

# LECTURE 3

GOWTHAM

WL607812

## INSERTION SORT

- Naiive solution
- Compare - right to left element by element

insertion\\_sort ( $A, n$ ):

for ( $i=1; i < n; i++$ )

$k = A[i]$

$j = i-1$

while  $j \geq 0$  and  $A[j] > k$

$A[j+1] = A[j]$

$j = j-1$

$A[j+1] = k$

## LOOP INVARIANT - INNER LOOP

$k$  is smaller than the elements in the subarray

$A[j+1 \dots i-1]$

## OUTER LOOP - LI

All elements in subarray  $A[0 \dots i-1]$  are sorted

## RUNNING TIME

Represent in summations

$$\begin{aligned} T(n) &= \sum_{i=1}^{n-1} \left( 3 + \sum_{j=i+1}^n 2 \right) \xrightarrow{\text{since no swaps}} 0 \stackrel{(3 \cdot i)}{\Rightarrow} (n-1) \cdot 3 \Rightarrow \underline{\Omega(n)} \\ &= \sum_{i=1}^{n-1} \left( 3 + (i-1+1)2 \right) \Rightarrow 3 + 2i \quad \begin{aligned} \text{prob} &= 1/n! \\ &= 1/20 \end{aligned} \\ &= (n-1+1)3 + 2 \sum_{i=1}^{n-1} i \end{aligned}$$

(very less chance)

$$T(n) = \underline{\Theta(n^2)}$$

## MERGE SORT - DFC

merge-sort( $A, n, i, j$ )

if ( $i = j$ )

return

$$m = \lfloor (i+j)/2 \rfloor$$

merge-sort( $A, n, i, m$ )

merge-sort( $A, n, m+1, j$ )

merge( $A, n, i, m, j$ )

merge( $A, n, i, m, j$ )

$$s_L = m-i+1$$

$$s_R = j-m$$

Create 2 arrays

fill it & sentinel

(you know it)

## LOOP INVARIANTS

1st 2 for loops - true by construction (just copies)

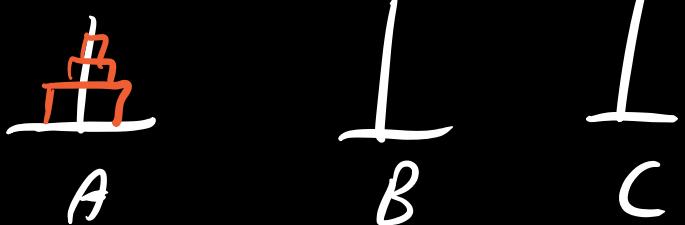
②  $\rightarrow$  from  $i$  to  $k-1$ , list is sorted

## RUN TIME

$$T(n) = 2T(n/2) + \Theta(n)$$

$$\text{generally, D\&C} \rightarrow T(n) = D(n) + C(n) + a \uparrow^{\substack{\text{sub prob} \\ \downarrow \\ \text{divide}}} T(n/b) \uparrow^{\substack{\text{inp size} \\ \downarrow \\ \text{combine}}} \uparrow^{\substack{\text{conquer}}}$$

## TOWER OF HANOI



## PATTERN

Move  $(n-1)$  tiles into  
the non-target pile

Move biggest to target  
pile

Bring others to target

## D&C ALGORITHM

## ALGORITHM

$\text{TOH}(n, \text{source}, \text{target}, \text{temp})$

if  $N \neq 0$

$\text{TOH}(N-1, \text{source}, \text{temp}, \text{target})$

$\text{move}(N, \text{source}, \text{target})$

$\text{TOH}(N-1, \text{temp}, \text{target}, \text{source})$

## RUNNING TIME

$$T(N) = 2 T(N-1) + O(1) + O(1)$$

$$= 2 T(N-1)$$

$$= \Theta(2^n) \text{ EXPONENTIAL RUN-TIME}$$

See it from recursion tree

There is no better solution than this \*

(PROVEN)

# LECTURE 4

SEP 29

## MAXIMUM SUB-ARRAY

1	-3	5	3	-4	8
↑		↑		↑	

## BRUTE FORCE

```
def max_subarray(A, n)
    max = -∞, max_i, max_j = 0, 0
    for i=0...n-1
        sum = 0
        for j=i...n-1
            sum += A[j]
            max_sum = max(sum, max_sum)
            max_i = i
            max_j = j
    return (max_i, max_j, max_sum)
```

$T C: \Theta(n^2)$

DIVIDE & CONQUER — can solve many problems

Here divide problems into 3 (2 rec & 1 cross-combine)

CROSS ALGORITHM

```
def cross_max(A, l, m, h):
```

```
    sumtol = A[m], maxl = A[m], maxi = m
```

```
    for i = m-1..0:
```

```
        sumtol += A[i]
```

```
        if suml > maxl:
```

```
            maxl = suml
```

```
            maxi = i
```

TC:  $O(n)$



Same for right

```
return (maxl, maxi, max sum)
```

## DIVIDE AND CONQUER ALGORITHM

$\text{max\_sub}(A, n, x, y)$

if  $x = y$ :

return  $(A[x], x, x)$

$$m = x + y \parallel 2$$

$a = \text{max\_sub}(A, n, x, m)$

$b = \text{max\_sub}(A, n, m+1, y)$

$c = \text{cross}(A, n, x, m, y)$

return  $\max(a, b, c)$

$$TC = 2T(n/2) + \Theta(n)$$

$$TC = \Theta(n \log n) \parallel$$

$\Theta(n)$  solution  $\rightarrow$  KADANE'S ALGORITHM

## MULTIPLICATION - DIV & CON

multiplication ( $A, B, n$ )

if  $n = 1$

return  $A[0] * B[0]$

partition  $A$  into  $A_h$  and  $A_l \rightarrow A_h * 10^{n/2} + A_l = A$

partition  $B$  into  $B_h$  and  $B_l \rightarrow B_h * 10^{n/2} + B_l = B$

$c_1 = \text{multiplication}(A_l, B_l, n/2)$

$c_2 = \text{multiplication}(A_h, B_h, n/2)$  from GAUSS(next page)

