

Modelling Plankton Dynamics in the Oceanic Mixed Layer

Lecture 5

Ago Merico

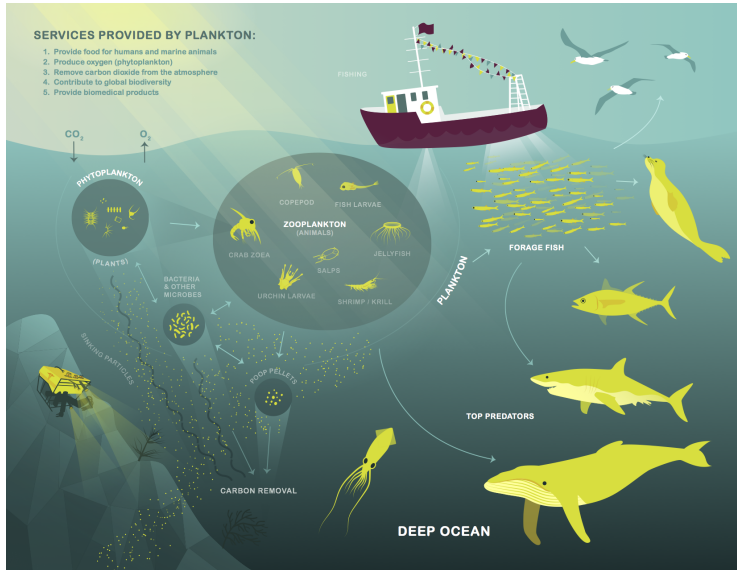
29 January 2020

Part 1 – Main concepts and NPZ model

Part 2 – Physical dynamics and NPZD model

SERVICES PROVIDED BY PLANKTON:

1. Provide food for humans and marine animals
2. Produce oxygen (phytoplankton)
3. Remove carbon dioxide from the atmosphere
4. Contribute to global biodiversity
5. Provide biomedical products



Nutrients

The fundamentals of life...

Inorganic

Macro-

Carbon (C)
Nitrogen (N)
Phosphorus (P)
Silicon (Si)
...

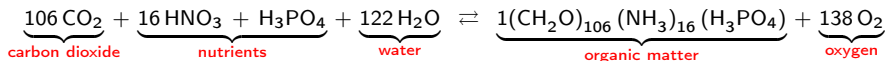
Micro-

Iron (Fe)
Cobalt (Co)
Manganese (Mn)
Copper (Cu)
Zinc (Zn)
...

Organic

Carbohydrates
Lipids
Proteins
Vitamins
...

Photosynthesis: uses inorganic nutrients and light to synthesise biomass

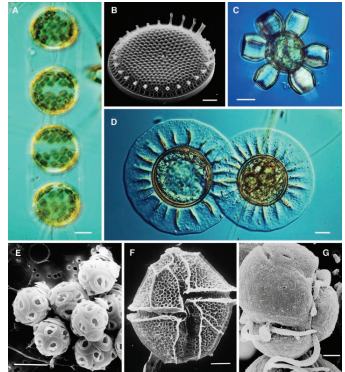


- ▶ Most diverse groups of organisms in the marine realm;
 - ▶ many are free-living, others form symbiotic associations;
 - ▶ many thrive within dead organic substrates;
 - ▶ important recycling role.
- ▶ Can live under extreme conditions;
 - ▶ e.g. high salinity, high temperature, anoxic waters.
- ▶ Nasty surprises;
 - ▶ many bacteria produce toxins.

- ▶ Most diverse groups of organisms in the marine realm;
 - ▶ many are free-living, others form symbiotic associations;
 - ▶ many thrive within dead organic substrates;
 - ▶ **important recycling role.**
- ▶ Can live under extreme conditions;
 - ▶ e.g. high salinity, high temperature, anoxic waters.
- ▶ Nasty surprises;
 - ▶ many bacteria produce toxins.

Phytoplankton

- ▶ Phytoplankton is a diverse group of microscopic, mostly single-celled, photosynthetic organisms that drift with the currents in marine and fresh waters.
- ▶ Although accounting for less than 1 % of Earth's photosynthetic biomass, phytoplankton are responsible for more than 45 % of our planet's annual net primary production.
- ▶ They are agents for primary production, the creation of organic compounds from CO_2 dissolved in the water, a process that sustains the aquatic food web and life in general.
- ▶ Phytoplankton depend on inorganic nutrients, primarily nitrate, phosphate, and silicic acid, whose availability is governed by a balance between the **biological pump** and upwelling of deep, nutrient-rich waters. Across large regions of the World Ocean phytoplankton are also limited by lack of iron.



Examples of representative marine phytoplankton. (A) Diatom chain; (B) single valve diatom; (C) coccolithophore; (D) overlapping pair of phycomyces; (E) clump of coccospheres; (F) dinoflagellate; (G) dinoflagellate. Scale bars: (A, C, E, F) 10 μm ; (B and G) 2 μm ; and (D) 25 μm .

Zooplankton

- ▶ Grazers: dominant consumers of phytoplankton;
- ▶ Transporters: eat at one depth, excrete at another;
- ▶ Through their consumption and processing of phytoplankton and other food sources, zooplankton play a key role in aquatic food webs, as a resource for consumers on higher trophic levels (e.g. fish).



Models in marine ecology

Models are indispensable in marine ecology;

Models are indispensable in marine ecology;

- ▶ to investigate the effects of possible future events, like the doubling of atmospheric CO_2 , that cannot be experimentally determined;

Models are indispensable in marine ecology;

- ▶ to investigate the effects of possible future events, like the doubling of atmospheric CO_2 , that cannot be experimentally determined;
- ▶ a standard problem in marine ecology is the causal explanation of the phytoplankton spring bloom in temperate regions;

Models are indispensable in marine ecology;

- ▶ to investigate the effects of possible future events, like the doubling of atmospheric CO_2 , that cannot be experimentally determined;
- ▶ a standard problem in marine ecology is the causal explanation of the phytoplankton spring bloom in temperate regions;
- ▶ there are benthic models, fishery models, population-dynamical models for whales, and many more...

Seasonality in phytoplankton

Phytoplankton spring blooms are a common feature of temperate coastal areas; the sequence of events are as follows:

Seasonality in phytoplankton

Phytoplankton spring blooms are a common feature of temperate coastal areas; the sequence of events are as follows:

1. phytoplankton stock is low through winter because strong vertical mixing keeps net losses from the photic zone greater than net growth, despite sufficient nutrient; low sun angles and short days contribute to keep stock low by limiting algal growth rates;

Seasonality in phytoplankton

Phytoplankton spring blooms are a common feature of temperate coastal areas; the sequence of events are as follows:

1. phytoplankton stock is low through winter because strong vertical mixing keeps net losses from the photic zone greater than net growth, despite sufficient nutrient; low sun angles and short days contribute to keep stock low by limiting algal growth rates;
2. in spring, increased irradiance and reduced winds generate a vertical stratification; this reduces the loss rate in the now better-lighted surface layer, and a population of phytoplankton builds up; this is called the spring bloom, which allows an increase in zooplankton;

