

Market Conduct & Compliance Advisor

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Rethabile Khumalo

PROFILE

Expert compliance advisor specialising in market conduct regulation, compliance frameworks, and regulatory investigations in SA banking and insurance sectors.

WORK EXPERIENCE

FNB (Compliance Officer, 2017–2020)

Conducted market conduct reviews in branches and digital banking; developed training modules on FAIS and Treating Customers Fairly framework.

Momentum Metropolitan (Senior Conduct Consultant, 2020–Present)

Designed conduct dashboards, managed compliance breaches, led FCA / Prudential Authority audit collaboration.

EDUCATION

University of KwaZulu-Natal

LLB (Honours), 2016

Dean's List, Medical Research Award

University of Cape Town

BBusSc (Finance, Investment & Banking), 2012

Cum Laude, outstanding research thesis

INTERESTS

- Legal pro bono volunteer for compliance education
- Book club, hiking

SKILLS

- Prudential Authority and FAIS regulations
- Compliance monitoring and dashboards
- Regulatory investigations & root cause analysis
- Training design & delivery
- Reporting and stakeholder liaison