$c_3 = \text{multiplication}(A_h, B_l, n/2) \times z = \text{multiplication}(A_h + A_l, B_l, n/2)$

$c_4 = \text{multiplication}(B_h, A_l, n/2) \times$

return  $c_2 \cdot 10^n + (c_3 + c_4) \cdot 10^{n/2} + c_1$



KARATSUBA

ALGORITHM

$$TC = 4T(n/2) + \Theta(n) + \Theta(n) + \Theta(1)$$

$$TC = \Theta(n^2)$$

BETTER!

$$\begin{aligned} TC(n) &= 3T(n/2) + \Theta(n) \\ &= \Theta(n^{\log_2 3}) \end{aligned}$$

## GUASS

$$(a+b) \cdot (c+d) = a \cdot c + a \cdot d + b \cdot c + b \cdot d$$

$$\underbrace{(Ah+Al)}_{\text{1}} \cdot \underbrace{(Bh+Bl)}_{\text{2}} = Ah \cdot Bh + Ah \cdot Bl + Al \cdot Bh + Al \cdot Bl$$

$$Z = (x, y, m_2) \quad (2) + (3) + (4) + (1)$$

$$Z - (2) - (1) = (3) + (4)$$

## MATRIX MULTIPLICATION

REAL LIFE  $\rightarrow$  DIFF EQS  $\rightarrow$  FOURIER TRANS  $\rightarrow$  MATRIX

MULTIPLICATION

$$\begin{bmatrix} a & c \\ b & d \end{bmatrix} \begin{bmatrix} e & f \\ g & h \end{bmatrix} = \begin{bmatrix} ae+cg & af+ch \\ be+dg & bf+dh \end{bmatrix}$$

$$\left[ \begin{array}{cc|cc} a_1 & a_2 & a_3 & a_4 \\ a_5 & a_6 & a_7 & a_8 \\ \hline a_9 & a_{10} & a_{11} & a_{12} \\ a_{13} & a_{14} & a_{15} & a_{16} \end{array} \right] \quad \left[ \begin{array}{cc|cc} b_1 & b_2 & b_3 & b_4 \\ b_5 & b_6 & b_7 & b_8 \\ \hline b_9 & b_{10} & b_{11} & b_{12} \\ b_{13} & b_{14} & b_{15} & b_{16} \end{array} \right]$$

## DIVIDE & CONQUER - APPROACH

$$\left\{ \begin{array}{l}
 c_1 = \text{matrixMul}(AE) + \text{matrixMul}(CA) \\
 c_2 = " \\
 c_3 = " \\
 c_4 = "
 \end{array} \right.$$

4x2  
 8

$$\begin{aligned}
 T(C) &= 8T(n/2) + \Theta(n^2) \\
 &= \Theta(n^{1.98}) \\
 &= \Theta(n^3)
 \end{aligned}$$

## STRASSEN'S MATRIX MULTIPLICATION

$$\begin{aligned}
 S_1 &= B_{12} - B_{22}, \\
 S_2 &= A_{11} + A_{12}, \\
 S_3 &= A_{21} + A_{22}, \\
 S_4 &= B_{21} - B_{11}, \\
 S_5 &= A_{11} + A_{22}, \\
 S_6 &= B_{11} + B_{22}, \\
 S_7 &= A_{12} - A_{22}, \\
 S_8 &= B_{21} + B_{22}, \\
 S_9 &= A_{11} - A_{21}, \\
 S_{10} &= B_{11} + B_{12}.
 \end{aligned}$$

$$\begin{aligned}
 P_1 &= A_{11} \cdot S_1 \\
 P_2 &= S_2 \cdot B_{22} \\
 P_3 &= S_3 \cdot B_{11} \\
 P_4 &= A_{22} \cdot S_4 \\
 P_5 &= S_5 \cdot S_6 \\
 P_6 &= S_7 \cdot S_8 \\
 P_7 &= S_9 \cdot S_{10}
 \end{aligned}$$

10 MATRICES

7 MULTIPLICATIONS

$$\begin{aligned}
 T(C) &= 7T(n/2) + \Theta(n^2) \\
 &= \Theta(n^{2.81})
 \end{aligned}$$

$\Theta(n^{2.81}) \rightarrow \text{BEST NOW}$

## TAKE HOMES

- ① Karatsuba Algorithm
- ② chapter 3 fully and chapter 4 (3 methods)

# WEEK 3 - LECTURE 5

OCT 4

## ASYMPTOTIC NOTATIONS

Notations to represent the running time of characteristics of algorithmic function for large enough values of  $n$ .

### $\mathcal{O}$ -NOTATION (Big-O)

$\mathcal{O}(g(n)) = \{ f(n) : \text{there exists positive const. } n_0, c \text{ such that } 0 \leq f(n) \leq c.g(n) \text{ for all } n \geq n_0 \}$

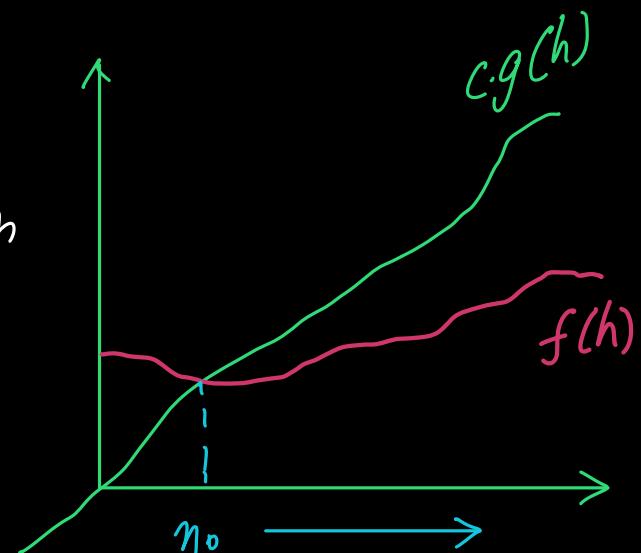
\* To prove it, pick some values of  $c$  and  $n_0$  such that the equation holds true.