Seasonality in phytoplankton

Phytoplankton spring blooms are a common feature of temperate coastal areas; the sequence of events are as follows:

1. phytoplankton stock is low through winter because strong vertical mixing keeps net losses from the photic zone greater than net growth, despite sufficient nutrient; low sun angles and short days contribute to keep stock low by limiting algal growth rates;
2. in spring, increased irradiance and reduced winds generate a vertical stratification; this reduces the loss rate in the now better-lighted surface layer, and a population of phytoplankton builds up; this is called the spring bloom, which allows an increase in zooplankton;
3. as spring gives way to summer, the growth of phytoplankton depletes nutrients that are no longer rapidly supplied from depth because of water stratification; productivity becomes nutrient limited and declines; also grazing reduces algal growth rates, and sinking of algal cells due to nutrient starvation produce a mid summer low in algal stocks;

Seasonality in phytoplankton

Phytoplankton spring blooms are a common feature of temperate coastal areas; the sequence of events are as follows:

1. phytoplankton stock is low through winter because strong vertical mixing keeps net losses from the photic zone greater than net growth, despite sufficient nutrient; low sun angles and short days contribute to keep stock low by limiting algal growth rates;
2. in spring, increased irradiance and reduced winds generate a vertical stratification; this reduces the loss rate in the now better-lighted surface layer, and a population of phytoplankton builds up; this is called the spring bloom, which allows an increase in zooplankton;
3. as spring gives way to summer, the growth of phytoplankton depletes nutrients that are no longer rapidly supplied from depth because of water stratification; productivity becomes nutrient limited and declines; also grazing reduces algal growth rates, and sinking of algal cells due to nutrient starvation produce a mid summer low in algal stocks;
4. by fall, the zooplankton have declined, the first, intermittent storms of the coming winter usually stir up some nutrient without completely mixing away water stratification; the daylength is still moderately long and the sun is still high; the result is a brief but substantial fall bloom;

Seasonality in phytoplankton

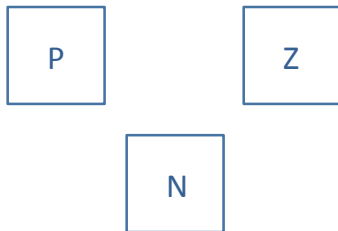
Phytoplankton spring blooms are a common feature of temperate coastal areas; the sequence of events are as follows:

1. phytoplankton stock is low through winter because strong vertical mixing keeps net losses from the photic zone greater than net growth, despite sufficient nutrient; low sun angles and short days contribute to keep stock low by limiting algal growth rates;
2. in spring, increased irradiance and reduced winds generate a vertical stratification; this reduces the loss rate in the now better-lighted surface layer, and a population of phytoplankton builds up; this is called the spring bloom, which allows an increase in zooplankton;
3. as spring gives way to summer, the growth of phytoplankton depletes nutrients that are no longer rapidly supplied from depth because of water stratification; productivity becomes nutrient limited and declines; also grazing reduces algal growth rates, and sinking of algal cells due to nutrient starvation produce a mid summer low in algal stocks;
4. by fall, the zooplankton have declined, the first, intermittent storms of the coming winter usually stir up some nutrient without completely mixing away water stratification; the daylength is still moderately long and the sun is still high; the result is a brief but substantial fall bloom;
5. the fall bloom is mixed away by the storms of early winter, which also resupply the surface with nutrients; the cycle begins again.

A simple pelagic ecosystem model

The basic goals in marine ecology are to understand the interactions among nutrient availability, phytoplankton growth, phytoplankton stock size and zooplankton stock size.

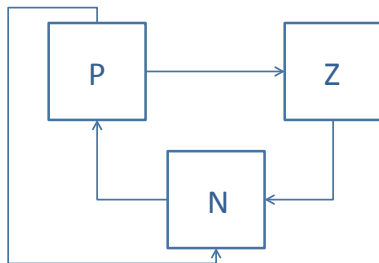
The most basic models are called Nutrient-Phytoplankton-Zooplankton, or NPZ, models.



A simple pelagic ecosystem model

The basic goals in marine ecology are to understand the interactions among nutrient availability, phytoplankton growth, phytoplankton stock size and zooplankton stock size.

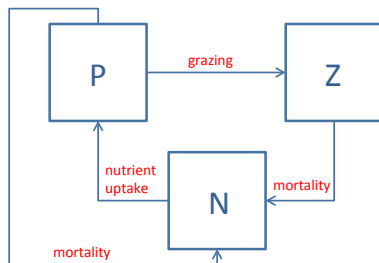
The most basic models are called Nutrient-Phytoplankton-Zooplankton, or NPZ, models.



A simple pelagic ecosystem model

The basic goals in marine ecology are to understand the interactions among nutrient availability, phytoplankton growth, phytoplankton stock size and zooplankton stock size.

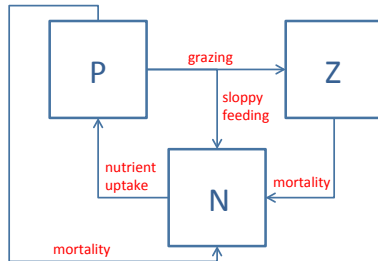
The most basic models are called Nutrient-Phytoplankton-Zooplankton, or NPZ, models.



A simple pelagic ecosystem model

The basic goals in marine ecology are to understand the interactions among nutrient availability, phytoplankton growth, phytoplankton stock size and zooplankton stock size.

The most basic models are called Nutrient-Phytoplankton-Zooplankton, or NPZ, models.



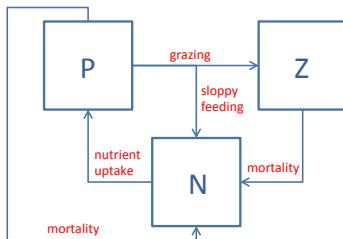
A simple pelagic ecosystem model

The differential equations can be written in words as follows:

$$\frac{dP(t)}{dt} = +\text{Nutrient Uptake} - \text{Mortality}_P - \text{Grazing},$$

$$\frac{dZ(t)}{dt} = +(\text{Assimilation Efficiency}) * \text{Grazing} - \text{Mortality}_Z,$$

$$\frac{dN(t)}{dt} = -\text{Nutrient Uptake} + (1 - \text{Assimilation Efficiency}) * \text{Grazing} + \text{Mortality}_P + \text{Mortality}_Z.$$



The processes involved in the interactions among the different dynamic variables (also called compartments) can be spelled out as follows:

$$\text{Nutrient Uptake} = \mu(N) P = \mu_{\max} \left(\frac{N}{N + K_N} \right) P ,$$

$$\text{Grazing} = g(P) Z = g_{\max} (1 - e^{\Lambda P}) Z ,$$

$$\text{Mortality}_P = m_P P ,$$

$$\text{Mortality}_Z = m_Z Z ,$$

$$\text{Assimilation Efficiency} = \gamma .$$

with: $m_P = 0.1 \text{ d}^{-1}$; $m_Z = 0.2 \text{ d}^{-1}$; $\gamma = 0.3$ (i.e. 30 %)

