

## CSE 101 – Oct 18, 2019 (Week 3)

Notes provided by Ben Sihota bsihota@ucsc.edu

---

### Class Notes

- PA2 extended 3 days
- Midterm practice problems posted

### Variations on induction step: II

**IIa.**  $\forall n \geq n_0 : P(n) \rightarrow P(n+1)$  [*induction hypothesis*]

Let  $n \geq n_0$  be chosen arbitrarily

Assume  $P(n)$  is true.

Show as a consequence that  $P(n+1)$  is true

---

**IIb.**  $\forall n > n_0 : P(n-1) \rightarrow P(n)$  [*induction hypothesis*]

Let  $n > n_0$  be arbitrary

Assume  $P(n-1)$

Show  $P(n)$

---

**Note:**  $n-1 \geq n_0 \iff n \geq n_0+1 \iff n > n_0$

IIa & IIb are *weak induction* or 1st principle of mathematical induction (PMI)

---

**IIc.**  $\forall n \geq n_0 : P(n_0) \wedge P(n_0+1) \wedge \dots \wedge P(n) \rightarrow P(n+1)$  [*induction hypothesis*]

Let  $n \geq n_0$  be arbitrary

Assume  $P(n_0) \wedge \dots \wedge P(n)$  true

Show  $P(n+1)$

---

**IIId.**  $\forall n > n_0 : P(n_0) \wedge \dots \wedge P(n-1) \rightarrow P(n)$

Let  $n > n_0$  be arbitrary

Assume  $P(n_0) \wedge \dots \wedge P(n-1)$

Show  $P(n)$

---

**Example**

Show:  $\forall n \geq 1 : \sum_{k=1}^n k^2 = \frac{n(n+1)(2n+1)}{6}$

**Proof** **I.**  $P(1)$  says  $1^2 = \frac{1(1+1)(2 \cdot 1 + 1)}{6}$

$1 = 1$ , which is true

**IIa.** Let  $n \geq 1$  be chosen arbitrarily.

Assume  $\sum_{k=1}^n k^2 = \frac{n(n+1)(2n+1)}{6}$ , for this  $n$

$$\sum_{k=1}^{n+1} k^2 = \frac{(n+1)(n+2)(2n+3)}{6}$$

So

$$\begin{aligned} \sum_{k=1}^{n+1} k^2 &= \left( \sum_{k=1}^n k^2 \right) + (n+1)^2 \\ &= \frac{n(n+1)(2n+1)}{6} + (n+1)^2 \text{ [by the induction hypothesis]} \end{aligned}$$

**Note:** Label the **I.H.**, **I.C.** and where the **I.H** is used (**3 things**)

$$\begin{aligned} &= \frac{n(n+1)(2n+1) + 6(n+1)^2}{6} \\ &= \frac{(n+1)(n(2n+1) + 6(n+1))}{6} \\ &= \frac{(n+1)(2n^2 + n + 6n + 6)}{6} \\ &= \frac{(n+1)(2n^2 + 7n + 6)}{6} \\ &= \frac{(n+1)(n+2)(2n+3)}{6} \end{aligned}$$

So the 1st P.M.I

$$\sum_{k=1}^n k^2 = \frac{n(n+1)(2n+1)}{6}$$

for all  $n \geq 1$

**Exercise:** Do it again using IIb

**Example 3 (in handout)**

Define  $T(n)$  by

$$T(n) = \begin{cases} 0 & \text{if } n = 1 \\ T(\lfloor \frac{n}{2} \rfloor) + 1 & \text{if } n \geq 2 \end{cases}$$

Prove:  $\forall n \geq 1 : T(n) \leq \lg(n)$

(hence  $T(n) = O(\log n)$ )

**Proof**  $P(1)$  says:  $T(1) \leq \lg(1)$ , i.e.  $0 \leq 0$ , which is true

**IIId.**  $\forall n > 1 : P(1) \wedge \dots \wedge P(n-1) \rightarrow P(n)$

Let  $n > 1$  be arbitrary

Assume (for this  $n$ ) for all  $k$  in the range  $1 \leq k < n$  that

$$T(k) \leq \lg(k)$$

We must show  $T(n) \leq \lg(n)$

So

$$T(n) = T(\lfloor \frac{n}{2} \rfloor) + 1 \text{ [by recurrence for } T(n)\text{]}$$

$$\leq \lg(\lfloor \frac{n}{2} \rfloor) + 1 \text{ [by induction hypothesis, with } k = \lfloor \frac{n}{2} \rfloor \text{ we have } T(\lfloor \frac{n}{2} \rfloor) \leq \lg(\lfloor \frac{n}{2} \rfloor)\text{]}$$

$$\leq \lg(\frac{n}{2}) + 1 \text{ [since } \lfloor x \rfloor \leq x \text{ and } \lg() \text{ is increasing]}$$

$$= \lg n - \lg 2 + 1$$

$$= \lg n$$

Results follows for all  $n \geq 1$  by 2nd P.M.I

**Exercise**

Define  $S(n)$  by

$$S(n) = \begin{cases} 0 & \text{if } n = 1 \\ S(\lfloor \frac{n}{2} \rfloor) + 1 & \text{if } n \geq 2 \end{cases}$$

Prove  $\forall n \geq 1 : S(n) \geq \lg(n)$ , hence  $S(n) = \Omega(\log n)$

**Note:**

$$\lfloor x \rfloor \leq x \text{ and } x - 1 < \lfloor x \rfloor$$

$$\lceil x \rceil \geq x \text{ and } x + 1 > \lceil x \rceil$$