EXAMPLE:

$$\textcircled{1} T(n) = \frac{n^2 + 4}{f(n)} = \frac{\mathcal{O}(n^2)}{g(n)} \quad \text{--- ①}$$

$$0 \leq n^2 + 4 \leq c \cdot n^2$$

$$n^2 + 4 \leq c \cdot n^2 \rightarrow 1 + \frac{4}{n^2} \leq c \quad \text{--- by } n^2 \text{ --- ②}$$



$n_0 > 0$  because  $n_0 = 0$  doesn't satisfy equation ① - ③

Based on equation ② and ③, we can take

$$n_0 = 1 \text{ and } C = 5$$

$$0 \leq 1+4 \leq 5$$

Hence Proved

② Can  $T(n) = n^2 + 4 = O(n^3)$ ? Yes

$$0 \leq n^2 + 4 \leq C \cdot n^3 \text{ for all } n \geq n_0$$

$$n^2 + 4 \leq C \cdot n^3 \Rightarrow C \geq \frac{1}{n} + \frac{4}{n^3}$$

Taking values as  $n_0 \geq 3$  and  $C = 1$

This equation holds true

③ Can  $T(n) = n^2 + 4 = O(n)$ ? No

$$0 \leq n^2 + 4 \leq C \cdot n \text{ for all } n \geq n_0$$

$n^2 + 4 \leq C \rightarrow$  a constant  $C$  can never be greater

than ' $n$ ' for large values  
of input size ( $n$ ).



$$④ n^3 - n^2 + 5n + 6 = O(n^3 + n^2 - 100n + 100000000000)$$

By DEF,

$$O \leq n^3 - n^2 + 5n + 6 \leq C \cdot (n^3 + n^2 - 100n + 100000000000)$$

for all  $n \geq n_0$

For such big functions, solve separately big term and \*  
Compare it with lower order terms.

PROOF:

$$n^3 - n^2 + 5n + 6 \leq C \cdot n^3$$

For  $n=0$        $6 \leq 0$  X

For  $n=1$        $11 \leq C$  ✓       $\therefore n_0=1 \rightarrow n \geq 1$  — ①

$$1 - \frac{1}{n} + \frac{5}{n^2} + \frac{6}{n^3} \leq C \quad \text{— ②}$$

$$\begin{aligned} n_0 &\geq 3 & [\because \text{makes calc easier}] \\ C &= 3 \end{aligned}$$

$$O \leq n^3 + n^2 - 100n + 1000000000 \leq C \cdot n^3$$

↑↑

SHOW THE WORK  
① Partial Credit  
② Alg. mistake ignored

$$n_0 > 0 \quad 1 + \frac{1}{n} - \frac{100}{n^2} + \frac{100000000}{n^3} \leq C$$

$$n_0 = 1$$

$$C = 10000000000 \quad //$$

$$⑤ T(n) = n^2 + 4 = O(n \log n) - NO$$

$$0 \leq n^2 + 4 \leq C \cdot n \log n$$

$n_0 > 0$  (with  $\delta \log$  becomes  $\infty$ ) \*

$$n_0 = 1 \rightarrow 4 \leq 0 \times$$

$$n_0 \geq 2, \frac{n}{\log n} + \frac{4}{n \log n} \leq C \quad (n \text{ increases faster than } \log n)$$

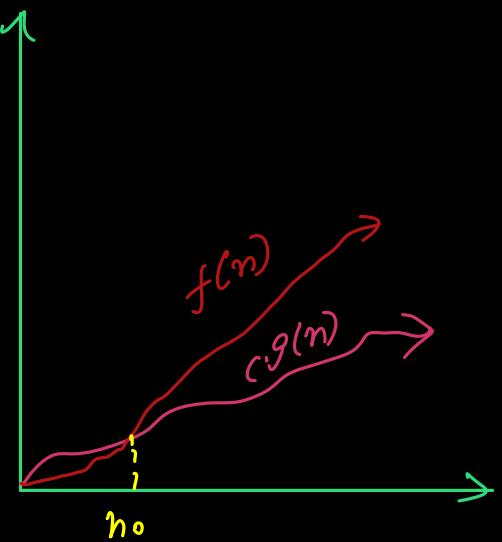
$\therefore C$  can never be greater than  $\frac{n}{\log n}$ . Hence not possible

## Ω NOTATION (Big-Omega)

$$\Omega(g(n)) = \left\{ f(n) : \text{there exists constants } c \text{ and } n_0 \text{ such that } 0 \leq c \cdot g(n) \leq f(n) \text{ for all } n \geq n_0 \right\}$$

\* Gives asymptotic lower bound

\* Function cannot go lesser than this



$$\textcircled{1} \quad T(n) = n^2 + 4 = \Omega(n^2)$$

$$c \cdot n \leq n^2 + 4 \quad \text{for all } n > n_0$$

$$n_0 > 0$$

$$n_0 = 1, \quad c \cdot n \leq n^2 + 4 \quad c = 1 \quad \text{holds true}$$
$$c \leq n + \frac{4}{n} \quad n_0 = 1$$
$$c \leq 5$$