Nutrient uptake

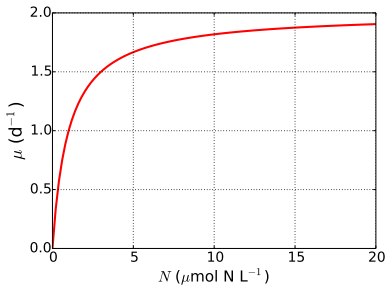
Nutrient uptake is simulated with a Monod-type function:

$$\mu(N) = \mu_{max} \left(\frac{N}{N + K_N} \right)$$

with:

$$\mu_{max} = 2.0 \text{ d}^{-1} \text{ (maximum growth rate)}$$

$$K_N = 1.0 \text{ } \mu\text{mol N L}^{-1} \text{ (half-saturation constant)}$$



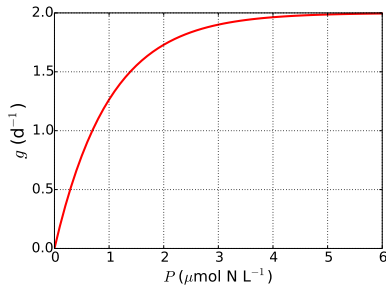
Grazing is simulated using the Ivlev's formulation:

$$g(P) = g_{max}(1 - e^{-\Lambda P})$$

with:

$$g_{max} = 2.0.5 \text{ d}^{-1} \text{ (maximum ingestion rate)}$$

$$\Lambda = 1.0 \text{ } \mu\text{mol N L}^{-1} \text{ (Ivlev constant)}$$



Grazing is simulated using the Ivlev's formulation:

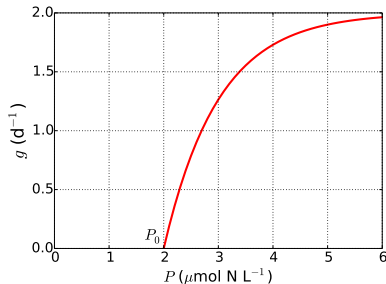
$$g(P) = g_{max}(1 - e^{-\Lambda(P-P_0)})$$

with:

$$g_{max} = 2.05 \text{ d}^{-1} \text{ (maximum ingestion rate)}$$

$$\Lambda = 1.0 \text{ } \mu\text{mol N L}^{-1} \text{ (Ivlev constant)}$$

$$P_0 = 2.0 \text{ } \mu\text{mol N L}^{-1} \text{ (minimum grazing threshold)}$$



Complete model equations

This model was developed by Franks et al. (1986), the complete equations are:

$$\frac{dP(t)}{dt} = \mu_{max} \left(\frac{N}{N + K_N} \right) P - m_P P - g_{max} \left[1 - e^{-\Lambda(P-P_0)} \right] Z,$$

$$\frac{dZ(t)}{dt} = \gamma g_{max} \left[1 - e^{-\Lambda(P-P_0)} \right] Z - m_Z Z,$$

$$\frac{dN(t)}{dt} = -\mu_{max} \left(\frac{N}{N + K_N} \right) P + m_P P + m_Z Z + (1 - \gamma) g_{max} \left[1 - e^{-\Lambda(P-P_0)} \right] Z.$$

Complete model parameters

Symbol	Description	Value	Unit
μ_{max}	maximum growth rate (P)	2.0	d^{-1}
K_N	half-saturation constant	1.0	$\mu\text{mol N L}^{-1}$
g_{max}	maximum ingestion rate (Z)	1.5	d^{-1}
Λ	Ivlev constant	1.0	$\mu\text{mol N L}^{-1}$
P_0	minimum grazing threshold	0.0	$\mu\text{mol N L}^{-1}$
m_P	mortality rate (P)	0.1	d^{-1}
m_Z	mortality rate (Z)	0.2	d^{-1}
γ	assimilation efficiency	0.3	fraction

with: $P(0) = 0.3 \mu\text{mol N L}^{-1}$, $Z(0) = 0.1 \mu\text{mol N L}^{-1}$, $N(0) = 1.6 \mu\text{mol N L}^{-1}$

Model 'currency'

The dynamic variables of a plankton ecosystem model are usually expressed in terms of their nitrogen content because nitrogen is often limiting to primary production in the ocean.

Model 'currency'

The dynamic variables of a plankton ecosystem model are usually expressed in terms of their nitrogen content because nitrogen is often limiting to primary production in the ocean.

For consistency, also the parameters of the model are expressed in units of nitrogen, wherever relevant;

Model 'currency'

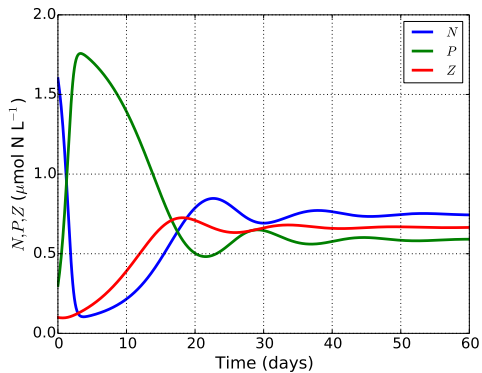
The dynamic variables of a plankton ecosystem model are usually expressed in terms of their nitrogen content because nitrogen is often limiting to primary production in the ocean.

For consistency, also the parameters of the model are expressed in units of nitrogen, wherever relevant;

In this way nitrogen is said to represent the *currency* of the model.

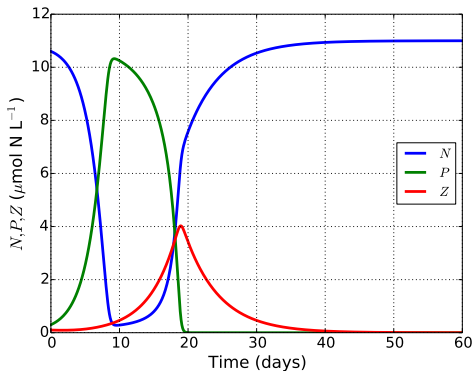
Results of the NPZ model

Standard run, with $P_0 = 0.0$



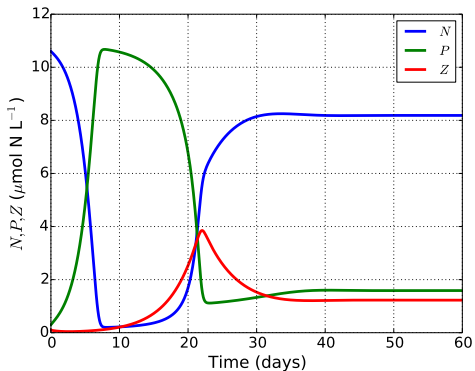
Results of the NPZ model

Run with $N(0) = 10.6$, $\mu_{max} = 0.69$, and $P_0 = 0.0$



Results of the NPZ model

Run with $N(0) = 10.6$, $\mu_{max} = 0.69$, and $P_0 = 1.0$



A (somewhat) more realistic NPZ model

A (somewhat) more realistic NPZ model

- ▶ Franks et al. (1986) have been among the first to develop a model of NPZ dynamics for studying the seasonal changes in plankton ecosystems;

