var i0))) (r-proj (var i0)))
     (letc (prod (l-proj (var i0)) (s (r-proj (var i0))))
      (letc (prod (l-proj (var i0)) (s (r-proj (var i0))))
       (letc
        (prod (plusC (1-proj (var i0)) (1-proj (r-proj (var i0))))
         (r-proj (r-proj (var i0))))
        (prod 0C (var (iS i0))))))))
  (prod 0C (var i0))))
```

Semantics gives us:

```
\label{eq:continuous_problem} \begin{split} &\text{monotone} \\ &(\lambda \, x \to 0 \text{ ,} \\ &\text{monotone} \\ &(\lambda \, p_1 \to \\ &\text{(natrec (1 , 0)} \\ &(\lambda \, n \, x_2 \to S \text{ (fst } x_2) \text{ , } S \text{ (S (snd } x_2))) \text{ } p_1)) \text{ ,} \\ &(\lambda \, a \, b \, c \to ERASED)) \\ &(\lambda \, x \, y \, z_1 \to ERASED) \end{split}
```

Semantics gives us:

```
\label{eq:continuous_section} \begin{split} &\text{monotone} \\ &(\lambda \, x \to 0 \ , \\ &\text{monotone} \\ &(\lambda \, p_1 \to \\ &\text{(natrec } (1 \ , 0) \\ &(\lambda \, n \, x_2 \to S \ (\text{fst } x_2) \ , \, S \ (S \ (\text{snd } x_2))) \ p_1)) \ , \\ &(\lambda \, a \, b \, c \to \text{ERASED})) \\ &(\lambda \, x \, y \, z_1 \to \text{ERASED}) \end{split}
```

Corresponds to a complexity recurrence of the form:

$$|| dbl(Z) ||_c = 1$$
  
 $|| dbl(Sn) ||_c = 1 + || dbl(n) ||_c$ 

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```
monotone  \begin{split} &(\lambda \times \to 0 \text{ ,} \\ &\text{monotone} \\ &(\lambda \text{ p}_1 \to \\ &\text{ (natrec } (1 \text{ , } 0) \\ &\text{ (} \lambda \text{ n } x_2 \to \text{S (fst } x_2) \text{ , S (S (snd } x_2))) \text{ p}_1)) \text{ ,} \\ &(\lambda \text{ a b } c \to \text{ERASED))} \\ &(\lambda \times \text{y } z_1 \to \text{ERASED)} \end{split}
```

Corresponds to a complexity recurrence of the form:

$$|| dbl(Z) ||_c = 1$$
  
 $|| dbl(Sn) ||_c = 1 + || dbl(n) ||_c$ 

Compare with:

$$T_{dbl}(0) = c_0$$
  
$$T_{dbl}(Sn) = c_1 + T_{dbl}(n)$$



#### Contributions

- End-to-end system for recurrence extraction in Agda:
  - Source and complexity languages (Ch. 2)
  - || · || (Ch.3)
  - Denotational semantics for complexity language (Ch. 4)
- Correctness properties:
  - bounding (Ch. 3)
  - soundness (Ch. 4)

#### Thesis Statement

It is possible to extract and formally reason about time complexity properties of functional programs using proof assistants

### Future Work

• Adding rules to  $\leqslant$ s

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- Adding rules to ≤s
- Rewriting within the complexity language

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- Adding rules to ≤s
- Rewriting within the complexity language
- User-friendliness

• My advisor, Dan Licata

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