---

$$\textcircled{2} \quad T(n) = n^2 + 4 = \Omega(n \log n)$$

$$c \cdot n \log n \leq n^2 + 4$$

$$n_0 > 1 \quad (\text{you know, see before example})$$

$$n_0 = 2, \quad c \log n \leq n + \frac{4}{2}$$

$$c \leq \frac{n}{\log n} + \frac{4}{n \log n} \quad c = 1 \quad \text{holds true}$$
$$n_0 = 2$$

$$c \leq \frac{2}{1} + \frac{4}{2}$$

$$c \leq 4$$

## $\Theta$ - NOTATION (BIG-THETA)

$\Theta(g(n)) = \{ f(n) : \text{there exists 3 cons. } c_1, c_2, n_0 \text{ such that}$

$$\frac{0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)}{\text{for all } n \geq n_0}$$

\* Always lies between 2 bounds after  $n_0$

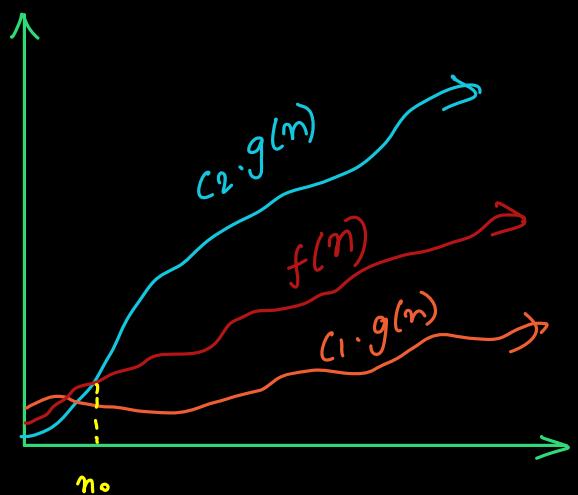
① & ② prove separately  
to prove  $\Theta$  functions

\* Gives a tight bound.

$$f(n) = O(g(n))$$

$$f(n) = \Omega(g(n))$$

$$\text{then, } f(n) = \Theta(g(n))$$



$$\textcircled{1} \quad T(n) = n^2 + n \log n + 6 = \Theta(n^2)$$

$$0 \leq c_1 n^2 \leq n^2 + n \log n + 6 \leq c_2 n^2$$

$$n_0 \geq 1 \quad c_1 \leq \underbrace{1 + \frac{\log n}{n} + \frac{6}{n^2}}_{\substack{\text{fixed} \\ \rightarrow \text{eventually becomes 2 for large } n}} \leq c_2$$

worst case  $c_1 \leq 1 \leq c_2$   
best case  $4 \leq 3 \leq c_2$

$$c_1 \leq 1 + 0 + 6 \leq c_2$$

$$c_1 \leq 7 \leq c_2 \rightarrow \text{eventually } c_1 \leq 3 \leq c_2$$

$$\therefore c_1 = 1, c_2 = 10 \text{ holds true}$$

PROPERTIES - Use these to prove function bounds

$$\textcircled{1} \quad f(n) = \Theta(g(n)) \iff g(n) = \Theta(f(n))$$

$$\textcircled{2} \quad f(n) = \Omega(g(n)) \text{ iff } g(n) = \omega(f(n)) \quad (\text{TRANSPOSE SYMM})$$

$$\textcircled{3} \quad \begin{aligned} f(n) &= O(f(n)) \\ f(n) &= \omega(f(n)) \\ f(n) &= \theta(f(n)) \end{aligned} \quad \left[ \begin{array}{l} \text{REFLECTIVITY} \\ \text{REFLECTIVITY} \end{array} \right]$$

$$\textcircled{4} \quad f(n) = O(g(n)) \text{ and } g(n) = O(h(n)) \quad (\text{TRANSITIVITY})$$

then  $f(n) = O(h(n))$

Applicable for  $\omega$  &  $\theta$  as well

# LECTURE 6

OCT 6

$$\textcircled{1} \quad 100n + \log n = \Theta(n + \sqrt{n})$$

$$O \leq c(n + \sqrt{n}) \leq 100n + \log n \leq d(n + \sqrt{n})$$

Find  $d, n_0, c$

$n_0 > 1$

∴ by  $n + \sqrt{n}$ ,

$$c \leq \frac{100n + \log n}{n + \sqrt{n}} \leq d \quad c \leq \frac{100n + \log n}{n + \sqrt{n}} \leq d$$

$$\frac{\frac{100 + \log n/n}{1 + \sqrt{n}/n}}{1 + \sqrt{n}/n}$$

$$c \leq \frac{100}{2} \leq d$$

$$c \leq 50 \leq d$$

$$\rightarrow \frac{100}{1 + 1/\sqrt{n}} + \frac{\log n/n}{1 + 1/\sqrt{n}}$$

$$\downarrow \quad \downarrow$$

vals. betw 60-100      1.....0

$$c \leq 60 - 100 \leq d$$

$c = 1, d = 200, n_0 = 4$  (using 4 in calculation)

The previous method (direct compar.) is time consuming.  
We can use properties to find it faster.