A (somewhat) more realistic NPZ model

- ▶ Franks et al. (1986) have been among the first to develop a model of NPZ dynamics for studying the seasonal changes in plankton ecosystems;
- ▶ This model has been very useful and stimulated further research; however, the model is not realistic in several respects, apart from the extreme simplification of its biological variables relative to the complexity of an actual pelagic community;

A (somewhat) more realistic NPZ model

- ▶ Franks et al. (1986) have been among the first to develop a model of NPZ dynamics for studying the seasonal changes in plankton ecosystems;
- ▶ This model has been very useful and stimulated further research; however, the model is not realistic in several respects, apart from the extreme simplification of its biological variables relative to the complexity of an actual pelagic community;
- ▶ Very importantly, we know that blooms are initiated in spring when irradiance levels become sufficient above the shallowest significant mixing barrier for net increase (growth – grazing) to exceed stock losses due to mixing;

A (somewhat) more realistic NPZ model

- ▶ Franks et al. (1986) have been among the first to develop a model of NPZ dynamics for studying the seasonal changes in plankton ecosystems;
- ▶ This model has been very useful and stimulated further research; however, the model is not realistic in several respects, apart from the extreme simplification of its biological variables relative to the complexity of an actual pelagic community;
- ▶ Very importantly, we know that blooms are initiated in spring when irradiance levels become sufficient above the shallowest significant mixing barrier for net increase (growth – grazing) to exceed stock losses due to mixing;
- ▶ Thus a more realistic model would simulate control of primary production by seasonally varying sunlight and include the decrease of light with depth, at least to the bottom of a mixing layer;

A (somewhat) more realistic NPZ model

- ▶ Franks et al. (1986) have been among the first to develop a model of NPZ dynamics for studying the seasonal changes in plankton ecosystems;
- ▶ This model has been very useful and stimulated further research; however, the model is not realistic in several respects, apart from the extreme simplification of its biological variables relative to the complexity of an actual pelagic community;
- ▶ Very importantly, we know that blooms are initiated in spring when irradiance levels become sufficient above the shallowest significant mixing barrier for net increase (growth – grazing) to exceed stock losses due to mixing;
- ▶ Thus a more realistic model would simulate control of primary production by seasonally varying sunlight and include the decrease of light with depth, at least to the bottom of a mixing layer;
- ▶ Phytoplankton growth varies non-linearly with irradiance ($P-I$ relationship), and irradiance decreases exponentially downward, so one should integrate production down through the water column; variations in mixing should be also included (at least in a simplified fashion) for example by varying the mixed-layer depth through the simulated season.

A (somewhat) more realistic NPZD model

A (somewhat) more realistic NPZD model

- ▶ Phytoplankton can be considered to be homogeneously distributed through the mixed layer (which is equivalent to assuming that the physical mixing rate is fast compared to the growth rates of the organisms), absent below, with losses to depth when the layer shallows, dilution when it deepens;

A (somewhat) more realistic NPZD model

- ▶ Phytoplankton can be considered to be homogeneously distributed through the mixed layer (which is equivalent to assuming that the physical mixing rate is fast compared to the growth rates of the organisms), absent below, with losses to depth when the layer shallows, dilution when it deepens;
- ▶ Nutrient limitation and grazing are independent factors that terminate the spring blooms, so they must be included as alternative controls of the phytoplankton stock;

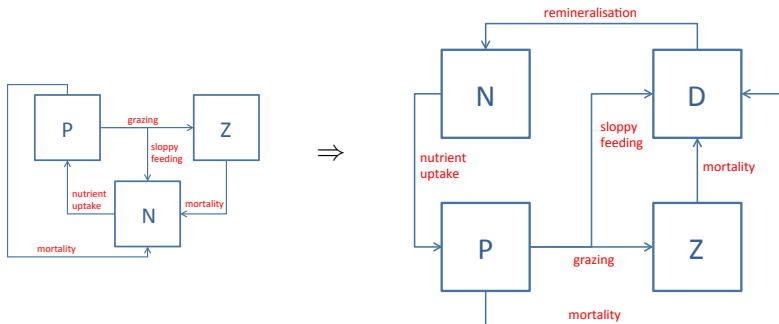
A (somewhat) more realistic NPZD model

- ▶ Phytoplankton can be considered to be homogeneously distributed through the mixed layer (which is equivalent to assuming that the physical mixing rate is fast compared to the growth rates of the organisms), absent below, with losses to depth when the layer shallows, dilution when it deepens;
- ▶ Nutrient limitation and grazing are independent factors that terminate the spring blooms, so they must be included as alternative controls of the phytoplankton stock;
- ▶ Zooplankton can be taken to sustain their stock within the mixed layer by swimming;

A (somewhat) more realistic NPZD model

- ▶ Phytoplankton can be considered to be homogeneously distributed through the mixed layer (which is equivalent to assuming that the physical mixing rate is fast compared to the growth rates of the organisms), absent below, with losses to depth when the layer shallows, dilution when it deepens;
- ▶ Nutrient limitation and grazing are independent factors that terminate the spring blooms, so they must be included as alternative controls of the phytoplankton stock;
- ▶ Zooplankton can be taken to sustain their stock within the mixed layer by swimming;
- ▶ We add another dynamic variable, 'detritus' (D), to reflect the fact that organic matter (fecal materials and dead organisms) is temporarily stored in this compartment where it gets decomposed by bacteria and then transferred to restock the inorganic nutrient pool.

A (somewhat) more realistic NPZD model



Adding the detritus pool implies that (1) all dead material pass by this compartment before reaching the inorganic nutrient pool and (2) a new process must be added, *remineralisation*, which represents the decomposition (or break down) of organic materials into inorganic minerals by bacteria.

End of part 1

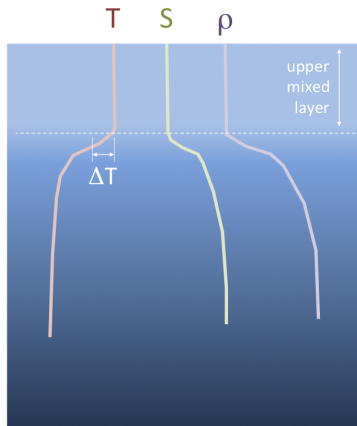
Part 2

Ocean upper mixed layer

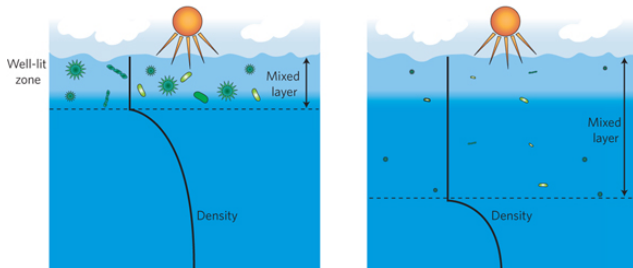
Turbulence create a well mixed surface layer where temperature (T) salinity (S) and density (ρ) are nearly uniform with depth;

The depth of this mixed layer is measured by the depth at which T is some value less than SST (e.g. $\Delta T = 0.5$);

Undergoes large seasonal changes;



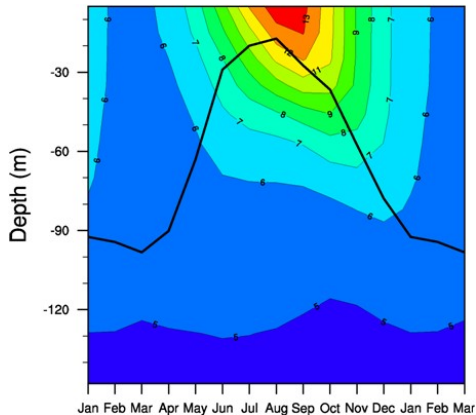
Upper mixed layer depth dynamics



When the mixed layer is shallow, phytoplankton mostly stay within the well-lit zone, where they thrive. When the mixed layer deepens, phytoplankton are distributed over a larger volume of water, and sink more easily to depths too dark to sustain them.