For previous question

$$100n + \log n = \Theta(n) \Leftrightarrow n = \Theta(n + \sqrt{n})$$

prove this  
(easy)

then prove this  
(easy)

then by property  $a < b, b < c \Rightarrow a < c$  (transitive?)

$$100n + \log n = \Theta(n + \sqrt{n})$$

MEM: THE PROPERTIES



## LOOSER BOUNDS ( $\Theta, \omega$ )

$\Theta(g(n)) = \{ f(n) : \text{for any } c > 0, \text{ there exists a constant } n_0 \text{ such that}$

$$\underline{c} \leq f(n) \leq \underline{c} \cdot g(n) \text{ for all } n \geq n_0 \}$$

other way to prove

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \Theta \quad (\text{easier})$$

$$n^b \xrightarrow{\text{poly}} O(a^n) \xrightarrow{\text{exp}}$$

$$\lim_{n \rightarrow \infty} \frac{n^b}{a^n} = \frac{\infty}{\infty}$$

(Review calc. given in book)

Apply log,

$$\log_a \left( \underbrace{\lim_{n \rightarrow \infty} \frac{n^b}{a^n}}_y \right) = \lim_{n \rightarrow \infty} \left( \log_a \frac{n^b}{a^n} \right)$$

$$= \lim_{n \rightarrow \infty} (\log_a n^b - n)$$

$$= -\infty$$

$$\log_a (y) = -\infty$$

$$a^{\log_a (y)} = a^{-\infty} \quad (\text{MULTIPLE STEPS INVOLVED})$$

$$y = 0$$

$$\lim_{n \rightarrow \infty} \frac{n^b}{a^n} = 0 // [H.P]$$

## $\omega$ (little omega)

$\omega(g(n)) = \left\{ f(n) : \begin{array}{l} \text{for any } c > 0, \text{ there exists a constant} \\ n_0 > 0 \text{ such that} \\ 0 \leq c \cdot g(n) < f(n) \text{ for all } n \geq n_0 \end{array} \right.$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty \quad \begin{bmatrix} \text{You can prove} \\ \text{Using this} \end{bmatrix}$$

$$f = o(g)$$

$$g = \omega(f)$$

REVIEW THE MATH REQ.  
FOR THIS CHAPTER

\*

$$\textcircled{1} \quad n! = \omega(2^n)$$

$$\lim_{n \rightarrow \infty} \frac{n!}{2^n} \rightarrow \frac{\infty}{\infty} \quad (\text{not exists, we need to do something})$$

USE STERLING APPROXIMATION FOR  $n!$

$$\lim_{n \rightarrow \infty} \frac{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n \left(1 + \theta\left(\frac{1}{n}\right)\right)}{2^n} = \lim_{n \rightarrow \infty} \sqrt{2\pi n} \left(\frac{n}{2e}\right)^n \left(1 + \theta\left(\frac{1}{n}\right)\right) = \infty \quad [\text{Hence Proved}]$$

$$\textcircled{2} \quad c^n = \omega(n^{\sqrt{n}})$$

$$\lim_{n \rightarrow \infty} \frac{c^n}{n^{\sqrt{n}}} = \frac{\infty}{\infty} \quad (\text{not exists})$$

*don't use = (transformation)*

$$\lim_{n \rightarrow \infty} \frac{c^n}{n^{\sqrt{n}}} \xrightarrow[\text{?}]{} \lim_{n \rightarrow \infty} \frac{n \log c}{\sqrt{n} \log n}$$

$$= \lim_{n \rightarrow \infty} \frac{\sqrt{n}}{\log n}$$

$$\log_c^n = \frac{\ln(n)}{\ln(c)}$$

Apply L'Hopital Rule,

$$\lim_{n \rightarrow \infty} \frac{\frac{1}{2}n^{-1/2}}{\frac{1}{n} \left( \frac{1}{\ln c} \right)}$$

$$= \lim_{n \rightarrow \infty} \left[ (\ln c) \frac{\frac{1}{2} \sqrt{n}}{1} \right]$$

(remember to undo log,  
apply  $c^{(\text{whole thing})}$ )

$$= \infty \quad [\text{Hence Proved}]$$

$$c^\infty = \infty$$

# PROVING RECURRENCE (MATH INDUCTION)

$$\textcircled{1} \quad T(n) = \begin{cases} 1 & n=1 \\ 2T(n-1)+1, & n>1 \end{cases} = 2^n$$

BASE CASE

$$n=1 \quad 2^1 - 1 = 1$$

$$T(1) = 1$$

(true)

INDUCTIVE HYPOTHESIS

$$\text{Suppose } T(k) = 2^k - 1$$

$$\text{Prove } T(k+1) = 2^{k+1} - 1$$

INDUCTIVE CASE:

$$\begin{aligned} T(k+1) &= 2T(k) + 1 = 2(2^k - 1) + 1 = 2^{k+1} - 2 + 1 \\ &= 2^{k+1} - 1 // \end{aligned}$$

\* By law of Math Ind, the given recurrence is true

$$\textcircled{2} \quad T(n) = \begin{cases} 1 & n=1 \\ 2 T(n/2) + n & n>1 \end{cases} = n \log n + n$$

BASE CASE:

$$n=1 \quad 1 \log 1 + 1 = 1$$

$$T(1) = 1 \quad (\text{True})$$

IND. HYPOTHESIS:

$$\text{Suppose, } T(k) = k \log k + k \quad \text{--- } \textcircled{1}$$

$$\text{Prove } T(2k) = [2k(\log 2k)] + 2k \quad \text{--- } \textcircled{2}$$

IND. CASE

$$\begin{aligned} T(2k) &= 2 T(k) + 2k \\ &= 2 [k \log k + k] + 2k \\ &= 2k \log k + 4k \quad \text{--- } \textcircled{3} \end{aligned}$$

$$\left[ 2k (\log_2 k) \right] + 2k \quad \text{[FROM ②, RHS]}$$

$$= 2k (\log 2 + \log k) + 2k$$

$$= 2^k \log k + 2^k \cancel{\log_2 2} + 2k$$

$$= 2^k \log k + 4k \quad - \text{④}$$

$$\textcircled{3} = \textcircled{4}$$

$\therefore$  By law of math. induction, the eq. holds true

Lec/Hw/Pre.Qs/CRS  $\leftarrow$  [TEST UPTO <sup>Oct 20</sup> THIS] + Rec.Tree + Sub