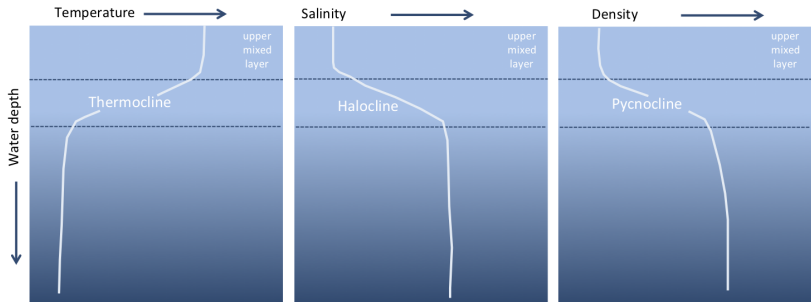
Seasonal cycle of MLD and T

Northeast Pacific (50°N , 145°W)



Below upper mixed layer

Just below the upper mixed layer, there is another water layer where temperature, salinity, and density change rapidly; these layers are called, respectively, thermocline, halocline and pycnocline.



An NPZD model of the oceanic mixed layer

- ▶ To simplify the complex three-dimensional physics of the ocean, we will use so-called zero-dimensional "slab" model formulation;

An NPZD model of the oceanic mixed layer

- ▶ To simplify the complex three-dimensional physics of the ocean, we will use so-called zero-dimensional "slab" model formulation;
- ▶ Slab models have a simple physical structure consisting of two vertical layers;

An NPZD model of the oceanic mixed layer

- ▶ To simplify the complex three-dimensional physics of the ocean, we will use so-called zero-dimensional "slab" model formulation;
- ▶ Slab models have a simple physical structure consisting of two vertical layers;
- ▶ The depth of the upper mixed layer, which can vary seasonally, is determined empirically from observations of vertical profiles of temperature or density;

An NPZD model of the oceanic mixed layer

- ▶ To simplify the complex three-dimensional physics of the ocean, we will use so-called zero-dimensional "slab" model formulation;
- ▶ Slab models have a simple physical structure consisting of two vertical layers;
- ▶ The depth of the upper mixed layer, which can vary seasonally, is determined empirically from observations of vertical profiles of temperature or density;
- ▶ Such slab models can be run quickly and straightforwardly, enabling both a multitude of runs and ease of analysing results;

An NPZD model of the oceanic mixed layer

- ▶ To simplify the complex three-dimensional physics of the ocean, we will use so-called zero-dimensional "slab" model formulation;
- ▶ Slab models have a simple physical structure consisting of two vertical layers;
- ▶ The depth of the upper mixed layer, which can vary seasonally, is determined empirically from observations of vertical profiles of temperature or density;
- ▶ Such slab models can be run quickly and straightforwardly, enabling both a multitude of runs and ease of analysing results;
- ▶ Despite the simplicity of the two-layer slab physics, these models are sufficiently well formulated to permit realistic and insightful simulations of marine ecosystems.

An NPZD model of the oceanic mixed layer

We assume that some data is available (e.g. vertical profiles of temperature, salinity, or density) to define the seasonal change in the mixed layer depth (M) as a function of time (t), mathematically:

An NPZD model of the oceanic mixed layer

We assume that some data is available (e.g. vertical profiles of temperature, salinity, or density) to define the seasonal change in the mixed layer depth (M) as a function of time (t), mathematically:

$$\frac{dM}{dt} = h(t)$$

An NPZD model of the oceanic mixed layer

We assume that some data is available (e.g. vertical profiles of temperature, salinity, or density) to define the seasonal change in the mixed layer depth (M) as a function of time (t), mathematically:

$$\frac{dM}{dt} = h(t)$$

The effect of the deepening or shallowing of the mixed layer on the concentration of a dynamic variable will depend on whether that variable describes a non-motile entity such as phytoplankton, nutrient, and detritus, or whether it describes a motile entity like zooplankton.

An NPZD model of the oceanic mixed layer

We assume that some data is available (e.g. vertical profiles of temperature, salinity, or density) to define the seasonal change in the mixed layer depth (M) as a function of time (t), mathematically:

$$\frac{dM}{dt} = h(t)$$

The effect of the deepening or shallowing of the mixed layer on the concentration of a dynamic variable will depend on whether that variable describes a non-motile entity such as phytoplankton, nutrient, and detritus, or whether it describes a motile entity like zooplankton.

It might be assumed that the zooplankton actively maintain themselves within the mixed layer when its depth changes, and so the concentration of zooplankton will decrease and increase when the mixed layer depth increases and decreases, respectively.

An NPZD model of the oceanic mixed layer

We assume that some data is available (e.g. vertical profiles of temperature, salinity, or density) to define the seasonal change in the mixed layer depth (M) as a function of time (t), mathematically:

$$\frac{dM}{dt} = h(t)$$

The effect of the deepening or shallowing of the mixed layer on the concentration of a dynamic variable will depend on whether that variable describes a non-motile entity such as phytoplankton, nutrient, and detritus, or whether it describes a motile entity like zooplankton.

It might be assumed that the zooplankton actively maintain themselves within the mixed layer when its depth changes, and so the concentration of zooplankton will decrease and increase when the mixed layer depth increases and decreases, respectively.

In the case of non-motile entities, a deepening of the mixed layer (i.e. when the rate of change of M is positive, $dM/dt > 0$) will dilute the concentration (thus decreasing P). In contrast, when the mixed layer shallows, material is left behind, or detrained, but the concentration in the mixed layer will remain unchanged because the loss due to detrainment is balanced by the increased phytoplankton density due to the decreased volume (thus detrainment, i.e. negative rate changes of M , $dM/dt < 0$, will not alter the concentration of P).

An NPZD model of the oceanic mixed layer

Mixing:

An NPZD model of the oceanic mixed layer

Mixing:

The asymmetry between motile and non-motile entities can be accounted for by defining the variable $h^+(t) = \max(h(t), 0)$, and using $h^+(t)$ rather than $h(t)$ in equations representing non-motile entities.

An NPZD model of the oceanic mixed layer

Mixing:

The asymmetry between motile and non-motile entities can be accounted for by defining the variable $h^+(t) = \max(h(t), 0)$, and using $h^+(t)$ rather than $h(t)$ in equations representing non-motile entities.

Diffusive mixing across the thermocline is parameterised with a constant factor (κ).