③ SUBSTITUTION METHOD

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n) = O(n \log n)$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n \leq c(n \cdot \log n) \quad \text{BY DEF}$$

IND HYPO:

$$T(n) = 2 \left[ c \frac{n}{2} \log \left( \frac{n}{2} \right) \right] + n \leq cn \log n \quad \begin{matrix} \leftarrow \\ T(k) \leq cn \log n \end{matrix}$$

$$c n \left( \log n - \log 2 \right) + n \leq cn \log n \quad \begin{matrix} \leftarrow \\ T(k/2) = c \frac{k}{2} \log \frac{k}{2} \end{matrix}$$

$$(cn \log n - cn \log 2 + n) \leq cn \log n$$

$$-cn \log 2 + n \leq 0$$

$$n \leq cn \log 2$$

$$1 \leq c \log 2 \quad (c \text{ can be any value})$$

$$\therefore \log 2 \leq c \cdot \log 2 \quad c \text{ is +ve}$$

$\therefore$  This inequality holds true

## LECTURE 7 SUBSTITUTION

$$\textcircled{1} \quad T(n) = 2T(n/2) + \Theta(n) = \Theta(n \log n)$$

$$\text{By DEF, } 0 \leq d n \cdot \log n \leq 2T(n/2) + \Theta(n) \leq C \cdot n \log n$$

\_\_\_\_\_  
 UPPER BOUND  $\downarrow$   
 \_\_\_\_\_  
 LOWER BOUND  $\longrightarrow$  Do them separately

\* Final constants and imply if it holds true or not

\* Can introduce lower order terms.

$$\textcircled{2} \quad T(n) = 2T(n/2) + \Theta(n) = \Theta(n^2)$$

$$\text{By DEF, } 2T(n/2) + cn \leq dn^2$$

$$2 \left[ d \left( \frac{n}{2} \right)^2 \right] + cn \leq dn^2$$

$$\frac{dn^2}{2} + cn \leq dn^2$$

$$cn \leq \frac{1}{2} dn^2$$

$$c \leq \frac{dn}{2} \quad \eta_0 = 1$$

Can find  $c$  and  $d$  that satisfy the equation

$$c=1, d=10 \text{ (safe)}$$

$\therefore$  The recurrence holds true

$$\textcircled{3} \quad T(n) = 2T(n/2) + cn = \Theta(n^2)$$

$$0 \leq dn^2 \leq 2T(n/2) + cn$$

$$2 \left\lceil \frac{dn^2}{4} \right\rceil + cn \geq dn^2$$

$$\frac{dn^2 + cn}{2} \geq dn^2$$

$$cn \geq \frac{1}{2} dn^2$$

$c \geq \frac{1}{2} dn$  cannot bound  $c$  by  $n$  (can get very large)

$\therefore$  The equation doesn't hold true.

$$\textcircled{4} \quad T(n) = 2T(n/2) + cn = \Theta(n)$$

$$0 \leq 2T(n/2) + cn \leq dn$$

$$2 \left\lceil d \frac{n}{2} \right\rceil + cn \leq dn$$

$$dn + cn \leq dn$$

$$cn \leq 0$$

$c$  and  $n$  cannot be  $< 0$

$\therefore$  The equation doesn't hold true.

$$\textcircled{5} \quad T(n) = T(n-1) + C = O(n)$$

$$0 \leq T(n-1) + C \leq dn$$

$$d(n-1) + C \leq dn$$

$$C=1$$

$$d=2$$

$$dn - d + C \leq dn$$

$n$  not there, can pick any  $n$

$$C-d \leq 0 \quad (\text{independent})$$

$\therefore$  The equation holds true.

$$\textcircled{5} \quad T(n) = T(n-1) + C = O(\log n)$$

$$0 \leq T(n-1) + C \leq d \log n$$

$$d \log(n-1) + C \leq d \log n \quad \xrightarrow{\text{at inf this becomes 0}}$$
$$C \leq d(\log n - \log(n-1))$$

$$C=1$$

$$\times_{d=10} \quad \text{it works for these values} \xrightarrow{\text{BUT}}$$

$$n_0 = 4$$

$$C \leq 0 \quad (\text{not possible} \Rightarrow C > 0)$$

$\therefore$  The equation doesn't hold true.

NOTE:

After proving upper bound, prove lower bound (approach other side) to know the right bound (the tight bound)

$$\textcircled{6} \quad T(n) = 8T(n/2) + cn^2 = O(n^3)$$

$8T(n/2) + cn^2 \leq dn^3$  ( $-cn^2$ ) invisible lower order term to make it work

$$8 \left[ d \left( \frac{n}{2} \right)^3 + e \left( \frac{n}{2} \right)^2 \right] + cn^2 \leq dn^3 - cn^2 \quad \hookrightarrow \text{should be } (-)$$

$$-cn^2 + dn^3 + cn^2 \leq dn^3 - cn^2$$

$-cn^2 + cn^2 \leq 0 \quad \therefore c > 0$ , the above equation fails, but it should

fail because of lower order term  $\Rightarrow$  include that

### LEARNING:

If it doesn't hold but looks correct,  
you can sub a magic lower order term.

$\hookrightarrow$  works because  $O(g(n))$  is a  
function with lower order terms.

$$\textcircled{7} \quad T(n) = 7T(n/2) + cn^2 = O(n^{\log_2 7})$$

$$7T(n/2) + cn^2 \leq d n^{\log_2 7} - cn^2$$

$$7 \left[ d \left( \frac{n}{2} \right)^{\log_2 7} - e \left( \frac{n}{2} \right)^2 \right] + cn^2 \leq d n^{\log_2 7} - cn^2$$

$$\cancel{\frac{7}{4}cn^2 + d n^{\log_2 7}} + cn^2 \leq \cancel{d n^{\log_2 7}} - cn^2$$

$$-\frac{3}{4}cn^2 + cn^2 \leq 0$$

$$n^2 \left( c - \frac{3}{4}d \right) \leq 0 \quad \left\{ \begin{array}{l} n_0 = 1 \\ c = 1 \\ d = 4 \end{array} \right. \quad \therefore \text{The equation holds true.}$$

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n = ?$$

RECURSION TREE

$$h = \min(\log_3 n, \log_{3/2} n)$$

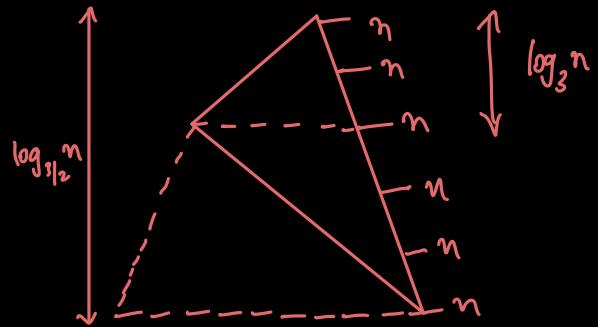
$$T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n \leq cn \log_{3/2} n$$

$$\leq cn \log n$$

(to simplify)

- $\Omega(n \log_3 n)$
- $\Theta(n \log_{3/2} n)$

GUESS FROM Δ<sup>le</sup>:



$$\Theta\left(\frac{n \log n}{\log^{3/2} n}\right) = \Theta(n \log n)$$

$$\left[c \frac{n}{3} \log\left(\frac{n}{3}\right)\right] + \left[c \frac{2n}{3} \log\left(\frac{2n}{3}\right)\right] + n \leq cn \log n$$

$$\left[c \frac{n}{3} (\cancel{\log n} - \log 3)\right] + \left[c \frac{2n}{3} (\cancel{\log n} + \log 2 - \log 3)\right] + n \leq c \cancel{n} \log n$$

$$-cn \log 3 + \frac{2}{3} cn \log 2 + n \leq 0$$

$$-c \cancel{\log 3} + \frac{2}{3} c \cancel{\log 2} + 1 \leq 0$$

$$c \left( \frac{2}{3} \log 2 - \log 3 \right) + 1 \leq 0$$

$$c = 100, n_0 = 1$$

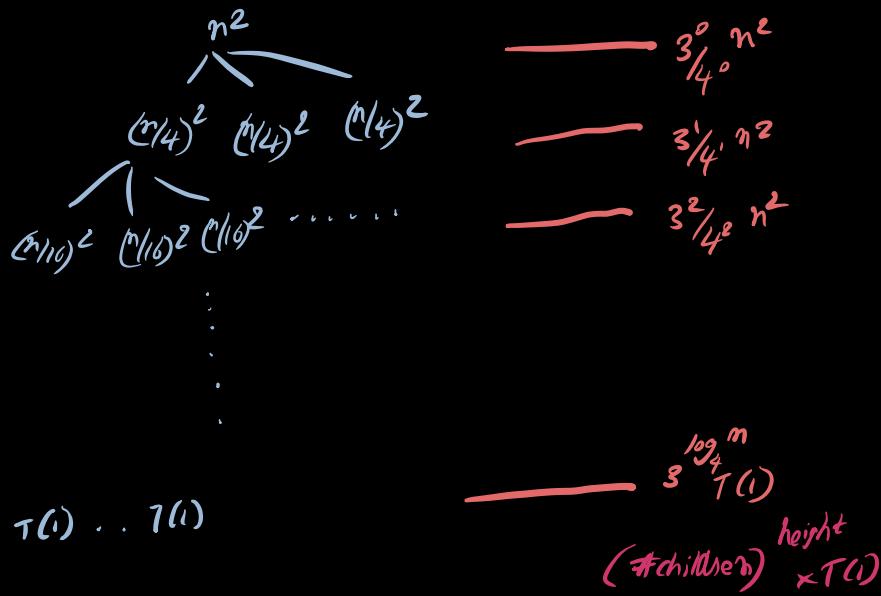
The eq. holds.

By, it holds for  $\Theta(n \log n)$  {try on your own}

$$\therefore T(n) = T\left(\frac{n}{2}\right) + T\left(\frac{2n}{3}\right) + n = \Theta(n \log n)$$

## LECTURE 8 MASTER THEOREM

$$\textcircled{1} \quad T(n) = 3T\left(\frac{n}{4}\right) + n^2$$



$$T(n) = \sum_{i=0}^{(\log_4 n)-1} 3^i \left(\frac{n}{4^i}\right)^2 + 3^{(\log_4 n)}$$

$$= n^2 \sum_{i=0}^{(\log_4 n)-1} \left(\frac{3}{16}\right)^i + C \cdot n^{\log_4 3}$$

$$= O(n^2)$$

$= \Omega(n^2) \rightarrow$  because this last is constant not 0.