An NPZD model of the oceanic mixed layer

Mixing:

The asymmetry between motile and non-motile entities can be accounted for by defining the variable $h^+(t) = \max(h(t), 0)$, and using $h^+(t)$ rather than $h(t)$ in equations representing non-motile entities.

Diffusive mixing across the thermocline is parameterised with a constant factor (κ).

For non-motile entities, the whole diffusion term is, thus, written as

$$K = \frac{\kappa + h^+}{M(t)}$$

For motile entities (i.e. zooplankton), the diffusion term is simply

$$\frac{h(t)}{M(t)}$$

An NPZD model of the oceanic mixed layer

Light limitation:

An NPZD model of the oceanic mixed layer

Light limitation:

Phytoplankton growth is limited by light availability. This requires the consideration of (1) photosynthetically active radiation (PAR) on the surface of the ocean (i.e. I at $z = 0$, I_0), (2) attenuation of PAR with depth z , and (3) photosynthesis as a function of light. PAR is attenuated with depth according to the Beer-Lambert law with attenuation coefficient k_{PAR} :

$$I(z) = I_0 e^{-k_{PAR} z}$$

An NPZD model of the oceanic mixed layer

Light limitation:

Phytoplankton growth is limited by light availability. This requires the consideration of (1) photosynthetically active radiation (PAR) on the surface of the ocean (i.e. I at $z = 0$, I_0), (2) attenuation of PAR with depth z , and (3) photosynthesis as a function of light. PAR is attenuated with depth according to the Beer-Lambert law with attenuation coefficient k_{PAR} :

$$I(z) = I_0 e^{-k_{PAR} z}$$

The daily depth-average photosynthetic rate is calculated considering a P - I curve and then integrating through the mixed layer depth. We will use the Smith function:

$$P(I) = \frac{\alpha I(z) P_{max}}{\sqrt{(P_{max})^2 + \alpha^2 I(z)^2}}$$

An NPZD model of the oceanic mixed layer

Light limitation:

Phytoplankton growth is limited by light availability. This requires the consideration of (1) photosynthetically active radiation (PAR) on the surface of the ocean (i.e. I at $z = 0$, I_0), (2) attenuation of PAR with depth z , and (3) photosynthesis as a function of light. PAR is attenuated with depth according to the Beer-Lambert law with attenuation coefficient k_{PAR} :

$$I(z) = I_0 e^{-k_{PAR} z}$$

The daily depth-average photosynthetic rate is calculated considering a P - I curve and then integrating through the mixed layer depth. We will use the Smith function:

$$P(I) = \frac{\alpha I(z) P_{max}}{\sqrt{(P_{max})^2 + \alpha^2 I(z)^2}}$$

thus the light limiting term is given by:

$$\Psi(I) = \frac{1}{M(t)} \int_0^M P(I) dz$$

An NPZD model of the oceanic mixed layer

Light limitation:

An NPZD model of the oceanic mixed layer

Light limitation:

Instead of solving the integral numerically at each model time step, we will use the following analytical solution (according to Anderson et al. 2015):

$$\Psi(I) = \frac{1}{M(t)} \int_0^M P(I) dz = \frac{P_{max}}{k_{PAR} M} \ln \left(\frac{\alpha I_0 + \sqrt{P_{max}^2 + (\alpha I_0)^2}}{\alpha I_M + \sqrt{P_{max}^2 + (\alpha I_M)^2}} \right)$$

An NPZD model of the oceanic mixed layer

Light limitation:

Instead of solving the integral numerically at each model time step, we will use the following analytical solution (according to Anderson et al. 2015):

$$\Psi(I) = \frac{1}{M(t)} \int_0^M P(I) dz = \frac{P_{max}}{k_{PAR} M} \ln \left(\frac{\alpha I_0 + \sqrt{P_{max}^2 + (\alpha I_0)^2}}{\alpha I_M + \sqrt{P_{max}^2 + (\alpha I_M)^2}} \right)$$

with α initial slope of the P - I curve, P_{max} maximum photosynthetic rate (i.e. the photosynthetic rate at $I \rightarrow \infty$), I_0 irradiance on the top surface (i.e. at $z = 0$), and I_M irradiance at $z = M$ (i.e. $I_M = I_0 e^{-k_{PAR} M}$) with M mixed layer depth.

An NPZD model of the oceanic mixed layer

Light limitation:

Instead of solving the integral numerically at each model time step, we will use the following analytical solution (according to Anderson et al. 2015):

$$\Psi(I) = \frac{1}{M(t)} \int_0^M P(I) dz = \frac{P_{max}}{k_{PAR} M} \ln \left(\frac{\alpha I_0 + \sqrt{P_{max}^2 + (\alpha I_0)^2}}{\alpha I_M + \sqrt{P_{max}^2 + (\alpha I_M)^2}} \right)$$

with α initial slope of the P - I curve, P_{max} maximum photosynthetic rate (i.e. the photosynthetic rate at $I \rightarrow \infty$), I_0 irradiance on the top surface (i.e. at $z = 0$), and I_M irradiance at $z = M$ (i.e. $I_M = I_0 e^{-k_{PAR} M}$) with M mixed layer depth.

k_{PAR} is the sum of attenuation due to water and phytoplankton, parameters k_w and k_p , respectively:

$$k_{PAR} = k_w + k_p P$$

An NPZD model of the oceanic mixed layer

Temperature dependence:

An NPZD model of the oceanic mixed layer

Temperature dependence:

Phytoplankton growth depends on temperature according to the Eppley's formulation:

$$f(T) = e^{0.063 T}$$

with T = Sea Surface Temperature or SST.

An NPZD model of the oceanic mixed layer

Phytoplankton gross growth:

An NPZD model of the oceanic mixed layer

Phytoplankton gross growth:

Phytoplankton gross growth is the product of light-dependent growth with different limiting terms (varying between 0 and 1):

$$\text{Gross growth} = \underbrace{e^{0.063 T}}_{\text{temp dependence}} \cdot \underbrace{\left(\frac{N}{N + K_N} \right)}_{\text{nutrient limitation}} \cdot \underbrace{\Psi(I)}_{\text{light limitation}}$$

An NPZD model of the oceanic mixed layer

Phytoplankton gross growth:

Phytoplankton gross growth is the product of light-dependent growth with different limiting terms (varying between 0 and 1):

$$\text{Gross growth} = \underbrace{e^{0.063 T}}_{\text{temp dependence}} \cdot \underbrace{\left(\frac{N}{N + K_N} \right)}_{\text{nutrient limitation}} \cdot \underbrace{\Psi(I)}_{\text{light limitation}}$$

Temporal changes in temperature (T), irradiance at the top of the ocean surface (I_0), and mixed layer depth (M) drive the seasonal changes in the plankton ecosystem model.

An NPZD model of the oceanic mixed layer

Phytoplankton gross growth:

Phytoplankton gross growth is the product of light-dependent growth with different limiting terms (varying between 0 and 1):

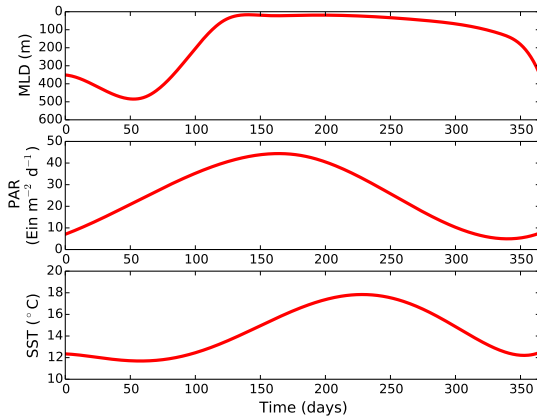
$$\text{Gross growth} = \underbrace{e^{0.063 T}}_{\text{temp dependence}} \cdot \underbrace{\left(\frac{N}{N + K_N} \right)}_{\text{nutrient limitation}} \cdot \underbrace{\Psi(I)}_{\text{light limitation}}$$

Temporal changes in temperature (T), irradiance at the top of the ocean surface (I_0), and mixed layer depth (M) drive the seasonal changes in the plankton ecosystem model.

These environmental data are external input to the model and represent the *physical forcing* to the model. Thus data files for T , I_0 , and M are required; these files are called SST (seas surface temperature), PAR (irradiance at $z = 0$), and MLD (mixed layer depth), respectively.

Physical forcing

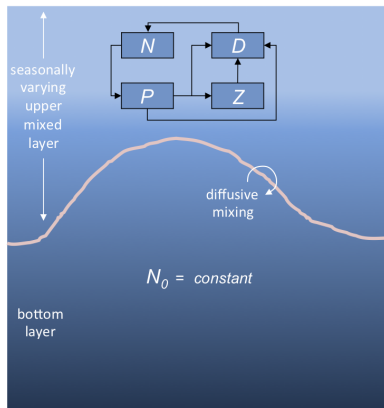
Environmental data typical of a temperate region of the North Atlantic:



Physical scheme – summary

Zero-dimensional "slab" model:

1. The water column is divided into two layers, which are assumed to be homogeneously mixed;
2. Lateral advection is not considered;
3. Environmental data are external inputs and used as physical forcing to the model;
4. Biological and ecological processes occur only in the upper mixed layer;
5. Nutrient concentration is assumed constant in the bottom layer.



An NPZD model of the oceanic mixed layer

Grazing by zooplankton:

An NPZD model of the oceanic mixed layer

Grazing by zooplankton:

Grazing by zooplankton is assumed to be on both phytoplankton and detritus. This choice illustrates how to implement ingestion on multiple prey types. Our grazing functions reflect a passive switching response (according to Anderson et al. 2015) and are formulated as follows:

$$G_P = \left(\frac{g_{max} \varphi_P P^2}{K_Z^2 + \varphi_P P^2 + \varphi_D D^2} \right)$$

$$G_D = \left(\frac{g_{max} \varphi_D D^2}{K_Z^2 + \varphi_P P^2 + \varphi_D D^2} \right)$$

An NPZD model of the oceanic mixed layer

Grazing by zooplankton:

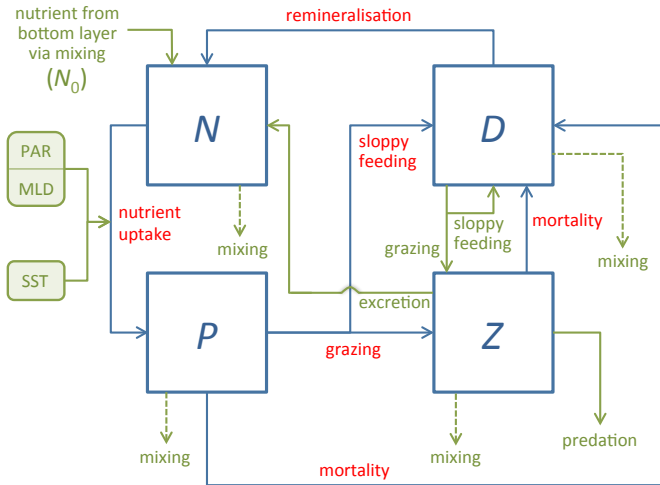
Grazing by zooplankton is assumed to be on both phytoplankton and detritus. This choice illustrates how to implement ingestion on multiple prey types. Our grazing functions reflect a passive switching response (according to Anderson et al. 2015) and are formulated as follows:

$$G_P = \left(\frac{g_{max} \varphi_P P^2}{K_Z^2 + \varphi_P P^2 + \varphi_D D^2} \right)$$

$$G_D = \left(\frac{g_{max} \varphi_D D^2}{K_Z^2 + \varphi_P P^2 + \varphi_D D^2} \right)$$

These are sigmoidal functions and the terms g_{max} , K_Z , φ_P , and φ_D are parameters indicating, respectively, maximum grazing (or ingestion) rate, half-saturation constant for intake, preference for phytoplankton, and preference for detritus.

NPZD model – flow diagram



NPZD model – equations

$$\frac{dN(t)}{dt} = -f(T) U(N) \Psi(I) P + m_D D + \underbrace{\beta (1 - K_{NZ}) (G_P + G_D) Z}_{\text{excretion}} + K (N_0 - N);$$

$$\frac{dP(t)}{dt} = f(T) U(N) \Psi(I) P - m_P P - G_P Z - K P;$$

$$\frac{dZ(t)}{dt} = \beta K_{NZ} (G_P + G_D) Z - m_Z Z - m_Z^* Z^2 - \frac{h(t)}{M} Z;$$

$$\frac{dD(t)}{dt} = m_P P + m_Z Z + \underbrace{(1 - \beta) (G_P + G_D) Z}_{\text{sloppy feeding}} - G_D Z - m_D D - K D.$$

with:

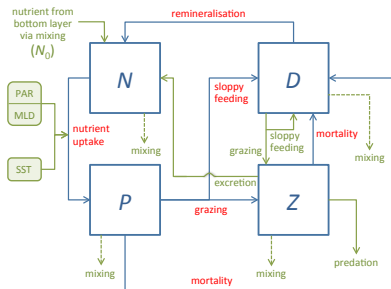
$$f(T) = e^{0.063 T}, \quad U(N) = \frac{N}{N + K_N}, \quad \text{and} \quad T = \text{sst.dat}$$

$$\Psi(I) = \frac{P_{\max}}{(k_w + k_P P) M} \ln \left(\frac{\alpha I_0 + \sqrt{P_{\max}^2 + (\alpha I_0)^2}}{\alpha I_M + \sqrt{P_{\max}^2 + (\alpha I_M)^2}} \right), \quad I_M = I_0 e^{-(k_w + k_P P) M}, \quad \text{and} \quad I_0 = \text{par.dat}$$

$$K = \frac{\kappa + h^+}{M(t)}, \quad h^+ = \max(h, 0), \quad h = \frac{dM}{dt}, \quad \text{and} \quad M = \text{mld.dat}$$

$$G_P = \left(\frac{g_{\max} \varphi_P P^2}{K_Z^2 + \varphi_P P^2 + \varphi_D D^2} \right) \quad \text{and} \quad G_D = \left(\frac{g_{\max} \varphi_D D^2}{K_Z^2 + \varphi_P P^2 + \varphi_D D^2} \right).$$