PROOF:

$$3T\left(\frac{n}{4}\right) + n^2 \leq cn^2$$

can prove lower bound ( $\geq$ )

as well by flipping ( $\exists$ )

$$3 \left[ c \left(\frac{n}{4}\right)^2 \right] + n^2 \leq cn^2$$

$$n_0 = 1, C = 1$$



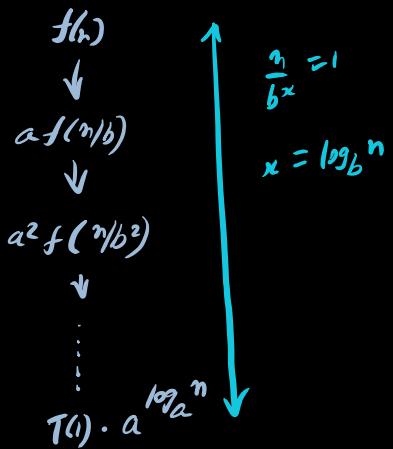
$$\therefore \Theta(n^2)$$

$$\frac{3}{16} cn^{2+2} \leq cn^2$$

$$1 \leq \frac{18}{16} C \quad c = 16$$

$n_0 = 1$  The equation holds true

$$\textcircled{2} \quad T(n) = a T(n/b) + f(n)$$



$$= \sum_{i=0}^{\log_b n} a^i f\left(\frac{n}{b^i}\right) + n^{\log_b a} \cdot T(1)$$

$$= f(n) + a f\left(\frac{n}{b}\right) + \dots$$

$$= c \cdot n^{\log_b a}$$

$= O(\ ) \rightarrow 3 \text{ cases}$

Base Behind Master Theorem

## MASTER THEOREM

$$T(n) = a T\left(\frac{n}{b}\right) + f(n)$$

$$a \geq 1$$

$$b > 1$$

$$f(n) > 0$$

$$\textcircled{1} \quad f(n) = O\left(n^{\log_b a - \varepsilon}\right) \quad \varepsilon > 0, \text{ then } T(n) = \Theta\left(n^{\log_b a}\right)$$

$$\textcircled{2} \quad f(n) = \Theta\left(n^{\log_b^k a}\right), \text{ then } T(n) = \Theta\left(n^{\log_b^k a} \log n\right)$$

$$\textcircled{3} \quad f(n) = \Omega\left(n^{\log_b a + \varepsilon}\right) \quad \& \quad a f\left(\frac{n}{b}\right) \leq c f(n) \quad \left. \begin{array}{l} T(n) = \Theta(f(n)) \\ c < 1, \text{ then } \end{array} \right\}$$

$$\textcircled{1} \quad f(n) \leq C \cdot n^{\log_b a - \varepsilon}$$

$$\leq C \frac{n^{\log_b a}}{n^\varepsilon} \quad (\text{polynomially small})$$

$$\textcircled{2} \quad f(n) \geq C \cdot n^{\log_b a + \varepsilon}$$

$$\geq C \cdot n^{\log_b a} \times n^\varepsilon \quad (\text{polynomially large})$$

$$\textcircled{1} \quad T(n) = 3T(n/4) + n^2$$

$$a = 3$$

$$b = 4$$

$$f(n) = n^2$$

③

$$n^2 = \Theta\left(n^{\log_4 3 + \varepsilon}\right)$$

$$2 = \log_4 3 + \varepsilon$$

$$\varepsilon = 2 - 0.2$$

$$= 1.4 > 0$$

$$T(n) = \Theta(n^2)$$

$$af(n/4) \leq C f(n/4)$$

$$3\left(\frac{n}{4}\right)^2 \leq C n^2$$

$$\frac{3}{16} \leq C$$

(PROVED)

$$\textcircled{2} \quad T(n) = 8T(n/2) + cn^2$$

$$cn^2 = O(n^{3-\epsilon})$$

$$\epsilon = 1$$

$$\therefore T(n) = \Theta(n^3)$$

$$\textcircled{3} \quad T(n) = 2T(n/2) + n$$

$$a = 2$$

$$b = 2$$

$$f(n) = n \quad n^{\log_2^2} = n \quad \textcircled{2}$$

( $k=0$ , use  $k$  as log power)

$$\therefore T(n) = \Theta(n \log n)$$

$$\textcircled{4} \quad T(n) = 2T(n/2) + n \log n$$

$$n \log n = \Omega(n^{\log_2^2 + \epsilon}) \quad [\text{REMEMBER REFLEXIVITY}]$$

$$n \log n \geq C \cdot n \cdot n^\epsilon$$

$$\log n \geq C \cdot n^\epsilon$$

cannot bound a logarithmic function

by polynomial.

Trying case \textcircled{2}

$$n \log n = \Theta(n^{\log_2^2 / \log^k n})$$

$$k=1$$

$$\therefore T(n) = \Theta(n \log^2 n)$$

$$\textcircled{5} \quad T(n) = T(n/2) + 1$$

$$a=1 \geq 1 \quad l = \Theta(n^{\log_2 1} \log^k n)$$

$$b=2 > 1 \quad k=0$$

$$\therefore \text{Ans} = \Theta(1 \cdot \log^{k+1} n) \rightarrow k =$$

$$T(n) = \Theta(\log n)$$

$$\textcircled{6} \quad T(n) = 2T(n/3) + n^3 \log n$$

case 3 will fail

Try case 2

$$n^3 \log n = \Theta(n^3 \log^k n)$$

$$k=1 \geq 0$$

$$\therefore T(n) = \Theta(n^3 \log^2 n)$$

[when there is  $\log n$  in  $f(n)$  always go for general case \textcircled{2}]

$$\textcircled{7} \quad T(n) = 2T(n/3) + n^3 / \log n$$

fails case \textcircled{1}  $\Rightarrow$  cannot find  $\epsilon$

$$\frac{n^3}{\log n} = \Theta(n^3 \log^k n)$$

$$k=-1 \neq 0$$

$\therefore$  None of the cases can be used

$$⑧ T(n) = T(n/8) + T(n/4) + T(n/3) + n$$

↓                              ↓  
 goes down fast              goes down slow  
 ↓                              ↓  
 n                              0

Cannot use M.T directly but use it for guessing

approx. from 3 calls above

$$③ T(n/8) + n = \Theta(n)$$

$$③ T(n/3) + n = O(n \log n) \rightarrow \text{This will fail when you try to prove.}$$

$$\therefore T(n) = \Theta(n)$$

CHAP 8 FROM HERE