NPZD model – flow diagram and equations



$$\frac{dN(t)}{dt} = -f(T) U(N) \Psi(I) P + m_D D + \underbrace{\beta (1 - K_{NZ}) (G_P + G_D) Z}_{\text{excretion}} + K (N_0 - N);$$

$$\frac{dP(t)}{dt} = f(T) U(N) \Psi(I) P - m_P P - G_P Z - K P;$$

$$\frac{dZ(t)}{dt} = \beta K_{NZ} (G_P + G_D) Z - m_Z Z - m_Z^* Z^2 - \frac{h(t)}{M} Z;$$

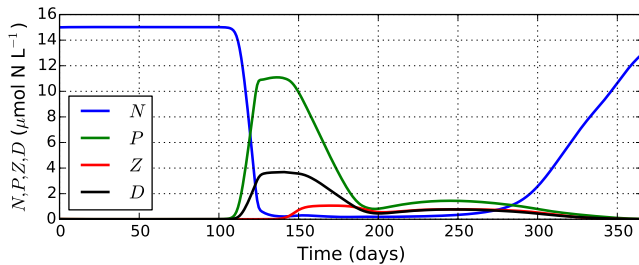
$$\frac{dD(t)}{dt} = m_P P + m_Z Z + \underbrace{(1 - \beta) (G_P + G_D) Z}_{\text{sloppy feeding}} - G_D Z - m_D D - K D.$$

NPZD model – parameters and initial conditions

Symbol	Description	Value	Unit
N_0	nitrogen in bottom layer	15.0	$\mu\text{mol/L}$
P_{max}	maximum photosynthetic rate	1.1	d^{-1}
g_{max}	maximum ingestion rate	0.9	d^{-1}
K_N	half saturation constant (P)	0.85	$\mu\text{mol/L}$
K_Z	half saturation constant (Z)	0.6	$\mu\text{mol/L}$
m_P	mortality rate (P)	0.2	d^{-1}
m_Z	mortality rate (Z)	0.1	d^{-1}
m_D	remineralisation rate (D)	0.6	d^{-1}
β	zooplankton ingestion efficiency	0.69	fraction
K_{NZ}	zooplankton assimilation efficiency	0.75	fraction
k_W	light extinction due to water	0.05	m^{-1}
k_P	light extinction due to P	0.03	$\text{m}^{-1} (\mu\text{mol/L})^{-1}$
α	initial slope of P - I curve	0.15	$(\text{Ein m}^{-2})^{-1} \text{d}^{-1}$
κ	cross thermocline mixing	0.1	m d^{-1}
m_Z^*	mortality due to higher predators (Z)	0.34	$(\mu\text{mol/L})^{-1} \text{d}^{-1}$
φ_P	grazing preference for P	0.67	%
φ_D	grazing preference for D	0.33	%

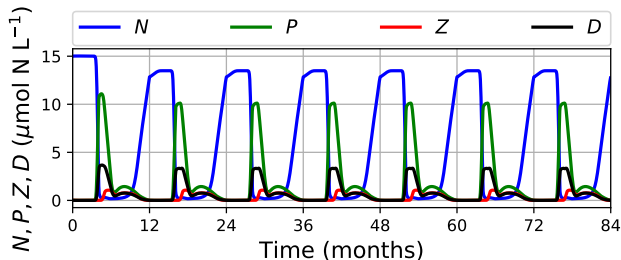
with: $N(0) = 15.0 \mu\text{mol L}^{-1}$, $P(0) = 0.01 \mu\text{mol L}^{-1}$, $Z(0) = 0.01 \mu\text{mol L}^{-1}$, $D(0) = 0.01 \mu\text{mol L}^{-1}$.

NPZD model – results



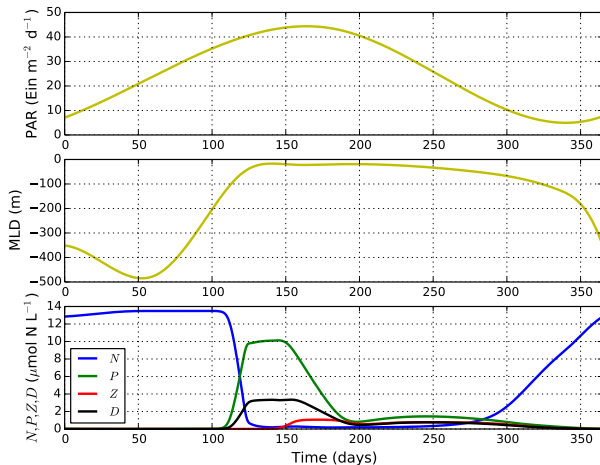
NPZD model – results

Run the model over several years by forcing it every year with the same environmental variables to reach a stable solution after an initial spin-up phase.



NPZD model – results

Stable solutions (4th year after spin-up phase of 3 years) plotted along with forcing variables (PAR and MLD).



Suggestions and hints

Upload the external forcing files as follows:

```
mld = np.loadtxt("/Users/ago/CompLifeSci/mld.dat") # mixed layer depth (M)
par = np.loadtxt("/Users/ago/CompLifeSci/par.dat") # irradiance at z=0 (I0)
sst = np.loadtxt("/Users/ago/CompLifeSci/sst.dat") # sea surface temperature (T)
```

Calculate the derivative of the MLD as follows:

```
mld = mld[1,:]  
ldiff = mld[365]-mld[364]  
dt = 1.0 # 1.0 is the time interval (1 day)  
dMdt = np.ediff1d(mld,to_end=ldiff)/dt # calculates rate of change of M  
# ediff1d calculates the differences between consecutive elements of mld
```

Create functions for the various processes as follows:

```
def uptake(n):  
    u = n/(n+K_N)  
    return u  
  
def grazeP(p,d):  
    g = (g_max*p*p*p/(K_Z**2 + p*p*p + pD*d*d))  
    return g
```

Call these functions as appropriate when defining the differential equations:

```
def npzd(y, t):  
    N, P, Z, D = y  
    dPdt = (temp(sst[t])*uptake(N)*light(t,P))*P - mP*P - grazeP(P,D)*Z - mix(t)*P  
    ...  
    return dydt
```

Further reading



C.M. Lalli & T.R. Parsons 1997
Biological Oceanography – An introduction.
Elsevier Butterworth-Heinemanns.



C.B. Miller & P.A. Wheeler 2012
Biological Oceanography.
Wiley-Blackwell.



V.S. Ivlev 1955
Experimental ecology of the feeding of fishes.
Pishepromizdat, Moscow (transl. from Russian by D. Scott) Yale University Press, 1961.



T.R. Anderson et al. 2015
EMPOWER-1.0: an Efficient Model of Planktonic ecOsystems WrittEn in R.
Geoscientific Model Development, 8:2231–2262, 2015.



M.J.R. Fasham et al. 1990
A nitrogen-based model of plankton dynamics in the oceanic mixed layer.
Journal of Marine Research, 48:591–639, 1990.



P.J.S. Franks et al. 1986
Behavior of a simple plankton model with food-level acclimation by herbivores.
Marine Biology, 91(1):121–129, 1986.